# TSG-RAN Meeting #15 Jeju-do, Korea, 5 - 8 March 2002

RP-020074

Title: Agreed CRs (Release '99 and Rel-4 category A) to TS 25.331 (5)

Source: TSG-RAN WG2

Agenda item: 7.2.3

Doc-1st-	Status-	Spec	CR	Rev	Phase	Subject	Cat	Version	Versio
R2-020582	agreed	25.331	1282	4	R99	Security corrections	F	3.9.0	3.10.0
R2-020583	agreed	25.331	1283		Rel-4	Security corrections	A	4.3.0	4.4.0
R2-020572	agreed	25.331	1342	3	R99	Corrections of UE Positioning requirements	F	3.9.0	3.10.0
R2-020573	agreed	25.331	1343		Rel-4	Corrections of UE Positioning requirements	A	4.3.0	4.4.0
R2-020549	agreed	25.331	1344	1	R99	Multimode speech in default configurations	С	3.9.0	3.10.0
R2-020550	agreed	25.331	1345		Rel-4	Multimode speech in default configurations	A	4.3.0	4.4.0
R2-020506	agreed	25.331	1359	1	R99	Additional TFCS selection guidelines	F	3.9.0	3.10.0
R2-020578	agreed	25.331	1360		Rel-4	Additional TFCS selection guidelines	А	4.3.0	4.4.0
R2-020546	agreed	25.331	1361		R99	Clarification of layer 3 filtering of measurements in the UE	F	3.9.0	3.10.0
R2-020575	agreed	25.331	1362		Rel-4	Clarification of layer 3 filtering of measurements in the UE	A	4.3.0	4.4.0

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## 3GPP TSG-RAN2 Meeting #27 Orlando, USA, 18-22 of February

# R2-020582

Orlando, USA, 18-2	-
	CHANGE REQUEST
<sup>#</sup> 2	5.331 CR 1282 <b># rev</b> r4 <sup># Current version: 3.9.0 <sup>#</sup></sup>
For <u>HELP</u> on using	g this form, see bottom of this page or look at the pop-up text over the $#$ symbols.
Proposed change affe	ects: # (U)SIM ME/UE X Radio Access Network X Core Network
Title: ೫ S	ecurity corrections
Source: ೫ T	SG-RAN WG2
Work item code:	Date: # 2002-02-22
De	Release: % R99e one of the following categories:Use one of the following releases:F (correction)2(GSM Phase 2)A (corresponds to a correction in an earlier release)R96(Release 1996)B (addition of feature),R97(Release 1997)C (functional modification of feature)R98(Release 1998)D (editorial modification)R99(Release 1999)tailed explanations of the above categories canREL-4(Release 4)found in 3GPP TR 21.900.REL-5(Release 5)
Reason for change: a	f The current specification is unclear on a number of points and require clarification.
Summary of change:	£
	[A] 8.1.12.2.1 (and other)
	It is clarified that "suspend" means that PDUs with SN>X shall not be transmitted.
	8.1.12.2.1, 8.1.12.2.2, 8.1.12.5
	OI 2.38 UTRAN procedures completed/corrected.
	8.1.3.6 (and other)
	[B] For integrity protection RRC messages with RRC SN >X are allowed to transmit when the integrity configuration has been changed. This is missing in some procedures, i.e. Cell update, at reception of security mode complete, transmission of response messages in normal case.
	8.2.2.3
	[C] / OI 2.41: The IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "modify" can only be received in SECURITY MODE COMMAND. This is removed from other reconfiguration messages.
	8.5.8
	[D] The first CFN of the TTI shall be used for ciphering in the whole TTI. This is clarified in 8.5.8. (See also CR to 25.321).

#### 8.5.9

OI 2.25: It needs to be clarified that COUNT-C corresponding to non ciphered RB should NOT be included in the START value calculation.

#### 8.6.3.4

[E] "Pending activation time" is clarified for downlink. This is needed as the UE in some cases shall apply a new configuration at a pending activation time.

#### 8.6.3.5

OI 2.49: Added missing Integrity Protection invalid configuration check.

## 8.6.4.1

[F] The start of ciphering is missing when an SRB is setup (SRB4) and ciphering is already started for the CN domain (see similar text in RB setup, 8.6.4.3).

#### 8.3.6.3 and 10.2.16.b

[G] UE shall, in case USIM is present, include in the HANDOVER TO UTRAN COMPLETE message the START values that were not transferred to the network via the other RAT. The specification is clarified, by referring to UE variable INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED.

#### 8.6.4.1 (and others)

OI 2.37. The variable START\_VALUE\_TO\_TRANSMIT needs to be set when SRB4 is setup in RB SETUP. It is also clarified that SRB4 and any RB needs to be from the same CN domain.

#### In revision2:

OI 21 (8.1.12.4.b and 8.2.2.12b)

Failure message when security procedure is interruped by cell update. UE shall not send failure message after having sent the SECURITY MODE COMPLETE message (see R2-020348)

Section 8.1.12.2.1 and 8.1.12.2.2: The restriction on the number of ciphering configurations and integrity protection algorithms that the UE needs to store is clarified.

8.5.9. The START value calculation is changed from MAX(HFN)+1 to MAX(HFN)+2 in order to avoid reuse in case of loss of data in UM before RB release.

8.1.8.2 The START value is included in the Initial Direct Transfer message. (Revision 5 changed this inclusion action as follows: the UE always includes the START value irrespective of whether it is different from the previously transferred value)

#### In revision 3:

- ASN.1 changes added

- STARTlist is added in the inter node containers

- SIM handling
- Timing initialised HHO
- HO from GSM

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	- ASN change for inter node containers
	In revision 4:
	- Activation time for TM RB corrected in 8.6.4.3
	- OI 2.43: AM RLC PDU size change covered
	<ul> <li><u>The actions of UE on receiving the Security Mode Command, sending the</u> <u>Security Mode Complete and upon receiving the acknowledgement to the</u> <u>complete depending on whether new keys have been received or not are clarified.</u></li> </ul>
	- It is clarified that if new keys are received the UE shall consider the latest transmitted START value to be zero for future procedures.
	Impact analysis:
	Impacted functionality: Ciphering and integrity protection
	Correction: Clarifications of the security finctionality
	Correction to a function where the specification was unclear. Would not affect implementations behaving like indicated in the CR, would affect implementations supporting the corrected functionality otherwise.
	If the UE does not implement the change, but the UTRAN does OR if If the UTRAN does not implement the change, but the UE does:
	- The HFN value may get out of sync when SRB4 is setup in RB SETUP, leading to ciphering failure
	- UE and UTRAN may have different interpreation on if unciphered RBs shall be included in the START calculation, which would lead to ciphering failure.
	- Unclear specifiation may lead to interoperability problems.
Consequences if solution of approved:	Missing actions for UE in various scenarios leading to non-functioning security feature. Potential misinterpretation of security functionality. Unclear behavior. Risk for ciphering failure in case of RB setup of SRB4 and other scenarios.
Clauses affected:	# 8.1.3.6, 8.1.8.2, 8.1.8.3, 8.1.12.2.1, 8.1.12.2.2, 8.1.12.3, 8.1.12.3.1, 8.1.12.4b, 8.1.12.5, 8.2.2.2, 8.2.2.3, 8.2.2.4, 8.2.2.12b, 8.3.1.6, 8.3.3.3, 8.3.4.3, 8.3.6.3, 8.6.7.4, 8.5.2, 8.5.8, 8.5.9, 8.5.10.3, 8.6.3.4, 8.6.3.5, 8.6.4.1, 8.6.4.3, 8.6.4.8, 8.6.5.1, 8.6.6.28, 10.2.16b, 10.2.16c, 10.3.3.5, 10.3.3.16, 11.2, 11.5, 13.4.x (new), 14.12.4.2
Other specs	#       Other core specifications       #       25.331 v4.3.0, CR 1283         Test specifications       0&M Specifications
Other comments:	<del>χ</del>

## How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL\_UE\_IDENTITY.

If the values are different, the UE shall:

- ignore the rest of the message.

If the values are identical, the UE shall:

- stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - if the UE will be in the CELL\_FACH state at the conclusion of this procedure:
    - if the IE "Frequency info" is included:
      - select a suitable UTRA cell according to [4] on that frequency;
    - select PRACH according to subclause 8.5.17;
    - select Secondary CCPCH according to subclause 8.5.19;
    - ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.
- perform the physical layer synchronization procedure as specified in [29];
- enter a state according to subclause 8.6.3.3;
- submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:
  - set the IE "RRC transaction identifier" to:
    - the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - clear that entry.
  - if the USIM or <u>SIM</u> is present:
    - set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50] if present or as stored in the UE if the SIM is present; and then
    - set the START value stored in the USIM [50] if present and for the SIM as stored in the UE if the SIM present, for any CN domain to the value "THRESHOLD" of the variable START\_THRESHOLD.
  - if <u>neither</u>the the USIM <u>ornor SIM</u> is not-present:
    - set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;
    - set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the default value [40].
  - retrieve its UTRA UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE\_CAPABILITY\_REQUESTED;
  - retrieve its inter-RAT-specific UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

- if the UE has entered CELL\_FACH state:
  - start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1.
- store the contents of the variable UE\_CAPABILITY\_REQUESTED in the variable UE\_CAPABILITY\_TRANSFERRED;
- initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- consider the procedure to be successful;

And the procedure ends.

## 8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- set the variable ESTABLISHMENT\_CAUSE to the cause for establishment indicated by upper layers;
- perform an RRC connection establishment procedure, according to subclause 8.1.3;
- if the RRC connection establishment procedure was not successful:
  - indicate failure to establish the signalling connection to upper layers and end the procedure.
- when the RRC connection establishment procedure is completed successfully:
  - continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL\_PCH or URA\_PCH state, the UE shall:

- perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- when the cell update procedure completed successfully:
  - continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- set the IE "NAS message" as received from upper layers; and
- set the IE "CN domain identity" as indicated by the upper layers; and
- set the IE "Intra Domain NAS Node Selector" as follows:
  - derive the IE "Intra Domain NAS Node Selector" from TMSI/PMTSI, IMSI, or IMEI; and
  - provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
    - 1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
    - 2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
    - 3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.
- calculate the START according to subclause 8.5.9 for the CN domain as indicated by upper layersset in the IE
   "CN Domain Identity"; and

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if the calculated START value for the CN domain as indicated by upper layers is different from what has been transmitted to the UTRAN in a former message:

## include the calculated START value for that CN domain in the IE "START";

In CELL\_FACH state, the UE shall:

- include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);
- include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

The UE shall:

- transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
  - confirm the establishment of a signalling connection to upper layers; and
  - add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS; and
  - the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

## 8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

UTRAN should:

If the IE "START" is included in the INITIAL DIRECT TRANSFER message The UTRAN should:

 set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START";

## 8.1.12.2 Initiation

## 8.1.12.2.1 Ciphering configuration change

To stop or start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered.

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<u>FOI 2.35</u> When configuring ciphering, UTRAN should ascensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. This includes the total number of ciphering configurations for all signalling radio bearers and radio bearers. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three.

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- if this is the first SECURITY MODE COMMAND sent for this RRC connection:

- use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers for all the signalling radio bearers; while:
  - setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value
     <u>"START"</u> for that CN domain;

- setting the remaining bits of the hyper frame numbers equal to zero.

 <u>(indentation change)</u> suspend all radio bearers using RLC-AM or RLC-UM and suspend all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM according to the following:<del>;</del>

- <u>(indentation change)</u> do\_not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers; /\* Indentation changed to B32\*/
- apply the old ciphering configuration for the transmission of RLC PDUs with RLC sequence number less than the number indicated in the IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";

 apply the new ciphering configuration for the transmission of RLC PDUs with RLC sequence number greater than or equal to the number indicated in IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";

- set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- if a transparent mode radio bearer for this CN domain exists:
  - include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;
- if Integrity protection has already been started for the UE; and
  - if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
    - include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND

- if Integrity protection has already been started for the UE; and
  - if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:
    - include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND
- transmit the SECURITY MODE COMMAND message on the downlink DCCH in AM RLC<u>RB2</u>.

## 8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration.

[OI 2.35] When configuring Integrity protection, UTRAN should: as

- ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers.
- if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND; and
  - if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

- include the IE "Ciphering mode info" in the SECURITY MODE COMMAND;

if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND;

include the IE "Ciphering mode info" in the SECURITY MODE COMMAND;

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

if this is the first SECURITY MODE COMMAND sent for this RRC connection:

- if new keys have been received:

initialise the hyper frame numbers as follows:

set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero;

- else (if new keys have not been received):
  - use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers; whileby:
    - setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
    - setting the remaining bits of the hyper frame numbers equal to zero;

else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):

if new keys have been received;

- initialise the hyper frame number for COUNT-I for RB2 as follows:

set all bits of the HFN of the COUNT-I value for RB2 to zero;

if new keys have not been received;

- initialize the hyper frame number for COUNT-I for RB2 as follows:

set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START LIST" for the CN domain to be set in the the IE "CN Domain Identity";

set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero;

- if the IE "Integrity protection mode command" has the value "Start":
  - prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except RB2;
  - set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info";
- if the IE "Integrity protection mode command" has the value "Modify":
  - for each signalling radio bearer RBn, except RB2:
    - prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
  - set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;
  - <u>set</u>, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied;

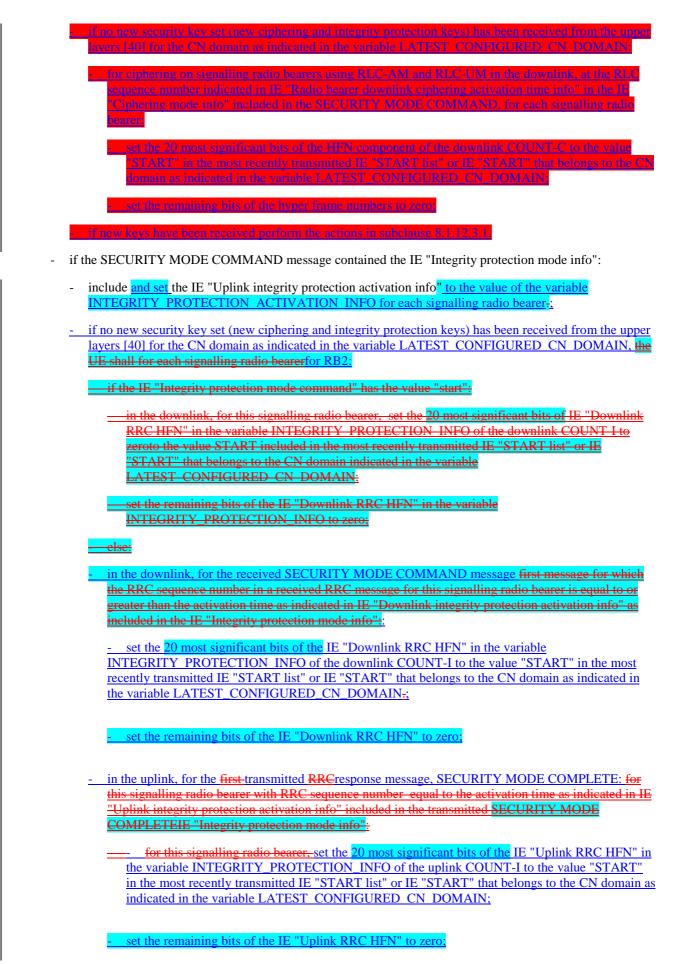
- transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

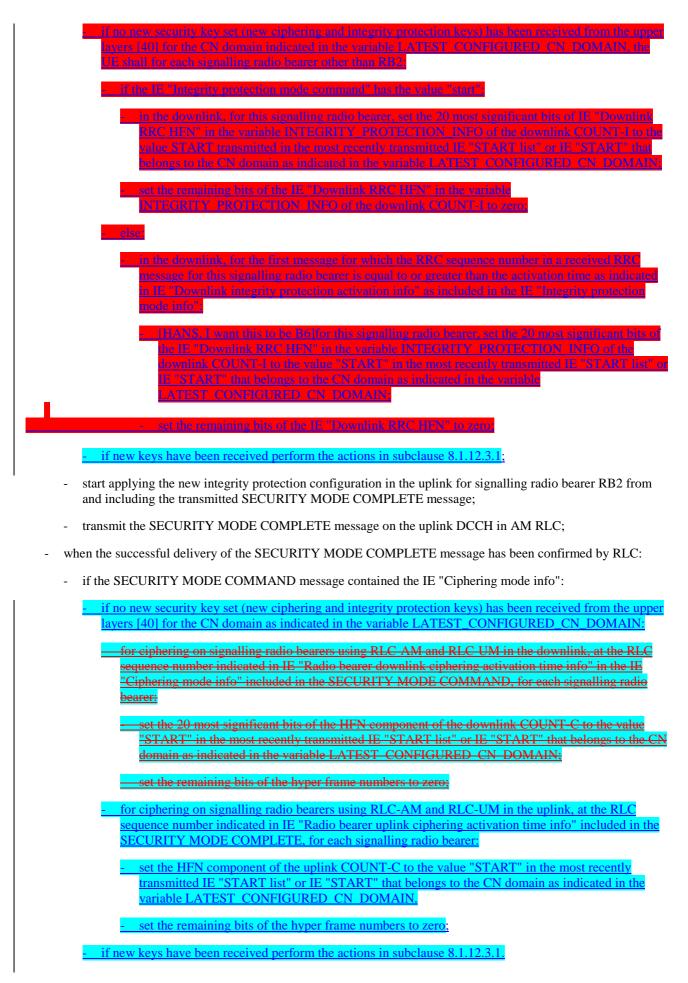
## 8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- if the <u>neither</u> IE<sub>5</sub> "Ciphering mode info" nor and the IE "Integrity protection mode info" are both in the SECURITY MODE COMMAND:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the IE "Security capability" is the same as indicated by variable UE\_CAPABILITY\_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED:
  - set the variable LATEST\_CONFIGURED\_CN\_DOMAIN equal to the IE "CN domain identity";
  - set the IE "Status" in the variable SECURITY MODIFICATION for the CN domain as-indicated in the IE
     "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
  - set the IE "Status" in the variable SECURITY\_MODIFICATION for in-all other CN domains other than the CN domain as indicated in the IE "CN domain identity" to "Not affected"
  - - use the value "START" in the most recently sent IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers for all the signalling radio bearers; while<u>by</u>

- <u>initialising</u>setting the 20 <u>MSB</u>most significant bits of the hyper frame numbers <u>component of</u> <u>COUNT C and COUNT I</u> for all signalling radio bearers <u>with</u>to the START <u>value</u> for that CN domain;
- setting the remaining bits of the hyper frame numbers <u>components of COUNT C and COUNT I</u> equal to zero.
- set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
  - perform the actions as specified in subclause 8.6.3.4.
- if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
  - perform the actions as specified in subclause 8.6.3.5.
- prior to sending the SECURITY MODE COMPLETE message:
  - use the old ciphering configuration for this message;
  - if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable.
       RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO
    - for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN:
      - start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
      - continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers at the ciphering activation time as specified in the procedure;
    - if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN, the UE shall:
      - for ciphering on radio bearers using RLC TM at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":
        - set the HFN component of the COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN.
      - <u>for ciphering on radio bearers and signalling radio bearers using RLC AM and RLC UM in the</u> downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND:
        - set the HFN component of the downlink COUNT C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
      - <u>for ciphering on radio bearers and signalling radio bearers using RLC AM and RLC UM in the</u> uplink, at the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the SECURITY MODE COMPLETE:
        - set the HFN component of the uplink COUNT C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.





- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
- clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

- if no new security key set (new ciphering and integrity protection keys) has been received from the upper
layers [40] for the CN domain indicated in the variable LATEST CONFIGURED CN DOMAIN, the UE shall for each signalling radio bearer other than RB2:
OE shall for each signalling facto bearer after mail 1662.
- if the IE "Integrity protection mode command" has the value "start":
<ul> <li>in the updink, for this signalling radio bearer, set the 20 most significant bits of IE</li> <li>Uplink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the uplink</li> <li>COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE</li> <li>"START" that belongs to the CN domain as indicated in the variable</li> <li>LATEST CONFIGURED CN DOMAIN;</li> </ul>
- set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the uplink COUNT-I to zero;
- else:
<ul> <li>in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE:</li> </ul>
<ul> <li>[Hans - same here B6] for this signalling radio bearer, set the 20 most significant bits of the IE</li> <li>"Uplink RRC HFN" in the variable INTEGRITY_PROTECTION_INFO of the uplink</li> <li>COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE</li> <li>"START" that belongs to the CN domain as indicated in the variable</li> <li>LATEST_CONFIGURED_CN_DOMAIN:</li> </ul>
- set the remaining bits of the IE "Uplink RRC HFN" to zero;
<ul> <li>set the remaining bits of the IE "Uplink RRC HFN" to zero;</li> <li>if new keys have been received perform the actions in subclause 8.1.12.3.1;</li> </ul>
<ul> <li>if new keys have been received perform the actions in subclause 8.1.12.3.1;</li> <li>allow the transmission of RRC messages on all signalling radio bearers with any RRC SN-greater than or equal to the value in the "RRC message sequence number list" indicated for each signalling radio bearer</li> </ul>

- clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- clear the variable SECURITY MODIFICATION;

- notify upper layers upon change of the security configuration;
- and the procedure ends.
- if the IE "Security capability" is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE\_CAPABILITY\_TRANSFERRED:
  - release all its radio resources;
  - indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - clear the variable ESTABLISHED\_RABS;
  - clear the variable SECURITY\_MODIFICATION;
  - enter idle mode;
  - perform actions when entering idle mode as specified in subclause 8.5.2;
  - and the procedure ends.

#### 8.1.12.3.1 New ciphering and integrity protection keys

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, the UE shall:

- set the START value for this the CN domain as-indicated in the variable LATEST CONFIGURED CN DOMAIN CN domain to zero;
- if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

for each signalling radio bearer, except SRB2:

- for integrity protection in the downlink on each signalling radio bearer except RB2:
  - if IE "Integrity protection mode command" has the value "start"
    - for the first received message on this signalling radio bearer:
      - start using the new integrity key;
      - for this signalling radio bearer, set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.

- else:

- <u>for the first message for which when</u> the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
  - <u>use-start using</u> the new integrity key;
  - for this signalling radio bearer, set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
- for integrity protection in the uplink on each signalling radio bearer except RB2:

- <u>for the first message for which when</u> the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the <u>IE "Integrity protection mode infotransmitted SECURITY MODE</u> <u>COMPLETE message</u>":
  - <u>use start using</u> the new integrity key;
  - for this signalling radio bearer, set the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to zero;
- for integrity protection in the downlink on signalling radio bearer RB2:
  - at the received SECURITY MODE-COMMAND:
    - start using the new integrity key;
    - set the IE "Downlink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the downlink COUNT-I to zero;
- for integrity protection in the uplink on signalling radio bearer RB2 :
  - at the transmitted SECURITY MODE COMPLETE:
    - start using the new integrity key;
    - set the IE "Uplink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the uplink COUNT-I to zero;
- if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info": [INDENTATION CHANGED IN FOLLOWING]
  - for each signalling radio bearer and for each radio bearer for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN-this CN domain:
    - if the IE "Status" in the variable CIPHERING\_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers using RLC-TM:
      - at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":
        - start using the use the new key in uplink and downlink;
        - set the HFN component of the COUNT-C to zero.
  - if the IE "Status" in the variable CIPHERING\_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
    - in the downlink, at and after the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info":
      - use start using the new key;
      - set the HFN component of the downlink COUNT-C to zero.
    - in the uplink, at and after the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info":
      - use start using the new key;
      - set the HFN component of the uplink COUNT-C to zero.

e of the latest transmitted START value to be z

8.1.12.4 Void

## 8.1.12.4a Incompatible simultaneous security reconfiguration

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION becomes set to TRUE of the received SECURITY MODE COMMAND message, the UE shall:

- transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC, using the ciphering and integrity protection configurations prior to the reception of this SECURITY MODE COMMAND;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration";
- when the response message has been submitted to lower layers for transmission:
  - set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

## 8.1.12.4b Cell update procedure during security reconfiguration

#### If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received SECURITY MODE COMMAND message causes either,
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

#### the UE shall:

- abort the ongoing integrity and/or ciphering reconfiguration;
- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- **<u>IOI211</u>** transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC, using the ciphering and integrity protection configurations prior to the reception of this SECURITY MODE COMMAND;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- when the response message has been submitted to lower layers for transmission:
  - if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

- set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
- clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received; and
- clear the variable SECURITY\_MODIFICATION;
- the procedure ends.

## 8.1.12.4c Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE due to the received SECURITY MODE COMMAND message, the UE shall:

- transmit a SECURITY MODE FAILURE message on the DCCH using AM RLC after setting the IEs as specified below:
  - set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry;
  - set the IE "failure cause" to the cause value "invalid configuration".
- when the response message has been submitted to lower layers for transmission:
  - set the variable INVALID\_CONFIGURATION to FALSE;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE;
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

## 8.1.12.5 Reception of SECURITY MODE COMPLETE message by the UTRAN

UTRAN should apply integrity protection on the received SECURITY MODE COMPLETE message and all subsequent messages with the new integrity protection configuration, if changed. When UTRAN has received a SECURITY MODE COMPLETE message and the integrity protection has successfully been applied, UTRAN should:

- if the IE "Ciphering mode info" was included in the SECURITY MODE COMMAND message:
  - if new keys were received for the CN domain set in the IE "CN Domain Identity" in the SECURITY MODE COMMAND:
    - at the downlink and uplink activation time set all the bits of the hyper frame numbers of the downlink and uplink COUNT-C values respectively for all radio bearers for this CN domain and all signalling radio bearers to zero;
  - else (if new keys were not received)
    - at the downlink and uplink activation time use the value "START" in the most recently received IE
       "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of the uplink and downlink and uplink COUNT-C values respectively for all the signalling radio bearers; while by:
      - setting the 20 most significant bits of the hyper frame numbers of the COUNT-C for all signalling
         radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for
         that CN domain;
      - setting the remaining bits of the hyper frame numbers equal to zero.

