3GPP TSG-RAN 1 Meeting #19 — ***Tdoc R1-01-0243***
Las Vegas, USA, 27 Febr – 2 March 2001

CR-Form-v3

CHANGE REQUEST

✍	**25.224**	**CR**	**044**	✍ rev	**1**	✍ Current version:	**3.5.0** ✍

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ✍ symbols.*

Proposed change affects: ✍ (U)SIM [ ] ME/UE [ ] Radio Access Network [X] Core Network [ ]

Title: ✍	Layer 1 procedure for Node B synchronisation		
Source: ✍	Siemens		
Work item code: ✍	RANimp-NBsync	***Date:*** ✍	20.01.2001
Category: ✍	**B**	***Release:*** ✍	REL-4
	Use one of the following categories: ***F*** *(essential correction)* ***A*** *(corresponds to a correction in an earlier release)* ***B*** *(Addition of feature),* ***C*** *(Functional modification of feature)* ***D*** *(Editorial modification)* Detailed explanations of the above categories can be found in 3GPP TR 21.900.		*Use one of the following releases:* *2 (GSM Phase 2)* *R96 (Release 1996)* *R97 (Release 1997)* *R98 (Release 1998)* *R99 (Release 1999)* *REL-4 (Release 4)* *REL-5 (Release 5)*

Reason for change: ✍	Introduction of L1 procedure necessary for work item
Summary of change: ✍	A new chapter is added with the L1 procedure for Node B synchronisation, an indication is given, that some frames are blocked for PRACH transmissions. A new section contains power control for the PNBSCH.
Consequences if not approved: ✍	Work item Node B synchronisation is not feasible

Clauses affected: ✍	4.7, 4.9	
Other specs affected: ✍	[X] Other core specifications ✍ [ ] Test specifications [ ] O&M Specifications	25.221, 25.223, 25.331, 25.402, 25.433
Other comments: ✍		

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ✍ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

?? References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.

?? For a specific reference, subsequent revisions do not apply.

?? For a non-specific reference, the latest version applies.

[1] 3GPP TS 25.201: "Physical layer - general description".

[2] 3GPP TS 25.102: "UE physical layer capabilities".

[3] 3GPP TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".

[4] 3GPP TS 25.212: "Multiplexing and channel coding (FDD)".

[5] 3GPP TS 25.213: "Spreading and modulation (FDD)".

[6] 3GPP TS 25.214: "Physical layer procedures (FDD)".

[7] 3GPP TS 25.215: "Physical Layer - Measurements (FDD)".

[8] 3GPP TS 25.221: "Physical channels and mapping of transport channels onto physical channels (TDD)".

[9] 3GPP TS 25.222: "Multiplexing and channel coding (TDD)".

[10] 3GPP TS 25.223: "Spreading and modulation (TDD)".

[11] 3GPP TS 25.225: "Physical Layer - Measurements (TDD)".

[12] 3GPP TS 25.301: "Radio Interface Protocol Architecture".

[13] 3GPP TS 25.302: "Services Provided by the Physical Layer".

[14] 3GPP TS 25.401: "UTRAN Overall Description".

[15] 3GPP TS 25.331: "RRC Protocol Specification"

[16] 3GPP TS 25.433: " UTRAN Iub Interface NBAP Signalling"

[17] 3GPP TS 25.105: " UTRA (BS) TDD; Radio transmission and Reception"

[18] 3GPP TS 25.321: " MAC protocol specification"

[19] 3GPP TS 25.303: " Interlayer Procedures in Connected Mode"

[20] 3GPP TS 25.402: ” Synchronisation in UTRAN Stage 2”

4.2.3.4 PNBSCH

The PNBSCH transmit power is set by higher layer signalling [16]. The value given is relative to the power of the P-CCPCH

4.2.3.53 DPCH, PDSCH

The initial transmission power of the downlink DPCH and the PDSCH is set by the network. After the initial transmission, the UTRAN transits into SIR-based inner loop power control.

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCH and PUSCH. An example on how to derive the TPC commands in given in Annex A.2

The association between TPC commands sent on uplink DPCH and PUSCH, with the power controlled downlink DPCH and PDSCH is signaled by higher layers.

In the case that no associated downlink data is scheduled within 15 timeslots before the transmission of a TPC command then this is regarded as a transmission pause. The TPC commands in this case shall be derived from measurements on the P-CCPCH. An example solution for the generation of the TPC command is given in Annex A 2.

