TSG-RAN Meeting #23 **RP-040095**
Phoenix, USA, 10-12 March 2004

Title: **CRs on 25.331 R'99 (1) (and linked CRs from later releases)**

Source: **TSG-RAN WG2**

Agenda item: **7.3.3**

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	2165	2	R99	Response on SRNS Relocation with Cell Update	F	3.17.0	3.18.0	R2-040606	TEI
25.331	2166	2	Rel-4	Response on SRNS Relocation with Cell Update	A	4.12.0	4.13.0	R2-040607	TEI
25.331	2167	2	Rel-5	Response on SRNS Relocation with Cell Update	A	5.7.1	5.8.0	R2-040608	TEI
25.331	2168	2	Rel-6	Response on SRNS Relocation with Cell Update	A	6.0.1	6.1.0	R2-040609	TEI
25.331	2169	-	R99	TPC Combination Index in SRNC relocation	F	3.17.0	3.18.0	R2-040200	TEI
25.331	2170	-	Rel-4	TPC Combination Index in SRNC relocation	A	4.12.0	4.13.0	R2-040201	TEI
25.331	2171	-	Rel-5	TPC Combination Index in SRNC relocation	A	5.7.1	5.8.0	R2-040202	TEI
25.331	2172	-	Rel-6	TPC Combination Index in SRNC relocation	A	6.0.1	6.1.0	R2-040203	TEI
25.331	2177	1	R99	Invalidation of START value in USIM/UE.	F	3.17.0	3.18.0	R2-040311	TEI
25.331	2178	1	Rel-4	Invalidation of START value in USIM/UE.	A	4.12.0	4.13.0	R2-040312	TEI
25.331	2179	1	Rel-5	Invalidation of START value in USIM/UE.	A	5.7.1	5.8.0	R2-040313	TEI
25.331	2180	1	Rel-6	Invalidation of START value in USIM/UE.	A	6.0.1	6.1.0	R2-040314	TEI
25.331	2181	1	R99	Uplink Integrity protection handling in case of N302 increment	F	3.17.0	3.18.0	R2-040337	TEI
25.331	2182	1	Rel-4	Uplink Integrity protection handling in case of N302 increment	A	4.12.0	4.13.0	R2-040338	TEI
25.331	2183	1	Rel-5	Uplink Integrity protection handling in case of N302 increment	A	5.7.1	5.8.0	R2-040339	TEI
25.331	2184	1	Rel-6	Uplink Integrity protection handling in case of N302 increment	A	6.0.1	6.1.0	R2-040340	TEI
25.331	2185	1	R99	Amount of reporting for UE-based and UE assisted A-GPS	F	3.17.0	3.18.0	R2-040476	TEI
25.331	2186	1	Rel-4	Amount of reporting for UE-based and UE assisted A-GPS	A	4.12.0	4.13.0	R2-040477	TEI
25.331	2187	1	Rel-5	Amount of reporting for UE-based and UE assisted A-GPS	A	5.7.1	5.8.0	R2-040478	TEI
25.331	2188	1	Rel-6	Amount of reporting for UE-based and UE assisted A-GPS	A	6.0.1	6.1.0	R2-040479	TEI

3GPP TSG–RAN2 Meeting #41 **Tdoc ⌘R2-040606**
Malaga, Spain, 16th –20th February 2004

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2165** ⌘**rev** **2** ⌘ Current version: **3.17.0** ⌘

For HELP *on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Response on SRNS Relocation with Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	05/01/2004
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	It is not clear whether the UE shall interpret the IE “Downlink Counter Synchronisation Info” as being part of the "RB information elements" or not. In the case of a SRNS relocation from CELL_FACH to CELL_FACH which is not triggered by the transition from CELL_DCH to CELL_FACH it is common understanding that the UE should answer with a UTRAN mobility information complete message if only the “new U-RNTI” and the “Downlink Counter Synchronisation Info” IEs are included. However if the IE “Downlink Counter Synchronisation Info” is considered to be part of the “RB information elements” the UE would need to send the Radio bearer Reconfiguration Complete message to the RNC.
Summary of change:	⌘	Section 8.3.1.7 In the case the UE receives the IE “Downlink counter synchronisation info” in a Cell / Ura Update Confirm message it shall respond with a Utran Mobilty Information message Revision 2: Only if the IE “Downlink Counter Synchronisation” and the IE “New U-RNTI” are both included the UE shall send the UTRAN Mobility Information Confirm message. Changes to 8.3.1.9 are in a separate CR.
Consequences if not approved:	⌘	The UE would need to answer a SRNS Relocation from CELL_FACH to CELL_FACH initiated by a Cell Update Confirm message with a Radio Bearer Reconfiguration Complete message. This is contrary to the current RAN2 understanding. **Isolated Impact Change Analysis.** This change impacts the SRNS Relocation triggered by a Cell Update Confirm message.

	If this cahange is not agreed the UTRAN needs to be prepared to receive a Radio Bearer Reconfiguration Complete message as response message to a Cell Update Confirm message instead of the UTRAN Mobility Information Confirm message which is the current understanding. **Impact on the test specifications** There is no test defined in 34.123 which is impacted by the changes.

Clauses affected:	⌘	8.3.1.7				
		Y	**N**			
Other specs affected:	⌘		**X**	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

1> transmit no response message.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> when RLC has confirmed the successful transmission of the response message:

3> if the variable PDCP_SN_INFO is empty:

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

1> if the variable PDCP_SN_INFO is empty:

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the response message:

4> continue with the remainder of the procedure.

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the response message,

4> continue with the remainder of the procedure.

1> if the variable PDCP_SN_INFO non-empty:

2> when RLC has confirmed the successful transmission of the response message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> continue with the remainder of the procedure.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted in CELL_FACH state, and the UE shall:

1> when RLC has confirmed the successful transmission of the response message:

2> for each radio bearer in the variable PDCP_SN_INFO:

3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

4> configure the RLC entity for that radio bearer to "continue".

2> enter the new state (CELL_PCH or URA_PCH, respectively).

1> continue with the remainder of the procedure.

3GPP TSG–RAN2 Meeting #41 **Tdoc ⌘R2-040607**
Malaga, Spain, 16th –20th February 2004

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2166** ⌘**rev** **2** ⌘ Current version: **4.12.0** ⌘

For HELP *on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Response on SRNS Relocation with Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	05/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
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B *(addition of feature),*
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Reason for change:	⌘	It is not clear whether the UE shall interpret the IE “Downlink Counter Synchronisation Info” as being part of the "RB information elements" or not. In the case of a SRNS relocation from CELL_FACH to CELL_FACH which is not triggered by the transition from CELL_DCH to CELL_FACH it is common understanding that the UE should answer with a UTRAN mobility information complete message if only the “new U-RNTI” and the “Downlink Counter Synchronisation Info” IEs are included. However if the IE “Downlink Counter Synchronisation Info” is considered to be part of the “RB information elements” the UE would need to send the Radio bearer Reconfiguration Complete message to the RNC.
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Consequences if not approved:	⌘	The UE would need to answer a SRNS Relocation from CELL_FACH to CELL_FACH initiated by a Cell Update Confirm message with a Radio Bearer Reconfiguration Complete message. This is contrary to the current RAN2 understanding. **Isolated Impact Change Analysis.** This change impacts the SRNS Relocation triggered by a Cell Update Confirm message.

	If this cahange is not agreed the UTRAN needs to be prepared to receive a Radio Bearer Reconfiguration Complete message as response message to a Cell Update Confirm message instead of the UTRAN Mobility Information Confirm message which is the current understanding. **Impact on the test specifications** There is no test defined in 34.123 which is impacted by the changes.

Clauses affected:	⌘	8.3.1.7			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI:

the UE shall:

1> transmit no response message.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> when RLC has confirmed the successful transmission of the response message:

3> if the variable PDCP_SN_INFO is empty:

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

1> if the variable PDCP_SN_INFO is empty:

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the response message:

4> continue with the remainder of the procedure.

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":

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3> continue with the remainder of the procedure.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted in CELL_FACH state, and the UE shall:

1> when RLC has confirmed the successful transmission of the response message:

2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

2> for each radio bearer in the variable PDCP_SN_INFO:

3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

4> configure the RLC entity for that radio bearer to "continue".

2> enter the new state (CELL_PCH or URA_PCH, respectively).

1> continue with the remainder of the procedure.

TSG–RAN2 Meeting #41
Malaga, Spain, 16th –20th February 2004

Tdoc ⌘R2-040608

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2167** ⌘ **rev** **2** ⌘ Current version: **5.7.1** ⌘

For HELP *on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Response on SRNS Relocation with Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	05/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
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C *(functional modification of feature)*
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Use one of the following releases:

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Reason for change:	⌘	It is not clear whether the UE shall interpret the IE “Downlink Counter Synchronisation Info” as being part of the "RB information elements" or not. In the case of a SRNS relocation from CELL_FACH to CELL_FACH which is not triggered by the transition from CELL_DCH to CELL_FACH it is common understanding that the UE should answer with a UTRAN mobility information complete message if only the “new U-RNTI” and the “Downlink Counter Synchronisation Info” IEs are included. However if the IE “Downlink Counter Synchronisation Info” is considered to be part of the “RB information elements” the UE would need to send the Radio bearer Reconfiguration Complete message to the RNC.
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Consequences if not approved:	⌘	The UE would need to answer a SRNS Relocation from CELL_FACH to CELL_FACH initiated by a Cell Update Confirm message with a Radio Bearer Reconfiguration Complete message. This is contrary to the current RAN2 understanding. **Isolated Impact Change Analysis.** This change impacts the SRNS Relocation triggered by a Cell Update Confirm message.

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Clauses affected:	⌘	8.3.1.7			
Other specs affected:	⌘	**Y**	**N**		
			X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI"~~:~~:

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

1> transmit no response message.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> when RLC has confirmed the successful transmission of the response message:

3> if the variable PDCP_SN_INFO is empty:

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

4> configure the RLC entity for UM and AM radio bearers for which the IE " PDCP SN Info" is not included to "continue".

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> if the IE "PDCP context relocation info" is not present:

> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

3> if the IE "PDCP context relocation info" is present:

4> perform the actions as specified in subclause 8.6.4.13.

1> if the variable PDCP_SN_INFO is empty:

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the response message:

4> continue with the remainder of the procedure.

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the response message,

4> continue with the remainder of the procedure.

1> if the variable PDCP_SN_INFO is non-empty:

2> when RLC has confirmed the successful transmission of the response message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> continue with the remainder of the procedure.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted in CELL_FACH state, and the UE shall:

1> when RLC has confirmed the successful transmission of the response message:

2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

2> for each radio bearer in the variable PDCP_SN_INFO:

3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

4> configure the RLC entity for that radio bearer to "continue".

2> enter the new state (CELL_PCH or URA_PCH, respectively).

1> continue with the remainder of the procedure.

3GPP TSG–RAN2 Meeting #41 **Tdoc ⌘R2-040609**
Malaga, Spain, 16th –20th February 2004

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2168** ⌘**rev** **2** ⌘ Current version: **6.0.1** ⌘

For HELP *on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Response on SRNS Relocation with Cell Update
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	05/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	It is not clear whether the UE shall interpret the IE “Downlink Counter Synchronisation Info” as being part of the "RB information elements" or not. In the case of a SRNS relocation from CELL_FACH to CELL_FACH which is not triggered by the transition from CELL_DCH to CELL_FACH it is common understanding that the UE should answer with a UTRAN mobility information complete message if only the “new U-RNTI” and the “Downlink Counter Synchronisation Info” IEs are included. However if the IE “Downlink Counter Synchronisation Info” is considered to be part of the “RB information elements” the UE would need to send the Radio bearer Reconfiguration Complete message to the RNC.
Summary of change:	⌘	Section 8.3.1.7 In the case the UE receives the IE “Downlink counter synchronisation info” in a Cell / Ura Update Confirm message it shall respond with a Utran Mobilty Information message Revision 2: Only if the IE “Downlink Counter Synchronisation” and the IE “New U-RNTI” are both included the UE shall send the UTRAN Mobility Information Confirm message. Changes to 8.3.1.9 are in a separate CR.
Consequences if not approved:	⌘	The UE would need to answer a SRNS Relocation from CELL_FACH to CELL_FACH initiated by a Cell Update Confirm message with a Radio Bearer Reconfiguration Complete message. This is contrary to the current RAN2 understanding. **Isolated Impact Change Analysis.** This change impacts the SRNS Relocation triggered by a Cell Update Confirm message.

	If this cahange is not agreed the UTRAN needs to be prepared to receive a Radio Bearer Reconfiguration Complete message as response message to a Cell Update Confirm message instead of the UTRAN Mobility Information Confirm message which is the current understanding. **Impact on the test specifications** There is no test defined in 34.123 which is impacted by the changes.

Clauses affected:	⌘	8.3.1.7				
		Y	**N**			
Other specs affected:	⌘		**X**	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

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8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE “RB information to release list”, nor the IE “RB information to reconfigure list”, nor the IE “RB information to be affected list”~~"RB information elements"~~; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI"~~:~~:

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI"; or
- includes the IE “Downlink counter synchronisation info” and the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

1> transmit no response message.

If the new state is CELL_DCH or CELL_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> when RLC has confirmed the successful transmission of the response message:

3> if the variable PDCP_SN_INFO is empty:

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

4> configure the RLC entity for UM and AM radio bearers for which the IE " PDCP SN Info" is not included to "continue".

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> if the IE "PDCP context relocation info" is not present:

> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

3> if the IE "PDCP context relocation info" is present:

4> perform the actions as specified in subclause 8.6.4.13.

1> if the variable PDCP_SN_INFO is empty:

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the response message:

4> continue with the remainder of the procedure.

2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the response message,

4> continue with the remainder of the procedure.

1> if the variable PDCP_SN_INFO is non-empty:

2> when RLC has confirmed the successful transmission of the response message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> continue with the remainder of the procedure.

If the new state is CELL_PCH or URA_PCH, the response message shall be transmitted in CELL_FACH state, and the UE shall:

1> when RLC has confirmed the successful transmission of the response message:

2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

2> for each radio bearer in the variable PDCP_SN_INFO:

3> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

4> configure the RLC entity for that radio bearer to "continue".

2> enter the new state (CELL_PCH or URA_PCH, respectively).

1> continue with the remainder of the procedure.

