TSG-RAN Meeting #20 ***RP-030307***
Hämeenlinna, Finland, 03-06 June 2003

Title: **CRs on 25.331 Rel'99 and shadows**

Corrections to security procedures in case of pending security configurations at SRNS Relocation

Source: **TSG-RAN WG2**

Agenda item: **7.2.3**

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	1985	1	R99	Corrections to security procedures in case of pending security configurations at SRNS Relocation	F	3.14.0	3.15.0	R2-031499	TEI
25.331	1986	1	Rel-4	Corrections to security procedures in case of pending security configurations at SRNS Relocation	A	4.8.0	4.9.0	R2-031500	TEI
25.331	1987	1	Rel-5	Corrections to security procedures in case of pending security configurations at SRNS Relocation	A	5.3.0	5.4.0	R2-031501	TEI

3GPP TSG-RAN2 Meeting #36
Paris, France, 18-23 May 2003

Tdoc R2-031499

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1985** ⌘ **rev** **1** ⌘ Current version: **3.e.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Corrections to security procedures in case of pending security configurations at SRNS Relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	May 19, 2003
Category:	⌘	**F**
Release:	⌘	R99

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change: ⌘

1. Pending configurations at Relocation

Pending UL New keys:

The specification today requires the UE use a START value of 0 in case new keys are pending for ciphering. However, the target is unable to determine the pending status of a new key configuration at the UE. A pending configuration is defined only from a transmitter (UE in case of UL) perspective.

Pending DL ciphering configurations:

Further it is not clear that the text related to UE actions in case of pending downlink ciphering configurations also applies to the case where the cipheirng configuration has not been reached in the downlink. The UE cannot determine the "pending" status of a DL configuration.

Pending UL IP configuration:

In case of integrity protection, the text today requires the UE to use a START value of 0 or START equal to the that sent in the response message in case of pending IP configurations (by definition in UL). However, the target cannot determine the pending status of a IP configuration in the UL.

Pending DL IP configuration:

There it is not clear that the text related to UE actions in the case of pending DL IP configuration also applies in the case where the integrity protection configuration is not reached in the downlink. The UE cannot determine the "pending" status of a DL

configuration.

Since the source RNC cannot determine the pending status of a security configuration at the UE, a reliable relocation procedure cannot be initiated. Thus relocations would not work.

2. The UE actions in terms of what ciphering configuration to use for RB2 when sending the response message to a relocation triggering message is not clear.

3. In the case of relocation where the relocation trigger does not include the IE "ciphering Mode Info" (i.e. no algorithm change) then there are no UE actions specified for the ciphering configuration to use in case of pending SMC. The text today incorrectly states that the UE shall not change the ciphering configuration (even if SMC is pending) which seems contradictory to the required behaviour.

Summary of change: ⌘

1. <u>Pending Configurations at Relocation:</u>

1.1 It is now specified that the UE shall set the activation time for integrity protection in the uplink to the next message.

1.2 The SRNS relocation container from source to target semantics description for the security parameters is updated.

1.3 The UE shall advance the "Downlink RRC SN" and "Uplink RRC SN" to (activation time –1) in the variable INTEGRITY_PROTECTION_INFO, if the activation time for a new integrity configuration has not been reached at the time of SRNS relocation.

1.4 In sub-clauses 8.6.3.4 and 8.6.3.5.2, UE actions regarding setting of HFN values is deleted. Since there is no pending configuration in the uplink, the UE shall use the HFN as appropriate for the corresponding case – new keys or domain switch.

1.5 It is further corrected that the UE actions in terms of what integrity protection and ciphering configurations to use are to be applied both in the uplink and downlink.

<u>2.Ciphering configuration for UL RB2 at relocation:</u>

It is now specified that after re-stablishing RB2 the new ciphering configuration in the relocation message if included alongwith any pending keys from a previously pending SECURITY MODE COMMAND message should be used when transmitting the response message to the target.

3. <u>Ciphering related actions at relocation when IE "Ciphering Mode Info" is not present:</u>

Actions similar to the case when IE "Ciphering Mode Info" is present are added for the case where IE "Ciphering Mode Info" is not present.

Consequences if not approved: ⌘

Isolated Impact Statement: **<u>This CR has isolated impact and impacts only "SRNS Relocation in case of pending security configurations" functionality.</u>** The UTRAN will not be able to perform SRNS relocation in a deterministic/reliable way.

<u>If UE does not implement CR but network does</u>: De-synchronization of HFNs could result causing eventual loss of connection at relocation in case of pending security configurations at SRNS relocation. UEs not implementing the setting of activation times for integrity protection to the next sequence number will continue to function as normal for all security functions other than in the case of pending security configurations at the

	time of SRNS relocation. **<u>If Network does not implement CR but UE does</u>** -: De-synchronization of HFNs could result causing eventual loss of connection at relocation.

Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3, 8.6.3.4, 8.6.3.5.2, 8.6.3.5.3, 14.12.4.2			
Other specs affected:	⌘	**Y** / **N** **N**	Other core specifications	⌘	
		Y	Test specifications		34.123-1
		N	O&M Specifications		
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> apply the hard handover procedure as specified in subclause 8.3.5;

1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent:

2> not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

1> act on received information elements as specified in subclause 8.6;

1> if the IE "UE Timers and constants in connected mode" is present:

2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and

2> for each updated timer value:

3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

2> for each updated constant value:

3> start using the new value directly;

1> if the IE "CN domain specific DRX cycle length coefficient" is present:

2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and

2> use the value to determine the connected mode paging occasions according to [4].

