**3GPP TSG RAN WG1 e-Meeting #104 R1-2101948**

**e-Meeting, January 25th – February 5th, 2021**

Agenda Item: 8.7.1.1

Source: Moderator (MediaTek)

Title: Summary for Paging Enhancements

Document for: Discussion and Decision

# Introduction

In RAN1 #103-e [1][2], RAN1 agrees to support paging early indication (PEI), and it remains to specify the physical design based on DCI, SSS or TRS/CSI-RS:

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| Agreements**:** For NR idle/inactive-mode paging enhancement, paging early indication before paging occasion is supported from RAN1 perspective   * FFS: Physical layer design based on DCI, SSS or TRS/CSI-RS * Send LS to inform RAN2 and kindly ask RAN2 to inform RAN1 if there is anything that RAN1 should take into consideration in the physical layer design for this feature, including any other progress RAN2 has made in this WI which may has RAN1 impact |

There is also an LS on paging enhancement from RAN2 [3]:

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| **1. Overall Description:**  In RAN2#112-e, RAN2 discussed UE grouping for paging enhancement in Rel-17 UE power saving WI. RAN2 confirmed that UE grouping is considered as a candidate of paging enhancements for UE power saving. Regarding paging for UE subgroups, RAN2 has discussed and considered the following methods:   * Paging indication for UE subgroups using paging DCI, with either same-slot or cross-slot scheduling; * Paging early indication (PEI) / wake-up signal (WUS) for UE subgroups; * UE subgroup indication by using multiple P-RNTIs; * Paging for UE subgroups using different time/frequency resources.   From RAN2 perspective, the last two methods are de-prioritized. Notice that these methods are not mutually exclusive.  **2. Actions:**  **To RAN1:**  RAN2 respectfully asks RAN1 to take the above information into consideration and provide information on the feasibility and limitations of carrying subgroup information with their recommended solution. |

Based on the agreement, RAN2 LS, and companies’ contributions [4]-[31], this summary is to capture the email discussions relating to how to characterizing and deciding the physical layer design for (PEI) from candidate designs based on PDCCH, TRS/CSI-RS and SSS [32]-[36].

# Initial Characterization for PEI Candidate Designs

In this Section, fundamental assumptions for the characterization will be discussed and decided.

## UE sub-grouping information in PEI

Whether to carry UE sub-grouping information in PEI is fundamental assumption/requirement to PEI physical layer design. From the observation in RAN1 #103-e, combining the two features are beneficial, particularly for the case where the original group paging rate is higher. On the other hand, from companies’ contributions, the power saving gain starts to saturate if there partition more than 8 sub-groups. Consequently, the following proposal and observation are suggested:

Proposal 1: Carrying UE sub-grouping information in paging early indication is supported.

Observation 1: The power saving gain starts to saturate if there partition more than [8] sub-groups for a UE group or a PO.

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| Agreements:  Observation: For NR idle/inactive-mode UEs, UE sub-grouping indication carried in paging early indication can provide the following power saving gains w.r.t Rel-16:   * If the original group paging rate is 10%:   + [10.6%] –[19.1%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [16.0%] –[36.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [14.3%] –[46.0%] where the baseline assumes 3 SS bursts for synchronization before PO reception * Some sources also evaluated performance if the original group paging rate is in the range between 20% and 60% and showed following results:   + [8.0%] –[19.1%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [18.1%] –[34.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [20.6%] –[42.0%] where the baseline assumes 3 SS bursts for synchronization before PO reception   The additional power saving gains w.r.t. paging early indication without UE sub-grouping are given as follows:   * If the original group paging rate is 10%:   + [0.6%] –[2.7%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [0.6%] –[4.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [0.6%] –[4.7%] where the baseline assumes 3 SS bursts for synchronization before PO reception * Some sources also evaluated performance if the original group paging rate is in the range between 20% and 60% and showed following results:   + [1.3%] –[8.0%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [2.1%] –[13.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [3.3%] –[16.1%] where the baseline assumes 3 SS bursts for synchronization before PO reception   The number of UE sub-groups evaluated ranges from 2 to 16.  The power saving gains are dependent on the assumptions about placement of PEI and PO relative to SSB.  Note: It is FFS in RAN1 another group paging rate > 10% for the evaluation of Rel-17 paging enhancement.  Note: Not all sources providing results for paging early indication without UE sub-grouping also provide results for paging early indication with UE sub-grouping. |

Companies please provide comments/suggested revisions to Proposal 1 and Observation 1 in Table 1:

Table 1: Companies’ comments/suggested revisions to Proposal 1 and Observation 1

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| **Company** | **Comment(s)/Suggested Revision(s)** |
| Qualcomm | Agreed with the proposal and observation. Added the FFS to include the subgrouping in paging PDCCH  Proposal 1: Carrying UE sub-grouping information in paging early indication is supported.  **FFS: carrying UE sub-grouping information in paging PDCCH is supported or not** |
| CATT | We can see from the power saving gain is very small (0.6% - 4.6 % for 10% paging rate) for PEI with paging subgrouping comparing to that without paging subgrouping. Since supporting paging subgrouping in the PEI would have additional overhead in PEI, we need to have strong justification before we can support it.  We should define the general procedure of PEI and associated paging procedure before discussing whether paging subgrouping is supported. |
| Intel | While we agree with the intention, we think the amount of information to be conveyed is critical here. And we have not decided on the physical layer signal/channel design. PDCCH signal can carry more information whereas a sequence based transmission may not. Sequence based transmission has other benefits which is perhaps not relevant here. Hence, depending on which signal/channel is chosen, amount of information that can be carried by PEI will be more clear. It is not clear how number of groups 8 is obtained, whether it is based on use case or just from simulation results. To this end, we suggest to go with what can be feasible for both options. Our revisions are as follows:  Proposal 1: Carrying UE sub-grouping information in paging early indication is supported, when number of sub-groups is up to [4].   * **FFS: indication for number of sub-groups > 4**   **Note: The exact number of sub-groups that can be indicated in paging early indication is to be confirmed after agreement on the signal/channel design.** |
| Xiaomi | For Proposal 1, we can support it in principle, but want to add some more clarifications to it. In our view, when DCI-based PEI is adopted, it is possible to carry PEI of UE group A in an earlier paging DCI (for example, using the reserved bits to carry PEI) of UE group B. and since there are multiple reserved bits in paging DCI, it is possible that the sub-grouping information and PEI can be both carried within the paging DCI of UE group B. In this case, we think it is more clear to say that, sub-grouping information can be carried along with PEI.  Based on above, we propose to modify the Proposal 1 a little bit as follows,  **Proposal 1: Carrying UE sub-grouping information in paging early indication is supported.**  **Note: if PEI is carried in a paging DCI, UE sub-grouping information can be also be carried in the paging DCI** |
| Lenovo, Motorola Mobility | For typical group paging rate of 10~20%, additional power saving gains of sub-grouping in PEI w.r.t. PEI without sub-grouping is very limited (the max gain for 3 SS burst based synchronization is < 5%). With TRS provisions, power saving gain is expected to be even smaller (max power saving gain of 2~3%).  We suggest RAN1 first to discuss PEI physical channel/signal design, considering with and without sub-grouping, and subgrouping in PEI and/or sub-grouping in paging DCI. |
| Samsung | We are OK to support UE sub-grouping up to [4] sub-groups based on paging PDCCH. Because the reserved bits in paging DCI can be reused directly. But the L1 signal/channel of PEI has not been discussed yet. The impact on PEI reception is not clear. We suggest to prioritize the L1 signal/channel design of PEI without UE sub-grouping, especially considering the limited gain.  Therefore, we support paging PDCCH based UE subgrouping, but not PEI. We can add FFS for PEI. |
| vivo | In principle, we are fine with proposal 1 and observation 1. However, to avoid ambiguity understanding and further save the cost for cross-layer communication, we also need to reply the LS [6] from RAN2 to declare that from RAN1 perspective the sub-grouping indication by paging PDCCH should not be considered.  In addition, in the RAN1#103-E meeting, sub-grouping on Paging DCI is also studied, it is shown that  Agreements:  Observation: For NR idle/inactive-mode UEs, UE sub-grouping indication within a PO can provide the following power saving gains w.r.t. Rel-16:   * If the original group paging rate is 10%:   + [0.3%] - [1.1%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [0.4%] - [0.8%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [0.3%] - [1.0%] where the baseline assumes 3 SS bursts for synchronization before PO reception * Some sources also evaluated performance if the original group paging rate is in the range between 20% and 80% and showed following results:   + [0.7%] - [7.6%] where the baseline assumes 1 SS burst for synchronization before PO reception   + [0.8%] - [3.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception   + [0.5%] - [4.7%] where the baseline assumes 3 SS bursts for synchronization before PO reception   The number of UE sub-groups evaluated ranges from 2 to 16.  Some companies show concern on assuming group paging rate larger than 60%.  Note: It is FFS in RAN1 another group paging rate > 10% for the evaluation of Rel-17 paging enhancement.  It is clearly subgrouping on Paging DCI can provide marginal power saving gain about 0.3 - 1.1%. It is not necessary to further study the subgrouping on Paging DCI. So, we suggest to supplement proposal 1 as follows with modifying in red:  Proposal 1: Carrying UE sub-grouping information in paging early indication is supported. And reply the LS sending from RAN2 [R2-2010884] as follow:  **From RAN1 perspective, the sub-grouping indication by using paging PDCCH is not supported.** |
| CMCC | We support this proposal, as vivo’s comment, the power saving of UE sub-grouping carried by paging DCI is limited, the UE sub-grouping indication by using paging DCI is not supported. |
| Ericsson | Support the proposal 1. We also support subgrouping indication via Paging DCI.  Regarding vivo’s comment, the observation cited from RAN1#103 applies to the case when the subgrouping is within a PO, and it does not apply when subgrouping is indicated in a paging DCI from another PO. |
| Huawei, HiSilicon | We support the proposal in general, but the proposed compromise by Chairman yesterday is preferred.  Proposal 1: Carrying UE sub-grouping information ~~in paging early indication~~ is supported at least in paging early indication.   * FFS: whether some of the sub-grouping information can be carried also in paging DCI.   The only concern in yesterday discussion is whether the power saving gain is attractive. We would like to remind that it has been already agreed the observations in RAN1#103 regarding the additional power saving gain due to sub-grouping. The original group paging rate in deployment depends on the network configuration and the paging load is dynamically changing. Therefore, using a range of group paging rate from 10% to 60% for paging enhancement evaluation is reasonable.  *The additional power saving gains w.r.t. paging early indication without UE sub-grouping are given as follows:*   * *If the original group paging rate is 10%:*    + *[0.6%] –[2.7%] where the baseline assumes 1 SS burst for synchronization before PO reception*   + *[0.6%] –[4.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception*   + *[0.6%] –[4.7%] where the baseline assumes 3 SS bursts for synchronization before PO reception* * *Some sources also evaluated performance if the original group paging rate is in the range between 20% and 60% and showed following results:*    + *[1.3%] –[8.0%] where the baseline assumes 1 SS burst for synchronization before PO reception*   + *[2.1%] –[13.0%] where the baseline assumes 2 SS bursts for synchronization before PO reception*   *[3.3%] –[16.1%] where the baseline assumes 3 SS bursts for synchronization before PO reception* |
| OPPO | Agreed with the proposal and observation. The physical layer signal/channel carrying PEI has not decided yet. It is observed that power saving gain will converge after the number of sub-grouping is above 8. More information bits can be carried through DCI than sequences. The number of sub-grouping can be related to the decision on physical layer signal/channel carrying PEI. For example, for sequence based PEI, up to 4 sub-grouping indication is supported. For DCI based PEI, up to 8 sub-grouping indication can be supported. |
| ZTE, Sanechips | We support the FL proposal.  We agree that according to the agreements in the last meeting, the additional power saving gain from sub-grouping carried by PEI can be up to 16.1%. Hence, we support to carry sub-grouping by PEI.  Regarding the number of UE sub-groups, I think “8” is based on several companies’ observations. |
| Spreadtrum | In our view, the reliability or robustness of PEI is important to achieve the power saving gain, which actually comes from the reduction of wakeup energy overhead. If the effective code rate of PEI (DCI-based or sequence-based) is too large, there could be no power saving gain. But, leaving the room for gNB configuration may be a reasonable way, i.e. gNB can configure the more subgroups when the effective code rate of PEI is still low. We suggest leaving the number of UE subgroups as FFS:   * FFS: The max number of UE subgroups |
| DOCOMO | We agree with vivo. Sub-grouping indication in PEI can provide sufficient power saving gain at least when group paging rate is higher. On the other hand, sub-grouping indication in paging DCI can provide marginal power saving gain. Also, although it may be necessary to discuss the number of sub-grouping considering PEI details, we are fine to have [8] sub-grouping. |
| LG | We support the moderator’s proposal and also fine with the observation.  According to the observation in the last meeting, PEI with sub-group indication shows best performance among the potential candidates for UE sub-grouping. Also we already observed that PEI with UE sub-grouping can provide a stable power saving gain regardless of the UE paging rate, while power saving gain of PEI w/o UE sub-grouping degrades significantly as the paging rate increases.  Thus at least UE sub-grouping using a PEI should be supported. Although we prefer to support UE sub-group indication using PEI only, but we can discuss further whether additional method can be considered (e.g. at PO) |
| Panasonic | In general, Proposal 1 is okay with us. As the PEI physical layer design has not be agreed, we also think the details and the potential support of paging DCI to indicate subgrouping should also be studied. The tentative text proposal from Chairman in the online meeting is good with us. |
| InterDigital | We agree in principle with the proposal. Given the limited gain, we do not support using the paging DCI for subgroup indication. Subgroup indication can be carried in the paging indication channel/signal.  Regarding the number of subgroups, we agree with Intel that the exact number can be decided after the paging indication structure (channel or signal) is decided. So, we think [4] may be a good start. |
| Nokia | We support the FL proposal.  As discussed in our paper we saw that DCI based PEI provides largest power saving gains, and on top of it sub-grouping can provide further benefit at least in low SINR scenario. The benefit saturates at 8 sub-groups at paging rates 10% to 40%  In addition, we do not see benefit of supporting sub-grouping indication in paging DCI within a PO. |
| Sony | The design of the PEI needs to be finalized before any decision on UE sub-grouping. |
| Apple | We feel the same way as Sony that we need to have a better idea on the PEI design before making decision on UE sub-grouping. It seems clear from the evaluations that sub-grouping only shows noticeable gain when the group paging rate is high, and there were comments from the network vendors that 10% group paging rate is likely more typical.  We are not suggesting that sub-grouping should not be considered, but we feel the design of PEI should not be dictated by whether we support sub-grouping or not. This should be a secondary consideration. However, once we agree to the proposal/observation, it will impact the design choice. |
| Nordic | We support FL proposal. If Sub-grouping is supported than it shall be in PEI. Other option is not to support sub-grouping at all. |
| MediaTek | We support carrying UE sub-grouping information via paging early indication. As stated by Huawei and Nokia, group paging rate is network dependent, and combining UE sub-grouping and paging early indication shows power saving gain up to 8.0% to 16.1%, based on equal paging probability for each UE subgroup. For the case UE sub-grouping is utilized to separate UEs of different paging probabilities, there can achieve 14% - 19% UE power saving gain, as shown in our RAN2 contribution R2-2101539.  For maximum number of subgroups to be carried in PEI, we also agree it highly depends on the specific PEI design and should be FFS at this stage. On the other hand, Observation 1 is useful to show partitioning too many subgroups for a UE group or a PO cannot achieve proportionally increased power saving gain. |