Error! No text of specified style in document. 19 Error! No text of specified style in document. - if the IE "Integrity protection mode info" was included in the SECURITY MODE COMMAND message; and - if this was not the first SECURITY MODE COMMAND message for this RRC connection: if new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message: at the downlink and uplink activation time initialise all hyper frame numbers of the downlink and uplink COUNT-I values respectively for all the signalling radio bearers other than RB2 as follows: set all bits of the hyper frame numbers of the uplink and downlink COUNT-I to zero; if no new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message: at the downlink and uplink activation time use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of the uplink and downlink downlink and uplink COUNT-I values respectively for all the signalling radio bearers other than RB2; while by: setting the 20 most significant bits of the hyper frame numbers of the downlink and uplink COUNT-I respectively for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain; - setting the remaining bits of the hyper frame numbers equal to zero. send an indication to upper layers that the new integrity protection security configuration has been activated; resume, in the downlink, all suspended radio bearers and all signalling radio bearers; allow the transmission of RRC messages on all signalling radio bearers with any RRC SN; if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Start": start applying integrity protection in the downlink for all signalling radio bearers; if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Modify": start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearers RBn, except for signalling radio bearer RB2, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info": continue applying the new integrity configuration for signalling radio bearer RB2start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer **RB2**, from and including the SECURITY MODE COMMAND; apply the new integrity protection configuration on the received signalling messages with RRC SN greater than or equal to the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info": apply the old ciphering configuration for the transmission of RLC PDUs with RLC sequence number less than the number indicated in the IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info"; apply the new ciphering configuration for the transmission of RLC PDUs with RLC sequence number greater than or equal to the number indicated in IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info": apply the old integrity protection configuration on the received signalling messages with RRC SN smaller than the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info";

- <u>apply the new integrity protection configuration on the received signalling messages with RRC SN greater than</u> or equal to the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info":
- for radio bearers using RLC-AM or RLC-UM:
  - use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - if an RLC reset or re-establishment occurs after the SECURITY MODE COMPLETE message has been
    received by UTRAN before the activation time for the new ciphering configuration has been reached, ignore
    the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC reestablishment.
- for radio bearers using RLC-TM:
  - use the old ciphering configuration for the received RLC PDUs before the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND;
  - use the new ciphering configuration for the received RLC PDUs at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND.
- and the procedure ends.

## 8.1.12.6 Invalid SECURITY MODE COMMAND message

If the SECURITY MODE COMMAND message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows:

- transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- clear that entry;
- set the IE "failure cause" to the cause value "protocol error";
- include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- when the response message has been submitted to lower layers for transmission:
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

## 8.2.2.2 Initiation

To initiate any one of the reconfiguration procedures, UTRAN should:

- configure new radio links in any new physical channel configuration;
- start transmission and reception on the new radio links;
- for a radio bearer establishment procedure:

- transmit a RADIO BEARER SETUP message on the downlink DCCH using AM or UM RLC.
- if signaling radio bearer RB4 is setup with this procedure and signaling radio bearers RB1-RB3 were already established prior to the procedure:
  - if the variable "LATEST CONFIGURED CN DOMAIN" has been initialised:
    - any radio bearers setup by the same message as signalling radio bearer RB4 should be connected to the CN domain indicated in the varable "LATEST CONFIGURED CN DOMAIN";
- for a radio bearer reconfiguration procedure:
  - transmit a RADIO BEARER RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- for a radio bearer release procedure:
  - transmit a RADIO BEARER RELEASE message on the downlink DCCH using AM or UM RLC.
- for a transport channel reconfiguration procedure:
  - transmit a TRANSPORT CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- for a physical channel reconfiguration procedure:
  - transmit a PHYSICAL CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- if the reconfiguration procedure is simultaneous with SRNS relocation procedure:
  - include the IE "Downlink counter synchronisation info"; and
  - if ciphering and/or integrity protection are activated:
    - include new ciphering and/or integrity protection configuration information to be used after reconfiguration.
  - use the downlink DCCH using AM RLC.
- if transport channels are added, reconfigured or deleted in uplink and/or downlink:
  - set TFCS according to the new transport channel(s).
- if transport channels are added or deleted in uplink and/or downlink, and RB Mapping Info applicable to the new configuration has not been previously provided to the UE, the UTRAN should:
  - send the RB Mapping Info for the new configuration.

In the Radio Bearer Reconfiguration procedure UTRAN may indicate that uplink transmission shall be stopped or continued on certain radio bearers. Uplink transmission on a signalling radio bearer used by the RRC signalling (signalling radio bearer RB1 or signalling radio bearer RB2) should not be stopped.

- NOTE 1: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure", even if UTRAN does not require the reconfiguration of any RB. In these cases, UTRAN may include only the IE "RB identity" within the IE "RB information to reconfigure".
- NOTE 2: The RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list", even if UTRAN does not require the reconfiguration of any RL. In these cases, UTRAN may re-send the currently assigned values for the mandatory IEs included within the IE "Downlink information per radio link list ". Moreover, the RADIO BEARER RECONFIGURATION message always includes the IE "Primary CPICH Info" (FDD) or IE "Primary CCPCH Info" (TDD). This implies that in case UTRAN applies the RADIO BEARER RECONFIGURATION message to move the UE to CELL\_FACH state, it has to indicate a cell. However, UTRAN may indicate any cell; the UE anyhow performs cell selection and notifies UTRAN if it selects another cell than indicated by UTRAN.

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If the IE "Activation Time" is included, UTRAN should set it to a value taking the UE performance requirements into account.

UTRAN should take the UE capabilities into account when setting the new configuration.

If the message is used to initiate a transition from CELL\_DCH to CELL\_FACH state, the UTRAN may assign a common channel configuration of a given cell and C-RNTI to be used in that cell to the UE.

## 8.2.2.12b Cell update procedure during security reconfiguration

#### If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received reconfiguration message causes either:
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

#### the UE shall:

- abort the ongoing integrity and/or ciphering reconfiguration;
- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- [OI21] transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

  - set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

-clear that entry;

set the IE "failure cause" to the cause value "cell update occurred";

- if the received reconfiguration message contained the IE "Ciphering mode info":
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
- if the received reconfiguration message contained the IE "Integrity protection mode info":
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

## 8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

and perform a hard handover, even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

#### it shall:

- set the variable ORDERED\_RECONFIGURATION to TRUE;
- perform the physical layer synchronisation procedure as specified in [29];
- act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- handle the message as if IE "RB information to reconfigure" was absent.
- NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

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If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

- remove any C-RNTI from MAC;
- clear the variable C\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

- if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- if the IE "Downlink information for each radio link" is absent, not change its current DL Physical channel configuration.

If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency.
- if the IE "Frequency info" is not included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4].
- if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - when the cell update procedure completed successfully:
    - if the UE is in CELL\_PCH or URA\_PCH state:
      - initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - proceed as below.
- start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- select PRACH according to subclause 8.5.17;
- select Secondary CCPCH according to subclause 8.5.19;
- use the transport format set given in system information;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - ignore that IE and stop using DRX.
- if the contents of the variable C\_RNTI is empty:
  - perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - when the cell update procedure completed successfully:
    - if the UE is in CELL\_PCH or URA\_PCH state:
      - initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency;
  - if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
    - when the cell update procedure completed successfully:
      - proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- if the received reconfiguration message included the IE "Downlink counter synchronisation info":
  - re-establish RB2;
  - set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2 | downlink HFN of RB2) + 1;
  - increment by one the downlink and uplink HFN values for RB2;
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
  - if the variable START\_VALUE\_TO\_TRANSMIT is set:
    - include and set the IE "START" to the value of that variable.
  - if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
    - calculate the START value according to subclause 8.5.9;
    - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
  - if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
    - calculate the START value according to subclause 8.5.9;
    - include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY MODIFICATION for all the CN domains as indicated in the IE "CN domain identity" in the variable SECURITY\_MODIFICATION to "Affected";
- if the received reconfiguration message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the received reconfiguration message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

 include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
  - if prior to this procedure there exist no transparent mode RLC radio bearers:
    - if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
    - if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
      - include the IE "COUNT-C activation time" and specify a CFN value for this IE.
  - if prior to this procedure there exists at least one transparent mode RLC radio bearer:
    - if, at the conclusion of this procedure, no transparent mode RLC radio bearers exist:
      - include the IE "COUNT-C activation time" and specify a CFN value for this IE.
- set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- if the variable PDCP\_SN\_INFO is not empty:
  - include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
- in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):
  - set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- if the IE "Integrity protection mode info" was present in the received reconfiguration message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency.
- if the IE "Frequency info" is not included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4].
- prohibit periodical status transmission in RLC;
- remove any C-RNTI from MAC;
- clear the variable C\_RNTI;
- start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- select Secondary CCPCH according to subclause 8.5.19;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:

- set the variable INVALID\_CONFIGURATION to TRUE.
- if the UE enters CELL\_PCH state from CELL\_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info") (for FDD) or "Primary CCPCH info") (for TDD):
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - when the cell update procedure completed successfully:
    - the procedure ends.
- if the UE enters CELL\_PCH state from CELL\_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - when the cell update procedure is successfully completed:
    - the procedure ends.
- if the UE enters URA\_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
  - initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
  - when the URA update procedure is successfully completed:
    - the procedure ends.

## 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

- transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- if the IE "Downlink counter synchronization info" was included in the reconfiguration message:
  - when RLC has confirmed the successful transmission of the response message:

- re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all their HFN values to the START value included in the response message for the corresponding CN domain;
- re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all their HFN values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
- set the remaining bits of the HFN values of all AM and UM RLC entities with RB identities different from 2 to zero.
- if the variable PDCP\_SN\_INFO is empty:
  - if the received reconfiguration message contained the IE "Ciphering mode info":
    - when RLC has confirmed the successful transmission of the response message:
      - notify upper layers upon change of the security configuration;
      - perform the actions below.
  - if the received reconfiguration message did not contain the IE "Ciphering mode info":
    - when RLC has been requested to transmit the response message:
      - perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the response message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:
      - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - configure the RLC entity for that radio bearer to "continue".
    - perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- when RLC has confirmed the successful transmission of the response message:
  - for each radio bearer in the variable PDCP\_SN\_INFO:
    - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - configure the RLC entity for that radio bearer to "continue".
  - enter the new state (CELL\_PCH or URA\_PCH, respectively);
  - perform the actions below.

#### The UE shall:

- set the variable ORDERED\_RECONFIGURATION to FALSE;
- if the received reconfiguration message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.

- if the received reconfiguration message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable PDCP\_SN\_INFO;
- clear the variable START\_VALUE\_TO\_TRANSMIT.
- clear the variable SECURITY MODIFICATION.

## 8.2.2.12b Cell update procedure during security reconfiguration

#### If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received reconfiguration message causes either:
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

#### the UE shall:

- abort the ongoing integrity and/or ciphering reconfiguration;
- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - include the IE "RRC transaction identifier"; and
  - set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

clear that entry;

set the IE "failure cause" to the cause value "cell update occurred";

- if the received reconfiguration message contained the IE "Ciphering mode info":
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
- if the received reconfiguration message contained the IE "Integrity protection mode info":
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

## 8.2.2.13 Invalid received message

If the received reconfiguration message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - include the IE "RRC transaction identifier"; and
  - set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - clear that entry;
  - set the IE "failure cause" to the cause value "protocol error";
  - include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.

The procedure ends.

## 8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, it may either:

- in case the procedure was triggered by reception of a CELL UPDATE:
  - update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
  - if this procedure was triggered while the UE was not in CELL\_DCH state, then for each CN domain as indicated by "CN domain identity" in the IE "START list":
    - set the 20 MSB of the MAC-d HFN with the corresponding START value in the IE "START list";
    - set the remaining LSB of the MAC-d HFN to zero.
  - transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
  - optionally include the IE "RLC re-establish indicator" to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- in case the procedure was triggered by reception of a URA UPDATE:
  - transmit a URA UPDATE CONFIRM message to the lower layers for transmission on the downlink CCCH or DCCH in which case the UTRAN should include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
  - if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
    - initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

# 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

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- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

## the UE shall:

- stop timer T302;
- in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - includes "RB information elements"; and/or
  - includes "Transport channel information elements"; and/or
  - includes "Physical channel information elements"; and
  - if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - set the variable ORDERED\_RECONFIGURATION to TRUE;
- act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - if the IE "Frequency info" is included in the message:
    - if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or URA\_PCH":
      - select a suitable UTRA cell according to [4] on that frequency;
      - act as specified in subclause 8.3.1.12.
    - if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
      - act on the IE "Frequency info" as specified in subclause 8.6.6.1.
  - use the transport channel(s) applicable for the physical channel types that is used; and
  - if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
    - use the TFS given in system information.
  - if none of the TFS stored is compatible with the physical channel:
    - delete the stored TFS;
    - use the TFS given in system information.
  - perform the physical layer synchronisation procedure as specified in [29];
  - if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":
    - re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
    - if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
      - set the HFN values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
  - if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":
    - for radio bearers with RB identity 5 and upwards:
      - re-establish the AM RLC entities;

- if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
  - set the HFN values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS;
- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains as indicated in the <u>IE "CN domain identity"</u>variable SECURITY\_MODIFICATION to "Affected";
- enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

- not prohibit periodical status transmission in RLC;
- for each CN domain for which a transparent mode radio bearer exists and for which the IE "Status" in the variable CIPHERING\_STATUS is set to "Started" for that CN domain:
  - choose an activation time for the ciphering on transparent mode radio bearers and include it in the response message in the IE "COUNT-C activation time";
  - set the 20 MSB of the MAC-d HFN with the corresponding START value in the most recently sent IE "START list";
  - set the remaining LSB of the MAC-d HFN to zero;
  - apply ciphering on the transparent mode radio bearers;
  - start incrementing the COUNT-C value from the CFN that has been included in the IE "COUNT-C activation time".

If the UE after state transition remains in CELL\_FACH state, it shall

- start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- select PRACH according to subclause 8.5.17;
- select Secondary CCPCH according to subclause 8.5.19;
- not prohibit periodical status transmission in RLC;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

- prohibit periodical status transmission in RLC;
- clear the variable C\_RNTI;
- stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;
- start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- select Secondary CCPCH according to subclause 8.5.19;

- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL\_PCH state.
- if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

the contents of the variable C\_RNTI are empty:

it shall check the value of V302; and:

- if V302 is equal to or smaller than N302:
  - if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
    - the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
    - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
      - abort the ongoing integrity and/or ciphering reconfiguration;
      - if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
        - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
        - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
      - if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
        - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
        - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
  - in case of a URA update procedure:
    - stop the URA update procedure; and
    - continue with a cell update procedure.
  - set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
  - submit the CELL UPDATE message for transmission on the uplink CCCH;
  - increment counter V302;
  - restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- if V302 is greater than N302:
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - in case of a cell update procedure:
    - clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - in case of a URA update procedure:

- clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- release all its radio resources;
- indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
- clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
- clear the variable ESTABLISHED\_RABS;
- enter idle mode;
- other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
- and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

a C-RNTI is stored in the variable C\_RNTI;

or

the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

- include the IE "Uplink integrity protection activation info" in any response message transmitted below; and

- in case of a cell update procedure:
  - set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry.
- in case of a URA update procedure:
  - set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry;
- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;

- include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- transmit a response message as specified in subclause 8.3.1.7;
- if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
  - set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
- if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - set the variable ORDERED\_RECONFIGURATION to FALSE.
- clear the variable PDCP\_SN\_INFO;
- when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:
  - /\* Indentation below has been changed\*/
  - if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
    - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
    - <u>set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable</u> <u>INTEGRITY PROTECTION INFO to a value such that next RRC message to be sent on uplink RB0</u> will use the new integrity protection configuration;
    - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
    - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - /\* Indentation above has been changed\*/
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- in case of a cell update procedure:
  - clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- in case of a URA update procedure:
  - clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- set the variable CELL\_UPDATE\_STARTED to FALSE:
- clear the variable SECURITY MODIFICATION.

The procedure ends.

### 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- act on received information elements as specified in subclause 8.6;
- if the IE "UE Timers and constants in connected mode" is present:
  - store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - for each updated timer value:
    - start using the new value next time the timer is started;
  - for each updated constant value:
    - start using the new value directly;
- set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY MODIFICATION for all the CN domains as indicated in the <u>IE "CN domain identity"</u>-variable SECURITY\_MODIFICATION to "Affected";
- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":
  - include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- if the variable PDCP\_SN\_INFO is empty; and
  - if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":

- when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
  - when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:
      - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - configure the RLC entity for that radio bearer to "continue".
    - clear the variable PDCP\_SN\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable SECURITY\_MODIFICATION.

The procedure ends.

### 8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following. The UE shall:

- first add the RLs indicated in the IE "Radio Link Addition Information";
- remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;
- perform the physical layer synchronisation procedure as specified in [29];
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains as indicated in the <u>IE "CN domain identity"</u> variable SECURITY\_MODIFICATION to "Affected";
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":

- include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":
  - include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in the ACTIVE SET UPDATE COMPLETE message; and
  - set it to the value of the variable PDCP\_SN\_INFO.
- if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:
  - if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:
    - configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.
- if the received ACTIVE SET UPDATE message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the ACTIVE SET UPDATE COMPLETE message.
- set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the Physical Layer synchronization;
- if the IE "Integrity protection mode info" was present in the ACTIVE SET UPDATE message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted ACTIVE SET UPDATE COMPLETE message.
- if the variable PDCP\_SN\_INFO is empty:
  - if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":
    - when RLC has confirmed the successful transmission of the ACTIVE SET UPDATE COMPLETE message:
      - perform the actions below.
  - if the ACTIVE SET UPDATE message did not contain the IE "Ciphering mode info":
    - when RLC has been requested to transmit the ACTIVE SET UPDATE COMPLETE message:
      - perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the ACTIVE SET UPDATE COMPLETE message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:
      - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - configure the RLC entity for that radio bearer to "continue".

- clear the variable PDCP\_SN\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable SECURITY MODIFICATION;
- the procedure ends on the UE side.

#### 8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- initialise the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- initialise the variable UE\_CAPABILITIES\_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- initialise the variable TIMERS\_AND\_CONSTANTS to the default values and start to use those timer and constants values;
- if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
  - initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
  - initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
  - store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
  - set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".

- if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
  - initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
  - initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;
- NOTE IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used
  - set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".
- if IE "Specification mode" is set to "Preconfiguration":
  - use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
    - 0 dB for the power offset P <sub>Pilot-DPDCH</sub> bearer in FDD;
    - calculate the Default DPCH Offset Value using the following formula:
      - in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) \* 512

- in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

- handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.
- if IE "Specification mode" is set to "Complete specification":
  - initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.
- perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;

calculate START according to subclause 8.5.9 for all CN domains

- set the IE "START" for each CN domain, for which the START value has not been transferred prior to the handover (according to IE "UE security information" in variable
   <u>INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED</u>, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message with the corresponding calculated START value that is stored in the USIM [50], if present or stored in the UE if the USIM is not present;
- if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:
  - for the CN domain as in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup":

    - if a "START" value was transferred prior to the handover according to the IE "UE security information" in the <u>He</u>variable "INTER RAT HANDOVER INFO TRANSFERRED":

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set the 20 MSB of the HFN component of the COUNT-C variable for all TM radio bearers to the "START" value included in the IE "UE security information" in the **H** variable "INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED" ;

```
else:
```

set the 20 MSB of the HFN component of the COUNT-C variable for all TM radio bearers to zero;

- set the remaining LSBs of the HFN component of COUNT-C to zero;
- <u>do</u>-not increment the HFN component of COUNT-C for TM radio bearers, i.e. keep the HFN value fixed without incrementing every CFN cycle;
- set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause
   <u>8.5.15;</u>
- set the HFN component of the COUNT-C variable for all UL and DL radio bearers and all UL and DL signalling radio bearers that use the transparent mode of RLC to zero, while not incrementing the value of the HFN component of the COUNT C variable at each CFN cycle; and
- set the CFN component of the COUNT C variable to the value of the CFN as calculated in subclause 8.5.15;
- set the IE "Status" in the variable CIPHERING\_STATUS to "Started";
- apply the same ciphering status (ciphered/unciphered) as prior to inter-RAT handover;
- if the change of algorithm is requested by means of the IE "Ciphering algorithm":
  - apply this algorithm and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

If the UE succeeds in establishing the connection to UTRAN, it shall:

- if the IE "Status" in the variable CIPHERING\_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
  - include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;
  - at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
    - set the HFN component of the COUNT-C variable to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
    - set the remaining LSBs of the HFN component of COUNT-C to zero;
    - increment the HFN component of the COUNT-C variable by one;
    - set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
    - step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using the new ciphering configuration, only if ciphering has been started;
- when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
  - initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4.
- and the procedure ends.

#### 8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- release the radio connection; and
- remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- if the USIM is present:
  - store the current START value for every CN domain in the USIM [50];
  - if the "START" stored in the USIM [50] for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:
    - delete the ciphering and integrity keys that are stored in the USIM for that CN domain;
    - inform the deletion of these keys to upper layers.
- if the SIM is present:
  - store the current START value for every CN domain in the UE;
  - if the "START" stored in the UE for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START THRESHOLD:

- delete the ciphering and integrity keys that are stored in the SIM for that CN domain;

inform the deletion of these keys to upper layers.

- clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

### 8.5.2 Actions when entering idle mode from connected mode

When entering idle mode from connected mode, the UE shall:

- clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4;
- attempt to select a suitable cell to camp on.

When leaving connected mode according to [4], the UE shall:

- perform cell selection.

While camping on a cell, the UE shall:

- acquire system information according to the system information procedure in subclause 8.1;
- perform measurements according to the measurement control procedure specified in subclause 8.4; and
- if the UE is registered:
  - be prepared to receive paging messages according to the paging procedure in subclause 8.2.

If IE "PLMN identity" within variable SELECTED\_PLMN has the value "GSM-MAP", the UE shall:

- delete any NAS system information received in connected mode;
- acquire the NAS system information in system information block type 1; and
- proceed according to subclause 8.6.1.2.

When entering idle mode, the UE shall:

- if the USIM is present, for each CN domain:
  - if a new security key set was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - set the START value for this domain to  $\frac{1}{2}$  zero and;
    - store this START value for this domain in the USIM;

- else:

- if the current "START" value, according to chapter 8.5.9, for a CN domain, is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:
  - delete the ciphering and integrity keys that are stored in the USIM for that CN domain;
  - inform the deletion of these keys to upper layers.

- else

- store the current "START" value for this CN domain on the USIM.
- else, if the SIM is present:
  - <u>- if the current "START" value, according to chapter</u>subclause 8.5.9, -for a CN domain, is greater than or equal to the value "THRESHOLD" of the variable START THRESHOLD:

- delete the Kc key that is stored in the SIM;

- set the "START" values for both CN domains to zero and store them in the UE;
- inform the deletion of these keys to upper layers.

- else

- store the current "START" value for every CN domain in the UE-

if the USIM is present:

- if the "START" stored in the USIM [50] for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:

## 8.5.8 Maintenance of Hyper Frame Numbers

The MSBs of both the ciphering sequence numbers (COUNT-C) and integrity sequence numbers (COUNT-I), for the ciphering and integrity protection algorithms, respectively [40], are called the Hyper Frame Numbers (HFN).

For integrity protection, the UE shall:

- maintain COUNT-I as specified in subclause 8.5.10.

The following hyper frame numbers types are defined:

MAC-d HFN: 24 MSB of COUNT-C for data sent over RLC TM

RLC UM HFN: 25 MSB of COUNT-C for data sent over RLC UM

RLC AM HFN: 20 MSB of COUNT-C for data sent over RLC AM

RRC HFN: 28 MSB of COUNT-I

For non-transparent mode RLC signalling radio bearers and radio bearers, the UE shall:

- maintain one uplink and one downlink COUNT-C per signalling radio bearer and per radio bearer and one uplink and one downlink COUNT-I per signalling radio bearer.

For all transparent mode RLC signalling radio bearers and radio bearers of each CN domain, the UE shall:

- maintain one COUNT-C, common for all signalling radio bearers and radio bearers in uplink and downlink;
- maintain one uplink and one downlink COUNT-I per signalling radio bearer.
- NOTE: In this release of the specification there is only an uplink transparent mode COUNT-I, which is used for signalling radio bearer RB0.

COUNT-C and COUNT-I are defined in [40], with the following supplement for COUNT-C: for transparent mode RLC radio bearers with a transmission time interval of x radio frames (x = 2, 4, 8), the MAC PDU is carried by L1 in x consecutive radio frames due to radio frame segmentation. In this case, the CFN of the first segment of the MAC PDU is used as the CFN component of COUNT-C. the CFN of the first radio frame in the TTI shall be used as the CFN component of COUNT-C for ciphering of all data all radio frames in the TTI [15].

## 8.5.9 START value calculation

In connected mode, the START value for CN domain 'X' is calculated as

Let  $START_X$  = the START value for CN domain 'X' prior to the calculation below:

 $START_X' = MSB_{20}$  (MAX {COUNT-C, COUNT-I | radio bearers and signalling radio bearers using the most recently configured  $CK_X$  and  $IK_X$ }) + <u>2</u>.

- if  $START_X$ '= the maximum value = 1048575 then  $START_X$  =  $START_X$ ';
- if the current  $START_X < START_X'$  then  $START_X = START_X'$ , otherwise  $START_X$  is unchanged.
- NOTE: Here, "most recently configured" means that if there is more than one key in use for a CN domain, due to non-expiry of the ciphering and/or integrity protection activation time for any signalling radio bearers and/or radio bearers, do not include the COUNT-I/COUNT-C for these signalling radio bearers and/or radio bearers in the calculation of the START x'.

 $\frac{\text{NOTE2: COUNT C corresponding to non-ciphered radio bearers using RLC AM or RLC UM shall not be included in the calculation of the START_x'. If Ciphering is stopped on one RB, the values of the COUNT C at the time ciphering is stopped shall remain in the calculation of the START_x'.$ 

<u>COUNT-C</u> corresponding to non-ciphered radio bearers shall not be included in the calculation of the  $START_{x'}$ . If a radio bearer is released and the radio bearer was ciphered, the values of the COUNT-C at the time the radio bearer is released shall remain be taken into account in the calculation of the  $START_{x'}$ .

