

**TSG-RAN Meeting #20**  
**Hämeenlinna, Finland, 03-06 June 2003**

**RP-030296**

**Title:** CRs on 25.331 Rel'99 and shadows - Stopping RLC entities at relocation

**Source:** TSG-RAN WG2

**Agenda item:** 7.2.2

Spec	CR	Rev	Phase	Subject	Cat	Version-Current	Version-New	Doc-2nd-Level	Workitem
25.331	1956	1	R99	Stopping of RLC entities at relocation	F	3.14.0	3.15.0	R2-031466	TEI
25.331	1957	1	Rel-4	Stopping of RLC entities at relocation	A	4.9.0	4.10.0	R2-031467	TEI
25.331	1958	1	Rel-5	Stopping of RLC entities at relocation	A	5.4.0	5.5.0	R2-031468	TEI

## CHANGE REQUEST

⌘ **25.331 CR 1956** ⌘ rev **1** ⌘ Current version: **3.e.0** ⌘

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Stopping of RLC entities at relocation		
<b>Source:</b>	⌘ RAN WG2		
<b>Work item code:</b>	⌘ TEI	<b>Date:</b>	⌘ May 19, 2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ R99
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ The specification today requires the UE to stop transmitting data on receiving a SRNS relocation triggering message only in the case of lossless relocation. However, in the case of lossy relocation the UE is currently allowed to continue transmitting data in the uplink. If this data is received by the target RNC the target RNC may either ignore the PDUs or keep them in its buffer. The UE re-establishes its radio bearers after receiving the ACK to the response message - the exact time of this receipt of ACK is unknown to the network. Hence in the case where the network keeps the PDUs in the buffer the network may not be able to figure out which PDUs correspond to transmission pre- and post-re-establishment. This could lead to severe cases of HFN de-synchronization, etc. In the case the network chooses to ignore the data since the precise moment that the UE re-establishes is not known to the network it cannot determine at what point to stop ignoring the data and consider the UE to have successfully re-established the radio bearers.
	SRB1, SRB3 and SRB4 should provide lossless service.
	One alternate solution would be for the UTRAN to establish RBs a finite time after the response message is received - this will increase the probability that the ACK has been received by the UE and therefore that the UTRAN and UE are in sync. But this does not remove all potential for mis-match.
<b>Summary of change:</b>	⌘ The UE is required to stop transmitting on all radio bearers and signalling radio bearers until after receiving indication of successful transmission of the response message. In the case of lossless relocation RB1, RB3 and RB4 are also stopped/continued to ensure that messages are not lost.
<b>Consequences if not approved:</b>	⌘ The UE will continue to transmit on the uplink and cause potential desynchronization of

HFNs, etc.

**If UE does not implement CR but network does:** De-synchronization of HFNs could result causing eventual loss of connection.

**If Network does not implement CR but UE does** - changes proposed are in UE implementation where in the UE autonomously stops/continues RBs at relocation. There is therefore no network impact.

**Clauses affected:** ⌘ 8.2.2.3, 8.2.2.4, 8.3.1.6, 8.3.1.7, 8.3.3.3

	Y	N		
<b>Other specs affected:</b>	⌘	X	Other core specifications	⌘ 34.123-1
		X	Test specifications	
		X	O&M Specifications	

**Other comments:** ⌘

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message.

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED\_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL\_DCH state from any state other than CELL\_DCH state at the conclusion of this procedure:
  - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- 1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

- 1> in FDD; or
- 1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
  - 2> remove any C-RNTI from MAC;
  - 2> clear the variable C\_RNTI.

In FDD, if after state transition the UE leaves CELL\_DCH state, the UE shall, after the state transition:

- 1> remove any DSCH-RNTI from MAC;
- 1> clear the variable DSCH\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

- 1> if the IE "Uplink DPCH Info" is absent:
  - 2> not change its current UL Physical channel configuration.
- 1> in TDD:
  - 2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:
    - 3> remove any C-RNTI from MAC;
    - 3> clear the variable C\_RNTI.

If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4].
- 1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
  - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> if the UE is in CELL\_PCH or URA\_PCH state:
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - 4> proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;

- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C\_RNTI is empty:
  - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> if the UE is in CELL\_PCH or URA\_PCH state:
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - 4> proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency;
  - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
    - 3> when the cell update procedure completed successfully:
      - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP\_SN\_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;

- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is set:
      - 3> include and set the IE "START" to the value of that variable.
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
      - 3> calculate the START value according to subclause 8.5.9;
      - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
    - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
      - 3> calculate the START value according to subclause 8.5.9;
      - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
  - 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
    - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
  - 1> if the received reconfiguration message contained the IE "Ciphering mode info":
    - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
    - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
      - 3> if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
      - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
        - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ( $CFN \bmod 8 = 0$ ) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 1> clear that entry;
  - 1> if the variable PDCP\_SN\_INFO is not empty:
    - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
  - 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
    - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
  - 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C\_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the UE enters CELL\_PCH state from CELL\_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
  - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> the procedure ends.
- 1> if the UE enters CELL\_PCH state from CELL\_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
  - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - 2> when the cell update procedure is successfully completed:
    - 3> the procedure ends.
- 1> if the UE enters URA\_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
  - 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
  - 2> when the URA update procedure is successfully completed:
    - 3> the procedure ends.



