

TSG-RAN Meeting #22
Maui, USA, 09-12 December 2003

RP-030662

Title: CRs (R'99 and linked Rel-4/Rel-5) to TS 25.331 (3).

Source: TSG-RAN WG2

Agenda item: 7.3.3

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	2125	-	R99	SIB 7 reading	F	3.16.0	3.17.0	R2-032574	TEI
25.331	2126	-	Rel-4	SIB 7 reading	A	4.11.0	4.12.0	R2-032575	TEI
25.331	2127	1	Rel-5	SIB 7 reading	F	5.6.0	5.7.0	R2-032662	TEI5
25.331	2128	-	R99	HFN initialisation in case of pending security configurations	F	3.16.0	3.17.0	R2-032579	TEI
25.331	2129	-	Rel-4	HFN initialisation in case of pending security configurations	A	4.11.0	4.12.0	R2-032580	TEI
25.331	2130	-	Rel-5	HFN initialisation in case of pending security configurations	A	5.6.0	5.7.0	R2-032581	TEI
25.331	2146	-	R99	Additional Measurements List Modify	F	3.16.0	3.17.0	R2-032635	TEI
25.331	2147	-	Rel-4	Additional Measurements List Modify	A	4.11.0	4.12.0	R2-032636	TEI
25.331	2148	-	Rel-5	Additional Measurements List Modify	F	5.6.0	5.7.0	R2-032637	TEI5
25.331	2150	1	R99	Minimum UE capability class	F	3.16.0	3.17.0	R2-032684	TEI
25.331	2151	1	Rel-4	Minimum UE capability class	A	4.11.0	4.12.0	R2-032685	TEI
25.331	2152	1	Rel-5	Minimum UE capability class	F	5.6.0	5.7.0	R2-032686	TEI5

3GPP TSG-RAN2 Meeting #39
San Diego, USA, 17th-21st November 2003

Tdoc #R2-032574

CR-Form-v7
CHANGE REQUEST
25.331 CR 2125 # rev - # Current version: 3.16.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# SIB 7 reading		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# November 2003
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change: # The current specification states that the UE may wait with SIB7 and SIB14 reading until the content is needed. In FDD CELL_FACH, such a behaviour will lead to very long delays when sending messages on RACH. In TDD CELL_FACH or Cell DCH, such a behaviour will lead to very long delays when sending messages on RACH, DCH and USCH. Typical repetition time of SIB 7 & SIB14 is in the order of 160-320 ms (e.g. in 34.108 SIB7 is scheduled every 160 ms as default).

The current specification is also unclear regarding if the UE need to read the content in FDD for SIB7 prior to RACH transmission and in TDD for SIB14 prior to RACH, DCH or USCH transmission, also when there is a valid SIB7 or SIB14 IE stored. Potentially, a UE that has a large SDU to transmit that requires several RLC PDUs, may wait for SIB7 to be received for each PDU.

If the SDU requires e.g. 5 RLC PDUs (e.g. an IP packet of 200 octets), this would imply a transmission time of around 850 ms instead of 50 ms assuming a 10 ms TTI.

Summary of change: # 1) It is specified for FDD that while in CELL_FACH, the UE shall always keep an up to date version of SIB7. It is specified for TDD that while in CELL_FACH or CELL_DCH, the UE shall always keep an up to date version of SIB14.

2) It is specified for FDD that when performing a RACH transmission, the UE should read SIB7 only if there is no valid SIB7 information stored. It is specified for TDD that when performing a RACH, DCH or USCH transmission, the UE should read SIB14 only if there is no valid SIB14 information stored.

It is proposed to specify the first change with a should in R99/Rel-4 and a shall in later releases. The second change is proposed to be specified with a shall already in R99 since UEs not behaving like specified can cause significant

disturbance to networks due to excessive interference.

Consequences if not approved: ⌘ If the CR is not approved, FDD UEs may wait until the next scheduled occurrence of SIB7 until a RACH transmission is performed. This would lead to significant delays for RACH transmissions and signaling procedures may timeout. TDD UEs may wait until the next scheduled occurrence of SIB14 until a RACH, DCH or USCH transmission is performed. This would lead to significant delays for RACH, DCH, and USCH transmissions and signaling procedures may timeout.

Impact analysis:

Impacted functionality: The CR has isolated impact. Only the SIB7 and SIB14 reading is affected.

Correction type: Clarification of a function where the specification is incomplete, ambiguous and/ or inconsistent.

Interoperability:

- Isolated impact: the impact is isolated; only the corrected functionality is affected
- CR implemented only by UTRAN: No UTRAN impact
- CR implemented only by the UE: No interoperability issues foreseen.

Clauses affected: ⌘ 8.1.1.7.4, 8.5.7

Y	N
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Other specs Affected: ⌘ Other core specifications ⌘

<input checked="" type="checkbox"/>	Test specifications
<input checked="" type="checkbox"/>	O&M Specifications

Other comments: ⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.7.4 Actions upon expiry of a system information expiry timer

When the expiry timer of a system information block not using a value tag expires the UE shall:

- 1> consider the content of the system information block invalid;
- 1> re-acquire the system information block again before the content can be used;

~~the UE may:~~

in FDD for system information blocks other than system information block type 7, or in states other than CELL_FACH, or in TDD for system information blocks other than system information block type 14, or in states other than CELL_FACH or CELL_DCH the UE may:

- 1> postpone reading the system information block until the content is needed.

In FDD for system information block type 7, while in state CELL_FACH, and in TDD for system information block type 14, while in state CELL_FACH or CELL_DCH the UE should always keep an up to date version of the relevant IEs, unless this is not possible because system information can not be received due to bad radio conditions.

8.5.7 Open loop power control

For FDD and prior to PRACH or PCPCH transmission the UE shall:

1> acquire valid versions of the necessary System Information IEs as follows:

2> if the UE has stored valid versions of the IEs "Primary CPICH Tx power" and "Constant value":

3> use the stored content of the IEs;

2> otherwise:

3> read and store the IE "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~1> read the IEs "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast) and the IE "UL interference" in System Information Block type 7;~~

2> if the UE has a valid version of the IE "UL interference" stored:

3> use the stored content of the IE "UL interference".

2> otherwise:

3> read and store the IE "UL interference" in System Information Block type 7;

3> if the UE fails to read the IE "UL interference" in System Information Block type 7 due to bad radio conditions, the UE shall use the last stored IE "UL interference".

1> measure the value for the CPICH_RSCP;

1> calculate the power for the first preamble as:

Preamble_Initial_Power = Primary CPICH TX power – CPICH_RSCP + UL interference + Constant Value

Where,

Primary CPICH TX power shall have the value of IE "Primary CPICH Tx power",

UL interference shall have the value of IE "UL interference"; and

Constant Value shall have the value of IE "Constant value".

1> as long as the physical layer is configured for PRACH or PCPCH transmission:

2> continuously recalculate the Preamble_Initial_Power when any of the broadcast parameters used in the above formula changes; and

2> resubmit to the physical layer the new calculated Preamble_Initial_Power.

For TDD the UE shall:

1> if in the IE "Uplink DPCH Power Control info" the "CHOICE UL OL PC info" has the value "Broadcast UL OL PC info":

2> prior to DPCH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "DPCH Constant value":

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "DPCH Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

2> acquire Reference Power, Constant Values from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), and I_{BTS} for all active UL timeslots from System Information Block type 14 on the BCH.

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> otherwise:

2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from the IE "Uplink DPCH Power Control info".

1> for PUSCH and PRACH power control:

2> prior to PUSCH or PRACH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions:

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5) and System Information Block type 14 on the BCH.

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> calculate the UL transmit power according to the following formula for the PRACH continuously while the physical channel is active:

$$P_{PRACH} = L_{PCCPCH} + I_{BTS} + PRACH \text{ Constant value,}$$

2> 3dB shall be added to RACH Constant Value in the above equation for the case where RACH Spreading Factor = 8.

1> calculate the UL transmit power according to the following formula for the DPCH continuously while the physical channel is active:

$$P_{DPCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + DPCH \text{ Constant value}$$

1> calculate the UL transmit power according to the following formula for the PUSCH continuously while the physical channel is active:

$$P_{PUSCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + PUSCH \text{ Constant value}$$

Where, for all the above equations for TDD the following apply:

- P_{PRACH} , P_{DPCH} , & P_{PUSCH} : Transmitter power level in dBm;
- Pathloss values:
 - L_{PCCPCH} : Measurement representing path loss in dB based on beacon channels (the reference transmit power is signalled as the value of the IE "Primary CCPCH Tx Power" on BCH in System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), or individually signalled in the IE "Uplink DPCH Power Control info").
 - L_0 : Long term average of path loss in dB;
 - If the midamble is used in the evaluation of L_{PCCPCH} and L_0 , and the Tx diversity scheme used for the P-CCPCH involves the transmission of different midambles from the diversity antennas, the received power of the different midambles from the different antennas shall be combined prior to evaluation of the variables.
- I_{BTS} : Interference signal power level at cell's receiver in dBm. I_{BTS} shall have the value of the IE "UL Timeslot Interference" (IE "UL Timeslot Interference" is broadcast on BCH in System Information Block type 14 or individually signalled to each UE in the IE "Uplink DPCH Power Control info" for each active uplink timeslot).
- α : α is a weighting parameter, which represents the quality of path loss measurements. α may be a function of the time delay between the uplink time slot and the most recent down link PCCPCH time slot. α is calculated at the UE. α shall be smaller or equal to the value of the IE "Alpha". If the IE "Alpha" is not explicitly signalled to the UE α shall be set to 1.

- SIR_{TARGET} : Target SNR in dB. This value is individually signalled to UEs in IE "UL target SIR" in IE "Uplink DPCH Power Control Info" or in IE "PUSCH Power Control Info" respectively.
- PRACH Constant value: PRACH Constant value shall have the value of the IE "PRACH Constant value".
- DPCH Constant value: DPCH Constant value shall have the value of the IE "DPCH Constant value".
- PUSCH Constant value: PUSCH Constant value shall have the value of the IE "PUSCH Constant value".
- Values received by dedicated signalling shall take precedence over broadcast values.

3GPP TSG-RAN2 Meeting #39
San Diego, USA, 17th-21st November 2003

Tdoc #R2-032575

CR-Form-v7	<h2 style="margin: 0;">CHANGE REQUEST</h2>
# 25.331 CR 2126 # rev - # Current version: 4.11.0 #	

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# SIB 7 reading		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# November 2003
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	# The current specification states that the UE may wait with SIB7 and SIB14 reading until the content is needed. In FDD CELL_FACH, such a behaviour will lead to very long delays when sending messages on RACH. In TDD CELL_FACH or Cell DCH, such a behaviour will lead to very long delays when sending messages on RACH, DCH and USCH. Typical repetition time of SIB 7 & SIB14 is in the order of 160-320 ms (e.g. in 34.108 SIB7 is scheduled every 160 ms as default). The current specification is also unclear regarding if the UE need to read the content in FDD for SIB7 prior to RACH transmission and in TDD for SIB14 prior to RACH, DCH or USCH transmission, also when there is a valid SIB7 or SIB14 IE stored. Potentially, a UE that has a large SDU to transmit that requires several RLC PDUs, may wait for SIB7 to be received for each PDU. If the SDU requires e.g. 5 RLC PDUs (e.g. an IP packet of 200 octets), this would imply a transmission time of around 850 ms instead of 50 ms assuming a 10 ms TTI.
Summary of change:	# 1) It is specified for FDD that while in CELL_FACH, the UE shall always keep an up to date version of SIB7. It is specified for TDD that while in CELL_FACH or CELL_DCH, the UE shall always keep an up to date version of SIB14. 2) It is specified for FDD that when performing a RACH transmission, the UE should read SIB7 only if there is no valid SIB7 information stored. It is specified for TDD that when performing a RACH, DCH or USCH transmission, the UE should read SIB14 only if there is no valid SIB14 information stored. It is proposed to specify the first change with a should in R99/Rel-4 and a shall in later releases. The second change is proposed to be specified with a shall already in R99 since UEs not behaving like specified can cause significant

disturbance to networks due to excessive interference.

Consequences if not approved: ⌘ If the CR is not approved, FDD UEs may wait until the next scheduled occurrence of SIB7 until a RACH transmission is performed. This would lead to significant delays for RACH transmissions and signaling procedures may timeout. TDD UEs may wait until the next scheduled occurrence of SIB14 until a RACH, DCH or USCH transmission is performed. This would lead to significant delays for RACH, DCH, and USCH transmissions and signaling procedures may timeout.