From companies’ feedbacks and further email discussions in [32][33][36], companies agreed to further study physical layer design for carrying UE subgroups information:

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| Agreements:   * Carrying UE subgroups information is considered in physical layer design for paging enhancement |
| Agreements:  Further study the design on how to provide the indications for UE subgroups over PEI and/or paging PDCCH, subject to the metrics agreed in RAN1 102e. |

For ease of the reference, the agreed metrics in RAN1 #102-e is quoted below:

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| Agreements:  For the study on paging enhancements to reduce unnecessary paging reception, the following metrics are considered:   * UE power saving gain (relative to a given feature or overall) * Impact to UE paging detection probability   + FFS: Link level simulation assumptions * System impact, including   + Additional resource overhead and its implications   + Impact to Rel-15/Rel-16 idle/inactive-mode UEs and connected-mode UEs   + Impact to other legacy functionalities, including SI change and ETWS indication   [Note: NW energy consumption evaluation is not precluded] |

It is noticed that the first agreement is also included in the Reply LS to RAN1, as discussed in the email thread “[104-e-NR-R17-PowSav-LS-01] Email discussion on rely to LS R1-2100020” [37].

## UE Behavior if UE Misses PEI

For characterizing PEI candidate designs, the required UE behavior when UE misses PEI will cause fundamental difference to the performance metrics. From companies’ contributions, there are two behaviors:

* Behv-A: UE is not required to monitor PO if UE misses PEI for the targeted PO
* Behv-B: UE is required to monitor PO if UE misses PEI for the targeted PO

The proposal is therefore suggested for characterizing PEI candidate designs, and companies please input your comments/suggested revisions in Table 2.

Proposal 2: The following UE behaviors are considered in charactering PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS:

* **Behv-A: UE is not required to monitor PO if UE misses PEI for the targeted PO**
* **Behv-B: UE is required to monitor PO if UE misses PEI for the targeted PO**
* **FFS: Whether selection of the required UE behavior is based on network configuration**

