RP-040522

Title CRs (Rel-5 and Rel-6 Category A) to TS 25.331 on Criteria for initiating cell

update on receiving "Frequency info" IE in CELL UPDATE CONFIRM

message

Source Qualcomm Europe

Agenda Item 7.3.5

RAN2 Tdoc	Spec	curr. Vers.	new Vers.	CR	Rev	Cat	Rel	Title	Work item	
	25.331	5.10.0	5.11.0	2479	2	F	Rel-5	Criteria for initiating cell update on receiving "Frequency info" IE in CELL UPDATE CONFIRM message	TEI5	
	25.331	6.3.0	6.4.0	2480	2	A	Rel-6	Criteria for initiating cell update on receiving "Frequency info" IE in CELL UPDATE CONFIRM message	TEI5	

# 3GPP TSG-RAN2 #45 Shin-Yokohama, Japan, 15-19 November, 2004

				51, <b>200</b> 4						CR-Form-v7	
CHANGE REQUEST											
*	25.33	1 CR	2479	⊭rev	2	Ж	Current ve	ersion:	5.a.0	¥	
For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the 策 symbols.											
Proposed change affects: UICC apps# ME X Radio Access Network Core Network											
Title: 第	Criteria for initiating cell update on receiving "Frequency info" IE in CELL UPDATE CONFIRM message										
Source:											
Work item code: ₩	TEI5						Date:	₩ <mark>No</mark>	vember 7	2004	
Category:	F (co A (c B (a C (fu D (e Detailed e	orrection) orrespond ddition of unctional r ditorial ma	wing categor ls to a correct feature), modification of odification) ns of the aboots 21.900.	tion in an ea of feature)		elease	2	of the fo (GSN (Rele (Rele (Rele (Rele (Rele	I-5 ollowing rela M Phase 2) ease 1996) ease 1998) ease 1999) ease 4) ease 5) ease 6)		
Reason for change:   ** A UE entering CELL_FACH or CELL_PCH as a result of a cell update procedure											
in which the CELL UPDATE CONFIRM message contains the IE "Frequency info" is currently required always to perform a cell update. This results in unnecessary cell update procedures in the case where the UE is able successfully to select a cell to which the UTRAN directed it.										cessary	
Summary of chang	mak		I update pro								
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Consequences if not approved:	ж <mark>Unn</mark>	ecessary	cell update	procedure	s may	y be	initiated.				
Clauses affected: Other specs affected:	¥ 7 1	<b>K</b> Test s	core specif specification Specificatio	S	×						

Other comments: # Revision of R2-042419 and R2-042613.

## **How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <a href="http://www.3gpp.org/specs/CR.htm">http://www.3gpp.org/specs/CR.htm</a>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked \( \mathcal{H} \) contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;
- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - 2> includes "RB information elements"; and/or
  - 2> includes "Transport channel information elements"; and/or
  - 2> includes "Physical channel information elements"; and
  - 2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - 3> set the variable ORDERED\_RECONFIGURATION to TRUE.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - 2> if the IE "Frequency info" is included in the message:
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or URA\_PCH":
      - 4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

4> if the UE finds a suitable UTRA cell on that frequency:

5> if the received CELL UPDATE CONFIRM message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received CELL UPDATE CONFIRM message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

6> act as specified in subclause 8.3.1.12

5> otherwise, the UE may:

6> act as specified in subclause 8.3.1.12.

4> else, if the UE can not find a suitable UTRA cell on the indicated frequency but it finds a suitable UTRA cell on another frequency:

5> act as specified in subclause 8.3.1.12.

- 3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
  - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
  - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
  - 3> delete the stored TFS;
  - 3> use the TFS given in system information.

- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
  - 3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
    - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> for radio bearers with RB identity 5 and upwards:
    - 4> re-establish the AM RLC entities;
    - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS is set to "Started":
      - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.
- NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> if the variable ESTABLISHMENT\_CAUSE is set:
  - 2> clear the variable ESTABLISHMENT\_CAUSE.
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.
- If the UE after state transition enters CELL DCH state, it shall:
  - 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
  - 1> not prohibit periodical status transmission in RLC.
- If the UE after state transition remains in CELL\_FACH state, it shall
  - 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
  - 1> select PRACH according to subclause 8.5.17;
  - 1> select Secondary CCPCH according to subclause 8.5.19;
  - 1> not prohibit periodical status transmission in RLC;
  - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
    - 2> ignore that IE and stop using DRX.
- If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:
  - 1> prohibit periodical status transmission in RLC;
  - 1> clear the variable C\_RNTI;
  - 1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- NOTE: The UTRAN should not change the currently used value of the IE "UTRAN DRX cycle length coefficient" within a short time of moving the UE into CELL\_PCH/URA\_PCH state, otherwise there is a risk of a DRX cycle mismatch between the UE and UTRAN. This time should be long enough for the UTRAN to have sufficient confidence that the ACK to the reconfiguration complete message has been received by the UE and therefore the procedure has completed within the UE.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- If the UE after the state transition remains in CELL\_FACH state; and
  - 1> the contents of the variable C\_RNTI are empty:
- it shall check the value of V302; and:
  - 1> if V302 is equal to or smaller than N302:
    - 2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
      - 3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
      - 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
        - 4> abort the ongoing integrity and/or ciphering reconfiguration;
        - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
          - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
          - 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
        - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
          - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
          - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
    - 2> in case of a URA update procedure:
      - 3> stop the URA update procedure;
      - 3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
      - 3> continue with a cell update procedure.
    - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
    - 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
    - 2> increment counter V302;
    - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
  - 1> if V302 is greater than N302:
    - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
    - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

- 2> in case of a cell update procedure:
  - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> in case of a URA update procedure:
  - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> release all its radio resources:
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL FACH state; and

- a C-RNTI is stored in the variable C RNTI;

or

- the UE after the state transition moves to another state than the CELL FACH state:

## the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.

- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
    - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
  - 2> re-establish the RLC entity for RB2;
  - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
    - 3> if the received re-configuation message included the IE "Ciphering Mode Info":
      - 4> use the ciphering configuration in the received message when transmitting the response message.
    - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
      - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
        - 5> consider the new ciphering configuration to include the received new keys;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
      - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST CONFIGURED CN DOMAIN:
        - 5> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST\_CONFIGURED\_CN\_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
      - 4> apply the new ciphering configuration immediately following RLC re-establishment.
    - 3> else:
      - 4> continue using the current ciphering configuration.
  - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
  - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.

- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
    - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
  - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 1> clear the variable SECURITY\_MODIFICATION.
- 1> stop timers T314 and/or T315 if they are running.

The procedure ends.

# 3GPP TSG-RAN2 #45 Shin-Yokohama, Japan, 15-19 November, 2004

Shin-Yokohama, Japan, 15-19 November, 2004											
CHANGE REQUEST											
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Reason for change:   A UE entering CELL_FACH or CELL_PCH as a result of a cell update proin which the CELL UPDATE CONFIRM message contains the IE "Freque is currently required always to perform a cell update. This results in unnecell update procedures in the case where the UE is able successfully to seell to which the UTRAN directed it.  Summary of change:   Requirements on a UE entering CELL_FACH and CELL_PCH states more make the cell update procedure optional in the case that the UE is able to the indicated cell.  If the UE selects a cell on the expected frequency, but the IE "Primary CF info" is included, the UE is required to perform a cell update if it "disobeys contents of the IE. If the UE is unable to select a cell on the expected freit is also required to perform a cell update.								ncy info" cessary elect a diffied to select			
Consequences if		<mark>specif</mark>	ied by a	larified that in always perfo	orming a ce	<mark>ll update.</mark>		ave as	s currently	1	
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Other comments: # Revision of R2-042419 and R2-042613.