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Y	N
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Other comments: ⌘

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8.1.1.7.4 Actions upon expiry of a system information expiry timer

When the expiry timer of a system information block not using a value tag expires the UE shall:

- 1> consider the content of the system information block invalid;
- 1> re-acquire the system information block again before the content can be used;

~~the UE may:~~

in FDD for system information blocks other than system information block type 7, or in states other than CELL_FACH, or in TDD for system information blocks other than system information block type 14, or in states other than CELL_FACH or CELL_DCH the UE may:

- 1> postpone reading the system information block until the content is needed.

In FDD for system information block type 7, while in state CELL_FACH, and in TDD for system information block type 14, while in state CELL_FACH or CELL_DCH the UE should always keep an up to date version of the relevant IEs, unless this is not possible because system information can not be received due to bad radio conditions.

8.5.7 Open loop power control

For FDD and prior to PRACH or PCPCH transmission the UE shall:

1> acquire valid versions of the necessary System Information IEs as follows:

2> if the UE has stored valid versions of the IEs "Primary CPICH Tx power" and "Constant value":

3> use the stored content of the IEs;

2> otherwise:

3> read and store the IE "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~1> read the IEs "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast) and the IE "UL interference" in System Information Block type 7;~~

2> if the UE has a valid version of the IE "UL interference" stored:

3> use the stored content of the IE "UL interference".

2> otherwise:

3> read and store the IE "UL interference" in System Information Block type 7;

3> if the UE fails to read the IE "UL interference" in System Information Block type 7 due to bad radio conditions, the UE shall use the last stored IE "UL interference".

1> measure the value for the CPICH_RSCP;

1> calculate the power for the first preamble as:

Preamble_Initial_Power = Primary CPICH TX power – CPICH_RSCP + UL interference + Constant Value

Where,

Primary CPICH TX power shall have the value of IE "Primary CPICH Tx power",

UL interference shall have the value of IE "UL interference"; and

Constant Value shall have the value of IE "Constant value".

1> as long as the physical layer is configured for PRACH or PCPCH transmission:

2> continuously recalculate the Preamble_Initial_Power when any of the broadcast parameters used in the above formula changes; and

2> resubmit to the physical layer the new calculated Preamble_Initial_Power.

For 3.84 Mcps TDD the UE shall:

1> if in the IE "Uplink DPCH Power Control info" the "CHOICE UL OL PC info" has the value "Broadcast UL OL PC info":

2> prior to DPCH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "DPCH Constant value":

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "DPCH Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~3> acquire Reference Power, Constant Values from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), and I_{BTS} for all active UL timeslots from System Information Block type 14 on the BCH.~~

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> otherwise:

2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from the IE "Uplink DPCH Power Control info".

1> for PUSCH and PRACH power control:

2> prior to PUSCH or PRACH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions:

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5) and System Information Block type 14 on the BCH.~~

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> calculate the UL transmit power according to the following formula for the PRACH continuously while the physical channel is active:

$$P_{PRACH} = L_{PCCPCH} + I_{BTS} + PRACH \text{ Constant value,}$$

2> 3dB shall be added to RACH Constant Value in the above equation for the case where RACH Spreading Factor = 8.

1> calculate the UL transmit power according to the following formula for the DPCH continuously while the physical channel is active:

$$P_{DPCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + DPCH \text{ Constant value}$$

1> calculate the UL transmit power according to the following formula for the PUSCH continuously while the physical channel is active:

$$P_{PUSCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + PUSCH \text{ Constant value}$$

Where, for all the above equations for TDD the following apply:

- P_{PRACH} , P_{DPCH} , & P_{PUSCH} : Transmitter power level in dBm;
- Pathloss values:
 - L_{PCCPCH} : Measurement representing path loss in dB based on beacon channels (the reference transmit power is signalled as the value of the IE "Primary CCPCH Tx Power" on BCH in System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), or individually signalled in the IE "Uplink DPCH Power Control info").
 - L_0 : Long term average of path loss in dB;
 - If the midamble is used in the evaluation of L_{PCCPCH} and L_0 , and the Tx diversity scheme used for the P-CCPCH involves the transmission of different midambles from the diversity antennas, the received power of the different midambles from the different antennas shall be combined prior to evaluation of the variables.
- I_{BTS} : Interference signal power level at cell's receiver in dBm. I_{BTS} shall have the value of the IE "UL Timeslot Interference" (IE "UL Timeslot Interference" is broadcast on BCH in System Information Block type 14 or individually signalled to each UE in the IE "Uplink DPCH Power Control info" for each active uplink timeslot).
- α : α is a weighting parameter, which represents the quality of path loss measurements. α may be a function of the time delay between the uplink time slot and the most recent down link PCCPCH time slot. α is calculated at the UE. α shall be smaller or equal to the value of the IE "Alpha". If the IE "Alpha" is not

explicitly signalled to the UE α shall be set to 1. If UE is capable of estimating its position by using the OTDOA IPDL method, the UE shall use the IPDL- α parameter.

- SIR_{TARGET} : Target SNR in dB. This value is individually signalled to UEs in IE "UL target SIR" in IE "Uplink DPCH Power Control Info" or in IE "PUSCH Power Control Info" respectively.
- PRACH Constant value: PRACH Constant value shall have the value of the IE "PRACH Constant value".
- DPCH Constant value: DPCH Constant value shall have the value of the IE "DPCH Constant value".
- PUSCH Constant value: PUSCH Constant value shall have the value of the IE "PUSCH Constant value".
- Values received by dedicated signalling shall take precedence over broadcast values.
- If IPDLs are applied, the UE may increase UL Tx power by the value given in the IE "Max power increase". This power increase is only allowed in the slots between an idle slot and the next beacon slot.

For 1.28 Mcps TDD the UE shall:

1> calculate the UL transmit power according to the following formula for each UpPCH code transmission:

$$P_{UpPCH} = L_{PCCPCH} + PRX_{UpPCHdes} + (i-1) * P_{wr_{ramp}}$$

NOTE: When i equals 1, the initial signature power "Signature_Initial_Power" defined in [33] corresponds to P_{UpPCH} with i set to 1.

1> calculate the UL transmit power according to the following formula for each PRACH transmission:

$$P_{PRACH} = L_{PCCPCH} + PRX_{PRACHdes} + (i_{UpPCH}-1) * P_{wr_{ramp}}$$

1> calculate the initial UL transmit power according to the following formula for the PUSCH. Once the UE receives TPC bits relating to the PUSCH then it transitions to closed loop power control. If successive PUSCH resource allocations are contiguous then no return is made to open loop power control at the beginning of the succeeding resource allocation.

$$P_{USCH} = PRX_{PUSCHdes} + L_{PCCPCH}$$

1> calculate the initial UL transmit power according to the following formula for the DPCH. Once the UE receives TPC bits relating to the uplink DPCH then it transitions to closed loop power control.

$$P_{DPCH} = PRX_{DPCHdes} + L_{PCCPCH}$$

Where:

- P_{UpPCH} , P_{PRACH} , P_{DPCH} , & P_{USCH} : Transmitter power level in dBm.
- L_{PCCPCH} : Measurement representing path loss in dB (reference transmit power "Primary CCPCH Tx Power" is broadcast on BCH in System Information Block type 5 and System Information Block type 6, or individually signalled to each UE in the IE "Uplink DPCH Power Control info").
- i is the number of transmission attempts on UpPCH, $i=1 \dots M_{max}$.
- i_{UpPCH} is the final value of i .
- $PRX_{PRACHdes}$: Desired PRACH RX power at the cell's receiver in dBm signalled to the UE by the network in the FPACH response to the UE's successful SYNC_UL transmission.
- $PRX_{UpPCHdes}$: Desired UpPCH RX power at the cell's receiver in dBm. The value is broadcast in "PRX_{UpPCHdes}" in IE "SYNC_UL info" on BCH and shall be read on System Information Block type 5 and System Information Block type 6. It can also be signalled directly to the UE in a protocol message triggering a hard handover.
- $PRX_{PUSCHdes}$: Desired PUSCH RX power at the cell's receiver in dBm signalled to the UE in IE "PUSCH Power Control Info".

- $P_{RX_{PDPCH_{des}}}$: Desired PDPCH RX power at the cell's receiver in dBm signalled to the UE in IE "Uplink DPCCH Power Control Info".
- $P_{wr_{ramp}}$: The UE shall increase its transmission power by the value of the IE "Power Ramp step" by every UpPCH transmission.

3GPP TSG-RAN2 Meeting #39
San Diego, USA, 17th-21st November 2003

Tdoc #R2-032662

CR-Form-v7
CHANGE REQUEST
25.331 CR 2127 # rev 1 # Current version: 5.6.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# SIB 7 reading		
Source:	# RAN WG2		
Work item code:	# TEI5	Date:	# November 2003
Category:	# F	Release:	# Rel-5
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# The current specification states that the UE may wait with SIB7 and SIB14 reading until the content is needed. In FDD CELL_FACH, such a behaviour will lead to very long delays when sending messages on RACH. In TDD CELL_FACH or Cell DCH, such a behaviour will lead to very long delays when sending messages on RACH, DCH and USCH. Typical repetition time of SIB 7 & SIB14 is in the order of 160-320 ms (e.g. in 34.108 SIB7 is scheduled every 160 ms as default). The current specification is also unclear regarding if the UE need to read the content in FDD for SIB7 prior to RACH transmission and in TDD for SIB14 prior to RACH, DCH or USCH transmission, also when there is a valid SIB7 or SIB14 IE stored. Potentially, a UE that has a large SDU to transmit that requires several RLC PDUs, may wait for SIB7 to be received for each PDU. If the SDU requires e.g. 5 RLC PDUs (e.g. an IP packet of 200 octets), this would imply a transmission time of around 850 ms instead of 50 ms assuming a 10 ms TTI.
Summary of change:	# 1) It is specified for FDD that while in CELL_FACH, the UE shall always keep an up to date version of SIB7. It is specified for TDD that while in CELL_FACH or CELL_DCH, the UE shall always keep an up to date version of SIB14. 2) It is specified for FDD that when performing a RACH transmission, the UE should read SIB7 only if there is no valid SIB7 information stored. It is specified for TDD that when performing a RACH, DCH or USCH transmission, the UE should read SIB14 only if there is no valid SIB14 information stored. It is proposed to specify the first change with a should in R99/Rel-4 and a shall in later releases. The second change is proposed to be specified with a shall already in R99 since UEs not behaving like specified can cause significant

disturbance to networks due to excessive interference.

Consequences if not approved: ☼ If the CR is not approved, FDD UEs may wait until the next scheduled occurrence of SIB7 until a RACH transmission is performed. This would lead to significant delays for RACH transmissions and signaling procedures may timeout. TDD UEs may wait until the next scheduled occurrence of SIB14 until a RACH, DCH or USCH transmission is performed. This would lead to significant delays for RACH, DCH, and USCH transmissions and signaling procedures may timeout.

Impact analysis:

Impacted functionality: The CR has isolated impact. Only the SIB7 and SIB14 reading is affected.

Correction type: Clarification of a function where the specification is incomplete, ambiguous and/ or inconsistent.

Interoperability:

- Isolated impact: the impact is isolated; only the corrected functionality is affected
- CR implemented only by UTRAN: No UTRAN impact
- CR implemented only by the UE: No interoperability issues foreseen.

Clauses affected: ☼ 8.1.1.7.4, 8.5.7

Y	N
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Other specs affected: ☼ Other core specifications ☼
 ☼ Test specifications
 ☼ O&M Specifications

Other comments: ☼

How to create CRs using this form:

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- 1) Fill out the above form. The symbols above marked ☼ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.7.4 Actions upon expiry of a system information expiry timer

When the expiry timer of a system information block not using a value tag expires the UE shall:

- 1> consider the content of the system information block invalid;
- 1> re-acquire the system information block again before the content can be used;

~~the UE may:~~

in FDD for system information blocks other than system information block type 7, or in states other than CELL_FACH, or in TDD for system information blocks other than system information block type 14, or in states other than CELL_FACH or CELL_DCH the UE may:

- 1> postpone reading the system information block until the content is needed.

In FDD for system information block type 7, while in state CELL_FACH, and in TDD for system information block type 14, while in state CELL_FACH or CELL_DCH the UE shall always keep an up to date version of the relevant IEs, unless this is not possible because system information can not be received due to bad radio conditions.