#### 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- 1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

- 2> when RLC has confirmed the successful transmission of the response message:

- 3> if the variable PDCP\_SN\_INFO is empty:

- 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

- 3> else:

- 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;

- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;

- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].

- 1> if the variable PDCP\_SN\_INFO is empty:

- 2> if the received reconfiguration message contained the IE "Ciphering mode info":

- 3> when RLC has confirmed the successful transmission of the response message:

- 4> notify upper layers upon change of the security configuration;

- 4> perform the actions below.
- 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
  - 3> when RLC has been requested to transmit the response message:
    - 4> perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively);
  - 2> perform the actions below.

The UE shall:

- 1> set the variable ORDERED\_RECONFIGURATION to FALSE;
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> clear the variable START\_VALUE\_TO\_TRANSMIT;
- 1> clear the variable SECURITY\_MODIFICATION.

### 8.2.2.5 Reception of a response message by the UTRAN, normal case

When UTRAN has received

- the RADIO BEARER SETUP COMPLETE message; or
- the RADIO BEARER RECONFIGURATION COMPLETE message; or
- the RADIO BEARER RELEASE COMPLETE message; or
- the TRANSPORT CHANNEL RECONFIGURATION COMPLETE message; or
- the PHYSICAL CHANNEL RECONFIGURATION COMPLETE message.

UTRAN may:

- 1> delete the old configuration.

If the procedure caused the UE to leave the CELL\_FACH state, UTRAN may:

- 1> delete the C-RNTI of the UE.

If the IE "UL Timing Advance" is included in TDD, UTRAN should:

- 1> evaluate the timing advance value that the UE has to use in the new cell after handover.

If the IE "START" or the IE "START list " is included, UTRAN should:

- 1> set the START value for each CN domain with the corresponding values as received in this response message;
- 1> consequently, then use the START values to initialise the hyper frame numbers, in the same way as specified for the UE in subclause 8.2.2.3, for any new radio bearers that are established.

If UTRAN has ordered a ciphering reconfiguration by including the IE "Ciphering mode info", UTRAN should:

- 1> for radio bearers using RLC-AM or RLC-UM:
  - 2> use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - 2> use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - 2> if an RLC reset or re-establishment occurs after this response message has been received by UTRAN before the activation time for the new ciphering configuration has been reached:
    - 3> ignore the activation time; and
    - 3> apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- 1> for radio bearers using RLC-TM:
  - 2> begin incrementing the COUNT-C at the CFN only as indicated in:
    - 3> the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info", if included in the message that triggered the radio bearer control procedure; or
    - 3> the IE "COUNT-C activation time", if included in the response message for this procedure.

- 1> and the procedure ends on the UTRAN side.
- 
-

### 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE shall:

1> stop timer T302;

1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

2> includes "RB information elements"; and/or

2> includes "Transport channel information elements"; and/or

2> includes "Physical channel information elements"; and

2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:

3> set the variable ORDERED\_RECONFIGURATION to TRUE.

1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

2> if the IE "Frequency info" is included in the message:

3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or "URA\_PCH":

4> select a suitable UTRA cell according to [4] on that frequency;

4> act as specified in subclause 8.3.1.12.

3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":

4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

2> use the transport channel(s) applicable for the physical channel types that is used; and

2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

3> use the TFS given in system information.

2> if none of the TFS stored is compatible with the physical channel:

3> delete the stored TFS;

3> use the TFS given in system information.

2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":

4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> for radio bearers with RB identity 5 and upwards:
    - 4> re-establish the AM RLC entities;
    - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
      - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.
  - 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
    - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
  - 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL\_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C\_RNTI;
- 1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

1> the contents of the variable C\_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and

5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

- a C-RNTI is stored in the variable C\_RNTI;

or

- the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

- 2> if the variable PDCP\_SN\_INFO is empty:
  - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
- 2> else:
  - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
    - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:



2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL\_UPDATE\_STARTED to FALSE;

1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

### 8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or

- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

- 1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

- 1> transmit no response message.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
- 2> when RLC has confirmed the successful transmission of the response message:

[3> if the variable PDCP\\_SN\\_INFO is empty:](#)

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].

1> if the variable PDCP\_SN\_INFO is empty:

- 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 3> when RLC has confirmed the successful transmission of the response message:
    - 4> continue with the remainder of the procedure.
- 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":
  - 3> when RLC has been requested to transmit the response message,
    - 4> continue with the remainder of the procedure.

1> if the variable PDCP\_SN\_INFO non-empty:

- 2> when RLC has confirmed the successful transmission of the response message:
  - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 5> configure the RLC entity for that radio bearer to "continue".
  - 3> continue with the remainder of the procedure.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted in CELL\_FACH state, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively).
- 1> continue with the remainder of the procedure.