3GPP TSG-RAN 2 Meeting #40
Sophia Antipolis, France, 12th-16th January 2004

Tdoc ⌘R2-040200

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2169** ⌘ **rev** **-** ⌘ Current version: **3.17.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	TPC Combination Index in SRNC relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	13/01/2004
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	In SRNS relocation the source RNC is not able to send currently used TPC Combination index values of active set cells to target RNC. Thus target RNC does not have knowledge which index values are used in UE. Due to this when target RNC is adding new SHO branches (or replacing) for the UE after SRNS relocation the target SRNC may potentially use incorrect TPC Combination index values causing SHO failure, and drop call.
Summary of change:	⌘	The TPC Combination Info list including Primary CPICH info and associated TPC Combination index values of the active set cells are included in the SRNS RELOCATION container.
Consequences if not approved:	⌘	After SRNS relocation SHO may fail, as TPC Combination index values are different in UE and in UTRAN, which is likely to causea a SHO failure and dropped call. As both the SRNS relocation and especially SHO are frequent actions in the network the problem will occur frequently especially in RNS border areas. **Isolated impact analysis** • **UE** No effect • **UTRAN** If UTRAN has not implemented this CR SHOs after SRNS relocation will fail.
Clauses affected:	⌘	11.5, 14.12.4.2

Y | **N**

Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				source.
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing	OP	1 to		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
measurement reporting		<MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
PhyCH information elements				
>TPC Combination Info list	OP	1 to <maxRL>		
>> Primary CPICH info	MP		10.3.6.60	
>>TPC combination index	MP		TPC combination index 10.3.6.85	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

[+++ Next Modified section+++]

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo,
```

```
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    C-RNTI,
    DL-PhysChCapabilityFDD-v380ext,
    FailureCauseWithProtErr,
    RRC-MessageSequenceNumber,
    STARTList,
    STARTSingle,
    START-Value,
    U-RNTI,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UESpecificBehaviourInformation1interRAT,
    UESpecificBehaviourInformation1idle,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-AddReconfTransChInfoList,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,
    maxRB,
    maxSRBsetup,
    maxRL
FROM Constant-definitions;


-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRAThandover                    InterRATHandoverInfoWithInterRATCapabilities,
    srncRelocation                      SRNC-RelocationInfo,
    extension                           NULL
}

-- **************************************************
```

```
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


TargetRNC-ToSourceRNC-Container::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo,
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
    dL-DCCHmessage                      OCTET STRING,
    extension                           NULL
}

-- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRAThandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        -- but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
        v380NonCriticalExtensions           SEQUENCE {
            sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
            -- Reserved for future non critical extension
            v390NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                v3a0NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
```

```
                    v3b0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                        v3c0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                            laterNonCriticalExtensions          SEQUENCE {
                                sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-IEs,
                                -- Container for additional R99 extensions
                                sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)    OPTIONAL,
                                v3g0NonCriticalExtensions           SEQUENCE {
                                    sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                    -- Reserved for future non critical extension
                                    nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

SRNC-RelocationInfo-r3-IEs ::=              SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
        -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}
```

```
SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        cipheringInfoForSRB1-v3a0ext        CipheringInfoPerRB-List-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL,
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCiphering-v3a0ext      START-Value
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

CipheringInfoPerRB-List-v3a0ext ::= SEQUENCE {
        dl-UM-SN                        BIT STRING (SIZE (7))
}

CipheringStatusList ::=             SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=         SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
```

```
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
```

```
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
                                        otherStates
}

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        tpc-CombinationIndex                TPC-CombinationIndex
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

END
```

[+++ End of Modified Sections +++]

3GPP TSG-RAN 2 Meeting #40
Sophia Antipolis, France, 12th-16th January 2004

Tdoc ⌘R2-040201

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2170** ⌘ **rev** **-** ⌘ Current version: **4.12.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	TPC Combination Index in SRNC relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	13/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change:	⌘	In SRNS relocation the source RNC is not able to send currently used TPC Combination index values of active set cells to target RNC. Thus target RNC does not have knowledge which index values are used in UE. Due to this when target RNC is adding new SHO branches (or replacing) for the UE after SRNS relocation the target SRNC may potentially use incorrect TPC Combination index values causing SHO failure, and drop call.
Summary of change:	⌘	The TPC Combination Info list including Primary CPICH info and associated TPC Combination index values of the active set cells are included in the SRNS RELOCATION container.
Consequences if not approved:	⌘	After SRNS relocation SHO may fail, as TPC Combination index values are different in UE and in UTRAN, which is likely to causea a SHO failure and dropped call. As both the SRNS relocation and especially SHO are frequent actions in the network the problem will occur frequently especially in RNS border areas. **Isolated impact analysis** • **UE** No effect • **UTRAN** If UTRAN has not implemented this CR SHOs after SRNS relocation will fail.
Clauses affected:	⌘	11.5, 14.12.4.2

Y | **N**

Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				source.
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing	OP	1 to		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
measurement reporting		<MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
PhyCH information elements				
>TPC Combination Info list	OP	1 to <maxRL>		
>> Primary CPICH info	MP		10.3.6.60	
>>TPC combination index	MP		TPC combination index 10.3.6.85	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

[+++ Next Modified section+++]

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
```

```
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaxNoPhysChBitsReceived,
    MaxROHC-ContextSessions-r4,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClass-v370,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UE-RadioAccessCapability-v4xyext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
-- Measurement IEs :
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
    UE-Positioning-IPDL-Parameters-TDD-r4-ext,
```

```
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList,
    UESpecificBehaviourInformation1interRAT,
    UESpecificBehaviourInformation1idle
FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxSRBsetup,
    maxRL
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    -- IE dl-DCCHmessage consists of an octet string that includes
    -- the IE DL-DCCH-Message
    dL-DCCHmessage                      OCTET STRING,
    extension                           NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
```

```
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- ************************************************
--
-- SRNC Relocation information
--
-- ************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions      SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext     SRNC-RelocationInfo-v3d0ext-IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)    OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4xyNonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4xyext         SRNC-RelocationInfo-v4xyext-IE
                                            -- Reserved for future non critical extension
                                            nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4c0NonCriticalExtensions-r4    SEQUENCE {
                sRNC-RelocationInfo-v4c0ext     SRNC-RelocationInfo-v4c0ext-IEs,
                nonCriticalExtensions           SEQUENCE {} OPTIONAL
            }   OPTIONAL
            },
        criticalExtensions              SEQUENCE {}
    }
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
```

```
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
        -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
        -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the remaining start values are contained in IE startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                          OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
```

```
}
SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

SRNC-RelocationInfo-v4c0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle


SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE "TargetRNC-ToSourceRNC-Container".
        -- Only included if type is "UE involved"
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r4,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
```

```
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList-r4         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r4      OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}

CipheringInfoPerRB-r4 ::=           SEQUENCE {
    rb-Identity                         RB-Identity,
    dl-HFN                              BIT STRING (SIZE (20..25)),
    dl-UM-SN                            BIT STRING (SIZE (7))               OPTIONAL,
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::=      SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB-r4

CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CipheringStatusList-r4 ::=          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::=      SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus,
        start-Value                     START-Value
}

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                    BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements                 BOOLEAN                             OPTIONAL,
    tdd128-Measurements                 BOOLEAN                             OPTIONAL,
    gsm-Measurements                    GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements            BOOLEAN                             OPTIONAL
```

```
}

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))


IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCapability-r4 ::=        SEQUENCE {
    downlinkCompressedMode              CompressedModeMeasCapability-r4,
    uplinkCompressedMode                CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

PDCP-Capability-r4 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
```

```
    }
}

PhysicalChannelCapability-r4 ::=        SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
}

RF-Capability-r4 ::=                SEQUENCE {
        fddRF-Capability                    SEQUENCE {
            ue-PowerClass                       UE-PowerClass-v370,
            txRxFrequencySeparation             TxRxFrequencySeparation
        }                                                               OPTIONAL,
        tdd384-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClass-v370,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL,
        tdd128-RF-Capability                SEQUENCE {
            ue-PowerClass                       UE-PowerClass-v370,
            radioFrequencyBandTDDList           RadioFrequencyBandTDDList,
            chipRateCapability                  ChipRateCapability
        }                                                               OPTIONAL
}

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
                                        otherStates
}

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info                   PrimaryCPICH-Info,
        tpc-CombinationIndex                TPC-CombinationIndex
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

UE-Positioning-Capability-r4 ::=    SEQUENCE {
```

```
    standaloneLocMethodsSupported           BOOLEAN,
    ue-BasedOTDOA-Supported                 BOOLEAN,
    networkAssistedGPS-Supported            NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames     BOOLEAN,
    supportForIPDL                          BOOLEAN,
    rx-tx-TimeDifferenceType2Capable        BOOLEAN,
    validity-CellPCH-UraPCH                 ENUMERATED { true (0 ) }    OPTIONAL
}

UE-RadioAccessCapability-r4 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    pdcp-Capability                     PDCP-Capability-r4,
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

END
```

[+++ End of Modified Sections +++]

3GPP TSG-RAN 2 Meeting #40
Sophia Antipolis, France, 12th-16th January 2004

Tdoc ⌘R2-040202

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2171** ⌘**rev** **-** ⌘ Current version: **5.7.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	TPC Combination Index in SRNC relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	13/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	In SRNS relocation the source RNC is not able to send currently used TPC Combination index values of active set cells to target RNC. Thus target RNC does not have knowledge which index values are used in UE. Due to this when target RNC is adding new SHO branches (or replacing) for the UE after SRNS relocation the target SRNC may potentially use incorrect TPC Combination index values causing SHO failure, and drop call.
Summary of change:	⌘	The TPC Combination Info list including Primary CPICH info and associated TPC Combination index values of the active set cells are included in the SRNS RELOCATION container.
Consequences if not approved:	⌘	After SRNS relocation SHO may fail, as TPC Combination index values are different in UE and in UTRAN, which is likely to causea a SHO failure and dropped call. As both the SRNS relocation and especially SHO are frequent actions in the network the problem will occur frequently especially in RNS border areas. **Isolated impact analysis** • **UE** No effect • **UTRAN** If UTRAN has not implemented this CR SHOs after SRNS relocation will fail.
Clauses affected:	⌘	11.5, 14.12.4.2

Y | **N**

Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover from GERAN *Iu mode* this field is not present.
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover from GERAN *Iu mode.*
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point;	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency	OP		Inter-	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
measurement quantity			frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measuremen t reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measuremen t object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measuremen t reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measuremen t quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measuremen t reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
PhyCH information elements				
>TPC Combination Info list	OP	1 to <maxRL>		
>> Primary CPICH info	MP		10.3.6.60	
>>TPC combination index	MP		TPC combination index 10.3.6.85	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

[+++ Next Modified section+++]

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
```

```
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaxNoPhysChBitsReceived,
    MaxROHC-ContextSessions-r4,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClass-v370,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UE-RadioAccessCapability-v4xyext,
    UE-RadioAccessCapability-v5xyext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-Identity,
    RB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
-- Measurement IEs :
```

```
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList,
    InterRAT-UE-RadioAccessCapabilityList-r5,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT

FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup,
    maxRL
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    rfc3095-ContextInfo                 RFC3095-ContextInfo-r5,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING,
    extension                           NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
```

```
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- **************************************************
--
-- RFC3095 context, source RNC to target RNC
--
-- **************************************************

RFC3095-ContextInfo-r5 ::= CHOICE {
    r5                              SEQUENCE {
        rFC3095-ContextInfoList-r5      RFC3095-ContextInfoList-r5,
        -- Reserved for future non critical extension
        nonCriticalExtensions           SEQUENCE {} OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

RFC3095-ContextInfoList-r5  ::=     SEQUENCE (SIZE (1..maxRBallRABs)) OF
                                        RFC3095-ContextInfo


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4xyNonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4xyext         SRNC-RelocationInfo-v4xyext-IE
                                            v5xyNonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v5xyext         SRNC-
RelocationInfo-v5xyext-IEs,
                                                -- Reserved for future non critical extension
```

```
                                                nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4c0NonCriticalExtensions-r4    SEQUENCE {
                sRNC-RelocationInfo-v4c0ext     SRNC-RelocationInfo-v4c0ext-IEs,
                v5xyNonCriticalExtensions       SEQUENCE {
                sRNC-RelocationInfo-v5xyext         SRNC-RelocationInfo-v5xyext-IEs,
                nonCriticalExtensions           SEQUENCE {} OPTIONAL
                } OPTIONAL
            }   OPTIONAL
            },
        criticalExtensions              SEQUENCE {}
    }
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
         -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
```

```
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

SRNC-RelocationInfo-v4c0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}

SRNC-RelocationInfo-v5xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v5xyext    UE-RadioAccessCapability-v5xyext,
        ue-RATSpecificCapability-r5         InterRAT-UE-RadioAccessCapabilityList-r5    OPTIONAL
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
```

```
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r4,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList-r4         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r4      OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}
```

```
CipheringInfoPerRB-r4 ::=           SEQUENCE {
    rb-Identity                         RB-Identity,
    dl-HFN                              BIT STRING (SIZE (20..25)),
    dl-UM-SN                            BIT STRING (SIZE (7))               OPTIONAL,
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::=      SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB-r4


CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CipheringStatusList-r4 ::=          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::=      SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus,
        start-Value                     START-Value
}

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                    BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements                 BOOLEAN                             OPTIONAL,
    tdd128-Measurements                 BOOLEAN                             OPTIONAL,
    gsm-Measurements                    GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements            BOOLEAN                             OPTIONAL
}

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL
}

DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r},
    dl-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    dl-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    dl-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    dl-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    dl-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    dl-dyn-changed                      BOOLEAN
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))
```

```
IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCapability-r4 ::=        SEQUENCE {
    downlinkCompressedMode              CompressedModeMeasCapability-r4,
    uplinkCompressedMode                CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

PDCP-Capability-r4 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PhysicalChannelCapability-r4 ::=        SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
}

RF-Capability-r4 ::=                SEQUENCE {
        fddRF-Capability                    SEQUENCE {
            ue-PowerClass                       UE-PowerClass-v370,
            txRxFrequencySeparation             TxRxFrequencySeparation
```

```
        }                                                               OPTIONAL,
        tdd384-RF-Capability            SEQUENCE {
            ue-PowerClass                   UE-PowerClass-v370,
            radioFrequencyBandTDDList       RadioFrequencyBandTDDList,
            chipRateCapability              ChipRateCapability
        }                                                               OPTIONAL,
        tdd128-RF-Capability            SEQUENCE {
            ue-PowerClass                   UE-PowerClass-v370,
            radioFrequencyBandTDDList       RadioFrequencyBandTDDList,
            chipRateCapability              ChipRateCapability
        }                                                               OPTIONAL
}

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
 }

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                    SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
                                        otherStates
}

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info               PrimaryCPICH-Info,
        tpc-CombinationIndex            TPC-CombinationIndex
}

UE-Positioning-Capability-r4 ::=    SEQUENCE {
    standaloneLocMethodsSupported           BOOLEAN,
    ue-BasedOTDOA-Supported                 BOOLEAN,
    networkAssistedGPS-Supported            NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames     BOOLEAN,
    supportForIPDL                          BOOLEAN,
    rx-tx-TimeDifferenceType2Capable        BOOLEAN,
    validity-CellPCH-UraPCH                 ENUMERATED { true (0 ) }    OPTIONAL
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

UE-RadioAccessCapability-r4 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    pdcp-Capability                     PDCP-Capability-r4,
```

```
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r},
    ul-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    ul-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    ul-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    ul-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    ul-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    ul-ref-sn-1                         INTEGER (0..65535)          OPTIONAL

}

END
```

[+++ End of Modified Sections +++]

3GPP TSG-RAN 2 Meeting #40
Sophia Antipolis, France, 12th-16th January 2004

Tdoc ⌘R2-040203

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2172** ⌘ **rev** **-** ⌘ Current version: **6.0.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☒ Core Network ☐

Title:	⌘	TPC Combination Index in SRNC relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	13/01/2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	In SRNS relocation the source RNC is not able to send currently used TPC Combination index values of active set cells to target RNC. Thus target RNC does not have knowledge which index values are used in UE. Due to this when target RNC is adding new SHO branches (or replacing) for the UE after SRNS relocation the target SRNC may potentially use incorrect TPC Combination index values causing SHO failure, and drop call.
Summary of change:	⌘	The TPC Combination Info list including Primary CPICH info and associated TPC Combination index values of the active set cells are included in the SRNS RELOCATION container.
Consequences if not approved:	⌘	After SRNS relocation SHO may fail, as TPC Combination index values are different in UE and in UTRAN, which is likely to causea a SHO failure and dropped call. As both the SRNS relocation and especially SHO are frequent actions in the network the problem will occur frequently especially in RNS border areas. **Isolated impact analysis** • **UE** No effect • **UTRAN** If UTRAN has not implemented this CR SHOs after SRNS relocation will fail.
Clauses affected:	⌘	11.5, 14.12.4.2

Y	N

Other specs affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation or a handover from GERAN *Iu mode*.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC/RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved". In handover from GERAN *Iu mode* this IE is always set to 2.
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC. In handover from GERAN *Iu mode* this field is not present.
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1).
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	G-RNTI is placed in this field when performing handover from GERAN *Iu mode.*
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point;	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOf Meas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency	OP		Inter-	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
measurement quantity			frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measuremen	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			t quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measuremen t reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measuremen t object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measuremen t reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measuremen t quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measuremen t reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
PhyCH information elements				
>TPC Combination Info list	OP	1 to <maxRL>		
>>Primary CPICH info	MP		10.3.6.60	
>>TPC combination index	MP		TPC combination index 10.3.6.85	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

[+++ Next Modified section+++]

11.5 RRC information between network nodes

```
Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

    HandoverToUTRANCommand,
    MeasurementReport,
```

```
    PhysicalChannelReconfiguration,
    RadioBearerReconfiguration,
    RadioBearerRelease,
    RadioBearerSetup,
    RRC-FailureInfo-r3-IEs,
    TransportChannelReconfiguration
FROM PDU-definitions

