

TSG-RAN Meeting #21
Frankfurt, Germany, 16-19 September 2003

RP-030506

Title: Revision of CR1998, CR1999 and CR2000 (R99, Rel-4 & Rel-5) to 25.331 on "Reconfiguration with state transition to an indicated cell on a different frequency"

Source: Qualcomm

Agenda item: 7.2.3

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	1998	1	R99	Reconfiguration with state transition to an indicated cell on a different frequency	F	3.15.0	3.16.0		
25.331	1999	1	Rel-4	Reconfiguration with state transition to an indicated cell on a different frequency	A	4.10.0	4.11.0		
25.331	2000	1	Rel-5	Reconfiguration with state transition to an indicated cell on a different frequency	A	5.5.0	5.6.0		

CHANGE REQUEST

25.331 CR 1998 rev 1 Current version: 3.15.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	Reconfiguration with state transition to an indicated cell on a different frequency		
Source:	Qualcomm		
Work item code:		Date:	September 16 2003
Category:	F Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	R99 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:

1. It is not clear what the UE behaviour should be if the IE "Frequency info" is **not** included [or it **is** included], and the UE selects a cell on a different frequency than the current [indicated] frequency with the same value of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD).
2. The case in which the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state is not aligned with the DCH to CELL_FACH case in terms of actions to send the complete message. In its current wording, it would not be possible to send a complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.
3. Merged document R2-031660: Current specification allows UE to not initiate cell update procedure even if UE selected another cell than the one to which UE has transmitted response message when UE enters CELL_PCH state from CELL_FACH state. UTRAN would not know where UE is camping until UE eventually initiates cell update afterwards.
4. The RRC connection establishment is affected.
5. From CR 2010. The UE is required to check if the IE "UTRAN DRX cycle length coefficient" is present in the message only after the state transition. In this case the UE is required to set the variable INVALID_CONFIGURATION to TRUE, but it is not clear how this variable will be used, since the complete message has already been sent.
6. From CR 2010. In some circumstances the UE is required to send a CELL_UPDATE/URA_UPDATE message immediately after the state transition. Only

after the completion of the cell update/ura update procedure the reconfiguration procedure can be considered completed. The cell update procedure, though, could result in a subsequent reconfiguration via the CELL_UPDATE_CONFIRM message. If the original reconfiguration procedure is still outstanding, the UE may have problems accepting a new reconfiguration from the CELL_UPDATE_CONFIRM message.

Summary of change: ↗

1. As a general rule, the UE shall perform location update if the UE selects a different frequency than the current one [*indicated one*], even if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) of the selected cell matches the value included in the reconfiguration message. The CELL_FACH to CELL_FACH case is also aligned. If the UE receives the IE "Primary CPICH info" in a message in which the IE "Frequency info" is not included, it may ignore the content of the IE "Primary CPICH info"

2. The CELL_FACH to CELL_FACH case is aligned with the CELL_DCH to CELL_FACH case. If the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state, the UE shall perform another cell update procedure with cause "uplink data transmission" in order to send the complete message.

3. Merged document R2-031660: The same behaviour as the case that UE remains in CELL_FACH state is proposed for the transition from CELL_FACH to CELL_PCH.

4. The RRC connection establishment with the IE "Frequency info" is also corrected.

5 From CR 2010. The check on the presence of the IE "UTRAN DRX cycle length coefficient" shall be performed before the complete message is sent. If the IE is not present and the UE is required to transition to CELL_PCH or URA_PCH, the variable INVALID_CONFIGURATION shall be set to TRUE.

6 From CR 2010. If a cell update is required immediately after the state transition, the reconfiguration procedure shall be considered completed after the cell update has been sent.

Isolated Impact Change Analysis.

This change clarifies the inter-frequency transitions to common channel states (CELL_FACH, CELL_PCH, URA_PCH). It only affects the UE implementation.

1. 3 and 4. If the UE has not implemented this CR, it may become unreachable till the next periodic cell update procedure.

