TSG-RAN Working Group 1 meeting #18
Boston, USA
15th – 18th January 2001

TSGR1#18(01)0016

Agenda item: AH 99

Source: Siemens

Title: Corrections of PUSCH and PDSCH

Document for: Decision

The description of the PUSCH and PDSCH is incomplete. The associated CRs specify the spreading, burst types and training sequences for the PUSCH and PDSCH. Also closed-loop Tx diversity is proposed for the PDSCH. This is reasonable, since from the physical point of view there is no difference between the DL DPCH, for which closed-loop Tx diversity is already specified, and the PDSCH.

With the physical properties as proposed, dedicated channels and shared shannels are completely aligned.

Also the sentence “User specific physical layer parameters like power control, timing advance or directive antenna settings are derived from the associated channel (FACH or DCH)” is removed from the PUSCH description in subclause 5.3.5. This is because the PUSCH is open-loop power controlled. Also, directive antenna settings are not used in the UE. The hint to the timing advance is taken into account.

Furthermore, the sentence “ User specific physical layer parameters like power control or directive antenna settings are derived from the associated channel (FACH or DCH)” is removed from the PDSCH description in 5.3.6. This is because TPC for PDSCH power control is sent on UL DPCH or PUSCH. Also, the derivation of directive antenna settings for the PDSCH from the FACH or DCH is not mandatory.

3GPP TSG-RAN WG1 Meeting #18
Boston, USA, 15th – 18th January 20001

R1-01-0016

CR-Form-v3

CHANGE REQUEST

✍	**25.221**	**CR 039**	✍ rev	**-**	✍ Current version:	**3.5.0** ✍

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ✍ symbols.*

Proposed change affects: ✍ (U)SIM [ ] ME/UE [ ] Radio Access Network [X] Core Network [ ]

Title: ✍	Corrections of PUSCH and PDSCH		
Source: ✍	Siemens		
Work item code: ✍		***Date:*** ✍	14 Dec. 2000
Category: ✍	**F**	***Release:*** ✍	R99
	Use one of the following categories: ***F*** *(essential correction)* ***A*** *(corresponds to a correction in an earlier release)* ***B*** *(Addition of feature),* ***C*** *(Functional modification of feature)* ***D*** *(Editorial modification)* Detailed explanations of the above categories can be found in 3GPP TR 21.900.		*Use one of the following releases:* *2 (GSM Phase 2)* *R96 (Release 1996)* *R97 (Release 1997)* *R98 (Release 1998)* *R99 (Release 1999)* *REL-4 (Release 4)* *REL-5 (Release 5)*

Reason for change: ✍	The actual specification is incomplete with respect to the description of the PUSCH and PDSCH in TDD
Summary of change: ✍	The CR defines the spreading to be applied to PUSCH and PDSCH. It also describes the burst types and training sequences to be used for these channels.
Consequences if not approved: ✍	Based on the actual specification it is not possible to implement the PUSCH and PDSCH.

Clauses affected: ✍	5.3.5, 5.3.6, 5.4	
Other specs affected: ✍	[ ] Other core specifications [ ] Test specifications [ ] O&M Specifications	✍
Other comments: ✍		

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ✍ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.3.5 Physical Uplink Shared Channel (PUSCH)

The USCH as desribed in subclause 4.1.2 is mapped onto one or more physical uplink shared channels (PUSCH). Timing advance, as described in [9], subclause 4.3, is applied to the PUSCH.

~~For Physical Uplink Shared Channel (PUSCH) the burst structure of DPCH as described in subclause 5.2 shall be used. User specific physical layer parameters like power control, timing advance or directive antenna settings are derived from the associated channel (FACH or DCH). PUSCH provides the possibility for transmission of TFCI in uplink.~~

5.3.5.1 PUSCH Spreading

The spreading factors that can be applied to the PUSCH are SF = 1, 2, 4, 8, 16 as described in subclause 5.2.1.2.

5.3.5.2 PUSCH Burst Types

Burst types 1, 2 or 3 as described in subclause 5.2.2 can be used for PUSCH. TFCI and TPC can be transmitted on the PUSCH.

