TSG-RAN Meeting #26 **RP-040505**
Athen, Greece, 08-10 December 2004 **Agenda item 7.3.5**

Source: TSG-RAN WG2.

Title: CRs to 25.331 Rel-5 (3) (and Rel-6)

The following CRs are in RP-040505:

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	2473	-	Rel-5	Use of preconfiguration in the RADIO BEARER RECONFIGURATION message	F	5.10.0	5.11.0	R2-042642	TEI5
25.331	2474	-	Rel-6	Use of preconfiguration in the RADIO BEARER RECONFIGURATION message	A	6.3.0	6.4.0	R2-042643	TEI5
25.331	2475	-	Rel-5	UTRAN setting of ciphering activation time for SRB2	F	5.10.0	5.11.0	R2-042644	TEI5
25.331	2476	-	Rel-6	UTRAN setting of ciphering activation time for SRB2	A	6.3.0	6.4.0	R2-042645	TEI5
25.331	2477	-	Rel-5	Correction to ASN1 IE “srb-SpecificIntegrityProtInfo”	F	5.10.0	5.11.0	R2-042647	TEI5
25.331	2478	-	Rel-6	Correction to ASN1 IE “srb-SpecificIntegrityProtInfo”	A	6.3.0	6.4.0	R2-042648	TEI5
25.331	2479	1	Rel-5	Criteria for initiating cell update on receiving “Frequency info” IE in CELL UPDATE CONFIRM message	F	5.10.0	5.11.0	R2-042691	TEI5
25.331	2480	1	Rel-6	Criteria for initiating cell update on receiving “Frequency info” IE in CELL UPDATE CONFIRM message	A	6.3.0	6.4.0	R2-042692	TEI5
25.331	2481	-	Rel-5	Traffic volume measurements in PCH states	F	5.10.0	5.11.0	R2-042655	TEI5
25.331	2482	-	Rel-6	Traffic volume measurements in PCH states	A	6.3.0	6.4.0	R2-042656	TEI5
25.331	2483	-	Rel-5	Failure cause indication on Cell Update	F	5.10.0	5.11.0	R2-042657	TEI5
25.331	2484	-	Rel-6	Failure cause indication on Cell Update	A	6.3.0	6.4.0	R2-042658	TEI5
25.331	2492	-	Rel-5	Inter-RAT measurement control information used	F	5.10.0	5.11.0	R2-042687	TEI5
25.331	2493	-	Rel-6	Inter-RAT measurement control information used	A	6.3.0	6.4.0	R2-042688	TEI5

3GPP TSG-RAN2 Meeting #45
Shin-Yokohama, Japan, 15th- 19th November 2004

Tdoc ⌘R2-042642

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2473** ⌘**rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Use of preconfiguration in the RADIO BEARER RECONFIGURATION message
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/11/2004
Category:	⌘	**F**
Release:	⌘	REL-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	In REL-5 the use of pre- configuration has been introduced for the RADIO BEARER RECONFIGURATION message. This was introduced only for one scenario: the handover from GERAN Iu. However, the specification does not include any statements reflecting this restriction. A reconfiguration message includes changes to be made to the existing configuration ie. a delta. Pre- configurations on the other hand are complete configurations eg. including SRBs . Due to this property the use of pre-configurations should be restricted to scenario's where the UE starts from scratch eg. inter RAT handover, RRC connection establishment.
Summary of change:	⌘	A statement is added that in case the UE receives a RADIO BEARER RECONFIGURATION message via the Uu interface in which pre- configurations are used for, the UE behaviour is unspecified
Consequences if not approved:	⌘	It remains unclear to what extend the UE should support the use of pre-configuration in the RADIO BEARER RECONFIGURATION message **Isolated impact analysis:** This CR affects only UTRAN behaviour. The impact of the CR is isolated to the use of pre- configuration in the RADIO BEARER RECONFIGURATION message for reconfigurations other than handover from GERAN Iu. **Impact on test specifications:** No impact is foreseen.
Clauses affected:	⌘	8.2.2.3, 10.2.27

		Y	N			
Other specs affected:	⌘		X	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

2> RADIO BEARER SETUP message; or

2> RADIO BEARER RECONFIGURATION message; or

2> RADIO BEARER RELEASE message; or

2> TRANSPORT CHANNEL RECONFIGURATION message; or

2> PHYSICAL CHANNEL RECONFIGURATION message;

1> be able to perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see subclause 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise:

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

In case the UE receives a RADIO BEARER RECONFIGURATION message with the IE "Specification mode" set to "Preconfiguration" while the message is not sent through GERAN *Iu mode*, the UE behaviour is unspecified.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> clear any stored IE "Downlink HS-PDSCH information";

1> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

1> if "DPCH frame offset" is included for one or more RLs in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4];

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified.

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified.

2> else:

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM; or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> the UE behaviour is not specified.

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":

2> if prior to this procedure there exist no transparent mode RLC radio bearers:

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 are fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels. This message is also used to perform a handover from GERAN *Iu mode* to UTRAN.

RLC-SAP: AM or UM or sent through GERAN *Iu mode*

Logical channel: DCCH or sent through GERAN *Iu mode*

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	The UTRAN should not include this IE unless it is performing an SRNS relocation or a handover from GERAN *Iu mode*	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing either an SRNS relocation or a handover from GERAN *Iu mode* and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.2.6		
CHOICE specification mode	MP				REL-5
>Complete specification					
RB information elements					
>>RAB information to reconfigure list	OP	1 to < maxRABsetup >			
>>>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
>>RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>>>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
>>RB information to be affected list	OP	1 to <maxRB>			
>>>RB information to be affected	MP		RB information to be affected 10.3.4.17		
>>RB with PDCP context relocation info list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
>>>PDCP context relocation info	MP		PDCP context relocation info 10.3.4.1a		REL-5
TrCH Information Elements					
Uplink transport channels					
>>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>>Deleted TrCH information list	OP	1 to <maxTrCH>			
>>>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
>>Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>>>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
>>CHOICE *mode*	OP				

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>>>FDD					
>>>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>>TDD				(no data)	
Downlink transport channels					
>>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>>Deleted TrCH information list	OP	1 to <maxTrCH>			
>>>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
>>Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>>>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
>Preconfiguration					REL-5
>>CHOICE *Preconfiguration mode*	MP			This value only applies in case the message is sent through GERAN *Iu mode*	
>>>Predefined configuration identity	MP		Predefined configuration identity 10.3.4.5		
>>>Default configuration					
>>>>Default configuration mode	MP		Enumerated (FDD, TDD)	Indicates whether the FDD or TDD version of the default configuration shall be used	
>>>>Default configuration identity	MP		Default configuration identity 10.3.4.0		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

3GPP TSG-RAN2 Meeting #45
Shin-Yokohama, Japan, 15th- 19th November 2004

Tdoc ⌘R2-042643

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2474** ⌘ **rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Use of preconfiguration in the RADIO BEARER RECONFIGURATION message
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/11/2004
Category:	⌘	**A**
Release:	⌘	REL-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change:	⌘	In REL-5 the use of pre- configuration has been introduced for the RADIO BEARER RECONFIGURATION message. This was introduced only for one scenario: the handover from GERAN Iu. However, the specification does not include any statements reflecting this restriction. A reconfiguration message includes changes to be made to the existing configuration ie. a delta. Pre- configurations on the other hand are complete configurations eg. including SRBs . Due to this property the use of pre-configurations should be restricted to scenario's where the UE starts from scratch eg. inter RAT handover, RRC connection establishment.
Summary of change:	⌘	A statement is added that in case the UE receives a RADIO BEARER RECONFIGURATION message via the Uu interface in which pre- configurations are used for, the UE behaviour is unspecified
Consequences if not approved:	⌘	It remains unclear to what extend the UE should support the use of pre-configuration in the RADIO BEARER RECONFIGURATION message **Isolated impact analysis:** This CR affects only UTRAN behaviour. The impact of the CR is isolated to the use of pre- configuration in the RADIO BEARER RECONFIGURATION message for reconfigurations other than handover from GERAN Iu. **Impact on test specifications:** No impact is foreseen.
Clauses affected:	⌘	8.2.2.3, 10.2.27

		Y	N			
Other specs affected:	⌘		X	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

2> RADIO BEARER SETUP message; or

2> RADIO BEARER RECONFIGURATION message; or

2> RADIO BEARER RELEASE message; or

2> TRANSPORT CHANNEL RECONFIGURATION message; or

2> PHYSICAL CHANNEL RECONFIGURATION message;

1> be able to perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> if the UE has a pending "TGPS reconfiguration CFN" at the activation time received in the reconfiguration message and the reconfiguration requests a timing re-initialised hard handover (see subclause 8.3.5.1), the UE may:

2> abort the pending CM activation;

2> set the CM_PATTERN_ACTIVATION_ABORTED to TRUE.

1> otherwise:

2> set the CM_PATTERN_ACTIVATION_ABORTED to FALSE.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

In case the UE receives a RADIO BEARER RECONFIGURATION message with the IE "Specification mode" set to "Preconfiguration" while the message is not sent through GERAN *Iu mode*, the UE behaviour is unspecified.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> clear any stored IE "Downlink HS-PDSCH information";

1> determine the value for the HS_DSCH_RECEPTION variable and take the corresponding actions as described in subclause 8.5.25.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

1> if "DPCH frame offset" is included for one or more RLs in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4];

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> if the reconfiguration message is not used to perform SRNS relocation with change of ciphering algorithm:

3> the UE behaviour is not specified.

2> if the message is used to perform a timing re-initialised hard handover:

3> if IE "Ciphering activation time for DPCH" is included:

4> the UE behaviour is not specified.

2> else:

3> if the reconfiguration message is used to setup radio bearer(s) using RLC-TM; or

3> if radio bearer(s) using RLC-TM already exist:

4> if IE "Ciphering activation time for DPCH" is not included:

5> the UE behaviour is not specified.

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":

2> if prior to this procedure there exist no transparent mode RLC radio bearers:

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 are fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

10.2.27 RADIO BEARER RECONFIGURATION

This message is sent from UTRAN to reconfigure parameters related to a change of QoS. This procedure can also change the multiplexing of MAC, reconfigure transport channels and physical channels. This message is also used to perform a handover from GERAN *Iu mode* to UTRAN.

RLC-SAP: AM or UM or sent through GERAN *Iu mode*

Logical channel: DCCH or sent through GERAN *Iu mode*

Direction: UTRAN → UE

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE Information elements					
RRC transaction identifier	MP		RRC transaction identifier 10.3.3.36		
Integrity check info	CH		Integrity check info 10.3.3.16		
Integrity protection mode info	OP		Integrity protection mode info 10.3.3.19	The UTRAN should not include this IE unless it is performing an SRNS relocation or a handover from GERAN *Iu mode*	
Ciphering mode info	OP		Ciphering mode info 10.3.3.5	The UTRAN should not include this IE unless it is performing either an SRNS relocation or a handover from GERAN *Iu mode* and a change in ciphering algorithm	
Activation time	MD		Activation time 10.3.3.1	Default value is "now"	
New U-RNTI	OP		U-RNTI 10.3.3.47		
New C-RNTI	OP		C-RNTI 10.3.3.8		
New DSCH-RNTI	OP		DSCH-RNTI 10.3.3.9a		
New H-RNTI	OP		H-RNTI 10.3.3.14a		REL-5
RRC State Indicator	MP		RRC State Indicator 10.3.3.35a		
UTRAN DRX cycle length coefficient	OP		UTRAN DRX cycle length coefficient 10.3.3.49		
CN information elements					
CN Information info	OP		CN Information info 10.3.1.3		
UTRAN mobility information elements					
URA identity	OP		URA identity		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			10.3.2.6		
CHOICE specification mode	MP				REL-5
>Complete specification					
RB information elements					
>>RAB information to reconfigure list	OP	1 to < maxRABsetup >			
>>>RAB information to reconfigure	MP		RAB information to reconfigure 10.3.4.11		
>>RB information to reconfigure list	MP	1to <maxRB>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>>>RB information to reconfigure	MP		RB information to reconfigure 10.3.4.18		
>>RB information to be affected list	OP	1 to <maxRB>			
>>>RB information to be affected	MP		RB information to be affected 10.3.4.17		
>>RB with PDCP context relocation info list	OP	1 to <maxRBallRABs>		This IE is needed for each RB having PDCP and performing PDCP context relocation	REL-5
>>>PDCP context relocation info	MP		PDCP context relocation info 10.3.4.1a		REL-5
TrCH Information Elements					
Uplink transport channels					
>>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>>Deleted TrCH information list	OP	1 to <maxTrCH>			
>>>Deleted UL TrCH information	MP		Deleted UL TrCH information 10.3.5.5		
>>Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>>>Added or Reconfigured UL TrCH information	MP		Added or Reconfigured UL TrCH information 10.3.5.2		
>>CHOICE *mode*	OP				

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
>>>FDD					
>>>>CPCH set ID	OP		CPCH set ID 10.3.5.3		
>>>>Added or Reconfigured TrCH information for DRAC list	OP	1 to <maxTrCH>			
>>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>>TDD				(no data)	
Downlink transport channels					
>>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>>Deleted TrCH information list	OP	1 to <maxTrCH>			
>>>Deleted DL TrCH information	MP		Deleted DL TrCH information 10.3.5.4		
>>Added or Reconfigured TrCH information list	OP	1 to <maxTrCH>			
>>>Added or Reconfigured DL TrCH information	MP		Added or Reconfigured DL TrCH information 10.3.5.1		
>Preconfiguration					REL-5
>>CHOICE *Preconfiguration mode*	MP			This value only applies in case the message is sent through GERAN *Iu mode*	
>>>Predefined configuration identity	MP		Predefined configuration identity 10.3.4.5		
>>>Default configuration					
>>>>Default configuration mode	MP		Enumerated (FDD, TDD)	Indicates whether the FDD or TDD version of the default configuration shall be used	
>>>>Default configuration identity	MP		Default configuration identity 10.3.4.0		
PhyCH information elements					
Frequency info	OP		Frequency info 10.3.6.36		
Uplink radio resources					
Maximum allowed UL TX power	MD		Maximum allowed UL TX power 10.3.6.39	Default value is the existing maximum UL TX power	
CHOICE *channel requirement*	OP				
>Uplink DPCH info			Uplink		

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
			DPCH info 10.3.6.88		
>CPCH SET Info			CPCH SET Info 10.3.6.13		
Downlink radio resources					
CHOICE *mode*	MP				
>FDD					
>>Downlink PDSCH information	OP		Downlink PDSCH information 10.3.6.30		
>TDD				(no data)	
Downlink HS-PDSCH Information	OP		Downlink HS-PDSCH Information 10.3.6.23a		REL-5
Downlink information common for all radio links	OP		Downlink information common for all radio links 10.3.6.24		
Downlink information per radio link list	MP	1 to <maxRL>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Downlink information for each radio link	MP		Downlink information for each radio link 10.3.6.27		

3GPP TSG RAN2#45 **R2-042644**
Yokohama, Japan, 15-19 November 2004

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2475** ⌘ **rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	UTRAN setting of ciphering activation time for SRB2
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	28/10/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change: ⌘

UTRAN setting of downlink ciphering activation time in SECURITY MODE COMMAND procedure for an RB that has a pending activation time is only specified for SRBs and RBs other than SRB2 as follows.

> "set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure…"

For SRB2 there is no mentioning of a pending activation time. The specification just says;

> "set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info"…. "

The reason of this difference is that the UTRAN can not just set downlink ciphering activation time to a pending activation time since it should be ensured that whole SECURITY MODE COMMAND message will be sent with the old ciphering configuration.