2. If the UE has not implemented this CR, it may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impact on test specifications:

4. There is an impact on test cases in TS34.123-1-540 8.2.3.26 and 8.2.6.34. In these test cases neither the IE "Frequency info" nor the IE "Primary CPICH info" is included in reconfiguration message and UE shall perform cell selection. However T1-031208 was agreed in the previous T1 meeting and the CR was proposing that both the IE "Frequency info" and the IE "Primary CPICH info" be included.

Consequences if ↗

1, 3 and 4. The UE may become unreachable till the next periodic cell update

not approved:

procedure.

2. The UE may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

5 From CR 2010. If the IE "UTRAN DRX cycle length coefficient" is not included in the reconfiguration message that sends the UE to CELL_PCH or URA_PCH, The UE will not be able to correctly complete the state transition and will not send a failure message leaving the UE in an unpredictable state. The subsequent procedure may also be affected due to the fact that the variable INVALID_CONFIGURATION is set to TRUE after the complete message is sent.

6 From CR 2010. If UTRAN tries to perform a new reconfiguration with the CELL_UPDATE_CONFIRM message sent immediately after a message ordering a state transition to CELL_PCH, the UE may reject the new configuration, since the old one is not yet completed.

Clauses affected: 8.1.3.7, 8.2.2.3

Other specs affected:

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Other core specifications
Test specifications
O&M Specifications

TS 34.123

Other comments:

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.1.3.7 Physical channel failure or cell re-selection

- 1> If the UE failed to establish, per subclause 8.5.4, the physical channel(s) indicated in the RRC CONNECTION SETUP message; or
- 1> if the UE performs cell re-selection; or
- 1> if the UE will be in the CELL_FACH state at the conclusion of this procedure; and
- 1> if the received RRC CONNECTION SETUP message included the IE "Frequency info" and the UE could not find a suitable UTRA cell on that frequency but it could find a suitable UTRA cell on another frequency; or
- 1> if the received RRC CONNECTION SETUP message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE; or
- 1> if the contents of the variable C_RNTI is empty;
- 1> after having received an RRC CONNECTION SETUP message with the value of the IE "Initial UE identity" equal to the value of the variable INITIAL_UE_IDENTITY; and
- 1> before the RRC CONNECTION SETUP COMPLETE message is delivered to lower layers for transmission:

the UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> check the value of V300, and:
 - 2> if V300 is equal to or smaller than N300:
 - 3> set CFN in relation to SFN of current cell according to subclause 8.5.15;
 - 3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
 - 3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
 - 3> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
 - 3> increment counter V300; and
 - 3> restart timer T300 when the MAC layer indicates success or failure in transmitting the message.
 - 2> if V300 is greater than N300:
 - 3> enter idle mode;
 - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
 - 3> consider the RRC establishment procedure to be unsuccessful;
 - 3> the procedure ends.

[...]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent:

2> not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency:

2> if the UE finds a suitable UTRA cell on that frequency:-

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4];-

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- ~~4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~
- ~~4> when the cell update procedure completed successfully:~~
 - ~~5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.~~
- ~~2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:~~
 - ~~3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~
 - ~~3> when the cell update procedure completed successfully:~~
 - ~~4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.~~
- ~~1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~
 - ~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~
 - ~~2> when the cell update procedure completed successfully:~~
 - ~~3> if the UE is in CELL_PCH or URA_PCH state:~~
 - ~~4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";~~
 - ~~4> proceed as below.~~
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:
 - 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:

23> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); [Editor's note: Indentation changed to B3]

34> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection"; [Editor's note: Indentation changed to B4]

34> when the cell update procedure completed successfully; [Editor's note: Indentation changed to B4]

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

4> ~~proceed as below.~~

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell;

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully;

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

[After state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message;

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New URNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

- 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
 - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters ~~CELL_PCH~~ or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

- 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

~~1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:~~

~~2> set the variable INVALID_CONFIGURATION to TRUE.~~

- ~~1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure completed successfully:~~

~~3> the procedure ends.~~

- ~~1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:~~

~~2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";~~

~~2> when the URA update procedure is successfully completed:~~

~~3> the procedure ends.~~

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

5> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

5> proceed as below.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

5> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

5> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

or

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell;

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

~~1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure is successfully completed:~~

~~3> the procedure ends.~~

~~1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:~~

~~2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";~~

~~2> when the URA update procedure is successfully completed:~~

~~3> the procedure ends.~~

[...]

