TSG-RAN Working Group 1 meeting #11 Feb. 29th – Mar. 3rd 2000, San Diego, USA

Agenda Item: Ad hoc 14

Source: LGIC, Samsung, GBT, Lucent

Title: CRs to 25.211 and 25.214 for Emergency Stop of CPCH transmission

Document for : Approval

1. Introduction

The attached two CRs for 25.211 and 25.214 include the modifications for proposed CPCH Emergency Stop mechanism. The basic ideas behind these CRs are;

- To introduce explicit Emergency Stop command for quick abnormal situation resolution.
- To support the transmission of other CPCH signalling command for the future improvement.

First CR for 25.111 describes how Emergency Stop command is mapped onto the data field of DPCH DL for CPCH. Second CR for 25.214 describes modified Emergency Stop procedure on the UE side. Meanwhile, the procedure on the UTRAN side is described in higher layer specifications.

As mentioned in a liaison, R2-000289, from RAN2, only emergency stop command is considered in Release 99 and other CPCH control commands will be considered in Release 2000.

Proposed Emergency Stop operation

The proposed Emergency Stop operation is briefly described below:

- In case of abnormal situation (e.g. cell traffic overload etc.), Node B RRC invokes Emergency Stop of a CPCH transmission.
- Node B sends Emergency Stop command to UE using the data field of DPCH DL, which is normally unused.
- On the UE side, upon the detection of Emergency Stop command sent by UTRAN, the UE stops its CPCH transmission.
- Node B continuously sends Emergency Stop command until the Node B detects the CPCH transmission stop.

3. Conclusion

We recommend that these CRs should be applied for the current CPCH scheme. If VCAM (Versatile Channel Assignment Method) is adopted, these CRs should also be applied for VCAM.

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4 Transport channels

Transport channels are the services offered by Layer 1 to the higher layers. General concepts about transport channels are described in [12].

A transport channel is defined by how and with what characteristics data is transferred over the air interface. A general classification of transport channels is into two groups:

- Dedicated Channels
- Common Channels

4.1 Dedicated transport channels

There exists only one type of dedicated transport channel, the Dedicated Channel (DCH).

4.1.1 DCH – Dedicated Channel

The Dedicated Channel (DCH) is a downlink or uplink transport channel. The DCH is transmitted over the entire cell or over only a part of the cell using beam-forming antennas. The Dedicated Channel (DCH) is characterized by the possibility of fast rate change (every 10ms), fast power control and inherent addressing of UEs.

4.2 Common transport channels

There are six types of common transport channels: BCH, FACH, PCH, RACH, CPCH and DSCH.

4.2.1 BCH – Broadcast Channel

The Broadcast Channel (BCH) is a downlink transport channel that is used to broadcast system- and cell-specific information. The BCH is always transmitted over the entire cell with a low fixed bit rate.

4.2.2 FACH – Forward Access Channel

The Forward Access Channel (FACH) is a downlink transport channel. The FACH is transmitted over the entire cell or over only a part of the cell using beam-forming antennas. The FACH uses slow power control.

4.2.3 PCH – Paging Channel

The Paging Channel (PCH) is a downlink transport channel. The PCH is always transmitted over the entire cell. The transmission of the PCH is associated with the transmission of a physical layer signal, the Paging Indicator, to support efficient sleep-mode procedures.

4.2.4 RACH – Random Access Channel

The Random Access Channel (RACH) is an uplink transport channel. The RACH is always received from the entire cell. The RACH is characterized by a limited size data field, a collision risk and by the use of open loop power control.

4.2.5 CPCH – Common Packet Channel

The Common Packet Channel (CPCH) is an uplink transport channel. The CPCH is a contention based random access channel used for transmission of bursty data traffic. CPCH is associated with a dedicated channel on the downlink which provides power control and CPCH control commands (e.g. Emergency Stop) for the uplink CPCH.

4.2.6 DSCH – Downlink Shared Channel

The downlink shared channel (DSCH) is a downlink transport channel shared by several UEs The DSCH is associated with a DCH.

5.3.2.3 DL-DPCCH for CPCH

The spreading factor for the UL-DPCCH (message control part) is 256. The spreading factor for the DL-DPCCH (message control part) is 512. The following table 15 shows the DL-DPCCH fields (message control part) and DL-DPCCH fields, which are identical to the first row of table 11 in section 5.3.2.

Table 15: DPDCH and DPCCH fields for CPCH message transmission

	Slot Format	Channel Bit	Channel Symbol	SF	Bits/Frame			Bits/ Slot		DCH /Slot	DPCCH Bits/Slot		
	#I	Rate (kbps)	Rate (ksps)		DPDCH	DPCCH	тот		NData1	NData2	NTFCI	NTPC	NPilot
П	0	15	7.5	512	60	90	150	10	2 0	2 4	0	2	4

 N_{Data2} field in table 15 is used for the transmission of CPCH control command such as Emergency Stop command. On CPCH control command transmission request from higher layer, a certain pattern is mapped onto N_{Data2} field, otherwise nothing is transmitted in N_{Data2} field. There is one to one mapping between the CPCH control commands and the patterns. In case of Emergency Stop of CPCH transmission, all 1 bits pattern is used.