Table 2: Companies’ comments/suggested revisions to Proposal 2

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| **Company** | **Comment(s)/Suggested Revision(s)** |
| Qualcomm | Behv-A is assumed by the UE. This has more power saving gain than Behv-B. |
| CATT | First, we would like to clarify whether PEI would have one or multiple monitoring occasions to associate with paging monitoring occasions. Since UE might not be in a cell, the paging strategy is to page UE in the last camped cell and neighboring cells. If UE does respond to the paging, the network would extend the paging area. Thus, there could be more than one paging occasion for UE in a DRX cycle. If we want to define either Behv-A or Behv-B, we need to specify the configuration of PEI first. |
| Intel | Behv- A.  Also, “misses PEI” does not seem to be correct terminology. We suggest to revise as follows:  **Behv-A: UE is not required to monitor PO if UE does not detect PEI for the targeted PO**  On the other hand, we think the intention of the proposal is not quite clear. Purpose is to study or to agree to something? In particular, what does it mean by “considered in character(iz)ing PEI candidate designs”? Depending on choice of PEI, different behaviors may apply/make sense to different choices, and there is no reason to agree to such options here if we are just saying that we will study the available candidate PEI designs considering these possible behaviors. In such a case, there is also no need for the FFS bullet if the intention is to study. |
| Xiaomi | Our preference is Behv-B.  As to power consumption, Behv-A/B has almost the same power consumption from the UE side, since no matter how, UE has to check PEI every time. And only false detection, which is with little probability, would cause the UE to receiving PO unnecessarily by Behv-B.  But for Behv-A, when miss detection happens, UE would miss the paging DCI in PO, and gNB has to do re-paging in the next DRX cycle, thus cause extra delay and also extra power consumption from gNB side.  But for now, we can agree with this proposal and do some further study. |
| Lenovo, Motorola Mobility | We think that the network should be able to configure, per UE group, a desired UE behavior when the UE does not detect PEI. Behv-A allows for the network to skip transmission of PEI, if there is no paging in a corresponding PO. Behv-B is beneficial to avoid missed paging reception due to missed PEI detection. |
| Samsung | Behv-A is necessary. And NW can transmit PEI on-demand. |
| vivo | We agree with Samsung Behv-A is essential.  As discussed in our TDoc [R1-2100452], with considering resource overhead and the flexibility of network, it will be reasonable to allow PEI discontinuous transmission (DTX) for the case when there is no UE will be paged in a PO. So, we suggest to revise proposal 2 as below with modifying in red:  Proposal 2: The following alternatives for UE behavior are considered in charactering PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS:   * **Behv-A: UE is not required to monitor PO if UE misses PEI for the targeted PO** * **Behv-B: UE is required to monitor PO if UE misses PEI for the targeted PO for invalid PEI MO(s), FFS invalid PEI MO(s)** |
| CMCC | The UE behaviour in Proposal 2 is also related to whether PEI is assumed to be always transmitted or can use DTX transmission, e.g., if PEI can be transmitted as DTX, Behv-A is more suitable.  Considering to reduce NW’s resource overhead, we prefer DXT for PEI and Behv-A. |
| Ericsson | In our understanding this proposal is to compare the performance of the different PEI candidate designs. The actual UE behavior wrt monitoring based on the indication in the PEI should be discussed separately when more progress is made on the contents of PEI. For example, if PEI does not carry short message, UE in either Behv-A or Behv-B may still have to monitor the PO.  From the perspective of evaluating the candidate PEI designs, both Behv-A and Behv-B should be considered, and perhaps this aspect can be made clearer in the proposal.  As other companies have also commented, “*if UE misses PEI for the targeted PO*” should be replaced with “*if does not detect a PEI for the targeted PO*”. |
| Huawei, HiSilicon | We prefer Behavior B, which can guarantees the paging DCI reception performance. The power saving gain may be slightly impacted when the MDR of PEI DCI increases to 10% e.g. in the cell edge.  However, according to the discussion in Monday GTW session, people may be understood that in Behavior B the gNB may not always transmit the PEI. To avoid the confusion, we suggest to put the proposal 2-Behv-B and proposal 4 together for the discussion. From proposal 4, the gNB shall guarantee the power saving gain to make the MDR fulfill 1% or 10% target. Similarly, the proposal 2-Behv-A and proposal 3 should be put together for the discussion.  Proposal 2: The following UE behaviors are considered in charactering PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS:   * **Behv-A:**    + **UE is not required to monitor PO if UE misses PEI for the targeted PO**   + **The joint miss-detection rate (MDR) of PEI and paging PDCCH should be no worse than paging PDSCH performance for minimum impact to paging detection performance**   + **The false-alarm rate (FAR) of PEI should be no larger than [1%] for minimum impact to power saving gain with PEI** * **Behv-B:**    + **UE is required to monitor PO if UE misses PEI for the targeted PO**   + **The miss-detection rate (MDR) and the false-alarm rate (FAR) of PEI should both be no larger than [1%] for minimum impact to power saving gain with PEI**   + **Note: Conditioned on this UE behavior, there is no impact to paging detection performance**   **FFS: Whether selection of the required UE behavior is based on network configuration** |
| OPPO | We prefer Behv-B. For NR idle/inactive-mode UE, if PEI is configured, UE should always monitor PEI before target PO with both Behv-A and Behv-B. The power consuming of monitoring PEI is the same for Behv-A and Behv-B.  If UE is paged in target PO,   * For Behv-A, if UE misses PEI, UE will also miss paging. * For Behv-B, if UE misses PEI, UE will not miss PO.   If UE is not paged in target PO,   * For Behv-A, if UE misses PEI, UE will not monitor PO, which brings power saving for PO monitoring. * For Behv-B, if UE misses PEI, UE will monitor PO with power consumption.   In our view, the paging reliability should not be degraded with the introduction of PEI in R17. Behv-B can avoid missing paging by UE in target PO, if it is paged. It is preferred. |
| ZTE, Sanechips | Our preference is behavior B. The transmission of PEI is mainly for UE power saving purpose, which is at the cost of network resource overhead and energy efficiency. We don’t think the miss detection of PEI should further impact the paging performance. We agree with Xiaomi that as UE has already waked up to detect PEI, the additional energy caused by the detection of the PO is small.  Besides, the behavior A is unfavourable for both UE and network. For example, if UE misses the PEI with wake-up indication, this UE will skip the detection of PO, network will have to re-transmit the PEI, paging DCI, and paging PDSCH in the next cycle. **For other UEs who detect the same PEI or in the same UE group will be waked up again, which consumes more energy of these UEs associated with the same PEI or UE group. For network, the re-transmission of PEI, paging DCI, and paging PDSCH also costs more resource and energy.** |
| Spreadtrum | For the case that UE misses PEI, there could be some reasons, e.g. gNB DTX, UE miss detection. For gNB DTX, we think it is not friendly for UE power saving, since UE cannot early terminate PEI detection (DCI based). For UE miss detection, both Behv-A and Behv-B are reasonable. Like that discussed in DCI format 2-6, the miss detection impact should be discussed after WUS DCI is decided to introduce. Therefore, we share the similar view as CATT that this issue can be postponed. Moreover, a higher layer parameter can be introduced like for DCI format 2-6, regarding miss detection of DCI format 2-6. |
| DOCOMO | In order to keep paging performance compared with legacy, at least Behv-B should be considered. If UE does not monitor PO when UE does not detect PEI, it causes delay to receive paging message. Also, similarly as some companies, appropriate behavior seems different depending on design of PEI. |
| LG | At least Behv-A should be supported in terms of UE power saving.  Also, as pointed out some companies, it would be better to replace “misses” with “does not detect”  Regarding Behv-B, we need to clarify the exact UE behavior. In case of sequence based PEI, should the UE try to perform blind detection on all candidate sequences, including sequences for other UE sub-groups? If so, larger UE power consumption and higher complexity are expected as higher number of sequences are used. If not, (i.e. when the UE detects only sequences corresponding to its UE sub-group index) power saving gain from PEI would be marginal. |
| Panasonic | We support to discuss the UE behavior if UE misses PEI in different situations regarding network configuration, e.g. SI modification. As PEI is configured via SIB, the channel of the SI may impact the UE assumption regarding the PEI. |
| InterDigital | We think the behavior depends on whether the network is expected to always transmit the paging indication. Therefore, we think option C can provide the network full flexibility. |
| Nokia | Firstly, we think that one option supported should be that network (if PEI is configured) can transmit the PEI only when needed. In this context, Behv-A, so that when UE does not detect PEI, UE is not required to monitor PO would be beneficial from power saving perspective (to avoid unnecessary PO monitoring).  If there is an option to configure PEI is ‘always-on’ and the need to monitor PO is indicated via sub-grouping indication, Behv-B where UE is required to monitor PO if PEI is not detected, could be considered.  Note that in our understanding PEI can be used to trigger need to monitor paging PDCCH/PO, whether that is due to paging (for a given group) or due to need to receive shortMessage. In addition, at least based on current agreements, UE could always monitor PO, and doing so does not mandate monitoring/detection of PEI. |
| Sony | The two behaviours come down to the fundamental functionality of PEI. The behaviours seem to be associated to these scenarios:  Beh-A: PEI is WUS and is on/DTX. In this case, DTX would mean UE can go to sleep since it is not possible for the UE to know whether it has missed a PEI or no PEI exist in that occasion.  Beh-B: (1) PEI is WUS or GTS and is on/off. In this case, missing PEI would be an error case and the UE should assume the worst case (PEI on)  Beh-B: (2) PEI is GTS and is on/DTX. In this case, DTX would mean UE has to wake up  We propose to clarify the functionality of PEI and its connection to the two behaviours early on. This association of the two behaviours to PEI functionality has been described rather late in proposal 5-7. |
| Apple | It is not clear to use whether the proposal means that we support both behaviors, or we will study the two behaviors and make decision later. This needs to be clarified.  We agree this issue should be addressed, but we think it is better to discuss this later. This issue directly depends on whether the PEI transmission is expected to be always on, or it is transmitted only when the UE is paged. Therefore, we suggest discussing the more fundamental design principle first, and this issue can be decided much easier later on.  Our preference is that PEI is transmitted only when the UE is paged, and UE follows Behv-A. Of course the performance aspect needs to be addressed to ensure satisfactory paging performance. |
| Nokia | We think that Beh-A is essential for UE to save power. However, not OK with wording “misses”, it should be “if UE does not receive PEI” which would include also the case that UE detects PDCCH but PEI bit is set to 0. |
| MediaTek | We share the same understanding as Ericsson. The proposal is for characterization and evaluation of PEI candidate designs, instead of deciding either UE behavior. Also Sony’s suggestion is helpful to jointly describe network behaviors.  We also supportive to replace “misses” to “does not detect”. Regarding “valid” or “invalid” in vivo suggested proposal, we think this can be discussed after down-selection of PEI candidate designs. |

With companies’ feedback and further email discussions [32][33], the following agreement is achieved:

|  |
| --- |
| Agreements:  For the evaluation and comparison of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS, the following are assumed:   * Behv-A:   + PEI indicates UE should monitor a PO if UE’s group/subgroup is paged   + UE is not required to monitor a PO if UE does not detect PEI at all PEI occasion(s) for the PO * Behv-B:   + PEI indicates whether or not UE should monitor a PO   + UE is required to monitor a PO if UE does not detect PEI at all PEI occasion(s) for the PO |

Based on the definition of Behv-A and Behv-B, the following properties can be characterized, respectively:

Proposal 3: When Behv-A is assumed for UE,

* **The joint miss-detection rate (MDR) of PEI and paging PDCCH should be no worse than paging PDSCH performance for minimum impact to paging detection performance**
* **The false-alarm rate (FAR) of PEI should be no larger than [1%] for minimum impact to power saving gain with PEI**

Proposal 4: When Behv-B is assumed for UE,

* **The miss-detection rate (MDR) and the false-alarm rate (FAR) of PEI should both be no larger than [1%] for minimum impact to power saving gain with PEI**
* **Note: Conditioned on this UE behavior, there is no impact to paging detection performance**

Companies please input your comments/suggested revisions to Proposal 3 and Proposal 4 in Table 3:

Table 3: Companies’ comments/suggested revisions to Proposal 3 and Proposal 4

|  |  |
| --- | --- |
| **Company** | **Comment(s)/Suggested Revision(s)** |
| Qualcomm | This is related to Proposal 2 for which we prefer Behv-A. Then we think proposal 3 is agreeable. |
| CATT | We need to define the PEI configuration and associated Behv in Proposal 2 before discussing proposal 3. We are Ok to define the miss-detection probability and false alarm rate for PEI in proposal 4. |
| Intel | Since this is related to Proposal 2, our comment is for the Proposal 3.  We think miss-detection target of 0.1% for the PEI at false alarm rate of 1% should ensure paging detection performance is not impacted. We do not see need for joint PEI and PDCCH detection and comparing this to PDSCH performance.   Hence, to simplify the analysis, we suggest the following for Proposal 3  Proposal 3: When Behv-A is assumed for UE,   * **The miss-detection rate (MDR) of PEI should be 0.1% with false alarm rate of 1% for minimum impact to paging detection performance and UE power saving gain.** |
| Lenovo, Motorola Mobility | Fine with proposal 3.  In proposal 4, suggest removing the “Note”. If a UE makes a wrong assumption, based on false detection of PEI, that there is no paging intended to the UE, the UE misses paging. Although this case may be rare, there could be small impact on the paging detection performance. |
| Samsung | In either case, the MDR of PEI can be discussed separately from paging PDCCH. For Behv-A higher target reliability of PEI should be considered than that for paging PDCCH. PEI only indicate paging PDCCH reception, no impact to paging PDSCH.  We support the modification from Intel. |
| vivo | In general, we are fine with proposal 3 and 4. |
| CMCC | Fine with proposal 3 and 4. |
| Ericsson | OK with proposals 3 and 4 as assumption for evaluations. |
| OPPO | Since Behv-B is preferred in our view, proposal 4 is fine for us. |
| ZTE, Sanechips | OK with proposals 4 |
| DOCOMO | Fine with proposal 3 and 4 as assumption for evaluations. |
| LG | We think proposal 3 is acceptable.  Regarding proposal 4, we need to clarify exact UE behavior first as we commented in table-2. The definition of missed detection and false alarm can depends on the UE behavior discussed above. |
| Panasonic | In general case that UE mis-detects the PEI, we support Behv-A which gives balance between system overhead and UE power saving. The proposal 3 is okay with us. |
| InterDigital | Agree with the proposals. |
| Nokia | With the assumption that PEI is not required to be always-on, we support proposal 3. |
| Sony | Considering the functionalities of the PEI for the two behaviours and to allow for a fair comparison the FAR in Beh-B (which is always transmitted) must be lower than Beh-A (which is only transmitted when there is a PEI), and the MDR of Beh-A lower than Beh-B.  If [1%] FAR is selected for Beh-A, then the FAR of Beh-B should be [0.1%].  If [1%] MDR is selected for Beh-B, then the MDR of Beh-A should be [0.1%]. |
| Apple | Even though the performance aspects should be considered, we think the choice between Behv-A and Behv-B mainly depends on the design philosophy whether we assume whether the PEI transmission is expected to be always on, or it is transmitted only when the UE is paged. Therefore, we suggest that the discussion start with this fundamental design point.  Also we do not think from the design point of view we should set different performance requirements for the two cases. Even if we assume PEI is always transmitted, the design should still target for good MDR performance from UE power saving point of view. |
| MediaTek | We are supportive to the requirements for limiting the impact to paging detection performance and UE power saving gain. When Behv-A is assumed, consideration of joint MDR of PEI and paging PDCCH actually follows RAN4 principle in defining requirements for Rel-16 power saving signal/DCP. Also 1% target for joint MDR is considered in RAN4. On the other hand, RAN4 also discussed whether to require 0.1% MDR on Rel-16 power saving signal/DCP but didn’t agreed it. In this regard, joint MDR and 1% target should be the right requirements. |

With companies’ feedbacks, revised proposal in Section 3 is suggested for further discussion.