CHANGE REQUEST

25.331 CR 1999 rev 1 Current version: 4.10.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	Reconfiguration with state transition to an indicated cell on a different frequency		
Source:	Qualcomm		
Work item code:		Date:	September 16 2003
Category:	A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	Rel-4 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:

1. It is not clear what the UE behaviour should be if the IE "Frequency info" is **not** included [or it is included], and the UE selects a cell on a different frequency than the current [indicated] frequency with the same value of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD).
2. The case in which the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state is not aligned with the DCH to CELL_FACH case in terms of actions to send the complete message. In its current wording, it would not be possible to send a complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.
3. Merged document R2-031660: Current specification allows UE to not initiate cell update procedure even if UE selected another cell than the one to which UE has transmitted response message when UE enters CELL_PCH state from CELL_FACH state. UTRAN would not know where UE is camping until UE eventually initiates cell update afterwards.
4. The RRC connection establishment is affected.
5. From CR 2010. The UE is required to check if the IE "UTRAN DRX cycle length coefficient" is present in the message only after the state transition. In this case the UE is required to set the variable INVALID_CONFIGURATION to TRUE, but it is not clear how this variable will be used, since the complete message has already been sent.
6. From CR 2010. In some circumstances the UE is required to send a CELL_UPDATE/URA_UPDATE message immediately after the state transition. Only

after the completion of the cell update/ura update procedure the reconfiguration procedure can be considered completed. The cell update procedure, though, could result in a subsequent reconfiguration via the CELL_UPDATE_CONFIRM message. If the original reconfiguration procedure is still outstanding, the UE may have problems accepting a new reconfiguration from the CELL_UPDATE_CONFIRM message.

Summary of change: ↗

1. As a general rule, the UE shall perform location update if the UE selects a different frequency than the current one [*indicated one*], even if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) of the selected cell matches the value included in the reconfiguration message. The CELL_FACH to CELL_FACH case is also aligned. If the UE receives the IE "Primary CPICH info" in a message in which the IE "Frequency info" is not included, it may ignore the content of the IE "Primary CPICH info"

2. The CELL_FACH to CELL_FACH case is aligned with the CELL_DCH to CELL_FACH case. If the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state, the UE shall perform another cell update procedure with cause "uplink data transmission" in order to send the complete message.

3. Merged document R2-031660: The same behaviour as the case that UE remains in CELL_FACH state is proposed for the transition from CELL_FACH to CELL_PCH.

4. The RRC connection establishment with the IE "Frequency info" is also corrected.

5 From CR 2010. The check on the presence of the IE "UTRAN DRX cycle length coefficient" shall be performed before the complete message is sent. If the IE is not present and the UE is required to transition to CELL_PCH or URA_PCH, the variable INVALID_CONFIGURATION shall be set to TRUE.

6 From CR 2010. If a cell update is required immediately after the state transition, the reconfiguration procedure shall be considered completed after the cell update has been sent.

Isolated Impact Change Analysis.

This change clarifies the inter-frequency transitions to common channel states (CELL_FACH, CELL_PCH, URA_PCH). It only affects the UE implementation.

1. 3 and 4. If the UE has not implemented this CR, it may become unreachable till the next periodic cell update procedure.

2. If the UE has not implemented this CR, it may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impact on test specifications:

4. There is an impact on test cases in TS34.123-1-540 8.2.3.26 and 8.2.6.34. In these test cases neither the IE "Frequency info" nor the IE "Primary CPICH info" is included in reconfiguration message and UE shall perform cell selection. However T1-031208 was agreed in the previous T1 meeting and the CR was proposing that both the IE "Frequency info" and the IE "Primary CPICH info" be included.

Consequences if ↗

1. 3 and 4. The UE may become unreachable till the next periodic cell update

not approved:

procedure.