5.3.5.3 PUSCH Training Sequences

The training sequences as desribed in subclause 5.2.3 are used for the PUSCH.

5.3.5.4 UE Selection

The UE that shall transmit on the PUSCH is selected by higher layer signalling.

5.3.6 Physical Downlink Shared Channel (PDSCH)

The DSCH as desribed in subclause 4.1.2 is mapped onto one or more physical downlink shared channels (PDSCH).

~~For Physical Downlink Shared Channel (PDSCH) the burst structure of DPCH as described in subclause 5.2 shall be used. User specific physical layer parameters like power control or directive antenna settings are derived from the associated channel (FACH or DCH). PDSCH provides the possibility for transmission of TFCI in downlink.~~

~~To indicate to the UE that there is data to decode on the DSCH, three signalling methods are available:~~

1) ~~using the TFCI field of the associated channel or PDSCH;~~

2) ~~using on the DSCH user specific midamble derived from the set of midambles used for that cell;~~

3) ~~using higher layer signalling.~~

~~When the midamble based method is used, the UE shall decode the PDSCH if the PDSCH was transmitted with the midamble assigned to the UE by UTRAN, see 5.5.1.1.2. For this method no other physical channels may use the same time slot as the PDSCH and only one UE may share the PDSCH time slot at the same time.~~

5.3.6.1 PDSCH Spreading

The PDSCH uses either spreading factor SF = 16 of SF = 1 as described in subclause 5.2.1.1.

5.3.6.2 PDSCH Burst Types

Burst types 1 or 2 as described in subclause 5.2.2 can be used for PDSCH. TFCI can be transmitted on the PDSCH.

5.3.6.3 PDSCH Training Sequences

The training sequences as described in subclause 5.2.3 are used for the PDSCH.

5.3.6.4 UE Selection

To indicate to the UE that there is data to decode on the DSCH, three signalling methods are available:

1) using the TFCI field of the associated channel or PDSCH;

2) using on the DSCH user specific midamble derived from the set of midambles used for that cell;

3) using higher layer signalling.

When the midamble based method is used, the UE shall decode the PDSCH if the PDSCH was transmitted with the midamble assigned to the UE by UTRAN, see 5.5.1.1.2. For this method no other physical channels may use the same time slot as the PDSCH and only one UE may share the PDSCH time slot at the same time.

5.3.7 The Paging Indicator Channel (PICH)

The Paging Indicator Channel (PICH) is a physical channel used to carry the paging indicators.

Figure 15 depicts the structure of a PICH burst and the numbering of the bits within the burst. The same burst type is used for the PICH in every cell. N_{PIB} bits in a normal burst of type 1 or 2 are used to carry the paging indicators, where N_{PIB} depends on the burst type: N_{PIB}=240 for burst type 1 and N_{PIB}=272 for burst type 2. The bits b_{NPIB},..., b_{NPIB+3} adjacent to the midamble are reserved for possible future use. They shall be set to 0 and transmitted with the same power as the paging indicator carrying bits.

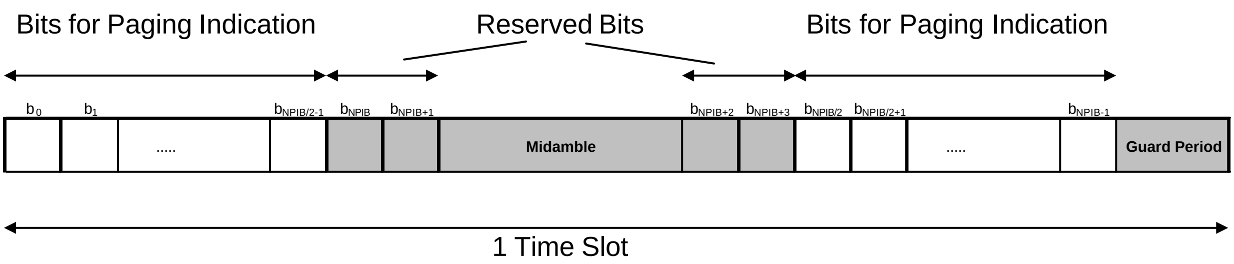

Figure 15: Transmission and numbering of paging indicator carrying bits in a PICH burst

In each time slot, N_{PI} paging indicators are transmitted, using L_{PI}=2, L_{PI}=4 or L_{PI}=8 symbols. L_{PI} is called the paging indicator length. The number of paging indicators N_{PI} per time slot is given by the paging indicator length and the burst type, which are both known by higher layer signalling. In table 8 this number is shown for the different possibilities of burst types and paging indicator lengths.