### 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
  - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - 2> for each updated timer value:
    - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
  - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
  - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
  - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;

- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
  - 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
- 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
  - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
  - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
  - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
  - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 1> if the variable PDCP\_SN\_INFO is empty; and
- 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
  - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
    - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
- 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> clear the variable PDCP\_SN\_INFO.

- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

## CHANGE REQUEST

⌘ **25.331 CR 1957** ⌘ rev **1** ⌘ Current version: **4.9.0** ⌘

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Stopping of RLC entities at relocation		
<b>Source:</b>	⌘ RAN WG2		
<b>Work item code:</b>	⌘ TEI	<b>Date:</b>	⌘ May 19, 2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-4
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ The specification today requires the UE to stop transmitting data on receiving a SRNS relocation triggering message only in the case of lossless relocation. However, in the case of lossy relocation the UE is currently allowed to continue transmitting data in the uplink. If this data is received by the target RNC the target RNC may either ignore the PDUs or keep them in its buffer. The UE re-establishes its radio bearers after receiving the ACK to the response message - the exact time of this receipt of ACK is unknown to the network. Hence in the case where the network keeps the PDUs in the buffer the network may not be able to figure out which PDUs correspond to transmission pre- and post-re-establishment. This could lead to severe cases of HFN de-synchronization, etc. In the case the network chooses to ignore the data since the precise moment that the UE re-establishes is not known to the network it cannot determine at what point to stop ignoring the data and consider the UE to have successfully re-established the radio bearers.
	SRB1, SRB3 and SRB4 should provide lossless service.
	One alternate solution would be for the UTRAN to establish RBs a finite time after the response message is received - this will increase the probability that the ACK has been received by the UE and therefore that the UTRAN and UE are in sync. But this does not remove all potential for mis-match.
<b>Summary of change:</b>	⌘ The UE is required to stop transmitting on all radio bearers and signalling radio bearers until after receiving indication of successful transmission of the response message. In the case of lossless relocation RB1, RB3 and RB4 are also stopped/continued to ensure that messages are not lost.
<b>Consequences if not approved:</b>	⌘ The UE will continue to transmit on the uplink and cause potential desynchronization of

HFNs, etc.

**If UE does not implement CR but network does:** De-synchronization of HFNs could result causing eventual loss of connection.

**If Network does not implement CR but UE does** - changes proposed are in UE implementation where in the UE autonomously stops/continues RBs at relocation. There is therefore no network impact.

**Clauses affected:** ⌘ 8.2.2.3, 8.2.2.4, 8.3.1.6, 8.3.1.7, 8.3.3.3

	Y	N		
<b>Other specs affected:</b>	⌘	X	Other core specifications	⌘ 34.123-1
		X	Test specifications	
		X	O&M Specifications	

**Other comments:** ⌘

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.



### 8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

In case the reconfiguration procedure is used to remove all existing RL(s) in the active set while new RL(s) are established the UE shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> apply the hard handover procedure as specified in subclause 8.3.5;
- 1> be able to perform this procedure even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

it shall:

- 1> set the variable ORDERED\_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL\_DCH state from any state other than CELL\_DCH state at the conclusion of this procedure:
  - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.

1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The Release '99 RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

1> in FDD; or

1> in TDD when "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

2> remove any C-RNTI from MAC;

2> clear the variable C\_RNTI.

In FDD, if after state transition the UE leaves CELL\_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration.

1> in TDD:

2> if "Primary CCPCH Info" is included indicating a new target cell and "New C-RNTI" is not specified:

3> remove any C-RNTI from MAC;

3> clear the variable C\_RNTI. If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

2> when the cell update procedure completed successfully:

3> if the UE is in CELL\_PCH or URA\_PCH state:

4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";

4> proceed as below.

1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;

- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C\_RNTI is empty:
  - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> if the UE is in CELL\_PCH or URA\_PCH state:
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - 4> proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency;
  - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
    - 3> when the cell update procedure completed successfully:
      - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;

- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is set:
      - 3> include and set the IE "START" to the value of that variable.
    - 2> if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
      - 3> calculate the START value according to subclause 8.5.9;
      - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
    - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
      - 3> calculate the START value according to subclause 8.5.9;
      - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
  - 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
    - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
  - 1> if the received reconfiguration message contained the IE "Ciphering mode info":
    - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH":
    - 2> if prior to this procedure there exist no transparent mode RLC radio bearers for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
      - 3> if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
      - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists for the CN domain indicated in the IE "CN domain identity" in the IE "RAB info":
        - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames ( $CFN \bmod 8 = 0$ ) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 1> clear that entry;
  - 1> if the variable PDCP\_SN\_INFO is not empty:
    - 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
  - 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
    - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
  - 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:

- 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4] on that frequency.

- 1> if the IE "Frequency info" is not included in the received reconfiguration message:

- 2> select a suitable UTRA cell according to [4].

- 1> prohibit periodical status transmission in RLC;

- 1> remove any C-RNTI from MAC;

- 1> clear the variable C\_RNTI;

- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in the variable TIMERS\_AND\_CONSTANTS;

- 1> select Secondary CCPCH according to subclause 8.5.19;

- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

- 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

- 2> set the variable INVALID\_CONFIGURATION to TRUE.