2. The UE may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

5 From CR 2010. If the IE "UTRAN DRX cycle length coefficient" is not included in the reconfiguration message that sends the UE to CELL_PCH or URA_PCH, The UE will not be able to correctly complete the state transition and will not send a failure message leaving the UE in an unpredictable state. The subsequent procedure may also be affected due to the fact that the variable INVALID_CONFIGURATION is set to TRUE after the complete message is sent.

6 From CR 2010. If UTRAN tries to perform a new reconfiguration with the CELL_UPDATE_CONFIRM message sent immediately after a message ordering a state transition to CELL_PCH, the UE may reject the new configuration, since the old one is not yet completed.

Clauses affected: 8.1.3.7, 8.2.2.3

Other specs affected:

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications
Test specifications
O&M Specifications

TS 34.123

Other comments:

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.1.3.7 Physical channel failure or cell re-selection

- 1> If the UE failed to establish, per subclause 8.5.4, the physical channel(s) indicated in the RRC CONNECTION SETUP message; or
- 1> if the UE performs cell re-selection; or
- 1> if the UE will be in the CELL_FACH state at the conclusion of this procedure; and
- 1> if the received RRC CONNECTION SETUP message included the IE "Frequency info" and the UE could not find a suitable UTRA cell on that frequency but it could find a suitable UTRA cell on another frequency; or
- 1> if the received RRC CONNECTION SETUP message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE; or
- 1> if the contents of the variable C_RNTI is empty;
- 1> after having received an RRC CONNECTION SETUP message with the value of the IE "Initial UE identity" equal to the value of the variable INITIAL_UE_IDENTITY; and
- 1> before the RRC CONNECTION SETUP COMPLETE message is delivered to lower layers for transmission:

the UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> check the value of V300, and:
 - 2> if V300 is equal to or smaller than N300:
 - 3> set CFN in relation to SFN of current cell according to subclause 8.5.15;
 - 3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
 - 3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
 - 3> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
 - 3> increment counter V300; and
 - 3> restart timer T300 when the MAC layer indicates success or failure in transmitting the message.
 - 2> if V300 is greater than N300:
 - 3> enter idle mode;
 - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
 - 3> consider the RRC establishment procedure to be unsuccessful;
 - 3> the procedure ends.

[...]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 2> remove any C-RNTI from MAC;
 - 2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.
- 1> in TDD:
 - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
 - 3> remove any C-RNTI from MAC;
 - 3> clear the variable C_RNTI. [\[Editor's note: Line break added and Style corrected to B3\]](#)

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:-
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD);
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];-
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received

reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD);

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully:

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

~~1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~

~~2> when the cell update procedure completed successfully:~~

~~3> if the UE is in CELL_PCH or URA_PCH state:~~

~~4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";~~

~~4> proceed as below.~~

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the UE finds a suitable UTRA cell on that frequency:

23> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD); [Editor's note: Indentation changed to B3]

34> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection"; [Editor's note: Indentation changed to B4]

34> when the cell update procedure completed successfully: [Editor's note: Indentation changed to B4]

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

3> when the cell update procedure completed successfully:

4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included, the UE shall either

1> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell;

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully;

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

if after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message;

2> set the variable INVALID_CONFIGURATION to TRUE;

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New URNTI" is included:

2> if the variable PDCP_SN_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".

2> else:

- 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
- 2> re-establish RB2;
- 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

- 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters ~~CELL_PCH~~ or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;
- 1> select Secondary CCPCCH according to subclause 8.5.19;

- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

~~1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:~~

~~2> set the variable INVALID_CONFIGURATION to TRUE.~~

~~1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure completed successfully:~~

~~3> the procedure ends.~~

~~1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:~~

~~2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";~~

~~2> when the URA update procedure is successfully completed:~~

~~3> the procedure ends.~~

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

1> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall enter

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> if

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell;

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below:

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

~~1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure is successfully completed:~~

~~3> the procedure ends.~~

~~1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:~~

~~2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";~~

~~2> when the URA update procedure is successfully completed:~~

~~3> the procedure ends.~~

[...]