1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.

1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;

1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.

1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:

2> when RLC has confirmed the successful transmission of the response message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

1> if the variable PDCP_SN_INFO is empty; and

2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

1> if the variable PDCP_SN_INFO is non-empty:

2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> clear the variable PDCP_SN_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":

2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.6.3.4 Ciphering mode info

The IE "Ciphering mode info" defines the new ciphering configuration. At any given time, the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

1> ignore this second attempt to change the ciphering configuration; and

1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Ciphering mode info" was included in a message that is not the message SECURITY MODE COMMAND; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Ciphering activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":

2> ignore this attempt to change the ciphering configuration;

2> set the variable INVALID_CONFIGURATION to TRUE;

2> perform the actions as specified in subclause 8.1.12.4c.

1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;

1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";

1> apply the new ciphering configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;

2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> for the downlink and the uplink, apply the new ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having ~~elapsed~~ been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

2~~3~~> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":

4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:

5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.

4> select an "RLC sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:

5> consider a ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> for radio bearers and signalling radio bearers except SRB2:

7> set the same value as the pending ciphering activation time.

6> for signalling radio bearer SRB2:

7> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

4> store the selected "RLC sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

4> switch to the new ciphering configuration according to the following:

5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE

"Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;

5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration both in uplink and downlink immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

1> for the downlink and the uplink, apply the ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the ciphering configuration to include the received new keys;

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

3> apply the ciphering configuration in uplink and downlink immediately following RLC re-establishment;

2> else:

~~1~~3> not change the ciphering configuration.

8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE, the UE shall:

1> ignore this second attempt to change the integrity protection configuration; and

1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started" and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not Started"; or

If the IE "Integrity protection mode command" has the value "Start", the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or

If the IE "Integrity protection mode command" has the value "Modify" and there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS"; or

If the IE "Integrity protection mode command" has the value "Modify", the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:

the UE shall:

1> ignore this attempt to change the integrity protection configuration; and

1> set the variable INVALID_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

1> not change the integrity protection configuration.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to FALSE, the UE shall:

1> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to TRUE;

1> perform the actions in accordance with subclauses 8.6.3.5.1, 8.6.3.5.2 and 8.6.3.5.3.

8.6.3.5.1 Initialisation of Integrity Protection

The UE shall:

1> if the IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:

2> initialise the information for all signalling radio bearers in the variable INTEGRITY_PROTECTION_INFO according to the following:

3> set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO to zero;

3> do not set the IE "Downlink RRC Message sequence number" in the variable INTEGRITY_PROTECTION_INFO;

3> set the variable INTEGRITY_PROTECTION_ACTIVATION_INFO to zero for each signalling radio bearer in the IE "ESTABLISHED_RABS".

NOTE: The IEs "Integrity protection activation info" and "RRC Message sequence number"included in the IE "Integrity Check Info" in the transmitted message do not have identical values, but integrity protection is applied from the first transmitted message.

2> set the IE "Status" in the variable INTEGRITY_PROTECTION_INFO to the value "Started";

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:

3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";

3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RB2 at the next received RRC message;

2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:

3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";

3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].

2> let RBm be the signalling radio bearer where the reconfiguration message was received and let RBn be the signalling radio bearer where the response message is transmitted;

2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB0 and the radio bearer where the response message is transmitted;

2> for the downlink, for each signalling radio bearer, if for ~~a~~ the signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND has not yet been applied, due to the activation time for the signalling radio bearer not having ~~elapsed~~been reached:

3> set "Down link RRC Message sequence number" for this signalling radio bearer in the variable INTEGRITY_PROTECTION_INFO to (activation time -1), where the activation time is the corresponding activation time for this signalling radio bearer;

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new integrity protection configuration to include the received new keys; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message for the corresponding signalling radio bearer;

2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

1> if the IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:

2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer n, at the first received message with RRC Sequence number greater than or equal to the RRC sequence number indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;

3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);

2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:

3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:

4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:

5> for each signalling radio bearer except RB0~~that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration~~:

6> set the activation time for the new integrity protection configuration to the next RRC SN~~set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration~~.

~~5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:~~

~~6> set the same value as the pending activation time for integrity protection;~~

~~5> consider an integrity protection activation time in uplink to be pending until the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.~~

4> for signalling radio bearer RB0:

5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.

4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfigurat	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			ion Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			)	bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. ~~For each SRB, this IE corresponds to the last value used.~~
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source. ~~For each SRB, this IE corresponds to the last value used.~~ In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0.. 15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time - 1). ~~used.~~
>>Downlink RRC Message sequence number	MP		Integer (0.. 15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1). ~~used.~~ In particular, for SRB2, this IE should not take into account the RRC message that will

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		<maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN2 Meeting #36
Paris, France, 18-23 May 2003

Tdoc R2-031500

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1986** ⌘**rev** **1** ⌘ Current version: **4.9.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Corrections to security procedures in case of pending security configurations at SRNS Relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	May 19, 2003
Category:	⌘	**A**
Release:	⌘	Rel-4

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

1. Pending configurations at Relocation

Pending UL New keys:

The specification today requires the UE use a START value of 0 in case new keys are pending for ciphering. However, the target is unable to determine the pending status of a new key configuration at the UE. A pending configuration is defined only from a transmitter (UE in case of UL) perspective.