7.4 PCPCH/AICH timing relation

Transmission of random access bursts on the PCPCH is aligned with access slot times. The timing of the access slots is derived from the received Primary CCPCH timing The transmit timing of access slot n starts $n\times20/15$ ms after the frame boundary of the received Primary CCPCH, where n=0,1,...,14. In addition, transmission of access preambles in PCPCH is limited to the allocated access slot subchannel group which is assigned by higher layer signalling to each CPCH set. Twelve access slot subchannels are defined and PCPCH may be allocated all subchannel slots or any subset of the twelve subchannel slots. The access slot subchannel identification is identical to that for the RACH and is described in table 6 of section 6.1 of [5].

Everything in the previous section [PRACH/AICH] applies to this section as well. The timing relationship between preambles, AICH, and the message is the same as PRACH/AICH. Note that the collision resolution preambles follow the access preambles in PCPCH/AICH. However, the timing relationships between CD-Preamble and CD-AICH is identical to RACH Preamble and AICH. The timing relationship between CD-AICH and the Power Control Preamble in CPCH is identical to AICH to message in RACH. The T_{cpch} timing parameter is identical to the PRACH/AICH transmission timing parameter. When T_{cpch} is set to zero or one, the following PCPCH/AICH timing values apply:

Note that a1 corresponds to AP-AICH and a2 corresponds to CD-AICH.

 τ_{p-p} = Time to next available access slot, between Access Preambles.

Minimum time = 15360 chips + 5120 chips X Tcpch

Maximum time = 5120 chips X 12 = 61440 chips

Actual time is time to next slot (which meets minimum time criterion) in allocated access slot subchannel group.

 τ_{p-al} = Time between Access Preamble and AP-AICH has two alternative values: 7680 chips or 12800 chips, depending on T_{cpch}

 $\tau_{a1\text{-cdp}}$ = Time between receipt of AP-AICH and transmission of the CD Preamble has one value: 7680 chips.

 τ_{p-cdp} = Time between the last AP and CD Preamble. is either 3 or 4 access slots, depending on T_{cpch}

- $\tau_{cdp\text{-}a2}$ = Time between the CD Preamble and the CD-AICH has two alternative values: 7680 chips or 12800 chips, depending on T_{cpch}
- $\tau_{\text{cdp-pcp}}$ = Time between CD Preamble and the start of the Power Control Preamble is either 3 or 4 access slots, depending on $T_{\text{cpch.}}$

Figure 25 illustrates the PCPCH/AICH timing relationship when T_{cpch} is set to 0 and all access slot subchannels are available for PCPCH.

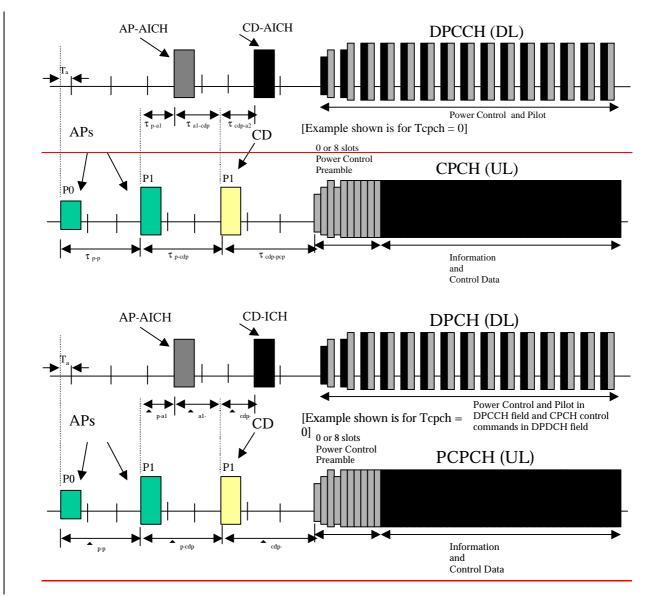


Figure 25: Timing of PCPCH and AICH transmission as seen by the UE, with T_{cpch}= 0

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6.2 CPCH Access Procedures

For each CPCH physical channel in a CPCH set allocated to a cell the following physical layer parameters are included in the System Information message:

- UL Access Preamble (AP) scrambling code.
- UL Access Preamble signature set
- The Access preamble slot sub-channels group
- AP- AICH preamble channelization code.
- UL Collision Detection(CD) preamble scrambling code.
- CD Preamble signature set
- CD preamble slot sub-channels group
- CD-AICH preamble channelization code.
- CPCH UL scrambling code.
- CPCH UL channelization code. (variable, data rate dependant)
- DPECH DL channelization code.([512] chip)

NOTE: There may be some overlap between the AP signature set and CD signature set if they correspond to the same scrambling code.