8.5.7 Open loop power control

For FDD and prior to PRACH or PCPCH transmission the UE shall:

1> acquire valid versions of the necessary System Information IEs as follows:

2> if the UE has stored valid versions of the IEs "Primary CPICH Tx power" and "Constant value":

3> use the stored content of the IEs;

2> otherwise:

3> read and store the IE "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~1> read the IEs "Primary CPICH Tx power" and "Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast) and the IE "UL interference" in System Information Block type 7;~~

2> if the UE has a valid version of the IE "UL interference" stored:

3> use the stored content of the IE "UL interference".

2> otherwise:

3> read and store the IE "UL interference" in System Information Block type 7;

3> if the UE fails to read the IE "UL interference" in System Information Block type 7 due to bad radio conditions, the UE shall use the last stored IE "UL interference".

1> measure the value for the CPICH_RSCP;

1> calculate the power for the first preamble as:

Preamble_Initial_Power = Primary CPICH TX power – CPICH_RSCP + UL interference + Constant Value

Where,

Primary CPICH TX power shall have the value of IE "Primary CPICH Tx power",

UL interference shall have the value of IE "UL interference"; and

Constant Value shall have the value of IE "Constant value".

1> as long as the physical layer is configured for PRACH or PCPCH transmission:

2> continuously recalculate the Preamble_Initial_Power when any of the broadcast parameters used in the above formula changes; and

2> resubmit to the physical layer the new calculated Preamble_Initial_Power.

For 3.84 Mcps TDD the UE shall:

1> if in the IE "Uplink DPCH Power Control info" the "CHOICE UL OL PC info" has the value "Broadcast UL OL PC info":

2> prior to DPCH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "DPCH Constant value":

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "DPCH Constant value" in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~3> acquire Reference Power, Constant Values from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), and I_{BTS} for all active UL timeslots from System Information Block type 14 on the BCH.~~

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

1> otherwise:

2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from the IE "Uplink DPCH Power Control info".

1> for PUSCH, PRACH and HS-SICH power control:

2> prior to PUSCH or PRACH transmission the UE shall:

3> acquire valid versions of the necessary System Information IEs as follows:

4> if the UE has stored valid versions of the IEs "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions:

5> use the stored content of the IEs:

4> otherwise:

5> read and store the IE "Primary CCPCH Tx power" and "PUSCH Constant value" for PUSCH transmissions or "PRACH Constant value" for PRACH transmissions in System Information Block type 6 (or System Information Block type 5, if system information block type 6 is not being broadcast)

~~2> acquire Reference Power, Constant Values and I_{BTS} for all active UL timeslots from System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5) and System Information Block type 14 on the BCH.~~

3> if the UE has a valid version of the IE "UL interference" for each active UL timeslot stored:

4> use the stored content of the IE "UL interference" for each active UL timeslot.

3> otherwise:

4> read and store the IE "UL Timeslot Interference" for each active UL timeslot in System Information Block type 14.

4> if the UE fails to read the IE "UL Timeslot Interference" for each active UL time slot in System Information Block type 14 due to bad radio conditions, the UE shall use the last stored IE "UL Timeslot interference" for each active UL timeslot.

calculate the UL transmit power according to the following formula for the PRACH continuously while the physical channel is active:

$$P_{PRACH} = L_{PCCPCH} + I_{BTS} + PRACH \text{ Constant value,}$$

2> 3dB shall be added to RACH Constant Value in the above equation for the case where RACH Spreading Factor = 8.

1> calculate the UL transmit power according to the following formula for the DPCH continuously while the physical channel is active:

$$P_{DPCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + DPCH \text{ Constant value}$$

1> calculate the UL transmit power according to the following formula for the PUSCH continuously while the physical channel is active:

$$P_{PUSCH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + PUSCH \text{ Constant value}$$

1> calculate the initial UL transmit power for HS-SICH according to the following formulae:

2> when transmitting a Negative Acknowledgement:

$$P_{HS-SICH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + HS-SICH \text{ Constant value}$$

2> when transmitting an Acknowledgement:

$$P_{HS-SICH} = \alpha L_{PCCPCH} + (1-\alpha)L_0 + I_{BTS} + SIR_{TARGET} + HS-SICH \text{ Constant value} + Ack_Nack \text{ power offset}$$

Where, for all the above equations for 3.84 Mcps TDD the following apply:

- P_{PRACH} , P_{DPCH} , P_{PUSCH} and $P_{HS-SICH}$: Transmitter power level in dBm;
- Pathloss values:
 - L_{PCCPCH} : Measurement representing path loss in dB based on beacon channels (the reference transmit power is signalled as the value of the IE "Primary CCPCH Tx Power" on BCH in System Information Block type 6 (or System Information Block type 5, according to subclause 8.1.1.6.5), or individually signalled in the IE "Uplink DPCH Power Control info").
 - L_0 : Long term average of path loss in dB;
 - If the midamble is used in the evaluation of L_{PCCPCH} and L_0 , and the Tx diversity scheme used for the P-CCPCH involves the transmission of different midambles from the diversity antennas, the received power of the different midambles from the different antennas shall be combined prior to evaluation of the variables.

- I_{BTS} : Interference signal power level at cell's receiver in dBm. I_{BTS} shall have the value of the IE "UL Timeslot Interference" (IE "UL Timeslot Interference" is broadcast on BCH in System Information Block type 14 or individually signalled to each UE in the IE "Uplink DPCH Power Control info" for each active uplink timeslot).
- α : α is a weighting parameter, which represents the quality of path loss measurements. α may be a function of the time delay between the uplink time slot and the most recent down link PCCPCH time slot. α is calculated at the UE. α shall be smaller or equal to the value of the IE "Alpha". If the IE "Alpha" is not explicitly signalled to the UE α shall be set to 1. If UE is capable of estimating its position by using the OTDOA IPDL method, the UE shall use the IPDL- α parameter.
- SIR_{TARGET} : Target SNR in dB. This value is individually signalled to UEs in IE "UL target SIR" in IE "Uplink DPCH Power Control Info" or in IE "PUSCH Power Control Info" respectively.
- PRACH Constant value: PRACH Constant value shall have the value of the IE "PRACH Constant value".
- DPCH Constant value: DPCH Constant value shall have the value of the IE "DPCH Constant value".
- PUSCH Constant value: PUSCH Constant value shall have the value of the IE "PUSCH Constant value".
- HS-SICH Constant value: HS-SICH Constant value shall have the value of the IE "HS-SICH Constant value".
- Values received by dedicated signalling shall take precedence over broadcast values.
- If IPDLs are applied, the UE may increase UL Tx power by the value given in the IE "Max power increase". This power increase is only allowed in the slots between an idle slot and the next beacon slot.
- Ack-Nack Power Offset: Difference in the desired RX power between HS-SICH transmissions conveying an acknowledgement and transmissions conveying a negative acknowledgement signalled to the UE in IE "HS-SCCH Info".

For 1.28 Mcps TDD the UE shall:

- 1> calculate the UL transmit power according to the following formula for each UpPCH code transmission:

$$P_{UpPCH} = L_{PCCPCH} + PRX_{UpPCHdes} + (i-1) * P_{wr_ramp}$$

NOTE: When i equals 1, the initial signature power "Signature_Initial_Power" defined in [33] corresponds to P_{UpPCH} with i set to 1.

- 1> calculate the UL transmit power according to the following formula for each PRACH transmission:

$$P_{PRACH} = L_{PCCPCH} + PRX_{PRACHdes} + (i_{UpPCH}-1) * P_{wr_ramp}$$

- 1> calculate the initial UL transmit power according to the following formula for the PUSCH. Once the UE receives TPC bits relating to the PUSCH then it transitions to closed loop power control. If successive PUSCH resource allocations are contiguous then no return is made to open loop power control at the beginning of the succeeding resource allocation.

$$P_{USCH} = PRX_{PUSCHdes} + L_{PCCPCH}$$

- 1> calculate the initial UL transmit power for HS-SICH according to the following formulae:

- 2> when transmitting a Negative Acknowledgement;

$$P_{HS-SICH} = PRX_{HS-SICH} + L_{PCCPCH}$$

- 2> when transmitting an Acknowledgement

$$P_{HS-SICH} = PRX_{HS-SICH} + L_{PCCPCH} + \text{Ack-Nack Power Offset}$$

- 2> Once the UE receives TPC bits relating to the HS-SICH, it transitions to closed loop power control. If no TPC command for the HS-SICH is detected between successive HS-SICH transmissions, the UE should revert to open loop power control until the next TPC command is detected.

1> calculate the initial UL transmit power according to the following formula for the DPCH. Once the UE receives TPC bits relating to the uplink DPCH then it transitions to closed loop power control.

$$P_{DPCH} = PRX_{PDPCHdes} + L_{PCCPCH}$$

Where:

- P_{UpPCH} , P_{PRACH} , P_{DPCH} , $P_{HS-SICH}$ & P_{USCH} : Transmitter power level in dBm.
- L_{PCCPCH} : Measurement representing path loss in dB (reference transmit power "Primary CCPCH Tx Power" is broadcast on BCH in System Information Block type 5 and System Information Block type 6, or individually signalled to each UE in the IE "Uplink DPCH Power Control info").
- i is the number of transmission attempts on UpPCH, $i=1 \dots M_{max}$.
- i_{UpPCH} is the final value of i .
- $PRX_{PRACHdes}$: Desired PRACH RX power at the cell's receiver in dBm signalled to the UE by the network in the FPACH response to the UE's successful SYNC_UL transmission.
- $PRX_{UpPCHdes}$: Desired UpPCH RX power at the cell's receiver in dBm. The value is broadcast in "PRX_{UpPCHdes}" in IE "SYNC_UL info" on BCH and shall be read on System Information Block type 5 and System Information Block type 6. It can also be signalled directly to the UE in a protocol message triggering a hard handover.
- $PRX_{PUSCHdes}$: Desired PUSCH RX power at the cell's receiver in dBm signalled to the UE in IE "PUSCH Power Control Info".
- $PRX_{PDPCHdes}$: Desired PDPCH RX power at the cell's receiver in dBm signalled to the UE in IE "Uplink DPCH Power Control Info".
- P_{wramp} : The UE shall increase its transmission power by the value of the IE "Power Ramp step" by every UpPCH transmission.
- $PRX_{HS-SICH}$: Desired HS-SICH RX power at the cell's receiver in dBm signalled to the UE in IE "Downlink HS-PDSCH Information".
- Ack-Nack Power Offset: Difference in the desired RX power between HS-SICH transmissions conveying an acknowledgement and transmissions conveying a negative acknowledgement signalled to the UE in IE "HS-SCCH Info".

CHANGE REQUEST

25.331 CR 2128 # rev # Current version: **3.16.0**

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# HFN initialisation in case of pending security configurations		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# October 2003
Category:	# F	Release:	# R99
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# Different interpretations seem to exist w.r.t. initialisation of the HFN of the SRB2 COUNT-C when, at SRNS relocation, we have a pending new key reconfiguration or a pending domain switch for SRB2. For the case of the pending new keys, either only the new keys are taken into account (no HFN adjustment), or the keys are taken into account and the HFN is adjusted as if the activation time was reached normally (see R2-032156 for more information). Also for the pending domain switch, UE and UTRAN might have a different assumption regarding what COUNT-C initialisation to use (no HFN initialisation, HFN initialisation to latest transmitted START, HFN initialisation to latest transmitted START at the SMC).
Summary of change:	# For the case of pending new keys, it is clarified that the SRB2 COUNT-C's shall be initialised according to 8.1.12.3.1. For the case of a pending domain switch, it is clarified that the SRB2 COUNT-C shall be initialised according to the latest transmitted START value for the concering domain at the time the SMC was received. Isolated impact analysis: This CR only impacts the case of an SRNS relocation with a pending new keys configuration for SRB2 or a pending domain switch. Impact on test specifications: No impact on test specification.

Consequences if not approved:	⌘	When UTRAN and UE have a different interpretation regarding the SRB2 COUNT-C initialisation to be applied, the SRNS relocation will fail in case of pending new keys for SRB2 or a pending domain switch.									
Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3									
Other specs affected:	⌘	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </tbody> </table>	Y	N		X		X		X	Other core specifications ⌘ Test specifications ⌘ O&M Specifications ⌘
Y	N										
	X										
	X										
	X										
Other comments:	⌘										

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent:

2> not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection".