-- Core Network IEs :
    CN-DomainIdentity,
    CN-DomainInformationList,
    CN-DomainInformationListFull,
    CN-DRX-CycleLengthCoefficient,
    NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
    CellIdentity,
    URA-Identity,
-- User Equipment IEs :
    AccessStratumReleaseIndicator,
    C-RNTI,
    ChipRateCapability,
    DL-PhysChCapabilityFDD-v380ext,
    DL-PhysChCapabilityTDD,
    DL-PhysChCapabilityTDD-LCR-r4,
    GSM-Measurements,
    FailureCauseWithProtErr,
    MaxHcContextSpace,
    MaxNoPhysChBitsReceived,
    MaxROHC-ContextSessions-r4,
    NetworkAssistedGPS-Supported,
    RadioFrequencyBandTDDList,
    RLC-Capability,
    RRC-MessageSequenceNumber,
    SecurityCapability,
    SimultaneousSCCPCH-DPCH-Reception,
    STARTList,
    STARTSingle,
    START-Value,
    SupportOfDedicatedPilotsForChEstimation,
    TransportChannelCapability,
    TxRxFrequencySeparation,
    U-RNTI,
    UE-MultiModeRAT-Capability,
    UE-PowerClass-v370,
    UE-RadioAccessCapabBandFDDList,
    UE-RadioAccessCapability,
    UE-RadioAccessCapability-v370ext,
    UE-RadioAccessCapability-v380ext,
    UE-RadioAccessCapability-v3a0ext,
    UE-RadioAccessCapability-v3g0ext,
    UE-RadioAccessCapability-v4xyext,
    UE-RadioAccessCapability-v5xyext,
    UL-PhysChCapabilityFDD,
    UL-PhysChCapabilityTDD,
    UL-PhysChCapabilityTDD-LCR-r4,
-- Radio Bearer IEs :
    PredefinedConfigStatusList,
    PredefinedConfigValueTag,
    RAB-InformationSetupList,
    RAB-InformationSetupList-r4,
    RAB-Identity,
    RB-Identity,
    RB-Identity,
    SRB-InformationSetupList,
-- Transport Channel IEs :
    CPCH-SetID,
    DL-CommonTransChInfo,
    DL-CommonTransChInfo-r4,
    DL-AddReconfTransChInfoList,
    DL-AddReconfTransChInfoList-r4,
    DRAC-StaticInformationList,
    UL-CommonTransChInfo,
    UL-CommonTransChInfo-r4,
    UL-AddReconfTransChInfoList,
-- Physical Channel IEs :
    PrimaryCPICH-Info,
    TPC-CombinationIndex,
-- Measurement IEs :
```

```
    MeasurementIdentity,
    MeasurementReportingMode,
    MeasurementType,
    MeasurementType-r4,
    AdditionalMeasurementID-List,
    PositionEstimate,
-- Other IEs :
    InterRAT-UE-RadioAccessCapabilityList,
    InterRAT-UE-RadioAccessCapabilityList-r5,
    UESpecificBehaviourInformation1idle,
    UESpecificBehaviourInformation1interRAT

FROM InformationElements

    maxCNdomains,
    maxNoOfMeas,

    maxRB,
    maxRBallRABs,
    maxRFC3095-CID,
    maxSRBsetup,
    maxRL
FROM Constant-definitions
;

-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped

-- **************************************************
--
-- RRC information, to target RNC
--
-- **************************************************
-- RRC Information to target RNC sent either from source RNC or from another RAT

ToTargetRNC-Container ::= CHOICE {
    interRATHandoverInfo                InterRATHandoverInfoWithInterRATCapabilities-r3,
    srncRelocation                      SRNC-RelocationInfo-r3,
    rfc3095-ContextInfo                 RFC3095-ContextInfo-r5,
    extension                           NULL
}

-- **************************************************
--
-- RRC information, target RNC to source RNC
--
-- **************************************************


Target-RNC-ToSourceRNC-Container ::= CHOICE {
    radioBearerSetup                    RadioBearerSetup,
    radioBearerReconfiguration          RadioBearerReconfiguration,
    radioBearerRelease                  RadioBearerRelease,
    transportChannelReconfiguration     TransportChannelReconfiguration,
    physicalChannelReconfiguration      PhysicalChannelReconfiguration,
    rrc-FailureInfo                     RRC-FailureInfo-r3-IEs,
    dL-DCCHmessage                      OCTET STRING,
    extension                           NULL
}

-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order


-- **************************************************
--
-- Handover to UTRAN information
--
-- **************************************************

InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
    r3                              SEQUENCE {
        -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
        -- includes non critical extensions
        interRATHandoverInfo-r3         InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
        v390NonCriticalExtensions           SEQUENCE {
            interRATHandoverInfoWithInterRATCapabilities-v390ext
    InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
```

```
            -- Reserved for future non critical extension
            nonCriticalExtensions           SEQUENCE {} OPTIONAL
        }       OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=      SEQUENCE {
        -- The order of the IEs may not reflect the tabular format
        --  but has been chosen to simplify the handling of the information in the BSC
    --  Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
        -- interRATHandoverInfo, Octet string is used to obtain 8 bit length field prior to
        -- actual information.  This makes it possible for BSS to transparently handle information
        -- received via GSM air interface even when it includes non critical extensions.
        -- The octet string shall include the InterRATHandoverInfo information
        -- The BSS can re-use the 04.18 length field received from the MS
        interRATHandoverInfo            OCTET STRING (SIZE (0..255))
}

InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

-- **************************************************
--
-- RFC3095 context, source RNC to target RNC
--
-- **************************************************

RFC3095-ContextInfo-r5 ::= CHOICE {
    r5                              SEQUENCE {
        rFC3095-ContextInfoList-r5      RFC3095-ContextInfoList-r5,
        -- Reserved for future non critical extension
        nonCriticalExtensions           SEQUENCE {} OPTIONAL
    },
    criticalExtensions              SEQUENCE {}
}

RFC3095-ContextInfoList-r5  ::=     SEQUENCE (SIZE (1..maxRBallRABs)) OF
                                        RFC3095-ContextInfo


-- **************************************************
--
-- SRNC Relocation information
--
-- **************************************************

SRNC-RelocationInfo-r3 ::= CHOICE {
    r3                              SEQUENCE {
        sRNC-RelocationInfo-r3          SRNC-RelocationInfo-r3-IEs,
            v380NonCriticalExtensions           SEQUENCE {
                sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs,
                -- Reserved for future non critical extension
                v390NonCriticalExtensions           SEQUENCE {
                    sRNC-RelocationInfo-v390ext         SRNC-RelocationInfo-v390ext-IEs,
                    v3a0NonCriticalExtensions           SEQUENCE {
                        sRNC-RelocationInfo-v3a0ext         SRNC-RelocationInfo-v3a0ext-IEs,
                        v3b0NonCriticalExtensions           SEQUENCE {
                            sRNC-RelocationInfo-v3b0ext         SRNC-RelocationInfo-v3b0ext-IEs,
                            v3c0NonCriticalExtensions           SEQUENCE {
                                sRNC-RelocationInfo-v3c0ext         SRNC-RelocationInfo-v3c0ext-IEs,
                                laterNonCriticalExtensions          SEQUENCE {
                                    sRNC-RelocationInfo-v3d0ext         SRNC-RelocationInfo-v3d0ext-
IEs,
                                    -- Container for additional R99 extensions
                                    sRNC-RelocationInfo-r3-add-ext      BIT STRING
                                    (CONTAINING SRNC-RelocationInfo-v3h0ext-IEs)        OPTIONAL,
                                    v3g0NonCriticalExtensions           SEQUENCE {
                                        sRNC-RelocationInfo-v3g0ext         SRNC-RelocationInfo-v3g0ext-IEs,
                                        v4xyNonCriticalExtensions           SEQUENCE {
                                            sRNC-RelocationInfo-v4xyext         SRNC-RelocationInfo-v4xyext-IE
                                            v5xyNonCriticalExtensions           SEQUENCE {
                                                sRNC-RelocationInfo-v5xyext         SRNC-
RelocationInfo-v5xyext-IEs,
                                                -- Reserved for future non critical extension
```

```
                                                nonCriticalExtensions           SEQUENCE {} OPTIONAL
                                            }       OPTIONAL
                                        }       OPTIONAL
                                    }       OPTIONAL
                                }       OPTIONAL
                            }       OPTIONAL
                        }       OPTIONAL
                    }       OPTIONAL
                }       OPTIONAL
            }       OPTIONAL
    },
    later-than-r3                   CHOICE {
        r4                              SEQUENCE {
            sRNC-RelocationInfo-r4          SRNC-RelocationInfo-r4-IEs,
            v4c0NonCriticalExtensions-r4    SEQUENCE {
                sRNC-RelocationInfo-v4c0ext     SRNC-RelocationInfo-v4c0ext-IEs,
                v5xyNonCriticalExtensions       SEQUENCE {
                sRNC-RelocationInfo-v5xyext         SRNC-RelocationInfo-v5xyext-IEs,
                nonCriticalExtensions           SEQUENCE {} OPTIONAL
                } OPTIONAL
            }   OPTIONAL
            },
        criticalExtensions              SEQUENCE {}
    }
}

SRNC-RelocationInfo-r3-IEs ::=      SEQUENCE {
    -- Non-RRC IEs
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
    -- If the extension v380 is included use the extension for the ciphering status per CN domain
        cipheringStatus                 CipheringStatus,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        -- The order of occurrence in the IE cipheringInfoPerRB-List is the
        -- same as the RBs in SRB-InformationSetupList in RAB-InformationSetupList.
        -- The signalling RBs are supposed to be listed
        -- first. Only UM and AM RBs that are ciphered are listed here
        cipheringInfoPerRB-List         CipheringInfoPerRB-List             OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        integrityProtectionStatus       IntegrityProtectionStatus,
         -- In the IE srb-SpecificIntegrityProtInfo, the first information listed corresponds to
         -- signalling radio bearer RB0 and after the order of occurrence is the same as the SRBs in
        -- SRB-InformationSetupList
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationList            OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList                  OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList            OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo                OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        },
        dl-CommonTransChInfo            DL-CommonTransChInfo                OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList         OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL
```

```
}

SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE {
    -- Ciphering related information IEs
        cn-DomainIdentity                   CN-DomainIdentity,
        cipheringStatusList                 CipheringStatusList
}

SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE {
        cn-DomainInformationList-v390ext    CN-DomainInformationList-v390ext        OPTIONAL,
        ue-RadioAccessCapability-v370ext    UE-RadioAccessCapability-v370ext        OPTIONAL,
        ue-RadioAccessCapability-v380ext    UE-RadioAccessCapability-v380ext        OPTIONAL,
        dl-PhysChCapabilityFDD-v380ext      DL-PhysChCapabilityFDD-v380ext,
        failureCauseWithProtErr             FailureCauseWithProtErr                 OPTIONAL
}

SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext is specified
        -- in subsequent extension (SRNC-RelocationInfo-v3b0ext-IEs)
        startValueForCIphering-v3a0ext      START-Value,
        cipheringInfoForSRB1-v3a0ext        CipheringInfoForSRB1-v3a0ext,
        ue-RadioAccessCapability-v3a0ext    UE-RadioAccessCapability-v3a0ext        OPTIONAL
}

SRNC-RelocationInfo-v3b0ext-IEs ::= SEQUENCE {
        -- cn-domain identity for IE startValueForCiphering-v3a0ext included in previous extension
        cn-DomainIdentity               CN-DomainIdentity,
        -- the IE startValueForCiphering-v3b0ext contains the start values for each CN Domain. The
        -- value of start indicated by the IE startValueForCiphering-v3a0ext should be set to the
        -- same value as the start-Value for the corresponding cn-DomainIdentity in the IE
        -- startValueForCiphering-v3b0ext
        startValueForCiphering-v3b0ext      STARTList2                              OPTIONAL
}

SRNC-RelocationInfo-v3c0ext-IEs ::= SEQUENCE {
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage             RB-Identity         OPTIONAL
}

SRNC-RelocationInfo-v3d0ext-IEs ::= SEQUENCE {
    -- User equipment IEs
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL
}

SRNC-RelocationInfo-v3g0ext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v3g0ext    UE-RadioAccessCapability-v3g0ext        OPTIONAL
}

SRNC-RelocationInfo-v3h0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList         TPC-CombinationInfoList     OPTIONAL,
        nonCriticalExtension            SEQUENCE {}                 OPTIONAL
}

SRNC-RelocationInfo-v4c0ext-IEs ::= SEQUENCE {
        tpc-CombinationInfoList     TPC-CombinationInfoList     OPTIONAL
}

TPC-CombinationInfoList ::= SEQUENCE (SIZE (1..maxRL)) OF
        TPC-Combination-Info

STARTList2 ::=                      SEQUENCE (SIZE (2..maxCNdomains)) OF
                                        STARTSingle

SRNC-RelocationInfo-v4xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v4xyext    UE-RadioAccessCapability-v4xyext
}

SRNC-RelocationInfo-v5xyext-IEs ::= SEQUENCE {
        ue-RadioAccessCapability-v5xyext    UE-RadioAccessCapability-v5xyext,
        ue-RATSpecificCapability-r5         InterRAT-UE-RadioAccessCapabilityList-r5    OPTIONAL
}

CipheringInfoForSRB1-v3a0ext ::= SEQUENCE {
        dl-UM-SN                            BIT STRING (SIZE (7))
```

```
}

CipheringStatusList ::=         SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain

CipheringStatusCNdomain ::=             SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus
}

SRNC-RelocationInfo-r4-IEs ::=          SEQUENCE {
    -- Non-RRC IEs
        -- IE rb-IdentityForHOMessage includes the identity of the RB used by the source SRNC
        -- to send the message contained in the IE “TargetRNC-ToSourceRNC-Container”.
        -- Only included if type is “UE involved”
        rb-IdentityForHOMessage         RB-Identity                         OPTIONAL,
        stateOfRRC                      StateOfRRC,
        stateOfRRC-Procedure            StateOfRRC-Procedure,
    -- Ciphering related information IEs
        cipheringStatusList             CipheringStatusList-r4,
        latestConfiguredCN-Domain       CN-DomainIdentity,
        calculationTimeForCiphering     CalculationTimeForCiphering         OPTIONAL,
        count-C-List                    COUNT-C-List                        OPTIONAL,
        cipheringInfoPerRB-List         CipheringInfoPerRB-List-r4          OPTIONAL,
    -- Integrity protection related information IEs
        integrityProtectionStatus       IntegrityProtectionStatus,
        srb-SpecificIntegrityProtInfo   SRB-SpecificIntegrityProtInfoList,
        implementationSpecificParams    ImplementationSpecificParams        OPTIONAL,
    -- User equipment IEs
        u-RNTI                          U-RNTI,
        c-RNTI                          C-RNTI                              OPTIONAL,
        ue-RadioAccessCapability        UE-RadioAccessCapability-r4,
        ue-RadioAccessCapability-ext    UE-RadioAccessCapabBandFDDList      OPTIONAL,
        ue-Positioning-LastKnownPos     UE-Positioning-LastKnownPos         OPTIONAL,
        uESpecificBehaviourInformation1idle     UESpecificBehaviourInformation1idle     OPTIONAL,
        uESpecificBehaviourInformation1interRAT     UESpecificBehaviourInformation1interRAT
    OPTIONAL,
    -- Other IEs
        ue-RATSpecificCapability        InterRAT-UE-RadioAccessCapabilityList   OPTIONAL,
    -- UTRAN mobility IEs
        ura-Identity                    URA-Identity                        OPTIONAL,
    -- Core network IEs
        cn-CommonGSM-MAP-NAS-SysInfo    NAS-SystemInformationGSM-MAP,
        cn-DomainInformationList        CN-DomainInformationListFull        OPTIONAL,
    -- Measurement IEs
        ongoingMeasRepList              OngoingMeasRepList-r4               OPTIONAL,
    -- Radio bearer IEs
        predefinedConfigStatusList      PredefinedConfigStatusList,
        srb-InformationList             SRB-InformationSetupList,
        rab-InformationList             RAB-InformationSetupList-r4         OPTIONAL,
    -- Transport channel IEs
        ul-CommonTransChInfo            UL-CommonTransChInfo-r4             OPTIONAL,
        ul-TransChInfoList              UL-AddReconfTransChInfoList         OPTIONAL,
        modeSpecificInfo                CHOICE {
            fdd                             SEQUENCE {
                cpch-SetID                      CPCH-SetID                  OPTIONAL,
                transChDRAC-Info                DRAC-StaticInformationList  OPTIONAL
            },
            tdd                             NULL
        }                                                                   OPTIONAL,
        dl-CommonTransChInfo            DL-CommonTransChInfo-r4             OPTIONAL,
        dl-TransChInfoList              DL-AddReconfTransChInfoList-r4      OPTIONAL,
    -- Measurement report
        measurementReport               MeasurementReport                   OPTIONAL,
        failureCause                    FailureCauseWithProtErr             OPTIONAL
}