## Assumptions for Resource Occupation

To characterize the resource occupation for PEI candidate designs, the following should be confirmed:

Proposal 5: For PEI design based on PDCCH and Behv-A/B, abbreviated by PEI-PDCCH-Behv-A/B,

* Resource allocation is in CSS
* For PEI-PDCCH-Behv-A: No PEI transmission only if there is no associated UE to be paged
* **For PEI-PDCCH-Behv-B: No PEI transmission only if resource conflict with legacy PDCCH**

Proposal 6: For PEI design based on TRS/CSI-RS and Behv-A/B, abbreviated by PEI-TRS-Behv-A/B,

* Resource allocation is in PDSCH region for connected-mode UEs
  + Rel-15 zero-power CSI-RS rate-matching pattern(s) or RB-symbol rate-matching pattern(s) should be configured to connected-mode UEs to avoid resource conflict
  + For UE supporting rate-matching per dynamic DCI indication, the resource can be utilized for PDSCH transmission to the UE if no PEI is transmitted.
  + For UE not supporting rate-matching per RRC configuration, the resource cannot be utilized for PDSCH transmission to the UE once the rate-matching pattern is configured to the UE.
* For PEI-TRS-Behv-A: No PEI transmission only if there is no associated UE to be paged
* **For PEI-TRS-Behv-B: PEI is always transmitted (i.e., higher priority than PDSCH of connected-mode UE)**
  + **Note: This allows the PEI to be utilized for synchronization**

Proposal 7: For PEI design based on SSS and Behv-A/B, abbreviated by PEI-SSS-Behv-A/B,

* Resource allocation is in PDSCH region for connected-mode UEs
  + Rel-15 RB-symbol rate-matching pattern(s) should be configured to connected-mode UEs to avoid resource conflict
  + For UE supporting rate-matching per dynamic DCI indication, the resource can be utilized for PDSCH transmission to the UE if no PEI is transmitted.
  + For UE not supporting rate-matching per dynamic DCI indication, the resource cannot be utilized for PDSCH transmission to the UE once the rate-matching pattern is configured to the UE.
* For PEI-SSS-Behv-A: No PEI transmission only if there is no associated UE to be paged
* **For PEI-SSS-Behv-B: PEI is always transmitted (i.e., higher priority than PDSCH of connected-mode UE)**
  + **Note: This allows the PEI to be utilized for synchronization**

Companies please input your comments/suggested revisions to Proposal 5, Proposal 6 and Proposal 7 in Table 4:

Table 4: Companies’ comments/suggested revisions to Proposal 5, Proposal 6 and Proposal 7

|  |  |
| --- | --- |
| **Company** | **Comment(s)/Suggested Revision(s)** |
| Qualcomm | For proposal 5, 6 and 7, agreed with Bhev-A PEI no transmission condition.  For proposal 6 and 7, the connected UE operation should be same as existing rate matching for Rel-16 UEs. There is no need to discuss it here. |
| CATT | The PEI resource allocation would depend on the number of PEI monitoring occasions. It is too early to discussion Proposals 5, 6, 7 before the conclusion of PEI monitoring occasions. |
| Intel | The overall intention of the proposals is not clear.  What does it even mean by “PDSCH region for connected-mode UEs”? PDSCH can be anywhere, and so can most other channels and signals in NR. There is no need to emphasize PDSCH as something special here.  Therefore, all the rate-matching related assumptions and bullets should be removed. We should count REs for OH calculations, and not based on a single gNB implementation option. In summary, PDSCH is NOT the only channel to be multiplexed in the DL, and even for PDSCH, rate-matching is NOT the only mechanism to realize coexistence.  Hence, we do not think coexistence with a specific channel should be assumed as reference for resource occupancy calculation. **The number of REs occupied by the signal/channel should be taken as reference for comparison.**  Also, it is not clear whether Note in Proposals 6 and 7 are applicable only for Behv-B or in general for PEI-TRS and PEI-SSS. In our view, PEI-TRS or PEI-SSS can be used for tracking when it is transmitted. |
| Xiaomi | Support Proposal 5. And further discuss may be needed for proposal 6/7  For PEI-TRS-Behv-B/ PEI-SSS-Behv-B, our view is that even PEI is not always transmitted, that is lower priority than PDSCH of connected-mode UE, the paging mechanism can still work(with Behv-B). And currently, we are not sure about the resource allocated to TRS/SSS-PEI. So we suggest to modify Proposal 6/7 as follows,  Proposal 6: For PEI design based on TRS/CSI-RS and Behv-A/B, abbreviated by PEI-TRS-Behv-A/B,   * If resource allocation is in PDSCH region for connected-mode UEs   + Rel-15 zero-power CSI-RS rate-matching pattern(s) or RB-symbol rate-matching pattern(s) should be configured to connected-mode UEs to avoid resource conflict   + For UE supporting rate-matching per dynamic DCI indication, the resource can be utilized for PDSCH transmission to the UE if no PEI is transmitted. * For PEI-TRS-Behv-A: No PEI transmission only if there is no associated UE to be paged * **For PEI-TRS-Behv-B: PEI is always transmitted (i.e., higher priority than PDSCH of connected-mode UE) or PEI is transmitted when there is no collision with PDSCH of connected-mode UE (i.e., lower priority than PDSCH of connected-mode UE)**   + **Note: This allows the PEI to be utilized for synchronization**   Proposal 7: For PEI design based on SSS and Behv-A/B, abbreviated by PEI-SSS-Behv-A/B,   * If resource allocation is in PDSCH region for connected-mode UEs   + Rel-15 RB-symbol rate-matching pattern(s) should be configured to connected-mode UEs to avoid resource conflict   + For UE supporting rate-matching per dynamic DCI indication, the resource can be utilized for PDSCH transmission to the UE if no PEI is transmitted. * For PEI-SSS-Behv-A: No PEI transmission only if there is no associated UE to be paged * **For PEI-SSS-Behv-B: PEI is always transmitted (i.e., higher priority than PDSCH of connected-mode UE) or PEI is transmitted when there is no collision with PDSCH of connected-mode UE (i.e., lower priority than PDSCH of connected-mode UE)**   + **Note: This allows the PEI to be utilized for synchronization** |
| Lenovo, Motorola Mobility | In proposals 5, 6 and 7, we don’t think 2nd and 3rd main bullets are necessary. Depending on whether PEI is intended to one or multiple groups of UEs, PEI transmission may or may not be skipped. Thus, we think RAN1 discussion should focus on UE behaviors, not the network behaviors. |
| Samsung | OK with Bhev-A PEI no transmission condition.  For PEI-TRS-Behv-B, and PEI-SSS-Behv-B in proposal 6 and 7, the UE behaviors are same no matter PEI is transmitted or not, i.e. UE wakes up for paging reception. Then the entire function doesn’t make any sense.  For rate-matching pattern, we share the same view as Qualcomm and Intel. No need to discuss. |
| vivo | Firstly, the topic is assumptions for resource occupation, but actually these proposals are related to the rate matching design and the corresponding network configuration with various UE behaviors for PEI detection, which should be discussed at a relatively later stage. Besides, the starting point of R17 power saving WID for paging enhancement is to reduce unnecessary UE paging receptions for the power saving purpose. Following this principle, the power saving gain is undoubtedly one of the crucial perspectives for PEI design, which should be discussed for different PEI design firstly.  For proposal 5,  PEI-PDCCH-Behv-B: PEI is always transmitted with some exception cases, e.g., conflict with legacy PDCCH, collied with SSB and RAR,  For proposal 6 and 7, it is more important to know whether the unused resources can be used from system perspective than UE perspective. From our understanding, there is no significant system-level overhead since the SSS/TRS/CSI-RS resources can be used for UEs supporting rate-matching. |
| CMCC | Support proposal 5.  Regarding proposal 6 & 7, agree with Qualcomm, three is no need to discuss rate matching behaviour. |
| Ericsson | In our understanding, these proposals are intended to evaluate the resource occupation for the different PEI candidate designs. This should be made clear in the proposals. For proposal 5, for Behv-B, always transmitting PEI cannot be assumed. In any case, our understanding is that the details wrt UE behavior would be discussed separately. |
| Huawei, HiSilicon | For this part, we prefer to firstly focus on the co-existence issue of PEI with legacy channels, e.g. some observations on rate matching of PDSCH to coexist with the PEI transmission. This is important aspect we need take into consideration because the PEI should not impact the legacy UE behavior and legacy channel performance. |
| OPPO | The issue is related to the physical channel/signal design for PEI. It is early to discussion this issue before down-selection among different physical channel/signal, i.e. DCI/TRS/CSI-RS/SSS is decided. After the physical channel/signal for PEI is conformed, we can further study issues of resource allocation, conflicting resolution, and so on. For the resource of PEI, it is proposed to use legacy defined resource. For example, DCI based PEI can share the legacy CSS. The resource allocation and conflicting resolution can follow the legacy mechanism. |
| ZTE, Sanechips | We agree with the motivation of the proposal 5~7. We think make sure that PEI can coexist well with other legacy signal or channel is an important factor to be considered in PEI design.  Regarding the last sub-bullet of proposal 6 and proposal 7, some update is suggested as below.  **For PEI-TRS-Behv-B: PEI is ~~always~~ transmitted when there is no associated UE to be paged ~~(i.e., higher priority than PDSCH of connected-mode UE)~~** |
| DOCOMO | We are fine with proposal 5 in principle. However, first of all, the intention of the proposals including proposal 5, 6 and 7 should be clarified. Are these the assumption for evaluation of the impact on other signal/channel? |
| LG | Regarding resource allocation parts(i.e. first sub-bullets) in proposal 5, 6 and 7 we have similar view with Qualcomm, Intel and Samsung. Reusing existing rate matching method should be considered since we do not see special reason so far.  Regarding 2nd and 3rd sub-bullets (i.e. PEI-XXX-Behv-A/B), intention is not clear for us.  As pointed out by Ericsson, intention of it should be captured clearly in the proposals. |
| Panasonic | Better to focus on the detailed PEI physical layer design before going into these proposals. |
| InterDigital | Agree with Panasonic. |
| Nokia | Assuming that the proposals are made in relation to consider/estimate the resource use/overhead, it would seem relevant to account the actual realized resource reservation/use. Hence, the possible multiplexing methods should be accounted when estimating the resource overhead. Like noted in our paper, the assumed RE use of the signal itself may not give a proper picture of the overhead.  In addition, if sub-grouping is supported, it should be further detailed how this is achieved with the proposed signal design and how it affects to the needed resource options. |
| Sony | We are OK with the proposal. As stated earlier, we propose to provide the association of PEI functionality to the two behaviours earlier for instance already in proposal 2.  Is RAN1 expected to downselect between these proposals? |
| Apple | The intention of these proposals is also a bit unclear to us. The proposals seem to describe mostly on how network operates in terms of rating matching indication. It helps with the understanding, but does not seem to fit in proposals.  An alternative way could be to simply describe different options, and the corresponding open issues for each of the options from standards impact point of view. |
| MediaTek | Coexistence with legacy UEs should be supported for PEI. In this regard, we can first clarify the assumptions for the PEI candidate designs. |

