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Agenda Item:Source: SamsungTitle: Enhanced performance using the adaptive SSDT ID codeDocument for: Approval

1. Introduction

SSDT (Site selection diversity transmit) ID code is used for the UE to transmit the result of selection for the primary cell to each cell in the active set [1]. It is therefore desirable that the SSDT ID code is designed as an error correcting code having good performance.

In the last Ad Hoc 9 meeting in Dresden, two contributions [2, 3] about SSDT ID code were proposed by Samsung and LGIC respectively. The contributions differed in terms of the criterion used to select the ID code. LGIC proposed an ID code with a performance gain in terms only of its error correcting ability. Samsung proposed an ID code adapted for the real situation, and requiring a limited amount of signalling, to reallocate cell IDs and inform each cell of the size of the active set.

Following discussions on the capability of the UTRAN to reallocate cell IDs, a liaison statement was sent to WG3 [4]. Even though the WG3 procedures do not support dynamic allocation of SSDT cell ID during operation of SSDT, we know that such reallocation may take place, from the sentence "However, SSDT ID cell may be reallocated by deactivating and reactivating SSDT with the Radio link reconfiguration procedure"[5]. Without loss of generality, it is possible to improve the overall performance of SSDT operation by sending additional information to Node B such as cell ID reallocation and the number of Node Bs in an active set. In a real situation, the active set update is not able to happen frequently, as it takes more than 1 second, so the additional signalling burden is negligible.

Technical report[6], TR25.942, Nokia shows simulation results relating to the active set size. In that report, it is clearly shown that the dominant case is for an active set of 2. (i.e., 55.79-78.2% of the time). Since the UTRAN knows the size of the active set, Samsung's proposal shows a 3dB performance gain with respect to LGIC's, at the expense of a small signalling overhead. Such a coding gain is not insignificant, so it is desirable to design a code according Samsung's criterion.

Comparing our proposed code to the current one, we can find two points. One is that the current one is not a linear block code, the other is that Samsung's code has the better performance in most practical cases.

Therefore, we propose a linear block code well-suited to the real situation, i.e., when the size of the active set is 2, it (actually, the repetition code) has the best performance and when the size of the active set is 4, as a code including the above the repetition code, it has the best performance, and so on. Hence, we can get the best performance with only minor additional signalling: the reallocation of ID in the active set update and the size of the active set.

In a view of the overall performance with just a few signalling, which the UTRAN may support, the LGIC's code degrades the performance of the SSDT operation when compared to the current code when the active set is small.

In this contribution, we highlight advantages in terms of the performance of our proposed ID code compared with LGIC's and the current one.

2. Active set size

In this section, the distribution of the active set size is described. This section is referred from the technical report[6].

Before describing the distribution of the active set size, for the reliability, the simulation assumption for the distribution of the active set size is describe.

2.1. Simulation assumptions (25.942, Chapter 11.2)

The used planning tool prototype can perform snapshot simulations and/or pixel by pixel calculations. For this study the pixel by pixel calculations were sufficient.

The SHO criterion was to include to the active set of a map pixel 1) the best cell, meaning the largest measured received CPICH Ec/No, and 2) all the cells within WINDOW_ADD from the best cell. Furthermore the size of the active set in a pixel is the number of the cells in the active set of that pixel.

In most simulations the WINDOW_ADD parameter was 5dB. The basis for this choice was to have approximately 40% soft handover probability that was considered as the worst case, but still a realistic case.

The pixels from which the UE is not able to maintain a connection due to uplink power limitation are doomed to outage and at these pixels the size of the active set is set to zero. In all but the last simulation case the uplink outage was calculated for 144kbit data. In the last case the uplink outage was calculated for 8kbit/s speech. The radio network planning was targeted to better than 95% coverage probability.

The simulations were done on the following cell layouts:

Case 1. Three sectored, 65 deg. antenna

Case 2. Three sectored, 90 deg. antenna

Case 3 Three sectored, 65 deg. antenna, bad radio network planning

Cases 4. Standard omni scenario used in the ACIR coexistence analysis

Case 4a. WINDOW_ADD = 5 dB Case 4b. WINDOW_ADD = 3 dB Case 4c. WINDOW_ADD = 7 dB

Case 5. Realistic map

In all but the last case the distance loss was calculated as 128.1+37.6*lg(R), as used in the ACIR coexistence analysis, on top of which a log-normally distributed shadow fading term was added, with standard deviation of 10 dB. The log normal fading was generated so that the correlation between the fading terms from any pair of cells was 0.5. In the last case the distance loss was calculated by an extended Okumura-Hata model with area type correction factors fit to measured data.