Pending DL ciphering configurations:

Further it is not clear that the text related to UE actions in case of pending downlink ciphering configurations also applies to the case where the cipheirng configuration has not been reached in the downlink. The UE cannot determine the "pending" status of a DL configuration.

Pending UL IP configuration:

In case of integrity protection, the text today requires the UE to use a START value of 0 or START equal to the that sent in the response message in case of pending IP configurations (by definition in UL). However, the target cannot determine the pending status of a IP configuration in the UL.

Pending DL IP configuration:

There it is not clear that the text related to UE actions in the case of pending DL IP configuration also applies in the case where the integrity protection configuration is not reached in the downlink. The UE cannot determine the "pending" status of a DL

configuration.

Since the source RNC cannot determine the pending status of a security configuration at the UE, a reliable relocation procedure cannot be initiated. Thus relocations would not work.

2. The UE actions in terms of what ciphering configuration to use for RB2 when sending the response message to a relocation triggering message is not clear.

3. In the case of relocation where the relocation trigger does not include the IE "ciphering Mode Info" (i.e. no algorithm change) then there are no UE actions specified for the ciphering configuration to use in case of pending SMC. The text today incorrectly states that the UE shall not change the ciphering configuration (even if SMC is pending) which seems contradictory to the required behaviour.

Summary of change: ⌘

1. <u>Pending Configurations at Relocation:</u>

1.1 It is now specified that the UE shall set the activation time for integrity protection in the uplink to the next message.

1.2 The SRNS relocation container from source to target semantics description for the security parameters is updated.

1.3 The UE shall advance the "Downlink RRC SN" and "Uplink RRC SN" to (activation time –1) in the variable INTEGRITY_PROTECTION_INFO, if the activation time for a new integrity configuration has not been reached at the time of SRNS relocation.

1.4 In sub-clauses 8.6.3.4 and 8.6.3.5.2, UE actions regarding setting of HFN values is deleted. Since there is no pending configuration in the uplink, the UE shall use the HFN as appropriate for the corresponding case – new keys or domain switch.

1.5 It is further corrected that the UE actions in terms of what integrity protection and ciphering configurations to use are to be applied both in the uplink and downlink.

<u>2.Ciphering configuration for UL RB2 at relocation:</u>

It is now specified that after re-stablishing RB2 the new ciphering configuration in the relocation message if included alongwith any pending keys from a previously pending SECURITY MODE COMMAND message should be used when transmitting the response message to the target.

3. <u>Ciphering related actions at relocation when IE "Ciphering Mode Info" is not present:</u>

Actions similar to the case when IE "Ciphering Mode Info" is present are added for the case where IE "Ciphering Mode Info" is not present.

Consequences if not approved: ⌘

Isolated Impact Statement: **<u>This CR has isolated impact and impacts only "SRNS Relocation in case of pending security configurations" functionality.</u>** The UTRAN will not be able to perform SRNS relocation in a deterministic/reliable way.

<u>If UE does not implement CR but network does</u>: De-synchronization of HFNs could result causing eventual loss of connection at relocation in case of pending security configurations at SRNS relocation. UEs not implementing the setting of activation times for integrity protection to the next sequence number will continue to function as normal for all security functions other than in the case of pending security configurations at the

	time of SRNS relocation. **<u>If Network does not implement CR but UE does</u>** -: De-synchronization of HFNs could result causing eventual loss of connection at relocation.

Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3, 8.6.3.4, 8.6.3.5.2, 8.6.3.5.3,14.12.4.2			
Other specs affected:	⌘	**Y** / **N** **N**	Other core specifications	⌘	
		Y	Test specifications		34.123-1
		N	O&M Specifications		
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> apply the hard handover procedure as specified in subclause 8.3.5;

1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C_RNTI.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C_RNTI. If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":

2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 =0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS_AND_CONSTANTS;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

1> act on received information elements as specified in subclause 8.6;

1> if the IE "UE Timers and constants in connected mode" is present:

2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and

2> for each updated timer value:

3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

2> for each updated constant value:

3> start using the new value directly;

1> if the IE "CN domain specific DRX cycle length coefficient" is present:

2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and

2> use the value to determine the connected mode paging occasions according to [4].

1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.

1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;

1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.

1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:

2> when RLC has confirmed the successful transmission of the response message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

1> if the variable PDCP_SN_INFO is empty; and

2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

1> if the variable PDCP_SN_INFO is non-empty:

2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> clear the variable PDCP_SN_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":

2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.6.3.4 Ciphering mode info

The IE "Ciphering mode info" defines the new ciphering configuration. At any given time, the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

1> ignore this second attempt to change the ciphering configuration; and

1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Ciphering mode info" was included in a message that is not the message SECURITY MODE COMMAND; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Ciphering activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":

2> ignore this attempt to change the ciphering configuration;

2> set the variable INVALID_CONFIGURATION to TRUE;

2> perform the actions as specified in subclause 8.1.12.4c.

1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;

1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";

1> apply the new ciphering configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;

2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> for the downlink and the uplink, apply the new ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having ~~elapsed~~ been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

~~3~~2> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":

4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:

5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.

4> select an "RLC sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:

5> consider a ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> for radio bearers and signalling radio bearers except SRB2:

7> set the same value as the pending ciphering activation time.

6> for signalling radio bearer SRB2:

7> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

4> store the selected "RLC sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

4> switch to the new ciphering configuration according to the following:

5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;

5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration both in uplink and downlink immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

1> for the downlink and the uplink, apply the ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the ciphering configuration to include the received new keys;

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

3> apply the ciphering configuration in uplink and downlink immediately following RLC re-establishment;

2> else:

~~1~~3> not change the ciphering configuration.