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# 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;
- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - 2> includes "RB information elements"; and/or
  - 2> includes "Transport channel information elements"; and/or
  - 2> includes "Physical channel information elements"; and
  - 2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - 3> set the variable ORDERED\_RECONFIGURATION to TRUE.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - 2> if the IE "Frequency info" is included in the message:
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or URA\_PCH":
      - 4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

4> if the UE finds a suitable UTRA cell on that frequency:

5> if the received CELL UPDATE CONFIRM message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received CELL UPDATE CONFIRM message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

6> act as specified in subclause 8.3.1.12

5> otherwise, the UE may:

6> act as specified in subclause 8.3.1.12.

4> else, if the UE can not find a suitable UTRA cell on the indicated frequency but it finds a suitable UTRA cell on another frequency:

5> act as specified in subclause 8.3.1.12.

- 3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
  - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
  - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
  - 3> delete the stored TFS;
  - 3> use the TFS given in system information.

- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
  - 3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
    - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> for radio bearers with RB identity 5 and upwards:
    - 4> re-establish the AM RLC entities;
    - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS is set to "Started":
      - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.
- NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> if the variable ESTABLISHMENT\_CAUSE is set:
  - 2> clear the variable ESTABLISHMENT\_CAUSE.
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.
- If the UE after state transition enters CELL DCH state, it shall:
  - 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
  - 1> not prohibit periodical status transmission in RLC.
- If the UE after state transition remains in CELL\_FACH state, it shall
  - 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
  - 1> select PRACH according to subclause 8.5.17;
  - 1> select Secondary CCPCH according to subclause 8.5.19;
  - 1> not prohibit periodical status transmission in RLC;
  - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
    - 2> ignore that IE and stop using DRX.
- If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:
  - 1> prohibit periodical status transmission in RLC;
  - 1> clear the variable C\_RNTI;
  - 1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- NOTE: The UTRAN should not change the currently used value of the IE "UTRAN DRX cycle length coefficient" within a short time of moving the UE into CELL\_PCH/URA\_PCH state, otherwise there is a risk of a DRX cycle mismatch between the UE and UTRAN. This time should be long enough for the UTRAN to have sufficient confidence that the ACK to the reconfiguration complete message has been received by the UE and therefore the procedure has completed within the UE.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- If the UE after the state transition remains in CELL\_FACH state; and
  - 1> the contents of the variable C\_RNTI are empty:
- it shall check the value of V302; and:
  - 1> if V302 is equal to or smaller than N302:
    - 2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
      - 3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
      - 3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
        - 4> abort the ongoing integrity and/or ciphering reconfiguration;
        - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
          - 5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
          - 5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
        - 4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
          - 5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
          - 5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
    - 2> in case of a URA update procedure:
      - 3> stop the URA update procedure;
      - 3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
      - 3> continue with a cell update procedure.
    - 2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
    - 2> submit the CELL UPDATE message for transmission on the uplink CCCH;
    - 2> increment counter V302;
    - 2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.
  - 1> if V302 is greater than N302:
    - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
    - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

- 2> in case of a cell update procedure:
  - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> in case of a URA update procedure:
  - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> release all its radio resources:
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL FACH state; and

- a C-RNTI is stored in the variable C RNTI;

or

- the UE after the state transition moves to another state than the CELL FACH state:

## the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.

- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
    - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
  - 2> re-establish the RLC entity for RB2;
  - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
    - 3> if the received re-configuation message included the IE "Ciphering Mode Info":
      - 4> use the ciphering configuration in the received message when transmitting the response message.
    - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
      - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
        - 5> consider the new ciphering configuration to include the received new keys;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
      - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST CONFIGURED CN DOMAIN:
        - 5> consider the new ciphering configuration to include the keys associated with the LATEST\_CONFIGURED\_CN\_DOMAIN;
        - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST\_CONFIGURED\_CN\_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
      - 4> apply the new ciphering configuration immediately following RLC re-establishment.
    - 3> else:
      - 4> continue using the current ciphering configuration.
  - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
  - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.

- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
    - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
  - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL\_UPDATE\_STARTED to FALSE;
- 1> clear the variable SECURITY\_MODIFICATION.
- 1> stop timers T314 and/or T315 if they are running.

The procedure ends.