Case 1. Three sectored, 65 deg. antenna



SHO probability (area) WINDOW_ADD₁ = -5 dB (! different WINDOW_ADD possible !)















SHO probability (area) WINDOW_ADD₁ = -5 dB (! different WINDOW_ADD possible !) 60 ______59.8%



Cases 4. Standard omni scenario according to TS RAN 25.942 RF System Scenarios



Case 4b. WINDOW_ADD = 3dB



SHO probability (area) WINDOW_ADD₁ = -3 dB (! different WINDOW_ADD possible !)

Case 4c. WINDOW_ADD = 7dB

SHO probability (area) WINDOW_ADD₁ = -7 dB (! different WINDOW_ADD possible !)



Case 5. Realistic map



SHO probability (area) WINDOW_ADD₁ = -5 dB (! different WINDOW_ADD possible !)



2.2. Distribution of the active set size

The table 1 is the summary of the distribution of the active set size for the 7 cell layouts in [6]. As we can see, the case of less than 2 active set size is dominant (i.e., 55.79-78.2% from that report).

	Frequency for the size of Active Set		
Cell Layout	0-2	3-4	5-8
Three sectored, 65 deg. Antenna	65.1%	31.2%	3.6 %
Three sectored, 90 deg. Antenna	56.2%	38.3%	5.5%
Three sectored, 65 deg. Antenna, stupid planning	63.4%	32.3%	4.2%
Standard omni scenario according to TS RAN 25.942 RF System Scenarios (WINDOW_ADD = 5dB)	65.9%	30.1%	4%
Standard omni scenario according to TS RAN 25.942 RF System Scenarios (WINDOW_ADD = 3dB)	78.2%	20.9%	0.9%
Standard omni scenario according to TS RAN 25.942 RF System Scenarios (WINDOW_ADD = 7dB)	55.7%	34.8%	9.2%
Realistic map	66.1%	30.2%	3.5%

Table 1. The distribution of the size of Active Set

3. SSDT ID Code

In this section, the current SSDT ID code set, Samsung's, LGIC's one are described. Table 2: CURRENT SSDT ID codes

		ID code		
	ID label	"long"	"medium"	"short"
	A	000000000000000	000000(0)	00000
	В	1111111111111111	1111111(1)	11111
	С	00000001111111	0000111(1)	00011
1 bit	D	111111110000000	1111000(0)	11100
FBI	E	000011111111000	0011110(0)	00110
	F	11110000000111	1100001(1)	11001
	G	001111000011110	0110011(0)	01010
	Н	110000111100001	1001100(1)	10101
	٨	0000000(0)	000(0)	000
	A	0000000(0)	000(0)	000
	Р	1111111(1)	111(1)	111
D	В	1111111(1)	111(1)	111
	C	0000000(0)	000(0)	000
	0	1111111(1)	111(1)	111
	D	1111111(1)	111(1)	111
2 bit		0000000(0)	000(0)	000
FBI	F	0000111(1)	001(1)	001
		1111000(0)	110(0)	100
	F	1111000(0)	110(0)	110
		0000111(1)	001(1)	011
	G	0011110(0)	011(0)	010
		0011110(0)	011(0)	010
	н	1100001(1)	100(1)	101
		1100001(1)	100(1)	101

		ID code		
	ID label	"long"	"medium"	"short"
	А	000000000000000	(0)0000000	00000
	В	101010101010101	(0)1010101	10111
	С	011001100110011	(0)0110011	01101
1 bit	D	110011001100110	(0)1100110	11010
FBI	E	000111100001111	(0)0001111	00011
	F	101101001011010	(0)1011010	10100
	G	011110000111100	(0)0111100	01110
	Н	110100101101001	(0)1101001	11001
	^	(0)0000000	(0)000	000
	A	(0)0000000	(0)000	000
	В	(0)0000000	(0)000	000
_		(1)111111	(1)111	111
	C	(0)1010101	(0)101	101
	C	(0)1010101	(0)101	101
	D	(0)1010101	(0)101	101
2 bit	D	(1)0101010	(1)010	010
FBI	E	(0)0110011	(0)011	011
	L	(0)0110011	(0)011	011
	F	(0)0110011	(0)011	011
		(1)1001100	(1)100	100
	G	(0)1100110	(0)110	110
		(0)1100110	(0)110	110
	н	(0)1100110	(0)110	110
		(1)0011001	(1)001	001