However this loose requirement leads to a problem. If a URTAN implementation is to set the downlink activation time to a value far from the current RLC sequence number, it is possible that the pending activation time from the first SMC is still remaining after another downlink ciphering activation time is set by the second SMC.
The current specification doesn't assume this. For instance, the UE behaviour is

		not specified if the UE has more than one pending activation time and RLC re-establishment occurs on SRB2 (e.g. by SRNS relocation).
Summary of change:	⌘	It is proposed to add a note stating that the UTRAN should avoid the situation that the UE is aware of more than one pending downlink ciphering activation times for SRB2. In such a case the UE behaviour is unspecified. I should be noted that the proposed note still allows the UTRAN to set a downlink activation time for SRB2 to a different value from a pendign activation time as long as the pending acitvation time will be reached by the SECURITY MODE COMMAND message that the UTRAN is about to send.
Consequences if not approved:	⌘	The UE behaviour is unspecified with regard to a handling of pending downlink cipehring activation times for SRB2. Ciphering on SRB2 would not work. **Isolated impact analysis:** This CR affects only UTRAN behaviour. This CR has isolated impact for the setting of downlink downlink cipehring activation times for SRB2 of UTRAN. **Impact on test specifications:** No impact is foreseen.

Clauses affected:	⌘	8.1.12.2.1			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RB0, according to the following:

2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.

1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

NOTE: The UTRAN should avoid the situation that the UE is aware of more than one pending downlink ciphering activation times for SRB2. In such a case the UE behaviour is unspecified.

1> if a transparent mode radio bearer for this CN domain exists:

2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0).

NOTE: UTRAN should chose the value for the IE "Ciphering activation time for DPCH" such that the new ciphering configuration will occur after all the pending ciphering activation times have been reached for the transparent mode radio bearers of this CN domain.

1> consider a ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;

1> if Integrity protection has already been started for the UE:

2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

3GPP TSG RAN2#45 **R2-042645**
Yokohama, Japan, 15-19 November 2004

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2476** ⌘ **rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	UTRAN setting of ciphering activation time for SRB2
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	28/10/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change: ⌘

UTRAN setting of downlink ciphering activation time in SECURITY MODE COMMAND procedure for an RB that has a pending activation time is only specified for SRBs and RBs other than SRB2 as follows.

> "set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure…"

For SRB2 there is no mentioning of a pending activation time. The specification just says;

> "set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info"…. "

The reason of this difference is that the UTRAN can not just set downlink ciphering activation time to a pending activation time since it should be ensured that whole SECURITY MODE COMMAND message will be sent with the old ciphering configuration.

However this loose requirement leads to a problem. If a URTAN implementation is to set the downlink activation time to a value far from the current RLC sequence number, it is possible that the pending activation time from the first SMC is still remaining after another downlink ciphering activation time is set by the second SMC.
The current specification doesn't assume this. For instance, the UE behaviour is

		not specified if the UE has more than one pending activation time and RLC re-establishment occurs on SRB2 (e.g. by SRNS relocation).
Summary of change:	⌘	It is proposed to add a note stating that the UTRAN should avoid the situation that the UE is aware of more than one pending downlink ciphering activation times for SRB2. In such a case the UE behaviour is unspecified. I should be noted that the proposed note still allows the UTRAN to set a downlink activation time for SRB2 to a different value from a pendign activation time as long as the pending acitvation time will be reached by the SECURITY MODE COMMAND message that the UTRAN is about to send.
Consequences if not approved:	⌘	The UE behaviour is unspecified with regard to a handling of pending downlink cipehring activation times for SRB2. Ciphering on SRB2 would not work. **Isolated impact analysis:** This CR affects only UTRAN behaviour. This CR has isolated impact for the setting of downlink downlink cipehring activation times for SRB2 of UTRAN. **Impact on test specifications:** No impact is foreseen.

Clauses affected:	⌘	8.1.12.2.1			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.12.2.1 Ciphering configuration change

To start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered. UTRAN should not transmit a SECURITY MODE COMMAND to signal a change in ciphering algorithm.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three. Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

1> suspend all radio bearers using RLC-AM or RLC-UM and all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM, and except signalling radio bearer RB0, according to the following:

2> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers.

1> set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

NOTE: The UTRAN should avoid the situation that the UE is aware of more than one pending downlink ciphering activation times for SRB2. In such a case the UE behaviour is unspecified.

1> if a transparent mode radio bearer for this CN domain exists:

2> include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0).

NOTE: UTRAN should chose the value for the IE "Ciphering activation time for DPCH" such that the new ciphering configuration will occur after all the pending ciphering activation times have been reached for the transparent mode radio bearers of this CN domain.

1> consider a ciphering activation time in downlink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

1> set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;

1> set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;

1> if Integrity protection has already been started for the UE:

2> if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

2> if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:

3> include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND.

1> transmit the SECURITY MODE COMMAND message on RB2.

3GPP TSG-RAN2 Meeting #45
Shin Yokohama, Japan, 15-19 Nov 2004

Tdoc ⌘R2-042647

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2477** ⌘**rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	Correction to ASN1 IE "srb-SpecificIntegrityProtInfo"
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	17/11/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

Ph2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change:	⌘	The ASN.1 description of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs conflicts with the tabular description about IE "Signalling radio bearer specific integrity protection information" in SRNS RELOCATION INFO. E.g. the source RNC is Rel-4 and the target RNC is Rel-5, if Integrity Protection status has the value "not started", the source RNC can pay no attention to srb-SpecificIntegrityProtInfo in SRNC-RelocationInfo-r4-IEs according as the tabular description, that will result in a coding failure due to a random value for the IE srb-SpecificIntegrityProtInfo.
Summary of change:	⌘	Correction to the ASN.1 description of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs.
Consequences if not approved:	⌘	The ASN.1 of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs can't set the IE "Signalling radio bearer specific integrity protection information" which needn't be included.

Clauses affected:	⌘	11.5; 14.12.4.2			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

```
-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                                  SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v590ext
                                                                                SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                SRNC-RelocationInfo-v5a0ext-IE
                                                    -- Reserved for future non critical extension
                                                    nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions   SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                    } OPTIONAL
                } OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                } OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
```

```
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
         -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
         -- SRB-InformationSetupList
        -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
        -- IE integrityProtectionStatus has the value "not started".
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
```

```
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

SRNC-RelocationInfo-v4d0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4b0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4b0ext    UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
        dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

CodeChangeStatusList ::= SEQUENCE (SIZE (1..maxRL)) OF
        CodeChangeStatus

CodeChangeStatus ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        scramblingCodeChange                ScramblingCodeChange
}
```

```
StoredCompressedModeInfo ::= SEQUENCE {
        storedTGP-SequenceList      StoredTGP-SequenceList,
        codeChangeStatusList        CodeChangeStatusList    OPTIONAL
}

StoredTGP-SequenceList ::=              SEQUENCE (SIZE (1..maxTGPS)) OF
                                        StoredTGP-Sequence

StoredTGP-Sequence ::=                  SEQUENCE {
    tgpsi                               TGPSI,
    current-tgps-Status                         CHOICE {
        active                          SEQUENCE {
            tgcfn                               TGCFN
        },
        inactive                            NULL
    },
    tgps-ConfigurationParams            TGPS-ConfigurationParams            OPTIONAL
}

SRNC-RelocationInfo-r4-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
        -- IE integrityProtectionStatus has the value "not started".
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r4,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList-r4         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r4      OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}
```

```
SRNC-RelocationInfo-r5-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList   OPTIONAL,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r5,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle
                                        UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT
                                        UESpecificBehaviourInformation1interRAT     OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList-r5    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r5               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList-r5,
        rab-InformationList             RAB-InformationSetupList-r5         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r5      OPTIONAL,
    -- PhyCH IEs
        tpc-CombinationInfoList         TPC-CombinationInfoList             OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
    -- Other IEs
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

CipheringInfoPerRB-r4 ::=           SEQUENCE {
    rb-Identity                         RB-Identity,
    dl-HFN                              BIT STRING (SIZE (20..25)),
    dl-UM-SN                            BIT STRING (SIZE (7))               OPTIONAL,
    ul-HFN                              BIT STRING (SIZE (20..25))
```

```
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::=      SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB-r4


CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CipheringStatusList-r4 ::=          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::=      SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus,
        start-Value                     START-Value
}

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                    BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements                 BOOLEAN                             OPTIONAL,
    tdd128-Measurements                 BOOLEAN                             OPTIONAL,
    gsm-Measurements                    GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements            BOOLEAN                             OPTIONAL
}

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL
}

DL-PhysChCapabilityFDD-r5 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL,
    fdd-hspdsch                         CHOICE {
        supported                           SEQUENCE {
            hsdsch-physical-layer-category      HSDSCH-physical-layer-category,
            supportOfDedicatedPilotsForChannelEstimationOfHSDSCH    BOOLEAN,
            -- simultaneousSCCPCH-DPCH-HSDSCH-Reception shall be true only if the
            -- IE SimultaneousSCCPCH-DPCH-Reception indicates support of simultaneous
            -- reception of S-CCPCH and DPCH
            simultaneousSCCPCH-DPCH-HSDSCH-Reception    BOOLEAN
        },
        unsupported                         NULL
    }
}
```

```
DL-PhysChCapabilityTDD-r5 ::=       SEQUENCE {
    maxTS-PerFrame                      MaxTS-PerFrame,
    maxPhysChPerFrame                   MaxPhysChPerFrame,
    minimumSF                           MinimumSF-DL,
    supportOfPDSCH                      BOOLEAN,
    maxPhysChPerTS                      MaxPhysChPerTS,
    tdd384-hspdsch                      CHOICE {
        supported                           HSDSCH-physical-layer-category,
        unsupported                         NULL
    }
}

DL-PhysChCapabilityTDD-LCR-r5 ::=   SEQUENCE {
    maxTS-PerSubFrame                   MaxTS-PerSubFrame-r4,
    maxPhysChPerFrame                   MaxPhysChPerSubFrame-r4,
    minimumSF                           MinimumSF-DL,
    supportOfPDSCH                      BOOLEAN,
    maxPhysChPerTS                      MaxPhysChPerTS,
    supportOf8PSK                       BOOLEAN,
    tdd128-hspdsch                      CHOICE {
        supported                           HSDSCH-physical-layer-category,
        unsupported                         NULL
    }
}

DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r},
    dl-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    dl-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    dl-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    dl-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    dl-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    dl-dyn-changed                      BOOLEAN
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

InterRAT-UE-RadioAccessCapabilityList-r5 ::=    SEQUENCE {
    interRAT-UE-RadioAccessCapability   InterRAT-UE-RadioAccessCapabilityList,
    geranIu-RadioAccessCapability       GERANIu-RadioAccessCapability               OPTIONAL
}

MaxHcContextSpace-r5 ::=                ENUMERATED {
                                            by512, by1024, by2048, by4096, by8192,
                                            by16384, by32768, by65536, by131072 }

MeasurementCapability-r4 ::=        SEQUENCE {
    downlinkCompressedMode              CompressedModeMeasCapability-r4,
    uplinkCompressedMode                CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
```

```
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r5 ::=               SEQUENCE {
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL,
    measurementCommand-v590ext          CHOICE {
        -- the choice "intra-frequency" shall be used for the case of intra-frequency measurement,
        -- as well as when intra-frequency events are configured for inter-frequency measurement
        intra-frequency                     Intra-FreqEventCriteriaList-v590ext,
        inter-frequency                     Inter-FreqEventCriteriaList-v590ext
    }       OPTIONAL,
    intraFreqReportingCriteria-1b-r5        IntraFreqReportingCriteria-1b-r5        OPTIONAL,
    intraFreqEvent-1d-r5                    IntraFreqEvent-1d-r5                    OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

OngoingMeasRepList-r5 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r5

PDCP-Capability-r4 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PDCP-Capability-r5 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace-r5
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0,
            supportForRfc3095ContextRelocation  BOOLEAN
        }
    }
}

PhysicalChannelCapability-r4 ::=    SEQUENCE {
        fddPhysChCapability             SEQUENCE {
            downlinkPhysChCapability        DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability          UL-PhysChCapabilityFDD
        }                                       OPTIONAL,
        tdd384-PhysChCapability         SEQUENCE {
            downlinkPhysChCapability        DL-PhysChCapabilityTDD,
            uplinkPhysChCapability          UL-PhysChCapabilityTDD
        }                                       OPTIONAL,
        tdd128-PhysChCapability         SEQUENCE {
            downlinkPhysChCapability        DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability          UL-PhysChCapabilityTDD-LCR-r4
        }                                       OPTIONAL
```

```
}

PhysicalChannelCapability-r5 ::=        SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
}

RF-Capability-r4 ::=                SEQUENCE {
        fddRF-Capability                    SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            txRxFrequencySeparation             TxRxFrequencySeparation
        }                                                               OPTIONAL,
        tdd384-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL,
        tdd128-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL
}

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
}

RLC-Capability-r5 ::=               SEQUENCE {
    totalRLC-AM-BufferSize              TotalRLC-AM-BufferSize-r5,
    maximumRLC-WindowSize               MaximumRLC-WindowSize,
    maximumAM-EntityNumber              MaximumAM-EntityNumberRLC-Cap
}

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
```

```
                                        otherStates
}

TotalRLC-AM-BufferSize-r5 ::=       ENUMERATED {
                                        kb10, kb50, kb100, kb150, kb200,
                                        kb300, kb400, kb500, kb750, kb1000 }

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        tpc-CombinationIndex                TPC-CombinationIndex
}

UE-MultiModeRAT-Capability-r5 ::=   SEQUENCE {
    multiRAT-CapabilityList             MultiRAT-Capability,
    multiModeCapability                 MultiModeCapability,
    supportOfUTRAN-ToGERAN-NACC         BOOLEAN
}

UE-Positioning-Capability-r4 ::=    SEQUENCE {
    standaloneLocMethodsSupported           BOOLEAN,
    ue-BasedOTDOA-Supported                 BOOLEAN,
    networkAssistedGPS-Supported            NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames     BOOLEAN,
    supportForIPDL                          BOOLEAN,
    rx-tx-TimeDifferenceType2Capable        BOOLEAN,
    validity-CellPCH-UraPCH                 ENUMERATED { true }     OPTIONAL,
    sfn-sfnType2Capability                  ENUMERATED { true }         OPTIONAL
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

UE-RadioAccessCapability-r4 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    pdcp-Capability                     PDCP-Capability-r4,
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UE-RadioAccessCapability-r5 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    dl-CapabilityWithSimultaneousHS-DSCHConfig
                                        DL-CapabilityWithSimultaneousHS-DSCHConfig  OPTIONAL,
    pdcp-Capability                     PDCP-Capability-r5,
    rlc-Capability                      RLC-Capability-r5,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r5,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability-r5,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r},
    ul-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    ul-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    ul-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    ul-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    ul-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    ul-ref-sn-1                         INTEGER (0..65535)          OPTIONAL

}
```

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover/cell reselection from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
Non RRC IEs					
>RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.	
>State of RRC	MP		RRC state indicator, 10.3.3.35a		
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)		
Ciphering related information					
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>Ciphering status	MP		Enumerated(Not started, Started)		
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.	
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.	
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover and cell reselection from GERAN *Iu mode* this field is not present.	
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call	
>>SFN	MP		Integer(0..4095)		
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC	
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>COUNT-C	MP		Bit string(32)		
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.	
>>RB identity	MP		RB identity 10.3.4.16		
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM	
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
Integrity protection related information					
>Integrity protection status	MP		Enumerated(Not started, Started)		
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>			
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.	
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>Implementation specific parameters	OP		Bit string (1..512)		
RRC IEs					
UE Information elements					
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover or cell reselection from GERAN *Iu mode.*	
>C-RNTI	OP		C-RNTI 10.3.3.8		
>UE radio access Capability	MP		UE radio access capability 10.3.3.42		
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a		
>Last known UE position	OP				
>>SFN	MP		Integer (0..4095)	Time when position was estimated	
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.	
>>CHOICE *Position estimate*	MP				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a		
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d		
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e		
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b		
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c		
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
Other Information elements					
>UE system specific capability	OP	1 to <maxSystemCapability>			
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7		
UTRAN Mobility Information elements					
>URA Identifier	OP		URA identity 10.3.2.6		
CN Information Elements					
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9		
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain	
>>CN domain identity	MP				
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6		
Measurement Related Information elements					
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>			
>>Measurement Identity	MP		Measurement identity 10.3.7.48		
>>Measurement Command	MP		Measurement command 10.3.7.46		
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50		
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49		
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1		
>>CHOICE *Measurement*	OP				
>>>Intra-frequency					
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33		
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38		
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-frequency					