Table 8: Number N_{PI} of paging indicators per time slot for the different burst types and paging indicator lengths L_{PI}

	L_{PI}=2	L_{PI}=4	L_{PI}=8
Burst Type 1	N_{PI}=60	N_{PI}=30	N_{PI}=15
Burst Type 2	N_{PI}=68	N_{PI}=34	N_{PI}=17

As shown in figure 16, the paging indicators of N_{PICH} consecutive frames form a PICH block, N_{PICH} is configured by higher layers. Thus, N_P=N_{PICH}*N_{PI} paging indicators are transmitted in each PICH block.

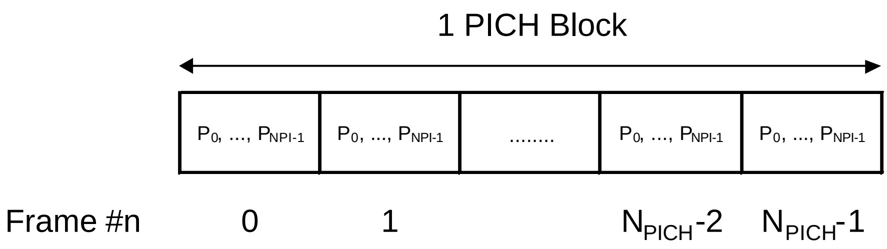

Figure 16: Structure of a PICH block

The value PI (PI = 0, ..., N_P-1) calculated by higher layers for use for a certain UE, see [15], is associated to the paging indicator P_q in the nth frame of one PICH block, where q is given by

$$q = PI \bmod N_{PI}$$

and n is given by

$$n = PI \text{ div } N_{PI}.$$

The PI bitmap in the PCH data frames over Iub contains indication values for all possible higher layer PI values, see [16]. Each bit in the bitmap indicates if the paging indicator P_q associated with that particular PI shall be set to 0 or 1. Hence, the calculation in the formulas above is to be performed in Node B to make the association between PI and P_q.

The paging indicator P_q in one time slot is mapped to the bits $\{b_{Lpi*q},...,b_{Lpi*q+Lpi-1},b_{NPIB/2+Lpi*q},...,b_{NPIB/2+Lpi*q+Lpi-1}\}$ within this time slot, as exemplary shown in figure 17. Thus, half of the L_{PI} symbols used for each paging indicator are transmitted in the first data part, and the other half of the L_{PI} symbols are transmitted in the second data part.

The coding of the paging indicator P_q is given in [7].

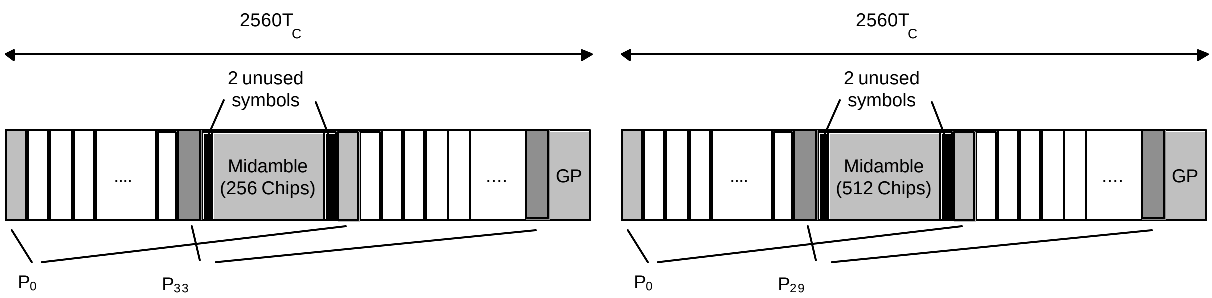

Figure 17: Example of mapping of paging indicators on PICH bits for L_{PI}=4

5.4 Transmit Diversity for DL Physical Channels

Table 9 summarizes the different transmit diversity schemes for different downlink physical channel types that are described in [9].