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:

3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";

3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].

2> let RBm be the signalling radio bearer where the reconfiguration message was received and let RBn be the signalling radio bearer where the response message is transmitted;

2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB0 and the radio bearer where the response message is transmitted;

2> for the downlink, for each signalling radio bearer, if for ~~a~~ the signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND has not yet been applied, due to the activation time for the signalling radio bearer not having ~~elapsed~~been reached:

3> set "Down link RRC Message sequence number" for this signalling radio bearer in the variable INTEGRITY_PROTECTION_INFO to (activation time - 1), where the activation time is the corresponding activation time for this signalling radio bearer;

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new integrity protection configuration to include the received new keys; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message for the corresponding signalling radio bearer;

2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

1> if the IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:

2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer n, at the first received message with RRC Sequence number greater than or equal to the RRC sequence number indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;

3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);

2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:

3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:

4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:

5> for each signalling radio bearer except RB0~~that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration~~:

6> set the activation time for the new integrity protection configuration to the next RRC SN~~set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration~~.

~~5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:~~

~~6> set the same value as the pending activation time for integrity protection;~~

~~5> consider an integrity protection activation time in uplink to be pending until the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.~~

4> for signalling radio bearer RB0:

5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.

4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.~~For each SRB, this IE~~

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				~~corresponds to the last value used.~~
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.~~For each SRB, this IE corresponds to the last value used.~~ In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time -1).~~used~~.
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1).~~used~~. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting	OP		Traffic	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
quantity			volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.

3GPP TSG-RAN2 Meeting #36
Paris, France, 18-23 May 2003

Tdoc R2-031501

CR-Form-v7

CHANGE REQUEST

⌘ **25.331** **CR** **1987** ⌘ **rev** **1** ⌘ Current version: **5.4.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [X] Core Network [ ]

Title:	⌘	Corrections to security procedures in case of pending security configurations at SRNS Relocation
Source:	⌘	RAN WG2
Work item code:	⌘	TEI
Date:	⌘	May 19, 2003
Category:	⌘	**A**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 *(GSM Phase 2)*
R96 *(Release 1996)*
R97 *(Release 1997)*
R98 *(Release 1998)*
R99 *(Release 1999)*
Rel-4 *(Release 4)*
Rel-5 *(Release 5)*
Rel-6 *(Release 6)*

Reason for change: ⌘

1. Pending configurations at Relocation

Pending UL New keys:

The specification today requires the UE use a START value of 0 in case new keys are pending for ciphering. However, the target is unable to determine the pending status of a new key configuration at the UE. A pending configuration is defined only from a transmitter (UE in case of UL) perspective.

Pending DL ciphering configurations:

Further it is not clear that the text related to UE actions in case of pending downlink ciphering configurations also applies to the case where the cipheirng configuration has not been reached in the downlink. The UE cannot determine the "pending" status of a DL configuration.

Pending UL IP configuration:

In case of integrity protection, the text today requires the UE to use a START value of 0 or START equal to the that sent in the response message in case of pending IP configurations (by definition in UL). However, the target cannot determine the pending status of a IP configuration in the UL.

Pending DL IP configuration:

There it is not clear that the text related to UE actions in the case of pending DL IP configuration also applies in the case where the integrity protection configuration is not reached in the downlink. The UE cannot determine the "pending" status of a DL

configuration.

Since the source RNC cannot determine the pending status of a security configuration at the UE, a reliable relocation procedure cannot be initiated. Thus relocations would not work.

2. The UE actions in terms of what ciphering configuration to use for RB2 when sending the response message to a relocation triggering message is not clear.

3. In the case of relocation where the relocation trigger does not include the IE "ciphering Mode Info" (i.e. no algorithm change) then there are no UE actions specified for the ciphering configuration to use in case of pending SMC. The text today incorrectly states that the UE shall not change the ciphering configuration (even if SMC is pending) which seems contradictory to the required behaviour.

Summary of change: ⌘

1. <u>Pending Configurations at Relocation:</u>

1.1 It is now specified that the UE shall set the activation time for integrity protection in the uplink to the next message.

1.2 The SRNS relocation container from source to target semantics description for the security parameters is updated.

1.3 The UE shall advance the "Downlink RRC SN" and "Uplink RRC SN" to (activation time –1) in the variable INTEGRITY_PROTECTION_INFO, if the activation time for a new integrity configuration has not been reached at the time of SRNS relocation.

1.4 In sub-clauses 8.6.3.4 and 8.6.3.5.2, UE actions regarding setting of HFN values is deleted. Since there is no pending configuration in the uplink, the UE shall use the HFN as appropriate for the corresponding case – new keys or domain switch.

1.5 It is further corrected that the UE actions in terms of what integrity protection and ciphering configurations to use are to be applied both in the uplink and downlink.

<u>2.Ciphering configuration for UL RB2 at relocation:</u>

It is now specified that after re-stablishing RB2 the new ciphering configuration in the relocation message if included alongwith any pending keys from a previously pending SECURITY MODE COMMAND message should be used when transmitting the response message to the target.

3. <u>Ciphering related actions at relocation when IE "Ciphering Mode Info" is not present:</u>

Actions similar to the case when IE "Ciphering Mode Info" is present are added for the case where IE "Ciphering Mode Info" is not present.