From companies’ feedbacks and further email discussions [32][33], it is agreed that Rel-15 channel multiplexing design is assumed as baseline for companies to report when characterizing PEI candidate designs:

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| --- |
| Agreements:  For the evaluation and comparison of PEI candidate designs, companies to report   * Description of how PEI design can co-exist with existing channels/signals, and impact to legacy UEs.   + Rel-15 designs for multiplexing PEI with legacy channels/signals are assumed as baseline   o   Other multiplexing method with legacy channels/signals can be additionally reported with justification |

# Secondary Round of Offline Discussion and Proposals

In RAN1#102-e, the following is agreed:

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| --- |
| Agreements:  For the study on paging enhancements to reduce unnecessary paging reception, the following metrics are considered:   * UE power saving gain (relative to a given feature or overall) * Impact to UE paging detection probability   + FFS: Link level simulation assumptions * System impact, including   + Additional resource overhead and its implications   + Impact to Rel-15/Rel-16 idle/inactive-mode UEs and connected-mode UEs   + Impact to other legacy functionalities, including SI change and ETWS indication   + [Note: NW energy consumption evaluation is not precluded] |

Companies are invited to provide views on the following proposals for the evaluations and comparisons according to the above agreements in this Section.

Proposal 8:

To minimize the detection performance impact with PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,

1. The following performance requirements are assumed
   1. When Behv-A is assumed:
      1. Alt-1 MDR requirement: The joint miss-detection rate (MDR) of PEI and paging PDCCH defined as follows should be no worse than the performance of paging PDSCH at MDR target of 1%:

MDRJoint = MDRPEI + (1 - MDRPEI) MDRPagingPDCCH

* + 1. Alt-2 MDR requirement: The MDR of PEI should be no larger than 0.1% at the SNR where MDR of paging PDCCH is 1%
    2. The False-Alarm Rate (FAR) of PEI should be no larger than [10%] at the SNR where MDR of the targeted paging channel is 1%
  1. When Behv-B is assumed:
     1. Alt-1 FAR requirement: PEI FRA is subject to that the joint MDR of PEI and paging PDCCH defined as follows should be no worse than the performance of paging PDSCH at MDR target of 1%:

MDRJoint = FARPEI + (1 - FARPEI) MDRPagingPDCCH

* + 1. Alt-2 FAR requirement: The FAR of PEI should be no larger than 0.1% at the SNR where MDR of paging PDCCH is 1%
    2. The MDR of PEI should be no larger than [10%] at the SNR where MDR of the targeted paging channel is 1%

1. Companies to provide:
   1. The utilized detection method for each PEI candidate design (e.g., non-coherent detection or coherent detection)
   2. The required #REs to comply with the above requirements
   3. The maximum number of subgroups that can be carried in PEI, subject to the performance requirements

Table 5: Companies’ comments/suggested revisions to Proposal 8

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| --- | --- |
| **Company** | **Comment(s)/Suggested revision(s)** |
| Samsung | We don’t agree with Alt-1 for both Behav-A and Behav-B. The joint MDR or FRA doesn’t make sense. The target reliability of PEI should be no less than paging PDCCH, so the joint MDR is determined by MDR of paging PDCCH regardless of the target reliability of PEI according to equitation below  MDRJoint = MDRPEI + (1 - MDRPEI) MDRPagingPDCCH  = MDRPagingPDCCH + (1- MDRPagingPDCCH)\*MDRPEI  >MDRPagingPDCCH  To meet the requirement of Alt-2, target paging PDCCH less than 1% is needed. However, the target PDCCH of 1% is assumed for Behv-B iii, and Behv-A ii. It’s not fair to consider different target PDCCH for different alternatives.  For Behv-B iii, the MDR should be at least 1%, otherwise the function when PEI indicate UE not to monitor PEI is not reliable, i.e. the power saving gain from PEI is not reliable.  Therefore, we suggest to keep only Alt-2 for both Behv-A and Behv-B. |
| MediaTek | Alt1 follows the joint MDR metric considered in RAN4 for specifying the requirement for Rel-16 power saving signal/DCP. With PEI, the overall paging detection performance is impacted, no matter the PEI MDR is. If minimized resource overhead is targeted, we should avoid over-design and consider the performance requirement that can ensure paging data reception.  With “Alt”, we understand companies can provide either or both evaluation results. This can provide more comprehensive understanding on the required resource for different PEI candidate designs.  Regarding FAR up to 10% for Behv-A, we think MDR-FAR trade-off for PDCCH-based PEI can still be achieved by considering sequence-matching detection for PDCCH. When the number DCI bits is small, e.g., 8, PDCCH detection can be done by testing, e.g, 256, possible encoder outputs. In this regard, FAF up to 10% is also acceptable for the comparison.  We are supportive to jointly characterize the maximum number of UE subgroups subject to the performance requirements. Companies can base on the results to see whether paging DCI is needed. |
| Intel | For Alt – 1, error probability is  PPEI + (1 - PPEI) PpagingDCI  which needs to be 0.01. So we observe that if we relax PPEI such as make it 0.005 instead of 0.001, it has impact on the PpagingDCI  which needs to be almost 0.005 instead of 0.01 to make overall error probability 0.01. If done this way, overhead calculation needs to include paging PDCCH since it is likely occupying more resource than otherwise needed if  PpagingDCI was set at 0.01.  That’s why we think it is much simpler if we just assume PEI MDR to be 0.1% as in Alt - 2 without impacting the paging PDCCH detection requirements compared to when PEI is not configured. **So we suggest to keep Alt – 2 only**. Even in Rel-16, target MDR for WUS was 0.1% at FAR 1%. Relevant portion is copied below from TR 38.840. |
| CATT | We don’t support Proposal 8 without defining the evaluation assumption for frontend processor.  If we would like to evaluate the misdetection performance, we need to align the assumption on the front end processing. DCI-based PEI is a coherent demodulation and detection. Sequence-based PEI is a non-coherent detection without demodulation. From the fundamental of the wireless communication, coherent detection requires higher processing time and power in channel compensation before demodulation and detection comparing to non-coherent detection, which is robust to channel variation. Coherent detection has better BLER performance comparing to non-coherent detection at same SINR. We can not have the same front end process for comparison. There are two alternatives as follows,   1. Full model of link level simulation – the link-level simulation includes the demodulation/detection and full front end processing as follows,    1. A/D converter and filter – digital sampling time is based the convolution of local timing reference and the detection of timing reference signals, e.g., SSB slot boundary and symbol interval.    2. AGC    3. Phase-lock loop    4. timing clock reference with drifting and calibration with received signals based on the assumption of local oscillator frequency stability at 5 ppm    5. Radio channel estimation and compensation – timing offset estimation, frequency offset estimation, Doppler estimation, equalization 2. Abstracted model – the statistical residue error of imperfect channel compensation is included in the detection performance    1. A statistic is collected from separate simulation run for front end processing, such as clock drifting, Doppler estimation       1. Each module of simulation should assume the number of reference signals (e.g., SSBs) is used to achieve the performance statistic.    2. The statistic of all factors of imperfect compensation from front end processing simulation is considered as added noise to the PEI detection.   If we don’t have the agreement on the alignment of front-end processing, the power saving gain and the detection performance would not be apple-to-apple comparison. We can’t agree Proposal 8 before we agree on the alignment of evaluation methodology of front-end processing. |
| Huawei, HiSilicon | Between Alt.1 and Alt.2, the Alt.1 is preferred considering the design target of PEI is to reliably receive the paging message. There is no need to put over-designed target of PEI detection itself.  Some typos, e.g. FRA, should be corrected. The test in RAN4 for WUS is based on the reception of target PDSCH’s ACK/NACK. RAN4 does not test the MDR of WUS. |
| ZTE, Sanechips | The introduction of PEI should have negligible impact on the performance of paging PDCCH or PDSCH, hence, we think the ALT1 is a more reasonable way to move forward, which seems to be also a testing method developed in RAN4.  According to the agreed power model, the power consumed by the detection of PDCCH, TRS, SSB are the same, we don’t think we need to discuss the power difference due to different detection methods. Meanwhile, we agree with MTK that for PDCCH with a limited payload size, sequence-like detection can be used. |
| vivo | We agree with MTK and Huawei’s view for proposal 8. For the reliability of paging PDSCH reception and avoid over-strict target of PEI detection performance, **we prefer the Alt-1.** However, the elaborate clarifications for the definition of MDRPEI and FARPEI are needed. For instance, the FARPEI refer to that UE mistakenly detect the PEI with GTS (go to sleep) indication but actually the network sends the PEI to indicate UE to wake up or the network does not even send PEI.  In addition, there are two typos need to be corrected as follows:   1. The following performance requirements are assumed    1. When Behv-A is assumed:       1. Alt-1 MDR requirement: The joint miss-detection rate (MDR) of PEI and paging PDCCH defined as follows should be no worse than the performance of paging PDSCH at MDR target of 1%:   MDRJoint = MDRPEI + (1 - MDRPEI) MDRPagingPDCCH   * + 1. Alt-2 MDR requirement: The MDR of PEI should be no larger than 0.1% at the SNR where ~~MDR~~ FAR of paging PDCCH is 1%     2. The False-Alarm Rate (FAR) of PEI should be no larger than [10%] at the SNR where MDR of the targeted paging channel is 1%   1. When Behv-B is assumed:      1. Alt-1 FAR requirement: PEI ~~FRA~~ FAR is subject to that the joint MDR of PEI and paging PDCCH defined as follows should be no worse than the performance of paging PDSCH at MDR target of 1%:   MDRJoint = FARPEI + (1 - FARPEI) MDRPagingPDCCH   * + 1. Alt-2 FAR requirement: The FAR of PEI should be no larger than 0.1% at the SNR where MDR of paging PDCCH is 1%   The MDR of PEI should be no larger than [10%] at the SNR where MDR of the targeted paging channel is 1% |
| Ericsson | The term “requirement” should be removed from all places in the proposal – in our understanding intention is to characterize the detection performance of the candidates. Instead, we suggest to use “For the performance evaluations of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,….”  Alt 1 seems reasonable i.e. to check the final impact on the probability with which a UE will miss paging. For BehvA-FAR and for BehvB-MDR, as we commented earlier, these are related to UE power saving, and for this we prefer to keep [10%]. OK to also add 1% as another BLER point to check.  For 2c –we should add some payload sizes here : 2,4,8,[16] subgroups per PO. |
| Nokia | We share the view that it would be preferable to consider total missed paging probability. |
| Sony | We support Intel’s view above. So, we support to keeping only **Alt-2 in both Behv A and B**. |
| LG | We prefer Alt 1. As we discussed so far, decoding performance of PDSCH shall be considered as a design target of PEI since it requires the most accurate time/frequency accuracy. So considering performance of paging PDSCH seems reasonable to us. |
| InterDigital | We prefer Alt 2. With Alt1, we agree with Samsung that, the joint MDR will be higher. If we use the numbers from Alt2, the joint MDR is going to be slightly higher than the MDR of paging PDCCH; and we know from several company simulations that paging PDCCH has much better performance than paging PDSCH. So, the numbers in Alt 2 will ensure that Alt 1 is satisfied. In addition, if we use Alt 1, then it is not clear what CFO we assume for the different channels and what AL. Finally, [10%] MDR seems too high. |
| Qualcomm | Alt. 1 is more reasonable considering the error propagation effect of PEI and paging PDCCH. Alt. 2 is a little bit arbitrary. |
| Apple | We think Alt 1 and Alt 2 both have pros and cons as commented by companies, and it could be fine to leave both on the table without down-selection. If we really want to down-select to one, we have slight preference for Alt 2 because Alt 1 uses the PDSCH performance as the reference point, which is a moving target depending on the scaling factor assumed.  We think the 10% target for FAR in Alt 1 and MDR in Alt 2 is too high. Even though the system still works at such high error rate, this should not be our design target. Therefore, we do not agree to include 10% target even if it is put in bracket. The design target should be around 1%, which has been the typical assumption. It is fine to put 1% in bracket if companies have concern. |