Table 9: Application of Tx diversity schemes on downlink physical channel types "X" – can be applied, "–" – must not be applied

Physical channel type	Open loop TxDiversity		Closed loop TxDiversity
	TSTD	Block STTD	
P-CCPCH	–	X	–
SCH	X	–	–
DPCH	–	–	X
PDSCH	–	–	X

3GPP TSG-RAN WG1 Meeting #18
Boston, USA, 15th – 18th January 20001 ***R1-01-0016***

CR-Form-v3

CHANGE REQUEST

✍	**25.224 CR 045**	✍	rev	**-**	✍ Current version:	**3.5.0** ✍

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ✍ symbols.*

Proposed change affects: ✍ (U)SIM [ ] ME/UE [ ] Radio Access Network [X] Core Network [ ]

Title: ✍	Introduction of closed-loop Tx diversity for the PDSCH and DTX for the PUSCH/PDSCH		
Source: ✍	Siemens		
Work item code: ✍		***Date:*** ✍	14 Dec. 2000
Category: ✍	**F**	***Release:*** ✍	R99
	Use one of the following categories: ***F*** *(essential correction)* ***A*** *(corresponds to a correction in an earlier release)* ***B*** *(Addition of feature),* ***C*** *(Functional modification of feature)* ***D*** *(Editorial modification)* Detailed explanations of the above categories can be found in 3GPP TR 21.900.		*Use one of the following releases:* *2 (GSM Phase 2)* *R96 (Release 1996)* *R97 (Release 1997)* *R98 (Release 1998)* *R99 (Release 1999)* *REL-4 (Release 4)* *REL-5 (Release 5)*

Reason for change: ✍	The actual specification is incomplete with respect to the description of the PUSCH and PDSCH in TDD
Summary of change: ✍	The CR proposes closed-loop Tx diversity for the PDSCH and DTX for the PUSCH and PDSCH.
Consequences if not approved: ✍	The performance of the PDSCH can be enhanced easily by closed-loop Tx diversity without major modifications. Also, PUSCH and PDSCH should benefit from DTX.

Clauses affected: ✍	4.5, 4.6, 4.6.1	
Other specs affected: ✍	[ ] Other core specifications [ ] Test specifications [ ] O&M Specifications	✍
Other comments: ✍		

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ✍ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://www.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.5 Discontinuous transmission (DTX) of Radio Frames

~~Discontinuous transmission (DTX) is applied in up- and downlink individually for each CCTrCH in case the total bit rate after transport channel multiplexing differs from the total channel bit rate of the dedicated physical channels allocated to a CCTrCH.~~DTX is applied to CCTrCHs mapped to dedicated and shared physical channels (PUSCH, PDSCH, UL DPCH and DL DPCH), if the total bit rate of the CCTrCH differs from the total channel bit rate of the physical channels allocated to this CCTrCH.

Rate matching is used in order to fill resource units completely, that are only partially filled with data. In the case that after rate matching and multiplexing no data at all is to be transmitted in a resource unit the complete resource unit is discarded from transmission. This applies also to the case where only one resource unit is allocated and no data has to be transmitted.

4.5.1 Use of Special Bursts fo DTX

In case there are no transport blocks provided for transmission by higher layers for any given CCTrCH after link establishment, then a Special Burst shall be transmitted in the first allocated frame of the transmission pause. If there is a consecutive period of ? N_OUTSYNC_IND/2-1? frames without transport blocks provided by higher layers, then another special burst shall be generated and transmitted at the next possible frame. This pattern shall be continued until transport blocks are provided for the CCTrCH by the higher layers.

This special burst shall have the same slot format as the burst used for data provided by higher layers. The special burst is filled with an arbitrary bit pattern, contains a TFCI and TPC bits if inner loop PC is applied and is transmitted for each CCTrCH individually on the physical channel which is defined to carry the TFCI. The TFCI of the special burst shall indicate that there were no transport blocks provided for transmission by higher layers as defined in [15]. The transmission power of the special burst shall be the same as that of the substituted physical channel of the CCTrCH carrying the TFCI.