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4];
- 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

- 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":

- 4> use the ciphering configuration in the received message when transmitting the response message.
- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> prohibit periodical status transmission in RLC;
 - 1> remove any C-RNTI from MAC;
 - 1> clear the variable C_RNTI;
 - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
 - 1> select Secondary CCPCH according to subclause 8.5.19;

- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or "URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
 - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
 - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
 - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

- 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
- 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for all UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to " stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
 - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
 - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
 - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 1> if the variable PDCP_SN_INFO is empty; and
- 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
- 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
- 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
- 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 2129 # rev # Current version: **4.11.0**

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# HFN initialisation in case of pending security configurations		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# October 2003
Category:	# A	Release:	# Rel-4
	Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	# Different interpretations seem to exist w.r.t. initialisation of the HFN of the SRB2 COUNT-C when, at SRNS relocation, we have a pending new key reconfiguration or a pending domain switch for SRB2. For the case of the pending new keys, either only the new keys are taken into account (no HFN adjustment), or the keys are taken into account and the HFN is adjusted as if the activation time was reached normally (see R2-032156 for more information). Also for the pending domain switch, UE and UTRAN might have a different assumption regarding what COUNT-C initialisation to use (no HFN initialisation, HFN initialisation to latest transmitted START, HFN initialisation to latest transmitted START at the SMC).
Summary of change:	# For the case of pending new keys, it is clarified that the SRB2 COUNT-C's shall be initialised according to 8.1.12.3.1. For the case of a pending domain switch, it is clarified that the SRB2 COUNT-C shall be initialised according to the latest transmitted START value for the concerning domain at the time the SMC was received. Isolated impact analysis: This CR only impacts the case of an SRNS relocation with a pending new keys configuration for SRB2 or a pending domain switch. Impact on test specifications: No impact on test specification.

Consequences if not approved:	⌘	When UTRAN and UE have a different interpretation regarding the SRB2 COUNT-C initialisation to be applied, the SRNS relocation will fail in case of pending new keys for SRB2 or a pending domain switch.									
Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3									
Other specs affected:	⌘	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </tbody> </table>	Y	N		X		X		X	Other core specifications ⌘ Test specifications ⌘ O&M Specifications ⌘
Y	N										
	X										
	X										
	X										
Other comments:	⌘										

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
- 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":

- 4> use the ciphering configuration in the received message when transmitting the response message.
- 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> prohibit periodical status transmission in RLC;
 - 1> remove any C-RNTI from MAC;
 - 1> clear the variable C_RNTI;
 - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
 - 1> select Secondary CCPCH according to subclause 8.5.19;

- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or "URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
 - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
 - 3> delete the stored TFS;
 - 3> use the TFS given in system information.
- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":
 - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest

transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
 - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
 - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
 - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

- 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to " stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN:-
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
 - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
 - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
 - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
 - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 1> if the variable PDCP_SN_INFO is empty; and
- 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
- 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
- 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
- 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

25.331 CR 2130 # rev # Current version: **5.6.0**

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps# ME Radio Access Network Core Network

Title:	# HFN initialisation in case of pending security configurations		
Source:	# RAN WG2		
Work item code:	# TEI	Date:	# October 2003
Category:	# A	Release:	# Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	# Different interpretations seem to exist w.r.t. initialisation of the HFN of the SRB2 COUNT-C when, at SRNS relocation, we have a pending new key reconfiguration or a pending domain switch for SRB2.
	For the case of the pending new keys, either only the new keys are taken into account (no HFN adjustment), or the keys are taken into account and the HFN is adjusted as if the activation time was reached normally (see R2-032156 for more information).
	Also for the pending domain switch, UE and UTRAN might have a different assumption regarding what COUNT-C initialisation to use (no HFN initialisation, HFN initialisation to latest transmitted START, HFN initialisation to latest transmitted START at the SMC).
Summary of change:	# For the case of pending new keys, it is clarified that the SRB2 COUNT-C's shall be initialised according to 8.1.12.3.1.
	For the case of a pending domain switch, it is clarified that the SRB2 COUNT-C shall be initialised according to the latest transmitted START value for the concerning domain at the time the SMC was received.
	Isolated impact analysis: This CR only impacts the case of an SRNS relocation with a pending new keys configuration for SRB2 or a pending domain switch.
	Impact on test specifications: No impact on test specification.

Consequences if not approved:	⌘	When UTRAN and UE have a different interpretation regarding the SRB2 COUNT-C initialisation to be applied, the SRNS relocation will fail in case of pending new keys for SRB2 or a pending domain switch.									
Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3									
Other specs affected:	⌘	<table border="1"> <thead> <tr> <th>Y</th> <th>N</th> </tr> </thead> <tbody> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </tbody> </table>	Y	N		X		X		X	Other core specifications ⌘ Test specifications O&M Specifications
Y	N										
	X										
	X										
	X										
Other comments:	⌘										

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
 - 2> RADIO BEARER SETUP message; or
 - 2> RADIO BEARER RECONFIGURATION message; or
 - 2> RADIO BEARER RELEASE message; or
 - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
 - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;
- 1> clear any stored HS-PDSCH configuration;
- 1> remove any H-RNTI stored;
- 1> clear the variable H_RNTI;
- 1> set the variable HS_DSCH_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- 1> if "DPCH frame offset" is included for one or more RLS in the active set:
 - 2> use its value to determine the beginning of the DPCH frame in accordance with the following:
 - 3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:
 - 4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).
 - 3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:
 - 4> set the variable INVALID_CONFIGURATION to TRUE.
 - 3> and the procedure ends.
- 2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
- 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:

- 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
 - 1> select PRACH according to subclause 8.5.17;
 - 1> select Secondary CCPCH according to subclause 8.5.19;
 - 1> use the transport format set given in system information;
 - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
 - 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
 - 2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New URNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:

- 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
- 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
- 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);
- 2> increment by one the downlink and uplink HFN values for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

- 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers:
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;

- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:
 - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
 - 2> when the URA update procedure is successfully completed:
 - 3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
 - 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.

- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either:
 - 3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;
 - 2> or:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> proceed as below.
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;

- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED_RECONFIGURATION is set to FALSE:
 - 3> set the variable ORDERED_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":
 - 4> select a suitable UTRA cell according to [4] on that frequency;
 - 4> act as specified in subclause 8.3.1.12.
 - 3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":
 - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- 2> use the transport channel(s) applicable for the physical channel types that is used; and
- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
 - 3> use the TFS given in system information.
- 2> if none of the TFS stored is compatible with the physical channel:
 - 3> delete the stored TFS;
 - 3> use the TFS given in system information.
- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
 - 3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":
 - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest

transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
 - 3> for radio bearers with RB identity 5 and upwards:
 - 4> re-establish the AM RLC entities;
 - 4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":
 - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C_RNTI;
- 1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;
- 2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;
- 2> clear the variable ESTABLISHED_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
 - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
 - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
 - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry.
- 1> in case of a URA update procedure:
 - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
 - 2> clear that entry;
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

- 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys;
[5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
[5> initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
 - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
 - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

- 1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
 - 2> set the variable ORDERED_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP_SN_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
 - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
 - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
 - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
 - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> in case of a cell update procedure:
 - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:
 - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> set the variable CELL_UPDATE_STARTED to FALSE;
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
 - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and
 - 2> for each updated timer value:
 - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
 - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
 - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
 - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".

- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys:
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 as indicated in subclause 8.1.12.3.1.](#)
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN;
 - 5> [initialise the HFN component of the uplink COUNT-C and downlink COUNT-C of SRB2 to the most recently transmitted IE "START list" or IE "START" for the LATEST_CONFIGURED_CN_DOMAIN at the reception of the previous SECURITY MODE COMMAND.](#)
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
 - 2> when RLC has confirmed the successful transmission of the response message:
 - 3> if the variable PDCP_SN_INFO is empty:
 - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue".
 - 3> else:
 - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 4> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "continue".
- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
 - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
 - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP_SN_INFO is empty; and
 - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
 - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
 - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP_SN_INFO is non-empty:
 - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
 - 3> for each radio bearer in the variable PDCP_SN_INFO:
 - 4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":
 - 5> configure the RLC entity for that radio bearer to "continue".
 - 3> clear the variable PDCP_SN_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
 - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
 - 2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and
 - 2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
 - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
 - 2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and
 - 2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.
- 1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

CHANGE REQUEST

⌘ **25.331 CR 2146** ⌘ rev - ⌘ Current version: **3.16.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Additional Measurements List Modify		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ 17/11/2003
Category:	⌘ F	Release:	⌘ R99
Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:	
F (correction)		2 (GSM Phase 2)	
A (corresponds to a correction in an earlier release)		R96 (Release 1996)	
B (addition of feature),		R97 (Release 1997)	
C (functional modification of feature)		R98 (Release 1998)	
D (editorial modification)		R99 (Release 1999)	
Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)	
		Rel-5 (Release 5)	
		Rel-6 (Release 6)	

Reason for change: ⌘ 1. "Additional measurement list" included in Measurement Control "setup":

Currently, section 8.6.7.22 "Additional Measurement list" does not specify that UE shall store this information element, in case the MEASUREMENT CONTROL message contains IE "Measurement command" with the value "setup".

After implementation of CR2052 (R2-031980), this particular case might be interpreted as ending up in "UE behaviour not specified".

2. "Additional measurement list" included in Measurement Control "modify":

The RRC CR 2052 agreed in September 2003 specified the UE behaviour in the following cases:

- when the IE "Additional Measurement List" is included in the Measurement Control message, and no list is already stored in the UE, it was clarified that the UE shall store the list received in the message;
- when the IE "Additional Measurement List" is not included in the Measurement Control message, it was clarified that UE should leave the stored information unchanged.

The case when the IE "Additional Measurement List" is included in the Measurement Control message "modify", and UE has already stored a list, the UE behaviour was left unspecified for R99, R4 and R5 since it was not possible to agree on a solution.

Summary of change: ⌘ 1. Specified that in case that the IE "Additional Measurement List" is included in a Measurement Control (setup or modify) and the UE has no list stored then the UE shall store the received IE "Additional Measurement List" in the variable

MEASUREMENT_IDENTITY

2. Specified that in the case when the IE "Additional Measurement List" is included in the Measurement Control message, and the UE has stored a list, the UE **should** replace the stored list with the list received in the message. **The Rel-5 implementation is different than the Rel-4 implementation (CR) on this subject.**

Impact analysis:

Corrected functionality: Additional measurement list modify

Isolated impact: The CR has isolated impact, only the corrected functionality is affected.

Correction type: Clarification of a function where the specification is incomplete, ambiguous and/ or inconsistent.

Interoperability:

- CR implemented only by UTRAN: UE behaviour is unspecified. Network cannot modify an existing additional measurement list.
- CR implemented only by the UE: No interoperability issues foreseen.

Consequences if not approved:

- ⌘ 1. This particular case might be interpreted as ending up in "UE behaviour not specified" and therefore in the "setup" the network cannot use the additional measurement list.
- 2. With the RRC current specification, if the network wants to modify an existing additional measurement list it has to delete and setup again the parent measurement. It is considered that this workaround has serious drawbacks on the measurement performance of the parent measurement. Let's consider the example when the parent measurement is an intra-frequency measurement. When the parent measurement is deleted it may happen (depending on UE implementation) that all the internal information (like L3 filtering results, status of a cell, e.g. synchronized, recently measured/not measured) related to the measured cells is deleted also. If we consider that for intra-frequency measurements we may easily have 32 cells in the CELL_INFO_LIST it may take quite a long time to get back all this information if the (parent) measurement is deleted and set up again. The same kind of reasoning may apply also for TVM where some time is needed to get reliable measurement results due to averaging aspects.

Clauses affected:

⌘ 8.6.7.22

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications ⌘
Test specifications
O&M Specifications

Other comments:

⌘

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE should assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
- 1> ~~if the IE "Measurement command" has the value "modify", and if~~ the UE has no IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in the variable MEASUREMENT_IDENTITY.⚡

~~1> otherwise:~~

~~2> the UE behaviour is not specified.~~

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message with the IE "Measurement command" value set to "modify", and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE should:

- 1> replace the information stored in "Additional Measurement List" in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message.

If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify" and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE should:

- 1> leave the IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE should remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall also include the reporting quantities for the measurements referenced by the additional measurement identities. The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

CHANGE REQUEST

⌘ **25.331 CR 2147** ⌘ rev - ⌘ Current version: **4.11.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Additional Measurements List Modify		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ 17/11/2003
Category:	⌘ A	Release:	⌘ Rel-4
Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:	
F (correction)		2 (GSM Phase 2)	
A (corresponds to a correction in an earlier release)		R96 (Release 1996)	
B (addition of feature),		R97 (Release 1997)	
C (functional modification of feature)		R98 (Release 1998)	
D (editorial modification)		R99 (Release 1999)	
Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)	
		Rel-5 (Release 5)	
		Rel-6 (Release 6)	

Reason for change: ⌘ 1. "Additional measurement list" included in Measurement Control "setup":

Currently, section 8.6.7.22 "Additional Measurement list" does not specify that UE shall store this information element, in case the MEASUREMENT CONTROL message contains IE "Measurement command" with the value "setup".