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13		
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18		
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>Inter-frequency set update	OP		Inter-frequency set update 10.3.7.22		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-RAT					
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23		
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29		
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Traffic Volume					
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70		
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71		
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Quality					
>>>>Quality measurement quantity	OP		Quality measurement quantity 10.3.7.59		
>>>>CHOICE *report criteria*	OP				
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE internal					
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79		
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82		
>>>>CHOICE *report criteria*	OP				
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			criteria 10.3.7.80		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE positioning					
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111		
>>>>CHOICE *report criteria*	OP				
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting					
Radio Bearer Information Elements					
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a		
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer	
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24		
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB	
>>RAB information	MP		RAB information to setup 10.3.4.10		
Transport Channel Information Elements					
Uplink transport channels					
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>UL transport channel information list	OP	1 to <MaxTrCH>			
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2		
>CHOICE *mode*	OP				
>>FDD					
>>>CPCH set ID	OP		CPCH set ID		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			10.3.5.5		
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>			
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>TDD				(no data)	
Downlink transport channels					
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>DL transport channel information list	OP	1 to <MaxTrCH>			
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
PhyCH information elements					
>TPC Combination Info list	OP	1 to <maxRL>			
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>TPC combination index	MP		TPC combination index 10.3.6.85		
>Transmission gap pattern sequence	OP	1 to <maxTGPS>			REL-5
>>TGPSI	MP		TGPSI 10.3.6.82		
>> Current TGPS Status Flag	MP		Enumerated(active, inactive)	This flag indicates the current status of the Transmission Gap Pattern Sequence, whether it is active or inactive	
>>TGCFN	CV-*Active*		Integer (0..255)	Connection Frame Number of the latest past frame of the first pattern within the Transmission Gap Pattern Sequence.	
>>Transmission gap pattern sequence configuration parameters	OP				
>>>TGMP	MP		Enumerated(TDD measurement, FDD measurement, GSM carrier RSSI measurement, GSM Initial BSIC identification, GSM BSIC re-confirmation, Multi-carrier measurement)	Transmission Gap pattern sequence Measurement Purpose.	
>>>TGPRC	MP		Integer (1..511, Infinity)	The number of remaining transmission gap patterns within the Transmission Gap Pattern Sequence.	
>>>TGSN	MP		Integer (0..14)	Transmission Gap Starting Slot Number The slot number of the first transmission gap slot within the TGCFN.	
>>>TGL1	MP		Integer(1..14	The length of the first Transmission Gap within the	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			)	transmission gap pattern expressed in number of slots	
>>>TGL2	MD		Integer (1..14)	The length of the second Transmission Gap within the transmission gap pattern. If omitted, then TGL2=TGL1. The value of TGL2 shall be ignored if TGD is set to "undefined"	
>>>TGD	MP		Integer(15..269, undefined)	Transmission gap distance indicates the number of slots between starting slots of two consecutive transmission gaps within a transmission gap pattern. If there is only one transmission gap in the transmission gap pattern, this parameter shall be set to undefined.	
>>>TGPL1	MP		Integer (1..144)	The duration of transmission gap pattern 1.	
>>>TGPL2	MD		Integer (1..144)	The duration of transmission gap pattern 2. If omitted, then TGPL2=TGPL1.	
>>>RPP	MP		Enumerated (mode 0, mode 1).	Recovery Period Power control mode during the frame after the transmission gap within the compressed frame. Indicates whether normal PC mode or compressed PC mode is applied	
>>>ITP	MP		Enumerated (mode 0, mode 1).	Initial Transmit Power is the uplink power control method to be used to compute the initial transmit power after the compressed mode gap.	
>>>CHOICE *UL/DL mode*	MP				
>>>>DL only				Compressed mode used in DL only	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>UL only				Compressed mode used in UL only	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer	Method for generating uplink compressed mode gap	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			scheduling)		
>>>>UL and DL				Compressed mode used in UL and DL	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>Downlink frame type	MP		Enumerated (A, B)		
>>>DeltaSIR1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the first transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)	
>>>DeltaSIRafter1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the first transmission gap in the transmission gap pattern.	
>>>DeltaSIR2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the second transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase) When omitted, DeltaSIR2 = DeltaSIR1.	
>>>DeltaSIRafter2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the second transmission gap in the transmission gap pattern. When omitted, DeltaSIRafter2 = DeltaSIRafter1.	
>>>N Identify abort	CV-*Initial BSIC*		Integer(1..128)	Indicates the maximum number of repeats of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>T Reconfirm abort	CV-*Re-confirm BSIC*		Real(0.5..10.0 by step of 0.5)	Indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure. The time is given in steps of 0.5 seconds.	
>Scrambling Code Change List	CH-*SF/2*	1 to <maxRL>			REL-5
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>Scrambling code change	MP		Enumerated (code change, no code change)	Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'.	
Other Information elements					
>Measurement report	OP		MEASUREMENT REPORT 10.2.1.9		
>Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)	
>Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12		

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.
Active	This IE is mandatory present when the value of the IE "Current TGPS Status Flag" is "Active" and not needed otherwise.
Initial BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM Initial BSIC identification" and not needed otherwise.
Re-confirm BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM BSIC re-confirmation" and not needed otherwise.
SF/2	The IE is mandatory present if the IE “Transmission Gap Pattern Sequence” is included and has the value “SF/2” as the compressed mode method, and already sent the UE the IE “Scrambling Code Change” for each RL in the active set. Otherwise the IE is not needed.

3GPP TSG-RAN2 Meeting #45
Shin Yokohama, Japan, 15-19 Nov 2004

Tdoc ⌘R2-042648

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2478** ⌘**rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	Correction to ASN1 IE "srb-SpecificIntegrityProtInfo"
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	17/11/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change:	⌘	The ASN.1 description of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs conflicts with the tabular description about IE "Signalling radio bearer specific integrity protection information" in SRNS RELOCATION INFO. E.g. the source RNC is Rel-4 and the target RNC is Rel-5, if Integrity Protection status has the value "not started", the source RNC can pay no attention to srb-SpecificIntegrityProtInfo in SRNC-RelocationInfo-r4-IEs according as the tabular description, that will result in a coding failure due to a random value for the IE srb-SpecificIntegrityProtInfo.
Summary of change:	⌘	Correction to the ASN.1 description of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs.
Consequences if not approved:	⌘	The ASN.1 of SRNC-RelocationInfo-r3-IEs and SRNC-RelocationInfo-r4-IEs can't set the IE "Signalling radio bearer specific integrity protection information" which needn't be included.

Clauses affected:	⌘	11.5; 14.12.4.2

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

```
-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                    -- Reserved for future non critical extension
                                                    nonCriticalExtensions           SEQUENCE {}
    OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions   SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                    } OPTIONAL
                } OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                } OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
```

```
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
         -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
         -- SRB-InformationSetupList
        -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
        -- IE integrityProtectionStatus has the value "not started".
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
```

```
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

SRNC-RelocationInfo-v4d0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4b0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4b0ext    UE-RadioAccessCapability-v4b0ext        OPTIONAL
}

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext   OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
        dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

CodeChangeStatusList ::= SEQUENCE (SIZE (1..maxRL)) OF
        CodeChangeStatus

CodeChangeStatus ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        scramblingCodeChange                ScramblingCodeChange
}
```

```
StoredCompressedModeInfo ::= SEQUENCE {
        storedTGP-SequenceList      StoredTGP-SequenceList,
        codeChangeStatusList        CodeChangeStatusList    OPTIONAL
}

StoredTGP-SequenceList ::=              SEQUENCE (SIZE (1..maxTGPS)) OF
                                        StoredTGP-Sequence

StoredTGP-Sequence ::=                  SEQUENCE {
    tgpsi                               TGPSI,
    current-tgps-Status                         CHOICE {
        active                          SEQUENCE {
            tgcfn                               TGCFN
        },
        inactive                            NULL
    },
    tgps-ConfigurationParams            TGPS-ConfigurationParams            OPTIONAL
}

SRNC-RelocationInfo-r4-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        -- The target RNC may ignore the IE srb-SpecificIntegrityProtInfo if the
        -- IE integrityProtectionStatus has the value "not started".
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r4,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList-r4         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r4      OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}
```

```
SRNC-RelocationInfo-r5-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList   OPTIONAL,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r5,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle
                                        UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT
                                        UESpecificBehaviourInformation1interRAT     OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList-r5    OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r5               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList-r5,
        rab-InformationList             RAB-InformationSetupList-r5         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r5      OPTIONAL,
    -- PhyCH IEs
        tpc-CombinationInfoList         TPC-CombinationInfoList             OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
    -- Other IEs
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

CipheringInfoPerRB-r4 ::=           SEQUENCE {
    rb-Identity                         RB-Identity,
    dl-HFN                              BIT STRING (SIZE (20..25)),
    dl-UM-SN                            BIT STRING (SIZE (7))               OPTIONAL,
    ul-HFN                              BIT STRING (SIZE (20..25))
```

```
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::=      SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB-r4


CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CipheringStatusList-r4 ::=          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::=      SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus,
        start-Value                     START-Value
}

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                    BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements                 BOOLEAN                             OPTIONAL,
    tdd128-Measurements                 BOOLEAN                             OPTIONAL,
    gsm-Measurements                    GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements            BOOLEAN                             OPTIONAL
}

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL
}

DL-PhysChCapabilityFDD-r5 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL,
    fdd-hspdsch                         CHOICE {
        supported                           SEQUENCE {
            hsdsch-physical-layer-category      HSDSCH-physical-layer-category,
            supportOfDedicatedPilotsForChannelEstimationOfHSDSCH    BOOLEAN,
            -- simultaneousSCCPCH-DPCH-HSDSCH-Reception shall be true only if the
            -- IE SimultaneousSCCPCH-DPCH-Reception indicates support of simultaneous
            -- reception of S-CCPCH and DPCH
            simultaneousSCCPCH-DPCH-HSDSCH-Reception    BOOLEAN
        },
        unsupported                         NULL
    }
}
```

```
DL-PhysChCapabilityTDD-r5 ::=       SEQUENCE {
    maxTS-PerFrame                      MaxTS-PerFrame,
    maxPhysChPerFrame                   MaxPhysChPerFrame,
    minimumSF                           MinimumSF-DL,
    supportOfPDSCH                      BOOLEAN,
    maxPhysChPerTS                      MaxPhysChPerTS,
    tdd384-hspdsch                      CHOICE {
        supported                           HSDSCH-physical-layer-category,
        unsupported                         NULL
    }
}

DL-PhysChCapabilityTDD-LCR-r5 ::=   SEQUENCE {
    maxTS-PerSubFrame                   MaxTS-PerSubFrame-r4,
    maxPhysChPerFrame                   MaxPhysChPerSubFrame-r4,
    minimumSF                           MinimumSF-DL,
    supportOfPDSCH                      BOOLEAN,
    maxPhysChPerTS                      MaxPhysChPerTS,
    supportOf8PSK                       BOOLEAN,
    tdd128-hspdsch                      CHOICE {
        supported                           HSDSCH-physical-layer-category,
        unsupported                         NULL
    }
}

DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r},
    dl-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    dl-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    dl-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    dl-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    dl-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    dl-dyn-changed                      BOOLEAN
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

InterRAT-UE-RadioAccessCapabilityList-r5 ::=    SEQUENCE {
    interRAT-UE-RadioAccessCapability   InterRAT-UE-RadioAccessCapabilityList,
    geranIu-RadioAccessCapability       GERANIu-RadioAccessCapability               OPTIONAL
}

MaxHcContextSpace-r5 ::=                ENUMERATED {
                                            by512, by1024, by2048, by4096, by8192,
                                            by16384, by32768, by65536, by131072 }

MeasurementCapability-r4 ::=        SEQUENCE {
    downlinkCompressedMode              CompressedModeMeasCapability-r4,
    uplinkCompressedMode                CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
```

```
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r5 ::=               SEQUENCE {
    measurementIdentity                 MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL,
    measurementCommand-v590ext          CHOICE {
        -- the choice "intra-frequency" shall be used for the case of intra-frequency measurement,
        -- as well as when intra-frequency events are configured for inter-frequency measurement
        intra-frequency                     Intra-FreqEventCriteriaList-v590ext,
        inter-frequency                     Inter-FreqEventCriteriaList-v590ext
    }       OPTIONAL,
    intraFreqReportingCriteria-1b-r5        IntraFreqReportingCriteria-1b-r5        OPTIONAL,
    intraFreqEvent-1d-r5                    IntraFreqEvent-1d-r5                    OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

OngoingMeasRepList-r5 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r5

PDCP-Capability-r4 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PDCP-Capability-r5 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace-r5
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0,
            supportForRfc3095ContextRelocation  BOOLEAN
        }
    }
}

PhysicalChannelCapability-r4 ::=    SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
```

```
}

PhysicalChannelCapability-r5 ::=        SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r5,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
}

RF-Capability-r4 ::=                SEQUENCE {
        fddRF-Capability                    SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            txRxFrequencySeparation             TxRxFrequencySeparation
        }                                                               OPTIONAL,
        tdd384-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL,
        tdd128-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClassExt,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL
}

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
}

RLC-Capability-r5 ::=               SEQUENCE {
    totalRLC-AM-BufferSize              TotalRLC-AM-BufferSize-r5,
    maximumRLC-WindowSize               MaximumRLC-WindowSize,
    maximumAM-EntityNumber              MaximumAM-EntityNumberRLC-Cap
}

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
```

```
                                        otherStates
}

TotalRLC-AM-BufferSize-r5 ::=       ENUMERATED {
                                        kb10, kb50, kb100, kb150, kb200,
                                        kb300, kb400, kb500, kb750, kb1000 }

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        tpc-CombinationIndex                TPC-CombinationIndex
}

UE-MultiModeRAT-Capability-r5 ::=   SEQUENCE {
    multiRAT-CapabilityList             MultiRAT-Capability,
    multiModeCapability                 MultiModeCapability,
    supportOfUTRAN-ToGERAN-NACC         BOOLEAN
}

UE-Positioning-Capability-r4 ::=    SEQUENCE {
    standaloneLocMethodsSupported           BOOLEAN,
    ue-BasedOTDOA-Supported                 BOOLEAN,
    networkAssistedGPS-Supported            NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames     BOOLEAN,
    supportForIPDL                          BOOLEAN,
    rx-tx-TimeDifferenceType2Capable        BOOLEAN,
    validity-CellPCH-UraPCH                 ENUMERATED { true }     OPTIONAL,
    sfn-sfnType2Capability                  ENUMERATED { true }         OPTIONAL
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

UE-RadioAccessCapability-r4 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    pdcp-Capability                     PDCP-Capability-r4,
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UE-RadioAccessCapability-r5 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    dl-CapabilityWithSimultaneousHS-DSCHConfig
                                        DL-CapabilityWithSimultaneousHS-DSCHConfig  OPTIONAL,
    pdcp-Capability                     PDCP-Capability-r5,
    rlc-Capability                      RLC-Capability-r5,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r5,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability-r5,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r},
    ul-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    ul-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    ul-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    ul-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    ul-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    ul-ref-sn-1                         INTEGER (0..65535)          OPTIONAL

}
```