- 1> if the UE enters CELL\_PCH state from CELL\_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

- 2> when the cell update procedure completed successfully:

- 3> the procedure ends.

- 1> if the UE enters CELL\_PCH state from CELL\_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";

- 2> when the cell update procedure is successfully completed:

- 3> the procedure ends.

- 1> if the UE enters URA\_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

- 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";

- 2> when the URA update procedure is successfully completed:

- 3> the procedure ends.

#### 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- 1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

- 2> when RLC has confirmed the successful transmission of the response message:

- 3> if the variable PDCP\_SN\_INFO is empty:

- 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

- 3> else:

- 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;

- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;

- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].

- 1> if the variable PDCP\_SN\_INFO is empty:

- 2> if the received reconfiguration message contained the IE "Ciphering mode info":

- 3> when RLC has confirmed the successful transmission of the response message:

- 4> notify upper layers upon change of the security configuration;

- 4> perform the actions below.
- 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
  - 3> when RLC has been requested to transmit the response message:
    - 4> perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively);
  - 2> perform the actions below.

The UE shall:

- 1> set the variable ORDERED\_RECONFIGURATION to FALSE;
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> clear the variable START\_VALUE\_TO\_TRANSMIT;
- 1> clear the variable SECURITY\_MODIFICATION.

### 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;

- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:

- 2> includes "RB information elements"; and/or
- 2> includes "Transport channel information elements"; and/or
- 2> includes "Physical channel information elements"; and
- 2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:
  - 3> set the variable ORDERED\_RECONFIGURATION to TRUE.

- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

- 2> if the IE "Frequency info" is included in the message:
  - 3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or URA\_PCH":
    - 4> select a suitable UTRA cell according to [4] on that frequency;
    - 4> act as specified in subclause 8.3.1.12.
  - 3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
    - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.

- 2> use the transport channel(s) applicable for the physical channel types that is used; and

- 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):

- 3> use the TFS given in system information.

- 2> if none of the TFS stored is compatible with the physical channel:

- 3> delete the stored TFS;
- 3> use the TFS given in system information.

- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:

- 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);

- 3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":

- 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest



transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.

- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> for radio bearers with RB identity 5 and upwards:
    - 4> re-establish the AM RLC entities;
    - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
      - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL\_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C\_RNTI;
- 1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;
- 1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

1> the contents of the variable C\_RNTI are empty;

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and

5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

2> in case of a cell update procedure:

3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> in case of a URA update procedure:

3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

2> release all its radio resources;

- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

- a C-RNTI is stored in the variable C\_RNTI;

or

- the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":

- 2> if the variable PDCP\_SN\_INFO is empty:
  - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
- 2> else:
  - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
    - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
  - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 1> in case of a URA update procedure:

2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.

1> set the variable CELL\_UPDATE\_STARTED to FALSE;

1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

### 8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- includes "Transport channel information elements":

the UE shall:

1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or

- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

- 1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI":

the UE shall:

- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and
- does not include the IE "New C-RNTI":

the UE shall:

- 1> transmit no response message.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
- 2> when RLC has confirmed the successful transmission of the response message:

[3> if the variable PDCP\\_SN\\_INFO is empty:](#)

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
  - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
  - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
  - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 1> if the variable PDCP\_SN\_INFO is empty:
- 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the response message:
      - 4> continue with the remainder of the procedure.
  - 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":
    - 3> when RLC has been requested to transmit the response message,
      - 4> continue with the remainder of the procedure.
- 1> if the variable PDCP\_SN\_INFO non-empty:
- 2> when RLC has confirmed the successful transmission of the response message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> continue with the remainder of the procedure.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted in CELL\_FACH state, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

- 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 2> for each radio bearer in the variable PDCP\_SN\_INFO:
  - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
    - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively).
- 1> continue with the remainder of the procedure.

### 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
  - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - 2> for each updated timer value:
    - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
  - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
  - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
  - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":



- 2> if the variable PDCP\_SN\_INFO is empty:
  - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
- 2> else:
  - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
    - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 1> if the variable PDCP\_SN\_INFO is empty; and
  - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
  - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
    - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:

- 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
  - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 5> configure the RLC entity for that radio bearer to "continue".
  - 3> clear the variable PDCP\_SN\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

## CHANGE REQUEST

⌘ **25.331 CR 1958** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For [HELP](#) on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Stopping of RLC entities at relocation		
<b>Source:</b>	⌘ RAN WG2		
<b>Work item code:</b>	⌘ TEI	<b>Date:</b>	⌘ May 19, 2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-5
	<i>Use <u>one</u> of the following categories:</i> <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		<i>Use <u>one</u> of the following releases:</i> <b>2</b> (GSM Phase 2) <b>R96</b> (Release 1996) <b>R97</b> (Release 1997) <b>R98</b> (Release 1998) <b>R99</b> (Release 1999) <b>Rel-4</b> (Release 4) <b>Rel-5</b> (Release 5) <b>Rel-6</b> (Release 6)