After implementation of CR2052 (R2-031980), this particular case might be interpreted as ending up in "UE behaviour not specified".

2. "Additional measurement list" included in Measurement Control "modify":

The RRC CR 2052 agreed in September 2003 specified the UE behaviour in the following cases:

- when the IE "Additional Measurement List" is included in the Measurement Control message, and no list is already stored in the UE, it was clarified that the UE shall store the list received in the message;
- when the IE "Additional Measurement List" is not included in the Measurement Control message, it was clarified that UE should leave the stored information unchanged.

The case when the IE "Additional Measurement List" is included in the Measurement Control message "modify", and UE has already stored a list, the UE behaviour was left unspecified for R99, R4 and R5 since it was not possible to agree on a solution.

Summary of change: ⌘ 1. Specified that in case that the IE "Additional Measurement List" is included in a Measurement Control (setup or modify) and the UE has no list stored then the UE shall store the received IE "Additional Measurement List" in the variable

MEASUREMENT_IDENTITY

2. Specified that in the case when the IE "Additional Measurement List" is included in the Measurement Control message, and the UE has stored a list, the UE **should** replace the stored list with the list received in the message. **The Rel-4 implementation is different than the Rel-5 implementation (CR) on this subject.**

Consequences if not approved:

- ⌘ 1. This particular case might be interpreted as ending up in "UE behaviour not specified" and therefore in the "setup" the network cannot use the additional measurement list.
- 2. With the RRC current specification, if the network wants to modify an existing additional measurement list it has to delete and setup again the parent measurement. It is considered that this workaround has serious drawbacks on the measurement performance of the parent measurement. Let's consider the example when the parent measurement is an intra-frequency measurement. When the parent measurement is deleted it may happen (depending on UE implementation) that all the internal information (like L3 filtering results, status of a cell, e.g. synchronized, recently measured/not measured) related to the measured cells is deleted also. If we consider that for intra-frequency measurements we may easily have 32 cells in the CELL_INFO_LIST it may take quite a long time to get back all this information if the (parent) measurement is deleted and set up again. The same kind of reasoning may apply also for TVM where some time is needed to get reliable measurement results due to averaging aspects.

Clauses affected:

⌘ 8.6.7.22

Other specs affected:

Y	N		⌘
	X	Other core specifications	
	X	Test specifications	
	X	O&M Specifications	

Other comments:

⌘

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
- 1> ~~if the IE "Measurement command" has the value "modify", and if~~ the UE has no IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in the variable MEASUREMENT_IDENTITY.

~~1> otherwise:~~

~~2> the UE behaviour is not specified.~~

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message with the IE "Measurement command" value set to "modify", and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE should:

- 1> replace the information stored in "Additional Measurement List" in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message.

If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify" and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE shall:

- 1> leave the IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE shall:

- 1> remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall:

1> also include the reporting quantities for the measurements referenced by the additional measurement identities.
The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

CHANGE REQUEST

⌘ **25.331 CR 2148** ⌘ rev **-** ⌘ Current version: **5.6.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Additional Measurements List Modify		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI5	Date:	⌘ 17/11/2003
Category:	⌘ F	Release:	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change: ⌘ **1. "Additional measurement list" included in Measurement Control "setup":**

Currently, section 8.6.7.22 "Additional Measurement list" does not specify that UE shall store this information element, in case the MEASUREMENT CONTROL message contains IE "Measurement command" with the value "setup".

After implementation of CR2052 (R2-031980), this particular case might be interpreted as ending up in "UE behaviour not specified".

2. "Additional measurement list" included in Measurement Control "modify":

The RRC CR 2052 agreed in September 2003 specified the UE behaviour in the following cases:

- when the IE "Additional Measurement List" is included in the Measurement Control message, and no list is already stored in the UE, it was clarified that the UE shall store the list received in the message;
- when the IE "Additional Measurement List" is not included in the Measurement Control message, it was clarified that UE shall leave the stored information unchanged.

The case when the IE "Additional Measurement List" is included in the Measurement Control message "modify", and UE has already stored a list, the UE behaviour was left unspecified for R99, R4 and R5 since it was not possible to agree on a solution.

Summary of change: ⌘ 1. Specified that in case that the IE "Additional Measurement List" is included in a Measurement Control (setup or modify) and the UE has no list stored then the UE shall store the received IE "Additional Measurement List" in the variable

MEASUREMENT_IDENTITY

2. Specified that in the case when the IE "Additional Measurement List" is included in the Measurement Control message, and the UE has stored a list, the UE shall replace the stored list with the list received in the message. **The Rel-5 implementation is different than the Rel-4 implementation (CR) on this subject.**

Consequences if not approved:

- ⌘ 1. This particular case might be interpreted as ending up in "UE behaviour not specified" and therefore in the "setup" the network cannot use the additional measurement list.
- 2. With the RRC current specification, if the network wants to modify an existing additional measurement list it has to delete and setup again the parent measurement. It is considered that this workaround has serious drawbacks on the measurement performance of the parent measurement. Let's consider the example when the parent measurement is an intra-frequency measurement. When the parent measurement is deleted it may happen (depending on UE implementation) that all the internal information (like L3 filtering results, status of a cell, e.g. synchronized, recently measured/not measured) related to the measured cells is deleted also. If we consider that for intra-frequency measurements we may easily have 32 cells in the CELL_INFO_LIST it may take quite a long time to get back all this information if the (parent) measurement is deleted and set up again. The same kind of reasoning may apply also for TVM where some time is needed to get reliable measurement results due to averaging aspects.

Clauses affected:

⌘ 8.6.7.22

Other specs affected:

Y	N
<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input checked="" type="checkbox"/>
<input type="checkbox"/>	<input checked="" type="checkbox"/>

Other core specifications
Test specifications
O&M Specifications

⌘

Other comments:

⌘

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8.6.7.22 Additional Measurement List

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message, the UE shall:

- 1> if the received measurement configuration in this MEASUREMENT CONTROL message, or any measurement referenced in the "Additional Measurement List" do not all have the same validity (for this consistency check the UE shall assume "CELL_DCH" as the measurement validity for measurements of type "inter-RAT", "UE internal", and "quality"):
 - 2> set the variable CONFIGURATION_INCOMPLETE to TRUE.
- 1> if any of the measurements referenced in the "Additional Measurement List" is an intra-frequency, inter-frequency or inter-RAT measurement, and this measurement is configured with event based reporting:
 - 2> the UE behaviour is not specified.
- 1> if any of the "intra-frequency", "inter-frequency", "traffic volume" or "UE positioning" measurements referenced in the "Additional Measurement List" has been setup without including the IE "measurement validity":
 - 2> the UE behaviour is not specified.
- 1> if the result of this MEASUREMENT CONTROL message is such that more than one additional measurement of the same type will be referenced in the IE "Additional Measurement List" in the MEASUREMENT_IDENTITY variable:
 - 2> the UE behaviour is not specified.
- 1> ~~if the IE "Measurement command" has the value "modify", and if~~ the UE has no IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity":
 - 2> store the received IE "Additional Measurement List" in the variable MEASUREMENT_IDENTITY.

~~1> otherwise:~~

~~2> the UE behaviour is not specified.~~

If the IE "Additional Measurement List" is received in a MEASUREMENT CONTROL message with the IE "Measurement command" value set to "modify", and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE shall:

- 1> replace the information stored in "Additional Measurement List" in variable MEASUREMENT_IDENTITY associated to the identity indicated by the IE "measurement identity" with the one received in the MEASUREMENT CONTROL message.

If the IE "Additional Measurement List" is not received in a MEASUREMENT CONTROL message and the IE "Measurement command" has the value "modify" and the UE has an IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity", the UE shall:

- 1> leave the IE "Additional Measurement List" stored in the variable MEASUREMENT_IDENTITY associated with the identity indicated by the IE "measurement identity" unchanged.

If, at any time during the life-time of a measurement, any measurement referenced in the Additional Measurement List does not exist, the UE shall:

- 1> remove this measurement identity from the Additional Measurement List.

NOTE: A measurement referenced in the Additional Measurement List which is updated with a measurement command set to "modify", or replaced with a measurement command set to "setup", continues to exist.

If the measurement configured with the MEASUREMENT CONTROL message triggers a measurement report, the UE shall:

1> also include the reporting quantities for the measurements referenced by the additional measurement identities.
The contents of the IE "Additional Measured results" are completely determined by the measurement configuration of the referenced additional measurement.

CHANGE REQUEST

⌘ **25.331 CR 2150** ⌘ rev **1** ⌘ Current version: **3.16.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Minimum UE capability class				
Source:	⌘ RAN WG2				
Work item code:	⌘ TEI	Date:	⌘ Nov 2003		
Category:	⌘ F	Release:	⌘ R99		
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:		
	F (correction)		2 (GSM Phase 2)		
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)		
	B (addition of feature),		R97 (Release 1997)		
	C (functional modification of feature)		R98 (Release 1998)		
	D (editorial modification)		R99 (Release 1999)		
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)		
			Rel-5 (Release 5)		
			Rel-6 (Release 6)		

Reason for change:	⌘ A minimum radio access capability parameter combination is not defined.
Summary of change:	⌘ The radio access parameter values for a new reference combination called 12kbps class UE are added for FDD. It is defined that this 12kbps class UE is the minimum UE.
	1. The capability values that exist in signalling but is below the capability of the 12 kbps class UE will never be used and are removed from the signalling.
	2. A sentence is added to make sure that a UE with the lowest possible UE capability still should perform in a predictable manner in NWs utilising a high bitrate common channel configuration. For 12 kbps class Ues it is a shall in R'99 and onwards. For other Ues there is only a shall in Rel-5.
	Impact analysis: UEs with capabilities lower than for 32kbps UE class are affected. UEs that do not implement the CR may be unable to support RAB combinations e.g. on common channels, like SCCPCH combinations. Specifically they can fail to read the FACH. For these Ues there are examples in 34.108 that will not be supported. UEs with capabilities equal to or higher than the 32kbps class are also affected in Rel-5. If these Ues do not implement the CR they may be unable to support RAB combinations other than existing 34.108 common channels configurations, if these require higher capabilities than the 32kbps class.
	The CR has no impact on UTRAN.

Consequences if not approved:	⌘	There is no definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.										
Clauses affected:	⌘	8.1.1.6.5, 8.1.1.6.6, 8.5.19, 10.3.3.25, 10.3.3.34, 10.3.3.40, 11.3										
Other specs affected:	⌘	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ 25.306
		Y	N									
		X										
	X											
	X											
		Test specifications										
		O&M Specifications										
Other comments:	⌘											

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.5 System Information Block type 5

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in connected mode, and System Information Block type 6 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 6.
- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink for the PRACH if UE is in CELL_FACH state;
- 1> use the first instance of the list of transport formats as in the IE "RACH TFS" for the used RACH received in the IE "PRACH system information list" when using the CCCH;
- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" (FDD only) when given allocated PRACH is used;
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to monitor its paging occasions on the selected PICH if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if UE is in CELL_FACH state;
- 1> in TDD:
 - 2> use the IE "TDD open loop power control" as defined in subclause 8.5.7 when allocated PRACH is used;
 - 2> if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included:
 - 3> store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

8.1.1.6.6 System Information Block type 6

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink if UE is in CELL_FACH state. If the IE "PRACH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information to configure the PRACH;

- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" when associated PRACH is used. If the IE "AICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information (FDD only);
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if the UE is in CELL_PCH or URA_PCH state. If the IE "PICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information;
- 1> start to monitor its paging occasions on the selected PICH if the UE is in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if the UE is in CELL_FACH state. If the IE "Secondary CCPCH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information;
- 1> in TDD: use the IE "TDD open loop power control" as defined in subclause 8.5.7;
- 1> in TDD: if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included, store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

8.5.19 Secondary CCPCH selection

In UTRAN Connected mode, the UE shall select the Secondary CCPCH according to the following rules:

- 1> in Cell_DCH state:
 - 2> select Secondary CCPCH according to subclause 8.6.6.4.
- 1> in Cell_FACH state:
 - 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a FACH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6.

NOTE 1: An SCCPCH carries a FACH if the size of the "FACH/PCH information" list within the IE "Secondary CCPCH system information" exceeds 1 or if the size of this list equals 1 while IE "Secondary CCPCH system information" does not contain an IE "PICH info".