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover/cell reselection from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
Non RRC IEs					
>RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.	
>State of RRC	MP		RRC state indicator, 10.3.3.35a		
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)		
Ciphering related information					
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>Ciphering status	MP		Enumerated(Not started, Started)		
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.	
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.	
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover and cell reselection from GERAN *Iu mode* this field is not present.	
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call	
>>SFN	MP		Integer(0..4095)		
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC	
>>CN domain identity	MP		CN domain identity 10.3.1.1		
>>COUNT-C	MP		Bit string(32)		
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.	
>>RB identity	MP		RB identity 10.3.4.16		
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM	
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)	
Integrity protection related information					
>Integrity protection status	MP		Enumerated(Not started, Started)		
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>			
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
				the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.	
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).	
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.	
>Implementation specific parameters	OP		Bit string (1..512)		
RRC IEs					
UE Information elements					
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover or cell reselection from GERAN *Iu mode.*	
>C-RNTI	OP		C-RNTI 10.3.3.8		
>UE radio access Capability	MP		UE radio access capability 10.3.3.42		
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a		
>Last known UE position	OP				
>>SFN	MP		Integer (0..4095)	Time when position was estimated	
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.	
>>CHOICE *Position estimate*	MP				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a		
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d		
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e		
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b		
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c		
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"	
Other Information elements					
>UE system specific capability	OP	1 to <maxSystemCapability>			
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7		
UTRAN Mobility Information elements					
>URA Identifier	OP		URA identity 10.3.2.6		
CN Information Elements					
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9		
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain	
>>CN domain identity	MP				
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6		
Measurement Related Information elements					
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>			
>>Measurement Identity	MP		Measurement identity 10.3.7.48		
>>Measurement Command	MP		Measurement command 10.3.7.46		
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50		
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49		
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1		
>>CHOICE *Measurement*	OP				
>>>Intra-frequency					
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33		
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38		
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-frequency					

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13		
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18		
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>Inter-frequency set update	OP		Inter-frequency set update 10.3.7.22		
>>>>CHOICE *report criteria*	OP				
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39		
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Inter-RAT					
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23		
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29		
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32		
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Traffic Volume					
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70		
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71		
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74		
>>>>Measurement validity	OP		Measurement validity 10.3.7.51		
>>>>CHOICE *report criteria*	OP				
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>Quality					
>>>>Quality measurement quantity	OP		Quality measurement quantity 10.3.7.59		
>>>>CHOICE *report criteria*	OP				
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE internal					
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79		
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82		
>>>>CHOICE *report criteria*	OP				
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			criteria 10.3.7.80		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting			NULL		
>>>UE positioning					
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111		
>>>>CHOICE *report criteria*	OP				
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110		
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53		
>>>>>No reporting					
Radio Bearer Information Elements					
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a		
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer	
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24		
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB	
>>RAB information	MP		RAB information to setup 10.3.4.10		
Transport Channel Information Elements					
Uplink transport channels					
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24		
>UL transport channel information list	OP	1 to <MaxTrCH>			
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2		
>CHOICE *mode*	OP				
>>FDD					
>>>CPCH set ID	OP		CPCH set ID		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			10.3.5.5		
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>			
>>>>DRAC static information	MP		DRAC static information 10.3.5.7		
>>TDD				(no data)	
Downlink transport channels					
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6		
>DL transport channel information list	OP	1 to <MaxTrCH>			
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
PhyCH information elements					
>TPC Combination Info list	OP	1 to <maxRL>			
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>TPC combination index	MP		TPC combination index 10.3.6.85		
>Transmission gap pattern sequence	OP	1 to <maxTGPS>			REL-5
>>TGPSI	MP		TGPSI 10.3.6.82		
>> Current TGPS Status Flag	MP		Enumerated(active, inactive)	This flag indicates the current status of the Transmission Gap Pattern Sequence, whether it is active or inactive	
>>TGCFN	CV-*Active*		Integer (0..255)	Connection Frame Number of the latest past frame of the first pattern within the Transmission Gap Pattern Sequence.	
>>Transmission gap pattern sequence configuration parameters	OP				
>>>TGMP	MP		Enumerated(TDD measurement, FDD measurement, GSM carrier RSSI measurement, GSM Initial BSIC identification, GSM BSIC re-confirmation, Multi-carrier measurement)	Transmission Gap pattern sequence Measurement Purpose.	
>>>TGPRC	MP		Integer (1..511, Infinity)	The number of remaining transmission gap patterns within the Transmission Gap Pattern Sequence.	
>>>TGSN	MP		Integer (0..14)	Transmission Gap Starting Slot Number The slot number of the first transmission gap slot within the TGCFN.	
>>>TGL1	MP		Integer(1..14	The length of the first Transmission Gap within the	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			)	transmission gap pattern expressed in number of slots	
>>>TGL2	MD		Integer (1..14)	The length of the second Transmission Gap within the transmission gap pattern. If omitted, then TGL2=TGL1. The value of TGL2 shall be ignored if TGD is set to "undefined"	
>>>TGD	MP		Integer(15..269, undefined)	Transmission gap distance indicates the number of slots between starting slots of two consecutive transmission gaps within a transmission gap pattern. If there is only one transmission gap in the transmission gap pattern, this parameter shall be set to undefined.	
>>>TGPL1	MP		Integer (1..144)	The duration of transmission gap pattern 1.	
>>>TGPL2	MD		Integer (1..144)	The duration of transmission gap pattern 2. If omitted, then TGPL2=TGPL1.	
>>>RPP	MP		Enumerated (mode 0, mode 1).	Recovery Period Power control mode during the frame after the transmission gap within the compressed frame. Indicates whether normal PC mode or compressed PC mode is applied	
>>>ITP	MP		Enumerated (mode 0, mode 1).	Initial Transmit Power is the uplink power control method to be used to compute the initial transmit power after the compressed mode gap.	
>>>CHOICE *UL/DL mode*	MP				
>>>>DL only				Compressed mode used in DL only	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>UL only				Compressed mode used in UL only	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer	Method for generating uplink compressed mode gap	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
			scheduling)		
>>>>UL and DL				Compressed mode used in UL and DL	
>>>>>Downlink compressed mode method	MP		Enumerated (puncturing, SF/2, higher layer scheduling)	Method for generating downlink compressed mode gap	
>>>>>Uplink compressed mode method	MP		Enumerated (SF/2, higher layer scheduling)	Method for generating uplink compressed mode gap	
>>>Downlink frame type	MP		Enumerated (A, B)		
>>>DeltaSIR1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the first transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase)	
>>>DeltaSIRafter1	MP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the first transmission gap in the transmission gap pattern.	
>>>DeltaSIR2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE during the frame containing the start of the second transmission gap in the transmission gap pattern (without including the effect of the bit-rate increase) When omitted, DeltaSIR2 = DeltaSIR1.	
>>>DeltaSIRafter2	OP		Real(0..3 by step of 0.1)	Delta in DL SIR target value to be set in the UE one frame after the frame containing the start of the second transmission gap in the transmission gap pattern. When omitted, DeltaSIRafter2 = DeltaSIRafter1.	
>>>N Identify abort	CV-*Initial BSIC*		Integer(1..128)	Indicates the maximum number of repeats of patterns that the UE shall use to attempt to decode the unknown BSIC of the GSM cell in the initial BSIC identification procedure	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	Version
>>>T Reconfirm abort	CV-*Re-confirm BSIC*		Real(0.5..10.0 by step of 0.5)	Indicates the maximum time allowed for the re-confirmation of the BSIC of one GSM cell in the BSIC re-confirmation procedure. The time is given in steps of 0.5 seconds.	
>Scrambling Code Change List	CH-*SF/2*	1 to <maxRL>			REL-5
>>Primary CPICH info	MP		Primary CPICH info 10.3.6.60		
>>Scrambling code change	MP		Enumerated (code change, no code change)	Indicates whether the alternative scrambling code is used for compressed mode method 'SF/2'.	
Other Information elements					
>Measurement report	OP		MEASUREMENT REPORT 10.2.1.9		
>Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)	
>Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12		

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.
Active	This IE is mandatory present when the value of the IE "Current TGPS Status Flag" is "Active" and not needed otherwise.
Initial BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM Initial BSIC identification" and not needed otherwise.
Re-confirm BSIC	This IE is mandatory present when the value of the IE "TGMP" is set to "GSM BSIC re-confirmation" and not needed otherwise.
SF/2	The IE is mandatory present if the IE “Transmission Gap Pattern Sequence” is included and has the value “SF/2” as the compressed mode method, and already sent the UE the IE “Scrambling Code Change” for each RL in the active set. Otherwise the IE is not needed.

3GPP TSG-RAN2 #45
Shin-Yokohama, Japan, 15-19 November, 2004

Tdoc ⌘R2-042691

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2479** ⌘**rev** **1** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Criteria for initiating cell update on receiving "Frequency info" IE in CELL UPDATE CONFIRM message
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	November 7, 2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change:	⌘	A UE entering CELL_FACH or CELL_PCH as a result of a cell update procedure in which the CELL UPDATE CONFIRM message contains the IE "Frequency info" is currently required always to perform a cell update. This results in unnecessary cell update procedures in the case where the UE is able successfully to select a cell to which the UTRAN directed it.
Summary of change:	⌘	Requirements on a UE entering CELL_FACH and CELL_PCH states modified to make the cell update procedure optional in the case that the UE is able to select the indicated cell. If the UE selects a cell on the expected frequency, but the IE "Primary CPICH info" is included, the UE is required to perform a cell update if it "disobeys" the contents of the IE. If the UE is unable to select a cell on the expected frequency, it is also required to perform a cell update. Finally, it is clarified that it is permissible for the UE to behave as currently specified by always performing a cell update.
Consequences if not approved:	⌘	Unnecessary cell update procedures may be initiated.

Clauses affected:	⌘	8.3.1.6

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	Revision of R2-042419 and R2-042613.

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

~~4> act as specified in subclause 8.3.1.12.~~

4> if the UE finds a suitable UTRA cell on that frequency:

5> if the received CELL UPDATE CONFIRM message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received CELL UPDATE CONFIRM message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

6> act as specified in subclause 8.3.1.12.

4> else, if the UE can not find a suitable UTRA cell on the indicated frequency but it finds a suitable UTRA cell on another frequency:

5> act as specified in subclause 8.3.1.12.

4> otherwise, the UE may:

5> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the variable ESTABLISHMENT_CAUSE is set:

2> clear the variable ESTABLISHMENT_CAUSE.

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

NOTE: The UTRAN should not change the currently used value of the IE "UTRAN DRX cycle length coefficient" within a short time of moving the UE into CELL_PCH/URA_PCH state, otherwise there is a risk of a DRX cycle mismatch between the UE and UTRAN. This time should be long enough for the UTRAN to have sufficient confidence that the ACK to the reconfiguration complete message has been received by the UE and therefore the procedure has completed within the UE.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

1> stop timers T314 and/or T315 if they are running.

The procedure ends.

3GPP TSG-RAN2 #45
Shin-Yokohama, Japan, 15-19 November, 2004

Tdoc ⌘R2-042692

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2480** ⌘**rev** **1** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Criteria for initiating cell update on receiving "Frequency info" IE in CELL UPDATE CONFIRM message
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	November 7, 2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	A UE entering CELL_FACH or CELL_PCH as a result of a cell update procedure in which the CELL UPDATE CONFIRM message contains the IE "Frequency info" is currently required always to perform a cell update. This results in unnecessary cell update procedures in the case where the UE is able successfully to select a cell to which the UTRAN directed it.
Summary of change:	⌘	Requirements on a UE entering CELL_FACH and CELL_PCH states modified to make the cell update procedure optional in the case that the UE is able to select the indicated cell. If the UE selects a cell on the expected frequency, but the IE "Primary CPICH info" is included, the UE is required to perform a cell update if it "disobeys" the contents of the IE. If the UE is unable to select a cell on the expected frequency, it is also required to perform a cell update. Finally, it is clarified that it is permissible for the UE to behave as currently specified by always performing a cell update.
Consequences if not approved:	⌘	Unnecessary cell update procedures may be initiated.

Clauses affected:	⌘	8.3.1.6

		Y	N		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	

Other comments:	⌘	Revision of R2-042419 and R2-042613.

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

~~4> act as specified in subclause 8.3.1.12.~~

4> if the UE finds a suitable UTRA cell on that frequency:

5> if the received CELL UPDATE CONFIRM message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received CELL UPDATE CONFIRM message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

6> act as specified in subclause 8.3.1.12.

4> else, if the UE can not find a suitable UTRA cell on the indicated frequency but it finds a suitable UTRA cell on another frequency:

5> act as specified in subclause 8.3.1.12.

4> otherwise, the UE may:

5> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

NOTE: UE actions, in case IE "Downlink counter synchronisation info" is included and either IE "RLC re-establish indicator (RB2, RB3 and RB4)" or IE "RLC re-establish indicator (RB5 and upwards)" are set to TRUE, are not defined.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the variable ESTABLISHMENT_CAUSE is set:

2> clear the variable ESTABLISHMENT_CAUSE.

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

NOTE: The UTRAN should not change the currently used value of the IE "UTRAN DRX cycle length coefficient" within a short time of moving the UE into CELL_PCH/URA_PCH state, otherwise there is a risk of a DRX cycle mismatch between the UE and UTRAN. This time should be long enough for the UTRAN to have sufficient confidence that the ACK to the reconfiguration complete message has been received by the UE and therefore the procedure has completed within the UE.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

2> re-establish the RLC entity for RB2;

2> for the downlink and the uplink, apply the ciphering configuration as follows:

3> if the received re-configuation message included the IE "Ciphering Mode Info":

4> use the ciphering configuration in the received message when transmitting the response message.

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;

5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.

4> apply the new ciphering configuration immediately following RLC re-establishment.

3> else:

4> continue using the current ciphering configuration.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

1> stop timers T314 and/or T315 if they are running.

The procedure ends.

3GPP TSG-RAN-WG2 Meeting #44
Sophia Antipolis, France, 4-8 October 2004

Tdoc ⌘R2-042655

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2481** ⌘ **rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Traffic volume measurements in PCH states
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	Oct/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change: ⌘

In RAN Plenary #20, CR 1943r1 on "TVM Reporting in CELL_PCH state" (RP-030294) was approved. That CR removed the possibility of reporting in CELL_PCH/URA_PCH states.
However, a few problems and contradictions still remain in the specification:

1. Section 8.4.1.6.6 still specifies that upon entering CELL_PCH or URA_PCH states the UE shall continue to perform traffic volume measurements.
2. The sections introduced by CR 1943r1 (8.4.1.9b and 8.4.1.9c) do not define the UE actions with regards to URA_PCH in the actual text. These sections also do not define the actions of the UE with regards to storing the valid messages or updating them from system information.

Summary of change: ⌘

1. Clarified section 8.4.1.6.6 to avoid measuring traffic volume in CELL_PCH and URA_PCH state.
2. Added URA_PCH state to the text in 8.4.1.9b and 8.4.1.9c so that the UE actions are also specified for this state, and clarified actions with regards to measurement storing and updating from system information.

Implementation of this CR by R99/Rel-4 UEs will not cause backwards compatibility issues

Impact Analysis:
This CR will have no impact to a UE that already complies to the CR.
A UE implementation that has not implemented the CR will behave as described in the consequences if not approved. After this has been implemented by such UEs, all redundant traffic volume measurement reporting originated from

		CELL_PCH and URA_PCH states will be avoided (i.e. decrease in signalling, saving battery times, etc) This CR does not affect any UTRAN implementation.
Consequences if not approved:	⌘	1. Some UE implementation may still try to perform periodic triggered traffic volume reporting in CELL_PCH/URA_PCH states even when the UE does not have any data to report on (i.e. reports zero periodically). This means it may be difficult to keep the UE in CELL_PCH/URA_PCH states for long periods, due to the periodical transition to CELL_FACH for TVM reporting (e.g. extra signalling, impact on UE battery times, network resources). It will still remain unclear on which traffic volume object the UE will report in these states, leading to UE unspecified behaviour. 2. Some UE implementations may differenciate between CELL_PCH and URA_PCH when it comes to periodic traffic volume reporting (given the URA_PCH state not being clear). In addition, some UE implementations may not store the correct traffic volume measurement information in the variable MEASUREMENT_IDENTITY upon transition from CELL_FACH to CELL_PCH/URA_PCH states.