Consequences if not approved: ⌘

Isolated Impact Statement: **<u>This CR has isolated impact and impacts only "SRNS Relocation in case of pending security configurations" functionality.</u>** The UTRAN will not be able to perform SRNS relocation in a deterministic/reliable way.

<u>If UE does not implement CR but network does</u>: De-synchronization of HFNs could result causing eventual loss of connection at relocation in case of pending security configurations at SRNS relocation. UEs not implementing the setting of activation times for integrity protection to the next sequence number will continue to function as normal for all security functions other than in the case of pending security configurations at the

	time of SRNS relocation. **<u>If Network does not implement CR but UE does</u>** -: De-synchronization of HFNs could result causing eventual loss of connection at relocation.

Clauses affected:	⌘	8.2.2.3, 8.3.1.6, 8.3.3.3, 8.6.3.4, 8.6.3.5.2, 8.6.3.5.3,14.12.4.2			
Other specs affected:	⌘	**Y** / **N** **N**	Other core specifications	⌘	
		Y	Test specifications		34.123-1
		N	O&M Specifications		
Other comments:	⌘				

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

1> be able to receive any of the following messages:

2> RADIO BEARER SETUP message; or

2> RADIO BEARER RECONFIGURATION message; or

2> RADIO BEARER RELEASE message; or

2> TRANSPORT CHANNEL RECONFIGURATION message; or

2> PHYSICAL CHANNEL RECONFIGURATION message;

1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

1> set the variable ORDERED_RECONFIGURATION to TRUE;

1> if the UE will enter the CELL_DCH state from any state other than CELL_DCH state at the conclusion of this procedure:

2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).

1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:

2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and

2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL_DCH state, the UE shall, after the state transition:

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI.

If after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;

1> clear any stored HS-PDSCH configuration;

1> remove any H-RNTI stored;

1> clear the variable H_RNTI;

1> set the variable HS_DSCH_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH_RNTI.

If the UE was in CELL_DCH state upon reception of the reconfiguration message and remains in CELL_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> if "DPCH frame offset" is included for one or more RLs in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> use the transport format set given in system information;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

1> if the contents of the variable C_RNTI is empty:

2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL_PCH or URA_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

If the UE was in CELL_FACH state upon reception of the reconfiguration message and remains in CELL_FACH state, the UE shall:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency;

2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

3> when the cell update procedure completed successfully:

4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or

1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);

2> increment by one the downlink and uplink HFN values for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":

2> if the variable START_VALUE_TO_TRANSMIT is set:

3> include and set the IE "START" to the value of that variable.

2> if the variable START_VALUE_TO_TRANSMIT is not set and the IE "New U-RNTI" is included:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".

2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:

3> calculate the START value according to subclause 8.5.9;

3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".

1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> if the received reconfiguration message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":

2> if prior to this procedure there exist no transparent mode RLC radio bearers:

3> if, at the conclusion of this procedure, the UE will be in CELL_DCH state; and

3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:

4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.

NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.

1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the variable PDCP_SN_INFO is not empty:

2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP_SN_INFO.

1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.

1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL_PCH or URA_PCH state, the UE shall, after the state transition and transmission of the response message:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> prohibit periodical status transmission in RLC;

1> remove any C-RNTI from MAC;

1> clear the variable C_RNTI;

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

1> if the UE enters CELL_PCH state from CELL_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure completed successfully:

3> the procedure ends.

1> if the UE enters CELL_PCH state from CELL_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

2> when the cell update procedure is successfully completed:

3> the procedure ends.

1> if the UE enters URA_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

2> when the URA update procedure is successfully completed:

3> the procedure ends.

8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U_RNTI; or
- if the message is received on DCCH:

the UE may:

1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL_FACH" or "CELL_PCH" or URA_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN.

2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> for radio bearers with RB identity 5 and upwards:

4> re-establish the AM RLC entities;

4> if the value of the IE "Status" in the variable CIPHERING_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS is set to "Started":

5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED_RABS.

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected".

1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL_DCH state, it shall:

1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);

1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL_FACH state, it shall

1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select PRACH according to subclause 8.5.17;

1> select Secondary CCPCH according to subclause 8.5.19;

1> not prohibit periodical status transmission in RLC;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> ignore that IE and stop using DRX.

If the UE after state transition enters URA_PCH or CELL_PCH state, it shall:

1> prohibit periodical status transmission in RLC;

1> clear the variable C_RNTI;

1> stop using that C_RNTI just cleared from the variable C_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL_FACH state; and

1> the contents of the variable C_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

5> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

5> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED_SIGNALLING_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED_RABS) to upper layers;

2> clear the variable ESTABLISHED_SIGNALLING_CONNECTIONS;

2> clear the variable ESTABLISHED_RABS;

2> enter idle mode;

2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;

2> and the procedure ends.

If the UE after the state transition remains in CELL_FACH state; and

- a C-RNTI is stored in the variable C_RNTI;

or

- the UE after the state transition moves to another state than the CELL_FACH state:

the UE shall:

1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:

2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;

2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":

3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.

1> in case of a cell update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry.

1> in case of a URA update procedure:

2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

2> clear that entry;

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP_SN_INFO.

1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.

1> transmit a response message as specified in subclause 8.3.1.7;

1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

1> if the variable ORDERED_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:

2> set the variable ORDERED_RECONFIGURATION to FALSE.