CHANGE REQUEST

25.331 CR 2000 rev 1 Current version: 5.5.0

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the symbols.

Proposed change affects: UICC apps ME Radio Access Network Core Network

Title:	Reconfiguration with state transition to an indicated cell on a different frequency		
Source:	Qualcomm		
Work item code:		Date:	September 16 2003
Category:	A Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (addition of feature), C (functional modification of feature) D (editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900 .	Release:	Rel-5 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

Reason for change:

1. It is not clear what the UE behaviour should be if the IE "Frequency info" is **not** included [or it is included], and the UE selects a cell on a different frequency than the current [indicated] frequency with the same value of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD).
2. The case in which the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state is not aligned with the DCH to CELL_FACH case in terms of actions to send the complete message. In its current wording, it would not be possible to send a complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.
3. Merged document R2-031660: Current specification allows UE to not initiate cell update procedure even if UE selected another cell than the one to which UE has transmitted response message when UE enters CELL_PCH state from CELL_FACH state. UTRAN would not know where UE is camping until UE eventually initiates cell update afterwards.
4. The RRC connection establishment is affected.
5. From CR 2010. The UE is required to check if the IE "UTRAN DRX cycle length coefficient" is present in the message only after the state transition. In this case the UE is required to set the variable INVALID_CONFIGURATION to TRUE, but it is not clear how this variable will be used, since the complete message has already been sent.
6. From CR 2010. In some circumstances the UE is required to send a CELL_UPDATE/URA_UPDATE message immediately after the state transition. Only

after the completion of the cell update/ura update procedure the reconfiguration procedure can be considered completed. The cell update procedure, though, could result in a subsequent reconfiguration via the CELL_UPDATE_CONFIRM message. If the original reconfiguration procedure is still outstanding, the UE may have problems accepting a new reconfiguration from the CELL_UPDATE_CONFIRM message.

Summary of change: ↗

1. As a general rule, the UE shall perform location update if the UE selects a different frequency than the current one [*indicated one*], even if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) of the selected cell matches the value included in the reconfiguration message. The CELL_FACH to CELL_FACH case is also aligned. If the UE receives the IE "Primary CPICH info" in a message in which the IE "Frequency info" is not included, it may ignore the content of the IE "Primary CPICH info"

2. The CELL_FACH to CELL_FACH case is aligned with the CELL_DCH to CELL_FACH case. If the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state, the UE shall perform another cell update procedure with cause "uplink data transmission" in order to send the complete message.

3. Merged document R2-031660: The same behaviour as the case that UE remains in CELL_FACH state is proposed for the transition from CELL_FACH to CELL_PCH.

4. The RRC connection establishment with the IE "Frequency info" is also corrected.

5 From CR 2010. The check on the presence of the IE "UTRAN DRX cycle length coefficient" shall be performed before the complete message is sent. If the IE is not present and the UE is required to transition to CELL_PCH or URA_PCH, the variable INVALID_CONFIGURATION shall be set to TRUE.

6 From CR 2010. If a cell update is required immediately after the state transition, the reconfiguration procedure shall be considered completed after the cell update has been sent.

Isolated Impact Change Analysis.

This change clarifies the inter-frequency transitions to common channel states (CELL_FACH, CELL_PCH, URA_PCH). It only affects the UE implementation.

1. 3 and 4. If the UE has not implemented this CR, it may become unreachable till the next periodic cell update procedure.

2. If the UE has not implemented this CR, it may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

It would not affect implementations behaving like indicated in the CR, it would affect implementations supporting the corrected functionality otherwise.

Impact on test specifications:

4. There is an impact on test cases in TS34.123-1-540 8.2.3.26 and 8.2.6.34. In these test cases neither the IE "Frequency info" nor the IE "Primary CPICH info" is included in reconfiguration message and UE shall perform cell selection. However T1-031208 was agreed in the previous T1 meeting and the CR was proposing that both the IE "Frequency info" and the IE "Primary CPICH info" be included.

Consequences if ↗

1. 3 and 4. The UE may become unreachable till the next periodic cell update

not approved:

procedure.