Table 3: LGIC's proposed SSDT ID codes

	ID label	ID code		
		"long"	"medium"	"short"
	A	000000000000000	0000000(0)	00000
	В	111111111111111	1111111(1)	11111
	С	010101010101010	0101010(1)	01010
1 bit	D	101010101010101	1010101(0)	10101
FBI	E	001100110011001	0011001(1)	00110
	F	110011001100110	1100110(0)	11001
	G	011001100110011	0110011(0)	01100
	Н	100110011001100	1001100(1)	10011
	Δ	000000(0)	000(0)	000
	A	000000(0)	000(0)	000
	P	1111111(1)	111(1)	111
	Ь	1111111(1)	111(1)	111
	C	000000(0)	000(0)	000
	0	1111111(1)	111(1)	111
	П	1111111(1)	111(1)	111
2 bit		0000000(0)	000(0)	000
FBI	F	0101010(1)	010(1)	010
	E	0101010(1)	010(1)	010
	F	1010101(0)	101(0)	101
	Ι	1010101(0)	101(0)	101
	G	0101010(1)	010(1)	010
		1010101(0)	101(0)	101
	н	1010101(0)	101(0)	101
		0101010(1)	010(1)	010

Table 4: Samsung's proposed SSDT ID codes

Table 4 is the proposed SSDT ID code set. As we can see, in terms of the weight distribution as well as the minimum distance, sub-code set a, b are repetition codes, and sub-code set a,b,c,d are optimal among the code set including the repetition code. And whole code sets are optimal among the code set including sub-code set a,b,c,d.

So, we can classify ID code in the code set into three categories, i.e. category 1 is the active set with less than 2 size, category 2 is the active set with the size $3\sim4$, and category 3 is the active set with the size $5\sim8$. Then, the preferred ID code set for category 1 is {A,B}, the preferred ID code set for category 2 is {A,B,C,D}, the preferred ID code set for category 3 is {A,B,C,D,E,F,G,H}. "Category" can be used for the development of the decoding performance, instead of the size of size of the Active set size. Actually, in terms of the decoding performance, the only 2,4,8 codes are meaningful. So, we need only 2bit signalling message about the set size of the active set. Then, we need a reallocation, only when the category of the active set is changed. For example, assume that SSDT ID's A, B, C are used in the current active set. Then, the current active set is in the category 2. When the cell with SSDT ID B is out of the current active set, cells with SSDT ID A,C are remained. But, since the preferred ID code set of category is {A,B}, for the performance of, the ID code of the cell with SSDT ID C is changed into SSDT ID code B, and this reallocation message is

transmitted from Network into the only the cell with SSDT ID C in the previous active set.

In the view of using this signalling, LGIC's code degrade the performance when the active set is in the category 1, because LGIC's code have a minimum distance 8, while the current and Samsung's code have a minimum distance 15 (repetition code with length). Furthermore, the probability of the active set with less than 2 size is 55.79-78.2%.

4. Simulation results

In this section, we show some simulation result. Each result describes a comparing of performance in each size of the active set. In results, each graph describes the word error rate for the size of the active set. For each size of the active set, the word error rate is estimated in the same Eb/No.

- Simulation Conditions
 - No power control
 - 1-path fading channel with 3, 30, 100km/h mobile speed
 - Same SNR cases are compared near the Word Error Rate is 0.1%.
 - All simulation results are considered for the puncturing cases.

> Mobile Speed : 3km/h



Figure 1. Performance in 1bit FBI Long Type



Figure 2. Performance in 1bit FBI Medium Type



Figure 3. Performance in 1bit FBI Short Type



Figure 4. Performance in 2bit FBI Long Type





Figure 6. Performance in 2bit FBI Short Type

> Mobile Speed : 30km/h





Figure 8. Performance in 1bit FBI Medium Type









Figure 11. Performance in 2bit FBI Medium Type



Figure 12. Performance in 2bit FBI Short Type

▶ Mobile Speed : 100km/h







Figure 14. Performance in 1bit FBI Medium Type









Figure 17. Performance in 2bit FBI Medium Type



Figure 18. Performance in 2bit FBI Short Type

The following figures show the performance in word error rate vs. Eb/No. Simulation parameter is as follows. This simulation results are referred from [2]. Actually, in case of the active set size 2, the proposed code and the current code use the same code, i.e. the repetition code. But, LGIC uses the orthogonal code. The following figures show the difference of performance in that case. As we can see, the difference is about 3dB in the 1% error rate.