1> clear the variable PDCP_SN_INFO;

1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

3> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

3> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

3> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE.

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> in case of a cell update procedure:

2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL_UPDATE_STARTED to FALSE;

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

1> act on received information elements as specified in subclause 8.6;

1> if the IE "UE Timers and constants in connected mode" is present:

2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS_AND_CONSTANTS, replacing any previously stored value for each timer and constant; and

2> for each updated timer value:

3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

2> for each updated constant value:

3> start using the new value directly;

1> if the IE "CN domain specific DRX cycle length coefficient" is present:

2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and

2> use the value to determine the connected mode paging occasions according to [4].

1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and

1> clear that entry;

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":

2> set the IE "Status" in the variable SECURITY_MODIFICATION for all the CN domains in the variable SECURITY_MODIFICATION to "Affected";

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the variable PDCP_SN_INFO is non-empty:

2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP_SN_INFO.

1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":

2> re-establish RB2;

2> for the downlink and the uplink, apply the new ciphering configuration as follows:

3> if the received re-configuation message included the IE “Ciphering Mode Info”:

4> use the ciphering configuration in the received message when transmitting the response message;

3> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because the activation times not having been reached:

4> if the previous SECURITY MODE COMMAND was received due to new keys being received:

5> consider the new ciphering configuration to include the received new keys;

4> if the ciphering configuration for RB2 from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

5> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

4> apply the new ciphering configuration immediately following RLC re-establishment.

2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);

2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;

2> calculate the START value according to subclause 8.5.9;

2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;

1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.

1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:

2> when RLC has confirmed the successful transmission of the response message:

3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST_CONFIGURED_CN_DOMAIN;

3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

3> if the IE "PDCP context relocation info" is not present:

4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED_RABS as specified in [36].

3> if the IE "PDCP context relocation info" is present:

4> perform the actions as specified in subclause 8.6.4.13.

1> if the variable PDCP_SN_INFO is empty; and

2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":

3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.

1> if the variable PDCP_SN_INFO is non-empty:

2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:

3> for each radio bearer in the variable PDCP_SN_INFO:

4> if the IE "RB started" in the variable ESTABLISHED_RABS is set to "started":

5> configure the RLC entity for that radio bearer to "continue".

3> clear the variable PDCP_SN_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;

2> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to FALSE; and

2> clear the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO.

1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":

2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

2> set the IE "Reconfiguration" in the variable INTEGRITY_PROTECTION_INFO to FALSE; and

2> clear the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

1> clear the variable SECURITY_MODIFICATION.

The procedure ends.

8.6.3.4 Ciphering mode info

The IE "Ciphering mode info" defines the new ciphering configuration. At any given time, the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain at any given time in total for all radio bearers and three configurations in total for all signalling radio bearers.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to TRUE, the UE shall:

1> ignore this second attempt to change the ciphering configuration; and

1> set the variable INCOMPATIBLE_SECURITY_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING_STATUS is set to FALSE, the UE shall:

1> if none of the IE "Status" in the variable CIPHERING STATUS has the value "Started", and this IE "Ciphering mode info" was included in a message that is not the message SECURITY MODE COMMAND; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and the IE "Ciphering activation time for DPCH" is not included in the message, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED_RABS" for the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN; or

1> if the IE "Ciphering Mode Info" was received in the message SECURITY MODE COMMAND and there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED_RABS":

2> ignore this attempt to change the ciphering configuration;

2> set the variable INVALID_CONFIGURATION to TRUE;

2> perform the actions as specified in subclause 8.1.12.4c.

1> set the IE "Reconfiguration" in the variable CIPHERING_STATUS to TRUE;

1> set the IE "Status" in the variable CIPHERING_STATUS of the CN domains for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" to "Started";

1> apply the new ciphering configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

2> using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;

2> for each radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY_MODIFICATION is set to "Affected" and all signalling radio bearers:

3> using the value of the IE "RB identity" in the variable ESTABLISHED_RABS minus one as the value of BEARER [40] in the ciphering algorithm.

1> for the downlink and the uplink, apply the new ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having ~~elapsed~~ been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new ciphering configuration to include the received new keys; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; ~~and~~

~~4> initialise the HFN values of the COUNT-C for the corresponding radio bearers or signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

~~3~~2> apply the new ciphering configuration in uplink and downlink immediately following RLC re-establishment.

2> if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL_DCH state prior to this procedure:

3> for radio bearers using RLC-TM:

4> apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";

4> apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".

2> if the IE "Radio bearer downlink ciphering activation time info" is present:

3> apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":

4> suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:

5> do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is selected according to the rules below.

4> select an "RLC sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:

5> consider a ciphering activation time in uplink to be pending until the RLC sequence number of the next RLC PDU to be transmitted for the first time is equal to or larger than the selected activation time;

5> for each radio bearer and signalling radio bearer that has no pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

5> for each radio bearer and signalling radio bearer that has a pending ciphering activation time in uplink as set by a previous procedure changing the security configuration:

6> for radio bearers and signalling radio bearers except SRB2:

7> set the same value as the pending ciphering activation time.

6> for signalling radio bearer SRB2:

7> set a suitable value that would ensure a minimised delay in the change to the latest ciphering configuration.

4> store the selected "RLC sequence number" for that radio bearer in the entry for the radio bearer in the variable RB_UPLINK_CIPHERING_ACTIVATION_TIME_INFO;

4> switch to the new ciphering configuration according to the following:

5> use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;

5> for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;

5> if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration both in uplink and downlink immediately after the RLC reset or RLC re-establishment.