Clauses affected:	⌘	8.4.1.6.6, 8.4.1.9b, 8.4.1.9c			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.6 Traffic volume measurement

Upon transition from CELL_DCH to CELL_FACH or CELL_PCH or URA_PCH state, the UE shall:

1> retrieve each set of measurement control information of measurement type "traffic volume" stored in the variable MEASUREMENT_IDENTITY; and

2> if the optional IE "measurement validity" for this measurement has not been included:

3> delete the measurement associated with the variable MEASUREMENT_IDENTITY.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL_DCH":

3> stop measurement reporting;

3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_DCH state.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states" or "all states except CELL_DCH", and if the state transition is from CELL_DCH to CELL_PCH or URA_PCH state:

3> stop measurement reporting;

3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to ~~CELL_DCH or~~ CELL_FACH state.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states", and if the state transition is from CELL_DCH to CELL_FACH state:~~upon transition from CELL_DCH to CELL_FACH state~~:

3> continue measurement reporting.

2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL_DCH", and if the state transition is from CELL_DCH to CELL_FACH state:

3> resume this measurement and associated reporting.

1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL_FACH or CELL_PCH or URA_PCH states (stored in the variable MEASUREMENT_IDENTITY), which has the same identity as the one indicated in the IE "Traffic volume measurement system information":

2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT_IDENTITY;

2> ~~begin~~perform traffic volume measurement reporting according to the assigned information, when in CELL_FACH state.

8.4.1.9b Measurements after transition from CELL_FACH to CELL_PCH/URA_PCH

8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL_FACH to CELL_PCH or URA_PCH, the UE shall:

1> stop any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

1> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_FACH state.

1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL_FACH or CELL_PCH or URA_PCH states (stored in the variable MEASUREMENT_IDENTITY), which has the same identity as the one indicated in the IE "Traffic volume measurement system information":

2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT_IDENTITY;

8.4.1.9c Measurements after transition from CELL_PCH/URA_PCH to CELL_FACH

8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL_PCH or URA_PCH to CELL_FACH, the UE shall resume any traffic volume measurement stored in the variable MEASUREMENT_IDENTITY with measurement validity "all states" or "all states except CELL_DCH", and start the associated traffic volume measurement reporting.

3GPP TSG-RAN-WG2 Meeting #44
Sophia Antipolis, France, 4-8 October 2004

Tdoc ⌘R2-042656

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2482** ⌘ **rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Traffic volume measurements in PCH states
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	Oct/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change: ⌘

In RAN Plenary #20, CR 1943r1 on "TVM Reporting in CELL_PCH state" (RP-030294) was approved. That CR removed the possibility of reporting in CELL_PCH/URA_PCH states.
However, a few problems and contradictions still remain in the specification:

1. Section 8.4.1.6.6 still specifies that upon entering CELL_PCH or URA_PCH states the UE shall continue to perform traffic volume measurements.
2. The sections introduced by CR 1943r1 (8.4.1.9b and 8.4.1.9c) do not define the UE actions with regards to URA_PCH in the actual text. These sections also do not define the actions of the UE with regards to storing the valid messages or updating them from system information.

Summary of change: ⌘

1. Clarified section 8.4.1.6.6 to avoid measuring traffic volume in CELL_PCH and URA_PCH state.
2. Added URA_PCH state to the text in 8.4.1.9b and 8.4.1.9c so that the UE actions are also specified for this state, and clarified actions with regards to measurement storing and updating from system information.

Implementation of this CR by R99/Rel-4 UEs will not cause backwards compatibility issues

Impact Analysis:
This CR will have no impact to a UE that already complies to the CR.
A UE implementation that has not implemented the CR will behave as described in the consequences if not approved. After this has been implemented by such UEs, all redundant traffic volume measurement reporting originated from

		CELL_PCH and URA_PCH states will be avoided (i.e. decrease in signalling, saving battery times, etc) This CR does not affect any UTRAN implementation.
Consequences if not approved:	⌘	1. Some UE implementation may still try to perform periodic triggered traffic volume reporting in CELL_PCH/URA_PCH states even when the UE does not have any data to report on (i.e. reports zero periodically). This means it may be difficult to keep the UE in CELL_PCH/URA_PCH states for long periods, due to the periodical transition to CELL_FACH for TVM reporting (e.g. extra signalling, impact on UE battery times, network resources). It will still remain unclear on which traffic volume object the UE will report in these states, leading to UE unspecified behaviour. 2. Some UE implementations may differenciate between CELL_PCH and URA_PCH when it comes to periodic traffic volume reporting (given the URA_PCH state not being clear). In addition, some UE implementations may not store the correct traffic volume measurement information in the variable MEASUREMENT_IDENTITY upon transition from CELL_FACH to CELL_PCH/URA_PCH states.

Clauses affected:	⌘	8.4.1.6.6, 8.4.1.9b, 8.4.1.9c			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.4.1.6.6 Traffic volume measurement

Upon transition from CELL_DCH to CELL_FACH or CELL_PCH or URA_PCH state, the UE shall:

1> retrieve each set of measurement control information of measurement type "traffic volume" stored in the variable MEASUREMENT_IDENTITY; and

2> if the optional IE "measurement validity" for this measurement has not been included:

3> delete the measurement associated with the variable MEASUREMENT_IDENTITY.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "CELL_DCH":

3> stop measurement reporting;

3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_DCH state.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states" or "all states except CELL_DCH", and if the state transition is from CELL_DCH to CELL_PCH or URA_PCH state:

3> stop measurement reporting;

3> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to ~~CELL_DCH or~~ CELL_FACH state.

2> if the IE "measurement validity" for the measurement has been included, and the IE "UE state" has been assigned to value "all states", and if the state transition is from CELL_DCH to CELL_FACH state:~~upon transition from CELL_DCH to CELL_FACH state~~:

3> continue measurement reporting.

2> if the IE "measurement validity" has been included and the IE "UE state" has been assigned to value "all states except CELL_DCH", and if the state transition is from CELL_DCH to CELL_FACH state:

3> resume this measurement and associated reporting.

1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL_FACH or CELL_PCH or URA_PCH states (stored in the variable MEASUREMENT_IDENTITY), which has the same identity as the one indicated in the IE "Traffic volume measurement system information":

2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT_IDENTITY;

2> ~~begin~~perform traffic volume measurement reporting according to the assigned information, when in CELL_FACH state.

8.4.1.9b Measurements after transition from CELL_FACH to CELL_PCH/URA_PCH

8.4.1.9b.1 Traffic volume measurement

Upon transition from CELL_FACH to CELL_PCH or URA_PCH, the UE shall:

1> stop any ongoing traffic volume measurement, and associated traffic volume measurement reporting.

1> store the measurement associated with the variable MEASUREMENT_IDENTITY to be used after the next transition to CELL_FACH state.

1> if no traffic volume type measurement has been assigned to the UE with a MEASUREMENT CONTROL message that is valid in CELL_FACH or CELL_PCH or URA_PCH states (stored in the variable MEASUREMENT_IDENTITY), which has the same identity as the one indicated in the IE "Traffic volume measurement system information":

2> store the measurement control information from the IE "Traffic volume measurement system information" received in System Information Block type 12 (or System Information Block type 11, according to subclause 8.1.1.6.11) in the variable MEASUREMENT_IDENTITY;

8.4.1.9c Measurements after transition from CELL_PCH/URA_PCH to CELL_FACH

8.4.1.9c.1 Traffic volume measurement

Upon transition from CELL_PCH or URA_PCH to CELL_FACH, the UE shall resume any traffic volume measurement stored in the variable MEASUREMENT_IDENTITY with measurement validity "all states" or "all states except CELL_DCH", and start the associated traffic volume measurement reporting.

3GPP TSG-RAN-WG2 Meeting #45
Shin-Yokohama, Japan, 15-19 October 2004

Tdoc ⌘R2-042657

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2483** ⌘ **rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Failure cause indication on Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	Nov 2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)
Rel-7 (Release 7)

Reason for change:	⌘	Currently after a Physical Channel failure upon receiving a Cell Update Confirm message, the UE will not set the IE "failure cause" to "physical channel failure" as specified for the reconfiguration procedures (i.e. the scenario is not covered)
Summary of change:	⌘	Added a statement indicating that the UE shall set the IE "failure cause" to "physical channel failure" **Implementation of this CR by R99/Rel-4 UEs will not cause backwards compatibility issues** **Isolated Impact Analysis:** A UE that has not implemented according to the CR will require a modification.
Consequences if not approved:	⌘	The UTRAN is unable to detect the real cause for the failure. The case will remain not covered in the specification and the Cell Update procedure will be misaligned with the reconfiguration procedures.

Clauses affected:	⌘	8.3.1.7a			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.7a Physical channel failure

If the received CELL UPDATE CONFIRM message would cause the UE to transit to CELL_DCH state:

1> if the UE failed to establish the physical channel(s) indicated in the received CELL UPDATE CONFIRM message according to the criteria defined in subclause 8.5.4 are not fulfilled; or

1> the received CELL UPDATE CONFIRM message does not contain dedicated physical channels:

the UE shall:

1> if, caused by the received CELL UPDATE CONFIRM message

2> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

2> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

3> abort the ongoing integrity and/or ciphering reconfiguration;

3> if the received CELL UPDATE CONFIRM message contained the IE "Ciphering mode info":

4> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

4> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

3> if the received CELL UPDATE CONFIRM message contained the IE "Integrity protection mode info":

4> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

4> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message:

2> set the IE "failure cause" to "physical channel failure".

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> if V302 is equal to or smaller than N302:

2> select a suitable UTRA cell according to [4];

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "Radio link failure";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> set the variable CELL_UPDATE_STARTED to FALSE;

2> enter idle mode.

3GPP TSG-RAN-WG2 Meeting #45
Shin-Yokohama, Japan, 15-19 October 2004

Tdoc ⌘R2-042658

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2484** ⌘ **rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Failure cause indication on Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	Nov 2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

Ph2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*
Rel-7	*(Release 7)*

Reason for change:	⌘	Currently after a Physical Channel failure upon receiving a Cell Update Confirm message, the UE will not set the IE "failure cause" to "physical channel failure" as specified for the reconfiguration procedures (i.e. the scenario is not covered)
Summary of change:	⌘	Added a statement indicating that the UE shall set the IE "failure cause" to "physical channel failure" **Implementation of this CR by R99/Rel-4 UEs will not cause backwards compatibility issues** **Isolated Impact Analysis:** A UE that has not implemented according to the CR will require a modification.
Consequences if not approved:	⌘	The UTRAN is unable to detect the real cause for the failure. The case will remain not covered in the specification and the Cell Update procedure will be misaligned with the reconfiguration procedures.

Clauses affected:	⌘	8.3.1.7a			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.7a Physical channel failure

If the received CELL UPDATE CONFIRM message would cause the UE to transit to CELL_DCH state:

1> if the UE failed to establish the physical channel(s) indicated in the received CELL UPDATE CONFIRM message according to the criteria defined in subclause 8.5.4 are not fulfilled; or

1> the received CELL UPDATE CONFIRM message does not contain dedicated physical channels:

the UE shall:

1> if, caused by the received CELL UPDATE CONFIRM message

2> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

2> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

3> abort the ongoing integrity and/or ciphering reconfiguration;

3> if the received CELL UPDATE CONFIRM message contained the IE "Ciphering mode info":

4> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

4> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

3> if the received CELL UPDATE CONFIRM message contained the IE "Integrity protection mode info":

4> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

4> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message:

2> set the IE "failure cause" to "physical channel failure".

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> if V302 is equal to or smaller than N302:

2> select a suitable UTRA cell according to [4];

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "Radio link failure";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> set the variable CELL_UPDATE_STARTED to FALSE;

2> enter idle mode.

3GPP TSG-RAN WG2 Meeting #45
Shin Yokohama, Japan, 15 – 19 November 2004

Tdoc ⌘R2-042687
Revised R2-042619

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2492** ⌘**rev** **-** ⌘ Current version: **5.10.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Inter-RAT measurement control information used
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/11/2004
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change: ⌘ When the "Inter-RAT cell info list" in the variable CELL_INFO_LIST is changed, the mapping of inter-RAT cells to the index values in the IE "Inter-RAT cell id" may also be changed. In order to trace those changes and in order to accurately interpret the inter-RAT measurement results received from the UE, the SRNC needs some tool to identify to identify the version of the "Inter-RAT cell info list" that was used when the MEASUREMENT REPORT was generated by the UE.

This kind of tool is currently missing in the RRC protocol.

Summary of change: ⌘ An IE "Inter-RAT cell info indication" is introduced to trace the changes of the "Inter-RAT cell info list" in the variable CELL_INFO_LIST. The new IE is included as an extension of the IE "Inter-RAT cell info list", of the MEASUREMENT REPORT message and an extension of the UE variable CELL_INFO_LIST. Procedure requirements are added to the measurement report initiation (8.4.2.2) and to the general treatment of the IE "Inter-RAT cell info list" (8.6.7.3).

The corresponding changes to the ASN.1 representation of the MEASUREMENT CONTROL, the MEASUREMENT REPORT and the SRNS RELOCATION INFO messages are introduced as **non-critical Rel-5 extensions**.

The reason for modifying the SRNS RELOCATION INFO message is tho allow the new IE "Inter-RAT cell info indication" to be passed between SRNCs during the SRNS relocation procedure. The information is needed if the target RNC needs to verify the contents of the MEASUREMENT REPORT messages with respect to the IE "Inter-RAT cell info" received from the source RNC during the SRSN relocation.

Isolated impact analysis:

		The correction needs to be implemented by both the UTRAN and the UE in order to be effective. A UE implementing the correction may operate normally towards a UTRAN not implementing the correction. A UTRAN implementing the correction needs to consider both the UEs that implement and those that do not implement the correction. Alternative measures may need to be used to avoid problems with inter-RAT handover of UEs not implementing the correction.
Consequences if not approved:	⌘	The SRNC could misinterpret inter-RAT measurement results received from the UE, causing incorrect inter-RAT handover decisions and a risk for dropped calls. In order to avoid the problem, other measures would have to be used by the SRNC to accurately decode the index values used in the MEASUREMENT REPORT and to identify the inter-RAT cells. Certain adverse effects cannot be avoided (see document R2-042318), like: (1) a risk of false identification of the inter-RAT cells (with a potential false inter-RAT handover decisions as result); or (2) a reduction of the effective number of inter-RAT cells that can be used as measurement objects.

Clauses affected:	⌘	8.4.2.2, 8.6.7.3, 10.2.19, 10.3.7.23, 11.2, 11.3, 11.5, 13.4.0			
		Y	**N**		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

8.4.2 Measurement report

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or

- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;

1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and

2> if all the reporting quantities are set to "false":

3> not set the IE "measured results".

1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and

2> if one or more additional measured results are to be included:

3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

1> if the IE Inter-RAT measured result list or the IE Inter-RAT measurements event results is included in the measurement report:

2> if the "Inter-RAT cell info indication" status is marked "present" in the variable CELL_INFO_LIST, include the value of the IE "Inter-RAT cell info indication" in the IE "Inter-RAT measured results list".

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.6.7 Measurement information elements

8.6.7.3 Intra-frequency/Inter-frequency/Inter-RAT cell info list

If the IE "Intra-frequency cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Intra-frequency cell removal" is received:

2> ignore the IE.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Intra-frequency cell removal" is received:

2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all intra-frequency cells":

3> for each position referring to an intra-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no intra-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the CHOICE "Intra-frequency cell removal" is received:

2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all intra-frequency cells":

3> for each position referring to an intra-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no intra-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Intra-frequency cells whose cell information is stored at the position indicated by the IE "Intra-frequency cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Intra-frequency cells whose cell information is stored in CELL_INFO_LIST.

If the IE "Inter-frequency cell info list" is received in System Information Block Type 11 update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-frequency cell removal" is received:

2> ignore the IE.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the CHOICE "Inter-frequency cell removal" is received:

2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-frequency cells":

3> for each position referring to an inter-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order:

1> if the CHOICE "Inter-frequency cell removal" is received:

2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-frequency cells":

3> for each position referring to an inter-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Inter-frequency cells whose cell information is stored at the position indicated by the IE "Inter-frequency cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Inter-frequency cells whose cell information is stored in CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> ignore the IE "Inter-RAT cell removal".