Figure 19. The Identification error rate versus Eb / No: 1 bit FBI, long ID type, the size of active set = 2, mobile velocity is 100 km/h, 1 path, no power control.



Figure 20. The Identification error rate versus Eb / No: 1 bit FBI, Medium ID type, the size of active set = 2, mobile velocity is 100 km/h, 1 path, no power control.



Figure 21. The Identification error rate versus Eb / No: 2 bit FBI, long ID type, the size of active set = 2, mobile velocity is 100 km/h, 1 path, no power control.



Figure 22. The Identification error rate versus Eb / No: 2 bit FBI, Medium ID type, the size of active set = 2, mobile velocity is 100 km/h, 1 path, no power control.

5. Conclusion

In this contribution, we describe the performance using a SSDT ID code adapted for a real situation, requiring only a minor amount of additional signalling, (i.e. a reallocation message of each cell ID and information on the size of the active set from the network to each cell). As we can see, the use of such additional signalling produces a significant performance advantage. It is therefore practical to use a code adapted for the real situation, because the signalling burden is negligible

6. Reference

[1] "UTRA FDD; Physical layer procedures", 3GPP TS25.214, v3.1.0 (1999-12).

[2] Samsung, "SSDT ID code (rev.1)", TSGR1#9(99)K91

[3] LGIC, "Optimum ID Codes for SSDT", TSGR1#9(99)K76

[4] WG1, "Proposed LS on Higher Layer Signalling for Site Selection Diversity Transmission Power Control", TSGR1#9(99)L74.

[5] WG3, "Response to LS on Higher Layer Signalling for Site Selection Diversity Transmission Power Control", TSGR1-00-0008.

[6] TR 25.942 v 2.0.0 (chapter 11)

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Proposed cha	nge affects: (U)SIM ME	X UTRAN / Radio	X Core Network
Source:	Samsung	Date:	2000-1-12
Subject:	Enhanced performance using the	adaptive SSDT ID code	
Work item:			
Category: (only one category Shall be marked With an X)	FCorrectionACorresponds to a correction in releaseBAddition of featureCFunctional modification of featDEditorial modification	an earlier	ase: Phase 2 Release 96 Release 97 Release 98 Release 99 Release 00
<u>Reason for</u> <u>change:</u>	The current ID codes for SDDT have This CR corrects the codes.	worse performance than t	the proposed codes.
	ted: 5214		
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Other specs Affected:	Other 3G core specifications-Other GSM core-specifications-MS test specifications-BSS test specifications-O&M specifications-	→ List of CRs: → List of CRs:	
Other comments:			
5.2.1.4	Site selection diversity transmit p	ower control	
	- · ·		
5.2.1.4.1	General		

Site selection diversity transmit power control (SSDT) is an optional macro diversity method in soft

handover mode.

Operation is summarised as follows. The UE selects one of the cells from its active set to be 'primary', all The main objective is to transmit on the downlink from the

primary cell, thus reducing the interference caused by multiple transmissions in a soft handover mode. A second objective is to achieve fast site selection without network intervention, thus maintaining the advantage of the soft handover. In order to select a primary cell, each cell is assigned a temporary identification (ID) and UE periodically informs a primary cell ID to the connecting cells. The non-primary cells selected by UE switch off the transmission power. The primary cell ID is delivered by UE to the active cells via uplink FBI field. SSDT activation, SSDT termination and ID assignment are all carried out by higher layer signalling.

5.2.1.4.1.1 Definition of temporary cell identification

Each cell is given a temporary ID during SSDT and the ID is utilised as site selection signal. The ID is given a binary bit sequence. There are three different lengths of coded ID available denoted as "long", "medium" and "short". The network decides which length of coded ID is used. Settings of ID codes for 1-bit and 2-bit FBI are exhibited in table 3 and table 4, respectively.