4.5.2 Use of Special Bursts for Initial Establishment

Upon initial establishment and either 160 ms following detection of in-sync, or until the first transport block is received from higher layers, both the UE and the Node B shall transmit the special burst for each CCTrCH for each assigned resource which was scheduled to include a TFCI.

4.6 Downlink Transmit Diversity

Downlink transmit diversity for PDSCH, DPCH, P-CCPCH, and SCH is optional in UTRAN. Its support is mandatory at the UE.

4.6.1 Transmit Diversity for PDSCH and DPCH

The transmitter structure to support transmit diversity for PDSCH and DPCH transmission is shown in figure 1. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors w_1 and w_2. The weight factors are complex valued signals (i.e., $w_i = a_i + jb_i$), in general. These weight factors are calculated on a per slot and per user basis.

The weight factors are determined by the UTRAN. Examples of transmit diversity schemes are given in annex B.

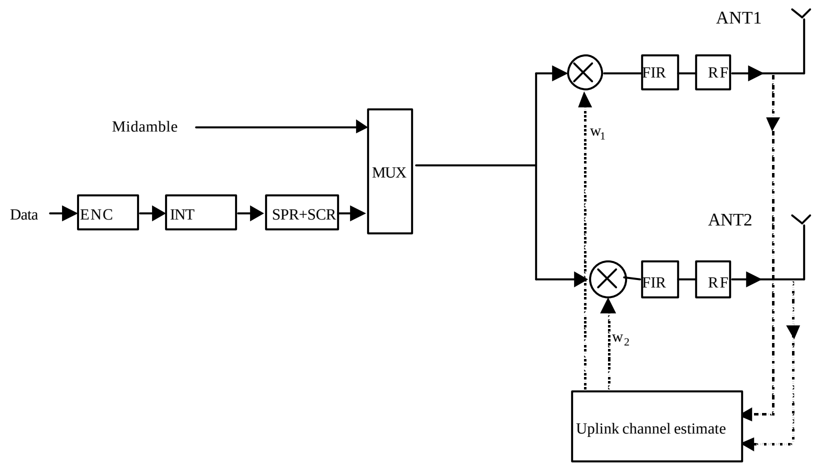

Figure 1: Downlink transmitter structure to support Transmit Diversity for PDSCH and DPCH transmission (UTRAN Access Point)

4.6.2 Transmit Diversity for SCH

Time Switched Transmit Diversity (TSTD) can be employed as transmit diversity scheme for the synchronisation channel.

4.6.2.1 SCH Transmission Scheme

The transmitter structure to support transmit diversity for SCH transmission is shown in figure 2. P-SCH and S-SCH are transmitted from antenna 1 and antenna 2 alternatively. An example for the antenna switching pattern is shown in figure 3.

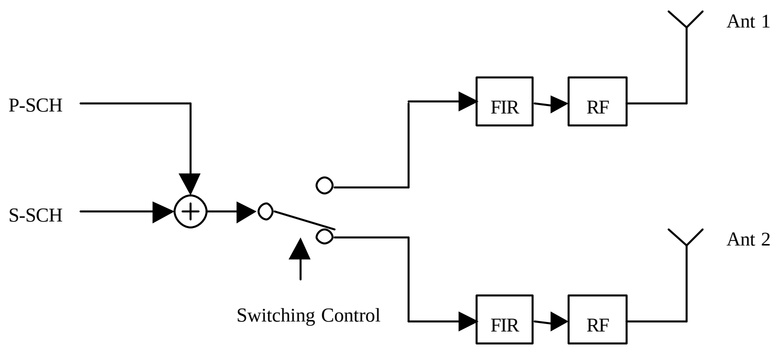

Figure 2: Downlink transmitter structure to support Transmit Diversity for SCH transmission (UTRAN Access Point)