<b>Reason for change:</b>	⌘ The specification today requires the UE to stop transmitting data on receiving a SRNS relocation triggering message only in the case of lossless relocation. However, in the case of lossy relocation the UE is currently allowed to continue transmitting data in the uplink. If this data is received by the target RNC the target RNC may either ignore the PDUs or keep them in its buffer. The UE re-establishes its radio bearers after receiving the ACK to the response message - the exact time of this receipt of ACK is unknown to the network. Hence in the case where the network keeps the PDUs in the buffer the network may not be able to figure out which PDUs correspond to transmission pre- and post-re-establishment. This could lead to severe cases of HFN de-synchronization, etc. In the case the network chooses to ignore the data since the precise moment that the UE re-establishes is not known to the network it cannot determine at what point to stop ignoring the data and consider the UE to have successfully re-established the radio bearers.  SRB1, SRB3 and SRB4 should provide lossless service.  One alternate solution would be for the UTRAN to establish RBs a finite time after the response message is received - this will increase the probability that the ACK has been received by the UE and therefore that the UTRAN and UE are in sync. But this does not remove all potential for mis-match.
<b>Summary of change:</b>	⌘ The UE is required to stop transmitting on all radio bearers and signalling radio bearers until after receiving indication of successful transmission of the response message. In the case of lossless relocation RB1, RB3 and RB4 are also stopped/continued to ensure that messages are not lost.
<b>Consequences if not approved:</b>	⌘ The UE will continue to transmit on the uplink and cause potential desynchronization of

HFNs, etc.

**If UE does not implement CR but network does:** De-synchronization of HFNs could result causing eventual loss of connection.

**If Network does not implement CR but UE does** - changes proposed are in UE implementation where in the UE autonomously stops/continues RBs at relocation. There is therefore no network impact.

**Clauses affected:** ⌘ 8.2.2.3, 8.2.2.4, 8.3.1.6, 8.3.1.7, 8.3.3.3

	Y	N		
<b>Other specs affected:</b>	⌘	X	Other core specifications	⌘ 34.123-1
		X	Test specifications	
		X	O&M Specifications	

**Other comments:** ⌘

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall:

- 1> be able to receive any of the following messages:
  - 2> RADIO BEARER SETUP message; or
  - 2> RADIO BEARER RECONFIGURATION message; or
  - 2> RADIO BEARER RELEASE message; or
  - 2> TRANSPORT CHANNEL RECONFIGURATION message; or
  - 2> PHYSICAL CHANNEL RECONFIGURATION message;
- 1> perform a hard handover and apply physical layer synchronisation procedure A as specified in [29], even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message;

it shall:

- 1> set the variable ORDERED\_RECONFIGURATION to TRUE;
- 1> if the UE will enter the CELL\_DCH state from any state other than CELL\_DCH state at the conclusion of this procedure:
  - 2> perform the physical layer synchronisation procedure A as specified in [29] (FDD only).
- 1> act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- 1> in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - 2> act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - 2> infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- 1> enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

1> handle the message as if IE "RB information to reconfigure" was absent.

NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

1> remove any C-RNTI from MAC;

1> clear the variable C\_RNTI.

If after state transition the UE leaves CELL\_DCH state, the UE shall, after the state transition:

1> stop any HS-DSCH reception procedures according to the stored HS-PDSCH configuration;

1> clear any stored HS-PDSCH configuration;

1> remove any H-RNTI stored;

1> clear the variable H\_RNTI;

1> set the variable HS\_DSCH\_RECEPTION to FALSE.

In FDD, if after state transition the UE leaves CELL\_DCH state, the UE shall, after the state transition:

1> remove any DSCH-RNTI from MAC;

1> clear the variable DSCH\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

1> if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;

1> if "DPCH frame offset" is included for one or more RLS in the active set:

2> use its value to determine the beginning of the DPCH frame in accordance with the following:

3> if the received IE "DPCH frame offset" is across the value range border compared to the DPCH frame offset currently used by the UE:

4> consider it to be a request to adjust the timing with 256 chips across the frame border (e.g. if the UE receives value 0 while the value currently used is 38144 consider this as a request to adjust the timing with +256 chips).

3> if after taking into account value range borders, the received IE "DPCH frame offset" corresponds to a request to adjust the timing with a step exceeding 256 chips:

4> set the variable INVALID\_CONFIGURATION to TRUE.

3> and the procedure ends.

2> adjust the radio link timing accordingly.

If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

1> if the IE "Frequency info" is included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4] on that frequency.

1> if the IE "Frequency info" is not included in the received reconfiguration message:

2> select a suitable UTRA cell according to [4].

1> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):

- 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
- 2> when the cell update procedure completed successfully:
  - 3> if the UE is in CELL\_PCH or URA\_PCH state:
    - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
    - 4> proceed as below.
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> use the transport format set given in system information;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.
- 1> if the contents of the variable C\_RNTI is empty:
  - 2> perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> if the UE is in CELL\_PCH or URA\_PCH state:
      - 4> initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - 4> proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency;
  - 2> if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - 3> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
    - 3> when the cell update procedure completed successfully:
      - 4> proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- 1> if the received reconfiguration message included the IE "Downlink counter synchronisation info"; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:

2> if the variable PDCP\_SN\_INFO is empty:

3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";

2> else:

3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";

- 2> re-establish RB2;
  - 2> set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2, downlink HFN of RB2);
  - 2> increment by one the downlink and uplink HFN values for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
- 2> if the variable START\_VALUE\_TO\_TRANSMIT is set:
    - 3> include and set the IE "START" to the value of that variable.
  - 2> if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
    - 3> calculate the START value according to subclause 8.5.9;
    - 3> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
  - 2> if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
    - 3> calculate the START value according to subclause 8.5.9;
    - 3> include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
- 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
- 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
- 2> if prior to this procedure there exist no transparent mode RLC radio bearers:
    - 3> if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
    - 3> if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
      - 4> include the IE "COUNT-C activation time" and specify a CFN value for this IE that is a multiple of 8 frames (CFN mod 8 = 0) and lies at least 200 frames ahead of the CFN in which the response message is first transmitted.
- NOTE: UTRAN should not include the IE "Ciphering mode info" in any reconfiguration message unless it is also used to perform an SRNS relocation with change of ciphering algorithm.
- 1> set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 1> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is not empty:



- 2> include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
- 1> in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
  - 2> set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- 1> if the IE "Integrity protection mode info" was present in the received reconfiguration message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- 1> if the IE "Frequency info" is included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4] on that frequency.
- 1> if the IE "Frequency info" is not included in the received reconfiguration message:
  - 2> select a suitable UTRA cell according to [4].
- 1> prohibit periodical status transmission in RLC;
- 1> remove any C-RNTI from MAC;
- 1> clear the variable C\_RNTI;
- 1> start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- 1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - 2> set the variable INVALID\_CONFIGURATION to TRUE.
- 1> if the UE enters CELL\_PCH state from CELL\_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
  - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - 2> when the cell update procedure completed successfully:
    - 3> the procedure ends.
- 1> if the UE enters CELL\_PCH state from CELL\_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
  - 2> initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - 2> when the cell update procedure is successfully completed:
    - 3> the procedure ends.
- 1> if the UE enters URA\_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:

- 2> initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
- 2> when the URA update procedure is successfully completed:
  - 3> the procedure ends.

#### 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- 1> transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

- 1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the reconfiguration message; or
- 1> if the received reconfiguration message is a RADIO BEARER RECONFIGURATION and the IE "New U-RNTI" is included:
  - 2> when RLC has confirmed the successful transmission of the response message:

3> if the variable PDCP\_SN\_INFO is empty:

4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

3> else:

4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
- 3> set the remaining bits of the HFN component of COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
  - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].

- 3> if the IE "PDCP context relocation info" is present:
  - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP\_SN\_INFO is empty:
  - 2> if the received reconfiguration message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the response message:
      - 4> notify upper layers upon change of the security configuration;
      - 4> perform the actions below.
    - 2> if the received reconfiguration message did not contain the IE "Ciphering mode info":
      - 3> when RLC has been requested to transmit the response message:
        - 4> perform the actions below.
  - 1> if the variable PDCP\_SN\_INFO is non-empty:
    - 2> when RLC has confirmed the successful transmission of the response message:
      - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
        - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
          - 5> configure the RLC entity for that radio bearer to "continue".
        - 3> perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
    - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively);
    - 2> perform the actions below.

The UE shall:

- 1> set the variable ORDERED\_RECONFIGURATION to FALSE;
- 1> if the received reconfiguration message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the received reconfiguration message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;

- 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
- 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> clear the variable START\_VALUE\_TO\_TRANSMIT;
- 1> clear the variable SECURITY\_MODIFICATION.

### 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

the UE may:

- 1> maintain a list of the set of cells to which the UE has Radio Links if the IE "Cell ID" is present.

the UE shall:

- 1> stop timer T302;
- 1> in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - 2> includes "RB information elements"; and/or
  - 2> includes "Transport channel information elements"; and/or
  - 2> includes "Physical channel information elements"; and
  - 2> if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - 3> set the variable ORDERED\_RECONFIGURATION to TRUE.
- 1> act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - 2> if the IE "Frequency info" is included in the message:
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or "URA\_PCH":
      - 4> select a suitable UTRA cell according to [4] on that frequency;
      - 4> act as specified in subclause 8.3.1.12.
    - 3> if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
      - 4> act on the IE "Frequency info" as specified in subclause 8.6.6.1.
  - 2> use the transport channel(s) applicable for the physical channel types that is used; and
  - 2> if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
    - 3> use the TFS given in system information.
  - 2> if none of the TFS stored is compatible with the physical channel:
    - 3> delete the stored TFS;
    - 3> use the TFS given in system information.