The following are access, collision detection/resolution and CPCH data transmission parameters:

Power ramp-up, Access and Timing parameters (Physical layer parameters)

- 1) N_AP_retrans_max = Maximum Number of allowed consecutive access attempts (retransmitted preambles) if there is no AICH response. This is a CPCH parameter and is equivalent to Preamble_Retrans_Max in RACH.
- 2) P_{RACH} = P_{CPCH} = Initial open loop power level for the first CPCH access preamble sent by the UE.

[RACH/CPCH parameter]

3) ΔP_0 = Power step size for each successive CPCH access preamble.

[RACH/CPCH parameter]

4) ΔP_1 = Power step size for each successive RACH/CPCH access preamble in case of negative AICH. A timer is set upon receipt of a negative AICH. This timer is used to determine the period after receipt of a negative AICH when ΔP_1 is used in place of ΔP_0 .

[RACH/CPCH parameter]

5) T_{cpch} = CPCH transmission timing parameter: This parameter is identical to PRACH/AICH transmission timing parameter.

[RACH/CPCH parameter]

6) $L_{pc-preamble} = Length of power control preamble (0 or 8 slots)$

[CPCH parameter]

NOTE: It is FFS if ΔP_0 for the CPCH access may be different from ΔP_0 for the RACH access as defined in section 6.1.

The CPCH -access procedure in the physical layer is:

- The UE MAC function selects a CPCH transport channel from the channels available in the assigned CPCH set
 The CPCH channel selection includes a dynamic persistence algorithm (similar to RACH) for the selected CPCH
 channel.
- 2) The UE MAC function builds a transport block set for the next TTI using transport formats which are assigned to the logical channel with data to transmit. The UE MAC funtion sends this transport block set to the UE PHY function for CPCH access and uplink transmission on the selected CPCH transport channel.
- 3) The UE sets the preamble transmit power to the value $P_{CPCH_{-}}$ which is supplied by the MAC layer for initial power level for this CPCH access attempt.
- 4) The UE sets the AP Retransmission Counter to N_AP_Retrans_Max (value TBD).
- 5) The UE randomly selects a CPCH-AP signature from the signature set for this selected CPCH channel. The random function is TBD.
- 6) The UE Derives the available CPCH-AP access slots in the next two frames, defined by SFN and SFN+1 in the AP access slot sub-channel group with the help of SFN and table 7 in section 6.1. The UE randomly selects one access slot from the available access slots in the next frame, defined by SFN, if there is one available. If there is no access slot available in the next frame, defined by SFN then, randomly selects one access slot from the available access slots in the following frame, defined by SFN+1. Random function is TBD
- 7) The UE transmits the AP using the MAC supplied uplink access slot, signature, and initial preamble transmission power.
- 8) If the UE does not detect the positive or negative acquisition indicator corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE:
 - a) Selects the next uplink access slot from among the access slots in the CPCH-AP sub-channel group, as selected in 4.1. There must be a minimum distance of three or four access slots from the uplink access slot in which the last preamble was transmitted depending on the CPCH/AICH transmission timing parameter. [NOTE: Use of random function here to select access slot is FFS for RACH and CPCH.].
 - b) Increases the preamble transmission power with the specified offset ΔP . Power offset ΔP_0 s is used unless the negative AICH timer is running, in which case ΔP_1 is used instead..
 - c) Decrease the Preamble Retransmission Counter by one.
 - d) If the Preamble Retransmission Counter < 0, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 9) If the UE detects the AP-AICH_nak (negative acquisition indicator) corresponding to the selected signature in the downlink access slot corresponding to the selected uplink access slot, the UE aborts the access attempt and sends a failure message to the MAC layer. The UE sets the negative AICH timer to indicate use of ΔP_1 use as the preamble power offset until timer expiry
- 10) Upon reception of AP-AICH, the access segment ends and the contention resolution segment begins. In this segment, the UE randomly selects a CD signature from the signature set and also select one-CD access slot subchannel from the CD sub-channel group supported in the cell.and transmits a CD Preamble, then waits for a CD-AICH from the Node B.
- 11) If the UE does not receive a CD-AICH in the designated slot, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 12) If the UE receives a CD-AICH in the designated slot with a signature that does not match the signature used in the CD Preamble, the UE aborts the access attempt and sends a failure message to the MAC layer.
- 13)If the UE receives a CD-AICH with a matching signature, the UE transmits the power control preamble $\tau_{\text{cd-p-pc-p}}$ ms later as measured from initiation of the CD Preamble. The transmission of the message portion of the burst starts immediately after the power control preamble.
- 14) During CPCH Packet Data transmission, the UE and UTRAN perform inner-loop power control on both the CPCH UL and the DPCCH DL.

15) <u>Upon the detection of Emergency Stop command sent by UTRAN, If the UE detects loss of DPCCH DL during transmission of the power control preamble or the packet data,</u> the UE halts CPCH UL transmission, aborts the access attempt and sends a failure message to the MAC layer.

16) If the UE completes the transmission of the packet data, the UE sends a success message to the MAC layer.