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

1> set the "Inter-RAT cell info indication" to the value "0" and mark the indication status "present" in the variable CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-RAT cell removal" is received:

2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-RAT cells":

3> for each position referring to an inter-RAT cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-RAT cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

1> set the "Inter-RAT cell info indication" to the value "0" and mark the indication status "present" in the variable CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-RAT cell removal" is received:

2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-RAT cells":

3> for each position referring to an inter RAT cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-RAT cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Inter-RAT cells whose cell information is stored at the position indicated by the IE "Inter-RAT cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Inter-RAT cells whose cell information is stored in CELL_INFO_LIST.

1> if the IE "Cell selection and re-selection info for SIB11/12" is present:

2> ignore the IE.

1> if the IE "Inter-RAT cell info indication" is present:

2> store the received value of the IE "Inter-RAT cell info indication" and mark the indication status "present" in the variable CELL_INFO_LIST.

1> if the IE "Inter-RAT cell info indication" is not present:

2> clear the "Inter-RAT cell info indication" and mark the indication status "not present" in the variable CELL_INFO_LIST.

10.2.19 MEASUREMENT REPORT

This message is used by UE to transfer measurement results to the UTRAN.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UE→UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE information elements					
Integrity check info	CH		Integrity check info 10.3.3.16		
Measurement Information Elements					
Measurement identity	MP		Measurement identity 10.3.7.48		
Measured Results	OP		Measured Results 10.3.7.44		
Measured Results on RACH	OP		Measured Results on RACH 10.3.7.45		
Additional Measured results	OP	1 to <maxAdditionalMeas>			
>Measured Results	MP		Measured Results 10.3.7.44		
Event results	OP		Event results 10.3.7.7		
GSM OTD reference cell	OP		Primary CPICH info 10.3.6.60		REL-4
Inter-RAT cell info indication	CV-*IRAT*		Integer (0..3)		REL-5

Condition	Explanation
IRAT	The IE is optionally present if at least one of the IE "Inter-RAT measured results list" and the IE "Inter-RAT measurement event results" is included in the message. Otherwise, the IE is not needed.

10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE *Inter-RAT cell removal*	MP				
>Remove all inter-RAT cells				No data	
>Remove some inter-RAT cells					
>>Removed inter-RAT cells	MP	1 to <maxCellMeas>			
>>>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas> - 1)		
>Remove no inter-RAT cells					
New inter-RAT cells	MP	1 to <maxCellMeas>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Inter-RAT cell id	OP		Integer(0 .. <maxCellMeas> - 1)		
>CHOICE *Radio Access Technology*	MP				
>>GSM					
>>>Cell individual offset	MP		Integer (-50..50)	In dB Used to offset measured quantity value	
>>>Cell selection and re-selection info	OP		Cell selection and re-selection info for SIB11/12 10.3.2.4	See subclause 8.6.7.3	
>>>BSIC	MP		BSIC 10.3.8.2		
>>>Band indicator	MP		Enumerated (DCS 1800 band used, PCS 1900 band used)	Indicates how to interpret the BCCH ARFCN	
>>>BCCH ARFCN	MP		Integer (0..1023)	[45]	
>>IS-2000					
>>>System specific measurement info	MP		enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, *Candidate Frequency Neighbour List Message*	
>>None			(no data)	This value has been introduced to handle the case when IE "New inter-RAT cells" is not required	
Cell for measurement	OP	1 to			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
		<maxCellMeas>			
>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas>-1)		
Inter-RAT cell info indication	CV-*Message*		Integer (0..3)	NOTE 1 and 2	REL-5

Condition	**Explanation**
Message	The IE is optionally present in the MEASUREMENT CONTROL and in the SRNS RELOCATION INFO messages, otherwise the IE is not needed.

NOTE 1: UTRAN may choose not to use the "Inter-RAT cell info indication" value "0" in the MEASUREMENT CONTROL message, to distinguish that case from those cases where the UE receives the IE "Inter-RAT cell info list" in SIB11 or SIB12.

NOTE 2: In case of an SRNS relocation, if the UE has been sent the "Inter-RAT cell info indication" in the MEASUREMENT CONTROL message and the IE "Inter-RAT cell info list" is included in the SRNS RELOCATION INFO sent from the source RNC to the target RNC, the "Inter-RAT cell info indication" should be included in the IE "Inter-RAT cell info list".

11.2 PDU definitions

```
    :

PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

--**************************************************************
--
-- IE parameter types from other modules
--
--**************************************************************

IMPORTS

    :

-- Measurement IEs :
    AdditionalMeasurementID-List,
    DeltaRSCP,
    Frequency-Band,
    EventResults,
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqReportingCriteria-1b-r5,
    IntraFreqEvent-1d-r5,
    InterFreqEventResults-LCR-r4-ext,
    InterRATCellInfoIndication,
    InterRAT-TargetCellDescription,
    MeasuredResults,
    MeasuredResults-v390ext,
    MeasuredResults-v590ext,
    MeasuredResultsList,
    MeasuredResultsList-LCR-r4-ext,
    MeasuredResultsOnRACH,
    MeasurementCommand,
    MeasurementCommand-r4,
    MeasurementIdentity,
    MeasurementReportingMode,
    PrimaryCCPCH-RSCP,
    SFN-Offset-Validity,
    TimeslotListWithISCP,
    TrafficVolumeMeasuredResultsList,
    UE-Positioning-GPS-AssistanceData,
    UE-Positioning-Measurement-v390ext,
    UE-Positioning-OTDOA-AssistanceData,
    UE-Positioning-OTDOA-AssistanceData-r4ext,
    UE-Positioning-OTDOA-AssistanceData-UEB,

    :

FROM InformationElements

    :

-- **************************************************
--
-- MEASUREMENT CONTROL
--
-- **************************************************

MeasurementControl ::= CHOICE {
    r3                              SEQUENCE {
        measurementControl-r3           MeasurementControl-r3-IEs,
        v390nonCriticalExtensions       SEQUENCE {
            measurementControl-v390ext      MeasurementControl-v390ext,
            v3a0NonCriticalExtensions           SEQUENCE {
                measurementControl-v3a0ext          MeasurementControl-v3a0ext,
                laterNonCriticalExtensions      SEQUENCE {
                    -- Container for additional R99 extensions
                    measurementControl-r3-add-ext       BIT STRING  OPTIONAL,
                    v4b0NonCriticalExtensions           SEQUENCE{
                        v590NonCriticalExtensions       SEQUENCE {
```

```
                        measurementControl-v590ext      MeasurementControl-v590ext-IEs,
                        v5b0NonCriticalExtensions       SEQUENCE {
                            measurementControl-v5b0ext      MeasurementControl-v5b0ext-IEs,
                            nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                        }                       OPTIONAL
                    }                       OPTIONAL
                }                       OPTIONAL
            }                       OPTIONAL
        }                       OPTIONAL
    },
    later-than-r3                   SEQUENCE {
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        criticalExtensions              CHOICE {
            r4                              SEQUENCE {
                measurementControl-r4           MeasurementControl-r4-IEs,
                v4d0NonCriticalExtensions           SEQUENCE {
                    -- Container for adding non critical extensions after freezing REL-5
                    measurementControl-r4-add-ext       BIT STRING      OPTIONAL,
                    v590NonCriticalExtensions       SEQUENCE{
                        measurementControl-v590ext      MeasurementControl-v590ext-IEs,
                        v5b0NonCriticalExtensions       SEQUENCE {
                            measurementControl-v5b0ext      MeasurementControl-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                }   OPTIONAL
            },
            criticalExtensions              SEQUENCE {}
        }
    }
}

MeasurementControl-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        -- TABULAR: The measurement type is included in MeasurementCommand.
        measurementCommand              MeasurementCommand,
        measurementReportingMode        MeasurementReportingMode            OPTIONAL,
        additionalMeasurementList       AdditionalMeasurementID-List        OPTIONAL,
    -- Physical channel IEs
        dpch-CompressedModeStatusInfo   DPCH-CompressedModeStatusInfo       OPTIONAL
}

MeasurementControl-v4b0ext-IEs ::= SEQUENCE {
    ue-Positioning-OTDOA-AssistanceData-r4ext   UE-Positioning-OTDOA-AssistanceData-r4ext   OPTIONAL
}

MeasurementControl-v390ext ::= SEQUENCE {
        ue-Positioning-Measurement-v390ext      UE-Positioning-Measurement-v390ext  OPTIONAL
}

MeasurementControl-v3a0ext ::= SEQUENCE {
    sfn-Offset-Validity             SFN-Offset-Validity     OPTIONAL
}

MeasurementControl-r4-IEs ::= SEQUENCE {
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        -- TABULAR: The measurement type is included in measurementCommand.
        measurementCommand              MeasurementCommand-r4,
        measurementReportingMode        MeasurementReportingMode            OPTIONAL,
        additionalMeasurementList       AdditionalMeasurementID-List        OPTIONAL,
    -- Physical channel IEs
        dpch-CompressedModeStatusInfo   DPCH-CompressedModeStatusInfo       OPTIONAL
}

MeasurementControl-v590ext-IEs ::= SEQUENCE {
    measurementCommand-v590ext          CHOICE {
        -- the choice “intra-frequency” shall be used for the case of intra-frequency measurement,
        -- as well as when intra-frequency events are configured for inter-frequency measurement
        intra-frequency                     Intra-FreqEventCriteriaList-v590ext,
        inter-frequency                     Inter-FreqEventCriteriaList-v590ext
    }       OPTIONAL,
    intraFreqReportingCriteria-1b-r5        IntraFreqReportingCriteria-1b-r5        OPTIONAL,
    intraFreqEvent-1d-r5                    IntraFreqEvent-1d-r5                    OPTIONAL,
```

```
    -- most significant part of "RRC transaction identifier" (MSP),
    -- "RRC transaction identifier" = rrc-TransactionIdentifier-MSP-v590ext * 4 +
    -- rrc-TransactionIdentifier
    rrc-TransactionIdentifier-MSP-v590ext   RRC-TransactionIdentifier
}

MeasurementControl-v5b0ext-IEs ::=  SEQUENCE {
    interRATCellInfoIndication          InterRATCellInfoIndication          OPTIONAL
}

-- ************************************************
--
-- MEASUREMENT CONTROL FAILURE
--
-- ************************************************

MeasurementControlFailure ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        failureCause                    FailureCauseWithProtErr,
        laterNonCriticalExtensions      SEQUENCE {
            -- Container for additional R99 extensions
            measurementControlFailure-r3-add-ext        BIT STRING      OPTIONAL,
            v590NonCriticalExtensions       SEQUENCE {
                measurementControlFailure-v590ext       MeasurementControlFailure-v590ext-IEs,
                nonCriticalExtensions           SEQUENCE {}     OPTIONAL
            }   OPTIONAL
        }   OPTIONAL
}

MeasurementControlFailure-v590ext-IEs ::= SEQUENCE {
    -- most significant part of "RRC transaction identifier" (MSP),
    -- "RRC transaction identifier" = rrc-TransactionIdentifier-MSP-v590ext * 4 +
    -- rrc-TransactionIdentifier
    -- If the rrc-TransactionIdentifier-MSP-v590ext was not received in the MEASUREMENT CONTROL
    -- message, then the rrc-TransactionIdentifier-MSP-v590ext shall be set to zero
    rrc-TransactionIdentifier-MSP-v590ext   RRC-TransactionIdentifier
}

-- ************************************************
--
-- MEASUREMENT REPORT
--
-- ************************************************

MeasurementReport ::= SEQUENCE {
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        measuredResults                 MeasuredResults                     OPTIONAL,
        measuredResultsOnRACH           MeasuredResultsOnRACH               OPTIONAL,
        additionalMeasuredResults       MeasuredResultsList                 OPTIONAL,
        eventResults                    EventResults                        OPTIONAL,
    -- Non-critical extensions
        v390nonCriticalExtensions           SEQUENCE {
            measurementReport-v390ext       MeasurementReport-v390ext,
            laterNonCriticalExtensions      SEQUENCE {
                -- Container for additional R99 extensions
                measurementReport-r3-add-ext        BIT STRING      OPTIONAL,
                v4b0NonCriticalExtensions       SEQUENCE {
                    measurementReport-v4b0ext       MeasurementReport-v4b0ext-IEs,
                    -- Extension mechanism for non-Rel4 information
                    v590NonCriticalExtensions   SEQUENCE {
                        measurementReport-v590ext       MeasurementReport-v590ext-IEs,
                        v5b0NonCriticalExtensions       SEQUENCE {
                            measurementReport-v5b0ext       MeasurementReport-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

MeasurementReport-v390ext ::= SEQUENCE {
        measuredResults-v390ext         MeasuredResults-v390ext             OPTIONAL
}

MeasurementReport-v4b0ext-IEs ::= SEQUENCE {
```

```
    interFreqEventResults-LCR       InterFreqEventResults-LCR-r4-ext        OPTIONAL,
    additionalMeasuredResults-LCR   MeasuredResultsList-LCR-r4-ext          OPTIONAL,
    gsmOTDreferenceCell             PrimaryCPICH-Info                       OPTIONAL
}

MeasurementReport-v590ext-IEs ::= SEQUENCE {
    measuredResults-v590ext             MeasuredResults-v590ext             OPTIONAL
}

MeasurementReport-v5b0ext-IEs ::=   SEQUENCE {
    interRATCellInfoIndication          InterRATCellInfoIndication          OPTIONAL
}

    :
```

11.3 Information element definitions

```
    :

-- **************************************************
--
--     MEASUREMENT INFORMATION ELEMENTS (10.3.7)
--
-- **************************************************

    :

InterRATCellID ::=              INTEGER (0..maxCellMeas-1)

InterRATCellInfoIndication ::=      INTEGER (0..3)

InterRATCellInfoList ::=            SEQUENCE {
    removedInterRATCellList         RemovedInterRATCellList,
    -- NOTE: Future revisions of dedicated messages including IE newInterRATCellList
    -- should use a corrected version of this IE
    newInterRATCellList             NewInterRATCellList,
    cellsForInterRATMeasList            CellsForInterRATMeasList            OPTIONAL
}

InterRATCellInfoList-B ::=          SEQUENCE {
    removedInterRATCellList         RemovedInterRATCellList,
    -- NOTE: IE newInterRATCellList should be optional.  However, system information
    -- does not support message versions.  Hence, this can not be corrected
    newInterRATCellList             NewInterRATCellList-B
}

InterRATCellInfoList-r4 ::=         SEQUENCE {
    removedInterRATCellList                 RemovedInterRATCellList,
    newInterRATCellList                     NewInterRATCellList                 OPTIONAL,
    cellsForInterRATMeasList                CellsForInterRATMeasList            OPTIONAL
}

    :
```

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    :

-- Measurement IEs :
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqEvent-1d-r5,
    IntraFreqReportingCriteria-1b-r5,
    InterRATCellInfoIndication,
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,

    :

FROM InformationElements

    :

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                SRNC-RelocationInfo-v5a0ext-IE
                                                    v5b0NonCriticalExtensions           SEQUENCE {
                                                        sRNC-RelocationInfo-v5b0ext
                                                                                SRNC-RelocationInfo-v5b0ext-IE
                                                        -- Reserved for future non critical extension
                                                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                                    }       OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
```

```
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions       SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext         SRNC-RelocationInfo-v5a0ext-IEs,
                        v5b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v5b0ext         SRNC-RelocationInfo-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {} OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                }   OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    v5b0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5b0ext     SRNC-RelocationInfo-v5b0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                    }   OPTIONAL
                }   OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
}

    :

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext    OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

SRNC-RelocationInfo-v5b0ext-IEs ::= SEQUENCE {
    interRATCellInfoIndication          InterRATCellInfoIndication          OPTIONAL
}