- 2> otherwise:
 - 3> compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that carry a FACH, in the order of appearance in System Information Block type 5.
 - 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

1> in Cell_PCH and URA_PCH states:

- 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a PCH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6

NOTE 2: An SCCPCH carries a PCH if the IE "Secondary CCPCH system information" contains IE "PICH info"

- 2> otherwise compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that that carry a PCH , in the order of appearance in System Information Block type 5
- 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

The UE shall set the CFN in relation to the SFN of the current cell according to subclause 8.5.15.

The UE shall ~~support reception decode of~~ all transport formats on all FACHs multiplexed on the selected S-CCPCH according to its UE capability, as defined in section 8.1.1.6.5 and 8.1.1.6.6, to find blocks addressed to the UE.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink physical channel capability information elements				
FDD downlink physical channel capability	CH- <i>fdd_req_su</i> <i>p</i>			
>Max no DPCH/PDSCH codes	MP		Integer (1..8)	Maximum number of DPCH/PDSCH codes to be simultaneously received
>Max no physical channel bits received	MP		Integer (600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)
>Support for SF 512	MP		Boolean	TRUE means supported
>Support of PDSCH	MP		Boolean	TRUE means supported
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported
>Simultaneous reception of SCCPCH, DPCH and PDSCH	CV- <i>if_sim_rec</i> <i>_pdsch</i> <i>_sup</i>		Boolean	TRUE means supported
>Max no of S-CCPCH RL	CV- <i>if_sim_rec</i>		Integer(1)	Maximum number of simultaneous S-CCPCH radio links
>Support of dedicated pilots for channel estimation	MD		Enumerated (true)	Presence of this element means supported and absence not supported. Note 1.
TDD downlink physical channel capability	CH- <i>tdd_req_su</i>			

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
	<i>p</i>			
>Maximum number of timeslots per frame	MP		Integer (1..14)	
>Maximum number of physical channels per frame	MP		Integer (45 ..224)	
>Minimum SF	MP		Integer (1, 16)	
>Support of PDSCH	MP		Boolean	TRUE means supported
>Maximum number of physical channels per timeslot	MP		Integer (45 ..16)	
Uplink physical channel capability information elements				
FDD uplink physical channel capability	CH- <i>fdd_req_su</i> <i>p</i>			
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600)	
>Support of PCPCH	MP		Boolean	TRUE means supported
TDD uplink physical channel capability	CH- <i>tdd_req_su</i> <i>p</i>			
>Maximum Number of timeslots per frame	MP		Integer (1..14)	
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)	
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)	
>Support of PUSCH	MP		Boolean	TRUE means supported

Condition	Explanation
<i>if_sim_rec_pdsch_sup</i>	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.
<i>if_sim_rec</i>	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
<i>tdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
<i>fdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

NOTE 1: These performance requirements are defined in Release 5.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2 ,10,50,100,150,500,1000)	Total receiving and transmitting RLC AM buffer capability in kBytes. One spare value is needed.
Maximum RLC AM Window Size	MP		Integer(2047,4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3 ,4,5,6,8,16,30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- <i>turbo_dec_sup</i>		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH	MP		Integer (1..8)	
Max no of received transport blocks	MP		Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo decoding	MP		Boolean	TRUE means supported
Uplink transport channel capability information				

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- <i>turbo_enc_sup</i>		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2 -4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- <i>tdd_req_sup</i>		Integer (1..8)	
Max no of transmitted transport blocks	MP		Integer(2 -4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4 -8, 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
<i>turbo_dec_sup</i>	The IE is mandatory present if the IE "Support of turbo decoding" = True. Otherwise this field is not needed in the message.
<i>turbo_enc_sup</i>	The IE is mandatory present if the IE "Support of turbo encoding" = True. Otherwise this field is not needed in the message.
<i>tdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

11.3 Information element definitions

[...]	
RLC-Capability ::=	SEQUENCE {
totalRLC-AM-BufferSize	TotalRLC-AM-BufferSize,
maximumRLC-WindowSize	MaximumRLC-WindowSize,
maximumAM-EntityNumber	MaximumAM-EntityNumberRLC-Cap
}	
[...]	
TotalRLC-AM-BufferSize ::=	ENUMERATED {
	tb2 dummy, kb10, kb50, kb100,
	kb150, kb500, kb1000, spare }
[...]	
MaximumAM-EntityNumberRLC-Cap ::=	ENUMERATED {
	am2 dummy, am4, am5, am6,
	am8, am16, am30 }
[...]	
DL-TransChCapability ::=	SEQUENCE {
maxNoBitsReceived	MaxNoBits,
maxConvCodeBitsReceived	MaxNoBits,
turboDecodingSupport	TurboSupport,
maxSimultaneousTransChs	MaxSimultaneousTransChsDL,
maxSimultaneousCCTrCH-Count	MaxSimultaneousCCTrCH-Count,
maxReceivedTransportBlocks	MaxTransportBlocksDL,
maxNumberOfTFC	MaxNumberOfTFC-DL,
maxNumberOfTF	MaxNumberOfTF
}	
[...]	
MaxNoBits ::=	ENUMERATED {
	b640, b1280, b2560, b3840, b5120,
	b6400, b7680, b8960, b10240,
	b20480, b40960, b81920, b163840 }
[...]	
MaxSimultaneousTransChsDL ::=	ENUMERATED {
	e4, e8, e16, e32 }
[...]	
MaxTransportBlocksDL ::=	ENUMERATED {
	tb4, tb8, tb16, tb32, tb48,
	tb64, tb96, tb128, tb256, tb512 }
[...]	
MaxNumberOfTFC-DL ::=	ENUMERATED {
	tfc16, tfc32, tfc48, tfc64, tfc96,
	tfc128, tfc256, tfc512, tfc1024 }
[...]	
TurboSupport ::=	CHOICE {
notSupported	NULL,
supported	MaxNoBits
[...]	
UL-TransChCapability ::=	SEQUENCE {
maxNoBitsTransmitted	MaxNoBits,
maxConvCodeBitsTransmitted	MaxNoBits,
turboEncodingSupport	TurboSupport,
maxSimultaneousTransChs	MaxSimultaneousTransChsUL,
modeSpecificInfo	CHOICE {
fdd	NULL,
tdd	SEQUENCE {
maxSimultaneousCCTrCH-Count	MaxSimultaneousCCTrCH-Count
}	
},	
maxTransmittedBlocks	MaxTransportBlocksUL,
maxNumberOfTFC	MaxNumberOfTFC-UL,
maxNumberOfTF	MaxNumberOfTF
}	
[...]	
MaxSimultaneousTransChsUL ::=	ENUMERATED {
	e2 dummy, e4, e8, e16, e32 }
[...]	
MaxTransportBlocksUL ::=	ENUMERATED {
	tb2 dummy, tb4, tb8, tb16, tb32, tb48,
	tb64, tb96, tb128, tb256, tb512 }
[...]	
MaxNumberOfTFC-UL ::=	ENUMERATED {
	tfc4 dummy1, tfc8 dummy2, tfc16, tfc32, tfc48, tfc64,
	tfc96, tfc128, tfc256, tfc512, tfc1024 }

```

[...]
DL-PhysChCapabilityFDD ::=          SEQUENCE {
    maxNoDPCH-PDSCH-Codes           INTEGER (1..8),
    maxNoPhysChBitsReceived         MaxNoPhysChBitsReceived,
    supportForSF-512                BOOLEAN,
    supportOfPDSCH                  BOOLEAN,
    simultaneousSCCPCH-DPCH-Reception SimultaneousSCCPCH-DPCH-Reception
}
[...]
DL-PhysChCapabilityFDD-v380ext ::=          SEQUENCE {
    supportOfDedicatedPilotsForChEstimation SupportOfDedicatedPilotsForChEstimation OPTIONAL
}

SupportOfDedicatedPilotsForChEstimation ::=          ENUMERATED { true }
[...]
MaxNoPhysChBitsReceived ::=          ENUMERATED {
    b600dummy, b1200, b2400, b3600,
    b4800, b7200, b9600, b14400,
    b19200, b28800, b38400, b48000,
    b57600, b67200, b76800 }

[...]
DL-PhysChCapabilityTDD ::=          SEQUENCE {
    maxTS-PerFrame                  MaxTS-PerFrame,
    maxPhysChPerFrame               MaxPhysChPerFrame,
    minimumSF                        MinimumSF-DL,
    supportOfPDSCH                  BOOLEAN,
    maxPhysChPerTS                  MaxPhysChPerTS
}
[...]
-- the values 1 ..4 for MaxPhysChPerFrame are not used in this version of the protocol
MaxPhysChPerFrame ::=          INTEGER (1..224)
[...]
-- the values 1 ..4 for MaxPhysChPerTS are not used in this version of the protocol
MaxPhysChPerTS ::=          INTEGER (1..16)
[...]
UL-PhysChCapabilityFDD ::=          SEQUENCE {
    maxNoDPDCH-BitsTransmitted      MaxNoDPDCH-BitsTransmitted,
    supportOfPCPCH                  BOOLEAN
}
[...]
MaxNoDPDCH-BitsTransmitted ::=          ENUMERATED {
    b600, b1200, b2400, b4800,
    b9600, b19200, b28800, b38400,
    b48000, b57600 }

[...]
UL-PhysChCapabilityTDD ::=          SEQUENCE {
    maxTS-PerFrame                  MaxTS-PerFrame,
    maxPhysChPerTimeslot            MaxPhysChPerTimeslot,
    minimumSF                        MinimumSF-UL,
    supportOfPUSCH                  BOOLEAN
}
[...]
MinimumSF-UL ::=          ENUMERATED {
    sf1, sf2, sf4, sf8, sf16dummy }

```

CHANGE REQUEST

⌘ **25.331 CR 2151** ⌘ rev **1** ⌘ Current version: **4.11.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Minimum UE capability class		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI	Date:	⌘ Nov 2003
Category:	⌘ A	Release:	⌘ Rel-4
	<i>Use <u>one</u> of the following categories:</i> F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		<i>Use <u>one</u> of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:	⌘ A minimum radio access capability parameter combination is not defined.
Summary of change:	⌘ The radio access parameter values for a new reference combination called 12kbps class UE are added for FDD. It is defined that this 12kbps class UE is the minimum UE.
	1. The capability values that exist in signalling but is below the capability of the 12 kbps class UE will never be used and are removed from the signalling.
	2. A sentence is added to make sure that a UE with the lowest possible UE capability still should perform in a predictable manner in NWs utilising a high bitrate common channel configuration. For 12 kbps class Ues it is a shall in R'99 and onwards. For other Ues there is only a shall in Rel-5.
	Impact analysis: UEs with capabilities lower than for 32kbps UE class are affected. UEs that do not implement the CR may be unable to support RAB combinations e.g. on common channels, like SCCPCH combinations. Specifically they can fail to read the FACH. For these Ues there are examples in 34.108 that will not be supported. UEs with capabilities equal to or higher than the 32kbps class are also affected in Rel-5. If these Ues do not implement the CR they may be unable to support RAB combinations other than existing 34.108 common channels configurations, if these require higher capabilities than the 32kbps class.
	The CR has no impact on UTRAN.

Consequences if not approved:	⌘	There is no definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.										
Clauses affected:	⌘	8.1.1.6.5, 8.1.1.6.6, 8.5.19, 10.3.3.25, 10.3.3.34, 10.3.3.40, 11.3										
Other specs affected:	⌘	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ 25.306
		Y	N									
		X										
	X											
	X											
	X	Test specifications										
	X	O&M Specifications										
Other comments:	⌘											

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.5 System Information Block type 5

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in connected mode, and System Information Block type 6 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 6.
- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink for the PRACH if UE is in CELL_FACH state;
- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" (FDD only) when given allocated PRACH is used;
- 1> use the first instance of the list of transport formats as in the IE "RACH TFS" for the used RACH received in the IE "PRACH system information list" when using the CCCH;
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to monitor its paging occasions on the selected PICH if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if UE is in CELL_FACH state;
- 1> in 3.84 Mcps TDD:
 - 2> use the IE "TDD open loop power control" as defined in subclause 8.5.7 when allocated PRACH is used.
- 1> in TDD:
 - 2> if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included:
 - 3> store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

8.1.1.6.6 System Information Block type 6

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink if UE is in CELL_FACH state. If the IE "PRACH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information to configure the PRACH;

- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" when associated PRACH is used. If the IE "AICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information (FDD only);
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if the UE is in CELL_PCH or URA_PCH state. If the IE "PICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information;
- 1> start to monitor its paging occasions on the selected PICH if the UE is in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if the UE is in CELL_FACH state. If the IE "Secondary CCPCH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information;
- 1> in 3.84 Mcps TDD: use the IE "TDD open loop power control" as defined in subclause 8.5.7;
- 1> in TDD: if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included, store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

If a UE is a 12 kbps class UE according to [35] and the UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

8.5.19 Secondary CCPCH selection

In UTRAN Connected mode, the UE shall select the Secondary CCPCH according to the following rules:

- 1> in Cell_DCH state:
 - 2> select Secondary CCPCH according to subclause 8.6.6.4.
- 1> in Cell_FACH state:
 - 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a FACH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6.