## 8.5.10 Integrity protection

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" then the UE shall:

- perform integrity protection (and integrity checking) on all RRC messages, with the following exceptions:

HANDOVER TO UTRAN COMPLETE

PAGING TYPE 1

PUSCH CAPACITY REQUEST

PHYSICAL SHARED CHANNEL ALLOCATION

RRC CONNECTION REQUEST

RRC CONNECTION SETUP

**RRC CONNECTION SETUP COMPLETE** 

**RRC CONNECTION REJECT** 

RRC CONNECTION RELEASE (CCCH only)

SYSTEM INFORMATION

#### SYSTEM INFORMATION CHANGE INDICATION

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started" then integrity protection (and integrity checking) shall not be performed on any RRC message.

For each signalling radio bearer, the UE shall use two RRC hyper frame numbers:

- "Uplink RRC HFN";
- "Downlink RRC HFN".

and two message sequence numbers:

- "Uplink RRC Message sequence number";
- "Downlink RRC Message sequence number".

The above information is stored in the variable INTEGRITY\_PROTECTION\_INFO per signalling radio bearer (RB0-RB4).

Upon the first activation of integrity protection for an RRC connection, UE and UTRAN initialise the "Uplink RRC Message sequence number" and "Downlink RRC Message sequence number" for all signalling radio bearers as specified in subclauses 8.6.3.5 and 8.5.10.1.

The RRC message sequence number (RRC SN) is incremented for every integrity protected RRC message.

#### 8.5.10.1 Integrity protection in downlink

If the UE receives an RRC message on signalling radio bearer with RB identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is present the UE shall:

- check the value of the IE "RRC message sequence number" included in the IE "Integrity check info";
  - if the "Downlink RRC Message sequence number" is not present in the variable INTEGRITY\_PROTECTION\_INFO:
    - initialise the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received message.
  - if the "Downlink RRC Message sequence number" is present in the variable INTEGRITY\_PROTECTION\_INFO:
    - if the RRC message sequence number is lower than the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:

- increment "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with one.
- if the RRC message sequence number is equal to the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:
  - discard the message.
- calculate an expected message authentication code in accordance with subclause 8.5.10.3;
- compare the expected message authentication code with the value of the received IE "message authentication code" contained in the IE "Integrity check info";
  - if the expected message authentication code and the received message authentication code are the same, the integrity check is successful:
    - update the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received RRC message.
  - if the calculated expected message authentication code and the received message authentication code differ:
    - if the IE "RRC message sequence number" included in the IE "Integrity check info" is lower than the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO (in this case the "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO was incremented by one, as stated above):
      - decrement "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO by one.
    - discard the message.

If the UE receives an RRC message on signalling radio bearer with identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is not present the UE shall:

- discard the message.

#### 8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" the UE shall:

- increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with 1. When "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO becomes 0, the UE shall increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with 1;
- calculate the message authentication code in accordance with subclause 8.5.10.3;
- replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;
- replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer except for the signalling radio bearer that was used for this security reconfiguration procedure. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration) where the new configuration is to be applied starting from and including reception of the response message).

### 8.5.10.3 Calculation of message authentication code

The UE shall calculate the message authentication code in accordance with [40]. The input parameter MESSAGE [40] for the integrity algorithm shall be constructed by:

- setting the "Message authentication code" in the IE "Integrity check info" in the message to the value of the IE "RB identity" the radio bearer identity for the signalling radio bearer;
- setting the "RRC Message sequence number" in the IE "Integrity check info" in the message to zero;
- encoding the message;
- appending RRC padding (if any) as a bit string to the encoded bit string as the least significant bits.

For usage on an RRC message transmitted or received on the radio bearer with identity n, the UE shall:

- construct the input parameter COUNT-I [40] by appending the following IEs from the IE "Signalling radio bearer specific integrity protection information" for radio bearer n in the variable INTEGRITY\_PROTECTION\_INFO:
  - for uplink:
    - "Uplink RRC HFN", as the MSB, and "Uplink RRC Message sequence number", as LSB.
  - for downlink:
    - "Downlink RRC HFN", as the MSB, and the IE "RRC message sequence number" included in the IE "Integrity check info", as LSB.

#### 8.6.3.4 Ciphering mode info

The IE "Ciphering mode info" defines the new ciphering configuration. At any given time, the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain at any given time in total for all signalling radio bearers and radio bearers, the old and latest ciphering configurations, per CN domain and three configurations in total for all signalling radio bearers.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE, the UE shall:

- ignore this second attempt to change the ciphering configuration; and
- set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to FALSE, the UE shall:

- if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the variable
   LATEST\_CONFIGURED\_CN\_DOMAIN has the value "Not Started", and if the IE "Ciphering mode
   command" has the value "stop"; or
- if the IE "Status" in the variable CIPHERING STATUS has the value "Not started", and this IE was included in a message that is not the message SECURITY MODE COMMAND; or
- if there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or
- if there does not exist exactly one ciphering activation time in the IE "Ciphering activation time for DPCH" for each established RLC TM radio bearers included in the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or

- if the IE "Ciphering activation time for DPCH" is not included in message ACTIVE SET UPDATE or SECURITY MODE COMMAND, and there exist <u>RLC TM-</u>radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN; or
- if there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS":
  - ignore this attempt to change the ciphering configuration;
  - set the variable INVALID\_CONFIGURATION to TRUE;
  - perform the actions as specified in subclause 8.1.12.4c.
- set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to TRUE;

- start or restartapply the new ciphering configuration in the lower layers for all RBs that belong to a CN domain for which the IE "Status" of the variable SECURITY MODIFICATION is set to "Affected" and all states are also as a state of the states of the variable SECURITY MODIFICATION is set to "Affected" and all states are also as a state of the states of the variable SECURITY MODIFICATION is set to "Affected" and all states are also as a state of the states of the variable SECURITY MODIFICATION is set to "Affected" and all states are also as a state of the variable SECURITY MODIFICATION is set to "Affected" and all states are also as a state of the variable SECURITY is a state of the variable state of the variable SECURITY is a state of the variable state.
  - using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;
  - for each radio bearer \_\_\_\_\_\_-that belongs to a CN domain for which the IE "Status" of the variable SECURITY MODIFICATION is set to "Affected" and all signalling radio bearers:
    - use the value of the IE "RB identity" in the variable ESTABLISHED\_RABS minus one as the value of BEARER [40] in the ciphering algorithm.
    - start incrementing the COUNT C values for all RLC AM and RLC UM signalling radio bearers and continue incrementing the COUNT C values for all RLC AM and RLC UM radio bearers;
    - if at least one transparent mode radio bearer exists for this CN domain and ciphering was started for this CN domain;

-else:

- start incrementing the COUNT C values for that CN domain at the ciphering activation time as specified in the procedure.
- NOTE: If the ciphering activation time for transparent mode radio bearers was specified in the downlink then the IE "Ciphering activation time for DPCH" is included (e.g. for the SECURITY MODE COMMAND), otherwise, this ciphering activation time is specified in the IE "COUNT C activation time" in the uplink response message.
- if the IE "Ciphering mode command" has the value "stop":
  - - stop incrementing COUNT C values for all UL and DL signalling radio bearers and also for UL and DL radio bearers using RLC TM;

- set the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN to "Not started".
- in case the IE "Ciphering mode command" has the value "start/restart", or "stop", the new ciphering configuration shall be applied as follows:
  - store the (oldest currently used) ciphering configuration until activation times have elapsed for the new ciphering configuration to be applied on all signalling radio bearers and radio bearers;
  - consider an activation time in downlink to be pending:
    - for UM-RLC until an UMD PDU with sequence number equal to or larger than activation time –1 has been received;
    - for AM-RLC until all AMD PDUs with sequence numbers up to and including activation time –1 have been received;
    - for TM-RLC until the CFN indicated in the activation time has been reached;
  - if there are pending activation times <u>in downlink</u> set for ciphering by a previous procedure changing the ciphering configuration:
    - apply the ciphering configuration included in the current message at this pending activation time.
  - if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL\_DCH state prior to this procedure:
    - for radio bearers using RLC-TM:
    - apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";
    - apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".
  - if the UE was in CELL\_FACH state prior to this procedure and at completion of this procedure a transparent mode radio bearer exists and the IE "Ciphering activation time for DPCH" is not present in the IE "Ciphering mode info":
    - for radio bearers using RLC-TM:
      - apply the old ciphering configuration for CFN less than the number as indicated in the transmitted uplink response message for the ciphering activation time for this radio bearer;
      - apply the new ciphering configuration for CFN greater than or equal to the number as indicated in the transmitted uplink response message for the ciphering activation time for this radio bearer.

#### NOTE: This is indicated by the IE "COUNT-C activation time" in the transmitted uplink response message.

- if the IE "Radio bearer downlink ciphering activation time info" is present:
  - apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":
    - suspend uplink transmission on the radio bearer or the signalling radio bearer (except for that SRBm that the message was used);
    - suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:
      - do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is seclected according to the rules below;
    - select an "RLC send sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:

- for each radio bearer and signalling radio bearer that has no pending ciphering activation time <u>in</u> <u>the uplink</u> as set by a previous procedure changing the security configuration:
  - set a suitable value that would ensure a minimised delay in the change to the latest security configuration.
- for each radio bearer and signalling radio bearer that has a pending ciphering activation time <u>in</u> <u>uplink</u> as set by a previous procedure changing the security configuration:
  - set the same value as the pending ciphering activation time.
- consider this activation time <u>in uplink</u> to be elapsed when the selected activation time (as above) is equal to the "RLC send sequence number";
- store the selected "RLC send sequence number" for that radio bearer in the entry for the radio bearer in the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

when the data transmission of that radio bearer or signalling radio bearer is resumed:

/\* Indentation has been changed in the following bullets\*/

- switch to the new ciphering configuration according to the following:
  - use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
  - use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
  - for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
  - if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.

/\* Indentation has been changed in the above bullets\*/

If the IE "Ciphering mode info" is not present, the UE shall:

- not change the ciphering configuration.

## 8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most two three different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains., the old and newest integrity protection configurations, per CN domain.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE, the UE shall:

- ignore this second attempt to change the integrity protection configuration; and
- set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.

- If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to FALSE, the UE shall:
- set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to TRUE;
- if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:
  - initialise the information for all signalling radio bearers in the variable INTEGRITY\_PROTECTION\_INFO according to the following:
    - set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero;
    - do not include set the IE "Downlink RRC Message sequence number" which is included in the variable INTEGRITY\_PROTECTION\_INFO;-
    - set the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO to zero for each signalling radio berer in the IE "ESTABLISHED RABS".
  - set the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO to the value "Started";
  - perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
    - using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
    - using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
    - start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED RABS" except RB2 at the next received RRC message;
    - start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;
    - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
    - start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".
- if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:

NOTE: This case is used in SRNS relocation

- perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
  - using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
  - using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
  - let RBm be the signalling radio bearer where the reconfiguration message was received and let RBn be the signalling radio bearer where the response message is transmitted;
- prohibit transmission of RRC messages on all signalling radio bearers in the IE "ESTABLISHED RABS"
   except the radio bearer where the response message is transmitted;

- apply the new integrity protection configuration in the downlink for the first message expected to be received and all subsequent messages;
- <u>apply the new integrity protection configuration in the uplink for the first message transmitted and all</u> subsequent messages;
  - start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED\_RABS" except RBm at the next received RRC message;
  - start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;
  - start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn at the uplink activation time included in the IE "Uplink integrity protection activation info".
- if IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
  - store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
  - if there are pending activation times set for integrity protection by a previous procedure changing the integrity protection configuration:
    - apply the integrity protection configuration at this pending activation time as indicated in this procedure.
  - start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearer n, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
  - perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
    - if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
    - let RBm be the signalling radio bearer on which the message containing the IE "integrity protection mode info" was received;
  - set the content of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO according to the following:
    - for each established signalling radio bearer, stored in the variable ESTABLISHED\_RABS:
      - select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:
        - for each signalling radio bearer that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
          - set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration.
        - for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
          - set the same value as the pending activation time for integrity protection;
        - consider this (pending) activation time to be elapsed when the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.

- for signalling radio bearer RB0:
  - set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO, plus the value of the constant N302 plus one.
- prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2m, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB<sup>2</sup>m, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
- start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2m, as specified for the procedure initiating the integrity protection reconfiguration;
- start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB<sup>2</sup>m, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";
- NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".
  - start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2m, as specified for the procedure initiating the integrity protection reconfiguration.

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and the IE "Integrity protection mode command info" was not included in the message SECURITY MODE COMMAND; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not Started"; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY PROTECTION INFO has the value "Started", and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or

If there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS"; or

If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:

the UE shall:

- ignore this attempt to change the integrity protection configuration; and
- set the variable INVALID\_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

- not change the integrity protection configuration.

#### 8.6.4.1 Signalling RB information to setup list

If the IE "Signalling RB information to setup list" is included the UE shall:

- use the same START value to initialise the COUNT-C and COUNT-I variables for all the signalling radio bearers in the list;
- if the IE "Signalling RB information to setup list" was included in the RADIO BEARER SETUP message:
  - if the variable "LATEST\_CONFIGURED\_CN\_DOMAIN" has been initialised:
    - calculate the START value only once during this procedure according to subclause 8.5.9 for the CN domain indicated in the variable "LATEST CONFIGURED CN DOMAIN";
    - store the calculated START value in the variable START\_VALUE\_TO\_TRANSMIT;
- for each occurrence of the IE "Signalling RB information to setup":
  - use the value of the IE "RB identity" as the identity of the signalling radio bearer to setup;
  - if the signalling radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED RABS:
    - create a new entry for the signalling radio bearer in the variable ESTABLISHED RABS;
  - if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "STATUS" of the variable "CIPHERING\_STATUS" of the CN domain stored in this variable is "Started":
    - if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
      - initialise the 20 MSB of the hyper frame number component of COUNT-C for this signalling radio bearer with the START value in the variable START VALUE TO TRANSMIT for the CN domain as indicated in the variable "LATEST\_CONFIGURED\_CN\_DOMAIN";
      - set the remaining LSB of the hyper frame number component of COUNT-C for this signalling radio bearer to zero.
      - start to perform ciphering on this signaling radio bearer, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
  - if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "Status" of the variable "INTEGRITY\_PROTECTION\_INFO" of the CN domain stored in this variable is "Started":
    - initialise the 20 MSB of the hyper frame number component of COUNT-I for this signalling radio bearer with the START value in the variable START VALUE TO TRANSMIT for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - set the remaining LSB of the hyper frame number component of COUNT-I for this signalling radio bearer to zero;
    - for this signalling radio bearer, set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero.
    - start performing integrity protection according to subclause 8.5.10.1 and 8.5.10.2
  - perform the actions for the IE "RLC info" as specified in subclause 8.6.4.9, applied for that signalling radio bearer;
  - perform the actions for the IE "RB mapping info" as specified in subclause 8.6.4.8, applied for that signalling radio bearer.
- apply a default value of the IE "RB identity" equal to 1 for the first IE "Signalling RB information to setup"; and

- increase the default value by 1 for each occurrence.

#### 8.6.4.2 RAB information for setup

If the IE "RAB information for setup" is included, the procedure is used to establish radio bearers belonging to a radio access bearer, and the UE shall:

- if several IEs "RAB information for setup" are included and the included IEs "CN domain identity" in the IE "RAB info" does not all have the same value:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the radio access bearer identified with the IE "RAB info" does not exist in the variable ESTABLISHED\_RABS:
  - create a new entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - store the content of the IE "RAB info" in the entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - indicate the establishment of the radio access bearer to the upper layer entity using the IE "CN domain identity", forwarding the content of the IE "RAB identity";
  - if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" and at least one transparent mode radio bearer is included in the IE "RB information to setup"; or
  - if at least one RLC-AM or RLC-UM radio bearer is included in the IE "RB information to setup":
    - calculate the START value only once during this procedure (the same START value shall be used on all new radio bearers created for this radio access bearer) according to subclause 8.5.9 for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" part of the IE "RAB information to setup";
    - store the calculated START value in the variable START\_VALUE\_TO\_TRANSMIT.
- for each radio bearer in the IE "RB information to setup":
  - if the radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED\_RABS:
    - perform the actions specified in subclause 8.6.4.3;
    - store information about the new radio bearer in the entry for the radio access bearer identified by "RAB info" in the variable ESTABLISHED\_RABS;
    - create a new RAB subflow for the radio access bearer;
    - number the RAB subflow in ascending order, assigning the smallest number to the RAB subflow corresponding to the first radio bearer in the list;
    - if the IE "CN domain identity" in the IE "RAB info" is set to "PS domain" and the number of RAB subflows for the radio access bearer is greater than 1:
      - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the radio bearer identified with the IE "RB identity" already exists in the variable ESTABLISHED\_RABS:
    - set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.4.2a RAB information to reconfigure

If the IE "RAB information to reconfigure" is included then the UE shall:

- if the entry for the radio access bearer identified by the IE "CN domain identity" together with the IE "RAB Identity" in the variable ESTABLISHED\_RABS already exists:
  - perform the action for the IE "NAS Synchronization Indicator", according to subclause 8.6.4.12.

- else:
  - set the variable INVALID\_CONFIGURATION to TRUE.

#### 8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
  - initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
  - set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
  - start incrementing the COUNT-C values.
- if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE
     "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
    - Aat the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
      - initialise the 20 MSB most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
      - set the remaining LSB of the hyper frame number component of COUNT-C to zero;
      - if the IE "Status" in the variable CIPHERING STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Not Started":
        - do not increment the COUNT-C value for this CN domain;
      - else, if the IE "Status" in the variable CIPHERING STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
        - start increment the COUNT-C value for this CN domain;
    - if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS:
      - continue incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain.

- if no other transparent mode RLC radio bearers and signalling radio bearers exist in the variable ESTABLISHED\_RABS:
- initialise the 20 MSB of the hyper frame number component of COUNT C for this radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;

- set the remaining LSB of the hyper frame number component of COUNT C for this radio bearer to zero.

- if at least one transparent mode RLC radio bearers or signalling radio bearers exist in the variable ESTABLISHED\_RABS:
  - set the MAC d HFN component of the COUNT C for this radio bearer with the MAC d HFN that is common (refer to subclause 8.5.8) for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" part of the IE "RAB information for setup".
- if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
  - start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
- NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

#### 8.6.4.8 RB mapping info

If the IE "RB mapping info" is included, the UE shall:

- for each multiplexing option of the RB:
  - if a transport channel that would not exist as a result of the message (i.e. removed in the same message in IE "Deleted DL TrCH information" and IE "Deleted UL TrCH information") is referred to:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if a multiplexing option that maps a logical channel corresponding to a TM-RLC entity onto RACH, CPCH, FACH or DSCH is included:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the multiplexing option realises the radio bearer on the uplink (resp. on the downlink) using two logical channels with different values of the IE "Uplink transport channel type" (resp. of the IE "Downlink transport channel type"):
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if that RB is using TM and the IE "Segmentation indication" is set to TRUE and, based on the multiplexing configuration resulting from this message, the logical channel corresponding to it is mapped onto the same transport channel as another logical channel:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the transport channel considered in that multiplexing option is different from RACH and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if that RB is using UM or TM and the multiplexing option realises it using two logical channels:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - for each logical channel in that multiplexing option:
    - if the value of the IE "RLC size list" is set to "Explicit list":

- if a "Transport format set" for the transport channel this logical channel is mapped on in this
  multiplexing option is included in the same message, and the value (index) of any IE "RLC size
  index" in the IE "Explicit list" does not correspond to an "RLC size" in the IE transport format set of
  that transport channel given in the message; or
- if the transport channel this logical channel is mapped on in this multiplexing option is different from RACH, and if a "Transport format set" for that transport channel is not included in the same message, and the value (index) of any IE "RLC size index" in the IE "Explicit list" does not correspond to an "RLC size" in the stored transport format set of that transport channel; or
- if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
- if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of the IE "RLC size list" is set to "All":
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
    - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of the IE "RLC size list" is set to "Configured":
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and for none of the RLC sizes defined for that transport channel in the "Transport format set", the "Logical Channel List" is set to "All" or given as an "Explicit List" which contains this logical channel; or
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this
    multiplexing option is not included in the same message, and for none of the RLC sizes defined in the
    transport format set stored for that transport channel, the "Logical Channel List" is set to "All" or
    given as an "Explicit List" which contains this logical channel:
    - set the variable INVALID\_CONFIGURATION to TRUE.
- if, as a result of the message this IE is included in, several radio bearers can be mapped onto the same transport channel, and the IE "Logical Channel Identity" was not included in the RB mapping info of any of those radio bearers for a multiplexing option on that transport channel or the same "Logical Channel Identity" was used more than once in the RB mapping info of those radio bearers for the multiplexing options on that transport channel:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- delete all previously stored multiplexing options for that radio bearer;
- store each new multiplexing option for that radio bearer;
- select and configure the multiplexing options applicable for the transport channels to be used;
- if the IE "Uplink transport channel type" is set to the value "RACH":
  - refer the IE "RLC size index" to the RACH Transport Format Set of the first PRACH received in the IE "PRACH system information list" received in SIB5 or SIB6.

- determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IEs "RLC size list" and/or the IEs "Logical Channel List" included in the applicable "Transport format set" (either the ones received in the same message or the ones stored if none were received); and
- in case the selected multiplexing option is a multiplexing option on RACH:
  - ignore the RLC size indexes that do not correspond to any RLC size within the Transport Format Set stored for RACH.
- if RACH is the transport channel to be used on the uplink, if that RB has a multiplexing option on RACH and if it is using AM:
  - apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
- if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
  - re-establish the corresponding RLC entity;
  - configure the corresponding RLC entity with the new RLC size;
  - for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS whose RLC size is changed; and
  - for each AM RLC signalling radio bearer in the the CN domain as indicated in the IE "CN domain identity" in the variable LATEST\_CONFIGURED\_CN\_DOMAIN whose RLC size is changed:
  - for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS for all radio bearers; and
  - for the CN domain as indicated in the IE "CN domain identity" in the variable LATEST\_CONFIGURED\_CN\_DOMAIN for all signalling radio bearers:
    - if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - if this IE was included in system information:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message that will be sent before the next transmission.
      - if this IE was included in CELL UPDATE CONFIRM:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.
      - if this IE was included in a reconfiguration message:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.
- if that RB is using UM:
  - indicate the largest applicable RLC size to the corresponding RLC entity.
- configure MAC multiplexing according to the selected multiplexing option (MAC multiplexing shall only be configured for a logical channel if the transport channel it is mapped on according to the selected multiplexing option is the same as the transport channel another logical channel is mapped on according to the multiplexing option selected for it);
- configure the MAC with the logical channel priorities according to selected multiplexing option;
- configure the MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB;
- if there is no multiplexing option applicable for the transport channels to be used:

- set the variable INVALID\_CONFIGURATION to TRUE.
- if there is more than one multiplexing option applicable for the transport channels to be used:
  - set the variable INVALID\_CONFIGURATION to TRUE.

In case IE "RB mapping info" includes IE "Downlink RLC logical channel info" but IE "Number of downlink RLC logical channels" is absent, the parameter values are exactly the same as for the corresponding UL logical channels. In case two multiplexing options are specified for the UL, the first options shall be used as default for the DL. As regards the IE "Channel type", the following rule should be applied to derive the DL channel type from the UL channel included in the IE:

Channel used in UL	DL channel type implied by "same as"
DCH	DCH
RACH	FACH
CPCH	FACH
USCH	DSCH

#### 8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

- if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has the value "Explicit List":
  - ignore that System Information Block.
- if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - ignore that System Information Block.
- if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - keep the transport format set if this exists for that transport channel;
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or
- if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or
- if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any

logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored ) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set":

- keep the transport format set if this exists for that transport channel;
- set the variable INVALID\_CONFIGURATION to TRUE.
- if the total number of configured transport formats for the transport channel exceeds maxTF:
  - keep the transport format set if this exists for that transport channel;
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the IE "Transport format set" is considered as valid according to the rules above:
  - remove a previously stored transport format set if this exists for that transport channel;
  - store the transport format set for that transport channel;
  - consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
  - if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
    - calculate the transport block size for all transport formats in the TFS using the following

TB size = RLC size + MAC header size,

where:

- MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
- 'RLC size' reflects the RLC PDU size.
- if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
  - calculate the transport block size for all transport formats in the TFS using the following:

- if the IE "Number of Transport blocks" <> 0 and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
- if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
- configure the MAC with the new transport format set (with computed transport block sizes) for that transport channel;
- if the RB multiplexing option for a RB mapped onto that transport channel (based on the stored RB multiplexing option) is not modified by this message:
  - determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IE "Logical Channel List" and/or the IE "RLC Size List" from the previously stored RB multiplexing option.
  - if the IE "Transport Format Set" was received within the IE "PRACH system information list":
    - ignore the RLC size indexes in the stored RB multiplexing option that do not correspond to any RLC size in the received Transport Format Set.
  - if the IE "Transport Format Set" was received within the IE "PRACH system information list", if that RB is using AM and if RACH is the transport channel to be used on the uplink:
    - apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.

- if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:
  - set the variable INVALID\_CONFIGURATION to true.
- if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
  - re-establish the corresponding RLC entity;
  - configure the corresponding RLC entity with the new RLC size;
  - for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS whose RLC size is changed; and
  - for each AM RLC signalling radio bearer in the the CN domain as indicated in the IE "CN domain identity" in the variable LATEST\_CONFIGURED\_CN\_DOMAIN whose RLC size is changed: for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS for all radio bearers; and
  - for the CN domain as indicated in the IE "CN domain identity" in the variable
     LATEST\_CONFIGURED\_CN\_DOMAIN for all signalling radio bearers:
    - if this IE was included in system information and if the IE "Status" in variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message that will be sent before the next transmission.
    - if this IE was included in CELL UPDATE CONFIRM and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.
    - if this IE was included in a reconfiguration message and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.
  - if this IE was included in ACTIVE SET UPDATE and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
    - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the ACTIVE SET UPDATE COMPLETE message for this CN domain.
- if that RB is using UM:
  - indicate the largest applicable RLC size to the corresponding RLC entity.
- configure MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB.

For configuration restrictions on Blind Transport Format Detection, see [27].