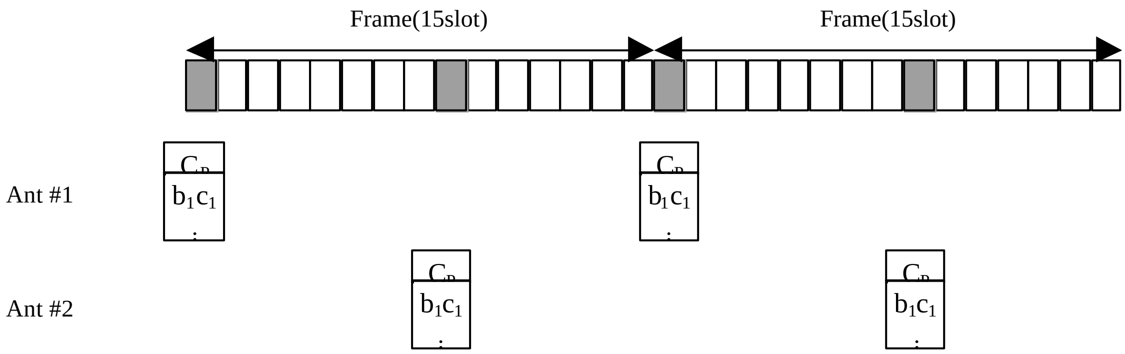

Figure 3: Antenna Switching Pattern (Case 2)

4.6.3 Transmit Diversity for P-CCPCH

Block Space Time Transmit Diversity (Block STTD) may be employed as transmit diversity scheme for the Primary Common Control Physical Channels (P-CCPCH).

4.6.3.1 P-CCPCH Transmission Scheme

The open loop downlink transmit diversity employs a Block Space Time Transmit Diversity scheme (Block STTD).

A block diagram of the Block STTD transmitter is shown in figure 4. Before Block STTD encoding, channel coding, rate matching, interleaving and bit-to-symbol mapping are performed as in the non-diversity mode.

Block STTD encoding is separately performed for each of the two data fields present in a burst (each data field contains N data symbols). For each data field at the encoder input, 2 data fields are generated at its output, corresponding to each of the diversity antennas. The Block STTD encoding operation is illustrated in figure 5, where the superscript * stands for complex conjugate. If N is an odd number, the first symbol of the block shall not be STTD encoded and the same symbol will be transmitted with equal power from both antennas.

After Block STTD encoding both branches are separately spread and scrambled as in the non-diversity mode.

The use of Block STTD encoding will be indicated by higher layers.

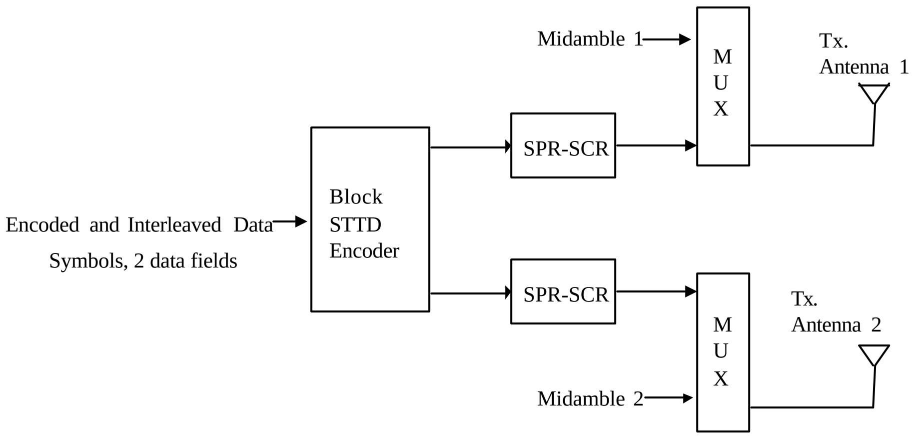

Figure 4: Block Diagram of the transmitter (STTD)

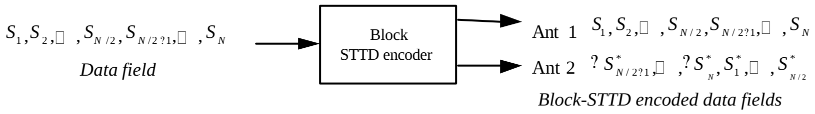

Figure 5: Block Diagram of Block STTD encoder. The symbols S_i are QPSK. N is the length of the block to be encoded