NOTE 1: An SCCPCH carries a FACH if the size of the "FACH/PCH information" list within the IE "Secondary CCPCH system information" exceeds 1 or if the size of this list equals 1 while IE "Secondary CCPCH system information" does not contain an IE "PICH info".

- 2> otherwise:
 - 3> compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that carry a FACH, in the order of appearance in System Information Block type 5.
 - 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

1> in Cell_PCH and URA_PCH states:

- 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a PCH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6

NOTE 2: An SCCPCH carries a PCH if the IE "Secondary CCPCH system information" contains IE "PICH info"

- 2> otherwise compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that that carry a PCH , in the order of appearance in System Information Block type 5
- 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

The UE shall set the CFN in relation to the SFN of the current cell according to subclause 8.5.15.

The UE shall ~~support reception of~~ decode all transport formats on all FACHs multiplexed on the selected S-CCPCH according to its UE capability, as defined in section 8.1.1.6.5 and 8.1.1.6.6, to find blocks addressed to the UE.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Downlink physical channel capability information elements					
FDD downlink physical channel capability	CH- fdd_req_su p				
>Max no DPCH/PDSCH codes	MP		Integer (1..8)	Maximum number of DPCH/PDSCH codes to be simultaneously received	
>Max no physical channel bits received	MP		Integer (600, 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	
>Support for SF 512	MP		Boolean	TRUE means supported	
>Support of PDSCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of SCCPCH, DPCH and PDSCH	CV- if_sim_rec _pdsch _sup		Boolean	TRUE means supported	
>Max no of S-CCPCH RL	CV- if_sim_rec		Integer(1)	Maximum number of simultaneous S-CCPCH radio links	
>Support of dedicated pilots for channel estimation	MD		Enumerated (true)	Presence of this element means	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
				supported and absence not supported. If the UE notifies support of this functionality, it should comply with the corresponding performance requirements. Note 1.	
3.84 Mcps TDD downlink physical channel capability	CH- <i>3.84_Mcps_tdd_req_s</i> <i>up</i>				Name changed in REL-4
>Maximum number of timeslots per frame	MP		Integer (1..14)		
>Maximum number of physical channels per frame	MP		Integer (5 4 ..224)		
>Minimum SF	MP		Integer (1, 16)		
>Support of PDSCH	MP		Boolean	TRUE means supported	
>Maximum number of physical channels per timeslot	MP		Integer (5 4 ..16)		
1.28 Mcps TDD downlink physical channel capability	CH- <i>1.28_Mcps_tdd_req_s</i> <i>up</i>				REL-4
>Maximum number of timeslots per subframe	MP		Integer (1..6)		REL-4
>Maximum number of physical channels per subframe	MP		Integer (1..96)		REL-4
>Minimum SF	MP		Integer (1, 16)		REL-4
>Support of PDSCH	MP		Boolean	TRUE means supported	REL-4
>Maximum number of physical channels per timeslot	MP		Integer (1..16)		REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4
Uplink physical channel capability information elements					
FDD uplink physical channel capability	CH- <i>fdd_req_s</i> <i>up</i>				
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600)		
>Support of PCPCH	MP		Boolean	TRUE means supported	
3.84 Mcps TDD uplink physical channel capability	CH- <i>3.84_Mcps_tdd_req_s</i> <i>up</i>				Name changed in REL-4
>Maximum Number of timeslots per frame	MP		Integer (1..14)		
>Maximum number of physical	MP		Integer		

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
channels per timeslot			(1, 2)		
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		
>Support of PUSCH	MP		Boolean	TRUE means supported	
1.28 Mcps TDD uplink physical channel capability	CH- 1.28_Mcps _tdd_req_s up				REL-4
>Maximum Number of timeslots per subframe	MP		Integer (1..6)		REL-4
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		REL-4
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		REL-4
>Support of PUSCH	MP		Boolean	TRUE means supported	REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4

Condition	Explanation
<i>if_sim_rec_pdsch_sup</i>	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.
<i>if_sim_rec</i>	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
<i>3.84_Mcps_tdd_req_sup</i>	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps" and a 3.84 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
<i>1.28_Mcps_tdd_req_sup</i>	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps" and a 1.28 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
<i>fdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

NOTE 1: These performance requirements are defined in Release 5.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2 ,10,50,100, 150,500,100 0)	Total receiving and transmitting RLC AM buffer capability in kBytes. One spare value is needed.
Maximum RLC AM Window Size	MP		Integer(2047, 4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3 ,4,5,6,8,16, 30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- <i>turbo_dec_sup</i>		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH	MP		Integer (1..8)	
Max no of received transport blocks	MP		Integer(4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo decoding	MP		Boolean	TRUE means supported
Uplink transport channel capability information elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- <i>turbo_enc_sup</i>		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2 -4, 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- <i>tdd_req_sup</i>		Integer (1..8)	
Max no of transmitted transport blocks	MP		Integer(2 -4, 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4 -8, 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
<i>turbo_dec_sup</i>	The IE is mandatory present if the IE "Support of turbo decoding" = True. Otherwise this field is not needed in the message.
<i>turbo_enc_sup</i>	The IE is mandatory present if the IE "Support of turbo encoding" = True. Otherwise this field is not needed in the message.
<i>tdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

11.3 Information element definitions

```
[...]
TotalRLC-AM-BufferSize ::=
    ENUMERATED {
        kb2dummy, kb10, kb50, kb100,
        kb150, kb500, kb1000, spare }

[...]
MaximumAM-EntityNumberRLC-Cap ::=
    ENUMERATED {
        am2dummy, am4, am5, am6,
        am8, am16, am30 }

[...]
MaxSimultaneousTransChsUL ::=
    ENUMERATED {
        e2dummy, e4, e8, e16, e32 }

[...]
```

```
MaxTransportBlocksUL ::=          ENUMERATED {
|
|   tb2dummy, tb4, tb8, tb16, tb32, tb48,
|   tb64, tb96, tb128, tb256, tb512 }
|
|   [...]
|
|   MaxNumberOfTFC-UL ::=          ENUMERATED {
|
|   tf4dummy1, tf8dummy2, tfc16, tfc32, tfc48, tfc64,
|   tfc96, tfc128, tfc256, tfc512, tfc1024 }
|
|   [...]
|
|   MaxNoPhysChBitsReceived ::=    ENUMERATED {
|
|   b600dummy, b1200, b2400, b3600,
|   b4800, b7200, b9600, b14400,
|   b19200, b28800, b38400, b48000,
|   b57600, b67200, b76800 }
|
|   [...]
|   -- the values 1 ..4 for MaxPhysChPerFrame are not used in this version of the protocol
|   MaxPhysChPerFrame ::=          INTEGER (1..224)
|   [...]
|   -- the values 1 ..4 for MaxPhysChPerTS are not used in this version of the protocol
|   MaxPhysChPerTS ::=             INTEGER (1..16)
|   [...]
|   MinimumSF-UL ::=               ENUMERATED {
|
|   sf1, sf2, sf4, sf8, sf16dummy}
|
```

CHANGE REQUEST

⌘ **25.331 CR 2152** ⌘ rev **1** ⌘ Current version: **5.6.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	⌘ Minimum UE capability class		
Source:	⌘ RAN WG2		
Work item code:	⌘ TEI5	Date:	⌘ Nov 2003
Category:	⌘ F	Release:	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP TR 21.900 .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

Reason for change:	⌘ A minimum radio access capability parameter combination is not defined.
Summary of change:	⌘ The radio access parameter values for a new reference combination called 12kbps class UE are added for FDD. It is defined that this 12kbps class UE is the minimum UE.
	1. The capability values that exist in signalling but is below the capability of the 12 kbps class UE will never be used and are removed from the signalling.
	2. A sentence is added to make sure that a UE with the lowest possible UE capability still should perform in a predictable manner in NWs utilising a high bitrate common channel configuration. For 12 kbps class Ues it is a shall in R'99 and onwards. For other Ues there is only a shall in Rel-5.
	Impact analysis: UEs with capabilities lower than for 32kbps UE class are affected. UEs that do not implement the CR may be unable to support RAB combinations e.g. on common channels, like SCCPCH combinations. Specifically they can fail to read the FACH. For these Ues there are examples in 34.108 that will not be supported. UEs with capabilities equal to or higher than the 32kbps class are also affected in Rel-5. If these Ues do not implement the CR they may be unable to support RAB combinations other than existing 34.108 common channels configurations, if these require higher capabilities than the 32kbps class.
	The CR has no impact on UTRAN.

Consequences if not approved:	⌘	There is no definition of the minimum UE capabilities that can be expected by the network. Operators may use RAB combinations that can not be supported by UEs with insufficient UE capabilities. In consequence UEs may be unable to access the system.										
Clauses affected:	⌘	8.1.1.6.5, 8.1.1.6.6, 8.5.19, 10.3.3.25, 10.3.3.34, 10.3.3.40, 11.3										
Other specs affected:	⌘	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ 25.306
		Y	N									
		X										
	X											
	X											
	X	Test specifications										
	X	O&M Specifications										
Other comments:	⌘											

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.1.1.6.5 System Information Block type 5

The UE should store all relevant IEs included in this system information block. The UE shall:

- 1> if in connected mode, and System Information Block type 6 is indicated as used in the cell:
 - 2> read and act on information sent in System Information Block type 6.
- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink for the PRACH if UE is in CELL_FACH state;
- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" (FDD only) when given allocated PRACH is used;
- 1> use the first instance of the list of transport formats as in the IE "RACH TFS" for the used RACH received in the IE "PRACH system information list" when using the CCCH;
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to monitor its paging occasions on the selected PICH if UE is in Idle mode or in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if UE is in CELL_FACH state;
- 1> in 3.84 Mcps TDD:
 - 2> use the IE "TDD open loop power control" as defined in subclause 8.5.7 when allocated PRACH is used.
- 1> in TDD:
 - 2> if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included:
 - 3> store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If a UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.

NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.

8.1.1.6.6 System Information Block type 6

If in connected mode, the UE should store all relevant IEs included in this system information block. The UE shall:

- 1> replace the TFS of the RACH with the one stored in the UE if any;
- 1> let the physical channel(s) of type PRACH given by the IE(s) "PRACH info" be the default in uplink if UE is in CELL_FACH state. If the IE "PRACH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information to configure the PRACH;

- 1> start to receive the physical channel of type AICH using the parameters given by the IE "AICH info" when associated PRACH is used. If the IE "AICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information (FDD only);
- 1> replace the TFS of the FACH/PCH with the one stored in the UE if any;
- 1> select a Secondary CCPCH as specified in [4] and in subclause 8.5.19, and start to receive the physical channel of type PICH associated with the PCH carried by the selected Secondary CCPCH using the parameters given by the IE "PICH info" if the UE is in CELL_PCH or URA_PCH state. If the IE "PICH info" is not included, the UE shall read the corresponding IE in System Information Block type 5 and use that information;
- 1> start to monitor its paging occasions on the selected PICH if the UE is in CELL_PCH or URA_PCH state;
- 1> start to receive the selected physical channel of type Secondary CCPCH using the parameters given by the IE(s) "Secondary CCPCH info" if the UE is in CELL_FACH state. If the IE "Secondary CCPCH info" is not included, the UE shall read the corresponding IE(s) in System Information Block type 5 and use that information;
- 1> in 3.84 Mcps TDD: use the IE "TDD open loop power control" as defined in subclause 8.5.7;
- 1> in TDD: if the IE "PDSCH system information" and/or the IE "PUSCH system information" is included, store each of the configurations given there with the associated identity given in the IE "PDSCH Identity" and/or "PUSCH Identity" respectively. For every configuration, for which the IE "SFN Time info" is included, the information shall be stored for the duration given there.

If in idle mode, the UE shall not use the values of the IEs in this system information block.