Each TPC command shall always be based on all associated downlink transmissions received since the previous related TPC command. Related TPC commands are defined as TPC commands associated with the same downlink CCTrCHs. If there are no associated downlink transmissions between two or more uplink transmissions carrying related TPC commands, then these TPC commands shall be identical and they shall be regarded by the UTRAN as a single TPC command. This rule applies both to the case where the measurements are based on a CCTrCH or, in the case of a pause, on the P-CCPCH.

As a response to the received TPC command, UTRAN may adjust the transmit power. When the TPC command is judged as "down", the transmission power may be reduced by one step, whereas if judged as "up", the transmission power may be raised by one step. The UTRAN may apply an individual offset to the transmission power in each timeslot according to the downlink interference level at the UE. The transmission power of one DPCH or PDSCH shall not exceed the limits set by higher layer signalling by means of Maximum_DL_Power (dB) and Minimum_DL_Power (dB). The transmission power is defined as the average power of the complex QPSK symbols of a single DPCH before spreading.

During a downlink transmission pause, the UTRAN may accumulated the TPC commands received. The initial UTRAN transmission power for the first data transmission after the pause may then be set to the sum of transmission power before the pause and a power offset according to the accumulated TPC commands. Additionally this sum may include a constant set by the operator and a correction term due to uncertainties in the reception of the TPC bits.

The total downlink transmission power at the nodeB within one timeslot shall not exceed Maximum Transmission Power set by higher layer signalling. In case the total power of the sum of all transmissions would exceed this limit, then the transmission power of all downlink DPCHs is reduced by the amount that allows fulfilling the requirement. The same amount of power reduction is applied to all DPCHs.

A higher layer outer loop adjusts the target SIR.

4.7 Random access procedure

The physical random access procedure described below is invoked whenever a higher layer requests transmission of a message on the RACH. The physical random access procedure is controlled by primitives from RRC and MAC. Retransmission on the RACH in case of failed transmission (e.g. due to a collision) is controlled by higher layers. Thus, the backoff algorithm and associated handling of timers is not described here. The definition of the RACH in terms of PRACH sub-channels and associated Access Service Classes is broadcast on the BCH in each cell. Parameters for common physical channel uplink outer loop power control are also broadcast on the BCH in each cell. The UE needs to decode this information prior to transmission on the RACH. Higher layer signalling may indicate, that in some frames a timeslot shall be blocked for RACH uplink transmission.

4.7.1 Physical random access procedure

The physical random access procedure described in this subclause is initiated upon request of a PHY-Data-REQ primitive from the MAC sublayer (see [18] and [19]).

Before the physical random-access procedure can be initiated, Layer 1 shall receive the following information by a CPHY-TrCH-Config-REQ from the RRC layer:

- the available PRACH sub-channels for each Access Service Class (ASC);
- the timeslot, spreading factor, channelisation code, midamble, repetition period and offset for each PRACH sub-channel. (There is a 1:1 mapping between spreading code and midamble as defined by RRC);
- the set of Transport Format parameters;
- the set of parameters for common physical channel uplink outer loop power control.

NOTE: The above parameters may be updated from higher layers before each physical random access procedure is initiated. At each initiation of the physical random access procedure, Layer 1 shall receive the following information from the higher layers (MAC):

- the Transport Format to be used for the PRACH message;
- the ASC of the PRACH transmission;
- the data to be transmitted (Transport Block Set).

In addition, Layer 1 may receive information from higher layers, that a timeslot in certain frames shall be blocked for PRACH uplink transmission.

The physical random-access procedure shall be performed as follows.

1 Randomly select the PRACH sub-channel from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability.

2 Derive the acess slots that are available and not blocked in the next N frames, defined by SFN, SFN+1, ..., SFN+N-1 for the selected PRACH sub-channel with the help of SFN (where N is the repetition period of the selected PRACH sub-channel). Randomly select an uplink access slot from the available access slots in the next frame, defined by SFN, if there is one available. If there is no access slot available in the next frame, defined by SFN then, randomly select one access slot from the available access slots in the following frame, defined by SFN+1. This search is performed for all frames in increasing order, defined by SFN, SFN+1, ..., SFN+N-1, until an available access slot is found. The random function shall be such that each of the allowed selections is chosen with equal probability.

3 Randomly select a spreading code from the available ones for the given ASC. The random function shall be such that each of the allowed selections is chosen with equal probability. The midamble is derived from the selected spreading code.