    :
```

13.4.0 CELL_INFO_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Intra-frequency cell info	OP	1..<maxCellMeas>			
>CHOICE *position status*	MP				
>>Occupied					
>>>Cell info	MP		Cell info 10.3.7.2		
>>Vacant				No data	
Inter-frequency cell info	OP	1..<maxCellMeas>			
>CHOICE *position status*	MP				
>>Occupied					
>>>Frequency info	MP		Frequency info 10.3.6.36		
>>>Cell info	MP		Cell info 10.3.7.2		
>>Vacant				No data	
Inter-RAT cell info list	OP				REL-5
>Inter-RAT cell info	OP	1..<maxCellMeas>			
>>CHOICE *position status*	MP				
>>>Occupied					
>>>CHOICE *Radio Access Technology*					
>>>>GSM					
>>>>>Cell selection and re-selection info	MP		Cell selection and re-selection info for SIB11/12 10.3.2.4		
>>>>>BSIC	MP		BSIC 10.3.8.2		
>>>>>BCCH ARFCN	MP		Integer (0..1023)	[43]	
>>>>IS-2000					
>>>>>System specific measurement info			enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, *Candidate Frequency Neighbour List*	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
				Message	
>>>Vacant				No data	
>CHOICE *indication status*	MP				REL-5
>>*Present*					REL-5
>>>Inter-RAT cell info indication	MP		Integer (0..3)		REL-5
>>*Not present*				No data	REL-5

3GPP TSG-RAN WG2 Meeting #45
Shin Yokohama, Japan, 15 – 19 November 2004

Tdoc ⌘R2-042688

CR-Form-v7.1

CHANGE REQUEST

⌘ **25.331** **CR** **2493** ⌘ **rev** **-** ⌘ Current version: **6.3.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Inter-RAT measurement control information used
Source:	⌘	RAN WG2
Work item code:	⌘	TEI5
Date:	⌘	19/11/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
Ph2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*
Rel-7 *(Release 7)*

Reason for change: ⌘ When the "Inter-RAT cell info list" in the variable CELL_INFO_LIST is changed, the mapping of inter-RAT cells to the index values in the IE "Inter-RAT cell id" may also be changed. In order to trace those changes and in order to accurately interpret the inter-RAT measurement results received from the UE, the SRNC needs some tool to identify to identify the version of the "Inter-RAT cell info list" that was used when the MEASUREMENT REPORT was generated by the UE.

This kind of tool is currently missing in the RRC protocol.

Summary of change: ⌘ An IE "Inter-RAT cell info indication" is introduced to trace the changes of the "Inter-RAT cell info list" in the variable CELL_INFO_LIST. The new IE is included as an extension of the IE "Inter-RAT cell info list", of the MEASUREMENT REPORT message and an extension of the UE variable CELL_INFO_LIST. Procedure requirements are added to the measurement report initiation (8.4.2.2) and to the general treatment of the IE "Inter-RAT cell info list" (8.6.7.3).

The corresponding changes to the ASN.1 representation of the MEASUREMENT CONTROL, the MEASUREMENT REPORT and the SRNS RELOCATION INFO messages are introduced as **non-critical Rel-5 extensions**.

The reason for modifying the SRNS RELOCATION INFO message is tho allow the new IE "Inter-RAT cell info indication" to be passed between SRNCs during the SRNS relocation procedure. The information is needed if the target RNC needs to verify the contents of the MEASUREMENT REPORT messages with respect to the IE "Inter-RAT cell info" received from the source RNC during the SRSN relocation.

Isolated impact analysis:

		The correction needs to be implemented by both the UTRAN and the UE in order to be effective. A UE implementing the correction may operate normally towards a UTRAN not implementing the correction. A UTRAN implementing the correction needs to consider both the UEs that implement and those that do not implement the correction. Alternative measures may need to be used to avoid problems with inter-RAT handover of UEs not implementing the correction.
Consequences if not approved:	⌘	The SRNC could misinterpret inter-RAT measurement results received from the UE, causing incorrect inter-RAT handover decisions and a risk for dropped calls. In order to avoid the problem, other measures would have to be used by the SRNC to accurately decode the index values used in the MEASUREMENT REPORT and to identify the inter-RAT cells. Certain adverse effects cannot be avoided (see document R2-041929), like: (1) a risk of false identification of the inter-RAT cells (with a potential false inter-RAT handover decisions as result); or (2) a reduction of the effective number of inter-RAT cells that can be used as measurement objects.

Clauses affected:	⌘	8.4.2.2, 8.6.7.3, 10.2.19, 10.3.7.23, 11.2, 11.3, 11.5, 13.4.0			
		Y	**N**		
Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

8.4.2 Measurement report

8.4.2.2 Initiation

In CELL_DCH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing measurements that are being performed in the UE.

In CELL_FACH state, the UE shall:

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are met for any ongoing traffic volume measurement or UE positioning measurement that is being performed in the UE.

In TDD, if the Radio Bearer associated with the MEASUREMENT_IDENTITY fulfilling the reporting criteria for an ongoing traffic volume measurement is mapped on transport channel of type USCH, the UE shall:

1> initiate the "PUSCH CAPACITY REQUEST" procedure instead of transmitting a MEASUREMENT REPORT (TDD Only).

In CELL_PCH or URA_PCH state, the UE shall:

1> first perform the cell update procedure according to subclause 8.3.1, using the cause "uplink data transmission", in order to transit to CELL_FACH state; and then

1> transmit a MEASUREMENT REPORT message on the uplink DCCH when the reporting criteria stored in variable MEASUREMENT_IDENTITY are fulfilled for any ongoing UE positioning measurement which is being performed in the UE.

The reporting criteria are fulfilled if either:

- a periodic MEASUREMENT REPORT message shall be sent according to the IE "Periodical Reporting Criteria"; or

- an event in stored IE "Measurement reporting criteria" was triggered. Events and triggering of reports for different measurement types are described in detail in clause 14.

For the measurement, which triggered the MEASUREMENT REPORT message, the UE shall:

1> set the IE "measurement identity" to the measurement identity, which is associated with that measurement in variable MEASUREMENT_IDENTITY;

1> set the IE "measured results" to include measurements according to the IE "reporting quantity" of that measurement stored in variable MEASUREMENT_IDENTITY; and

2> if all the reporting quantities are set to "false":

3> not set the IE "measured results".

1> set the IE "Measured results" in the IE "Additional measured results" according to the IE "reporting quantity" for all measurements associated with the measurement identities included in the "Additional measurements list" stored in variable MEASUREMENT_IDENTITY of the measurement that triggered the measurement report; and

2> if one or more additional measured results are to be included:

3> include only the available additional measured results, and sort them in ascending order according to their IE "measurement identity" in the MEASUREMENT REPORT message.

1> if the MEASUREMENT REPORT message was triggered by an event (i.e. not a periodical report):

2> set the IE "Event results" according to the event that triggered the report.

1> if the observed time difference for one or more GSM cells is included in the MEASUREMENT REPORT message:

2> set the IE "GSM OTD reference cell" to the primary CPICH info of the active set cell that was used as reference for the measurement.

1> if the IE Inter-RAT measured result list or the IE Inter-RAT measurements event results is included in the measurement report:

2> if the "Inter-RAT cell info indication" status is marked "present" in the variable CELL_INFO_LIST, include the value of the IE "Inter-RAT cell info indication" in the IE "Inter-RAT measured results list".

The UE shall:

1> transmit the MEASUREMENT REPORT message on the uplink DCCH using either AM or UM RLC according to the stored IE "measurement reporting mode" associated with the measurement identity that triggered the report.

When the MEASUREMENT REPORT message has been submitted to lower layers for transmission:

1> the procedure ends.

8.6.7 Measurement information elements

8.6.7.3 Intra-frequency/Inter-frequency/Inter-RAT cell info list

If the IE "Intra-frequency cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Intra-frequency cell removal" is received:

2> ignore the IE.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Intra-frequency cell removal" is received:

2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all intra-frequency cells":

3> for each position referring to an intra-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no intra-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Intra-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the CHOICE "Intra-frequency cell removal" is received:

2> if it has the value "Remove some intra-frequency cells", at the position indicated by the IE "Intra-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all intra-frequency cells":

3> for each position referring to an intra-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no intra-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Intra-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Intra-frequency cell id" is received:

4> store received cell information at this position in the Intra-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Intra-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Intra-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Intra-frequency cells whose cell information is stored at the position indicated by the IE "Intra-frequency cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Intra-frequency cells whose cell information is stored in CELL_INFO_LIST.

If the IE "Inter-frequency cell info list" is received in System Information Block Type 11 update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-frequency cell removal" is received:

2> ignore the IE.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the CHOICE "Inter-frequency cell removal" is received:

2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-frequency cells":

3> for each position referring to an inter-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

If the IE "Inter-frequency cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order:

1> if the CHOICE "Inter-frequency cell removal" is received:

2> if it has the value "Remove some inter-frequency cells", at the position indicated by the IE "Inter-frequency cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-frequency cells":

3> for each position referring to an inter-frequency cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-frequency cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-frequency cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> update the variable CELL_INFO_LIST as follows:

3> if the IE "Inter-frequency cell id" is received:

4> store received cell information at this position in the Inter-frequency cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

4> mark the position "occupied".

3> if the IE "Inter-frequency cell id" is not received:

4> store the received cell information at the first vacant position in ascending order in the Inter-frequency cell info list in the variable CELL_INFO_LIST; and

4> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Inter-frequency cells whose cell information is stored at the position indicated by the IE "Inter-frequency cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Inter-frequency cells whose cell information is stored in CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 11, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> ignore the IE "Inter-RAT cell removal".

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

1> set the "Inter-RAT cell info indication" to the value "0" and mark the indication status "present" in the variable CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in System Information Block Type 12, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-RAT cell removal" is received:

2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-RAT cells":

3> for each position referring to an inter-RAT cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-RAT cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received:

2> ignore the IE.

1> set the "Inter-RAT cell info indication" to the value "0" and mark the indication status "present" in the variable CELL_INFO_LIST.

If the IE "Inter-RAT cell info list" is received in a MEASUREMENT CONTROL message, the UE shall update the variable CELL_INFO_LIST accordingly and in the following order. The UE shall:

1> if the IE "Inter-RAT cell removal" is received:

2> if it has the value "Remove some inter-RAT cells", at the position indicated by the IE "Inter-RAT cell id":

3> clear the cell information stored in the variable CELL_INFO_LIST; and

3> mark the position "vacant".

2> if it has the value "Remove all inter-RAT cells":

3> for each position referring to an inter RAT cell in the variable CELL_INFO_LIST:

4> clear the cell information stored in the variable CELL_INFO_LIST; and

4> mark the position "vacant".

2> if it has the value "Remove no inter-RAT cells":

3> leave the variable CELL_INFO_LIST unchanged.

1> if the IE "New Inter-RAT cells" is received, for each cell, and in the same order as the cells appear in the IE:

2> if the IE "Radio Access Technology" is set to "None":

3> ignore the cell.

2> otherwise:

3> update the variable CELL_INFO_LIST as follows:

4> if the IE "Inter-RAT cell id" is received:

5> store received cell information at this position in the Inter-RAT cell info list in the variable CELL_INFO_LIST, possibly overwriting any existing information in this position; and

5> mark the position "occupied".

4> if the IE "Inter-RAT cell id" is not received:

5> store the received cell information at the first vacant position in ascending order in the Inter-RAT cell info list in the variable CELL_INFO_LIST; and

5> mark the position as "occupied".

1> if the IE "Cells for measurement" is received, in the measurement configured by this message only:

2> consider Inter-RAT cells whose cell information is stored at the position indicated by the IE "Inter-RAT cell id" in the variable CELL_INFO_LIST.

1> if the IE "Cells for measurement" is not received, in the measurement configured by this message:

2> consider all Inter-RAT cells whose cell information is stored in CELL_INFO_LIST.

1> if the IE "Cell selection and re-selection info for SIB11/12" is present:

2> ignore the IE.

1> if the IE "Inter-RAT cell info indication" is present:

2> store the received value of the IE "Inter-RAT cell info indication" and mark the indication status "present" in the variable CELL_INFO_LIST.

1> if the IE "Inter-RAT cell info indication" is not present:

2> clear the "Inter-RAT cell info indication" and mark the indication status "not present" in the variable CELL_INFO_LIST.

10.2.19 MEASUREMENT REPORT

This message is used by UE to transfer measurement results to the UTRAN.

RLC-SAP: AM or UM

Logical channel: DCCH

Direction: UE→UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Message Type	MP		Message Type		
UE information elements					
Integrity check info	CH		Integrity check info 10.3.3.16		
Measurement Information Elements					
Measurement identity	MP		Measurement identity 10.3.7.48		
Measured Results	OP		Measured Results 10.3.7.44		
Measured Results on RACH	OP		Measured Results on RACH 10.3.7.45		
Additional Measured results	OP	1 to <maxAdditionalMeas>			
>Measured Results	MP		Measured Results 10.3.7.44		
Event results	OP		Event results 10.3.7.7		
GSM OTD reference cell	OP		Primary CPICH info 10.3.6.60		REL-4
Inter-RAT cell info indication	CV-*IRAT*		Integer (0..3)		REL-5

Condition	Explanation
IRAT	The IE is optionally present if at least one of the IE "Inter-RAT measured results list" and the IE "Inter-RAT measurement event results" is included in the message. Otherwise, the IE is not needed.

10.3.7.23 Inter-RAT cell info list

Contains the information for the list of measurement objects for an inter-RAT measurement.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
CHOICE *Inter-RAT cell removal*	MP				
>Remove all inter-RAT cells				No data	
>Remove some inter-RAT cells					
>>Removed inter-RAT cells	MP	1 to <maxCellMeas>			
>>>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas> - 1)		
>Remove no inter-RAT cells					
New inter-RAT cells	MP	1 to <maxCellMeas>		Although this IE is not always required, need is MP to align with ASN.1	
	OP				REL-4
>Inter-RAT cell id	OP		Integer(0 .. <maxCellMeas> - 1)		
>CHOICE *Radio Access Technology*	MP				
>>GSM					
>>>Cell individual offset	MP		Integer (-50..50)	In dB Used to offset measured quantity value	
>>>Cell selection and re-selection info	OP		Cell selection and re-selection info for SIB11/12 10.3.2.4	See subclause 8.6.7.3	
>>>BSIC	MP		BSIC 10.3.8.2		
>>>Band indicator	MP		Enumerated (DCS 1800 band used, PCS 1900 band used)	Indicates how to interpret the BCCH ARFCN	
>>>BCCH ARFCN	MP		Integer (0..1023)	[45]	
>>IS-2000					
>>>System specific measurement info	MP		enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, *Candidate Frequency Neighbour List Message*	
>>None			(no data)	This value has been introduced to handle the case when IE "New inter-RAT cells" is not required	
Cell for measurement	OP	1 to			

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
		<maxCellMeas>			
>Inter-RAT cell id	MP		Integer(0 .. <maxCellMeas>-1)		
Inter-RAT cell info indication	CV-*Message*		Integer (0..3)	NOTE 1 and 2	REL-5

Condition	**Explanation**
Message	The IE is optionally present in the MEASUREMENT CONTROL and in the SRNS RELOCATION INFO messages, otherwise the IE is not needed.

NOTE 1: UTRAN may choose not to use the "Inter-RAT cell info indication" value "0" in the MEASUREMENT CONTROL message, to distinguish that case from those cases where the UE receives the IE "Inter-RAT cell info list" in SIB11 or SIB12.

NOTE 2: In case of an SRNS relocation, if the UE has been sent the "Inter-RAT cell info indication" in the MEASUREMENT CONTROL message and the IE "Inter-RAT cell info list" is included in the SRNS RELOCATION INFO sent from the source RNC to the target RNC, the "Inter-RAT cell info indication" should be included in the IE "Inter-RAT cell info list".

11.2 PDU definitions

```
    :

PDU-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

--**************************************************************
--
-- IE parameter types from other modules
--
--**************************************************************

IMPORTS

    :