#### 8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2;

- ignore the value received in IE "CFN-targetSFN frame offset";
- if the IE "Downlink DPCH power control information" is included:
  - perform actions for the IE "DPC Mode" according to [29].
- if the IE choice "mode" is set to 'FDD':
  - if the IE "Downlink rate matching restriction information" is included:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - perform actions for the IE "spreading factor";
  - perform actions for the IE "Fixed or Flexible position";
  - perform actions for the IE "TFCI existence";
  - if the IE choice "SF" is set to 256:
    - store the value of the IE "Number of bits for pilot bits".
  - if the IE choice "SF" set to 128:
    - store the value of the IE "Number of bits for pilot bits".
- if the IE choice "mode" is set to 'TDD':
  - perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover<u>or the IE "Downlink DPCH info common for all RL" is included in a message used to transfer the UE from a state different thanfrom Cell\_DCH to the Cell\_DCH state, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:</u>

- set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE
   "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- set the remaining LSBs of the HFN component of COUNT-C to zero;
- include the IE "COUNT C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;

— set the CFN component of the COUNT C variable to the value of the CFN as calculated in subclause 8.5.15;

- include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;
- calculate the START value according to subclause 8.5.9;
- include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
  - set the 20 MSB of the HFN component of the COUNT-C variable to the START value as indicated in the IE
     "START list" of the response message for the relevant CN domain; and
  - set the remaining LSBs of the HFN component of COUNT-C to zero;
  - increment the HFN component of the COUNT-C variable by one;
  - set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;

- step the COUNT-C variable, as normal, at each CFN value, <u>The i.e. the HFN component is no longer fixed</u> in value but incremented at each CFN cycle.

## 10.2.16b HANDOVER TO UTRAN COMPLETE

This message is sent by the UE when a handover to UTRAN has been completed.

#### RLC-SAP: AM

Logical channel: DCCH

Direction: UE  $\rightarrow$  UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information elements				
START list	СН	1 to <maxcndo mains&gt;</maxcndo 		START [40] values for all CN domains. The IE is mandatory if it has not been transferred prior to the handover.
>CN domain identity	MP		CN domain identity 10.3.1.1	
>START	MP		START 10.3.3.38	
RB Information elements				
COUNT-C activation time	OP		Activation time 10.3.3.1	Used for radio bearers mapped on RLC-TM.

# 10.2.16c INITIAL DIRECT TRANSFER

This message is used to initiate a signalling connection based on indication from the upper layers, and to transfer a NAS message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE -> UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE information elements				
Integrity check info	СН		Integrity check info 10.3.3.16	
CN information elements				
CN domain identity	MP		CN domain identity 10.3.1.1	
Intra Domain NAS Node Selector	MP		Intra Domain NAS Node Selector 10.3.1.6	
NAS message	MP		NAS message 10.3.1.8	
<u>START</u>	<u>OP-</u> OF		<u>START</u> <u>10.3.3.38</u>	START value to be used in the CN domain as indicated in the IE CN domain identity. This IE shall alwas be present inthis version of the protocol.
Measurement information elements				
Measured results on RACH	OP		Measured results on RACH 10.3.7.45	

## 10.3.3.5 Ciphering mode info

This information element contains the ciphering specific security mode control information.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Ciphering mode command	MP		Enumerated (start/restart, stop)	The command "stop" is not used in this version of the protocol
Ciphering algorithm	CV- notStop		Ciphering algorithm 10.3.3.4	
Ciphering activation time for DPCH	OP		Activation time 10.3.3.1	Used for radio bearers mapped on RLC-TM. Only applicable if the UE is already in CELL_DCH state
Radio bearer downlink ciphering activation time info	OP		RB activation time info, 10.3.4.13	Used for radio bearers mapped on RLC-AM or RLC- UM

Condition	Explanation
notStop	The IE is mandatory present if the IE "Ciphering mode command" has the value "start/restart", otherwise the IE is not needed in the message.

## 10.3.3.16 Integrity check info

The Integrity check info contains the RRC message sequence number needed in the calculation of XMAC-I [40] and the calculated MAC-I.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message authentication code	MP		bit string(32)	MAC-I [40]. The Message Authentication Code bits are numbered b0-b31, where b0 is the least significant bit. The 27 MSB of the IE shall be set to zero and the 5 LSB of the IE shall be set to the value of the IE "RB identity" for the used signalling radio bearer identitywhen the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm.
RRC Message sequence number	MP		Integer (015)	The local RRC hyper frame number (RRC HFN) is concatenated with the RRC message sequence number to form the input parameter COUNT-I for the integrity protection algorithm. The IE value shall be set to zero when the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm.

# 13.4.x SECURITY\_MODIFICATION

This variable contains information on which CN domain is affected by the ongoing security reconfiguration

Information Element/Group name	<u>Need</u>	<u>Multi</u>	Type and reference	Semantics description
Status for each CN domain	<u>MP</u>	<u>&lt;1 to</u> maxCNDo mains≥		
<u>&gt;CN domain identity</u>	<u>MP</u>		CN domain identity 10.3.1.1	
<u>&gt;Status</u>	MP		Enumerated( Affected, Not Affected)	

# 11.2 PDU definitions

-- INITIAL DIRECT TRANSFER

************************************	* * * * * * * * * * * * * *	
InitialDirectTransfer ::= SEQUENCE { Core network IEs		
cn-DomainIdentity	CN-DomainIdentity,	
intraDomainNasNodeSelector	IntraDomainNasNodeSelector,	
nas-Message	NAS-Message,	
Measurement IEs		
measuredResultsOnRACH	MeasuredResultsOnRACH	OPTIONAL,
v3a0NonCriticalExtensions	SEQUENCE {	
initialDirectTransfer-v3a0ext	InitialDirectTransfer-v3a0ext,	
Extension mechanism for non- nonCriticalExtensions } OPTIONAL	<pre>- release99 information     SEQUENCE {} OPTIONAL</pre>	
}		
InitialDirectTransfer-v3a0ext ::= SEQUE	ENCE {	
the START value shall always	be included in this version of the	e protocol
start-Value START-V		OPTIONAL
]		

## 11.5 RRC information between network nodes

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN IMPORTS HandoverToUTRANCommand, MeasurementReport, PhysicalChannelReconfiguration, RadioBearerReconfiguration, RadioBearerRelease, RadioBearerSetup, RRC-FailureInfo, TransportChannelReconfiguration FROM PDU-definitions -- Core Network IEs : CN-DomainIdentity, CN-DomainInformationList, CN-DRX-CycleLengthCoefficient, NAS-SystemInformationGSM-MAP, -- UTRAN Mobility IEs : CellIdentity, URA-Identity, -- User Equipment IEs : C-RNTI, DL-PhysChCapabilityFDD-v380ext, FailureCauseWithProtErr, RRC-MessageSequenceNumber, STARTList, START-Value, U-RNTI, UE-RadioAccessCapability, UE-RadioAccessCapability-v370ext, UE-RadioAccessCapability-v380ext, -- Radio Bearer IEs : PredefinedConfigStatusList, PredefinedConfigValueTag, RAB-InformationSetupList, SRB-InformationSetupList, -- Transport Channel IEs : CPCH-SetID, DL-CommonTransChInfo, DL-AddReconfTransChInfoList, DRAC-StaticInformationList, UL-CommonTransChInfo, UL-AddReconfTransChInfoList, -- Measurement IEs : MeasurementIdentity, MeasurementReportingMode, MeasurementType, AdditionalMeasurementID-List,

PositionEstimate, -- Other IEs : InterRAT-UE-RadioAccessCapabilityList FROM InformationElements maxCNdomains, maxNoOfMeas, maxRB, maxSRBsetup FROM Constant-definitions; -- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages -- Information that is tranferred in the same direction and across the same path is grouped -- RRC information, to target RNC -- RRC Information to target RNC sent either from source RNC or from another RAT ToTargetRNC-Container ::= CHOICE { interRAThandover InterRATHandoverInfoWithInterRATCapabilities, SRNC-RelocationInfo, srncRelocation extension NULL } -- RRC information, target RNC to source RNC \_\_ \*\*\*\*\*\*\*\*\*\*\*\*\*\*\* TargetRNC-ToSourceRNC-Container::= CHOICE { RadioBearerSetup, n RadioBearerReconf radioBearerSetup RadioBearerReconfiguration, RadioBearerRelease, radioBearerReconfiguration radioBearerRelease radioBearerRelease RadioBearerRelease, transportChannelReconfiguration physicalChannelReconfiguration, rrc-FailureInfo RRC-FailureInfo, extension NULL } -- Part2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages -- In alphabetical order -- Handover to UTRAN information InterRATHandoverInfoWithInterRATCapabilities ::= CHOICE { SEQUENCE { r3 interRAThandoverInfo-r3 InterRATHandoverInfoWithInterRATCapabilities-r3-IEs, -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also -- includes non critical extensions SEOUENCE { v390NonCriticalExtensions interRATHandoverInfoWithInterRATCapabilities-v390ext InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs, -- Reserved for future non critical extension nonCriticalExtensions SEQUENCE {} OPTIONAL } OPTIONAL }, criticalExtensions SEQUENCE { } } InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::= SEQUENCE { -- The order of the IEs may not reflect the tabular format -- but has been chosen to simplify the handling of the information in the BSC -- Other IEs ue-RATSpecificCapabilityInterRAT-UE-RadioAccessCapabilityListOPTIONAL,interRATHandoverInfoOCTET STRING (SIZE (0..255)) -- Octet string is used to obtain 8 bit length field prior to actual information -- This makes it possible for BSS to transparently handle information received via

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-- GSM air interface even when it includes non critical extensions -- The octet string shall include the InterRATHandoverInfo information -- The BSS can re-use the 04.18 length field received from the MS } InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE { -- User equipment IEs failureCauseWithProtErr FailureCauseWithProtErr OPTIONAL } -- SRNC Relocation information \_ \_ SRNC-RelocationInfo ::= CHOICE { v380NonCriticalExtensions sRNC-RelocationInfo-r3-IEs, SEQUENCE { r3 sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs, -- Reserved for future non critical extension 
 NonCriticalExtensions
 SEQUENCE {

 sRNC-RelocationInfo-v390ext
 SRNC-RelocationInfo-v390ext-IEs,

 v3a0NonCriticalExtensions
 SEQUENCE ∫
 v390NonCriticalExtensions 
 SRNC-RelocationInfo-v3a0ext
 SRNC-P

 -- Reserved for 2
 SRNC-P
 v3a0NonCriticalExtensions SRNC-RelocationInfo-v3a0ext-IEs, -- Reserved for future non critical extension nonCriticalExtensions SEQUENCE {} OPTIONAL OPTIONAL OPTIONAL } OPTIONAL } criticalExtensions SEQUENCE { } } SRNC-RelocationInfo-r3-IEs ::= SEQUENCE { - Non-RRC IEs stateOfRRC StateOfRRC, StateOfRRC-Procedure, stateOfRRC-Procedure -- Ciphering related information IEs -- If the extension v380 is included use the extension for the ciphering status per CN domain cipheringStatus CipheringStatus, calculationTimeForCiphering CalculationTimeForCiphering OPTIONAL, cipheringInfoPerRB-List Cipnering\_ COUNT-C-List CipheringInfoPerRB-List OPTIONAL, count-C-List OPTIONAL, integrityProtectionStatus IntegrityProtectionStatus, srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList, implementationSpecificParams ImplementationSpecificParams OPTIONAL. -- User equipment IEs u-RNTI U-RNTI, c-RNTI C-RNTI OPTIONAL, ue-RadioAccessCapability UE-RadioAccessCapability, ue-Positioning-LastKnownPos UE-Positioning-LastKnownPos OPTIONAL, -- Other IEs ue-RATSpecificCapability InterRAT-UE-RadioAccessCapabilityList OPTIONAL, -- UTRAN mobility IEs ura-Identity URA-Identity OPTIONAL, -- Core network IEs  ${\tt cn-CommonGSM-MAP-NAS-SysInfo} \qquad {\tt NAS-SystemInformationGSM-MAP}\,,$ cn-DomainInformationList CN-DomainInformationList OPTIONAL. -- Measurement IEs ongoingMeasRepList OngoingMeasRepList OPTIONAL, -- Radio bearer IEs predefinedConfigStatusList PredefinedConfigStatusList, srb-InformationList SRB-InformationSetupList, rab-InformationList RAB-InformationSetupList OPTIONAL, -- Transport channel IEs ul-CommonTransChInfo UL-CommonTransChInfo OPTIONAL, UL-AddReconfTransChInfoList ul-TransChInfoList OPTIONAL, modeSpecificInfo CHOICE { fdd SEQUENCE { cpch-SetID CPCH-SetID OPTIONAL, transChDRAC-Info DRAC-StaticInformationList OPTIONAL }, tdd NULL dl-CommonTransChInfo DL-CommonTransChInfo OPTIONAL, dl-TransChInfoList DL-AddReconfTransChInfoList OPTIONAL,

Error! No text of specified style in document.

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-- Measurement report OPTIONAL measurementReport MeasurementReport } SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE { -- Ciphering related information IEs cn-DomainIdentity CN-DomainIdentity, CipheringStatusList cipheringStatusList } SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE { cn-DomainInformationList-v390ext CN-DomainInformationList-v390ext OPTIONAL. ue-RadioAccessCapability-v370ext UE-RadioAccessCapability-v370ext OPTIONAL, ue-RadioAccessCapability voltant dl-PhysChCapabilityFDD-v380ext DL-PhysChCapabilityrDD-v380ext FailureCauseWithProtErr ue-RadioAccessCapability-v380ext UE-RadioAccessCapability-v380ext OPTIONAL, DL-PhysChCapabilityFDD-v380ext, OPTIONAL } SRNC-RelocationInfo-v3a0ext-IEs ::= SEQUENCE{ StartValueForCIphering-v3a0ext START-Value } CipheringStatusList ::= SEQUENCE (SIZE (1..maxCNdomains)) OF CipheringStatusCNdomain CipheringStatusCNdomain ::= SEQUENCE { cn-DomainIdentity CN-DomainIdentity, CipheringStatus cipheringStatus } -- IE definitions CalculationTimeForCiphering ::= SEQUENCE { CellIdentity, cell-Id sfn INTEGER (0..4095) } CipheringInfoPerRB ::= SEQUENCE { dl-HFN BIT STRING (SIZE (20..25)), ul-HFN BIT STRING (SIZE (20..25)) } -- TABULAR: Multiplicity value numberOfRadioBearers has been replaced -- with maxRB. CipheringInfoPerRB-List ::= SEQUENCE (SIZE (1..maxRB)) OF CipheringInfoPerRB ENUMERATED { CipheringStatus ::= started, notStarted } CN-DomainInformation-v390ext ::= SEOUENCE { CN-DRX-CycleLengthCoefficient cn-DRX-CycleLengthCoeff } CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF CN-DomainInformation-v390ext COUNT-C-List ::= SEQUENCE (SIZE (1..maxCNdomains)) OF COUNT-CSingle COUNT-CSingle ::= SEOUENCE { cn-DomainIdentity CN-DomainIdentity, count-C BIT STRING (SIZE (32)) } BIT STRING (SIZE (1..512)) ImplementationSpecificParams ::= ENUMERATED { IntegrityProtectionStatus ::= started, notStarted } MeasurementCommandWithType ::= CHOICE { MeasurementType, setup modify NULL, release NULL } OngoingMeasRep ::= SEQUENCE {

```
measurementIdentity
                               MeasurementIdentity,
    measurementCommandWithType
                                        MeasurementCommandWithType,
    -- TABULAR: The CHOICE Measurement in the tabular description is included
    -- in the IE above.
    measurementReportingModeMeasurementReportingModeadditionalMeasurementID-ListAdditionalMeasurementID-List
                                                                              OPTIONAL.
                                                                            OPTIONAL
}
OngoingMeasRepList ::=
                           SEQUENCE (SIZE (1..maxNoOfMeas)) OF
                                        OngoingMeasRep
SRB-SpecificIntegrityProtInfo ::= SEQUENCE {
                                 BIT STRING (SIZE (28)),
BIT STRING (SIZE (28)),
    ul-RRC-HFN
    dl-RRC-HFN
    ul-RRC-SequenceNumber
                                        RRC-MessageSequenceNumber,
    dl-RRC-SequenceNumber
                                        RRC-MessageSequenceNumber
}
SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF
                                        SRB-SpecificIntegrityProtInfo
StateOfRRC ::=
                                     ENUMERATED {
                                        cell-DCH, cell-FACH,
                                        cell-PCH, ura-PCH }
                                     ENUMERATED {
StateOfRRC-Procedure ::=
                                         awaitNoRRC-Message,
                                         awaitRRC-ConnectionRe-establishmentComplete,
                                         awaitRB-SetupComplete,
                                         awaitRB-ReconfigurationComplete,
                                         awaitTransportCH-ReconfigurationComplete,
                                         awaitPhysicalCH-ReconfigurationComplete,
                                         awaitActiveSetUpdateComplete,
                                         awaitHandoverComplete,
                                         sendCellUpdateConfirm,
                                         sendUraUpdateConfirm,
                                         sendRrcConnectionReestablishment,
                                         otherStates
}
UE-Positioning-LastKnownPos ::= SEQUENCE {
                                      INTEGER (0..4095),
       sfn
        cell-id
                                        CellIdentity,
        positionEstimate
                                        PositionEstimate
}
END
```

## 13.4.11a LATEST\_CONFIGURED\_CN\_DOMAIN

This variable stores the CN-domain that was most recently configured to be used for ciphering and integrity protection.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Latest configured CN domain	OP		CN domain identity 10.3.1.1	Cleared when entering UTRA RRC connected mode when not stated otherwise in the procedure. Cleared when leaving UTRA RRC connected mode.

#### 14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description		
Non RRC IEs						
>State of RRC	MP		RRC state indicator, 10.3.3.10			
>State of RRC procedure	MP		IU.3.3.10 Enumerated (await no RRC message, Complete, await RB Setup Complete, await RB Reconfigurat ion Complete, await RB Release Complete, await RB Release Complete, await Transport CH Reconfigurat ion Complete, await Physical CH Reconfigurat ion Complete, await Physical CH Reconfigurat ion Complete, await Physical CH Reconfigurat ion Complete, await Handover Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, others)			
<b>Ciphering related information</b> >Ciphering status for each CN	MP	<1 to				
domain		maxCNDo mains>				
>>CN domain identity	MP		CN domain identity 10.3.1.1			
>>Ciphering status	MP		Enumerated( Not started, Started)			
>>START	<u>MP</u>		<u>START</u> 10.3.3.38	START value to be used in this CN domain.		
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.		
>Calculation time for ciphering related information	CV- Ciphering			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC		
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description		
>>SFN	MP		Integer(040 95)			
>COUNT-C list	CV- Ciphering	1 to <maxcndo mains&gt;</maxcndo 		COUNT-C values for radio bearers using transparent mode RLC		
>>CN domain identity	MP		CN domain identity 10.3.1.1			
>>COUNT-C	MP		Bit string(32)			
>Ciphering info per radio bearer	OP	1 to <maxrb></maxrb>		For signalling radio bearers this IE is mandatory.		
>>RB identity	MP		RB identity 10.3.4.16			
>>Downlink HFN	MP		Bit string(2025 )	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)		
>>Uplink HFN	MP		Bit string(2025 )	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)		
Integrity protection related information						
>Integrity protection status	MP		Enumerated( Not started, Started)			
>Signalling radio bearer specific integrity protection information	CV-IP	4 to <maxsrbs etup&gt;</maxsrbs 				
>>Uplink RRC HFN	MP		Bit string (28)			
>>Downlink RRC HFN	MP		Bit string (28)			
>>Uplink RRC Message sequence number	MP		Integer (0 15)			
>>Downlink RRC Message sequence number	MP		Integer (0 15)			
>Implementation specific parameters RRC IEs	OP		Bit string (1512)			
UE Information elements						
>U-RNTI	MP		U-RNTI 10.3.3.47			
>C-RNTI	OP		C-RNTI 10.3.3.8			
>UE radio access Capability	MP		UE radio access capability 10.3.3.42			
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a			
>Last known UE position	OP					
>>SFN	MP		Integer (04095)	Time when position was estimated		
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.		
>>CHOICE Position estimate	MP					
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a			
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			10.3.8.4d	
>>>Ellipsoid point with			Ellipsoid	
uncertainty ellipse			point with	
			uncertainty	
			ellipse	
			10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid	
			point with	
			altitude	
			10.3.8.4b	
>>>Ellipsoid point with altitude			Ellipsoid	
and uncertainty ellipsoid			point with	
and uncertainty empsoid			altitude and	
			uncertainty	
			ellipsoid	
			10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to		
		<maxsyste< td=""><td></td><td></td></maxsyste<>		
		mCapabilit		
		V>		
>>Inter-RAT UE radio access	MP	y-	Inter-RAT	
capability			UE radio	
Japaniny			access	
			capability	
			10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity 10.3.2.6	
CN Information Elements				
>CN common GSM-MAP NAS	MP		NAS system	
system information			information	
,			(GSM-MAP)	
			10.3.1.9	
>CN domain related information	OP	1 to		CN related information to be
		<maxcndo mains&gt;</maxcndo 		provided for each CN domain
>>CN domain identity	MP	-		
>>CN domain specific GSM-	MP	1	NAS system	
MAP NAS system info			information	
		1	(GSM-MAP)	
			10.3.1.9	
				<u> </u>
>>CN domain specific DRX	MP	1	CN domain	
cycle length coefficient			specific DRX	
			cycle length	
			coefficient,	
			10.3.3.6	
Measurement Related Information elements				
>For each ongoing	OP	1 to		
measurement reporting		<maxnoof< td=""><td></td><td></td></maxnoof<>		
		Meas>		
>>Measurement Identity	MP		Measuremen	
			t identity	
			10.3.7.48	
>>Measurement Command	MP		Measuremen	
			t command	
			10.3.7.46	
>>Measurement Type	CV-Setup	1	Measuremen	
mousurement Type	0 v=00lup			
			t type	
			10.3.7.50	
	OP	1	Measuremen	
>>Measurement Reporting	01			
>>Measurement Reporting Mode			t reporting mode	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description	
>>Additional Measurements list	OP		10.3.7.49 Additional		
>>Additional Measurements list	OP				
			measuremen ts list		
			10.3.7.1		
>>CHOICE Measurement	OP				
>>>Intra-frequency	0.5				
>>>>Intra-frequency cell info	OP		Intra-		
			frequency		
			cell info list		
			10.3.7.33		
>>>>Intra-frequency	OP		Intra-		
measurement			frequency		
quantity			measuremen		
			t quantity		
			10.3.7.38		
>>>>Intra-frequency reporting	OP		Intra-		
quantity	<b>_</b> .		frequency		
4			reporting		
			quantity		
	05	-	10.3.7.41		
>>>>Reporting cell status	OP		Reporting		
			cell status		
			10.3.7.61		
>>>>Measurement validity	OP		Measuremen		
			t validity		
			10.3.7.51		
>>>>CHOICE report criteria	OP				
>>>>Intra-frequency			Intra-		
measurement					
			frequency		
reporting criteria			measuremen		
			t reporting		
			criteria		
			10.3.7.39		
>>>>Periodical reporting			Periodical		
			reporting		
			criteria		
			10.3.7.53		
>>>>No reporting			NULL		
>>>Inter-frequency					
>>>Inter-frequency cell info	OP		Inter-		
	01		frequency		
			cell info list		
	05	-	10.3.7.13		
>>>>Inter-frequency	OP		Inter-		
measurement			frequency		
quantity			measuremen		
			t quantity		
			10.3.7.18		
>>>>Inter-frequency reporting	OP		Inter-		
quantity			frequency		
			reporting		
			quantity		
			10.3.7.21		
>>>> Doporting call status	OP				
>>>Reporting cell status			Reporting		
			cell status		
			10.3.7.61		
>>>>Measurement validity	OP		Measuremen		
-			t validity		
			10.3.7.51		
>>>>CHOICE report criteria	OP	1			
>>>>Inter-frequency		1	Inter-		
measurement			frequency		
reporting criteria			measuremen		
reporting unteria		1	t reporting		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			criteria	
			10.3.7.19	
>>>>Periodical reporting			Periodical	
			reporting criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>Inter-RAT			NOLL	
>>>>Inter-RAT cell info	OP		Inter-RAT	
			cell info list	
			10.3.7.23	
>>>>Inter-RAT measurement	OP		Inter-RAT	
quantity			measuremen	
			t quantity	
			10.3.7.29	
>>>>Inter-RAT reporting	OP		Inter-RAT	
quantity			reporting	
			quantity	
A A A Departing call status			10.3.7.32	
>>>Reporting cell status	OP		Reporting	
			cell status 10.3.7.61	
>>>>Measurement validity	OP	+	Measuremen	
			t validity	
			10.3.7.51	
>>>>CHOICE report criteria	OP		10.0.7.01	
>>>>Inter-RAT measurement			Inter-RAT	
reporting criteria			measuremen	
i op officiality officiality			t reporting	
			criteria	
			10.3.7.30	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume	OP		Traffic	
measurement			volume	
Object			measuremen	
			t object 10.3.7.70	
>>>>Traffic volume	OP		Traffic	
measurement			volume	
quantity			measuremen	
			t quantity	
			10.3.7.71	
>>>>Traffic volume reporting	OP		Traffic	
quantity	-		volume	
. ,			reporting	
			quantity	
			10.3.7.74	
>>>>CHOICE report criteria	OP			
>>>>Traffic volume			Traffic	
measurement			volume	
reporting criteria			measuremen	
			t reporting	
			criteria	
Desire Part 1	+		10.3.7.72	
>>>>Periodical reporting			Periodical	
	1		reporting	
			a mit a mi a	
			criteria	
>>>>No reporting			criteria 10.3.7.53 NULL	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>Quality measurement Object	OP		Quality measuremen	
			t object	
>>>>CHOICE report criteria	OP			
>>>>Quality measurement			Quality	
reporting criteria			measuremen t reporting	
			criteria	
			10.3.7.58	
>>>>Periodical reporting			Periodical	
			reporting criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>UE internal				
>>>>UE internal measurement	OP		UE internal	
quantity			measuremen t quantity	
			10.3.7.79	
>>>UE internal reporting	OP		UE internal	
quantity			reporting	
			quantity	
>>>>CHOICE report criteria	OP		10.3.7.82	
>>>>UE internal measurement			UE internal	
reporting criteria			measuremen	
			t reporting	
			criteria	
>>>>Periodical reporting			10.3.7.80 Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting >>>UE positioning			NULL	
>>>LCS reporting quantity	OP		LCS	
	0.		reporting	
			quantity	
			10.3.7.111	
>>>>CHOICE report criteria >>>>>LCS reporting criteria	OP		LCS	
			reporting	
			criteria	
			10.3.7.110	
>>>>Periodical reporting			Periodical	
			reporting criteria	
			10.3.7.53	
>>>>No reporting				
Radio Bearer Information				
Elements >Pre-defined configuration	OP		Pre-defined	
status information			configuration	
			status	
			information	
>Signalling RB information list	MP	1 to	14.13.2.3	For each signalling radio
	1111	<maxsrbs< td=""><td></td><td>bearer</td></maxsrbs<>		bearer
		etup>		
>>Signalling RB information	MP		Signalling	
			RB information	
			to setup	
			10.3.4.24	
>RAB information list	OP	1 to		Information for each RAB

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		<maxrabs etup&gt;</maxrabs 		
>>RAB information	MP		RAB information to setup 10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel information common for all transport channels	OP		UL Transport channel information common for all transport channels 10.3.5.24	
>UL transport channel information list	OP	1 to <maxtrch &gt;</maxtrch 		
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE mode	OP			
>>FDD >>>CPCH set ID	OP		CPCH set ID	
			10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <maxtrch &gt;</maxtrch 		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
<b>Downlink transport channels</b> >DL Transport channel			DI Transmort	
information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <maxtrch &gt;</maxtrch 		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREM ENT REPORT 10.2.17	
Other Information elements			Failure	Dia magatian informer (i
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-ProtErr		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper
	limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE
	Measurement command has the value "Setup",
	otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering
	Status has the value "started" and the ciphering
	counters need not be reinitialised, otherwise the IE is
	not needed.
IP	The IE is mandatory present when the IE Integrity
	protection status has the value "started" and the
	integrity protection counters need not be reinitialised,
	otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error
	indicator" is included and has the value "TRUE".
	Otherwise it is not needed.