[If a UE has a lower capability than required to support all transport channel configurations mapped on a specific Secondary CCPCH, the UE shall at a certain time instant still be able to decode those transport channels mapped on this Secondary CCPCH that do match the capability supported by the UE. The UE shall use the TFCI bits for that Secondary CCPCH, to distinguish a transport channel configuration that is supported by the UE from a transport channel configuration that is not supported by the UE.](#)

[NOTE: E.g. in case the UE does not support the processing requirement at a given point in time on a Secondary CCPCH, it shall still be able to decode the same Secondary CCPCH when the processing requirement is consistent with the UE capability. Or e.g. in case the UE does not support the number of TFs or the coding of a certain transport channel on a Secondary CCPCH, it shall still be able to decode other transport channels mapped on the same Secondary CCPCH that is consistent with what is supported by the UE.](#)

8.5.19 Secondary CCPCH selection

In UTRAN Connected mode, the UE shall select the Secondary CCPCH according to the following rules:

- 1> in Cell_DCH state:
 - 2> select Secondary CCPCH according to subclause 8.6.6.4.
- 1> in Cell_FACH state:
 - 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a FACH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6.

NOTE 1: An SCCPCH carries a FACH if the size of the "FACH/PCH information" list within the IE "Secondary CCPCH system information" exceeds 1 or if the size of this list equals 1 while IE "Secondary CCPCH system information" does not contain an IE "PICH info".

- 2> otherwise:
 - 3> compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that carry a FACH, in the order of appearance in System Information Block type 5.
 - 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

1> in Cell_PCH and URA_PCH states:

- 2> if System Information Block type 6 is defined and includes one or more SCCPCH that carry a PCH, compile a list of candidate SCCPCH that consists of these SCCPCH, in the order of appearance in System Information Block type 6

NOTE 2: An SCCPCH carries a PCH if the IE "Secondary CCPCH system information" contains IE "PICH info"

- 2> otherwise compile a list of candidate SCCPCH that consists of the SCCPCH(s) included in System Information Block type 5 that that carry a PCH , in the order of appearance in System Information Block type 5
- 2> select an SCCPCH from the list of candidate SCCPCHs based on U-RNTI as follows:

$$\text{"Index of selected SCCPCH"} = \text{U-RNTI mod K,}$$

where K is equal to the number of candidate SCCPCHs.

The UE shall set the CFN in relation to the SFN of the current cell according to subclause 8.5.15.

The UE shall ~~support reception of~~ decode all transport formats on all FACHs multiplexed on the selected S-CCPCH according to its UE capability, as defined in section 8.1.1.6.5 and 8.1.1.6.6, to find blocks addressed to the UE.

10.3.3.25 Physical channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
Downlink physical channel capability information elements					
FDD downlink physical channel capability	CH- fdd_req_su p				
>Max no DPCH/PDSCH codes	MP		Integer (1..8)	Maximum number of DPCH/PDSCH codes to be simultaneously received	
>Max no physical channel bits received	MP		Integer (600 , 1200, 2400, 3600, 4800, 7200, 9600, 14400, 19200, 28800, 38400, 48000, 57600, 67200, 76800)	Maximum number of physical channel bits received in any 10 ms interval (DPCH, PDSCH, S-CCPCH)	
>Support for SF 512	MP		Boolean	TRUE means supported	
>Support of PDSCH	MP		Boolean	TRUE means supported	
>CHOICE Support of HS-PDSCH	MP				REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (1..64)		REL-5
>>>Support of dedicated pilots for channel estimation of HS-DSCH	MP		Boolean	TRUE means supported	REL-5
>>Unsupported				(no data)	REL-5
>Simultaneous reception of SCCPCH and DPCH	MP		Boolean	TRUE means supported	
>Simultaneous reception of	CV-		Boolean	TRUE means	

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
SCCPCH, DPCH and PDSCH	<i>if_sim_rec_pdsch_sup</i>			supported	
>Max no of S-CCPCH RL	CV- <i>if_sim_rec</i>		Integer(1)	Maximum number of simultaneous S-CCPCH radio links	
>Support of dedicated pilots for channel estimation	MD		Enumerated (true)	Presence of this element means supported and absence not supported. This IE shall be set to TRUE in this version of the protocol.	
3.84 Mcps TDD downlink physical channel capability	CH- <i>3.84_Mcps_tdd_req_s_up</i>				Name changed in REL-4
>Maximum number of timeslots per frame	MP		Integer (1..14)		
>Maximum number of physical channels per frame	MP		Integer (45..224)		
>Minimum SF	MP		Integer (1, 16)		
>Support of PDSCH	MP		Boolean	TRUE means supported	
>CHOICE <i>Support of HS-PDSCH</i>	MP				REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (1..64)		REL-5
>>Unsupported				(no data)	REL-5
>Maximum number of physical channels per timeslot	MP		Integer (45..16)		
1.28 Mcps TDD downlink physical channel capability	CH- <i>1.28_Mcps_tdd_req_s_up</i>				REL-4
>Maximum number of timeslots per subframe	MP		Integer (1..6)		REL-4
>Maximum number of physical channels per subframe	MP		Integer (1..96)		REL-4
>Minimum SF	MP		Integer (1, 16)		REL-4
>Support of PDSCH	MP		Boolean	TRUE means supported	REL-4
>CHOICE <i>Support of HS-PDSCH</i>	MP				REL-5
>>Supported					REL-5
>>>HS-DSCH physical layer category	MP		Integer (1..64)		REL-5
>>Unsupported				(no data)	REL-5
>Maximum number of physical channels per timeslot	MP		Integer (1..16)		REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4
Uplink physical channel capability information elements					
FDD uplink physical channel capability	CH- <i>fdd_req_su_p</i>				

Information Element/Group name	Need	Multi	Type and Reference	Semantics description	Version
>Maximum number of DPDCH bits transmitted per 10 ms	MP		Integer (600, 1200, 2400, 4800, 9600, 19200, 28800, 38400, 48000, 57600)		
>Support of PCPCH	MP		Boolean	TRUE means supported	
3.84 Mcps TDD uplink physical channel capability	CH- 3.84_Mcps _tdd_req_s up				Name changed in REL-4
>Maximum Number of timeslots per frame	MP		Integer (1..14)		
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		
>Support of PUSCH	MP		Boolean	TRUE means supported	
1.28 Mcps TDD uplink physical channel capability	CH- 1.28_Mcps _tdd_req_s up				REL-4
>Maximum Number of timeslots per subframe	MP		Integer (1..6)		REL-4
>Maximum number of physical channels per timeslot	MP		Integer (1, 2)		REL-4
>Minimum SF	MP		Integer (1, 2, 4, 8, 16)		REL-4
>Support of PUSCH	MP		Boolean	TRUE means supported	REL-4
>Support of 8PSK	MP		Boolean	TRUE means supported	REL-4

Condition	Explanation
<i>if_sim_rec_pdsch_sup</i>	The IE is mandatory present if the IE "Simultaneous reception of SCCPCH and DPCH" = True and IE Support of PDSCH = True. Otherwise this field is not needed in the message.
<i>if_sim_rec</i>	The IE is mandatory present if the IE "capability Simultaneous reception of SCCPCH and DPCH" = True. Otherwise this field is not needed in the message.
<i>3.84_Mcps_tdd_req_sup</i>	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "3.84 Mcps" and a 3.84 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
<i>1.28_Mcps_tdd_req_sup</i>	The IE is mandatory present if the IE "TDD RF capability" is present with the IE "Chip rate capability" set to "1.28 Mcps" and a 1.28 Mcps TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.
<i>fdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "FDD" or "FDD/TDD" and a FDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

10.3.3.34 RLC capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Total RLC AM buffer size	MP		Integer (2 ,10,50,100,150,200,300,400,500,750,1000)	Total receiving and transmitting RLC AM buffer and MAC-hs reordering buffer capability in kBytes.
Maximum RLC AM Window Size	MP		Integer(2047,4095)	Maximum supported RLC TX and RX window in UE
Maximum number of AM entities	MP		Integer (3 ,4,5,6,8,16,30)	

10.3.3.40 Transport channel capability

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
Downlink transport channel capability information elements				
Max no of bits received	MP		Integer(640,1280,2560,3840,5120,6400,7680,8960,10240,20480,40960,81920,163840)	Maximum sum of number of bits of all transport blocks received at an arbitrary time instant
Max convolutionally coded bits received	MP		Integer(640,1280,2560,3840,5120,6400,7680,8960,10240,20480,40960,81920,163840)	Maximum sum of number of bits of all convolutionally coded transport blocks received at an arbitrary time instant
Max turbo coded bits received	CV- <i>turbo_dec_sup</i>		Integer(640,1280,2560,3840,5120,6400,7680,8960,10240,20480,40960,81920,163840)	Maximum sum of number of bits of all turbo coded transport blocks received at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(4,8,16,32)	
Maximum number of simultaneous CCTrCH	MP		Integer (1..8)	
Max no of received transport blocks	MP		Integer(4,8,16,32,48,64,96,128,256,512)	Maximum total number of transport blocks received within TTIs that end at within the same 10ms interval
Maximum number of TFC	MP		Integer(16,32,48,64,96,128,256,512,1024)	
Maximum number of TF	MP		Integer(32,64,128,256,512,1024)	
Support for turbo decoding	MP		Boolean	TRUE means supported
Uplink transport channel				

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
capability information elements				
Max no of bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all transport blocks transmitted at an arbitrary time instant
Max convolutionally coded bits transmitted	MP		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all convolutionally coded transport blocks transmitted at an arbitrary time instant
Max turbo coded bits transmitted	CV- <i>turbo_enc_sup</i>		Integer(640, 1280, 2560, 3840, 5120, 6400, 7680, 8960, 10240, 20480, 40960, 81920, 163840)	Maximum sum of number of bits of all turbo coded transport blocks transmitted at an arbitrary time instant
Maximum number of simultaneous transport channels	MP		Integer(2-4 , 8, 16, 32)	
Maximum number of simultaneous CCTrCH of DCH type	CH- <i>tdd_req_sup</i>		Integer (1..8)	
Max no of transmitted transport blocks	MP		Integer(2-4 , 8, 16, 32, 48, 64, 96, 128, 256, 512)	Maximum total number of transport blocks transmitted within TTIs that start at the same time
Maximum number of TFC	MP		Integer(4-8 , 16, 32, 48, 64, 96, 128, 256, 512, 1024)	
Maximum number of TF	MP		Integer(32, 64, 128, 256, 512, 1024)	
Support for turbo encoding	MP		Boolean	TRUE means supported

Condition	Explanation
<i>turbo_dec_sup</i>	The IE is mandatory present if the IE "Support of turbo decoding" = True. Otherwise this field is not needed in the message.
<i>turbo_enc_sup</i>	The IE is mandatory present if the IE "Support of turbo encoding" = True. Otherwise this field is not needed in the message.
<i>tdd_req_sup</i>	The IE is mandatory present if the IE "Multi-mode capability" has the value "TDD" or "FDD/TDD" and a TDD capability update has been requested in a previous message. Otherwise this field is not needed in the message.

11.3 Information element definitions

```

[...]
TotalRLC-AM-BufferSize ::=          ENUMERATED {
|                                     tb2dummy, kb10, kb50, kb100,
|                                     kb150, kb500, kb1000, spare }

[...]
MaximumAM-EntityNumberRLC-Cap ::=   ENUMERATED {
|                                     am3dummy, am4, am5, am6,
|                                     am8, am16, am30 }

[...]
MaxSimultaneousTransChsUL ::=       ENUMERATED {
|                                     e2dummy, e4, e8, e16, e32 }

[...]
MaxTransportBlocksUL ::=            ENUMERATED {
|                                     tb2dummy, tb4, tb8, tb16, tb32, tb48,
|                                     tb64, tb96, tb128, tb256, tb512 }

[...]
MaxNumberOfTFC-UL ::=               ENUMERATED {
|                                     tfc4dummy1, tfc8dummy2, tfc16, tfc32, tfc48, tfc64,
|                                     tfc96, tfc128, tfc256, tfc512, tfc1024 }

[...]
MaxNoPhysChBitsReceived ::=         ENUMERATED {
|                                     b600dummy, b1200, b2400, b3600,
|                                     b4800, b7200, b9600, b14400,
|                                     b19200, b28800, b38400, b48000,
|                                     b57600, b67200, b76800 }

[...]
| -- the values 1 ...4 for MaxPhysChPerFrame are not used in this version of the protocol
MaxPhysChPerFrame ::=               INTEGER (1..224)
[...]
| -- the values 1 ...4 for MaxPhysChPerTS are not used in this version of the protocol
MaxPhysChPerTS ::=                  INTEGER (1..16)
[...]
MinimumSF-UL ::=                     ENUMERATED {
|                                     sf1, sf2, sf4, sf8, sf16-dummy }

```