4 Set the PRACH message transmission power level according to the specification for common physical channels in uplink (see subclause 4.2.2.2).

5 Transmit the random access message with no timing advance.

4.8 DSCH procedure

The physical downlink shared channel procedure described below shall be applied by the UE when the physical layer signalling either with the midamble based signalling or TFCI based signalling is used to indicate for the UE the need for PDSCH detection. There is also a third alternative to indicate to the UE the need for the PDSCH detection and this is done by means of higher layer signalling, already described in [8].

4.8.1 DSCH procedure with TFCI indication

When the UE has been allocated by higher layers to receive data on DSCH using the TFCI, the UE shall decode the PDSCH in the following cases:

- In case of a standalone PDSCH the TFCI is located on the PDSCH itself, then the UE shall decode the TFCI and based on which data rate was indicated by the TFCI, the decoding shall be performed. The UE shall decode PDSCH only if the TFCI word decode corresponds to the TFC part of the TFCS given to the UE by higher layers.

- In case that the TFCI is located on the DCH, the UE shall decode the PDSCH frame or frames if the TFCI on the DCH indicates the need for PDSCH reception. Upon reception of the DCH time slot or time slots, the PDSCH slot (or first PDSCH slot) shall start *SFN n+2* after the DCH frame containing the TFCI, where n indicates the SFN on which the DCH is received. In the case that the TFCI is repeated over several frames, the PDSCH slot shall start *SFN n+2* after the frame having the DCH slot which contains the last part of the repeated TFCI.

4.8.2 DSCH procedure with midamble indication

When the UE has been allocated by higher layers to receive PDSCH based on the midamble used on the PDSCH (midamble based signalling described in [8]), the UE shall operate as follows:

- The UE shall test the midamble it received and if the midamble received was the same as indicated by higher layers to correspond to PDSCH reception, the UE shall detect the PDSCH data according to the TF given by the higher layers for the UE.

- In case of multiple time slot allocation for the DSCH indicated to be part of the TF for the UE, the UE shall receive all timeslots if the midamble of the first timeslot of PDSCH was the midamble indicated to the UE by higher layers.

- In case the standalone PDSCH (no associated DCH) contains the TFCI the UE shall detect the TF indicated by the TFCI on PDSCH.

4.9 Node B Synchronisation Procedure over the Air

An option exists to use cell sync bursts to achieve and maintain Node B synchronisation [20]. This optional procedure is based on transmissions of cell synchronisation bursts [10] in predetermined timeslots normally assigned to contain PRACH, according to an RNC schedule. Such soundings between neighbouring cells facilitate timing offset measurements by the cells. The timing offset measurements are reported back to the RNC for processing. The RNC generates cell timing updates that are transmitted to the Node Bs and cells for implementation.

When Cell Sync Bursts are used to achieve and maintain intercell Synchronisation there are two distinct phases, with a potential additional sub-phase involving late entrant cells.

4.9.1 Initial Synchronisation

For Initial Phase, where no traffic is supported, the following procedure for initial synchronisation may be used to bring cells of an RNS area into synchronisation at network start up. In this phase each cell shall transmit cell sync bursts [10] according to the higher layer command. All cells use the same cell sync burst code and code offset. Each cell shall listen for transmissions from other cells. Each cell shall report the timing and received SIR of successfully detected cell sync bursts to the RNC. The RNC uses these measurements to adjust the timing of each cell to achieve the required synchronisation accuracy.

4.9.2 Steady-State Phase

The steady-state phase is used to maintain the required synchronisation accuracy. With the start of the steady-state phase, traffic is supported in a cell. A procedure that may be used for the steady-state phase involves cell synch bursts [10] that are transmitted and received without effect on existing traffic. Higher layers signal the transmit parameters, i. e. when to transmit which code and code offset, and which transmit power to use. The higher layers also signal to appropriate cells the receive parameters i. e. which codes and code offsets to measure in a certain timeslot. Upon determination of errors in timing, the RNC may adjust the timing of a cell or cells.

4.9.3 Late entrant cells

A procedure that may be used for introducing new cells into an already synchronised RNS involves the one time transmission of a single cell sync burst [10] (scheduled by higher layers) by all neighbour cells of the late entrant cell. and received by the late entrant cell. The RNC may use this information to adjust the late entrant cell sufficiently to allow the cell to enter steady state phase.