	ID code		
ID label	"long"	"medium"	"short"
а	00000000000000	000000(0)	00000
b	1111111111111111	1111111(1)	11111
С	<u>010101010101010</u>	<u>0101010(1)</u>	<u>01010</u>
	0000000111111	0000111(1)	00011
d	<u>101010101010101</u>	<u>1010101(0)</u>	<u>10101</u>
	1111111000000	1111000(0)	11100
е	<u>001100110011001</u>	<u>0011001(1)</u>	<u>00110</u>
	000011111111000	0011110(0)	00110
f	110011001100110	<u>1100110(0)</u>	<u>11001</u>
	11110000000111	1100001(1)	11001
g	<u>011001100110011</u>	0110011(0)	<u>01100</u>
-	001111000011110	0110011(0)	01010
h	<u>100110011001100</u>	1001100(1)	<u>10011</u>
	110000111100001	1001<u>100(1)</u>	10101

Table 3: Settings of ID codes for 1 bit FBI

	ID code		
	(Column and Row denote slot position and FBI-bit position.)		
ID label	"long"	"medium"	"short"
а	000000(0)	000(0)	000
	000000(0)	000(0)	000
b	111111(1)	111(1)	111
	111111(1)	111(1)	111
С	000000(0)	000(0)	000
	111111(1)	111(1)	111
d	111111(1)	111(1)	111
	000000(0)	000(0)	000
е	<u>0101010(1)</u>	<u>010(1)</u>	<u>010</u>
	<u>0101010(1)</u>	<u>010(1)</u>	<u>010</u>
	0000111(1)	001(1)	001
	1111000(0)	110(0)	100
f	<u>1010101(0)</u>	<u>101(0)</u>	<u>101</u>
	<u>1010101(0)</u>	<u>101(0)</u>	<u>101</u>
	1111000(0)	110(0)	110
	0000111(1)	001(1)	011
g	<u>0101010(1)</u>	<u>010(1)</u>	<u>010</u>
	<u>1010101(0)</u>	<u>101(0)</u>	<u>101</u>
	0011110(0)	011(0)	010
	0011110(0)	011(0)	010
h	<u>1010101(0)</u>	<u>101(0)</u>	<u>101</u>
	<u>0101010(1)</u>	<u>010(1)</u>	<u>010</u>
	1100001(1)	100(1)	101
	1100001(1)	100(1)	101

Table 4: Settings of ID codes for 2 bit FBI

ID must be terminated within a frame. If FBI space for sending a given ID cannot be obtained within a frame, hence if the entire ID is not transmitted within a frame but must be split over two frames, the last bit(s) of the ID is(are) punctured. The relating bit(s) to be punctured are shown with brackets in table 3 and table 4. From the high layer, the category is informed. There are three categories. For the category 1, the codeword a and b are used. And for the category 2, the codeword a, b, c, and d are used for the SSDT. Finally, all eight codewords are used for the category 3.

5.2.1.4.2 TPC procedure in UE

The TPC procedure of the UE in SSDT is identical to that described in subclause5.2.1.2 or 5.2.1.3 in compressed mode.

5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of CPICHs transmitted by the active cells. The cell with the highest CPICH RSCP is detected as a primary cell.

5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if the following conditions are fulfilled simultaneously:

- the received primary ID code does not match with the own ID code,
- the received uplink signal quality satisfies a quality threshold, Qth, a parameter defined by the network.

and, when the uplink link compressed mode, does not results in excessive levels of puncturing on the coded ID. The acceptable level of puncturing on the coded ID is less than (int)N_{ID}/3 symbols in the coded ID (where N_{ID} is the length of the coded ID).

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set with update synchronous. If a cell receives the last portion of the coded ID in uplink slot #j, the state of cell is updated in downlink slot# $\{(j+1+T_{os}) \mod 15\}$. Where T_{os} is defined as a constant of 2 time slots. The updating of cell state is unchanged by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. Period of primary cell update depends on the settings of code length and the number of FBI bits assigned for SSDT use as shown in table 5

	The number of FBI bits per slot assigned for SSDT		
code length	1	2	
"long"	1 update per frame	2 updates per frame	
"medium"	2 updates per frame	4 updates per frame	
"short"	3 updates per frame	5 updates per frame	

Table 5: Period of primary cell update