-- IE definitions

CalculationTimeForCiphering ::=     SEQUENCE {
    cell-Id                             CellIdentity,
    sfn                                 INTEGER (0..4095)
}

CipheringInfoPerRB ::=              SEQUENCE {
    dl-HFN                              BIT STRING (SIZE (20..25)),
    ul-HFN                              BIT STRING (SIZE (20..25))
}
```

```
CipheringInfoPerRB-r4 ::=           SEQUENCE {
    rb-Identity                         RB-Identity,
    dl-HFN                              BIT STRING (SIZE (20..25)),
    dl-UM-SN                            BIT STRING (SIZE (7))               OPTIONAL,
    ul-HFN                              BIT STRING (SIZE (20..25))
}

-- TABULAR: CipheringInfoPerRB-List, multiplicity value numberOfRadioBearers
-- has been replaced with maxRB.
CipheringInfoPerRB-List ::=         SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB

CipheringInfoPerRB-List-r4 ::=      SEQUENCE (SIZE (1..maxRB)) OF
                                        CipheringInfoPerRB-r4


CipheringStatus ::=                 ENUMERATED {
                                        started, notStarted }

CipheringStatusList-r4 ::=          SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CipheringStatusCNdomain-r4

CipheringStatusCNdomain-r4 ::=      SEQUENCE {
        cn-DomainIdentity               CN-DomainIdentity,
        cipheringStatus                 CipheringStatus,
        start-Value                     START-Value
}

CN-DomainInformation-v390ext ::=        SEQUENCE {
    cn-DRX-CycleLengthCoeff             CN-DRX-CycleLengthCoefficient
}

CN-DomainInformationList-v390ext ::=    SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        CN-DomainInformation-v390ext

CompressedModeMeasCapability-r4 ::= SEQUENCE {
    fdd-Measurements                    BOOLEAN,
    -- TABULAR: The IEs tdd-Measurements, gsm-Measurements and multiCarrierMeasurements
    -- are made optional since they are conditional based on another information element.
    -- Their absence corresponds to the case where the condition is not true.
    tdd384-Measurements                 BOOLEAN                             OPTIONAL,
    tdd128-Measurements                 BOOLEAN                             OPTIONAL,
    gsm-Measurements                    GSM-Measurements                    OPTIONAL,
    multiCarrierMeasurements            BOOLEAN                             OPTIONAL
}

COUNT-C-List ::=                        SEQUENCE (SIZE (1..maxCNdomains)) OF
                                        COUNT-CSingle

COUNT-CSingle ::=                       SEQUENCE {
    cn-DomainIdentity                   CN-DomainIdentity,
    count-C                             BIT STRING (SIZE (32))
}

DL-PhysChCapabilityFDD-r4 ::=       SEQUENCE {
    maxNoDPCH-PDSCH-Codes               INTEGER (1..8),
    maxNoPhysChBitsReceived             MaxNoPhysChBitsReceived,
    supportForSF-512                    BOOLEAN,
    supportOfPDSCH                      BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception   SimultaneousSCCPCH-DPCH-Reception,
    supportOfDedicatedPilotsForChEstimation     SupportOfDedicatedPilotsForChEstimation     OPTIONAL
}

DL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    dl-mode                             ENUMERATED {u, o, r},
    dl-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    dl-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    dl-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    dl-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    dl-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    dl-dyn-changed                      BOOLEAN
}

ImplementationSpecificParams ::=    BIT STRING (SIZE (1..512))
```

```
IntegrityProtectionStatus ::=       ENUMERATED {
                                        started, notStarted }

MeasurementCapability-r4 ::=        SEQUENCE {
    downlinkCompressedMode              CompressedModeMeasCapability-r4,
    uplinkCompressedMode                CompressedModeMeasCapability-r4
}

MeasurementCommandWithType ::=      CHOICE {
    setup                               MeasurementType,
    modify                              NULL,
    release                             NULL
}

MeasurementCommandWithType-r4 ::=   CHOICE {
    setup                               MeasurementType-r4,
    modify                              NULL,
    release                             NULL
}

OngoingMeasRep ::=                  SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType
    measurementCommandWithType          MeasurementCommandWithType,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRep-r4 ::=               SEQUENCE {
    measurementIdentity         MeasurementIdentity,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in MeasurementCommandWithType-r4.
    measurementCommandWithType          MeasurementCommandWithType-r4,
    measurementReportingMode            MeasurementReportingMode            OPTIONAL,
    additionalMeasurementID-List        AdditionalMeasurementID-List        OPTIONAL
}

OngoingMeasRepList ::=              SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep

OngoingMeasRepList-r4 ::=           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep-r4

PDCP-Capability-r4 ::=              SEQUENCE {
    losslessSRNS-RelocationSupport      BOOLEAN,
    supportForRfc2507                   CHOICE {
        notSupported                        NULL,
        supported                           MaxHcContextSpace
    },
    supportForRfc3095                   CHOICE {
        notSupported                        NULL,
        supported                           SEQUENCE {
            maxROHC-ContextSessions             MaxROHC-ContextSessions-r4  DEFAULT s16,
            reverseCompressionDepth             INTEGER (0..65535)          DEFAULT 0
        }
    }
}

PhysicalChannelCapability-r4 ::=        SEQUENCE {
        fddPhysChCapability                 SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityFDD-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityFDD
        }                                           OPTIONAL,
        tdd384-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD
        }                                           OPTIONAL,
        tdd128-PhysChCapability             SEQUENCE {
            downlinkPhysChCapability            DL-PhysChCapabilityTDD-LCR-r4,
            uplinkPhysChCapability              UL-PhysChCapabilityTDD-LCR-r4
        }                                           OPTIONAL
}

RF-Capability-r4 ::=                SEQUENCE {
        fddRF-Capability                    SEQUENCE {
            ue-PowerClass                       UE-PowerClass-v370,
            txRxFrequencySeparation             TxRxFrequencySeparation
```

```
        }                                                               OPTIONAL,
        tdd384-RF-Capability            SEQUENCE {
            ue-PowerClass                   UE-PowerClass-v370,
            radioFrequencyBandTDDList       RadioFrequencyBandTDDList,
            chipRateCapability              ChipRateCapability
        }                                                               OPTIONAL,
        tdd128-RF-Capability            SEQUENCE {
            ue-PowerClass                   UE-PowerClass-v370,
            radioFrequencyBandTDDList       RadioFrequencyBandTDDList,
            chipRateCapability              ChipRateCapability
        }                                                               OPTIONAL
}

RFC3095-ContextInfo ::=             SEQUENCE {
    rb-Identity                         RB-Identity,
    rfc3095-Context-List                RFC3095-Context-List
}

RFC3095-Context-List ::=            SEQUENCE (SIZE (1..maxRFC3095-CID)) OF SEQUENCE {
    dl-RFC3095-Context                  DL-RFC3095-Context      OPTIONAL,
    ul-RFC3095-Context                  UL-RFC3095-Context      OPTIONAL
 }

SRB-SpecificIntegrityProtInfo ::=   SEQUENCE {
    ul-RRC-HFN                          BIT STRING (SIZE (28)),
    dl-RRC-HFN                          BIT STRING (SIZE (28)),
    ul-RRC-SequenceNumber               RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber               RRC-MessageSequenceNumber
}

SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                    SRB-SpecificIntegrityProtInfo

StateOfRRC ::=                      ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }

StateOfRRC-Procedure ::=            ENUMERATED {
                                        awaitNoRRC-Message,
                                        awaitRB-ReleaseComplete,
                                        awaitRB-SetupComplete,
                                        awaitRB-ReconfigurationComplete,
                                        awaitTransportCH-ReconfigurationComplete,
                                        awaitPhysicalCH-ReconfigurationComplete,
                                        awaitActiveSetUpdateComplete,
                                        awaitHandoverComplete,
                                        sendCellUpdateConfirm,
                                        sendUraUpdateConfirm,
                                        -- dummy is not used in this version of specification
                                        -- It should not be sent
                                        dummy,
                                        otherStates
}

TPC-Combination-Info ::= SEQUENCE {
        primaryCPICH-Info               PrimaryCPICH-Info,
        tpc-CombinationIndex            TPC-CombinationIndex
}

UE-Positioning-Capability-r4 ::=    SEQUENCE {
    standaloneLocMethodsSupported           BOOLEAN,
    ue-BasedOTDOA-Supported                 BOOLEAN,
    networkAssistedGPS-Supported            NetworkAssistedGPS-Supported,
    supportForUE-GPS-TimingOfCellFrames     BOOLEAN,
    supportForIPDL                          BOOLEAN,
    rx-tx-TimeDifferenceType2Capable        BOOLEAN,
    validity-CellPCH-UraPCH                 ENUMERATED { true (0 ) }    OPTIONAL
}

UE-Positioning-LastKnownPos ::=     SEQUENCE {
        sfn                             INTEGER (0..4095),
        cell-id                         CellIdentity,
        positionEstimate                PositionEstimate
}

UE-RadioAccessCapability-r4 ::=     SEQUENCE {
    accessStratumReleaseIndicator       AccessStratumReleaseIndicator,
    pdcp-Capability                     PDCP-Capability-r4,
```

```
    rlc-Capability                      RLC-Capability,
    transportChannelCapability          TransportChannelCapability,
    rf-Capability                       RF-Capability-r4,
    physicalChannelCapability           PhysicalChannelCapability-r4,
    ue-MultiModeRAT-Capability          UE-MultiModeRAT-Capability,
    securityCapability                  SecurityCapability,
    ue-positioning-Capability           UE-Positioning-Capability-r4,
    measurementCapability               MeasurementCapability-r4        OPTIONAL
}

UL-RFC3095-Context ::=              SEQUENCE {
    rfc3095-Context-Identity            INTEGER (0..16383),
    ul-mode                             ENUMERATED {u, o, r},
    ul-ref-ir                           OCTET STRING ( SIZE (1..3000)),
    ul-ref-time                         INTEGER (0..4294967295)     OPTIONAL,
    ul-curr-time                        INTEGER (0..4294967295)     OPTIONAL,
    ul-syn-offset-id                    INTEGER (0..65535)          OPTIONAL,
    ul-syn-slope-ts                     INTEGER (0..4294967295)     OPTIONAL,
    ul-ref-sn-1                         INTEGER (0..65535)          OPTIONAL