- 2> if the IE "RLC re-establish indicator (RB2, RB3 and RB4)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
  - 3> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
    - 4> set the HFN component of the respective COUNT-C values for AM RLC entities with RB identity 2, RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
- 2> if the IE "RLC re-establish indicator (RB5 and upwards)" in the CELL UPDATE CONFIRM message is set to TRUE:
  - 3> for radio bearers with RB identity 5 and upwards:
    - 4> re-establish the AM RLC entities;
    - 4> if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
      - 5> set the HFN component of the respective COUNT-C values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS.
- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected".
- 1> enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

- 1> perform the physical layer synchronisation procedure A as specified in [29] (FDD only);
- 1> not prohibit periodical status transmission in RLC.

If the UE after state transition remains in CELL\_FACH state, it shall

- 1> start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- 1> select PRACH according to subclause 8.5.17;
- 1> select Secondary CCPCH according to subclause 8.5.19;
- 1> not prohibit periodical status transmission in RLC;
- 1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - 2> ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

- 1> prohibit periodical status transmission in RLC;
- 1> clear the variable C\_RNTI;
- 1> stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;

1> start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";

1> select Secondary CCPCH according to subclause 8.5.19;

1> if the IE "UTRAN DRX cycle length coefficient" is included in the same message:

2> use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.

1> if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

2> set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

1> the contents of the variable C\_RNTI are empty:

it shall check the value of V302; and:

1> if V302 is equal to or smaller than N302:

2> if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

3> the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or

3> the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:

4> abort the ongoing integrity and/or ciphering reconfiguration;

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

5> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

5> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

4> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":

5> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and

5> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

2> in case of a URA update procedure:

3> stop the URA update procedure;

3> clear any entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and

3> continue with a cell update procedure.

2> set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";

2> submit the CELL UPDATE message for transmission on the uplink CCCH;

2> increment counter V302;

2> restart timer T302 when the MAC layer indicates success or failure to transmit the message.

1> if V302 is greater than N302:

2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;

- 2> in case of a cell update procedure:
  - 3> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> in case of a URA update procedure:
  - 3> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- 2> release all its radio resources;
- 2> indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- 2> clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- 2> clear the variable ESTABLISHED\_RABS;
- 2> enter idle mode;
- 2> other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- 2> and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

- a C-RNTI is stored in the variable C\_RNTI;

or

- the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- 1> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> in case cell reselection interrupted an ongoing cell update procedure and a CELL UPDATE CONFIRM/URA UPDATE CONFIRM was received with the IE "Downlink counter synchronisation info" present and the response to which was not submitted to the lower layers due to the cell re-selection:
  - 2> include the IE "START list" in the response message transmitted according to subclause 8.3.1.7;
  - 2> if the CELL UPDATE CONFIRM/URA UPDATE CONFIRM, the response to which was not delivered to the lower layers, due to the cell re-selection, included the IE "RB with PDCP information list":
    - 3> include the IE "RB with PDCP information list" in the response message transmitted according to subclause 8.3.1.7.
- 1> in case of a cell update procedure:
  - 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - 2> clear that entry.
- 1> in case of a URA update procedure:

- 2> set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- 2> clear that entry;
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
  - 2> re-establish RB2;
  - 2> set the new uplink and downlink HFN component of the COUNT-C of RB2 to MAX(uplink HFN component of the COUNT-C of RB2, downlink HFN component of the COUNT-C of RB2);
  - 2> increment by one the downlink and uplink values of the HFN component of the COUNT-C for RB2;
  - 2> calculate the START value according to subclause 8.5.9;
  - 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- 1> transmit a response message as specified in subclause 8.3.1.7;
- 1> if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
- 1> if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - 2> set the variable ORDERED\_RECONFIGURATION to FALSE.
- 1> clear the variable PDCP\_SN\_INFO;
- 1> when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - 3> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - 3> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - 3> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - 2> if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":



- 3> set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - 3> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 3> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE.
- 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> in case of a cell update procedure:
    - 2> clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 1> in case of a URA update procedure:
    - 2> clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - 1> set the variable CELL\_UPDATE\_STARTED to FALSE;
  - 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.

### 8.3.1.7 Transmission of a response message to UTRAN

If the CELL UPDATE CONFIRM message:

- includes the IE "RB information to release list":

the UE shall:

- 1> transmit a RADIO BEARER RELEASE COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include the IE "RB information to release list"; and
- includes the IE "RB information to reconfigure list"; or
- includes the IE "RB information to be affected list":

the UE shall:

- 1> transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- includes "Transport channel information elements":

the UE shall:

- 1> transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- includes "Physical channel information elements":

the UE shall:

1> transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes the IE "New C-RNTI"; or
- includes the IE "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the CELL UPDATE CONFIRM message:

- does not include "RB information elements"; and
- does not include "Transport channel information elements"; and
- does not include "Physical channel information elements"; and
- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New C-RNTI"; and
- does not include the IE "New U-RNTI":

the UE shall:

1> transmit no response message.

If the URA UPDATE CONFIRM message:

- includes "CN information elements"; or
- includes the IE "Ciphering mode info"; or
- includes the IE "Integrity protection mode info"; or
- includes any one or both of the IEs "New C-RNTI" and "New U-RNTI":

the UE shall:

1> transmit a UTRAN MOBILITY INFORMATION CONFIRM as response message using AM RLC.