			CHAN	IGE REG	QUEST	•		CR-Form-v5
ж	25.	<mark>331</mark>	CR <mark>1362</mark>	ж rev	<b>-</b> *	Current vers	<sup>ion:</sup> <b>4.2.0</b>	Ħ
For <u>HELP</u> on us	sing tl	his for	m, see bottom	of this page o	r look at th	e pop-up text	over the X sy	mbols.
Proposed change a	affect	s: #	(U)SIM	ME/UE X	Radio Ad	ccess Network	Core Ne	etwork
Title: ೫	Clar	ificatio	on of layer 3 filt	ering of meas	urements i	n the UE		
Source: ೫	TSC	-RAN	IWG2					
Work item code: #	TEI					<i>Date:</i>	20-FEB-2002	2
Category: ¥	Use <u>c</u> F E C Detail	<ul> <li>(corr</li> <li>(corr</li> <li>(ada</li> <li>(ada</li> <li>(fund</li> <li>(fund</li> <li>(edit</li> <li>ed exp</li> </ul>	the following cate rection) responds to a co lition of feature), ctional modification corial modification planations of the 3GPP <u>TR 21.900</u>	rrection in an ea on of feature) n) above categori		2 R96 R97 R98 R99 R99 REL-4	REL-4 the following rel (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5)	
Reason for change	9: ¥	coeff frequ CPIC The s layer	D2 does not cle icients) the UE iency, inter-free CH Ec/Io, CPIC signalling define 3 filters (with c ts in significant	should suppo quency, inter-F H RSCP, path ed in 25.331 h lifferent coeffi	rt for each RAT) and for loss, etc). has comple cients) is d	measuremen or each measu te flexibility. If erived from th	it type (i.e. intra urement quant the required r	a- ity (i.e. number of
Summary of chang	је: Ж	type. meas meas <b>Isola</b> Func Isola suffic the C	This allows the surement quant surements of up ated Impact An tionality correc- ted impact state siently explicit. N CR, would affect wise.	e UTRAN to c tity with different to to 2 different alysis ted: Layer 3 fi ement: Correct Would not affe	ent filter co t measurer Itering of m tion to a fu	to 2 layer 3 f efficients, or to nent quantities neasurements unction where entations beha	ilters for the sa o configure s. in UE. specification n aving like indic	ot ated in
Consequences if not approved:	Ħ		mplementations which is deriv				ase number of	layer 3
Clauses affected:	ж	8.6.7	.2					
Other specs affected:	ж	Τe	her core specification the specification M Specification	IS	\$ 25.331	v3.9.0, CR 13	361	

#### Other comments: #

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall also filter the measurements reported in the IE "Measured results". The filtering shall not be performed for the measurements reported in the IE "Measured results" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1-a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

 $F_n$  is the updated filtered measurement result

 $F_{n-1}$  is the old filtered measurement result

 $M_n$  is the latest received measurement result from physical layer measurements, the unit used for  $M_n$  is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

 $a = 1/2^{(k/2)}$ , where k is the parameter received in the IE "Filter coefficient".

NOTE: if *k* is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter,  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

The UE shall support 2 different layer 3 filters per measurement type as indicated in the RRC signalling. If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- set the variable CONFIGURATION\_INCOMPLETE to TRUE.

			CHAN	IGE RE	QUEST	r		CR-Form-v5
¥	25	.331	CR 1361	жrev	<b>۳</b> – ۳	Current vers	<sup>iion:</sup> 3.9.0	Ħ
For <u>HELP</u> on u	sing t	this for	rm, see bottom	of this page o	or look at th	ne pop-up text	over the # sy	mbols.
Proposed change a	affec	ts: Ж	(U)SIM	ME/UE X	Radio A	ccess Network	<b>X</b> Core N	etwork
Title: ¥	Cla	rificati	<mark>on of layer 3 filt</mark>	ering of meas	surements	in the UE		
Source: #	TS	G-RAN	WG2					
Work item code: ℜ	TE					Date: ೫	20-FEB-200	2
Category: ⊮	Deta	F (corr A (cor B (add C (fun D (edi iled exp	the following cate rection) responds to a co dition of feature), ctional modification torial modification blanations of the 3GPP <u>TR 21.900</u>	rrection in an e on of feature) 1) above categor		2 se) R96	R99 the following rel (GSM Phase 2, (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5)	
Reason for change Summary of chang		coeff frequ CPIC The layer resul	02 does not cle ficients) the UE lency, inter-free CH Ec/lo, CPIC signalling define 3 filters (with c ts in significant proposed to lim This allows the surement quant surements of u	should support quency, inter- H RSCP, path ed in 25.331 lifferent coeff impact on U it the number of UTRAN to of tity with differ	ort for each RAT) and f hloss, etc). has completicients) is c E memory of different configure up ent filter co	t layer 3 filters to 2 layer 3 filters to 2 layer 3 filters	t type (i.e. intr urement quant f the required r is signalling th to 2 per meas filters for the st o configure	a- tity (i.e. number of lien it
		Isola Func Isola suffic the C	ted Impact An tionality correct ted impact state ciently explicit. CR, would affec rwise.	a <b>lysis</b> ted: Layer 3 f ement: Corre Would not aff	iltering of r ction to a fi ect implem	neasurements unction where entations beha	in UE. specification r aving like indic	ated in
Consequences if not approved:	ж		mplementations s which is deriv				ase number of	layer 3
Clauses affected:	ж	8.6.7	.2					
Other specs affected:	ж	Τe	ther core specif est specificatior &M Specificatic	IS	¥ 25.331	v4.3.0, CR 13	362	

#### Other comments: #

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.6.7.2 Filter coefficient

If the IE "Filter coefficient" is received the UE shall apply filtering of the measurements for that measurement quantity according to the formula below. This filtering shall be performed by the UE before UE event evaluation. The UE shall also filter the measurements reported in the IE "Measured results". The filtering shall not be performed for the measurements reported in the IE "Measured results" and for cell-reselection in connected or idle mode.

The filtering shall be performed according to the following formula.

$$F_n = (1-a) \cdot F_{n-1} + a \cdot M_n$$

The variables in the formula are defined as follows:

 $F_n$  is the updated filtered measurement result

 $F_{n-1}$  is the old filtered measurement result

 $M_n$  is the latest received measurement result from physical layer measurements, the unit used for  $M_n$  is the same unit as the reported unit in the MEASUREMENT REPORT message or the unit used in the event evaluation.

 $a = 1/2^{(k/2)}$ , where k is the parameter received in the IE "Filter coefficient".

NOTE: if *k* is set to 0 that will mean no layer 3 filtering.

In order to initialise the averaging filter,  $F_0$  is set to  $M_1$  when the first measurement result from the physical layer measurement is received.

The physical layer measurement results are sampled once every measurement period. The measurement period and the accuracy for a certain measurement is defined in [19] and [20].

The UE shall support 2 different layer 3 filters per measurement type as indicated in the RRC signalling. If a MEASUREMENT CONTROL message is received that would require the UE to configure more than 2 different layer 3 filters, the UE may:

- set the variable CONFIGURATION\_INCOMPLETE to TRUE.

# R2-020578

	CR-Form-v4
¥	<b>25.331</b> CR <b>1360 #</b> ev - <b>#</b> Current version: <b>4.3.0 #</b>
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the pop-up text over the $\mathfrak{K}$ symbols.
Proposed change a	affects: # (U)SIM ME/UE X Radio Access Network X Core Network
Title: ೫	Additional TFCS selection guidelines
Source: ೫	TSG-RAN WG2
Work item code: #	TEI Date: 米 21/2/2002
Category: ₩	A       Release: %       REL-4         Use one of the following categories:       Use one of the following releases:       2         F (correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (addition of feature),       R97       (Release 1997)         C (functional modification of feature)       R98       (Release 1998)         D (editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can       REL-4       (Release 4)         be found in 3GPP TR 21.900.       REL-5       (Release 5)
Reason for change	<ul> <li>It is the current understanding that mobiles should not be expected to generate padding blocks in RLC because there is no consistent method for doing so. Some guidelines on the TFCS selection had been introduced so as to ensure that the mobile would never need to generate padding in order to be able to find a valid TFC for transmission.</li> <li>Given solely the current TFCS selection guidelines it is still possible that mobiles would end up with an empty valid TFC set during the TFC selection process. Yet, the mobile would not be allowed to generate padding blocks.</li> </ul>
Summary of chang	<ul> <li>e: # Introduced additional guidelines in the section handling the reception of the TFCS by the mobile.</li> <li><u>Corrected some of the guidelines that were defined in the past to handle the case of AMR RABs and transparent services transmitting multiple transport blocks per TTI.</u></li> </ul>
Consequences if not approved:	When configurations that do not comply with these guidelines are used, the TFC selection may not give a result and the behaviour of the mobile would become unpredictable.
Clauses affected:	¥ 8.6.5.2
Other specs affected:	#       Other core specifications       #       25.331 v3.9.0, CR 1359r1         Test specifications       0&M Specifications
Other comments:	¥

## How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 8.6.5.2 Transport format combination set

[Text unrelated to the TFCS guidelines]

In the uplink TFCS the UTRAN should include the following minimum set of TFCs:

- for each transport-UM logical channel for which traffic is generated:
  - a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others.
- for each AM logical channel for which traffic is generated:
  - a TFC with a minimum size compatible TF<u>(see the definition below)</u> for the corresponding transport channel and empty TFs for all other transport channels.
- for each <u>set of "synchronous"</u> TM logical channels (see the definition below) for which traffic is generated and for each <u>set of SDU sizes</u> associated with it:
  - a TFC with a minimum size compatible TFs for the corresponding transport channels and SDU sizes, and empty TFs for all other transport channels.
- an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS satisfies the following rules:

- for each TTI length with which at least one transport channel is configured:
  - for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
    - a TFC with these TFs for the transport channels configured with this TTI length and empty TFs on all transport channels configured with shorter TTI lengths is also included in the TFCS;

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

The UTRAN may decide not to include TFs and/or TFCs as specified above where they are not usable by a specific service.

For AM-RLC logical channels, the minimum size compatible TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size. For non-segmented mode TM-RLC logical channels, the minimum size compatible TF includes <u>one-the minimum number of transport blocks</u> withof "Configured RLC Size" equal to the RLC SDU size <u>considered that can be received in a single TTI from the upper layers</u>. For segmented mode TM-RLC, the minimum size compatible TF is any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the RLC SDU size considered.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB). Independent TM logical channels can be regarded as sets of synchronous TM logical channels of size 1.

# R2-020481

	CHANGE REQUEST	CR-Form-v4		
¥	25.331 CR 1359 <sup>#</sup> ev r1 <sup>#</sup>	Current version: <b>3.9.0</b> <sup>#</sup>		
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the	pop-up text over the # symbols.		
Proposed change affects: # (U)SIM ME/UE X Radio Access Network Core Network				
Title: ೫	Additional TFCS selection guidelines			
Source: #	TSG-RAN WG2			
Work item code: ℜ	TEI	Date: ₩ 21/2/2002		
Category: Ж	<ul> <li>F</li> <li>Use <u>one</u> of the following categories:</li> <li>F (correction)</li> <li>A (corresponds to a correction in an earlier release)</li> <li>B (addition of feature),</li> <li>C (functional modification of feature)</li> <li>D (editorial modification)</li> <li>Detailed explanations of the above categories can be found in 3GPP <u>TR 21.900</u>.</li> </ul>	Release: %R99Use one of the following releases: 2(GSM Phase 2)0R96(Release 1996)R97(Release 1997)R98(Release 1998)R99(Release 1999)REL-4(Release 4)REL-5(Release 5)		
Reason for change	: <sup>#</sup> It is the current understanding that mobiles sho	ould not be expected to generate		
	padding blocks in RLC because there is no con guidelines on the TFCS selection had been int mobile would never need to generate padding TFC for transmission. Given solely the current TFCS selection guidel would end up with an empty valid TFC set duri the mobile would not be allowed to generate part	nsistent method for doing so. Some roduced so as to ensure that the in order to be able to find a valid lines it is still possible that mobiles ng the TFC selection process. Yet,		
Summary of chang	<ul> <li>Introduced additional guidelines in the section by the mobile.</li> <li>Corrected some of the guidelines that were case of AMR RABs and transparent service blocks per TTL.</li> <li>Isolated impact: This CR has isolated impact to mobiles and incompatible with these guidelines are in under the section of the section</li></ul>	<u>e defined in the past to handle the</u> <u>es transmitting multiple transport</u> d networks when configurations		
Consequences if not approved:	When configurations that do not comply with selection may not give a result and the behav unpredictable.			
Clauses affected:	¥ 8.6.5.2			
Other specs affected:	#       Other core specifications       #       25.331 v         Test specifications       O&M Specifications       *	4.3.0, CR 1360		

#### Other comments: #

#### How to create CRs using this form:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 8.6.5.2 Transport format combination set

[Text unrelated to the TFCS guidelines]

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- for each transport-UM logical channel for which traffic is generated:
  - a TFC with one transport block for this transport channel and empty TFs (see [34]) for all the others.
- for each AM logical channel for which traffic is generated:
  - a TFC with a minimum size compatible TF <u>(see the definition below)</u> for the corresponding transport channel and empty TFs for all other transport channels.
- for each <u>set of "synchronous"</u> TM logical channels (see the definition below) for which traffic is generated and for each <u>set of SDU sizes</u> associated with it:
  - a TFC with a minimum size compatible TFs for the corresponding transport channels and SDU sizes, and empty TFs for all other transport channels.
- an "empty" TFC (see [34]).

Furthermore, the UTRAN should ensure that the uplink TFCS satisfies the following rules:

- for each TTI length with which at least one transport channel is configured:
  - for each combination of TFs for the transport channels configured with this TTI length included in the TFCS:
    - <u>a TFC with these TFs for the transport channels configured with this TTI length and empty</u> <u>TFs on all transport channels configured with shorter TTI lengths is also included in the</u> <u>TFCS</u>;

For TDD, the TFCS of a CCTrCH should include those of the above combinations, which include a TF with one transport block for a transport channel used in that CCTrCH, and the "empty" TFC should be included in the TFCS of every CCTrCH.

The UTRAN may decide not to include TFs and/or TFCs as specified above where they are not usable by a specific service.

For AM-RLC logical channels, the minimum size compatible TF includes one transport block with "Configured RLC Size" equal to the RLC PDU size. For non-segmented mode TM-RLC logical channels, the minimum size compatible TF includes <u>one-the minimum number of transport blocks</u> withof "Configured RLC Size" equal to the RLC SDU size <u>considered that can be received in a single TTI from the upper layers</u>. For segmented mode TM-RLC, the minimum size compatible TF is any TF such that the number of transport blocks multiplied by the "Configured RLC Size" is equal to the RLC SDU size considered.

Synchronous TM logical channels are logical channels on which higher layer traffic is generated in a perfectly correlated fashion (e.g. AMR RAB). Independent TM logical channels can be regarded as sets of synchronous TM logical channels of size 1.

3GPP TSG-RAN Orlando, USA, 1	2 Meeting #27 <b>R2-0</b> 2 8-22 of February	20550
	CHANGE REQUEST	R-Form-v5
ж	<b>25.331</b> CR <b>1345 # rev</b> - <sup># Current version:</sup> <b>4.3.0</b>	ж
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up text over the $st$ sym	bols.
Proposed change a	affects: # (U)SIM ME/UE X Radio Access Network X Core Net	work
Title: #	Multimode speech in default configuration	
Source: ೫	TSG-RAN WG2	
Work item code: %	TEI Date: # 2002-02-22	
Category: ₩	A       Release: %       REL-4         Use one of the following categories:       Use one of the following release         F (correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (addition of feature),       R97       (Release 1997)         C (functional modification of feature)       R98       (Release 1998)         D (editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can       REL-4       (Release 4)         be found in 3GPP TR 21.900.       REL-5       (Release 5)	ises:
Reason for change	: # It has been agreed to add multimode 12.2 kbit/s speech as a prioritised RA	B in
	34.108. The RAB is currently not part of the default configurations in 25.33 therefore added there.	
Summary of chang	The RAB Conversational/speech (12.2 7.95 5.9 4.75) + 3.4 kbps SRB for D added to the default configuarations in 13.7.	CCH is
	Impact analysis:	
	Impacted functionality: default configurations	
	If the UE has implemented the CR but the UTRAN has not there is no impa	ıct.
	If the UTRAN has implemented the CR but the UE has not, HO from GSM multimode speech will fail.	with
Consequences if not approved:	%         Not possible to perform handover from GSM with multimode speech.	
Clauses affected:	¥ 13.7	
Other specs affected:	%       Other core specifications       %       25.331 v3.9.0, CR 1344r1         Test specifications       0&M Specifications       8	
Other comments:	ж	

#### How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 13.7 Parameter values for default radio configurations

The UE shall support the use of the default radio configurations that are specified in the following.

NOTE 1: These configurations are based on [41] and cover a number of RAB and signalling connection configurations.

In the table that is used to specify the parameter values for these default configurations, the following principles are used:

- Optional IEs that are not used are omitted;
- In case no parameter value is specified in a column, this means the value given the previous (left side) column applies.
- NOTE 2: If needed, signalling radio bearer RB4 is established after the completion of handover.
- NOTE 3: For each default configuration, the value of both FDD and TDD parameters are specified. All parameters apply to both FDD and TDD modes, unless explicitly stated otherwise. It should be noted that in this respect default configurations differ from pre-defined configurations, which only include parameter values for one mode.
- NOTE 4: The transport format sizes, indicated in the following table, concern the RLC PDU size, since all configurations concern dedicated channels. The transport block sizes indicated in TS 34.108 are different since these include the size of the MAC header.

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech + 3.4 kbps signalling	12.2 kbps speech + 3.4 kbps signalling
Ref 34.108	2	3	6	4
Default configuration identity	0	1	2	3
RB INFORMATION				
Rb-Identity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6	RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6, RB7: 7
RIc-InfoChoice	Rlc-info	RIc-info	RIc-info	RIc-info
>ul-RLC-Mode	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM RB5-RB6: TM	RB1: UM RB2- RB3: AM RB5-RB7: TM
>>transmissionRLC- DiscardMode	RB1: N/A RB2- RB3: NoDiscard	RB1: N/A RB2- RB3: NoDiscard	RB1: N/A RB2- RB3: NoDiscard RB5- RB6: N/A	RB1: N/A RB2- RB3: NoDiscard RB5- RB7: N/A
>>>maxDat	RB1: N/A RB2- RB3: 15	RB1: N/A RB2- RB3: 15	RB1: N/A RB2- RB3: 15 RB5- RB6: N/A	RB1: N/A RB2- RB3: 15 RB5- RB7: N/A
>>transmissionWindowSiz e	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128 RB5- RB6: N/A	RB1: N/A RB2- RB3: 128 RB5- RB7: N/A
>>timerRST	RB1: N/A RB2- RB3: 300	RB1: N/A RB2- RB3: 300	RB1: N/A RB2- RB3: 300 RB5- RB6: N/A	RB1: N/A RB2- RB3: 300 RB5- RB7: N/A
>>max-RST	RB1: N/A RB2- RB3: 1	RB1: N/A RB2- RB3: 1	RB1: N/A RB2- RB3: 1 RB5- RB6: N/A	RB1: N/A RB2- RB3: 1 RB5- RB7: N/A
>>pollingInfo	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below RB5- RB6: N/A	RB1: N/A RB2- RB3: as below RB5- RB7: N/A
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech	12.2 kbps speech
		signaling	+ 3.4 kbps signalling	+ 3.4 kbps signalling
>>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A RB5- RB6: FALSE	RB1- RB3: N/A RB5- RB7: FALSE
>dl-RLC-Mode	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM RB5- RB6: TM	RB1: UM RB2- RB3: AM RB5- RB7: TM
>>inSequenceDelivery	RB1: N/A RB2- RB3: TRUE	RB1: N/A RB2- RB3: TRUE	RB1: N/A RB2- RB3: TRUE RB5- RB6: N/A	RB1: N/A RB2- RB3: TRUE RB5- RB7: N/A
>>receivingWindowSize	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128 RB5- RB6: N/A	RB1: N/A RB2- RB3: 128 RB5- RB7: N/A
>>dl-RLC-StatusInfo	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below RB5- RB6: N/A	RB1: N/A RB2- RB3: as below RB5- RB7: N/A
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A RB5- RB6: FALSE	RB1- RB3: N/A RB5- RB7: FALSE
Rb-MappingInfo				
>UL- LogicalChannelMappings	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel
>>ul- TransportChannelType	Dch	Dch	Dch	Dch
>>>transportChannelldentit y	RB1- RB3: 1	RB1- RB3: 1	RB1- RB3: 3 RB5: 1, RB6: 2	RB1- RB3: 4 RB5: 1, RB6: 2, RB7: 3
>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: N/A	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: N/A
>>rlc-SizeList	RB1- RB3: configured	RB1- RB3: configured	RB1- RB3: configured RB5- RB6: N/A	RB1- RB3: configured RB5- RB7: N/A
>>mac- LogicalChannelPriority	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: 5	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: 5
>DL- logicalChannelMappingList				
>>Mapping option 1	One mapping option	One mapping option	One mapping option	One mapping option
>>>dl- TransportChannelType	Dch	Dch	Dch	Dch
>>>>transportChannellden tity	RB1- RB3: 1	RB1- RB3: 1	RB1- RB3: 3 RB5: 1, RB6: 2	RB1- RB3: 4 RB5: 1, RB6: 2, RB7: 3
>>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: N/A	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: N/A
TrCH INFORMATION PER TrCH UL-				
AddReconfTransChInfoList	deb	deb	deb	deb
>Uplink transport channel type	dch	dch	dch	dch
>transportChannelldentity	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2, TrCH3: 3	TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS
>>dynamicTF-information				

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech	12.2 kbps speech
		Signannig	3.4 kbps signalling	3.4 kbps signalling
>>>tf0/ tf0,1	TrCH1: (0x144, 1x144)	TrCH1: (0x144, 1x144)	TrCH1: (0x75) TrCH2: (0x 84 1x84) TrCH3: (0x144, 1x144)	TrCH1: (0x81) TrCH2: (0x 103, 1x103) TrCH3: (0x 60, 1x60) TrCH4: (0x144, 1x144)
>>>>rlcSize	BitMode	BitMode	BitMode	BitMode
>>>>sizeType	TrCH1: type 2, part1= 2, part2= 0 (144)	TrCH1: type 2, part1= 2, part2= 0 (144)	TrCH1: type 1: 75 TrCH2: type 1: 84 TrCH3: 2: type 2, part1= 2, part2= 0 (144)	TrCH1: type 1: 81 TrCH2: type 1: 103 TrCH3: type 1: 60 TrCH4: 2: type 2, part1= 2, part2= 0 (144)
>>>>numberOfTbSizeList	TrCH1: Zero, one	TrCH1: Zero, one	TrCH1: Zero TrCH2-3: Zero, one	TrCH1: Zero TrCH2-4: Zero, one
>>>logicalChannelList	All	All	All	All
>>>tf 1	N/A	N/A	TrCH1: (1x39) TrCH2- TrCH4: N/A	TrCH1: (1x39) TrCH2- TrCH4: N/A
>>>>numberOfTransportBl ocks			TrCH1: One	TrCH1: One
>>>rlc-Size			TrCH1: BitMode	TrCH1: BitMode
>>>>sizeType			TrCH1: 1: 39	TrCH1: 1: 39
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>>logicalChannelList			TrCH1: all	TrCH1: all
>>>tf 2	N/A	N/A	TrCH1: (1x75) TrCH2- TrCH3: N/A	TrCH1: (1x81) TrCH2- TrCH4: N/A
>>>>numberOfTransportBl ocks			TrCH1: Zero	TrCH1: Zero
>>>>rlc-Size			TrCH1: BitMode	TrCH1: BitMode
>>>>sizeType			TrCH1: type 1: 75	TrCH1: type 1: 81
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>>logicalChannelList			TrCH1: all	TrCH1: all
>>semistaticTF-Information				
>>>tti	TrCH1: 40	TrCH1: 10	TrCH1- TrCH2: 20 TrCH3: 40	TrCH1- TrCH3: 20 TrCH4: 40
>>>channelCodingType	Convolutional	Convolutional	Convolutional	Convolutional
>>>>codingRate	TrCH1: Third	TrCH1: Third	TrCH1- TrCH2: Third TrCH3: Third	TrCH1- TrCH2: Third TrCH3: Half TrCH4: Third
>>>rateMatchingAttribute	TrCH1: 160	TrCH1: 160	TrCH1: 200 TrCH2: 190 TrCH3: 160	TrCH1: 200 TrCH2: 190 TrCH3: 235 TrCH4: 160
>>>crc-Size	TrCH1: 16	TrCH1: 16	TrCH1: 12 TrCH2: 0 TrCH3: 16	TrCH1: 12 TrCH2- TrCH3: 0 TrCH4: 16
DL-				
AddReconfTransChInfoList			·	
>Downlink transport channel type	dch	dch	dch	dch
>dl- TransportChannelldentity (should be as for UL)	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2, TrCH3: 3	TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4
>tfs-SignallingMode	SameAsUL	SameAsUL	Independent <only on="" tf0="" trch1<br="">is different and shown below&gt;</only>	Independent <only on="" tf0="" trch1<br="">is different and shown below&gt;</only>
>>transportFormatSet			DedicatedTransChT FS	DedicatedTransChT FS
>>>dynamicTF-information				