2. The UE may not be able to send the complete message if the first cell update procedure performed after cell reselection sends the UE to CELL_PCH/URA_PCH state.

5 From CR 2010. If the IE "UTRAN DRX cycle length coefficient" is not included in the reconfiguration message that sends the UE to CELL_PCH or URA_PCH, The UE will not be able to correctly complete the state transition and will not send a failure message leaving the UE in an unpredictable state. The subsequent procedure may also be affected due to the fact that the variable INVALID_CONFIGURATION is set to TRUE after the complete message is sent.

6 From CR 2010. If UTRAN tries to perform a new reconfiguration with the CELL_UPDATE_CONFIRM message sent immediately after a message ordering a state transition to CELL_PCH, the UE may reject the new configuration, since the old one is not yet completed.

Clauses affected: 8.1.3.7, 8.2.2.3

Other specs affected:

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other core specifications
Test specifications
O&M Specifications

TS 34.123

Other comments:

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

[...]

8.1.3.7 Physical channel failure or cell re-selection

- 1> If the UE failed to establish, per subclause 8.5.4, the physical channel(s) indicated in the RRC CONNECTION SETUP message; or
- 1> if the UE performs cell re-selection; or
- 1> if the UE will be in the CELL_FACH state at the conclusion of this procedure; and
- 1> if the received RRC CONNECTION SETUP message included the IE "Frequency info" and the UE could not find a suitable UTRA cell on that frequency but it could find a suitable UTRA cell on another frequency; or
- 1> if the received RRC CONNECTION SETUP message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE; or
- 1> if the contents of the variable C_RNTI is empty;
- 1> after having received an RRC CONNECTION SETUP message with the value of the IE "Initial UE identity" equal to the value of the variable INITIAL_UE_IDENTITY; and
- 1> before the RRC CONNECTION SETUP COMPLETE message is delivered to lower layers for transmission:

the UE shall:

- 1> clear the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS;
- 1> check the value of V300, and:
 - 2> if V300 is equal to or smaller than N300:
 - 3> set CFN in relation to SFN of current cell according to subclause 8.5.15;
 - 3> set the IEs in the RRC CONNECTION REQUEST message according to subclause 8.1.3.3;
 - 3> perform the mapping of the Access Class to an Access Service Class as specified in subclause 8.5.13, and apply the given Access Service Class when accessing the RACH;
 - 3> submit a new RRC CONNECTION REQUEST message to the lower layers for transmission on the uplink CCCH;
 - 3> increment counter V300; and
 - 3> restart timer T300 when the MAC layer indicates success or failure in transmitting the message.
 - 2> if V300 is greater than N300:
 - 3> enter idle mode;
 - 3> perform the actions specified in subclause 8.5.2 when entering idle mode from connected mode;
 - 3> consider the RRC establishment procedure to be unsuccessful;
 - 3> the procedure ends.

[...]

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

- 2> RADIO BEARER SETUP message; or
- 2> RADIO BEARER RECONFIGURATION message; or
- 2> RADIO BEARER RELEASE message; or
- 2> TRANSPORT CHANNEL RECONFIGURATION message; or
- 2> PHYSICAL CHANNEL RECONFIGURATION message;

1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:
 - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
 - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
 - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;
- 1> clear any stored HS-PDSCH configuration;
- 1> remove any H-RNTI stored;
- 1> clear the variable H_RNTI;
- 1> set the variable HS_DSCH_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- 1> if "DPCH frame offset" is included for one or more RLS in the active set:
 - 2> use its value to determine the beginning of the DPCH frame in accordance with the following:
 - 3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:
 - 4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).
 - 3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:
 - 4> set the variable INVALID_CONFIGURATION to TRUE.
 - 3> and the procedure ends.
- 2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency:
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection":
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection":