}

END
```

[+++ End of Modified Sections +++]

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040311

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2177** ⌘**rev** **1** ⌘ Current version: **3.17.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Invalidation of START value in USIM/UE.
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change: ⌘

1) In case of a handover from GSM, currently the UE only invalidates the START value stored on the USIM/stored in the UE in case the handover succeeds and ciphered TM radio bearers have been established in UTRAN.

As a result, e.g. the following cases are not covered:
- handover without immediate start of ciphering;
- handover of signalling connection only;

In these identified cases, the START value will never be invalidated during the lifetime of the RRC connection. Thus if e.g. the battery is removed from the phone, a subsequent connection will re-use the same START value.

2) In principle, there is no reason why START invalidation actions should be different for the RRC connection establishment case, and the Handover to UTRAN case.
If we look at the RRC connection establishment case, the following paragraph is included in section 8.3.1.6:

> 2> if neither the USIM nor SIM is present:
>
> /…/
>
> 3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

In orde to align the handover case to the RRC connection establishment case, the same sentence should also be included for the handover to UTRAN case. However, the sentence does not seem to have any direct relevance: if there is no SIM or USIM, there is also no keyset to invalidate.

	Three possible approaches are identified 1) Remove paragraph from RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 2) Keep paragraph in RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 3) Keep paragraph in RRC connection establishment, and add the paragraph to the handover to UTRAN case; Since keeping the paragraph in the RRC connection establishment section does not seem to do any harm, it is proposed to go for the second option which minimises impact to the specification.
Summary of change: ⌘	1) The missing cases are addressed by mandating the correct invalidation handling. 2) No change has been made to the specification in this version of the CR related to the second issue. **Isolated impact analysis:** This CR only impacts the UE behaviour preventing re-use of an already used START value. No interoperability problems are related to implementing/not-implementing this CR. **Impact on test specifications:** No impact on test specification.
Consequences if not approved: ⌘	This CR impacts the UE behaviour in case the RRC connection is not terminated in a normal fashion (e.g. battery removal). If this CR is not approved, the UE may at the start of a next RRC connection, use a START value which was already used before. A “bad guy” could use this behaviour to create e.g. ciphered streams which are repetively using the same HFN.

Clauses affected:	⌘	8.3.6.3			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and

1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;

1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;

1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";

2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";

2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset $P_{Pilot\text{-}DPDCH}$ bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e. do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" equal to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> if the USIM or SIM is present:

2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

~~2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;~~

2> include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;

2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:

3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;

3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;

3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;

2> set the remaining LSBs of the HFN component of COUNT-C to zero;

2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.

1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;

1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

2> enter UTRA RRC connected mode in state CELL_DCH;

2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;

2> for all radio bearers using RLC-AM or RLC-UM:

3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one;

3> start incrementing the COUNT-C values.

1> and the procedure ends.

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040312

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2178** ⌘**rev** **1** ⌘ Current version: **4.12.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Invalidation of START value in USIM/UE.
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
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R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1) In case of a handover from GSM, currently the UE only invalidates the START value stored on the USIM/stored in the UE in case the handover succeeds and ciphered TM radio bearers have been established in UTRAN.

As a result, e.g. the following cases are not covered:
- handover without immediate start of ciphering;
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In these identified cases, the START value will never be invalidated during the lifetime of the RRC connection. Thus if e.g. the battery is removed from the phone, a subsequent connection will re-use the same START value.

2) In principle, there is no reason why START invalidation actions should be different for the RRC connection establishment case, and the Handover to UTRAN case.
If we look at the RRC connection establishment case, the following paragraph is included in section 8.3.1.6:

> 2> if neither the USIM nor SIM is present:
>
> /…/
>
> 3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

In orde to align the handover case to the RRC connection establishment case, the same sentence should also be included for the handover to UTRAN case. However, the sentence does not seem to have any direct relevance: if there is no SIM or USIM, there is also no keyset to invalidate.

	Three possible approaches are identified 1) Remove paragraph from RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 2) Keep paragraph in RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 3) Keep paragraph in RRC connection establishment, and add the paragraph to the handover to UTRAN case; Since keeping the paragraph in the RRC connection establishment section does not seem to do any harm, it is proposed to go for the second option which minimises impact to the specification.
Summary of change: ⌘	1) The missing cases are addressed by mandating the correct invalidation handling. 2) No change has been made to the specification in this version of the CR related to the second issue. **Isolated impact analysis:** This CR only impacts the UE behaviour preventing re-use of an already used START value. No interoperability problems are related to implementing/not-implementing this CR. **Impact on test specifications:** No impact on test specification.
Consequences if not approved: ⌘	This CR impacts the UE behaviour in case the RRC connection is not terminated in a normal fashion (e.g. battery removal). If this CR is not approved, the UE may at the start of a next RRC connection, use a START value which was already used before. A “bad guy” could use this behaviour to create e.g. ciphered streams which are repetively using the same HFN.

Clauses affected:	⌘	8.3.6.3			
		Y	**N**		
Other specs Affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and

1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;

1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;

1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";

2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";

2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset $P_{Pilot\text{-}DPDCH}$ bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e.do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> if the USIM or SIM is present:

2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

~~2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;~~

2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;

2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:

3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;

3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;

3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;

2> set the remaining LSBs of the HFN component of COUNT-C to zero;

2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.

1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;

1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

2> enter UTRA RRC connected mode in state CELL_DCH;

2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;

2> for all radio bearers using RLC-AM or RLC-UM:

3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one;

3> start incrementing the COUNT-C values.

1> and the procedure ends.

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040313

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2179** ⌘**rev** **1** ⌘ Current version: **5.7.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Invalidation of START value in USIM/UE.		
Source:	⌘	RAN WG2		
Work item code:	⌘	TEI	***Date:*** ⌘	January 2004
Category:	⌘	**A**	***Release:*** ⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1) In case of a handover from GSM, currently the UE only invalidates the START value stored on the USIM/stored in the UE in case the handover succeeds and ciphered TM radio bearers have been established in UTRAN.

As a result, e.g. the following cases are not covered:
- handover without immediate start of ciphering;
- handover of signalling connection only;

In these identified cases, the START value will never be invalidated during the lifetime of the RRC connection. Thus if e.g. the battery is removed from the phone, a subsequent connection will re-use the same START value.

2) In principle, there is no reason why START invalidation actions should be different for the RRC connection establishment case, and the Handover to UTRAN case.
If we look at the RRC connection establishment case, the following paragraph is included in section 8.3.1.6:

> 2> if neither the USIM nor SIM is present:
>
> /…/
>
> 3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

In orde to align the handover case to the RRC connection establishment case, the same sentence should also be included for the handover to UTRAN case. However, the sentence does not seem to have any direct relevance: if there is no SIM or USIM, there is also no keyset to invalidate.

	Three possible approaches are identified 1) Remove paragraph from RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 2) Keep paragraph in RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 3) Keep paragraph in RRC connection establishment, and add the paragraph to the handover to UTRAN case; Since keeping the paragraph in the RRC connection establishment section does not seem to do any harm, it is proposed to go for the second option which minimises impact to the specification.
Summary of change: ⌘	1) The missing cases are addressed by mandating the correct invalidation handling. 2) No change has been made to the specification in this version of the CR related to the second issue. **Isolated impact analysis:** This CR only impacts the UE behaviour preventing re-use of an already used START value. No interoperability problems are related to implementing/not-implementing this CR. **Impact on test specifications:** No impact on test specification.
Consequences if not approved: ⌘	This CR impacts the UE behaviour in case the RRC connection is not terminated in a normal fashion (e.g. battery removal). If this CR is not approved, the UE may at the start of a next RRC connection, use a START value which was already used before. A “bad guy” could use this behaviour to create e.g. ciphered streams which are repetively using the same HFN.

Clauses affected:	⌘	8.3.6.3			
		Y	**N**		
Other specs Affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and

1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;

1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;

1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";

2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";

2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset $P_{Pilot\text{-}DPDCH}$ bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e.do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> if the USIM or SIM is present:

2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

~~2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;~~

2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;

2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:

3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;

3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;

3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;

2> set the remaining LSBs of the HFN component of COUNT-C to zero;

2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.

1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;

1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

2> enter UTRA RRC connected mode in state CELL_DCH;

2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;

2> for all radio bearers using RLC-AM or RLC-UM:

3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one;

3> start incrementing the COUNT-C values.

1> and the procedure ends.

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040314

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2180** ⌘**rev** **1** ⌘ Current version: **6.0.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☒ Radio Access Network ☐ Core Network ☐

Title:	⌘	Invalidation of START value in USIM/UE.
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
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R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1) In case of a handover from GSM, currently the UE only invalidates the START value stored on the USIM/stored in the UE in case the handover succeeds and ciphered TM radio bearers have been established in UTRAN.

As a result, e.g. the following cases are not covered:
- handover without immediate start of ciphering;
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In these identified cases, the START value will never be invalidated during the lifetime of the RRC connection. Thus if e.g. the battery is removed from the phone, a subsequent connection will re-use the same START value.

2) In principle, there is no reason why START invalidation actions should be different for the RRC connection establishment case, and the Handover to UTRAN case.
If we look at the RRC connection establishment case, the following paragraph is included in section 8.3.1.6:

> 2> if neither the USIM nor SIM is present:
>
> /…/
>
> 3> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the default value [40].

In orde to align the handover case to the RRC connection establishment case, the same sentence should also be included for the handover to UTRAN case. However, the sentence does not seem to have any direct relevance: if there is no SIM or USIM, there is also no keyset to invalidate.

	Three possible approaches are identified 1) Remove paragraph from RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 2) Keep paragraph in RRC connection establishment, and do not add the paragraph to the handover to UTRAN case; 3) Keep paragraph in RRC connection establishment, and add the paragraph to the handover to UTRAN case; Since keeping the paragraph in the RRC connection establishment section does not seem to do any harm, it is proposed to go for the second option which minimises impact to the specification.
Summary of change: ⌘	1) The missing cases are addressed by mandating the correct invalidation handling. 2) No change has been made to the specification in this version of the CR related to the second issue. **Isolated impact analysis:** This CR only impacts the UE behaviour preventing re-use of an already used START value. No interoperability problems are related to implementing/not-implementing this CR. **Impact on test specifications:** No impact on test specification.
Consequences if not approved: ⌘	This CR impacts the UE behaviour in case the RRC connection is not terminated in a normal fashion (e.g. battery removal). If this CR is not approved, the UE may at the start of a next RRC connection, use a START value which was already used before. A “bad guy” could use this behaviour to create e.g. ciphered streams which are repetively using the same HFN.

Clauses affected:	⌘	8.3.6.3			
		Y	**N**		
Other specs Affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE shall:

1> store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and

1> initialise the variable ESTABLISHED_SIGNALLING_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;

1> initialise the variable UE_CAPABILITIES_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;

1> initialise the variable TIMERS_AND_CONSTANTS to the default values and start to use those timer and constants values;

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";

2> initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;

2> store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":

2> initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";

2> initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;

NOTE: IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used.

2> set the IE "RAB Info Post" in the variable ESTABLISHED_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED_RABS to "useT314".

1> if IE "Specification mode" is set to "Preconfiguration":

2> use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:

3> 0 dB for the power offset $P_{Pilot\text{-}DPDCH}$ bearer in FDD;

3> calculate the Default DPCH Offset Value using the following formula:

3> in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) * 512

3> in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

3> handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.

1> if IE "Specification mode" is set to "Complete specification":

2> initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.

1> perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

1> set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message equal to the START value for each CN domain stored in the USIM if the USIM is present, or as stored in the UE for each CN domain if the SIM is present;

NOTE: Keys received while in another RAT are not regarded as "new" (i.e.do not trigger the actions in subclause 8.1.12.3.1) in a subsequent security control procedure in UTRAN, irrespective of whether the keys are already being used in the other RAT or not. If the UE has received new keys in the other RAT before handover, then the START values in the USIM (sent in the HANDOVER TO UTRAN COMPLETE message and in the INTER_RAT_HANDOVER_INFO sent to the BSS while in the other RAT) will not reflect the receipt of these new keys. At a subsequent security mode control procedure in UTRA, UE activates ciphering and/or integrity protection using the key set stored in the USIM/SIM.

1> set the value of "THRESHOLD" in the variable "START_THRESHOLD" to the 20 MSBs of the value stored in the USIM [50] for the maximum value of START for each CN Domain, or to the default value in [40] if the SIM is present;

1> if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the variable LATEST_CONFIGURED_CN_DOMAIN to the value indicated in the IE "CN domain identity", or to the CS domain when this IE is not present;

3> set the 20 MSB of the HFN component of the COUNT-C variable for all radio bearers using RLC-TM and all signalling radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER_RAT_HANDOVER_INFO_TRANSFERRED";

3> set the remaining LSBs of the HFN component of COUNT-C for all radio bearers using RLC-TM and all signalling radio bearers to zero;

3> not increment the HFN component of COUNT-C for radio bearers using RLC-TM, i.e. keep the HFN value fixed without incrementing every CFN cycle;

3> set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;

3> set the IE "Status" in the variable CIPHERING_STATUS to "Started";

3> apply the algorithm according to IE "Ciphering Algorithm" with the ciphering key set stored in the USIM/SIM and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

NOTE: If ciphering has been activated and ongoing in the radio access technology from which inter RAT handover is performed, UTRAN should not include the IE "Ciphering mode info" in the SECURITY MODE COMMAND message that starts Integrity protection, and should not send a SECURITY MODE COMMAND including IE "Ciphering mode info" and IE "CN domain identity" set to the same value as UE variable LATEST_CONFIGURED_CN_DOMAIN until all pending ciphering activation times have been reached for the radio bearers using RLC-TM.

1> if ciphering has not been activated and ongoing in the radio access technology from which inter-RAT handover is performed:

2> for the CN domain included in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup", or the CS domain when these IEs are not present:

3> set the IE "Status" in the variable CIPHERING_STATUS to "Not Started".

If the UE succeeds in establishing the connection to UTRAN, it shall:

1> if the USIM or SIM is present:

2> set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

~~2> Set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present for any CN domain to the value "THRESHOLD" of the variable START_THRESHOLD;~~

2> include the IE "COUNT-C activation time" in the response message and specify a CFN value for this IE other than the default, "Now", that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted;

2> at the CFN value as indicated in the response message in the IE "COUNT-C activation time" for radio bearers using RLC-TM:

3> set the 20 MSB of the HFN component of the COUNT-C variable common for all transparent mode radio bearers of this CN domain to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one even if the "COUNT-C activation time" is equal to zero;

3> set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;

3> step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.

1> if the IE "Status" in the variable CIPHERING_STATUS of a CN domain is set to "Not Started" and transparent mode radio bearers have been established by this procedure for that CN domain:

2> initialise the 20 MSB of the HFN component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value as indicated in the IE "START list" of the response message for the relevant CN domain;

2> set the remaining LSBs of the HFN component of COUNT-C to zero;

2> do not increment the COUNT-C value common for all transparent mode radio bearers for this CN domain.

1> transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using, if ciphering has been started, the new ciphering configuration;

1> when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:

2> enter UTRA RRC connected mode in state CELL_DCH;

2> initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;

2> update the variable UE_CAPABILITY_TRANSFERRED with the UE capabilities stored in the variable INTER_RAT_HANDOVER_INFO_TRANSFERRED;

2> for all radio bearers using RLC-AM or RLC-UM:

3> set the 20 MSB of the HFN component of the uplink and downlink COUNT-C variable to the START value indicated in the IE "START list" of the response message for the relevant CN domain; and

3> set the remaining LSBs of the HFN component of COUNT-C to zero;

3> increment the HFN component of the COUNT-C variable by one;

3> start incrementing the COUNT-C values.

1> and the procedure ends.

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040337

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2181** ⌘**rev** **1** ⌘ Current version: **3.17.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Uplink Integrity protection handling in case of N302 increment
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	The UE actions are not clear if an MSN increment by N302+1 on SRB0, triggers an MSN rollover and coincides with an IP activation time different from zero. The current note indicates that also in these cases the HFN would be incremented, which is not in line with the corresponding procedure text just above.
Summary of change:	⌘	The note is reworded so that it is clear that only in the case of an activation time equal to SN=0, the HFN of the COUNT-I is incremented in the new security configuration.