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech +	12.2 kbps speech +
		<u>-</u>	3.4 kbps signalling	3.4 kbps signalling
>>>>tf0/ tf0,1			TrCH1: (1x0)	TrCH1: (1x0)
>>>>rlcSize			BitMode	bitMode
>>>>sizeType			TrCH1: type 1: 0	TrCH1: type 1: 0
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>logicalChannelList			All	All
>>ULTrCH-Id	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2,	TrCH1: 1, TrCH2: 2,
, dah QualityTargat			TrCH3: 3	TrCH3: 3, TrCH4: 4
<pre>&gt;dch-QualityTarget &gt;&gt;bler-QualityValue</pre>	TrCH1: 5x10 <sup>-2</sup>	TrCH1: 5x10 <sup>-2</sup>	TrCH1: 7x10 <sup>-3</sup>	TrCH1: 7x10 <sup>-3</sup>
			TrCH2- TrCH3: Absent	TrCH2- TrCH4: Absent
TrCH INFORMATION, COMMON				
ul-CommonTransChInfo				
>tfcs-ID (TDD only)	1	1	1	1
>sharedChannelIndicator (TDD only)	FALSE	FALSE	FALSE	FALSE
>tfc-Subset	Absent, not required	Absent, not required	Absent, not required	Absent, not required
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI	Normal TFCI
	signalling	signalling	signalling	signalling
>>explicitTFCS- ConfigurationMode	Complete	Complete	Complete	Complete
>>>ctfcSize	Ctfc2Bit	Ctfc2Bit	Ctfc4Bit	Ctfc6Bit
>>>>TFCS representation	Addition	Addition	Addition	Addition
>>>>TFCS list	Addition	Addition	Addition	Addition
>>>>TFCS 1	(TF0)	(TF0)	(TF0, TF0, TF0)	(TF0, TF0, TF0, TF0)
>>>>>ctfc	0	0	0	0
>>>>>sgainFactorInform	Computed	Computed	Computed	Computed
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 2	(TF1)	(TF1)	(TF1, TF0, TF0)	(TF1, TF0, TF0, TF0)
>>>>>ctfc	1	1	1	1
>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>	Signalled	Signalled	Computed	Computed
ation		-	•	
>>>>>>βc (FDD only)	11	11	N/A	N/A
>>>>>βd	15	15	N/A	N/A
>>>>>>referenceTFCId	N/A	N/A	0	0
>>>>>TFCS 3			(TF2, TF1, TF0)	(TF2, TF1, TF1, TF0)
>>>>>ctfc	1		5	11
>>>>>>gainFactorInform			Computed	Computed
ation >>>>>>referenceTFCId	+		0	0
>>>>>TFCS 4			(TF0, TF0, TF1)	(TF0, TF0, TF0,
>>>>>ctfc			6	TF1) 12
>>>>>>sgainFactorInform ation			Computed	Computed
			N/A	N/A
>>>>>βc (FDD only) >>>>>βd			N/A	N/A
>>>>>>>>referenceTFCId			0	0
>>>>>TFCS 5			(TF1, TF0, TF1)	(TF1, TF0, TF0,
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			7	TF1) 13
>>>>>>ctfc >>>>>>gainFactorInform			Computed	Computed
ation >>>>>>referenceTFCId			0	0

Configuration	3.4 kbps signalling	13.6 kbps	7.95 kbps speech	12.2 kbps speech
		signalling	+ 3.4 kbps signalling	+ 3.4 kbps signalling
>>>>>TFCS 6			(TF2, TF1, TF1)	(TF2, TF1, TF1, TF1)
>>>>>ctfc			11	23
>>>>>>sgainFactorInform ation			Signalled	Signalled
>>>>>βc (FDD only)			11	11
>>>>>βd			15	15
>>>>>>referenceTFCId			0	0
dl-CommonTransChInfo			0	о 
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL	Same as UL
PhyCH INFORMATION FDD				
UL-DPCH-InfoPredef				
>ul-DPCH- PowerControlInfo				
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1	Algorithm 1
>>>tpcStepSize	1	1	1	1
>tfci-Existence	TRUE	TRUE	TRUE	TRUE
>puncturingLimit	1	1	1	0.88
DL- CommonInformationPredef				
>dl-DPCH-InfoCommon				
>>spreadingFactor	256	128	128	128
>>pilotBits	4	4	4	4
>>positionFixed	N/A	N/A	Fixed	Fixed
PhyCH INFORMATION				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>dpch-ConstantValue	-20	-20	-20	-20
>commonTimeslotInfo				
>>secondInterleavingMode	frameRelated	frameRelated	frameRelated	frameRelated
>>tfci-Coding	4	4	16	16
>>puncturingLimit	1	0.92	0.52	0.88
>>repetitionPeriodAndLeng th	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
DL- CommonInformationPredef				
>dl-DPCH-InfoCommon				
>>commonTimeslotInfo				
>>>secondInterleavingMod	frameRelated	frameRelated	frameRelated	frameRelated
e				
>>>tfci-Coding	4	4	16	16
>>>puncturingLimit	1	0.92	0.52	0.92
>>>repetitionPeriodAndLe ngth	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1

Configuration	28.8 kbps conv. CS- data + 3.4 kbps signalling	32 kbps conv. CS- data + 3.4 kbps signalling	64kbps conv. CS- data + 3.4 kbps signalling	14.4 kbps streaming CS- data + 3.4 kbps signalling
Ref 34.108	12	14	13	15
Default configuration identity	4	5	6	7
RB INFORMATION				
rb-Identity	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5
rlc-InfoChoice	RIc-info	RIc-info	RIc-info	RIc-info

Configuration	28.8 kbps conv. CS- data +	32 kbps conv. CS- data +	64kbps conv. CS- data +	14.4 kbps streaming CS-
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling	data + 3.4 kbps signalling
>ul-RLC-Mode	RB1: UM	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM
	RB5: TM	RB5: TM	RB5: TM	RB5: TM
>>transmissionRLC-	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
DiscardMode	RB2- RB3:	RB2- RB3:	RB2- RB3:	RB2- RB3:
	NoDiscard	NoDiscard	NoDiscard	NoDiscard
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>maxDat	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 15	RB2- RB3: 15	RB2- RB3: 15	RB2- RB3: 15
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>transmissionWindowSiz	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
е	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>timerRST	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>max-RST	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 1	RB2- RB3: 1	RB2- RB3: 1	RB2- RB3: 1
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>pollingInfo	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A
	RB5: FALSE	RB5: FALSE	RB5: FALSE	RB5: FALSE
>dl-RLC-Mode	RB1: UM	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM
>>inSequenceDelivery	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
>>IIIGequericeDelivery	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>receivingWindowSize	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>dl-RLC-StatusInfo	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A
-	RB5: FALSE	RB5: FALSE	RB5: FALSE	RB5: FALSE
rb-MappingInfo				
>UL-	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel
LogicalChannelMappings				
>>ul-	Dch	Dch	Dch	Dch
TransportChannelType				
>>>transportChannelldenti	RB1- RB3: 2	RB1- RB3: 2	RB1- RB3: 2	RB1- RB3: 2
ty	RB5: 1	RB5: 1	RB5: 1	RB5: 1
>>logicalChannelIdentity	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
	RB3: 3	RB3: 3	RB3: 3	RB3: 3
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>rlc-SizeList	RB1- RB3:	RB1- RB3:	RB1- RB3:	RB1- RB3:
	configured	configured	configured	configured
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>mac-	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
LogicalChannelPriority	RB3: 3	RB3: 3	RB3: 3	RB3: 3
	RB5: 5	RB5: 5	RB5: 5	RB5: 5

Configuration	28.8 kbps conv.	32 kbps conv. CS-	64kbps conv. CS-	14.4 kbps
	CS- data + 3.4 kbps signalling	data + 3.4 kbps signalling	data + 3.4 kbps signalling	streaming CS- data + 3.4 kbps signalling
>DL-				
logicalChannelMappingList				
>>Mapping option 1	One mapping option	One mapping option	One mapping option	One mapping option
>>>dl-	Dch	Dch	Dch	Dch
TransportChannelType				
>>>>transportChannellden tity	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1
>>>logicalChannelIdentity	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
,	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A
TrCH INFORMATION PER TrCH				
UL- AddReconfTransChInfoLis t				
> Uplink transport channel	dch	dch	dch	dch
type				
>transportChannelIdentity	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS
>>dynamicTF-information				
>>>tf0/ tf0,1	TrCH1: (0x576,	TrCH1: (0x640,	TrCH1: (0x640,	TrCH1: (0x576,
	1x576, 2x576)	1x640)	2x640)	1x576)
	TrCH2: (0x144,	TrCH2: (0x144,	TrCH2: (0x144,	TrCH2: (0x144,
	1x144)	1x144)	1x144)	1x144)
>>>rlcSize	TrCH1: OctetMode	TrCH1: OctetMode	TrCH1: OctetMode	TrCH1: OctetMode
>>>>sizeType	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,
>>>>size i ype	part1 = 11, part2 = 2	part1 = 11, part2 = 2	part1 = 11, part2 = 2	part1= 9,
	(576)	(640)	(640)	part2= 2 (576)
	TrCH2: type 2,	TrCH2: type 2,	TrCH2: type 2,	TrCH2: type 2,
	part1= 2, part2= 0	part1= 2, part2= 0	part1= 2, part2= 0	part1= 2,
	(144)	(144)	(144)	part2= 0 (144)
>>>>numberOfTbSizeList	TrCH1: Zero,1, 2 (4) TrCH2: Zero, one	TrCH1: Zero, one TrCH2: Zero, one	TrCH1: Zero, 2 (4) TrCH2: Zero, one	TrCH1: Zero, one, TrCH2: Zero, one
>>>logicalChannelList	All	All	All	All
>>semiStaticTF-				
Information				
>>>tti	TrCH1: 40 TrCH2: 40	TrCH1: 20 TrCH2: 40	TrCH1: 20 TrCH2: 40	TrCH1: 40 TrCH2: 40
>>>channelCodingType	TrCH1: Turbo	TrCH1: Turbo	TrCH1: Turbo	TrCH1: Turbo
3 /1	TrCH2:	TrCH2:	TrCH2:	TrCH2:
	Convolutional	Convolutional	Convolutional	Convolutional
>>>>codingRate	TrCH1: N/A	TrCH1: N/A	TrCH1: N/A	TrCH1: N/A
	TrCH2: Third	TrCH2: Third	TrCH2: Third	TrCH2: Third
>>>rateMatchingAttribute	TrCH1: 180	TrCH1: 185	TrCH1: 170	TrCH1: 165
>>>crc-Size	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16
>>>010-5120	TrCH2: 16	TrCH2: 16	TrCH2: 16	TrCH2: 16
DL-				
AddReconfTransChInfoLis t				
>Downlink transport	dch	dch	dch	dch
channel type				
>dl-	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
TransportChannelldentity				
(should be as for UL)	<b>a</b>	<b>a</b>		
>tfs-SignallingMode	SameAsUL	SameAsUL	SameAsUL	SameAsUL
>>transportFormatSet				
>>>dynamicTF-information				
>>>>tf0/ tf0,1				
>>>rlcSize				

Configuration	28.8 kbps conv.	32 kbps conv. CS-	64kbps conv. CS-	14.4 kbps
	CS- data + 3.4 kbps signalling	data + 3.4 kbps signalling	data + 3.4 kbps signalling	streaming CS- data + 3.4 kbps signalling
>>>>sizeType				
>>>numberOfTbSizeList				
>>>logicalChannelList				
>>ULTrCH-Id	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
>dch-QualityTarget				
>>bler-QualityValue	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 1x10 <sup>-2</sup>
	TrCH2: Absent	TrCH2: Absent	TrCH2: Absent	TrCH2: Absent
TrCH INFORMATION, COMMON				
ul-CommonTransChInfo				
>tfcs-ID (TDD only)	1	1	1	1
>sharedChannelIndicator (TDD only)	FALSE	FALSE	FALSE	FALSE
>tfc-Subset	Absent, not required	Absent, not required	Absent, not required	Absent, not required
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI	Normal TFCI
>>explicitTFCS-	signalling	signalling	signalling	signalling
>>explicit FCS- ConfigurationMode	Complete	Complete	Complete	Complete
>>>ctfcSize	Ctfc2Bit	Ctfc2Bit	Ctfc2Bit	Ctfc4Bit
>>>TFCS representation	Addition	Addition	Addition	Addition
>>>>TFCS list				
>>>>>TFCS 1	(TF0, TF0)	(TF0, TF0)	(TF0, TF0)	(TF0, TF0)
>>>>>>ctfc	0	0	0	0
>>>>>>gainFactorInform	Computed	Computed	Computed	Computed
ation	•	·	·	·
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 2	(TF1, TF0)	(TF1, TF0)	(TF1, TF0)	(TF1, TF0)
>>>>>ctfc	1	1	1	1
>>>>>sgainFactorInform ation	Computed	Computed	Computed	Computed
>>>>>>βc (FDD only)	N/A	N/A	N/A	N/A
>>>>>βd	N/A	N/A	N/A	N/A
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 3	(TF2, TF0)	(TF0, TF1)	(TF0, TF1)	(TF0, TF1)
>>>>>ctfc	2	2	2	2
>>>>>>sgainFactorInform ation	Computed	Computed	Computed	Computed
>>>>>>>referenceTFCId	0	0	0	0
>>>>TFCS 4	(TF0, TF1)	(TF1, TF1)	(TF1, TF1)	(TF1, TF1)
>>>>>ctfc	3	3	3	3
>>>>>>sgainFactorInform ation	Computed	Signalled	Signalled	Signalled
>>>>>βc (FDD only)	N/A	8	8	11
>>>>>βd	N/A	15	15	15
>>>>>>referenceTFCId	N/A	N/A	N/A	N/A
>>>>>TFCS 5	(TF1, TF1)	N/A	N/A	
>>>>>ctfc	4			
>>>>>>sgainFactorInform ation	Computed			
>>>>>>>referenceTFCId	8			
>>>>>TFCS 6	o (TF2, TF1)	N/A	N/A	
>>>>>>ctfc	5	1 4/ / 1	14// \	
>>>>>>gainFactorInform	Signalled			
>>>>>>βc (FDD only)	8			
	15			
>>>>>βd >>>>>>referenceTFCId	N/A			
>>>>>TFCS 7				
>>>>>>ctfc	+			
	1	1	1	

Configuration	28.8 kbps conv. CS- data +	32 kbps conv. CS- data +	64kbps conv. CS- data +	14.4 kbps streaming CS-
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling	data + 3.4 kbps signalling
>>>>>>gainFactorInform				
ation >>>>>>referenceTFCId				
>>>>>TFCS 8				
>>>>>>ctfc				
>>>>>gainFactorInform				
ation				
>>>>>>referenceTFCId				
>>>>>TFCS 9				
>>>>>ctfc				
>>>>>>gainFactorInform ation				
>>>>>referenceTFCId				
>>>>>TFCS 10				
>>>>>>>>>Ctfc				
>>>>>>sgainFactorInform ation				
>>>>>βc (FDD only)				
>>>>>βd				
>>>>>>referenceTFCId				
dl-CommonTransChInfo	0			
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL	Same as UL
PhyCH INFORMATION FDD				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1	Algorithm 1
>>>tpcStepSize	1		1	1
>tfci-Existence	TRUE	TRUE	TRUE	TRUE
>puncturingLimit DL-	0.92	0.8	0.92	1
CommonInformationPrede f				
>dl-DPCH-InfoCommon				
>>spreadingFactor	64	64	32	128
>>pilotBits	8	8	8	8
>>positionFixed	Flexible	Flexible	Flexible	Flexible
PhyCH INFORMATION TDD				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>dpch-ConstantValue	-20	-20	-20	-20
>commonTimeslotInfo >>secondInterleavingMod	frameRelated	frameRelated	frameRelated	frameRelated
e				
>>tfci-Coding	16	8	8	8
>>puncturingLimit	0.44	0.8	0.56	0.8
>>repetitionPeriodAndLen	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
gth DL-				
CommonInformationPrede				
>dl-DPCH-InfoCommon				
>>commonTimeslotInfo				
>>secondInterleavingMo	frameRelated	frameRelated	frameRelated	frameRelated
de				
>>>tfci-Coding	16	8	8	8
>>>puncturingLimit	0.44	0.64	0.56	0.8

Configuration	28.8 kbps conv. CS- data + 3.4 kbps signalling	32 kbps conv. CS- data + 3.4 kbps signalling	64kbps conv. CS- data + 3.4 kbps signalling	14.4 kbps streaming CS- data + 3.4 kbps signalling
>>>repetitionPeriodAndLe ngth	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1

Configuration	28.8 kbps streaming CS- data + 3.4 kbps signalling	57.6 kbps streaming CS- data + 3.4 kbps signalling	<u>12.2 kbps</u> speech(multimode) <u>±</u> <u>3.4 kbps signalling</u>
Ref 34.108	16	17	<u>1a</u>
Default configuration	8	9	10
identity		-	<u> </u>
RB INFORMATION			
rb-Identity	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3, RB5: 5,</u> RB6: 6, RB7: 7
rlc-InfoChoice	RIc-info	RIc-info	RIc-info
>ul-RLC-Mode	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM
	RB5: TM	RB5: TM	RB5-RB7: TM
>>transmissionRLC-	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>
DiscardMode	RB2- RB3:	RB2- RB3:	<u>RB2- RB3:</u>
	NoDiscard	NoDiscard	NoDiscard
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>
>>>maxDat	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>
	RB2- RB3: 15	RB2- RB3: 15	<u>RB2- RB3: 15</u>
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>
>>transmissionWindowSiz	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>
e	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>
>>timerRST	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>
	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300
>>max-RST	RB5: N/A RB1: N/A	RB5: N/A RB1: N/A	<u>RB5- RB7: N/A</u>
>>11121-K31	RB2- RB3: 1	RB2- RB3: 1	<u>RB1: N/A</u>
	RB5: N/A	RB5: N/A	<u>RB2- RB3: 1</u> RB5- RB7: N/A
>>pollingInfo	RB1: N/A	RB1: N/A	RB1: N/A
>>pollinginio	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	RB5- RB7: N/A
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A
	RB5: FALSE	RB5: FALSE	RB5- RB7: FALSE
>dl-RLC-Mode	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM
	RB5: TM	RB5: TM	RB5- RB7: TM
>>inSequenceDelivery	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE
	RB5: N/A	RB5: N/A	RB5- RB7: N/A
>>receivingWindowSize	RB1: N/A	RB1: N/A	RB1: N/A
-	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>
>>dl-RLC-StatusInfo	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100

Configuration	28.8 kbps streaming CS-	57.6 kbps streaming CS-	<u>12.2 kbps</u> speech(multimode)
	data +	data +	+
	3.4 kbps signalling	3.4 kbps signalling	<u>3.4 kbps signalling</u>
>>segmentationIndication	RB1- RB3: N/A RB5: FALSE	RB1- RB3: N/A RB5: FALSE	RB1- RB3: N/A RB5- RB7: FALSE
rb-MappingInfo			
>UL- LogicalChannelMappings	OneLogicalChannel	OneLogicalChannel	<u>OneLogicalChannel</u>
>>ul- TransportChannelType	Dch	Dch	Dch
>>>transportChannelldenti ty	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	<u>RB1- RB3: 4</u> <u>RB5: 1, RB6: 2,</u> <u>RB7: 3</u>
>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: N/A</u>
>>rlc-SizeList	RB1- RB3: configured RB5: N/A	RB1- RB3: configured RB5: N/A	RB1- RB3: configured RB5- RB7: N/A
>>mac- LogicalChannelPriority	RB1: 1, RB2: 2, RB3: 3 RB5: 5	RB1: 1, RB2: 2, RB3: 3 RB5: 5	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: 5</u>
>DL- logicalChannelMappingList			
>>Mapping option 1	One mapping option	One mapping option	One mapping option
>>>dl- TransportChannelType	Dch	Dch	Dch
>>>>transportChannellden tity	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	<u>RB1- RB3: 4</u> <u>RB5: 1, RB6: 2,</u> <u>RB7: 3</u>
>>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: N/A</u>
TrCH INFORMATION PER TrCH			
UL- AddReconfTransChInfoLis t			
>Uplink transport channel type	dch	dch	dch
>transportChannelIdentity	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	<u>TrCH1: 1, TrCH2: 2,</u> <u>TrCH3: 3, TrCH4: 4</u>
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS
>>dynamicTF-information	T 0114 (0 ====	T 0114 (0 -===	
>>>tf0/ tf0,1	TrCH1: (0x576, 1x576, 2x576) TrCH2: (0x144, 1x144)	TrCH1: (0x576, 1x576, 2x576, 3x576, 4x576) TrCH2: (0x144, 1x144)	<u>TrCH1: (0x81)</u> <u>TrCH2: (0x 103</u> <u>TrCH3: (0x 60)</u> <u>TrCH4: (0x144</u> )
>>>rlcSize	TrCH1: OctetMode TrCH2:BitMode	TrCH1: OctetMode TrCH2:BitMode	<u>BitMode</u>
>>>>sizeType	TrCH1: type 2, part1= 9, part2= 2 (576) TrCH2: type 2, part1= 2, part2= 0 (144)	TrCH1: type 2, part1= 9, part2= 2 (576) TrCH2: type 2, part1= 2, part2= 0 (144)	<u>TrCH1: type 1: 81</u> <u>TrCH2: type 1: 103</u> <u>TrCH3: type 1: 60</u> <u>TrCH4: 2: type 2,</u> part1= 2, part2= 0 (144)
>>>>numberOfTbSizeList	TrCH1: Zero, one, 2 TrCH2: Zero, one	TrCH1: Zero, one, 2, 3, 4 TrCH2: Zero, one	TrCH1-4: Zero
>>>logicalChannelList	All	All	All

Configuration	28.8 kbps streaming CS- data +	57.6 kbps streaming CS- data +	<u>12.2 kbps</u> speech(multimode) +
	3.4 kbps signalling	3.4 kbps signalling	<u>3.4 kbps signalling</u>
<u>&gt;&gt;&gt;tf 1</u>			<u>TrCH1: (1x39)</u> <u>TrCH2: (1x53)</u> <u>TrCH3: (1x60)</u> TrCH4: (1x144) <mark>N/A</mark>
>>>numberOfTransportBl ocks			TrCH1-3: One
>>>rlc-Size			TrCH1-3: BitMode
>>>>sizeType			<u>TrCH1: 1: 39</u> <u>TrCH2: 1: 53</u> <u>TrCH3: 1: 60</u>
>>>numberOfTbSizeList			TrCH1-3: One
>>>logicalChannelList			TrCH1-3: all
<u>&gt;&gt;&gt;tf 2</u>			<u>TrCH1: (1x42)</u> <u>TrCH2: (1x63)</u> TrCH3- TrCH4: N/A
>>>numberOfTransportBl ocks			TrCH1-2: One
>>>rlc-Size			TrCH1: BitMode
>>>>sizeType			<u>TrCH1: type 1: 42</u> <u>TrCH2: type 1: 63</u>
>>>numberOfTbSizeList >>>>logicalChannelList			TrCH1-2: One TrCH1: all
<pre>&gt;&gt;&gt;tf 3</pre>			<u>TrCH1: (1x55)</u> TrCH2: (1x84)
			<u>TrCH3- TrCH4: N/A</u>
>>>numberOfTransportBl ocks			TrCH1-2: Zero
>>>rlc-Size >>>>sizeType			TrCH1: BitMode TrCH1: type 1: 55
<u>&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;&gt;</u>			<u>TrCH2: type 1: 84</u>
>>>numberOfTbSizeList			TrCH1-2: One
>>>logicalChannelList			TrCH1: all
<u>&gt;&gt;&gt;tf 4</u>			<u>TrCH1: (1x75)</u> <u>TrCH2: (1x103)</u> <u>TrCH3- TrCH4: N/A</u>
>>>numberOfTransportBl ocks			TrCH1-2: One
>>>rlc-Size			TrCH1: BitMode
<pre>&gt;&gt;&gt;sizeType</pre>			<u>TrCH1: type 1: 75</u> <u>TrCH2: type 1: 103</u>
>>>numberOfTbSizeList >>>>logicalChannelList			TrCH1-2: One TrCH1: all
>>>tf 5			<u>TrCH1: (1x81)</u> TrCH2- TrCH4: N/A
>>>numberOfTransportBl ocks			TrCH1: One
>>>rlc-Size			TrCH1: BitMode
>>>>sizeType >>>>numberOfTbSizeList			<u>TrCH1: type 1: 81</u>
>>>logicalChannelList			TrCH1: One TrCH1: all
>>semiStaticTF- Information			
>>>tti	TrCH1: 40 TrCH2: 40	TrCH1: 40 TrCH2: 40	<u>TrCH1- TrCH3: 20</u> TrCH4: 40
>>>channelCodingType	TrCH1: Turbo TrCH2: Convolutional	TrCH1: Turbo TrCH2: Convolutional	Convolutional
>>>codingRate	TrCH1: N/A TrCH2: Third	TrCH1: N/A TrCH2: Third	<u>TrCH1- TrCH2:</u> <u>Third</u> <u>TrCH3: Half</u> TrCH4: Third