- 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4];-
 - 2> if the UE finds a suitable UTRA cell on the current frequency:
 - 3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 4> when the cell update procedure completed successfully:
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - ~~1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~
 - ~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~
 - ~~2> when the cell update procedure completed successfully:~~
 - ~~3> if the UE is in CELL_PCH or URA_PCH state:~~
 - ~~4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";~~
 - ~~4> proceed as below.~~
 - 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
 - 1> select PRACH according to subclause 8.5.17;
 - 1> select Secondary CCPCH according to subclause 8.5.19;
 - 1> use the transport format set given in system information;
 - 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> ignore that IE and stop using DRX.
 - 1> if the contents of the variable C_RNTI is empty:
 - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 2> when the cell update procedure completed successfully:

- 3> if the UE is in CELL_PCH or URA_PCH state:
 - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
 - 4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency;
 - 2> if the UE finds a suitable UTRA cell on that frequency:
 - 23> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD): [Editor's note: Indentation changed to B3]
 - 34> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection"; [Editor's note: Indentation changed to B4]
 - 34> when the cell update procedure completed successfully: [Editor's note: Indentation changed to B4]
 - 5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.
 - 4> proceed as below.
 - 2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:
 - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
 - 3> when the cell update procedure completed successfully:
 - 4> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included, the UE shall either

3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;

2> or

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and it is different from the current cell;

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> when the cell update procedure completed successfully;

5> if the UE is in CELL_PCH or URA_PCH state, initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission" and proceed as below.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall:

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message;

2> set the variable INVALID_CONFIGURATION to TRUE.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
 - 2> if the variable PDCP_SN_INFO is empty:
 - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop".
 - 2> else:
 - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
 - 3> configure the RLC entity for UM and AM radio bearers for which the IE "PDCP SN Info" is not included to "stop".
 - 2> re-establish RB2;
 - 2> for the downlink and the uplink, apply the ciphering configuration as follows:
 - 3> if the received re-configuration message included the IE "Ciphering Mode Info":
 - 4> use the ciphering configuration in the received message when transmitting the response message.
 - 3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:
 - 4> if the previous SECURITY MODE COMMAND was received due to new keys being received:
 - 5> consider the new ciphering configuration to include the received new keys.
 - 4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:
 - 5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN.
 - 4> apply the new ciphering configuration immediately following RLC re-establishment.
 - 3> else:
 - 4> continue using the current ciphering configuration.
 - 2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);
 - 2> increment by one the downlink and uplink HFN values for RB2;
 - 2> calculate the START value according to subclause 8.5.9;
 - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
 - 2> if the variable START_VALUE_TO_TRANSMIT is set:
 - 3> include and set the IE "START" to the value of that variable.
 - 2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:
 - 3> calculate the START value according to subclause 8.5.9;

- 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
 - 3> calculate the START value according to subclause 8.5.9;
 - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
 - 2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
 - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
 - 2> if prior to this procedure there exist no transparent mode RLC radio bearers:
 - 3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and
 - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
 - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ($CFN \bmod 8 = 0$) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP_SN_INFO is not empty:
 - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
 - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
 - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters ~~CELL_PCH~~ or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
 - 2> select a suitable UTRA cell according to [4].

- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
 - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

~~1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:~~

~~3> set the variable INVALID_CONFIGURATION to TRUE.~~

~~1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure completed successfully:~~

~~3> the procedure ends.~~

1> if the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled after cell selection:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_DCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

4> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

2> if the UE finds a suitable UTRA cell on the current frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on the current frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

If after state transition the UE enters CELL_PCH state from CELL_FACH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

2> if the UE finds a suitable UTRA cell on that frequency:

3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

1> proceed as below.

2> else, if the UE can not find a suitable UTRA cell on that frequency but it finds a suitable UTRA cell on another frequency:

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

1> proceed as below.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

~~2> if the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) is included the UE shall either~~

~~3> ignore the content of the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD) and proceed as below;~~

~~2> or~~

~~3> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CPCH info" (for TDD), and it is different from the current cell;~~

~~4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";~~

~~4> proceed as below;~~

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS AND CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> the procedure ends.

~~1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:~~

~~2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";~~

~~2> when the cell update procedure is successfully completed:~~

~~3> the procedure ends.~~

~~1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:~~

~~2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";~~

~~2> when the URA update procedure is successfully completed:~~

~~3> the procedure ends.~~

[...]