If the IE "Ciphering mode info" is not present, the UE shall:

1> for the downlink and the uplink, apply the ciphering configuration as follows:

2> if the ciphering configuration for a AM or UM radio bearer or signalling radio bearer from a previously received SECURITY MODE COMMAND has not yet been applied because of the corresponding activation times not having been reached and the current received message includes the IE "DL Counter Synch Info" or the current received message is a RADIO BEARER RECONFIGURATION message and includes the IE "New U-RNTI":

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the ciphering configuration to include the received new keys;

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the ciphering configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN; and

3> apply the ciphering configuration in uplink and downlink immediately following RLC re-establishment;

2> else:

~~1~~3> not change the ciphering configuration.

8.6.3.5.2 Integrity Protection Re-configuration for SRNS Relocation

The UE shall:

1> if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:

3> using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";

3> using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].

2> let RBm be the signalling radio bearer where the reconfiguration message was received and let RBn be the signalling radio bearer where the response message is transmitted;

2> prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED_RABS" except on RB0 and the radio bearer where the response message is transmitted;

2> for the downlink, for each signalling radio bearer, if for ~~a~~ the signalling radio bearer, a security configuration triggered by a previous SECURITY MODE COMMAND has not yet been applied, due to the activation time for the signalling radio bearer not having ~~elapsed~~been reached:

3> set "Down link RRC Message sequence number" for this signalling radio bearer in the variable INTEGRITY_PROTECTION_INFO to (activation time - 1), where the activation time is the corresponding activation time for this signalling radio bearer;

3> if the previous SECURITY MODE COMMAND was received due to new keys being received:

4> consider the new integrity protection configuration to include the received new keys; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12.~~

3> else if the previous SECURITY MODE COMMAND caused a change in LATEST_CONFIGURED_CN_DOMAIN:

4> consider the new Integrity Protection configuration to include the keys associated with the LATEST_CONFIGURED_CN_DOMAIN associated with the previously received SECURITY MODE COMMAND; ~~and~~

~~4> initialise the HFN of the COUNT-I values of the corresponding signalling radio bearers according to subclause 8.1.12 using the START value associated with the LATEST_CONFIGURED_CN_DOMAIN to be transmitted in the response to the current message (and not the START value in the most recently transmitted IE "START list" or IE "START" at the reception of the SECURITY MODE COMMAND).~~

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED_RABS" except RBm at the next received RRC message for the corresponding signalling radio bearer;

2> start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;

2> start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn from the first message onwards.

8.6.3.5.3 Integrity Protection modification in case of new keys or initialisation of signalling connection

The UE shall:

1> if the IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY_PROTECTION_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:

2> store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;

2> start applying the new integrity protection configuration in the downlink for each signalling radio bearer n, at the first received message with RRC Sequence number greater than or equal to the RRC sequence number indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";

2> perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;

3> if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);

2> set the content of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO according to the following:

3> for each established signalling radio bearer, stored in the variable ESTABLISHED_RABS:

4> select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:

5> for each signalling radio bearer except RB0~~that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration~~:

6> set the activation time for the new integrity protection configuration to the next RRC SN~~set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration~~.

~~5> for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:~~

~~6> set the same value as the pending activation time for integrity protection;~~

~~5> consider an integrity protection activation time in uplink to be pending until the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.~~

4> for signalling radio bearer RB0:

5> set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY_PROTECTION_INFO, plus the value of the constant N302 plus one.

4> prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY_PROTECTION_ACTIVATION_INFO.

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY_PROTECTION_ACTIVATION_INFO;

2> start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration;

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";

NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".

2> start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2, as specified for the procedure initiating the integrity protection reconfiguration.

14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

With the presence or absence of the IE "RB identity for Hard Handover message" the source RNC indicates to the target SRNC whether the source RNC expects to receive the choice "DL DCCH message" in the IE "RRC information, target RNC to source RNC" in case the SRNS relocation is of type "UE involved". Furthermore the target RNC uses this information for the calculation of the MAC-I.