After online discussion, Alt 1 is decided as mandatory metric and Alt 2 as optional metric:

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| Agreement:   * Take Alt 1 as mandatory, and Alt 2 as optional   **Alt 1**  For the performance evaluations of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,   1. The following are assumed, at the SNR where the Miss-Detection Rate (MDR) of paging PDSCH is 1%,    1. When Behv-A is assumed:       1. The joint miss-detection rate (MDR) of PEI and paging PDCCH defined below should be no worse than 1%:   MDR\_Joint\_A = MDR\_PEI + (1 – MDR\_PEI) MDR\_PagingPDCCH   * + 1. The False-Alarm Rate (FAR) of PEI should be no larger than [1%]   1. When Behv-B is assumed:      1. The joint miss-detection rate (MDR) of PEI and paging PDCCH defined below should be no worse than 1%:   MDR\_Joint\_B = FAR\_PEI + (1 – FAR\_PEI) MDR\_PagingPDCCH   * + 1. The MDR of PEI should be no larger than [1%]   1. Note: The CFO is modeled at the input of PEI detection and based on LLS assumptions agreed in RAN1 #102-e. Companies should justify the applied random range for the CFO.  1. Companies to provide:    1. Information on the utilized detection method for each PEI candidate design (e.g., non-coherent detection or coherent detection)    2. The required #REs to comply with the performance assumptions    3. The maximum number of subgroups that can be carried in PEI, subject to the performance assumptions   **Alt 2**  For the performance evaluations of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,   1. The following are assumed, at the SNR where the Miss-Detection Rate (MDR) of paging DCI is 1%,    1. When Behv-A is assumed:       1. The MDR of PEI should be no larger than 0.1%       2. The False-Alarm Rate (FAR) of PEI should be no larger than 1%    2. When Behv-B is assumed:       1. The FAR of PEI should be no larger than 0.1%       2. The MDR of PEI should be no larger than 1%    3. Note: The CFO is modeled at the input of PEI detection and based on LLS assumptions agreed in RAN1 #102-e. Companies should justify the applied random range for the CFO. 2. Companies to provide:    1. Information on the utilized detection method for each PEI candidate design (e.g., non-coherent detection or coherent detection)    2. The required #REs to comply with the performance assumptions    3. The maximum number of subgroups that can be carried in PEI, subject to the performance assumptions |

**It is noticed that, for Alt 1, FAR\_PEI in Behv-B includes the probabilities of the following two events:**

* **Network transmits PEI indicating “monitor-PO” but UE falsely detects it as “not-to-monitor-PO”**
* **Network doesn’t transmit any PEI but UE falsely detects it as “not-to-monitor-PO”**

**For the case of PDCCH-based PEI, the above two events are part of the event of “CRC false alarm” can thus FAR\_PEI can be upper bounded by CRC false alarm rate. For TRS/CSI-RS-based and SSS-based designs, more elaboration is needed to characterize the corresponding values of FAR\_PEI.**

**It is also noticed that, in order not to impact legacy paging PDCCH, paging PDCCH resource is not changed for evaluating joint MDR of PEI and paging PDCCH, compared with the case without PEI**

Proposal 9:

To check the resource overhead with PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,

1. Assume the following network PO setting:

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| --- | --- |
| Paging cycle length (ms) | 1280 |
| #PF per paging cycle, N | 128 |
| #PO per PF, Ns | 4 |
| Paging rate per PO | 10 % |

1. Companies to report additional evaluation assumptions for each PEI candidate design:
   1. The utilized coexistence method
   2. PEI sharing by one or multiple POs
2. Based on the above assumptions, companies to provide the average number of occupied resources per PO per paging cycle for each PEI candidate design:
   1. Defined as (#REs subject to the coexistence and performance requirements) \* (resource occupation probability) / (#PO sharing a PEI)
   2. Note: The resource occupation probability depends on Behv-A/B and the utilized coexistence method.

Table 6: Companies’ comments/suggested revisions to Proposal 9

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| **Company** | **Comment(s)/Suggested revision(s)** |
| Samsung | 1. It seems UE sub-grouping is not considered in this proposal. Need clarify that. 2. The number of PEI reception occasions for multi-beam operations should also be included to determine the occupied resources per PO. |
| MediaTek | We understand the evaluation is to characterize the case largest number of PEIs is required due to very dense PO. In this regard, we are supportive to characterize the corresponding PEI resource occupation.  Regarding UE subgrouping, since merging POs to larger ones and reduce the subgroups paging rate via subgrouping will essentially require similar PEI resource requirement. Since sub-grouping capacity has been characterized in Proposal 9, we also agree it is sufficient to consider current dense PO case. If companies are willing to include UE subgrouping case, cautions should be put on setting equal total subgroups number. But this is somehow difficult since there is no consensus on how many subgroups we should set for a PO.  Regarding beam-forming, we somehow think it may not be necessary as the same resource multiplication factor can be eliminated in comparing different PEI designs. As the PO setting is simple without beam-forming, we also suggest not to include this factor and complication. |
| Xiaomi | We are OK with the proposal.  But the proposed PO configuration seems quite dense from network’s perspective. Should we also define other assumptions for PO Configurations when it is not that dense? |
| Intel | Regarding bullet 2 and 3, assumption of a PEI associated to one PO should be baseline  As discussed before in email, resource overhead calculation applies irrespective of whether coexistence is there or not. Moreover, shortened proposal 5 already covers the coexistence aspects, which includes resource consumption aspects as well. So we do not see the justification to include it here again.  To this end, we suggest to replace bullets 2 and 3 by following single bullet based on Proposal 6 which was in the previous summary:   1. Assuming one PEI associated to one PO as baseline, companies to report number of REs, number of symbols, and number of RBs occupied by PEI at an occasion. |
| CATT | We don’t support this proposal since we are clear all assumptions in Proposal 9.  More than one Paging occasions and PEI occasions are configured to allow network to send PEI and paging message to different subset of cells within a registration area. Each cell will have only one PEI occasion and PO. The proposal in calculating the overhead does not reflect actual usage of PEI occasions and PO. |
| Huawei, HiSilicon | We think the following should be revised a little bit:   1. Companies to report additional evaluation assumptions for each PEI candidate design for resource occupation evaluation: 2. The utilized coexistence method 3. PEI sharing by one or multiple POs   Bullets 2 and 3 are important considering we are based on the previous proposal 6 to compromise to this proposal. We think the impact of co-existence must be considered in the resource evaluation.  Proposal 6 (to be revisited after quiet period):  For the evaluation and comparison of PEI candidate designs, companies to report   * The resource occupation of PEI in terms of number of REs,  number of symbols, number of RBs, taking into account   + The assumed coexistence method   + Potential PEI sharing by multiple POs   Carrying UE subgroups information |
| ZTE, Sanechips | We think the bullet 2 and 3 are important factors to be considered. As we mentioned before, RRC connected mode UE is unaware of the transmission of PEI, and the supported rate matching pattern depends on UE capability, network has to reserve the resource of PEI for RRC connected/idle mode UE.  Besides, we think subgroups information also needs to be considered in the resource overhead evaluation. |
| vivo | We are fine with proposal 9. |
| Ericsson | We think a not very dense scenario should also be added to check PEI performance in non-extreme scenarios. Suggest to also add N = [32].  Bullet 2a and 2b should be maintained - single PEI to multiple PO is desirable to allow multiplexing flexibility from NW point view.  Agree with Huawei wrt proposal 6 linkage. We should perhaps also agree to the proposal 6 along with these discussions.  3a : It would be also good to define the terms used:  (#REs subject to the coexistence and performance requirements) : Are these #REs occupied by PEI for a given target performance ?  (resource occupation probability) : Is this probability with which PEI is transmitted? |
| Nokia | Similarly, as said by other companies, we feel that point 2 is important to understand the resource reservation from system perspective. Like noted by Huawei and ZTE the sub-grouping could be considered (in addition to multiple POs). |
| DOCOMO | As some companies’ view, we also think the assumption of lower dense scenario should be additionally considered. |
| Sony | Regarding item #2, the assumption of a PEI associated to one PO should be considered as baseline. PEI Resource overhead can be calculated irrespective of assumptions in item #2. |
| LG | As Ericsson commented, it would be better to define the terms used to avoid different interpretation between companies. |
| InterDigital | We think the issue of UE sub-grouping needs clarification. One PEI per PO should be the baseline. |
| Qualcomm | The latest proposals are fine in principle. |
| Apple | We do not think we really need to have the assumptions for paging cycle length, N and Ns. Paging rate per PO would be sufficient because relative comparison across different options is more important than the absolute overhead. The decision here is not whether to support PEI or not. Instead, we are trying to decide which option to go.  Agree with the comment that N=128 is the extreme case, and a more typical configuration would be needed in case we go with these parameters.  The baseline should be one PEI corresponds to one PO. Of course, companies can evaluate other cases. Note that one PEI corresponding to multiple POs does not come for free. It introduces additional delay in paging.  Similarly, no sub-grouping should be the baseline, and additional cases can be evaluated. |