If the URA UPDATE CONFIRM message:

- does not include "CN information elements"; and
- does not include the IE "Ciphering mode info"; and
- does not include the IE "Integrity protection mode info"; and
- does not include the IE "New U-RNTI"; and

- does not include the IE "New C-RNTI":

the UE shall:

- 1> transmit no response message.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition., and the UE shall:

- 1> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:

- 2> when RLC has confirmed the successful transmission of the response message:

- 3> if the variable PDCP\_SN\_INFO is empty:

- 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";

- 3> else:

- 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";

- 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;

- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;

- 3> if the IE "PDCP context relocation info" is not present:

- > re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].

- 3> if the IE "PDCP context relocation info" is present:

- 4> perform the actions as specified in subclause 8.6.4.13.

- 1> if the variable PDCP\_SN\_INFO is empty:

- 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":

- 3> when RLC has confirmed the successful transmission of the response message:

- 4> continue with the remainder of the procedure.

- 2> if the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message did not contain the IE "Ciphering mode info":

- 3> when RLC has been requested to transmit the response message,

- 4> continue with the remainder of the procedure.

- 1> if the variable PDCP\_SN\_INFO is non-empty:

- 2> when RLC has confirmed the successful transmission of the response message:

- 3> for each radio bearer in the variable PDCP\_SN\_INFO:

- 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":

- 5> configure the RLC entity for that radio bearer to "continue".

3> continue with the remainder of the procedure.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted in CELL\_FACH state, and the UE shall:

- 1> when RLC has confirmed the successful transmission of the response message:
  - 2> if the IE "Downlink counter synchronisation info" was included in the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
    - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;
    - 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
    - 3> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
  - 2> for each radio bearer in the variable PDCP\_SN\_INFO:
    - 3> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - 4> configure the RLC entity for that radio bearer to "continue".
  - 2> enter the new state (CELL\_PCH or URA\_PCH, respectively).
- 1> continue with the remainder of the procedure.

### 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- 1> act on received information elements as specified in subclause 8.6;
- 1> if the IE "UE Timers and constants in connected mode" is present:
  - 2> store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - 2> for each updated timer value:
    - 3> start using the new value next time the timer is started;

NOTE: If a new value of timer T305 is included in the IE "UE Timers and constants in connected mode", and the old value of timer T305 is "infinity", the UE will not use the new value of the timer T305 until the next cell reselection.

- 2> for each updated constant value:
  - 3> start using the new value directly;
- 1> if the IE "CN domain specific DRX cycle length coefficient" is present:
  - 2> store the value of the IE "CN domain specific DRX cycle length coefficient" for that CN domain, replacing any previously stored value; and
  - 2> use the value to determine the connected mode paging occasions according to [4].
- 1> set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- 1> clear that entry;
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - 2> set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- 1> if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
  - 2> if the variable PDCP\_SN\_INFO is empty:
    - 3> configure the corresponding RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "stop";
  - 2> else:
    - 3> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "stop";
- 2> re-establish RB2;
- 2> set the new uplink and downlink HFN component of COUNT-C of RB2 to MAX(uplink HFN component of COUNT-C of RB2, downlink HFN component of COUNT-C of RB2);
- 2> increment by one the downlink and uplink values of the HFN component of COUNT-C for RB2;
- 2> calculate the START value according to subclause 8.5.9;
- 2> include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- 1> if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
  - 2> start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- 1> if the IE "Downlink counter synchronisation info" was included in the received UTRAN MOBILITY INFORMATION message:
  - 2> when RLC has confirmed the successful transmission of the response message:
    - 3> if the variable PDCP\_SN\_INFO is empty:
      - 4> configure the RLC entity for all AM and UM radio bearers and AM and UM signalling radio bearers except RB2 to "continue";
    - 3> else:
      - 4> configure the RLC entity for signalling radio bearers RB1, RB3 and RB4 to "continue";
  - 3> re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the corresponding CN domain;

- 3> re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all the HFN component of the respective COUNT-C values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
- 3> set the remaining bits of the HFN component of the COUNT-C values of all UM RLC entities to zero;
- 3> if the IE "PDCP context relocation info" is not present:
  - 4> re-initialise the PDCP header compression entities of each radio bearer in the variable ESTABLISHED\_RABS as specified in [36].
- 3> if the IE "PDCP context relocation info" is present:
  - 4> perform the actions as specified in subclause 8.6.4.13.
- 1> if the variable PDCP\_SN\_INFO is empty; and
  - 2> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
    - 3> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
  - 2> if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
    - 3> when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- 1> if the variable PDCP\_SN\_INFO is non-empty:
  - 2> when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
    - 3> for each radio bearer in the variable PDCP\_SN\_INFO:
      - 4> if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - 5> configure the RLC entity for that radio bearer to "continue".
    - 3> clear the variable PDCP\_SN\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - 2> resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - 2> set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - 2> clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- 1> if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - 2> allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - 2> set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - 2> clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- 1> clear the variable SECURITY\_MODIFICATION.

The procedure ends.