-- Measurement IEs :
    AdditionalMeasurementID-List,
    DeltaRSCP,
    Frequency-Band,
    EventResults,
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqReportingCriteria-1b-r5,
    IntraFreqEvent-1d-r5,
    InterFreqEventResults-LCR-r4-ext,
    InterRATCellInfoIndicator,
    InterRAT-TargetCellDescription,
    MeasuredResults,
    MeasuredResults-v390ext,
    MeasuredResults-v590ext,
    MeasuredResultsList,
    MeasuredResultsList-LCR-r4-ext,
    MeasuredResultsOnRACH,
    MeasurementCommand,
    MeasurementCommand-r4,
    MeasurementIdentity,
    MeasurementReportingMode,
    PrimaryCCPCH-RSCP,
    SFN-Offset-Validity,
    TimeslotListWithISCP,
    TrafficVolumeMeasuredResultsList,
    UE-Positioning-GPS-AssistanceData,
    UE-Positioning-Measurement-v390ext,
    UE-Positioning-OTDOA-AssistanceData,
    UE-Positioning-OTDOA-AssistanceData-r4ext,
    UE-Positioning-OTDOA-AssistanceData-UEB,

    :

FROM InformationElements

    :

-- **************************************************
--
-- MEASUREMENT CONTROL
--
-- **************************************************

MeasurementControl ::= CHOICE {
    r3                              SEQUENCE {
        measurementControl-r3           MeasurementControl-r3-IEs,
        v390nonCriticalExtensions       SEQUENCE {
            measurementControl-v390ext      MeasurementControl-v390ext,
            v3a0NonCriticalExtensions           SEQUENCE {
                measurementControl-v3a0ext          MeasurementControl-v3a0ext,
                laterNonCriticalExtensions      SEQUENCE {
                    -- Container for additional R99 extensions
                    measurementControl-r3-add-ext       BIT STRING  OPTIONAL,
                    v4b0NonCriticalExtensions           SEQUENCE{
                        measurementControl-v4b0ext          MeasurementControl-v4b0ext-IEs,
```

```
                        v590NonCriticalExtensions       SEQUENCE {
                            measurementControl-v590ext      MeasurementControl-v590ext-IEs,
                            v5b0NonCriticalExtensions       SEQUENCE {
                                measurementControl-v5b0ext      MeasurementControl-v5b0ext-IEs,
                                nonCriticalExtensions               SEQUENCE {}     OPTIONAL
                            }                       OPTIONAL
                        }                       OPTIONAL
                    }                       OPTIONAL
                }                       OPTIONAL
            }                       OPTIONAL
        }                       OPTIONAL
    },
    later-than-r3                   SEQUENCE {
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        criticalExtensions              CHOICE {
            r4                              SEQUENCE {
                measurementControl-r4           MeasurementControl-r4-IEs,
                v4d0NonCriticalExtensions           SEQUENCE {
                    -- Container for adding non critical extensions after freezing REL-5
                    measurementControl-r4-add-ext       BIT STRING      OPTIONAL,
                    v590NonCriticalExtensions       SEQUENCE{
                        measurementControl-v590ext      MeasurementControl-v590ext-IEs,
                        v5b0NonCriticalExtensions       SEQUENCE {
                            measurementControl-v5b0ext      MeasurementControl-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                },
                criticalExtensions              SEQUENCE {}
        }
    }
}

MeasurementControl-r3-IEs ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        -- TABULAR: The measurement type is included in MeasurementCommand.
        measurementCommand              MeasurementCommand,
        measurementReportingMode        MeasurementReportingMode            OPTIONAL,
        additionalMeasurementList       AdditionalMeasurementID-List        OPTIONAL,
    -- Physical channel IEs
        dpch-CompressedModeStatusInfo   DPCH-CompressedModeStatusInfo       OPTIONAL
}

MeasurementControl-v4b0ext-IEs ::= SEQUENCE {
    ue-Positioning-OTDOA-AssistanceData-r4ext   UE-Positioning-OTDOA-AssistanceData-r4ext   OPTIONAL
}

MeasurementControl-v390ext ::= SEQUENCE {
        ue-Positioning-Measurement-v390ext      UE-Positioning-Measurement-v390ext  OPTIONAL
}

MeasurementControl-v3a0ext ::= SEQUENCE {
    sfn-Offset-Validity             SFN-Offset-Validity     OPTIONAL
}

MeasurementControl-r4-IEs ::= SEQUENCE {
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        -- TABULAR: The measurement type is included in measurementCommand.
        measurementCommand              MeasurementCommand-r4,
        measurementReportingMode        MeasurementReportingMode            OPTIONAL,
        additionalMeasurementList       AdditionalMeasurementID-List        OPTIONAL,
    -- Physical channel IEs
        dpch-CompressedModeStatusInfo   DPCH-CompressedModeStatusInfo       OPTIONAL
}

MeasurementControl-v590ext-IEs ::= SEQUENCE {
    measurementCommand-v590ext          CHOICE {
        -- the choice “intra-frequency” shall be used for the case of intra-frequency measurement,
        -- as well as when intra-frequency events are configured for inter-frequency measurement
        intra-frequency                     Intra-FreqEventCriteriaList-v590ext,
        inter-frequency                     Inter-FreqEventCriteriaList-v590ext
    }       OPTIONAL,
    intraFreqReportingCriteria-1b-r5        IntraFreqReportingCriteria-1b-r5        OPTIONAL,
```

```
    intraFreqEvent-1d-r5                    IntraFreqEvent-1d-r5                    OPTIONAL,
    -- most significant part of "RRC transaction identifier" (MSP),
    -- "RRC transaction identifier" = rrc-TransactionIdentifier-MSP-v590ext * 4 +
    -- rrc-TransactionIdentifier
    rrc-TransactionIdentifier-MSP-v590ext   RRC-TransactionIdentifier
}

MeasurementControl-v5b0ext-IEs ::=  SEQUENCE {
    interRATCellInfoIndicator           InterRATCellInfoIndicator           OPTIONAL
}

-- **************************************************
--
-- MEASUREMENT CONTROL FAILURE
--
-- **************************************************

MeasurementControlFailure ::= SEQUENCE {
    -- User equipment IEs
        rrc-TransactionIdentifier       RRC-TransactionIdentifier,
        failureCause                    FailureCauseWithProtErr,
        laterNonCriticalExtensions      SEQUENCE {
            -- Container for additional R99 extensions
            measurementControlFailure-r3-add-ext        BIT STRING      OPTIONAL,
            v590NonCriticalExtensions       SEQUENCE {
                measurementControlFailure-v590ext       MeasurementControlFailure-v590ext-IEs,
                nonCriticalExtensions           SEQUENCE {}     OPTIONAL
            }   OPTIONAL
        }   OPTIONAL
}

MeasurementControlFailure-v590ext-IEs ::= SEQUENCE {
    -- most significant part of "RRC transaction identifier" (MSP),
    -- "RRC transaction identifier" = rrc-TransactionIdentifier-MSP-v590ext * 4 +
    -- rrc-TransactionIdentifier
    -- If the rrc-TransactionIdentifier-MSP-v590ext was not received in the MEASUREMENT CONTROL
    -- message, then the rrc-TransactionIdentifier-MSP-v590ext shall be set to zero
    rrc-TransactionIdentifier-MSP-v590ext   RRC-TransactionIdentifier
}

-- **************************************************
--
-- MEASUREMENT REPORT
--
-- **************************************************

MeasurementReport ::= SEQUENCE {
    -- Measurement IEs
        measurementIdentity     MeasurementIdentity,
        measuredResults                 MeasuredResults                     OPTIONAL,
        measuredResultsOnRACH           MeasuredResultsOnRACH               OPTIONAL,
        additionalMeasuredResults       MeasuredResultsList                 OPTIONAL,
        eventResults                    EventResults                        OPTIONAL,
    -- Non-critical extensions
        v390nonCriticalExtensions           SEQUENCE {
            measurementReport-v390ext       MeasurementReport-v390ext,
            laterNonCriticalExtensions      SEQUENCE {
                -- Container for additional R99 extensions
                measurementReport-r3-add-ext        BIT STRING      OPTIONAL,
                v4b0NonCriticalExtensions       SEQUENCE {
                    measurementReport-v4b0ext       MeasurementReport-v4b0ext-IEs,
                    -- Extension mechanism for non-Rel4 information
                    v590NonCriticalExtensions   SEQUENCE {
                        measurementReport-v590ext       MeasurementReport-v590ext-IEs,
                        v5b0NonCriticalExtensions       SEQUENCE {
                            measurementReport-v5b0ext       MeasurementReport-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
}

MeasurementReport-v390ext ::= SEQUENCE {
        measuredResults-v390ext         MeasuredResults-v390ext             OPTIONAL
}
```

```
MeasurementReport-v4b0ext-IEs ::= SEQUENCE {
    interFreqEventResults-LCR       InterFreqEventResults-LCR-r4-ext        OPTIONAL,
    additionalMeasuredResults-LCR   MeasuredResultsList-LCR-r4-ext          OPTIONAL,
    gsmOTDreferenceCell             PrimaryCPICH-Info                       OPTIONAL
}

MeasurementReport-v590ext-IEs ::= SEQUENCE {
    measuredResults-v590ext             MeasuredResults-v590ext             OPTIONAL
}

MeasurementReport-v5b0ext-IEs ::=   SEQUENCE {
    interRATCellInfoIndicator           InterRATCellInfoIndicator           OPTIONAL
}

    :
```

11.3 Information element definitions

```
    :

-- **************************************************
--
--     MEASUREMENT INFORMATION ELEMENTS (10.3.7)
--
-- **************************************************

    :

InterRATCellID ::=              INTEGER (0..maxCellMeas-1)

InterRATCellInfoIndicator ::=       INTEGER (0..3)

InterRATCellInfoList ::=            SEQUENCE {
    removedInterRATCellList         RemovedInterRATCellList,
    -- NOTE: Future revisions of dedicated messages including IE newInterRATCellList
    -- should use a corrected version of this IE
    newInterRATCellList             NewInterRATCellList,
    cellsForInterRATMeasList            CellsForInterRATMeasList            OPTIONAL
}

InterRATCellInfoList-B ::=          SEQUENCE {
    removedInterRATCellList         RemovedInterRATCellList,
    -- NOTE: IE newInterRATCellList should be optional.  However, system information
    -- does not support message versions.  Hence, this can not be corrected
    newInterRATCellList             NewInterRATCellList-B
}

InterRATCellInfoList-r4 ::=         SEQUENCE {
    removedInterRATCellList                 RemovedInterRATCellList,
    newInterRATCellList                     NewInterRATCellList                 OPTIONAL,
    cellsForInterRATMeasList                CellsForInterRATMeasList            OPTIONAL
}

    :
```

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    :

-- Measurement IEs :
    Inter-FreqEventCriteriaList-v590ext,
    Intra-FreqEventCriteriaList-v590ext,
    IntraFreqEvent-1d-r5,
    IntraFreqReportingCriteria-1b-r5,
    InterRATCellInfoIndicator,
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,

    :

FROM InformationElements

    :

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4b0NonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4b0ext         SRNC-RelocationInfo-v4b0ext-IE
                                            v590NonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v590ext
                                                                                    SRNC-RelocationInfo-v590ext-IE
                                                v5a0NonCriticalExtensions           SEQUENCE {
                                                    sRNC-RelocationInfo-v5a0ext
                                                                                    SRNC-RelocationInfo-v5a0ext-IE
                                                    v5b0NonCriticalExtensions           SEQUENCE {
                                                        sRNC-RelocationInfo-v5b0ext
                                                                                    SRNC-RelocationInfo-v5b0ext-IE
                                                        -- Reserved for future non critical extension
                                                        nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                                    }       OPTIONAL
                                                }       OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    }       OPTIONAL
```

```
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4d0NonCriticalExtensions       SEQUENCE {
                sRNC-RelocationInfo-v4d0ext     SRNC-RelocationInfo-v4d0ext-IEs,
                -- Container for adding non critical extensions after freezing REL-5
                sRNC-RelocationInfo-r4-add-ext      BIT STRING      OPTIONAL,
                v590NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v590ext         SRNC-RelocationInfo-v590ext-IEs,
                    v5a0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5a0ext         SRNC-RelocationInfo-v5a0ext-IEs,
                        v5b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v5b0ext         SRNC-RelocationInfo-v5b0ext-IEs,
                            nonCriticalExtensions           SEQUENCE {} OPTIONAL
                        }   OPTIONAL
                    }   OPTIONAL
                }   OPTIONAL
            }   OPTIONAL
        },
        criticalExtensions              CHOICE {
            r5                              SEQUENCE {
                sRNC-RelocationInfo-r5          SRNC-RelocationInfo-r5-IEs,
                sRNC-RelocationInfo-r5-add-ext  BIT STRING      OPTIONAL,
                v5a0NonCriticalExtensions       SEQUENCE {
                    sRNC-RelocationInfo-v5a0ext     SRNC-RelocationInfo-v5a0ext-IEs,
                    v5b0NonCriticalExtensions       SEQUENCE {
                        sRNC-RelocationInfo-v5b0ext     SRNC-RelocationInfo-v5b0ext-IEs,
                        nonCriticalExtensions           SEQUENCE {}     OPTIONAL
                    }   OPTIONAL
                }   OPTIONAL
            },
            criticalExtensions                  SEQUENCE {}
        }
    }
}

    :

SRNC-RelocationInfo-v590ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v590ext    UE-RadioAccessCapability-v590ext        OPTIONAL,
        ue-RATSpecificCapability-v590ext    InterRAT-UE-RadioAccessCapability-v590ext    OPTIONAL
}

SRNC-RelocationInfo-v5a0ext-IEs ::= SEQUENCE {
        storedCompressedModeInfo        StoredCompressedModeInfo    OPTIONAL
}

SRNC-RelocationInfo-v5b0ext-IEs ::= SEQUENCE {
    interRATCellInfoIndicator           InterRATCellInfoIndicator           OPTIONAL
}

    :
```

13.4.0 CELL_INFO_LIST

This variable contains cell information on intra-frequency, inter-frequency and inter-RAT cells, as received in messages System Information Block Type 11, System Information Block Type 12, and MEASUREMENT CONTROL.

The first position in Intra-frequency cell info list corresponds to Intra-frequency cell id 0, the second to Intra-frequency cell id 1, etc.

The first position in Inter-frequency cell info list corresponds to Inter-frequency cell id 0, the second to Inter-frequency cell id 1, etc.

The first position in Inter-RAT cell info list corresponds to Intra-frequency cell id 0, the second to Inter-RAT cell id 1, etc.

This variable shall be cleared at cell re-selection, when leaving UTRA RRC connected mode, when switched off as well as at selection of a new PLMN.

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
Intra-frequency cell info	OP	1..<maxCellMeas>			
>CHOICE *position status*	MP				
>>Occupied					
>>>Cell info	MP		Cell info 10.3.7.2		
>>Vacant				No data	
Inter-frequency cell info	OP	1..<maxCellMeas>			
>CHOICE *position status*	MP				
>>Occupied					
>>>Frequency info	MP		Frequency info 10.3.6.36		
>>>Cell info	MP		Cell info 10.3.7.2		
>>Vacant				No data	
Inter-RAT cell info list	OP				REL-5
>Inter-RAT cell info	OP	1..<maxCellMeas>			
>>CHOICE *position status*	MP				
>>>Occupied					
>>>CHOICE *Radio Access Technology*					
>>>>GSM					
>>>>>Cell selection and re-selection info	MP		Cell selection and re-selection info for SIB11/12 10.3.2.4		
>>>>>BSIC	MP		BSIC 10.3.8.2		
>>>>>BCCH ARFCN	MP		Integer (0..1023)	[43]	
>>>>IS-2000					
>>>>>System specific measurement info			enumerated (frequency, timeslot, colour code, output power, PN offset)	For IS-2000, use fields from TIA/EIA/IS-2000.5, subclause 3.7.3.3.2.27, *Candidate Frequency Neighbour List*	

Information Element/Group name	Need	Multi	Type and reference	Semantics description	Version
				Message	
>>>Vacant				No data	
>CHOICE *indication status*	MP				REL-5
>>*Present*					REL-5
>>>Inter-RAT cell info indicator	OP		Integer (0..3)		REL-5
>>*Not present*				No data	REL-5