From companies’ views, whether and how the following factors are considered are controversial:

* Coexistence with legacy UEs
* Indication of multiple POs and/or UE subgroups by one PEI
* Multi-beam transmission assumption for PEI

Consequently, the following is finally agreed, allowing companies to provide their respective assumptions on the above factors with justification:

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| Agreements:  For the evaluation of resource overhead with PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS, companies to provide estimated overheads for PEI candidate designs based on the following factors:   1. Assumption of Behv-A/B 2. Required #REs from performance evaluations 3. 10% group paging rate per PO as baseline; other group paging rates can be optionally considered   and based on the following assumptions with justification (up to each company)   1. Whether and how coexistence with legacy UEs is considered 2. Whether and how indication(s) to multiple POs and/or UE subgroups by one PEI is considered 3. Whether and how multi-beam transmission is considered |

Proposal 10:

To check UE power saving gain with PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,

1. Assume the evaluation assumptions in RAN1#102-e meeting
2. Companies to report the following assumptions for each PEI candidate design:
   1. PEI detection power value, which lies between 45 (micro sleep) to 50 (PDCCH-only)
   2. UE processing timelines with assume #SS bursts before PO = 1, 2 and 3
   3. #SS burst(s) before PEI detection to comply with the performance requirements on PEI; justification required
3. Based on the above assumptions, companies to provide the average power saving gains w.r.t. 1, 2, and #SS burst before PO for each PEI candidate design

Table 7: Companies’ comments/suggested revisions to Proposal 10

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| --- | --- |
| **Company** | **Comment(s)/Suggested revision(s)** |
| Samsung | The #SS burst before PEI is not only limited by performance requirements, but also limited by SINR. That’s why we consider different #SS bursts before PO.  Therefore, we suggest modifications as follows:  2.b the UE processing timelines with assume #SS bursts before PO = 1, 2 and 3 corresponding to high/medium/low SINR, respectively.  2.c. #SS burst(s) before PEI detection to comply with the performance requirements on PEI for high/medium/low SINR; justification required  3. Based on the above assumptions, companies to provide the average power saving gains w.r.t. high/medium/low SINR ~~1, 2, and #SS burst before PO~~ for each PEI candidate design. |
| MediaTek | For power consumption analysis, what matters is the UE processing timeline. In previous meetings, we agree to capture observations based on #SS burst before PO. Therefore, characterizing the power saving gain for the three cases should be sufficient.  For different PEI design, one important factor is #SS burst before PEI, which will require companies’ justification considering SINR. Our previous contribution, R1-2008964, contains the evaluations and shows 1 SS burst before all types of PEI candidates is sufficient to guarantee PEI detection performances |
| Xiaomi | OK with the proposal. |
| Intel | Number of SSBs monitored between PEI and associated PO depends on location of the PEI and there maybe 0 SSBs present depending on design. So it is not clear how the above guidelines can apply to this case. In our view, companies are free to assume (with justification) the location of PEI wrt PO, number of SSBs before and after PEI based on location and can report power saving gain comparison between different candidates. It is important that assumption on number of POs association to PEI should be common between different candidates so that analysis can be meaningful and fair. |
| CATT | We don’t think that we need additional proposal to study all power saving gain results being captured in RAN1#103e. |
| Huawei, HiSilicon | We think there is no need to mention the high/medium/low SINR. This was actually discussed before, the discussion conclusion in previous meetings is we just use the assumed SS bursts other than the SINR condition. |
| ZTE, Sanechips | We think the subgrouping information also needs to be considered in the power saving gain evaluation.  Besides, we agree with Huawei that there is no need to mention the association between SINR condition and the number of SS bursts, as the latter can be impacted by many other factors. |
| vivo | In principle, we are supportive to proposal 10. To make a clarification, the intention for proposal 10 is to align the evaluation assumption for the comparison of power saving gain between sequence-based PEI and DCI-based PEI. However, as analyzed by Intel, it is possible that 0 SSB need to be measured before PO reception for the case when adopting sequence-based PEI which have the similar measurement functionality as SSB burst or even further considering the serving cell RRM relaxation in High SINR case. So we suggest to clarify the sub-bullet 2 as follows:  2. Companies to report the following assumptions for each PEI candidate design:   * 1. PEI detection power value, which lies between 45 (micro sleep) to 50 (PDCCH-only)   2. Without considering the functionalities of PEI, the baseline of UE processing timelines with assume #SS bursts before PO = 1, 2 and 3   3. #SS burst(s) before PEI detection to comply with the performance requirements on PEI; justification required   Note: the functionalities of PEI refer to T/F tracking, AGC adjustment, RRM measurement etc. |
| Ericsson | Companies can also report UE power savings gains – there is already basis for evaluating power saving as agreed in RAN1#102-e, and then observations on UEPS drawn in RAN1#103-e. We can follow similar methodology. |
| vivo 2 | **Update to the previous version:**  In principle, we are supportive to proposal 10. To make a clarification, the intention for proposal 10 is to align the evaluation assumption for the comparison of power saving gain between sequence-based PEI and DCI-based PEI. However, as analyzed by Intel, it is possible that 0 SSB need to be measured before PO reception for the case when adopting sequence-based PEI which have the similar measurement functionality as SSB burst or even further considering the serving cell RRM relaxation in High SINR case. So we suggest to clarify the sub-bullet 2 as follows:  2. Companies to report the following assumptions for each PEI candidate design:   1. PEI detection power value, which lies between 45 (micro sleep) to 50 (PDCCH-only) 2. ~~Without considering the functionalities of PEI, the baseline of~~ UE processing timelines with assume #SS bursts before PO = 1, 2 and 3 3. #SS burst(s) before PEI detection to comply with the performance requirements on PEI; justification required  * Note: Detection of PEI without SSB measurement, e.g., sequence-based PEI, can be considered if sufficient justification being provided by companies   ~~Note: the functionalities of PEI refer to T/F tracking, AGC adjustment, RRM measurement etc.~~ |
| Nokia | We are OK with proposal 10 |
| DOCOMO | In my opinion for this proposal, we are not sure the different location of PEI can be considered with different assumptions of #SS bursts before PO. For example, in case UE processing timelines with assume #SS bursts before PO = 3, PEI may locate around SSB far from PO among three SSBs before PO. On the other hand, in case of UE processing timelines with assume #SS bursts before PO = 1, PEI may locate around SSB nearest from PO among three SSBs before PO. If NW can transmit multiple PEIs, such assumption is possible but it leads the increase of signaling overhead and we are not sure multiple PEIs can be assumed. |
| Sony | We are OK with the proposal. |
| LG | We are fine with the proposal.  We share similar view with MediaTek and Huawei/HiSilicon. We think condition on number of SSB before PO is sufficient for the evaluation assumption, and companies can assume any PEI location where detection performance can be guaranteed (e.g. at least 1 SSB). Of course, assumption about PEI location should be reported by companies for comparison.  Also, UE processing line should include at least 1 SSB which is required for RRM measurement. Thus it seems reasonable to assume minimum number of SSB before the PO is one. |
| InterDigital | We are ok with the proposal. We should also try to clarify the difference in power spent on the detection of the PEI based on PDCCH and the PEI based on a RS since the latter may need less power. |
| Qualcomm | The proposal is fine. |
| Apple | It seems that what this proposal does not have much new compared to what we had agreed or used previously for drawing observations in 103-e. It is natural that whatever each company assumes (e.g. the location of PEI w.r.t. PO, UE processing timeline, # SSB before PEI detection) needs to be justified. We feel this proposal does not really provide more alignment on assumptions, so it does not seem so necessary. Especially it may not be so worthwhile to spend too much time trying to refine the wording in order to reach an agreement. |

The intention of the proposal is for companies to check the UE processing timelines w.r.t. different PEI candidate designs and provide the corresponding power saving gains. For example, with TRS/CSI-RS-based PEI design, a compact processing timeline with only one SS burst before PEI and PO can be proposed. Justification is then required to check whether and how TRS/CSI-RS can be utilized for fine synchronization before PO.

Since the above is already required according to the agreement in RAN1 #102-e, companies are encouraged to provide the power saving gain results for different PEI candidate designs, with justification on whether and how fine synchronization can be guaranteed so as to minimize impact to PO performance.

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| Agreements:  For the study on paging enhancements to reduce unnecessary paging reception, the following metrics are considered:   * UE power saving gain (relative to a given feature or overall) * Impact to UE paging detection probability   + FFS: Link level simulation assumptions * System impact, including   + Additional resource overhead and its implications   + Impact to Rel-15/Rel-16 idle/inactive-mode UEs and connected-mode UEs   + Impact to other legacy functionalities, including SI change and ETWS indication   + [Note: NW energy consumption evaluation is not precluded] |

Note that since “Impact to other legacy functionalities, including SI change and ETWS indication” is also part of the above agreed design considerations, there is no additional agreement related to the following Proposal 11.

Proposal 11:

To Impact to other legacy functionalities, including SI change and ETWS indication, with PEI candidate designs, companies to report whether and how the legacy paging functionalities can be kept.