Configuration	28.8 kbps	57.6 kbps	12.2 kbps
U U	streaming CS-	streaming CS-	speech(multimode)
	data + 3.4 kbps signalling	data + 3.4 kbps signalling	<u>+</u> 3.4 kbps signalling
	5.4 Kops signaling	5.4 Kops signaling	<u>5.4 Kbps Signaling</u>
>>>rateMatchingAttribute	TrCH1: 155	TrCH1: 145	<u>TrCH1: 200</u>
	TrCH2: 160	TrCH2: 160	<u>TrCH2: 190</u> TrCH3: 235
			TrCH4: 160
>>>crc-Size	TrCH1: 16	TrCH1: 16	<u>TrCH1: 12</u>
	TrCH2: 16	TrCH2: 16	TrCH2- TrCH3: 0
DL-			<u>TrCH4: 16</u>
AddReconfTransChInfoLis			
t			
>Downlink transport channel type	dch	dch	<u>dch</u>
>dl-	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2,
TransportChannelldentity	- , -	- , -	TrCH3: 3, TrCH4: 4
(should be as for UL)	ComeAst	ComeAell	Indonesia de st
>tfs-SignallingMode	SameAsUL	SameAsUL	Independent <only on="" td="" tf0="" trch1<=""></only>
			is different and
			shown below>
>>transportFormatSet			<u>DedicatedTransChT</u> FS
>>>dynamicTF-information			
>>>tf0/ tf0,1			<u>TrCH1: (1x0)</u>
>>>rlcSize			bitMode
>>>>sizeType			<u>TrCH1: type 1: 0</u>
>>>numberOfTbSizeList >>>>logicalChannelList			<u>TrCH1: One</u> All
>>ULTrCH-Id	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	<u>TrCH1: 1, TrCH2: 2,</u>
			TrCH3: 3, TrCH4: 4
>dch-QualityTarget	2	0	2
>>bler-QualityValue	TrCH1: 1x10 <sup>-2</sup>	TrCH1: 1x10 <sup>-2</sup>	<u>TrCH1: 7x10<sup>-3</sup></u>
	TrCH2: Absent	TrCH2: Absent	TrCH2- TrCH4: Absent
TrCH INFORMATION,			<u>/ 1000111</u>
COMMON			
ul-CommonTransChInfo		4	
>tfcs-ID (TDD only) >sharedChannelIndicator	1 FALSE	1 FALSE	<u>1</u> FALSE
(TDD only)			
>tfc-Subset	Absent, not required	Absent, not required	Absent, not required
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI
>>explicitTFCS-	signalling Complete	signalling Complete	signalling Complete
ConfigurationMode		Jompiere	
>>>ctfcSize	Ctfc4Bit	Ctfc4Bit	Ctfc8Bit
>>>>TFCS representation	Addition	Addition	Addition
>>>>TFCS list >>>>>TFCS 1	(TF0, TF0)	(TF0, TF0)	
	(IFU, IFU)	(150, 150)	<u>(TF0, TF0, TF0,</u> TF0)
>>>>>ctfc	0	0	<u>0</u>
>>>>>gainFactorInform	Computed	Computed	Computed
ation >>>>>>referenceTFCId	0	0	0
>>>>>>>>>>>TFCS 2	0 (TF1, TF0)	0 (TF1, TF0)	<u>0</u> (TF1, TF0, TF0,
	···· ·, ·· · ·/	(··· ·, ·· •)	<u>TF0)</u>
>>>>>ctfc	1	1	1
>>>>>sgainFactorInform	Computed	Computed	<u>Computed</u>
ation >>>>>βc (FDD only)	N/A	N/A	N/A
>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>	N/A	N/A	N/A
pu			

Configuration	28.8 kbps streaming CS- data +	57.6 kbps streaming CS- data +	<u>12.2 kbps</u> speech(multimode)
	3.4 kbps signalling	3.4 kbps signalling	± <u>3.4 kbps signalling</u>
>>>>>>referenceTFCId	0	0	0
>>>>>TFCS 3	(TF2, TF0)	(TF2, TF0)	( <u>TF2, TF1, TF0,</u> TF0)
>>>>>ctfc	2	2	<u>8</u>
>>>>>>gainFactorInform ation	Computed	Computed	Computed
>>>>>referenceTFCId	0	0	<u>0</u>
>>>>>TFCS 4	(TF0, TF1)	(TF3, TF0)	<u>(TF3, TF2, TF0,</u> TF0)
>>>>>ctfc	3	3	<u>15</u>
>>>>>>sgainFactorInform ation	Computed	Computed	<u>Computed</u>
>>>>>βc (FDD only)	N/A	N/A	N/A
>>>>>βd	N/A	N/A	N/A
>>>>>>>referenceTFCId	0	0	0
>>>>TFCS 5	(TF1, TF1)	(TF4, TF0)	<u>⊂</u> ( <u>TF4, TF3, TF0,</u> TF0)
>>>>>ctfc	4	4	22
>>>>>gainFactorInform ation	Computed	Computed	Computed
>>>>>>referenceTFCId	0	0	0
>>>>>TFCS 6	(TF2, TF1)	(TF0, TF1)	<u>(TF5, TF4, TF1,</u> TF0)
>>>>>ctfc	5	5	59
>>>>>>gainFactorInform ation	Signalled	Computed	Computed
>>>>>βc (FDD only)	8	N/A	N/A
>>>>>βd	15	N/A	N/A
>>>>>>>referenceTFCId	N/A	0	0
>>>>TFCS 7		(TF1, TF1)	<u>(TF0,TF0,TF0,TF1)</u>
>>>>>ctfc		6	60
>>>>>sgainFactorInform ation		Computed	Computed
>>>>>>referenceTFCId		0	0
>>>>>TFCS 8		(TF2, TF1)	
>>>>>ctfc		7	61
>>>>>>gainFactorInform ation		Computed	Computed
>>>>>>referenceTFCId		0	0
>>>>>TFCS 9		(TF3, TF1)	
>>>>>ctfc		8	<u>68</u>
>>>>>>gainFactorInform ation		Computed	<u>Computed</u>
>>>>>>referenceTFCId		0	<u>0</u>
>>>>>TFCS 10		(TF4, TF1)	(TF3,TF2,TF0,TF1)
>>>>>ctfc >>>>>>gainFactorInform		9 Signalled	75 Computed
ation >>>>>βc (FDD only)		8	<u></u>
>>>>>βd		15	N/A
>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>		0	0
>>>>>TFCS 11			<u>0</u> (TF4,TF3,TF0,TF1)
<u>&gt;&gt;&gt;&gt;&gt;ctfc</u>			<u>82</u>
<pre>&gt;&gt;&gt;&gt;sgainFactorInform ation</pre>			Computed
>>>>>referenceTFCId			
>>>>TFCS 12			(TF5,TF4,TF1,TF1)
<u>&gt;&gt;&gt;&gt;&gt;ctfc</u>			<u>119</u>

Configuration	28.8 kbps	57.6 kbps	12.2 kbps
	streaming CS-	streaming CS-	speech(multimode)
	data +	data +	±
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling
>>>>>gainFactorInform			Signalled
ation			
<u>&gt;&gt;&gt;&gt;&gt;βc (FDD only)</u>			11
<u>&gt;&gt;&gt;&gt;&gt;βd</u>			15
>>>>>referenceTFCId			0
dl-CommonTransChInfo			
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL
PhyCH INFORMATION FDD			
UL-DPCH-InfoPredef			
>ul-DPCH-			
PowerControlInfo			
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1
>>>tpcStepSize	1	1	<u>1</u>
>tfci-Existence	TRUE	TRUE	TRUE
>puncturingLimit	1	1	<u>0.88</u>
DL-			
CommonInformationPrede f			
>dl-DPCH-InfoCommon			
>>spreadingFactor	64	32	<u>128</u>
>>pilotBits	8	8	4
>>positionFixed	Flexible	Flexible	Fixed
PhyCH INFORMATION			
TDD			
UL-DPCH-InfoPredef			
>ul-DPCH-			
PowerControlInfo			
>>dpch-ConstantValue	-20	-20	<u>-20</u>
>commonTimeslotInfo			
>>secondInterleavingMod	frameRelated	frameRelated	frameRelated
е			
>>tfci-Coding	16	16	<u>16</u>
>>puncturingLimit	0.44	0.48	<u>0.88</u>
>>repetitionPeriodAndLen	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
gth			
DL-			
CommonInformationPrede f			
>dl-DPCH-InfoCommon			
>>commonTimeslotInfo			
>>>secondInterleavingMo	frameRelated	frameRelated	frameRelated
de			
>>>tfci-Coding	16	16	<u>16</u>
>>>puncturingLimit	0.44	0.48	<u>0.92</u>
>>>repetitionPeriodAndLe	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
ngth			

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		CR-Form-v5
ж	<b>25.331</b> CR <b>1344 # rev r1</b> <sup># Current version: <b>3.9.0</b></sup>	ж
For <mark>HELP</mark> on u	sing this form, see bottom of this page or look at the pop-up text over the $st$ sym	bols.
Proposed change	affects: # (U)SIM ME/UE X Radio Access Network X Core Net	work
Title: ೫	Multimode speech in default configuration	
Source: भ	TSG-RAN WG2	
Work item code: अ	TEI Date: # 2002-02-22	
	C       Release: %       R99         Use one of the following categories:       Use one of the following release         F (correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       R96       (Release 1996)         B (addition of feature),       R97       (Release 1997)         C (functional modification of feature)       R98       (Release 1998)         D (editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can       REL-4       (Release 4)         be found in 3GPP TR 21.900.       REL-5       (Release 5)         e: % It has been agreed to add multimode 12.2 kbit/s speech as a prioritised RA 34.108. The RAB is currently not part of the default configurations in 25.33 therefore added there.	.B in
Summary of chang	The RAB Conversational/speech (12.2 7.95 5.9 4.75) + 3.4 kbps SRB for D added to the default configuarations in 13.7.	)CCH is
	If the UE has implemented the CR but the UTRAN has not there is no imparent of the UTRAN has implemented the CR but the UE has not, HO from GSM multimode speech will fail.	
Consequences if not approved:	X         Not possible to perform handover from GSM with multimode speech.	
Clauses affected:	¥ 13.7	
Other specs affected:	%       Other core specifications       %       25.331 v4.3.0, CR 1345         Test specifications       0&M Specifications	
Other comments:	¥	

## How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 13.7 Parameter values for default radio configurations

The UE shall support the use of the default radio configurations that are specified in the following.

NOTE 1: These configurations are based on [41] and cover a number of RAB and signalling connection configurations.

In the table that is used to specify the parameter values for these default configurations, the following principles are used:

- Optional IEs that are not used are omitted;
- In case no parameter value is specified in a column, this means the value given the previous (left side) column applies.
- NOTE 2: If needed, signalling radio bearer RB4 is established after the completion of handover.
- NOTE 3: For each default configuration, the value of both FDD and TDD parameters are specified. All parameters apply to both FDD and TDD modes, unless explicitly stated otherwise. It should be noted that in this respect default configurations differ from pre-defined configurations, which only include parameter values for one mode.
- NOTE 4: The transport format sizes, indicated in the following table, concern the RLC PDU size, since all configurations concern dedicated channels. The transport block sizes indicated in TS 34.108 are different since these include the size of the MAC header.

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech + 3.4 kbps signalling	12.2 kbps speech + 3.4 kbps signalling
Ref 34.108	2	3	6	4
Default configuration identity	0	1	2	3
RB INFORMATION				
Rb-Identity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6	RB1: 1, RB2: 2, RB3: 3, RB5: 5, RB6: 6, RB7: 7
RIc-InfoChoice	RIc-info	RIc-info	Rlc-info	RIc-info
>ul-RLC-Mode	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM RB5-RB6: TM	RB1: UM RB2- RB3: AM RB5-RB7: TM
>>transmissionRLC- DiscardMode	RB1: N/A RB2- RB3: NoDiscard	RB1: N/A RB2- RB3: NoDiscard	RB1: N/A RB2- RB3: NoDiscard RB5- RB6: N/A	RB1: N/A RB2- RB3: NoDiscard RB5- RB7: N/A
>>>maxDat	RB1: N/A RB2- RB3: 15	RB1: N/A RB2- RB3: 15	RB1: N/A RB2- RB3: 15 RB5- RB6: N/A	RB1: N/A RB2- RB3: 15 RB5- RB7: N/A
>>transmissionWindowSiz e	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128 RB5- RB6: N/A	RB1: N/A RB2- RB3: 128 RB5- RB7: N/A
>>timerRST	RB1: N/A RB2- RB3: 300	RB1: N/A RB2- RB3: 300	RB1: N/A RB2- RB3: 300 RB5- RB6: N/A	RB1: N/A RB2- RB3: 300 RB5- RB7: N/A
>>max-RST	RB1: N/A RB2- RB3: 1	RB1: N/A RB2- RB3: 1	RB1: N/A RB2- RB3: 1 RB5- RB6: N/A	RB1: N/A RB2- RB3: 1 RB5- RB7: N/A
>>pollingInfo	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below RB5- RB6: N/A	RB1: N/A RB2- RB3: as below RB5- RB7: N/A
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech	12.2 kbps speech
		signaling	+ 3.4 kbps signalling	+ 3.4 kbps signalling
>>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A RB5- RB6: FALSE	RB1- RB3: N/A RB5- RB7: FALSE
>dl-RLC-Mode	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM	RB1: UM RB2- RB3: AM RB5- RB6: TM	RB1: UM RB2- RB3: AM RB5- RB7: TM
>>inSequenceDelivery	RB1: N/A RB2- RB3: TRUE	RB1: N/A RB2- RB3: TRUE	RB1: N/A RB2- RB3: TRUE RB5- RB6: N/A	RB1: N/A RB2- RB3: TRUE RB5- RB7: N/A
>>receivingWindowSize	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128	RB1: N/A RB2- RB3: 128 RB5- RB6: N/A	RB1: N/A RB2- RB3: 128 RB5- RB7: N/A
>>dl-RLC-StatusInfo	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below	RB1: N/A RB2- RB3: as below RB5- RB6: N/A	RB1: N/A RB2- RB3: as below RB5- RB7: N/A
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A RB5- RB6: FALSE	RB1- RB3: N/A RB5- RB7: FALSE
Rb-MappingInfo				
>UL- LogicalChannelMappings	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel
>>ul- TransportChannelType	Dch	Dch	Dch	Dch
>>>transportChannelldentit y	RB1- RB3: 1	RB1- RB3: 1	RB1- RB3: 3 RB5: 1, RB6: 2	RB1- RB3: 4 RB5: 1, RB6: 2, RB7: 3
>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: N/A	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: N/A
>>rlc-SizeList	RB1- RB3: configured	RB1- RB3: configured	RB1- RB3: configured RB5- RB6: N/A	RB1- RB3: configured RB5- RB7: N/A
>>mac- LogicalChannelPriority	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: 5	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: 5
>DL- logicalChannelMappingList				
>>Mapping option 1	One mapping option	One mapping option	One mapping option	One mapping option
>>>dl- TransportChannelType	Dch	Dch	Dch	Dch
>>>>transportChannellden tity	RB1- RB3: 1	RB1- RB3: 1	RB1- RB3: 3 RB5: 1, RB6: 2	RB1- RB3: 4 RB5: 1, RB6: 2, RB7: 3
>>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3	RB1: 1, RB2: 2, RB3: 3 RB5- RB6: N/A	RB1: 1, RB2: 2, RB3: 3 RB5- RB7: N/A
TrCH INFORMATION PER TrCH UL-				
AddReconfTransChInfoList	deb	deb	deb	deb
>Uplink transport channel type	dch	dch	dch	dch
>transportChannelldentity	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2, TrCH3: 3	TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS
>>dynamicTF-information				

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech	12.2 kbps speech
		Signannig	3.4 kbps signalling	3.4 kbps signalling
>>>tf0/ tf0,1	TrCH1: (0x144, 1x144)	TrCH1: (0x144, 1x144)	TrCH1: (0x75) TrCH2: (0x 84 1x84) TrCH3: (0x144, 1x144)	TrCH1: (0x81) TrCH2: (0x 103, 1x103) TrCH3: (0x 60, 1x60) TrCH4: (0x144, 1x144)
>>>>rlcSize	BitMode	BitMode	BitMode	BitMode
>>>>sizeType	TrCH1: type 2, part1= 2, part2= 0 (144)	TrCH1: type 2, part1= 2, part2= 0 (144)	TrCH1: type 1: 75 TrCH2: type 1: 84 TrCH3: 2: type 2, part1= 2, part2= 0 (144)	TrCH1: type 1: 81 TrCH2: type 1: 103 TrCH3: type 1: 60 TrCH4: 2: type 2, part1= 2, part2= 0 (144)
>>>>numberOfTbSizeList	TrCH1: Zero, one	TrCH1: Zero, one	TrCH1: Zero TrCH2-3: Zero, one	TrCH1: Zero TrCH2-4: Zero, one
>>>logicalChannelList	All	All	All	All
>>>tf 1	N/A	N/A	TrCH1: (1x39) TrCH2- TrCH4: N/A	TrCH1: (1x39) TrCH2- TrCH4: N/A
>>>>numberOfTransportBl ocks			TrCH1: One	TrCH1: One
>>>rlc-Size			TrCH1: BitMode	TrCH1: BitMode
>>>>sizeType			TrCH1: 1: 39	TrCH1: 1: 39
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>>logicalChannelList			TrCH1: all	TrCH1: all
>>>tf 2	N/A	N/A	TrCH1: (1x75) TrCH2- TrCH3: N/A	TrCH1: (1x81) TrCH2- TrCH4: N/A
>>>>numberOfTransportBl ocks			TrCH1: Zero	TrCH1: Zero
>>>>rlc-Size			TrCH1: BitMode	TrCH1: BitMode
>>>>sizeType			TrCH1: type 1: 75	TrCH1: type 1: 81
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>>logicalChannelList			TrCH1: all	TrCH1: all
>>semistaticTF-Information				
>>>tti	TrCH1: 40	TrCH1: 10	TrCH1- TrCH2: 20 TrCH3: 40	TrCH1- TrCH3: 20 TrCH4: 40
>>>channelCodingType	Convolutional	Convolutional	Convolutional	Convolutional
>>>>codingRate	TrCH1: Third	TrCH1: Third	TrCH1- TrCH2: Third TrCH3: Third	TrCH1- TrCH2: Third TrCH3: Half TrCH4: Third
>>>rateMatchingAttribute	TrCH1: 160	TrCH1: 160	TrCH1: 200 TrCH2: 190 TrCH3: 160	TrCH1: 200 TrCH2: 190 TrCH3: 235 TrCH4: 160
>>>crc-Size	TrCH1: 16	TrCH1: 16	TrCH1: 12 TrCH2: 0 TrCH3: 16	TrCH1: 12 TrCH2- TrCH3: 0 TrCH4: 16
DL-				
AddReconfTransChInfoList			·	
>Downlink transport channel type	dch	dch	dch	dch
>dl- TransportChannelldentity (should be as for UL)	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2, TrCH3: 3	TrCH1: 1, TrCH2: 2, TrCH3: 3, TrCH4: 4
>tfs-SignallingMode	SameAsUL	SameAsUL	Independent <only on="" tf0="" trch1<br="">is different and shown below&gt;</only>	Independent <only on="" tf0="" trch1<br="">is different and shown below&gt;</only>
>>transportFormatSet			DedicatedTransChT FS	DedicatedTransChT FS
>>>dynamicTF-information				

Configuration	3.4 kbps signalling	13.6 kbps signalling	7.95 kbps speech +	12.2 kbps speech +
		<u>-</u>	3.4 kbps signalling	3.4 kbps signalling
>>>>tf0/ tf0,1			TrCH1: (1x0)	TrCH1: (1x0)
>>>>rlcSize			BitMode	bitMode
>>>>sizeType			TrCH1: type 1: 0	TrCH1: type 1: 0
>>>>numberOfTbSizeList			TrCH1: One	TrCH1: One
>>>logicalChannelList			All	All
>>ULTrCH-Id	TrCH1: 1	TrCH1: 1	TrCH1: 1, TrCH2: 2,	TrCH1: 1, TrCH2: 2,
, dah QualityTargat			TrCH3: 3	TrCH3: 3, TrCH4: 4
<pre>&gt;dch-QualityTarget &gt;&gt;bler-QualityValue</pre>	TrCH1: 5x10 <sup>-2</sup>	TrCH1: 5x10 <sup>-2</sup>	TrCH1: 7x10 <sup>-3</sup>	TrCH1: 7x10 <sup>-3</sup>
			TrCH2- TrCH3: Absent	TrCH2- TrCH4: Absent
TrCH INFORMATION, COMMON				
ul-CommonTransChInfo				
>tfcs-ID (TDD only)	1	1	1	1
>sharedChannelIndicator (TDD only)	FALSE	FALSE	FALSE	FALSE
>tfc-Subset	Absent, not required	Absent, not required	Absent, not required	Absent, not required
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI	Normal TFCI
	signalling	signalling	signalling	signalling
>>explicitTFCS- ConfigurationMode	Complete	Complete	Complete	Complete
>>>ctfcSize	Ctfc2Bit	Ctfc2Bit	Ctfc4Bit	Ctfc6Bit
>>>>TFCS representation	Addition	Addition	Addition	Addition
>>>>TFCS list	Addition	Addition	Addition	Addition
>>>>TFCS 1	(TF0)	(TF0)	(TF0, TF0, TF0)	(TF0, TF0, TF0, TF0)
>>>>>ctfc	0	0	0	0
>>>>>sgainFactorInform	Computed	Computed	Computed	Computed
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 2	(TF1)	(TF1)	(TF1, TF0, TF0)	(TF1, TF0, TF0, TF0)
>>>>>ctfc	1	1	1	1
>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>	Signalled	Signalled	Computed	Computed
ation		-	•	
>>>>>>βc (FDD only)	11	11	N/A	N/A
>>>>>βd	15	15	N/A	N/A
>>>>>>referenceTFCId	N/A	N/A	0	0
>>>>>TFCS 3			(TF2, TF1, TF0)	(TF2, TF1, TF1, TF0)
>>>>>ctfc	1		5	11
>>>>>>gainFactorInform			Computed	Computed
ation >>>>>>referenceTFCId	+		0	0
>>>>>TFCS 4			(TF0, TF0, TF1)	(TF0, TF0, TF0,
>>>>>ctfc			6	TF1) 12
>>>>>>sgainFactorInform ation			Computed	Computed
			N/A	N/A
>>>>>βc (FDD only) >>>>>βd			N/A	N/A
>>>>>>>>referenceTFCId			0	0
>>>>>TFCS 5			(TF1, TF0, TF1)	(TF1, TF0, TF0,
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			7	TF1) 13
>>>>>>ctfc >>>>>>gainFactorInform			Computed	Computed
ation >>>>>>referenceTFCId			0	0

Configuration	3.4 kbps signalling	13.6 kbps	7.95 kbps speech	12.2 kbps speech
		signalling	+ 3.4 kbps signalling	+ 3.4 kbps signalling
>>>>>TFCS 6			(TF2, TF1, TF1)	(TF2, TF1, TF1, TF1)
>>>>>ctfc			11	23
>>>>>>sgainFactorInform ation			Signalled	Signalled
>>>>>βc (FDD only)			11	11
>>>>>βd			15	15
>>>>>>referenceTFCId			0	0
dl-CommonTransChInfo			0	о 
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL	Same as UL
PhyCH INFORMATION FDD				
UL-DPCH-InfoPredef				
>ul-DPCH- PowerControlInfo				
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1	Algorithm 1
>>>tpcStepSize	1	1	1	1
>tfci-Existence	TRUE	TRUE	TRUE	TRUE
>puncturingLimit	1	1	1	0.88
DL- CommonInformationPredef				
>dl-DPCH-InfoCommon				
>>spreadingFactor	256	128	128	128
>>pilotBits	4	4	4	4
>>positionFixed	N/A	N/A	Fixed	Fixed
PhyCH INFORMATION				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>dpch-ConstantValue	-20	-20	-20	-20
>commonTimeslotInfo				
>>secondInterleavingMode	frameRelated	frameRelated	frameRelated	frameRelated
>>tfci-Coding	4	4	16	16
>>puncturingLimit	1	0.92	0.52	0.88
>>repetitionPeriodAndLeng th	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
DL- CommonInformationPredef				
>dl-DPCH-InfoCommon				
>>commonTimeslotInfo				
>>>secondInterleavingMod	frameRelated	frameRelated	frameRelated	frameRelated
e				
>>>tfci-Coding	4	4	16	16
>>>puncturingLimit	1	0.92	0.52	0.92
>>>repetitionPeriodAndLe ngth	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1

Configuration	28.8 kbps conv. CS- data + 3.4 kbps signalling	32 kbps conv. CS- data + 3.4 kbps signalling	64kbps conv. CS- data + 3.4 kbps signalling	14.4 kbps streaming CS- data + 3.4 kbps signalling
Ref 34.108	12	14	13	15
Default configuration identity	4	5	6	7
RB INFORMATION				
rb-Identity	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5
rlc-InfoChoice	RIc-info	RIc-info	RIc-info	RIc-info

Configuration	28.8 kbps conv. CS- data +	32 kbps conv. CS- data +	64kbps conv. CS- data +	14.4 kbps streaming CS-
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling	data + 3.4 kbps signalling
>ul-RLC-Mode	RB1: UM	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM
	RB5: TM	RB5: TM	RB5: TM	RB5: TM
>>transmissionRLC-	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
DiscardMode	RB2- RB3:	RB2- RB3:	RB2- RB3:	RB2- RB3:
	NoDiscard	NoDiscard	NoDiscard	NoDiscard
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>maxDat	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 15	RB2- RB3: 15	RB2- RB3: 15	RB2- RB3: 15
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>transmissionWindowSiz	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
е	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>timerRST	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>max-RST	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 1	RB2- RB3: 1	RB2- RB3: 1	RB2- RB3: 1
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>pollingInfo	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A
	RB5: FALSE	RB5: FALSE	RB5: FALSE	RB5: FALSE
>dl-RLC-Mode	RB1: UM	RB1: UM	RB1: UM	RB1: UM
	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM	RB2- RB3: AM RB5: TM
>>inSequenceDelivery	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
>>IIIGequericeDelivery	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>receivingWindowSize	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>dl-RLC-StatusInfo	RB1: N/A	RB1: N/A	RB1: N/A	RB1: N/A
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A
-	RB5: FALSE	RB5: FALSE	RB5: FALSE	RB5: FALSE
rb-MappingInfo				
>UL-	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel	OneLogicalChannel
LogicalChannelMappings				
>>ul-	Dch	Dch	Dch	Dch
TransportChannelType				
>>>transportChannelldenti	RB1- RB3: 2	RB1- RB3: 2	RB1- RB3: 2	RB1- RB3: 2
ty	RB5: 1	RB5: 1	RB5: 1	RB5: 1
>>logicalChannelIdentity	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
	RB3: 3	RB3: 3	RB3: 3	RB3: 3
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>rlc-SizeList	RB1- RB3:	RB1- RB3:	RB1- RB3:	RB1- RB3:
	configured	configured	configured	configured
	RB5: N/A	RB5: N/A	RB5: N/A	RB5: N/A
>>mac-	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
LogicalChannelPriority	RB3: 3	RB3: 3	RB3: 3	RB3: 3
	RB5: 5	RB5: 5	RB5: 5	RB5: 5