Consequences if not approved:	⌘	The UE may use a different HFN from the network upon reaching the IP activation time. This would result in subsequent IP check failures on SRB0. **Impact Analysis:** As long as the UE and UTRAN have implemented the behaviour in the procedure text, no impacts are foreseen. If UE or UTRAN has implemented the behaviour as suggested in the note now proposed to be removed, and does not implement this CR, an HFN desynchronisation on SRB0 might be the result.

Clauses affected:	⌘	10.3.1.9			
		Y	**N**		
Other specs Affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY_ PROTECTION_INFO has the value "Started" the UE shall:

1> increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO with 1, even if the message is a retransmission of a previously transmitted message.

1> if the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO equals zero:

2> increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO by one.

NOTE 1: The actions above imply that also for the case the "Uplink RRC HFN" is re-initialised by a security mode control procedure, this "Uplink RRC HFN" is incremented before it is applied in the integrity protection of any transmitted message if the conditions above are fulfilled.

NOTE 2: For SRB0, this is also valid in case the Message Sequence Number has been increased by N302 +1 resulting in an MSN which equals 0 (i.e.: SRB0 UL activation time equals 0) ~~wrap around~~. Then the uplink RRC HFN is incremented by 1 after it is re-initialized and before it is applied in the integrity protection of any transmitted message.

1> calculate the message authentication code in accordance with subclause 8.5.10.3;

1> replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;

1> replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration where the new configuration is to be applied starting from and including reception of the response message).

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040338

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2182** ⌘ **rev** **1** ⌘ Current version: **4.12.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Uplink Integrity protection handling in case of N302 increment
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	The UE actions are not clear if an MSN increment by N302+1 on SRB0, triggers an MSN rollover and coincides with an IP activation time different from zero. The current note indicates that also in these cases the HFN would be incremented, which is not in line with the corresponding procedure text just above.
Summary of change:	⌘	The note is reworded so that it is clear that only in the case of an activation time equal to SN=0, the HFN of the COUNT-I is incremented in the new security configuration.
Consequences if not approved:	⌘	The UE may use a different HFN from the network upon reaching the IP activation time. This would result in subsequent IP check failures on SRB0. **Impact Analysis:** As long as the UE and UTRAN have implemented the behaviour in the procedure text, no impacts are foreseen. If UE or UTRAN has implemented the behaviour as suggested in the note now proposed to be removed, and does not implement this CR, an HFN desynchronisation on SRB0 might be the result.

Clauses affected:	⌘	10.3.1.9			
		Y	**N**		
Other specs Affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY_ PROTECTION_INFO has the value "Started" the UE shall:

1> increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO with 1, even if the message is a retransmission of a previously transmitted message.

1> if the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO equals zero:

2> increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO by one.

NOTE 1: The actions above imply that also for the case the "Uplink RRC HFN" is re-initialised by a security mode control procedure, this "Uplink RRC HFN" is incremented before it is applied in the integrity protection of any transmitted message if the conditions above are fulfilled.

NOTE 2: For SRB0, this is also valid in case the Message Sequence Number has been increased by N302 +1 resulting in an MSN which equals 0 (i.e.: SRB0 UL activation time equals 0) ~~wrap around~~. Then the uplink RRC HFN is incremented by 1 after it is re-initialized and before it is applied in the integrity protection of any transmitted message.

1> calculate the message authentication code in accordance with subclause 8.5.10.3;

1> replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;

1> replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration where the new configuration is to be applied starting from and including reception of the response message).

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040339

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2183** ⌘**rev** **1** ⌘ Current version: **5.7.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Uplink Integrity protection handling in case of N302 increment
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change:	⌘	The UE actions are not clear if an MSN increment by N302+1 on SRB0, triggers an MSN rollover and coincides with an IP activation time different from zero. The current note indicates that also in these cases the HFN would be incremented, which is not in line with the corresponding procedure text just above.
Summary of change:	⌘	The note is reworded so that it is clear that only in the case of an activation time equal to SN=0, the HFN of the COUNT-I is incremented in the new security configuration.
Consequences if not approved:	⌘	The UE may use a different HFN from the network upon reaching the IP activation time. This would result in subsequent IP check failures on SRB0. **Impact Analysis:** As long as the UE and UTRAN have implemented the behaviour in the procedure text, no impacts are foreseen. If UE or UTRAN has implemented the behaviour as suggested in the note now proposed to be removed, and does not implement this CR, an HFN desynchronisation on SRB0 might be the result.

Clauses affected:	⌘	10.3.1.9			
		Y	**N**		
Other specs Affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY_ PROTECTION_INFO has the value "Started" the UE shall:

1> increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO with 1, even if the message is a retransmission of a previously transmitted message.

1> if the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO equals zero:

2> increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO by one.

NOTE 1: The actions above imply that also for the case the "Uplink RRC HFN" is re-initialised by a security mode control procedure, this "Uplink RRC HFN" is incremented before it is applied in the integrity protection of any transmitted message if the conditions above are fulfilled.

NOTE 2: For SRB0, this is also valid in case the Message Sequence Number has been increased by N302 +1 resulting in an MSN which equals 0 (i.e.: SRB0 UL activation time equals 0) ~~wrap around~~. Then the uplink RRC HFN is incremented by 1 after it is re-initialized and before it is applied in the integrity protection of any transmitted message.

1> calculate the message authentication code in accordance with subclause 8.5.10.3;

1> replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;

1> replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration where the new configuration is to be applied starting from and including reception of the response message).

3GPP TSG-RAN2 Meeting #40
Nice, France, 12th – 16th January 2004

Tdoc ⌘R2-040340

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2184** ⌘**rev** **1** ⌘ Current version: **6.0.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Uplink Integrity protection handling in case of N302 increment
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	January 2004
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change:	⌘	The UE actions are not clear if an MSN increment by N302+1 on SRB0, triggers an MSN rollover and coincides with an IP activation time different from zero. The current note indicates that also in these cases the HFN would be incremented, which is not in line with the corresponding procedure text just above.
Summary of change:	⌘	The note is reworded so that it is clear that only in the case of an activation time equal to SN=0, the HFN of the COUNT-I is incremented in the new security configuration.
Consequences if not approved:	⌘	The UE may use a different HFN from the network upon reaching the IP activation time. This would result in subsequent IP check failures on SRB0. **Impact Analysis:** As long as the UE and UTRAN have implemented the behaviour in the procedure text, no impacts are foreseen. If UE or UTRAN has implemented the behaviour as suggested in the note now proposed to be removed, and does not implement this CR, an HFN desynchronisation on SRB0 might be the result.

Clauses affected:	⌘	10.3.1.9			
		Y	**N**		
Other specs Affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY_ PROTECTION_INFO has the value "Started" the UE shall:

1> increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO with 1, even if the message is a retransmission of a previously transmitted message.

1> if the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO equals zero:

2> increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO by one.

NOTE 1: The actions above imply that also for the case the "Uplink RRC HFN" is re-initialised by a security mode control procedure, this "Uplink RRC HFN" is incremented before it is applied in the integrity protection of any transmitted message if the conditions above are fulfilled.

NOTE 2: For SRB0, this is also valid in case the Message Sequence Number has been increased by N302 +1 resulting in an MSN which equals 0 (i.e.: SRB0 UL activation time equals 0) ~~wrap around~~. Then the uplink RRC HFN is incremented by 1 after it is re-initialized and before it is applied in the integrity protection of any transmitted message.

1> calculate the message authentication code in accordance with subclause 8.5.10.3;

1> replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;

1> replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY_PROTECTION_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration where the new configuration is to be applied starting from and including reception of the response message).

3GPP TSG-RAN WG2 Meeting #41
Malaga, Spain, 16th -20th February 2004

Tdoc ⌘R2-040476

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2185** ⌘**rev** **1** ⌘ Current version: **3.17.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Amount of reporting for UE-based and UE assisted A-GPS
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	18/12/2003
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

1 - If a UE positioning measurement is configured for UE based A-GPS, the UE is permitted according to 8.6.7.19.1b to send a Measurement Report requesting assistance data at any time.

According to section 8.6.7.8 when the UE has the number of Measurement Reports equal to the 'Amount of reporting' then it stops the measurement and deletes the stored measurement control information. For a UE positioning measurement where the UE can send an 'extra' Measurement Report requesting assistance data at any time, it is not clear whether the 'extra' report should be considered in the count of measurement reports.

Consider an example where the UTRAN configures a UE Positioning measurement with periodic reporting and 'Amount of reporting' set to 1. On receiving the Measurement Control message the UE will initiate the A-GPS positioning measurement and, in accordance to 8.6.7.19.1b, will also send a Measurement Report requesting appropriate assistance data. When the assistance data is received it will allow the UE to speed up the positioning measurement. In accordance to section 8.6.7.8, the UE will send the first periodic Measurement Report as soon as a position fix is obtained (if no position fix is obtained at the end of one period then the UE will send the first periodic Measurement Report without containing any measurement).

Now, in the example above, if the UE were to count the 'extra' Measurement Report requesting the assistance data against the 'Amount of reporting' then the UE would immediately stop the measurement and delete all the stored measurement control information. Consequently, the position measurement will not be sent. Therefore, it is proposed that the 'extra' Measurement Reports requesting assistance data that are sent in accordance with 8.6.7.19.1b do not

	count against the 'Amount of reporting'. 2 - UE assisted GPS is not aligned to UE based GPS with respect to the behaviour described above. **Changes in CR revision 1**: 3 - In section 8.6.7.19.1b it specifies that when a UE may at anytime send a measurement report requesting assistance data from the network. This imposes no restriction on the UE and could allow a poor UE implementation to send many such measurement reports to the network thereby cause excessive signalling load.
Summary of change: ⌘	1 - Text is added in section 8.6.7.8 to state that the Measurement Reports that are sent when the UE is unable to calculate the requested measurement results due to missing assistance data according to 8.6.7.19.1a or 8.6.7.19.1b are not considered in the count of measurement reports. 2 - Section 8.6.7.19.1a is aligned with 8.6.7.19.1b with regard to triggering a MEASUREMENT REPORT at any time if the UE needs to request assistance data from the network. **Changes in CR revision 1:** 3 - Text is added to 8.6.7.19.1b to states that after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20 seconds. This requirement does not apply after release of the current RRC connection. 20s is selected as a reasonable compromise between allowing the UE to re-request it again if the network does not provide the requested data and avoiding excessive signalling load. It should be noted that if the UE is provided with the appropriate assistance data, or eventually receives the data direct from the satellites, then such measurement reports requesting assistance data will be very infrequent. The new text is also added to section 8.6.7.19.1a regarding UE assisted A-GPS is also aligned to this. **Isolated Impact Analysis** Functionality corrected: UP measurements - periodic UE based A-GPS Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE might count the extra Measurement Reports against the 'Amount of Reporting' and could delete the measurement when the UTRAN is not expecting it. The measurement could be deleted before a successful fix has been obtained. Additionally a UE may could send a very large number of measurement reports requesting assistance data to the network and cause excessive signalling load. If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the measurement is deleted when it has not actually been deleted in the UE. The UTRAN may then receive a Measurement Report for a measurement that it assumed to be deleted.
Consequences if not approved: ⌘	If the CR is not approved then the UE and UTRAN may not be aligned with regard to the deletion of periodic UE positioning measurement. This could lead to the UE deleting the measurement before it has had a chance to obtain a position

	fix. This could have severe implications in the case of UE positioning during an emergency call.

Clauses affected:	⌘	8.6.7.8, 8.6.7.19.1a, 8.6.7.19.1b			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.8 Periodical Reporting Criteria

If the IE "Periodical Reporting Criteria" is received by the UE, the UE shall:

1> store the contents of the IE "Amount of Reporting" and IE "Reporting interval" in the variable MEASUREMENT_IDENTITY.

For the first MEASUREMENT REPORT message, the UE shall:

1> send the MEASUREMENT REPORT as soon as all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY, but never later than one reporting interval after measurement initiation; or

1> send the MEASUREMENT REPORT at the end of the first reporting interval in which all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY.

Following the first MEASUREMENT REPORT message, the UE shall:

1> send a MEASUREMENT REPORT message one reporting interval after the previous MEASUREMENT REPORT message;

The first and subsequent periodic MEASUREMENT REPORT messages shall only include measured results for reporting quantities that are available according to the requirements and the measurement capabilities set in [19] and [20] i.e. if no measured results are available and the measurement type is not UE positioning, the IE "Measured Results" shall not be included in the MEASUREMENT REPORT message. If no measured results are available and the measurement type is UE positioning, the UE shall include the IE "Measured Results" in the MEASUREMENT REPORT message in order to include the IE "UE positioning error" as specified in subclauses 8.6.7.19a and 8.6.7.19b.

After the UE has sent a total number of MEASUREMENT REPORT messages, which equal the value indicated in the IE "Amount of reporting", the UE shall:

1> terminate measurement reporting; and

1> delete all measurement information linked with the "Measurement identity" of the ongoing measurement from the variable MEASUREMENT_IDENTITY.

If according to subclause 8.6.7.19.1a or 8.6.7.19.1b, a UE configured with a UE positioning measurement is unable to report the requested measurement results due to missing GPS assistance data and sends a MEASUREMENT REPORT containing the IE "UE positioning error" and the IE "Error reason" is set to "Assistance Data Missing", then this is not counted in the total number of MEASUREMENT REPORT messages sent.

8.6.7.19.1a UE positioning reporting for UE assisted methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE was able to perform measurements on at least one neighbour cell included in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED in case of OTDOA or one satellite included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning or one cell from the active set in case of CELL ID:

3> if the IE "Vertical Accuracy" is included:

4> interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.

3> if the IE "Positioning Methods" is set to "GPS":

4> include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:

5> if the UE supports the capability to provide the GPS timing of the cell frames measurement:

6> if the IE "GPS timing of Cell wanted" is set to TRUE:

7> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

7> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and

7> include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".

6> if the IE "GPS timing of Cell wanted" is set to FALSE:

7> include the IE "GPS TOW msec".

5> if the UE does not support the capability to provide the GPS timing of the cell:

6> include the IE "GPS TOW msec".

3> if the IE "Positioning Methods" is set to "OTDOA":

4> include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:

5> set IE "SFN" to the SFN when the last measurement was performed;

5> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:

6> if the UE is in CELL_DCH state:

7> if the measured value is equal to "1279.9375":

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".

7> otherwise:

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

7> include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED that belongs to the active set.

5> if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:

6> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.

4> include IE group "Neighbour" for all neighbour cells listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.

3> if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":

4> the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.

3> if the IE "Positioning Methods" is set to "CELL ID":

4> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and

4> if the UE is in CELL_DCH state:

5> perform the Rx-Tx time difference type 2 measurement on the cells in the active set; and

5> report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set; and

5> report Rx-Tx time difference type 2 measurement of the reference cell (as designated by the UE); and

5> for all reported neighbour cells:

6> report Rx-Tx time difference type 2 measurement; and

6> set the IE "SFN-SFN observed time difference type 2" and all IEs within the corresponding IE "UE positioning OTDOA quality" in IE "UE positioning OTDOA measured results" to value "0".

2~~1~~> if the UE is not able to report the requested measurement results:

~~2~~3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to report the requested measurement results due to missing GPS assistance data:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

8.6.7.19.1b UE positioning reporting for UE based methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE has been able to calculate a position after performing measurements on the cells included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED in case of OTDOA or on the list of satellites included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning:

3> include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:

4> if the UE supports the capability to perform the UE GPS timing of cell frames measurement:

5> if the IE "GPS timing of Cell wanted" is set to TRUE:

6> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

6> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;

6> include the SFN when the position was determined;

6> include the IE "UE GPS timing of cell frames".

5> if the IE "GPS timing of Cell wanted" is set to FALSE:

6> include the IE "GPS TOW msec".

4> if the UE does not support the capability to provide the GPS timing of the cell:

5> include the IE "GPS TOW msec".

4> if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":

5> if the IE "Vertical Accuracy" has been assigned to value "0":

6> if the IE "Horizontal Accuracy" has been assigned a value "0":

7> may include IE "Ellipsoid point with altitude".

6> if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and

6> if the UE has been able to calculate a 3-dimensional position

7> include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".

5> if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":

6> if the UE has been able to calculate a 3-dimensional position:

7> include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".

4> if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":

6> may include IE "Ellipsoid point".

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:

6> include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

2> if the UE was not able to calculate a position:

3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to calculate a position due to missing GPS assistance data~~, the UE may at any time~~:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

14.7 UE positioning measurements

14.7.1 UE positioning measurement quantities

The quantity to measure for UE positioning is dependent on the positioning method and the method type requested in the IE "UE positioning reporting quantity".

1 SFN-SFN observed time difference type 2, mandatory.

2 Rx-Tx time difference type 2, optional.

3 GPS timing of cell fames, optional.

The definition of other GPS measurements is not within the scope of this specification.

14.7.2 Void

14.7.3 UE positioning reporting events

In the IE "UE positioning reporting criteria" in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE positioning reporting events that can trigger a report are given below. The content of the measurement report is dependant on the positioning method and method type requested in the IE "UE positioning reporting quantity" of the Measurement Control message and is described in detail in [18].

When one measurement identity corresponds to multiple positioning events with identical event identities, the UE behaviour is not defined.

14.7.3.1 Reporting Event 7a: The UE position changes more than an absolute threshold

This event is used for UE-based methods only.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> if the UE changes its position compared to the last reported position by more than the threshold defined by the IE "Threshold position change"; or

1> if no position has been reported since the event was configured and the UE changes its position compared to the first position estimate obtained after the event was configured by more than the threshold defined by the IE "Threshold position change":

2> send a measurement report as specified in subclause 8.6.7.19.1b;

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

3> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

3> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

1> if the UE is unable to evaluate the event because a position measurement is not available:

2> not send a report.

14.7.3.2 Reporting Event 7b: SFN-SFN measurement changes more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the SFN-SFN time difference measurement type 2 of any measured cell changes more than the threshold defined by the IE "Threshold SFN-SFN change"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":

2> the UE may choose to act according to either subclause 8.6.7.19.1a or 8.6.7.19.1b.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

14.7.3.3 Reporting Event 7c: GPS time and SFN time have drifted apart more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the GPS Time Of Week and the SFN timer have drifted apart more than the threshold defined by the IE "Threshold SFN-GPS TOW"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted preferred but UE based allowed" or "UE based preferred but UE assisted allowed":

2> act as specified in subclause 8.6.7.19.1a or in subclause 8.6.7.19.1b depending on the method type chosen by the UE.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

3GPP TSG-RAN WG2 Meeting #41
Malaga, Spain, 16th -20th February 2004

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CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2186** ⌘**rev** **1** ⌘ Current version: **4.12.0** ⌘

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Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Amount of reporting for UE-based and UE assisted A-GPS
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	18/12/2003
Category:	⌘	**A**
Release:	⌘	Rel-4

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Rel-6	*(Release 6)*

Reason for change: ⌘

1 - If a UE positioning measurement is configured for UE based A-GPS, the UE is permitted according to 8.6.7.19.1b to send a Measurement Report requesting assistance data at any time.

According to section 8.6.7.8 when the UE has the number of Measurement Reports equal to the 'Amount of reporting' then it stops the measurement and deletes the stored measurement control information. For a UE positioning measurement where the UE can send an 'extra' Measurement Report requesting assistance data at any time, it is not clear whether the 'extra' report should be considered in the count of measurement reports.

Consider an example where the UTRAN configures a UE Positioning measurement with periodic reporting and 'Amount of reporting' set to 1. On receiving the Measurement Control message the UE will initiate the A-GPS positioning measurement and, in accordance to 8.6.7.19.1b, will also send a Measurement Report requesting appropriate assistance data. When the assistance data is received it will allow the UE to speed up the positioning measurement. In accordance to section 8.6.7.8, the UE will send the first periodic Measurement Report as soon as a position fix is obtained (if no position fix is obtained at the end of one period then the UE will send the first periodic Measurement Report without containing any measurement).

Now, in the example above, if the UE were to count the 'extra' Measurement Report requesting the assistance data against the 'Amount of reporting' then the UE would immediately stop the measurement and delete all the stored measurement control information. Consequently, the position measurement will not be sent. Therefore, it is proposed that the 'extra' Measurement Reports requesting assistance data that are sent in accordance with 8.6.7.19.1b do not

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Summary of change: ⌘	1 - Text is added in section 8.6.7.8 to state that the Measurement Reports that are sent when the UE is unable to calculate the requested measurement results due to missing assistance data according to 8.6.7.19.1a or 8.6.7.19.1b are not considered in the count of measurement reports. 2 - Section 8.6.7.19.1a is aligned with 8.6.7.19.1b with regard to triggering a MEASUREMENT REPORT at any time if the UE needs to request assistance data from the network. **Changes in CR revision 1:** 3 - Text is added to 8.6.7.19.1b to states that after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20 seconds. This requirement does not apply after release of the current RRC connection. 20s is selected as a reasonable compromise between allowing the UE to re-request it again if the network does not provide the requested data and avoiding excessive signalling load. It should be noted that if the UE is provided with the appropriate assistance data, or eventually receives the data direct from the satellites, then such measurement reports requesting assistance data will be very infrequent. The new text is also added to section 8.6.7.19.1a regarding UE assisted A-GPS is also aligned to this. **Isolated Impact Analysis** Functionality corrected: UP measurements - periodic UE based A-GPS Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE might count the extra Measurement Reports against the 'Amount of Reporting' and could delete the measurement when the UTRAN is not expecting it. The measurement could be deleted before a successful fix has been obtained. Additionally a UE may could send a very large number of measurement reports requesting assistance data to the network and cause excessive signalling load. If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the measurement is deleted when it has not actually been deleted in the UE. The UTRAN may then receive a Measurement Report for a measurement that it assumed to be deleted.
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	fix. This could have severe implications in the case of UE positioning during an emergency call.

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.8 Periodical Reporting Criteria

If the IE "Periodical Reporting Criteria" is received by the UE, the UE shall:

1> store the contents of the IE "Amount of Reporting" and IE "Reporting interval" in the variable MEASUREMENT_IDENTITY.

For the first MEASUREMENT REPORT message, the UE shall:

1> send the MEASUREMENT REPORT as soon as all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY, but never later than one reporting interval after measurement initiation; or

1> send the MEASUREMENT REPORT at the end of the first reporting interval in which all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY.

Following the first MEASUREMENT REPORT message, the UE shall:

1> send a MEASUREMENT REPORT message one reporting interval after the previous MEASUREMENT REPORT message;

The first and subsequent periodic MEASUREMENT REPORT messages shall only include measured results for reporting quantities that are available according to the requirements and the measurement capabilities set in [19] and [20] i.e. if no measured results are available and the measurement type is not UE positioning, the IE "Measured Results" shall not be included in the MEASUREMENT REPORT message. If no measured results are available and the measurement type is UE positioning, the UE shall include the IE "Measured Results" in the MEASUREMENT REPORT message in order to include the IE "UE positioning error" as specified in subclauses 8.6.7.19a and 8.6.7.19b.

After the UE has sent a total number of MEASUREMENT REPORT messages, which equal the value indicated in the IE "Amount of reporting", the UE shall:

1> terminate measurement reporting; and

1> delete all measurement information linked with the "Measurement identity" of the ongoing measurement from the variable MEASUREMENT_IDENTITY.

If according to subclause 8.6.7.19.1a or 8.6.7.19.1b, a UE configured with a UE positioning measurement is unable to report the requested measurement results due to missing GPS assistance data and sends a MEASUREMENT REPORT containing the IE "UE positioning error" and the IE "Error reason" is set to "Assistance Data Missing", then this is not counted in the total number of MEASUREMENT REPORT messages sent.

8.6.7.19.1a UE positioning reporting for UE assisted methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE was able to perform measurements on at least one neighbour cell included in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED in case of OTDOA or one satellite included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning or one cell from the active set in case of CELL ID:

3> if the IE "Vertical Accuracy" is included:

4> interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.

3> if the IE "Positioning Methods" is set to "GPS":

4> include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:

5> if the UE supports the capability to provide the GPS timing of the cell frames measurement:

6> if the IE "GPS timing of Cell wanted" is set to TRUE:

7> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

7> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and

7> include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".

6> if the IE "GPS timing of Cell wanted" is set to FALSE:

7> include the IE "GPS TOW msec".

5> if the UE does not support the capability to provide the GPS timing of the cell:

6> include the IE "GPS TOW msec".

3> if the IE "Positioning Methods" is set to "OTDOA":

4> include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:

5> set IE "SFN" to the SFN when the last measurement was performed;

5> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:

6> if the UE is in CELL_DCH state:

7> if the measured value is equal to "1279.9375":

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".

7> otherwise:

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

7> include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED that belongs to the active set.

5> if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:

6> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.

4> include IE group "Neighbour" for all neighbour cells listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.

3> if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":

4> the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.

3> if the IE "Positioning Methods" is set to "CELL ID":

4> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and

4> if the UE is in CELL_DCH state:

5> perform the Rx-Tx time difference type 2 measurement on the cells in the active set; and

5> report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set; and

5> report Rx-Tx time difference type 2 measurement of the reference cell (as designated by the UE); and

5> for all reported neighbour cells:

6> report Rx-Tx time difference type 2 measurement; and

6> set the IE "SFN-SFN observed time difference type 2" and all IEs within the corresponding IE "UE positioning OTDOA quality" in IE "UE positioning OTDOA measured results" to value "0".

2~~1~~> if the UE is not able to report the requested measurement results:

~~2~~3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to report the requested measurement results due to missing GPS assistance data:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

8.6.7.19.1b UE positioning reporting for UE based methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE has been able to calculate a position after performing measurements on the cells included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED in case of OTDOA or on the list of satellites included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning:

3> include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:

4> if the UE supports the capability to perform the UE GPS timing of cell frames measurement:

5> if the IE "GPS timing of Cell wanted" is set to TRUE:

6> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

6> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;

6> include the SFN when the position was determined;

6> include the IE "UE GPS timing of cell frames".

5> if the IE "GPS timing of Cell wanted" is set to FALSE:

6> include the IE "GPS TOW msec".

4> if the UE does not support the capability to provide the GPS timing of the cell:

5> include the IE "GPS TOW msec".

4> if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":

5> if the IE "Vertical Accuracy" has been assigned to value "0":

6> if the IE "Horizontal Accuracy" has been assigned a value "0":

7> may include IE "Ellipsoid point with altitude".

6> if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and

6> if the UE has been able to calculate a 3-dimensional position

7> include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".

5> if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":

6> if the UE has been able to calculate a 3-dimensional position:

7> include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".

4> if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":

6> may include IE "Ellipsoid point".

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:

6> include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

2> if the UE was not able to calculate a position:

3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to calculate a position due to missing GPS assistance data~~, the UE may at any time~~:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

14.7 UE positioning measurements

14.7.1 UE positioning measurement quantities

The quantity to measure for UE positioning is dependent on the positioning method and the method type requested in the IE "UE positioning reporting quantity".

1 SFN-SFN observed time difference type 2, mandatory.

2 Rx-Tx time difference type 2, optional.

3 GPS timing of cell fames, optional.

The definition of other GPS measurements is not within the scope of this specification.

14.7.2 Void

14.7.3 UE positioning reporting events

In the IE "UE positioning reporting criteria" in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE positioning reporting events that can trigger a report are given below. The content of the measurement report is dependant on the positioning method and method type requested in the IE "UE positioning reporting quantity" of the Measurement Control message and is described in detail in [18].

When one measurement identity corresponds to multiple positioning events with identical event identities, the UE behaviour is not defined.

14.7.3.1 Reporting Event 7a: The UE position changes more than an absolute threshold

This event is used for UE-based methods only.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> if the UE changes its position compared to the last reported position by more than the threshold defined by the IE "Threshold position change"; or

1> if no position has been reported since the event was configured and the UE changes its position compared to the first position estimate obtained after the event was configured by more than the threshold defined by the IE "Threshold position change":

2> send a measurement report as specified in subclause 8.6.7.19.1b;

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

3> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

3> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

1> if the UE is unable to evaluate the event because a position measurement is not available:

2> not send a report.

14.7.3.2 Reporting Event 7b: SFN-SFN measurement changes more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the SFN-SFN time difference measurement type 2 of any measured cell changes more than the threshold defined by the IE "Threshold SFN-SFN change"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":

2> the UE may choose to act according to either subclause 8.6.7.19.1a or 8.6.7.19.1b.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

14.7.3.3 Reporting Event 7c: GPS time and SFN time have drifted apart more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the GPS Time Of Week and the SFN timer have drifted apart more than the threshold defined by the IE "Threshold SFN-GPS TOW"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted preferred but UE based allowed" or "UE based preferred but UE assisted allowed":

2> act as specified in subclause 8.6.7.19.1a or in subclause 8.6.7.19.1b depending on the method type chosen by the UE.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

3GPP TSG-RAN WG2 Meeting #41
Malaga, Spain, 16th -20th February 2004

Tdoc ⌘R2-040478

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2187** ⌘**rev** **1** ⌘ Current version: **5.7.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Amount of reporting for UE-based and UE assisted A-GPS
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	18/12/2003
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1 - If a UE positioning measurement is configured for UE based A-GPS, the UE is permitted according to 8.6.7.19.1b to send a Measurement Report requesting assistance data at any time.

According to section 8.6.7.8 when the UE has the number of Measurement Reports equal to the 'Amount of reporting' then it stops the measurement and deletes the stored measurement control information. For a UE positioning measurement where the UE can send an 'extra' Measurement Report requesting assistance data at any time, it is not clear whether the 'extra' report should be considered in the count of measurement reports.

Consider an example where the UTRAN configures a UE Positioning measurement with periodic reporting and 'Amount of reporting' set to 1. On receiving the Measurement Control message the UE will initiate the A-GPS positioning measurement and, in accordance to 8.6.7.19.1b, will also send a Measurement Report requesting appropriate assistance data. When the assistance data is received it will allow the UE to speed up the positioning measurement. In accordance to section 8.6.7.8, the UE will send the first periodic Measurement Report as soon as a position fix is obtained (if no position fix is obtained at the end of one period then the UE will send the first periodic Measurement Report without containing any measurement).

Now, in the example above, if the UE were to count the 'extra' Measurement Report requesting the assistance data against the 'Amount of reporting' then the UE would immediately stop the measurement and delete all the stored measurement control information. Consequently, the position measurement will not be sent. Therefore, it is proposed that the 'extra' Measurement Reports requesting assistance data that are sent in accordance with 8.6.7.19.1b do not

	count against the 'Amount of reporting'. 2 - UE assisted GPS is not aligned to UE based GPS with respect to the behaviour described above. **Changes in CR revision 1**: 3 - In section 8.6.7.19.1b it specifies that when a UE may at anytime send a measurement report requesting assistance data from the network. This imposes no restriction on the UE and could allow a poor UE implementation to send many such measurement reports to the network thereby cause excessive signalling load.
Summary of change: ⌘	1 - Text is added in section 8.6.7.8 to state that the Measurement Reports that are sent when the UE is unable to calculate the requested measurement results due to missing assistance data according to 8.6.7.19.1a or 8.6.7.19.1b are not considered in the count of measurement reports. 2 - Section 8.6.7.19.1a is aligned with 8.6.7.19.1b with regard to triggering a MEASUREMENT REPORT at any time if the UE needs to request assistance data from the network. **Changes in CR revision 1:** 3 - Text is added to 8.6.7.19.1b to states that after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20 seconds. This requirement does not apply after release of the current RRC connection. 20s is selected as a reasonable compromise between allowing the UE to re-request it again if the network does not provide the requested data and avoiding excessive signalling load. It should be noted that if the UE is provided with the appropriate assistance data, or eventually receives the data direct from the satellites, then such measurement reports requesting assistance data will be very infrequent. The new text is also added to section 8.6.7.19.1a regarding UE assisted A-GPS is also aligned to this. **Isolated Impact Analysis** Functionality corrected: UP measurements - periodic UE based A-GPS Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE might count the extra Measurement Reports against the 'Amount of Reporting' and could delete the measurement when the UTRAN is not expecting it. The measurement could be deleted before a successful fix has been obtained. Additionally a UE may could send a very large number of measurement reports requesting assistance data to the network and cause excessive signalling load. If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the measurement is deleted when it has not actually been deleted in the UE. The UTRAN may then receive a Measurement Report for a measurement that it assumed to be deleted.
Consequences if not approved: ⌘	If the CR is not approved then the UE and UTRAN may not be aligned with regard to the deletion of periodic UE positioning measurement. This could lead to the UE deleting the measurement before it has had a chance to obtain a position

	fix. This could have severe implications in the case of UE positioning during an emergency call.

Clauses affected:	⌘	8.6.7.8, 8.6.7.19.1a, 8.6.7.19.1b			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

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1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.8 Periodical Reporting Criteria

If the IE "Periodical Reporting Criteria" is received by the UE, the UE shall:

1> store the contents of the IE "Amount of Reporting" and IE "Reporting interval" in the variable MEASUREMENT_IDENTITY.

For the first MEASUREMENT REPORT message, the UE shall:

1> send the MEASUREMENT REPORT as soon as all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY, but never later than one reporting interval after measurement initiation; or

1> send the MEASUREMENT REPORT at the end of the first reporting interval in which all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY.

Following the first MEASUREMENT REPORT message, the UE shall:

1> send a MEASUREMENT REPORT message one reporting interval after the previous MEASUREMENT REPORT message;

The first and subsequent periodic MEASUREMENT REPORT messages shall only include measured results for reporting quantities that are available according to the requirements and the measurement capabilities set in [19] and [20] i.e. if no measured results are available and the measurement type is not UE positioning, the IE "Measured Results" shall not be included in the MEASUREMENT REPORT message. If no measured results are available and the measurement type is UE positioning, the UE shall include the IE "Measured Results" in the MEASUREMENT REPORT message in order to include the IE "UE positioning error" as specified in subclauses 8.6.7.19a and 8.6.7.19b.

After the UE has sent a total number of MEASUREMENT REPORT messages, which equal the value indicated in the IE "Amount of reporting", the UE shall:

1> terminate measurement reporting; and

1> delete all measurement information linked with the "Measurement identity" of the ongoing measurement from the variable MEASUREMENT_IDENTITY.

If according to subclause 8.6.7.19.1a or 8.6.7.19.1b, a UE configured with a UE positioning measurement is unable to report the requested measurement results due to missing GPS assistance data and sends a MEASUREMENT REPORT containing the IE "UE positioning error" and the IE "Error reason" is set to "Assistance Data Missing", then this is not counted in the total number of MEASUREMENT REPORT messages sent.

8.6.7.19.1a UE positioning reporting for UE assisted methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE was able to perform measurements on at least one neighbour cell included in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED in case of OTDOA or one satellite included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning or one cell from the active set in case of CELL ID:

3> if the IE "Vertical Accuracy" is included:

4> interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.

3> if the IE "Positioning Methods" is set to "GPS":

4> include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:

5> if the UE supports the capability to provide the GPS timing of the cell frames measurement:

6> if the IE "GPS timing of Cell wanted" is set to TRUE:

7> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

7> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and

7> include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".

6> if the IE "GPS timing of Cell wanted" is set to FALSE:

7> include the IE "GPS TOW msec".

5> if the UE does not support the capability to provide the GPS timing of the cell:

6> include the IE "GPS TOW msec".

3> if the IE "Positioning Methods" is set to "OTDOA":

4> include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:

5> set IE "SFN" to the SFN when the last measurement was performed;

5> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:

6> if the UE is in CELL_DCH state:

7> if the measured value is equal to "1279.9375":

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".

7> otherwise:

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

7> include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED that belongs to the active set.

5> if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:

6> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.

4> include IE group "Neighbour" for all neighbour cells listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.

3> if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":

4> the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.

3> if the IE "Positioning Methods" is set to "CELL ID":

4> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and

4> if the UE is in CELL_DCH state:

5> perform the Rx-Tx time difference type 2 measurement on the cells in the active set; and

5> report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set; and

5> report Rx-Tx time difference type 2 measurement of the reference cell (as designated by the UE); and

5> for all reported neighbour cells:

6> report Rx-Tx time difference type 2 measurement; and

6> set the IE "SFN-SFN observed time difference type 2" and all IEs within the corresponding IE "UE positioning OTDOA quality" in IE "UE positioning OTDOA measured results" to value "0".

2~~1~~> if the UE is not able to report the requested measurement results:

~~2~~3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to report the requested measurement results due to missing GPS assistance data:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

8.6.7.19.1b UE positioning reporting for UE based methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE has been able to calculate a position after performing measurements on the cells included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED in case of OTDOA or on the list of satellites included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning:

3> include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:

4> if the UE supports the capability to perform the UE GPS timing of cell frames measurement:

5> if the IE "GPS timing of Cell wanted" is set to TRUE:

6> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

6> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;

6> include the SFN when the position was determined;

6> include the IE "UE GPS timing of cell frames".

5> if the IE "GPS timing of Cell wanted" is set to FALSE:

6> include the IE "GPS TOW msec".

4> if the UE does not support the capability to provide the GPS timing of the cell:

5> include the IE "GPS TOW msec".

4> if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":

5> if the IE "Vertical Accuracy" has been assigned to value "0":

6> if the IE "Horizontal Accuracy" has been assigned a value "0":

7> may include IE "Ellipsoid point with altitude".

6> if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and

6> if the UE has been able to calculate a 3-dimensional position

7> include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".

5> if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":

6> if the UE has been able to calculate a 3-dimensional position:

7> include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".

4> if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":

6> may include IE "Ellipsoid point".

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:

6> include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

2> if the UE was not able to calculate a position:

3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to calculate a position due to missing GPS assistance data~~, the UE may at any time~~:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

14.7 UE positioning measurements

14.7.1 UE positioning measurement quantities

The quantity to measure for UE positioning is dependent on the positioning method and the method type requested in the IE "UE positioning reporting quantity".

1 SFN-SFN observed time difference type 2, mandatory.

2 Rx-Tx time difference type 2, optional.

3 GPS timing of cell fames, optional.

The definition of other GPS measurements is not within the scope of this specification.

14.7.2 Void

14.7.3 UE positioning reporting events

In the IE "UE positioning reporting criteria" in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE positioning reporting events that can trigger a report are given below. The content of the measurement report is dependant on the positioning method and method type requested in the IE "UE positioning reporting quantity" of the Measurement Control message and is described in detail in [18].

When one measurement identity corresponds to multiple positioning events with identical event identities, the UE behaviour is not defined.

14.7.3.1 Reporting Event 7a: The UE position changes more than an absolute threshold

This event is used for UE-based methods only.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> if the UE changes its position compared to the last reported position by more than the threshold defined by the IE "Threshold position change"; or

1> if no position has been reported since the event was configured and the UE changes its position compared to the first position estimate obtained after the event was configured by more than the threshold defined by the IE "Threshold position change":

2> send a measurement report as specified in subclause 8.6.7.19.1b;

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

3> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

3> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

1> if the UE is unable to evaluate the event because a position measurement is not available:

2> not send a report.

14.7.3.2 Reporting Event 7b: SFN-SFN measurement changes more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the SFN-SFN time difference measurement type 2 of any measured cell changes more than the threshold defined by the IE "Threshold SFN-SFN change"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":

2> the UE may choose to act according to either subclause 8.6.7.19.1a or 8.6.7.19.1b.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

14.7.3.3 Reporting Event 7c: GPS time and SFN time have drifted apart more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the GPS Time Of Week and the SFN timer have drifted apart more than the threshold defined by the IE "Threshold SFN-GPS TOW"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted preferred but UE based allowed" or "UE based preferred but UE assisted allowed":

2> act as specified in subclause 8.6.7.19.1a or in subclause 8.6.7.19.1b depending on the method type chosen by the UE.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

3GPP TSG-RAN WG2 Meeting #41
Malaga, Spain, 16th -20th February 2004

Tdoc ⌘R2-040479

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **2188** ⌘ **rev** **1** ⌘ Current version: **6.0.1** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Amount of reporting for UE-based and UE assisted A-GPS
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	18/12/2003
Category:	⌘	**A**
Release:	⌘	Rel-6

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1 - If a UE positioning measurement is configured for UE based A-GPS, the UE is permitted according to 8.6.7.19.1b to send a Measurement Report requesting assistance data at any time.

According to section 8.6.7.8 when the UE has the number of Measurement Reports equal to the 'Amount of reporting' then it stops the measurement and deletes the stored measurement control information. For a UE positioning measurement where the UE can send an 'extra' Measurement Report requesting assistance data at any time, it is not clear whether the 'extra' report should be considered in the count of measurement reports.

Consider an example where the UTRAN configures a UE Positioning measurement with periodic reporting and 'Amount of reporting' set to 1. On receiving the Measurement Control message the UE will initiate the A-GPS positioning measurement and, in accordance to 8.6.7.19.1b, will also send a Measurement Report requesting appropriate assistance data. When the assistance data is received it will allow the UE to speed up the positioning measurement. In accordance to section 8.6.7.8, the UE will send the first periodic Measurement Report as soon as a position fix is obtained (if no position fix is obtained at the end of one period then the UE will send the first periodic Measurement Report without containing any measurement).

Now, in the example above, if the UE were to count the 'extra' Measurement Report requesting the assistance data against the 'Amount of reporting' then the UE would immediately stop the measurement and delete all the stored measurement control information. Consequently, the position measurement will not be sent. Therefore, it is proposed that the 'extra' Measurement Reports requesting assistance data that are sent in accordance with 8.6.7.19.1b do not

	count against the 'Amount of reporting'. 2 - UE assisted GPS is not aligned to UE based GPS with respect to the behaviour described above. **Changes in CR revision 1**: 3 - In section 8.6.7.19.1b it specifies that when a UE may at anytime send a measurement report requesting assistance data from the network. This imposes no restriction on the UE and could allow a poor UE implementation to send many such measurement reports to the network thereby cause excessive signalling load.
Summary of change: ⌘	1 - Text is added in section 8.6.7.8 to state that the Measurement Reports that are sent when the UE is unable to calculate the requested measurement results due to missing assistance data according to 8.6.7.19.1a or 8.6.7.19.1b are not considered in the count of measurement reports. 2 - Section 8.6.7.19.1a is aligned with 8.6.7.19.1b with regard to triggering a MEASUREMENT REPORT at any time if the UE needs to request assistance data from the network. **Changes in CR revision 1:** 3 - Text is added to 8.6.7.19.1b to states that after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20 seconds. This requirement does not apply after release of the current RRC connection. 20s is selected as a reasonable compromise between allowing the UE to re-request it again if the network does not provide the requested data and avoiding excessive signalling load. It should be noted that if the UE is provided with the appropriate assistance data, or eventually receives the data direct from the satellites, then such measurement reports requesting assistance data will be very infrequent. The new text is also added to section 8.6.7.19.1a regarding UE assisted A-GPS is also aligned to this. **Isolated Impact Analysis** Functionality corrected: UP measurements - periodic UE based A-GPS Isolated impact statement: Correction to a function where specification was not sufficiently explicit. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise. If UE is not implemented according to this CR and UTRAN is implemented according to the CR, then the UE might count the extra Measurement Reports against the 'Amount of Reporting' and could delete the measurement when the UTRAN is not expecting it. The measurement could be deleted before a successful fix has been obtained. Additionally a UE may could send a very large number of measurement reports requesting assistance data to the network and cause excessive signalling load. If the UE is implemented according to the CR and the UTRAN is not implemented according to the CR, the UTRAN may assume that the measurement is deleted when it has not actually been deleted in the UE. The UTRAN may then receive a Measurement Report for a measurement that it assumed to be deleted.
Consequences if not approved: ⌘	If the CR is not approved then the UE and UTRAN may not be aligned with regard to the deletion of periodic UE positioning measurement. This could lead to the UE deleting the measurement before it has had a chance to obtain a position

	fix. This could have severe implications in the case of UE positioning during an emergency call.

Clauses affected:	⌘	8.6.7.8, 8.6.7.19.1a, 8.6.7.19.1b			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.8 Periodical Reporting Criteria

If the IE "Periodical Reporting Criteria" is received by the UE, the UE shall:

1> store the contents of the IE "Amount of Reporting" and IE "Reporting interval" in the variable MEASUREMENT_IDENTITY.

For the first MEASUREMENT REPORT message, the UE shall:

1> send the MEASUREMENT REPORT as soon as all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY, but never later than one reporting interval after measurement initiation; or

1> send the MEASUREMENT REPORT at the end of the first reporting interval in which all requested reporting quantities are available according to the requirements and the measurement capabilities set in [19] and [20] for at least one measurement object stored in the variable MEASUREMENT_IDENTITY.

Following the first MEASUREMENT REPORT message, the UE shall:

1> send a MEASUREMENT REPORT message one reporting interval after the previous MEASUREMENT REPORT message;

The first and subsequent periodic MEASUREMENT REPORT messages shall only include measured results for reporting quantities that are available according to the requirements and the measurement capabilities set in [19] and [20] i.e. if no measured results are available and the measurement type is not UE positioning, the IE "Measured Results" shall not be included in the MEASUREMENT REPORT message. If no measured results are available and the measurement type is UE positioning, the UE shall include the IE "Measured Results" in the MEASUREMENT REPORT message in order to include the IE "UE positioning error" as specified in subclauses 8.6.7.19a and 8.6.7.19b.

After the UE has sent a total number of MEASUREMENT REPORT messages, which equal the value indicated in the IE "Amount of reporting", the UE shall:

1> terminate measurement reporting; and

1> delete all measurement information linked with the "Measurement identity" of the ongoing measurement from the variable MEASUREMENT_IDENTITY.

If according to subclause 8.6.7.19.1a or 8.6.7.19.1b, a UE configured with a UE positioning measurement is unable to report the requested measurement results due to missing GPS assistance data and sends a MEASUREMENT REPORT containing the IE "UE positioning error" and the IE "Error reason" is set to "Assistance Data Missing", then this is not counted in the total number of MEASUREMENT REPORT messages sent.

8.6.7.19.1a UE positioning reporting for UE assisted methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE was able to perform measurements on at least one neighbour cell included in the variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED in case of OTDOA or one satellite included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning or one cell from the active set in case of CELL ID:

3> if the IE "Vertical Accuracy" is included:

4> interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.

3> if the IE "Positioning Methods" is set to "GPS":

4> include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:

5> if the UE supports the capability to provide the GPS timing of the cell frames measurement:

6> if the IE "GPS timing of Cell wanted" is set to TRUE:

7> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

7> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and

7> include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".

6> if the IE "GPS timing of Cell wanted" is set to FALSE:

7> include the IE "GPS TOW msec".

5> if the UE does not support the capability to provide the GPS timing of the cell:

6> include the IE "GPS TOW msec".

3> if the IE "Positioning Methods" is set to "OTDOA":

4> include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:

5> set IE "SFN" to the SFN when the last measurement was performed;

5> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:

6> if the UE is in CELL_DCH state:

7> if the measured value is equal to "1279.9375":

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".

7> otherwise:

8> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

7> include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED that belongs to the active set.

5> if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:

6> set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.

4> include IE group "Neighbour" for all neighbour cells listed in variable UE_POSITIONING_OTDOA_DATA_UE_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.

3> if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":

4> the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.

3> if the IE "Positioning Methods" is set to "CELL ID":

4> if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and

4> if the UE is in CELL_DCH state:

5> perform the Rx-Tx time difference type 2 measurement on the cells in the active set; and

5> report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set; and

5> report Rx-Tx time difference type 2 measurement of the reference cell (as designated by the UE); and

5> for all reported neighbour cells:

6> report Rx-Tx time difference type 2 measurement; and

6> set the IE "SFN-SFN observed time difference type 2" and all IEs within the corresponding IE "UE positioning OTDOA quality" in IE "UE positioning OTDOA measured results" to value "0".

2~~1~~> if the UE is not able to report the requested measurement results:

~~2~~3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to report the requested measurement results due to missing GPS assistance data:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

8.6.7.19.1b UE positioning reporting for UE based methods

The UE shall:

1> when a measurement report is triggered:

2> if the UE has been able to calculate a position after performing measurements on the cells included in the variable UE_POSITIONING_OTDOA_DATA_UE_BASED in case of OTDOA or on the list of satellites included in the variable UE_POSITIONING_GPS_DATA in case of GPS positioning:

3> include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:

4> if the UE supports the capability to perform the UE GPS timing of cell frames measurement:

5> if the IE "GPS timing of Cell wanted" is set to TRUE:

6> perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.

6> include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;

6> include the SFN when the position was determined;

6> include the IE "UE GPS timing of cell frames".

5> if the IE "GPS timing of Cell wanted" is set to FALSE:

6> include the IE "GPS TOW msec".

4> if the UE does not support the capability to provide the GPS timing of the cell:

5> include the IE "GPS TOW msec".

4> if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":

5> if the IE "Vertical Accuracy" has been assigned to value "0":

6> if the IE "Horizontal Accuracy" has been assigned a value "0":

7> may include IE "Ellipsoid point with altitude".

6> if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and

6> if the UE has been able to calculate a 3-dimensional position

7> include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".

5> if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":

6> if the UE has been able to calculate a 3-dimensional position:

7> include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

6> if the UE has not been able to calculate a 3-dimensional position:

7> act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".

4> if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":

6> may include IE "Ellipsoid point".

5> if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:

6> include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.

2> if the UE was not able to calculate a position:

3> include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

1> if the UE is unable to calculate a position due to missing GPS assistance data~~, the UE may at any time~~:

2> the UE may at anytime send a measurement report containing the IE "UE positioning error" and set the contents of this IE as specified in subclause 8.6.7.19.5.

2> after sending the measurement report, the UE shall not send another measurement report to request the same GPS assistance data for at least 20s. This requirement does not apply after release of the current RRC connection.

14.7 UE positioning measurements

14.7.1 UE positioning measurement quantities

The quantity to measure for UE positioning is dependent on the positioning method and the method type requested in the IE "UE positioning reporting quantity".

1 SFN-SFN observed time difference type 2, mandatory.

2 Rx-Tx time difference type 2, optional.

3 GPS timing of cell fames, optional.

The definition of other GPS measurements is not within the scope of this specification.

14.7.2 Void

14.7.3 UE positioning reporting events

In the IE "UE positioning reporting criteria" in the Measurement Control messages, the UTRAN notifies the UE of which events should trigger a measurement report. UE positioning reporting events that can trigger a report are given below. The content of the measurement report is dependant on the positioning method and method type requested in the IE "UE positioning reporting quantity" of the Measurement Control message and is described in detail in [18].

When one measurement identity corresponds to multiple positioning events with identical event identities, the UE behaviour is not defined.

14.7.3.1 Reporting Event 7a: The UE position changes more than an absolute threshold

This event is used for UE-based methods only.

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> if the UE changes its position compared to the last reported position by more than the threshold defined by the IE "Threshold position change"; or

1> if no position has been reported since the event was configured and the UE changes its position compared to the first position estimate obtained after the event was configured by more than the threshold defined by the IE "Threshold position change":

2> send a measurement report as specified in subclause 8.6.7.19.1b;

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

3> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

2> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

3> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

1> if the UE is unable to evaluate the event because a position measurement is not available:

2> not send a report.

14.7.3.2 Reporting Event 7b: SFN-SFN measurement changes more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the SFN-SFN time difference measurement type 2 of any measured cell changes more than the threshold defined by the IE "Threshold SFN-SFN change"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE-assisted preferred but UE-based allowed" or "UE-based preferred but UE-assisted allowed":

2> the UE may choose to act according to either subclause 8.6.7.19.1a or 8.6.7.19.1b.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.

14.7.3.3 Reporting Event 7c: GPS time and SFN time have drifted apart more than an absolute threshold

When this event is ordered by UTRAN in a measurement control message, the UE shall:

1> send a measurement report when the GPS Time Of Week and the SFN timer have drifted apart more than the threshold defined by the IE "Threshold SFN-GPS TOW"; and

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE based":

2> act as specified in subclause 8.6.7.19.1b.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted":

2> act as specified in subclause 8.6.7.19.1a.

1> if UTRAN set IE "Method Type" in "UE positioning reporting quantity" in the MEASUREMENT CONTROL message to "UE assisted preferred but UE based allowed" or "UE based preferred but UE assisted allowed":

2> act as specified in subclause 8.6.7.19.1a or in subclause 8.6.7.19.1b depending on the method type chosen by the UE.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is greater than one:

2> decrease IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event by one.

1> if the value of IE "Amount of Reporting" in variable MEASUREMENT_IDENTITY for this event is equal to one:

2> delete this event from the list of events in variable MEASUREMENT_IDENTITY.