Table 8: Companies’ comments/suggested revisions to Proposal 11

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| **Company** | **Comment(s)/Suggested revision(s)** |
| Samsung | No issue to keep the legacy paging functionalities. We are not clear why this proposal is needed. |
| MediaTek | This is one of the agreed design consideration, and we are supportive to include this proposal so as to justify whether PEI should also carry SI change and ETWS indications. |
| Xiaomi | Maybe more discussion is needed before we can settle down on this topic. Out thinking is maybe we should determine which form PDCCH/TRS/SSS is adopted for PEI first. |
| Intel | We do not see any impact to legacy paging functionality. PEI is not expected to carry SI update and ETWS notifications |
| CATT | Legacy paging information is in the paging message. If there is any paging information, PEI will be sent and UE will decode paging. |
| Huawei, HiSilicon | This aspects need to be discussed and reported and it was captured as one design consideration in RAN1#102 agreements. |
| ZTE, Sanechips | We think it should be discussed according to the previous agreements.  Meanwhile, if the SI change notification is not carried by PEI, UE still needs to detect PO when PEI indicates it is not paged. In this case, UE cannot save power. |
| vivo | The discussion for proposal 11 should be de-prioritized, since it depends on the PEI design which is still under discussing. |
| Ericsson | This aspect can be discussed separately as it is also related to meaning of PEI, etc.  For the purposes of evaluation, the performance of different PEI candidates schemes should be checked at different payload sizes without necessarily going into such detailed functionality discussion. |
| Nokia | Evidently there needs to be support for the legacy operations. I.e. it should be clarified for each scheme how these functionalities are supported. |
| DOCOMO | We have same view as Huawei, and it should be reported since it can have the impact on the power saving gain. |
| Sony | It is not very clear why from the two items related to legacy functionality in RAN1#102 agreement:   * Impact to Rel-15/Rel-16 idle/inactive-mode UEs and connected-mode UEs * Impact to other legacy functionalities, including SI change and ETWS indication   only one has been included as a proposal here. |
| LG | Support this proposal. We think this aspect should be discussed for UE power saving. |
| Qualcomm | Agree that company should report the assumption of the PEI design in supporting legacy functions. This may not be critical for performance evaluation but important for PEI signaling design.  One more thing better to be clarified is no matter whether PEI supports legacy function of paging PDCCH, the legacy paging PDCCH should be transmitted in the same way as before to guarantee backward compatibility. |
| Apple | We think this discussion can be deprioritized because we do not this is the critical factor that affects the physical layer design for PEI. It may be better to have this discussion after we down-select for PEI design. |
| OPPO | The physical layer signal/channel carrying PEI has not decided yet. After that, we can decide whether to support legacy functions through PEI. For example, if PEI is carried in paging DCI, SI change and ETWS indication can be carried. If Other DCI format is used for PEI, it is preferred legacy functions remains unchanged. If SI change and ETWS should be delivered, gNB can indicate paging DCI detection in target PO through PEI. |

Proposal 12:

To check the feasibility of carrying availability indication of connected-mode TRS for idle-mode UEs with PEI candidate designs, companies to report whether and how TRS availability indication can be accommodated.

Table 9: Companies’ comments/suggested revisions to Proposal 12

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| --- | --- |
| **Company** | **Comment(s)/Suggested revision(s)** |
| Samsung | To check the feasibility is sufficient. No need to require companies to report “How” as we haven’t agreed on this feature yet. The details of TRS availability indication can be discussed in 8.7.1.2. |
| MediaTek | There is certain interest in carrying availability indication in PEI. But we also agree this topic can be addressed after more discussion in 8.7.1.2. For example, the update periodicity can be decided first. |
| Xiaomi | Agree with Samsung. |
| Intel | This should be discussed in 8.7.1.2 |
| CATT | This is not the Agenda for TRS/CSI-RS availability discussion. |
| Huawei, HiSilicon | Agree. The feasibility needs to be provided regarding whether the detailed physical layer design can inform the assistance TRS availability. Furthermore, at least the assumption how to work with assistance TRS should be provided and justified.  It is OK to discuss the assistance TRS availability indication in 8.7.1.2. However, TRS availability indication should be also considered in the 8.7.1.1. |
| ZTE, Sanechips | We think that the TRS availability indication should be considered together with PEI design, or some reservation should be made to guarantee that there is some room for TRS availability indication in PEI to provide the flexibility for the discussion in 8.7.1.2 in the next meetings. |
| vivo | It should be discussed in AI 8.7.1.2. |
| Nokia | While it is clear that the details related to TRS availability has not been concluded, it would seem reasonable to consider the possibility in each design. |
| DOCOMO | We have same view as Huawei, and it should be reported since it can have the impact on the power saving gain. |
| Sony | This should be discussed in 8.7.1.2 |
| LG | We are ok with this proposal, at least it is worth to discuss on the feasibility. |
| Qualcomm | Agree that TRS availability is part of a reasonable PEI design. Companies should report their design for TRS availability in PEI. |
| Apple | This should be discussed in 8.7.1.2. We also think having PEI carry TRS availability indication is one valid option to consider, but we do not think TRS availability indication should dictate the PEI design. So this discussion should come after we decide the PEI design. |
| OPPO | It can be discussed in 8.7.1.2. Generally, we are fine with the scheme of carrying availability indication of connected-mode TRS for idle-mode UEs with PEI. It can be further studied in 8.7.1.2, and considering the PEI design conclusion in 8.7.1.1. |

In 8.7.1.2, the availability indication is agreed in this meeting. Since whether the availability needs to be carried by PEI should be decided first in 8.7.1.2, specific design can be carried out if needed. On the other hand, companies are encouraged to show the capability of carrying TRS availability indication for their suggested PEI candidate designs.

# Summary

In this document, the discussion on characterizing and deciding physical layer designs for UE sub-grouping and Paging Early Indication (PEI) are captured from [32]-[36]. In particular, the following agreements are achieved:

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| Agreements:   * Carrying UE subgroups information is considered in physical layer design for paging enhancement |
| Agreements:  For the evaluation and comparison of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS, the following are assumed:   * Behv-A:   + PEI indicates UE should monitor a PO if UE’s group/subgroup is paged   + UE is not required to monitor a PO if UE does not detect PEI at all PEI occasion(s) for the PO * Behv-B:   + PEI indicates whether or not UE should monitor a PO   + UE is required to monitor a PO if UE does not detect PEI at all PEI occasion(s) for the PO |
| Agreements:  For the evaluation and comparison of PEI candidate designs, companies to report   * Description of how PEI design can co-exist with existing channels/signals, and impact to legacy UEs.   + Rel-15 designs for multiplexing PEI with legacy channels/signals are assumed as baseline   + Other multiplexing method with legacy channels/signals can be additionally reported with justification |
| Agreement:   * Take Alt 1 as mandatory, and Alt 2 as optional   **Alt 1**  For the performance evaluations of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,   1. The following are assumed, at the SNR where the Miss-Detection Rate (MDR) of paging PDSCH is 1%,    1. When Behv-A is assumed:       1. The joint miss-detection rate (MDR) of PEI and paging PDCCH defined below should be no worse than 1%:   MDR\_Joint\_A = MDR\_PEI + (1 – MDR\_PEI) MDR\_PagingPDCCH   * + 1. The False-Alarm Rate (FAR) of PEI should be no larger than [1%]   1. When Behv-B is assumed:      1. The joint miss-detection rate (MDR) of PEI and paging PDCCH defined below should be no worse than 1%:   MDR\_Joint\_B = FAR\_PEI + (1 – FAR\_PEI) MDR\_PagingPDCCH   * + 1. The MDR of PEI should be no larger than [1%]   1. Note: The CFO is modeled at the input of PEI detection and based on LLS assumptions agreed in RAN1 #102-e. Companies should justify the applied random range for the CFO.  1. Companies to provide:    1. Information on the utilized detection method for each PEI candidate design (e.g., non-coherent detection or coherent detection)    2. The required #REs to comply with the performance assumptions    3. The maximum number of subgroups that can be carried in PEI, subject to the performance assumptions   **Alt 2**  For the performance evaluations of PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS,   1. The following are assumed, at the SNR where the Miss-Detection Rate (MDR) of paging DCI is 1%,    1. When Behv-A is assumed:       1. The MDR of PEI should be no larger than 0.1%       2. The False-Alarm Rate (FAR) of PEI should be no larger than 1%    2. When Behv-B is assumed:       1. The FAR of PEI should be no larger than 0.1%       2. The MDR of PEI should be no larger than 1%    3. Note: The CFO is modeled at the input of PEI detection and based on LLS assumptions agreed in RAN1 #102-e. Companies should justify the applied random range for the CFO. 2. Companies to provide:    1. Information on the utilized detection method for each PEI candidate design (e.g., non-coherent detection or coherent detection)    2. The required #REs to comply with the performance assumptions    3. The maximum number of subgroups that can be carried in PEI, subject to the performance assumptions |

**It is noticed that, for Alt 1, FAR\_PEI in Behv-B includes the probabilities of the following two events:**

* **Network transmits PEI indicating “monitor-PO” but UE falsely detects it as “not-to-monitor-PO”**
* **Network doesn’t transmit any PEI but UE falsely detects it as “not-to-monitor-PO”**

**For the case of PDCCH-based PEI, the above two events are part of the event of “CRC false alarm” can thus FAR\_PEI can be upper bounded by CRC false alarm rate. For TRS/CSI-RS-based and SSS-based designs, more elaboration is needed to characterize the corresponding values of FAR\_PEI.**

**It is also noticed that, in order not to impact legacy paging PDCCH, paging PDCCH resource is not changed for evaluating joint MDR of PEI and paging PDCCH, compared with the case without PEI**

For characterizing resource overhead, the following is also agreed

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| Agreements:  For the evaluation of resource overhead with PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS, companies to provide estimated overheads for PEI candidate designs based on the following factors:   1. Assumption of Behv-A/B 2. Required #REs from performance evaluations 3. 10% group paging rate per PO as baseline; other group paging rates can be optionally considered   and based on the following assumptions with justification (up to each company)   1. Whether and how coexistence with legacy UEs is considered 2. Whether and how indication(s) to multiple POs and/or UE subgroups by one PEI is considered 3. Whether and how multi-beam transmission is considered |

Finally, for the physical layer design on how to provide the indications for UE subgroups over PEI and/or paging PDCCH, the following is agreed:

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| Agreements:  Further study the design on how to provide the indications for UE subgroups over PEI and/or paging PDCCH, subject to the metrics agreed in RAN1 102e. |

It is noticed that the metrics agreed in RAN1 #102-e, as quoted below for reference, will also be considered for deciding the final design from PEI candidate designs based on PDCCH, TRS/CSI-RS and SSS:

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| Agreements:  For the study on paging enhancements to reduce unnecessary paging reception, the following metrics are considered:   * UE power saving gain (relative to a given feature or overall) * Impact to UE paging detection probability   + FFS: Link level simulation assumptions * System impact, including   + Additional resource overhead and its implications   + Impact to Rel-15/Rel-16 idle/inactive-mode UEs and connected-mode UEs   + Impact to other legacy functionalities, including SI change and ETWS indication   + [Note: NW energy consumption evaluation is not precluded] |

For completing the physical layer design, companies are encouraged to provide information on suggested PEI configurations and UE procedures for different PEI candidate designs. A good reference can be checked in [7].

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