Direction: source RNC→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
RB identity for Handover message	OP		RB identity 10.3.4.16	Gives the id of the radio bearer on which the source RNC will transmit the RRC message in the case the relocation is of type "UE involved".
>State of RRC	MP		RRC state indicator, 10.3.3.35a	
>State of RRC procedure	MP		Enumerated (await no RRC message, await RB Release Complete, await RB Setup Complete, await RB Reconfiguration Complete, await Transport CH Reconfiguration Complete, await Physical CH Reconfiguration Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm,	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			send URA Update Confirm, , others)	
Ciphering related information				
>Ciphering status for each CN domain	MP	<1 to maxCNDomains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated(Not started, Started)	
>>START	MP		START 10.3.3.38	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name. In case this variable is empty, the source RNC can set any CN domain identity. In that case, the Ciphering status and the Integrity protection status should be Not started and the target RNC should not initialise the variable Latest configured CN domain.
>Calculation time for ciphering related information	CV-*Ciphering*			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(0..4095)	
>COUNT-C list	OP	1 to <maxCNdomains>		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxRB>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Downlink SN	CV-*SRB1*		Bit String(7)	VT(US) of RLC UM
>>Uplink HFN	MP		Bit string(20..25)	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
Integrity protection related information				
>Integrity protection status	MP		Enumerated(Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-*IP*	4 to <maxSRBsetup>		
>>Uplink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
				configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.~~For each SRB, this IE corresponds to the last value used.~~
>>Downlink RRC HFN	MP		Bit string (28)	For each SRB, in the case activation times for the next IP configuration to be applied on this SRB have already been reached this IE corresponds to the last value used. Else this value corresponds to the value the source would have initalized the HFN to at the activation time. Increment of HFN due to RRC SN roll over is taken care of by target based on value sent by the source.~~For each SRB, this IE corresponds to the last value used.~~ In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>>Uplink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value received or in the case activation time was not reached for a configuration the value equals (activation time -1).~~used~~.
>>Downlink RRC Message sequence number	MP		Integer (0..15)	For each SRB, this IE corresponds to the last value used or in the case activation time was not reached for a configuration the value equals (activation time -1).~~used~~. In particular, for SRB2, this IE should not take into account the RRC message that will trigger the relocation.
>Implementation specific parameters	OP		Bit string (1..512)	
RRC IEs				
UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.3.42a	
>Last known UE position	OP			
>>SFN	MP		Integer (0..4095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE *Position estimate*	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
>UE Specific Behaviour Information 1 idle	OP		UE Specific Behaviour Information idle 1 10.3.3.51	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
>UE Specific Behaviour Information 1 interRAT	OP		UE Specific Behaviour Information 1 interRAT 10.3.3.52	This IE should be included if received via the "INTER RAT HANDOVER INFO", the "RRC CONNECTION REQUEST", the IE "SRNS RELOCATION INFO" or the "Inter RAT Handover Info with Inter RAT Capabilities"
Other Information elements				
>UE system specific capability	OP	1 to <maxSystemCapability>		
>>Inter-RAT UE radio access capability	MP		Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS system information	MP		NAS system information (GSM-MAP) 10.3.1.9	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>CN domain related information	OP	1 to <MaxCNdomains>		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM-MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <MaxNoOfMeas>		
>>Measurement Identity	MP		Measurement identity 10.3.7.48	
>>Measurement Command	MP		Measurement command 10.3.7.46	
>>Measurement Type	CV-*Setup*		Measurement type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measurement reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measurements list 10.3.7.1	
>>CHOICE *Measurement*	OP			
>>>Intra-frequency				
>>>>Intra-frequency cell info	OP		Intra-frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra-frequency measurement quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra-frequency reporting quantity 10.3.7.41	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Intra-frequency measurement reporting criteria			Intra-frequency measurement reporting criteria 10.3.7.39	
>>>>>Periodical reporting			Periodical	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-frequency cell info list 10.3.7.13	
>>>>Inter-frequency measurement quantity	OP		Inter-frequency measurement quantity 10.3.7.18	
>>>>Inter-frequency reporting quantity	OP		Inter-frequency reporting quantity 10.3.7.21	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-frequency measurement reporting criteria			Inter-frequency measurement reporting criteria 10.3.7.19	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT cell info list 10.3.7.23	
>>>>Inter-RAT measurement quantity	OP		Inter-RAT measurement quantity 10.3.7.29	
>>>>Inter-RAT reporting quantity	OP		Inter-RAT reporting quantity 10.3.7.32	
>>>>Reporting cell status	OP		Reporting cell status 10.3.7.61	
>>>>Measurement validity	OP		Measurement validity 10.3.7.51	
>>>>CHOICE *report criteria*	OP			
>>>>>Inter-RAT measurement reporting criteria			Inter-RAT measurement reporting criteria 10.3.7.30	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume measurement Object	OP		Traffic volume measurement object 10.3.7.70	
>>>>Traffic volume measurement quantity	OP		Traffic volume measurement quantity 10.3.7.71	
>>>>Traffic volume reporting quantity	OP		Traffic volume reporting quantity 10.3.7.74	
>>>>CHOICE *report criteria*	OP			
>>>>>Traffic volume measurement reporting criteria			Traffic volume measurement reporting criteria 10.3.7.72	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>Quality				
>>>>Quality measurement Object	OP		Quality measurement object	
>>>>CHOICE *report criteria*	OP			
>>>>>Quality measurement reporting criteria			Quality measurement reporting criteria 10.3.7.58	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement quantity	OP		UE internal measurement quantity 10.3.7.79	
>>>>UE internal reporting quantity	OP		UE internal reporting quantity 10.3.7.82	
>>>>CHOICE *report criteria*	OP			
>>>>>UE internal measurement reporting criteria			UE internal measurement reporting criteria 10.3.7.80	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting			NULL	
>>>UE positioning				

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>LCS reporting quantity	OP		LCS reporting quantity 10.3.7.111	
>>>>CHOICE *report criteria*	OP			
>>>>>LCS reporting criteria			LCS reporting criteria 10.3.7.110	
>>>>>Periodical reporting			Periodical reporting criteria 10.3.7.53	
>>>>>No reporting				
Radio Bearer Information Elements				
>Predefined configuration status information	OP		Predefined configuration status information 10.3.4.5a	
>Signalling RB information list	MP	1 to <maxSRBsetup>		For each signalling radio bearer
>>Signalling RB information	MP		Signalling RB information to setup 10.3.4.24	
>RAB information list	OP	1 to <maxRABsetup>		Information for each RAB
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <MaxTrCH>		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE *mode*	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <MaxTrCH>		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <MaxTrCH>		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREMENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-*ProtErr*		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.
SRB1	The IE is mandatory present for RB1. Otherwise it is not needed.