Configuration	28.8 kbps conv.	32 kbps conv. CS-	64kbps conv. CS-	14.4 kbps
	CS- data + 3.4 kbps signalling	data + 3.4 kbps signalling	data + 3.4 kbps signalling	streaming CS- data + 3.4 kbps signalling
>DL-				
logicalChannelMappingList				
>>Mapping option 1	One mapping option	One mapping option	One mapping option	One mapping option
>>>dl-	Dch	Dch	Dch	Dch
TransportChannelType				
>>>>transportChannellden tity	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1
>>>logicalChannelIdentity	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,	RB1: 1, RB2: 2,
,	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A	RB3: 3 RB5: N/A
TrCH INFORMATION PER TrCH				
UL- AddReconfTransChInfoLis t				
> Uplink transport channel	dch	dch	dch	dch
type				
>transportChannelIdentity	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS
>>dynamicTF-information				
>>>tf0/ tf0,1	TrCH1: (0x576,	TrCH1: (0x640,	TrCH1: (0x640,	TrCH1: (0x576,
	1x576, 2x576)	1x640)	2x640)	1x576)
	TrCH2: (0x144,	TrCH2: (0x144,	TrCH2: (0x144,	TrCH2: (0x144,
	1x144)	1x144)	1x144)	1x144)
>>>rlcSize	TrCH1: OctetMode	TrCH1: OctetMode	TrCH1: OctetMode	TrCH1: OctetMode
>>>>sizeType	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,	TrCH2:BitMode TrCH1: type 2,
>>>>size i ype	part1 = 11, part2 = 2	part1 = 11, part2 = 2	part1 = 11, part2 = 2	part1= 9,
	(576)	(640)	(640)	part2= 2 (576)
	TrCH2: type 2,	TrCH2: type 2,	TrCH2: type 2,	TrCH2: type 2,
	part1= 2, part2= 0	part1= 2, part2= 0	part1= 2, part2= 0	part1= 2,
	(144)	(144)	(144)	part2= 0 (144)
>>>>numberOfTbSizeList	TrCH1: Zero,1, 2 (4) TrCH2: Zero, one	TrCH1: Zero, one TrCH2: Zero, one	TrCH1: Zero, 2 (4) TrCH2: Zero, one	TrCH1: Zero, one, TrCH2: Zero, one
>>>logicalChannelList	All	All	All	All
>>semiStaticTF-				
Information				
>>>tti	TrCH1: 40 TrCH2: 40	TrCH1: 20 TrCH2: 40	TrCH1: 20 TrCH2: 40	TrCH1: 40 TrCH2: 40
>>>channelCodingType	TrCH1: Turbo	TrCH1: Turbo	TrCH1: Turbo	TrCH1: Turbo
3 /1	TrCH2:	TrCH2:	TrCH2:	TrCH2:
	Convolutional	Convolutional	Convolutional	Convolutional
>>>>codingRate	TrCH1: N/A	TrCH1: N/A	TrCH1: N/A	TrCH1: N/A
	TrCH2: Third	TrCH2: Third	TrCH2: Third	TrCH2: Third
>>>rateMatchingAttribute	TrCH1: 180	TrCH1: 185	TrCH1: 170	TrCH1: 165
>>>crc-Size	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16	TrCH2: 160 TrCH1: 16
>>>010-5120	TrCH2: 16	TrCH2: 16	TrCH2: 16	TrCH2: 16
DL-				
AddReconfTransChInfoLis t				
>Downlink transport	dch	dch	dch	dch
channel type				
>dl-	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
TransportChannelldentity				
(should be as for UL)	<b>a</b>	<b>a</b>		
>tfs-SignallingMode	SameAsUL	SameAsUL	SameAsUL	SameAsUL
>>transportFormatSet				
>>>dynamicTF-information				
>>>>tf0/ tf0,1				
>>>rlcSize				

Configuration	28.8 kbps conv.	32 kbps conv. CS-	64kbps conv. CS-	14.4 kbps
	CS- data + 3.4 kbps signalling	data + 3.4 kbps signalling	data + 3.4 kbps signalling	streaming CS- data + 3.4 kbps signalling
>>>>sizeType				
>>>numberOfTbSizeList				
>>>logicalChannelList				
>>ULTrCH-Id	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2
>dch-QualityTarget				
>>bler-QualityValue	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 2x10 <sup>-3</sup>	TrCH1: 1x10 <sup>-2</sup>
	TrCH2: Absent	TrCH2: Absent	TrCH2: Absent	TrCH2: Absent
TrCH INFORMATION, COMMON				
ul-CommonTransChInfo				
>tfcs-ID (TDD only)	1	1	1	1
>sharedChannelIndicator (TDD only)	FALSE	FALSE	FALSE	FALSE
>tfc-Subset	Absent, not required	Absent, not required	Absent, not required	Absent, not required
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI	Normal TFCI
>>explicitTFCS-	signalling	signalling	signalling	signalling
>>explicit FCS- ConfigurationMode	Complete	Complete	Complete	Complete
>>>ctfcSize	Ctfc2Bit	Ctfc2Bit	Ctfc2Bit	Ctfc4Bit
>>>TFCS representation	Addition	Addition	Addition	Addition
>>>>TFCS list				
>>>>>TFCS 1	(TF0, TF0)	(TF0, TF0)	(TF0, TF0)	(TF0, TF0)
>>>>>>ctfc	0	0	0	0
>>>>>>gainFactorInform	Computed	Computed	Computed	Computed
ation	•	·	·	·
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 2	(TF1, TF0)	(TF1, TF0)	(TF1, TF0)	(TF1, TF0)
>>>>>ctfc	1	1	1	1
>>>>>sgainFactorInform ation	Computed	Computed	Computed	Computed
>>>>>>βc (FDD only)	N/A	N/A	N/A	N/A
>>>>>βd	N/A	N/A	N/A	N/A
>>>>>>referenceTFCId	0	0	0	0
>>>>>TFCS 3	(TF2, TF0)	(TF0, TF1)	(TF0, TF1)	(TF0, TF1)
>>>>>ctfc	2	2	2	2
>>>>>>sgainFactorInform ation	Computed	Computed	Computed	Computed
>>>>>>>referenceTFCId	0	0	0	0
>>>>TFCS 4	(TF0, TF1)	(TF1, TF1)	(TF1, TF1)	(TF1, TF1)
>>>>>ctfc	3	3	3	3
>>>>>>sgainFactorInform ation	Computed	Signalled	Signalled	Signalled
>>>>>βc (FDD only)	N/A	8	8	11
>>>>>βd	N/A	15	15	15
>>>>>>referenceTFCId	N/A	N/A	N/A	N/A
>>>>>TFCS 5	(TF1, TF1)	N/A	N/A	
>>>>>ctfc	4			
>>>>>>sgainFactorInform ation	Computed			
>>>>>>>referenceTFCId	8			
>>>>>TFCS 6	o (TF2, TF1)	N/A	N/A	
>>>>>>ctfc	5	1 4/ / 1	14// \	
>>>>>>gainFactorInform	Signalled			
>>>>>>βc (FDD only)	8			
	15			
>>>>>βd >>>>>>referenceTFCId	N/A			
>>>>>TFCS 7				
>>>>>>ctfc	+			
	1	1	1	

Configuration	28.8 kbps conv. CS- data +	32 kbps conv. CS- data +	64kbps conv. CS- data +	14.4 kbps streaming CS-
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling	data + 3.4 kbps signalling
>>>>>>gainFactorInform				
ation >>>>>>referenceTFCId				
>>>>>TFCS 8				
>>>>>>ctfc				
>>>>>gainFactorInform				
ation				
>>>>>>referenceTFCId				
>>>>>TFCS 9				
>>>>>ctfc				
>>>>>>gainFactorInform ation				
>>>>>referenceTFCId				
>>>>>TFCS 10				
>>>>>>>>>Ctfc				
>>>>>>sgainFactorInform ation				
>>>>>βc (FDD only)				
>>>>>βd				
>>>>>>referenceTFCId				
dl-CommonTransChInfo	0			
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL	Same as UL
PhyCH INFORMATION FDD				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1	Algorithm 1
>>>tpcStepSize	1		1	1
>tfci-Existence	TRUE	TRUE	TRUE	TRUE
>puncturingLimit DL-	0.92	0.8	0.92	1
CommonInformationPrede f				
>dl-DPCH-InfoCommon				
>>spreadingFactor	64	64	32	128
>>pilotBits	8	8	8	8
>>positionFixed	Flexible	Flexible	Flexible	Flexible
PhyCH INFORMATION TDD				
UL-DPCH-InfoPredef				
>ul-DPCH-				
PowerControlInfo				
>>dpch-ConstantValue	-20	-20	-20	-20
>commonTimeslotInfo >>secondInterleavingMod	frameRelated	frameRelated	frameRelated	frameRelated
e				
>>tfci-Coding	16	8	8	8
>>puncturingLimit	0.44	0.8	0.56	0.8
>>repetitionPeriodAndLen	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1
gth DL-				
CommonInformationPrede				
>dl-DPCH-InfoCommon				
>>commonTimeslotInfo				
>>secondInterleavingMo	frameRelated	frameRelated	frameRelated	frameRelated
de				
>>>tfci-Coding	16	8	8	8
>>>puncturingLimit	0.44	0.64	0.56	0.8

Configuration	28.8 kbps conv. CS- data + 3.4 kbps signalling	32 kbps conv. CS- data + 3.4 kbps signalling	64kbps conv. CS- data + 3.4 kbps signalling	14.4 kbps streaming CS- data + 3.4 kbps signalling
>>>repetitionPeriodAndLe ngth	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1

Configuration	28.8 kbps streaming CS- data + 3.4 kbps signalling	57.6 kbps streaming CS- data + 3.4 kbps signalling	<u>12.2 kbps</u> speech(multimode) <u>±</u> <u>3.4 kbps signalling</u>	
Ref 34.108	16	17	<u>1a</u>	
Default configuration	8	9	10	
identity		-	<u> </u>	
RB INFORMATION				
rb-Identity	RB1: 1, RB2: 2, RB3: 3, RB5: 5	RB1: 1, RB2: 2, RB3: 3, RB5: 5	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3, RB5: 5,</u> RB6: 6, RB7: 7	
rlc-InfoChoice	Rlc-info	RIc-info	RIc-info	
>ul-RLC-Mode	RB1: UM	RB1: UM	RB1: UM	
	RB2- RB3: AM	RB2- RB3: AM	RB2- RB3: AM	
	RB5: TM	RB5: TM	RB5-RB7: TM	
>>transmissionRLC-	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
DiscardMode	RB2- RB3:	RB2- RB3:	<u>RB2- RB3:</u>	
	NoDiscard	NoDiscard	NoDiscard	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>>maxDat	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
	RB2- RB3: 15	RB2- RB3: 15	<u>RB2- RB3: 15</u>	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>transmissionWindowSiz	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
e	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>timerRST	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
	RB2- RB3: 300	RB2- RB3: 300	RB2- RB3: 300	
>>max-RST	RB5: N/A RB1: N/A	RB5: N/A RB1: N/A	<u>RB5- RB7: N/A</u>	
>>11123-K31	RB1. N/A RB2- RB3: 1	RB2- RB3: 1	<u>RB1: N/A</u>	
	RB5: N/A	RB5: N/A	<u>RB2- RB3: 1</u> RB5- RB7: N/A	
>>pollingInfo	RB1: N/A	RB1: N/A	RB1: N/A	
>>poliniginio	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	
	RB5: N/A	RB5: N/A	RB5- RB7: N/A	
>>>lastTransmissionPDU- Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	
>>>lastRetransmissionPD U-Poll	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	
>>>timerPollPeriodic	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	
>>segmentationIndication	RB1- RB3: N/A	RB1- RB3: N/A	RB1- RB3: N/A	
-	RB5: FALSE	RB5: FALSE	RB5- RB7: FALSE	
>dl-RLC-Mode	RB1: UM	RB1: UM	<u>RB1: UM</u>	
	RB2- RB3: AM	RB2- RB3: AM	<u>RB2- RB3: AM</u>	
	RB5: TM	RB5: TM	<u>RB5- RB7: TM</u>	
>>inSequenceDelivery	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
	RB2- RB3: TRUE	RB2- RB3: TRUE	RB2- RB3: TRUE	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>receivingWindowSize	RB1: N/A	RB1: N/A	<u>RB1: N/A</u>	
	RB2- RB3: 128	RB2- RB3: 128	RB2- RB3: 128	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>dl-RLC-StatusInfo	RB1: N/A	RB1: N/A	RB1: N/A	
	RB2- RB3: as below	RB2- RB3: as below	RB2- RB3: as below	
	RB5: N/A	RB5: N/A	<u>RB5- RB7: N/A</u>	
>>>timerStatusProhibit	RB2- RB3: 100	RB2- RB3: 100	RB2- RB3: 100	
>>>missingPDU-Indicator	RB2- RB3: FALSE	RB2- RB3: FALSE	RB2- RB3: FALSE	
>>>timerStatusPeriodic	RB2- RB3: 100	RB2- RB3: 100	<u>RB2- RB3: 100</u>	

Configuration	28.8 kbps streaming CS-	57.6 kbps streaming CS-	<u>12.2 kbps</u> speech(multimode)		
	data +	data +	+		
	3.4 kbps signalling	3.4 kbps signalling	<u>3.4 kbps signalling</u>		
>>segmentationIndication	RB1- RB3: N/A RB5: FALSE	RB1- RB3: N/A RB5: FALSE	RB1- RB3: N/A RB5- RB7: FALSE		
rb-MappingInfo					
>UL- LogicalChannelMappings	OneLogicalChannel	OneLogicalChannel	<u>OneLogicalChannel</u>		
>>ul- TransportChannelType	Dch	Dch	Dch		
>>>transportChannelldenti ty	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	<u>RB1- RB3: 4</u> <u>RB5: 1, RB6: 2,</u> <u>RB7: 3</u>		
>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: N/A</u>		
>>rlc-SizeList	RB1- RB3: configured RB5: N/A	RB1- RB3: configured RB5: N/A	RB1- RB3: configured RB5- RB7: N/A		
>>mac- LogicalChannelPriority	RB1: 1, RB2: 2, RB3: 3 RB5: 5	RB1: 1, RB2: 2, RB3: 3 RB5: 5	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: 5</u>		
>DL- logicalChannelMappingList					
>>Mapping option 1	One mapping option	One mapping option	One mapping option		
>>>dl- TransportChannelType	Dch	Dch	Dch		
>>>>transportChannellden tity	RB1- RB3: 2 RB5: 1	RB1- RB3: 2 RB5: 1	<u>RB1- RB3: 4</u> <u>RB5: 1, RB6: 2,</u> <u>RB7: 3</u>		
>>>logicalChannelIdentity	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	RB1: 1, RB2: 2, RB3: 3 RB5: N/A	<u>RB1: 1, RB2: 2,</u> <u>RB3: 3</u> <u>RB5- RB7: N/A</u>		
TrCH INFORMATION PER TrCH					
UL- AddReconfTransChInfoLis t					
>Uplink transport channel type	dch	dch	<u>dch</u>		
>transportChannelIdentity	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	<u>TrCH1: 1, TrCH2: 2,</u> <u>TrCH3: 3, TrCH4: 4</u>		
>transportFormatSet	DedicatedTransChT FS	DedicatedTransChT FS	DedicatedTransChT FS		
>>dynamicTF-information	T 0114 (0 -===	T 0114 (0 -===			
>>>tf0/ tf0,1	TrCH1: (0x576, 1x576, 2x576) TrCH2: (0x144, 1x144)	TrCH1: (0x576, 1x576, 2x576, 3x576, 4x576) TrCH2: (0x144, 1x144)	<u>TrCH1: (0x81)</u> <u>TrCH2: (0x 103</u> <u>TrCH3: (0x 60)</u> <u>TrCH4: (0x144</u> )		
>>>rlcSize	TrCH1: OctetMode TrCH2:BitMode	TrCH1: OctetMode TrCH2:BitMode	<u>BitMode</u>		
>>>>sizeType	TrCH1: type 2, part1= 9, part2= 2 (576) TrCH2: type 2, part1= 2, part2= 0 (144)	TrCH1: type 2, part1= 9, part2= 2 (576) TrCH2: type 2, part1= 2, part2= 0 (144)	<u>TrCH1: type 1: 81</u> <u>TrCH2: type 1: 103</u> <u>TrCH3: type 1: 60</u> <u>TrCH4: 2: type 2,</u> part1= 2, part2= 0 (144)		
>>>>numberOfTbSizeList	TrCH1: Zero, one, 2 TrCH2: Zero, one	TrCH1: Zero, one, 2, 3, 4 TrCH2: Zero, one	TrCH1-4: Zero		
>>>logicalChannelList	All	All	All		

Configuration	28.8 kbps streaming CS- data +	57.6 kbps streaming CS- data +	<u>12.2 kbps</u> speech(multimode) +
	3.4 kbps signalling	3.4 kbps signalling	<u>3.4 kbps signalling</u>
<u>&gt;&gt;&gt;tf 1</u>			<u>TrCH1: (1x39)</u> <u>TrCH2: (1x53)</u> <u>TrCH3: (1x60)</u> <u>TrCH4: (1x144)</u> N/A
>>>numberOfTransportBl ocks			TrCH1-3: One
>>>rlc-Size			TrCH1-3: BitMode
>>>>sizeType			<u>TrCH1: 1: 39</u> <u>TrCH2: 1: 53</u> <u>TrCH3: 1: 60</u>
>>>numberOfTbSizeList			TrCH1-3: One
>>>logicalChannelList			TrCH1-3: all
<u>&gt;&gt;&gt;tf 2</u>			<u>TrCH1: (1x42)</u> <u>TrCH2: (1x63)</u> TrCH3- TrCH4: N/A
>>>numberOfTransportBl ocks			TrCH1-2: One
>>>rlc-Size			TrCH1: BitMode
>>>>sizeType			<u>TrCH1: type 1: 42</u> <u>TrCH2: type 1: 63</u>
>>>numberOfTbSizeList >>>>logicalChannelList			TrCH1-2: One TrCH1: all
>>>tf 3			TrCH1: (1x55)
			<u>TrCH2: (1x84)</u> <u>TrCH3- TrCH4: N/A</u>
>>>numberOfTransportBl ocks			TrCH1-2: Zero
>>>rlc-Size			TrCH1: BitMode
>>>sizeType			<u>TrCH1: type 1: 55</u> <u>TrCH2: type 1: 84</u>
>>>numberOfTbSizeList			TrCH1-2: One
>>>logicalChannelList			<u>TrCH1: all</u> TrCH1: (1x75)
<u>&gt;&gt;&gt;tf 4</u>			<u>TrCH2: (1x103)</u> TrCH3- TrCH4: N/A
>>>numberOfTransportBl ocks			TrCH1-2: One
>>>rlc-Size			TrCH1: BitMode
>>>>sizeType			<u>TrCH1: type 1: 75</u> <u>TrCH2: type 1: 103</u>
>>>numberOfTbSizeList >>>>logicalChannelList			TrCH1-2: One TrCH1: all
>>>tf 5			<u>TrCH1: (1x81)</u> TrCH2- TrCH4: N/A
>>>numberOfTransportBl ocks			TrCH1: One
>>>rlc-Size			TrCH1: BitMode
>>>>sizeType			TrCH1: type 1: 81
>>>numberOfTbSizeList >>>>logicalChannelList			<u>TrCH1: One</u> <u>TrCH1: all</u>
>>semiStaticTF-			
Information >>>tti	TrCH1: 40	TrCH1: 40	<u>TrCH1- TrCH3: 20</u>
>>>channelCodingType	TrCH2: 40 TrCH1: Turbo TrCH2:	TrCH2: 40 TrCH1: Turbo TrCH2:	TrCH4: 40 Convolutional
	Convolutional	Convolutional	
>>>codingRate	TrCH1: N/A TrCH2: Third	TrCH1: N/A TrCH2: Third	<u>TrCH1- TrCH2:</u> <u>Third</u> <u>TrCH3: Half</u>
			TrCH4: Third

Configuration	28.8 kbps	57.6 kbps	<u>12.2 kbps</u>		
	streaming CS-	streaming CS-	speech(multimode)		
	data + 3.4 kbps signalling	data + 3.4 kbps signalling	<u>+</u> 3.4 kbps signalling		
	o.+ Kopo orginaling	o.4 Kopo olgitaliling	0.4 Kopo Signaling		
>>>rateMatchingAttribute	TrCH1: 155	TrCH1: 145	<u>TrCH1: 200</u>		
	TrCH2: 160	TrCH2: 160	<u>TrCH2: 190</u> TrCH3: 235		
			TrCH4: 160		
>>>crc-Size	TrCH1: 16	TrCH1: 16	<u>TrCH1: 12</u>		
	TrCH2: 16	TrCH2: 16	TrCH2- TrCH3: 0		
DL-			<u>TrCH4: 16</u>		
AddReconfTransChInfoLis					
t					
>Downlink transport	dch	dch	<u>dch</u>		
channel type >dl-	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2,		
TransportChannelldentity			TrCH3: 3, TrCH4: 4		
(should be as for UL)					
>tfs-SignallingMode	SameAsUL	SameAsUL	Independent <only on="" td="" tf0="" trch1<=""></only>		
			is different and		
			shown below>		
>>transportFormatSet			DedicatedTransChT		
>>>dynamicTF-information			<u>FS</u>		
>>>tf0/ tf0,1			TrCH1: (1x0)		
>>>rlcSize			bitMode		
>>>>sizeType			TrCH1: type 1: 0		
>>>numberOfTbSizeList			TrCH1: One		
>>>>logicalChannelList >>ULTrCH-Id	TrCH1: 1, TrCH2: 2	TrCH1: 1, TrCH2: 2	All TrCH1: 1, TrCH2: 2,		
>>ULTIGH-I0			TrCH3: 3, TrCH4: 4		
>dch-QualityTarget					
>>bler-QualityValue	TrCH1: 1x10 <sup>-2</sup>	TrCH1: 1x10 <sup>-2</sup>	<u>TrCH1: 7x10<sup>-3</sup></u>		
	TrCH2: Absent	TrCH2: Absent	TrCH2- TrCH4:		
TrCH INFORMATION,			<u>Absent</u>		
COMMON					
ul-CommonTransChInfo					
>tfcs-ID (TDD only)	1	1	<u>1</u>		
>sharedChannelIndicator	FALSE	FALSE	FALSE		
(TDD only) >tfc-Subset	Absent, not required	Absent, not required	Absent, not required		
>ul-TFCS	Normal TFCI	Normal TFCI	Normal TFCI		
	signalling	signalling	signalling		
>>explicitTFCS-	Complete	Complete	Complete		
ConfigurationMode >>>ctfcSize	Ctfc4Bit	Ctfc4Bit	Ctfc8Bit		
>>>TFCS representation	Addition	Addition	Addition		
>>>>TFCS list					
>>>>>TFCS 1	(TF0, TF0)	(TF0, TF0)	<u>(TF0, TF0, TF0,</u>		
		0	<u>TF0)</u>		
>>>>>>ctfc >>>>>>gainFactorInform	0 Computed	0 Computed	0 Computed		
ation					
>>>>>>referenceTFCId	0	0	<u>0</u>		
>>>>>TFCS 2	(TF1, TF0)	(TF1, TF0)	(TF1, TF0, TF0,		
>>>>>ctfc	1	1	<u>TF0)</u> 1		
>>>>>>>>>>>sgainFactorInform	Computed	Computed	<u>Computed</u>		
ation					
>>>>>βc (FDD only)	N/A	N/A	<u>N/A</u>		
>>>>>βd	N/A	N/A	<u>N/A</u>		

Configuration	28.8 kbps streaming CS- data +	57.6 kbps streaming CS- data +	<u>12.2 kbps</u> speech(multimode)		
	3.4 kbps signalling	3.4 kbps signalling	± <u>3.4 kbps signalling</u>		
>>>>>>referenceTFCId	0	0	0		
>>>>>TFCS 3	(TF2, TF0)	(TF2, TF0)	( <u>TF2, TF1, TF0,</u> <u>TF0)</u>		
>>>>>ctfc	2	2	<u>8</u>		
>>>>>>gainFactorInform ation	Computed	Computed	Computed		
>>>>>referenceTFCId	0	0	<u>0</u>		
>>>>>TFCS 4	(TF0, TF1)	(TF3, TF0)	<u>(TF3, TF2, TF0,</u> TF0)		
>>>>>ctfc	3	3	<u>15</u>		
>>>>>>sgainFactorInform ation	Computed	Computed	<u>Computed</u>		
>>>>>βc (FDD only)	N/A	N/A	N/A		
>>>>>βd	N/A	N/A	N/A		
>>>>>>>referenceTFCId	0	0	0		
>>>>TFCS 5	(TF1, TF1)	(TF4, TF0)	<u>⊂</u> ( <u>TF4, TF3, TF0,</u> TF0)		
>>>>>ctfc	4	4	22		
>>>>>gainFactorInform ation	Computed	Computed	Computed		
>>>>>>referenceTFCId	0	0	0		
>>>>>TFCS 6	(TF2, TF1)	(TF0, TF1)	<u>(TF5, TF4, TF1,</u> TF0)		
>>>>>ctfc	5	5	59		
>>>>>>gainFactorInform ation	Signalled	Computed	Computed		
>>>>>βc (FDD only)	8	N/A	N/A		
>>>>>βd	15	N/A	N/A		
>>>>>>>referenceTFCId	N/A	0			
>>>>TFCS 7		(TF1, TF1)	<u>(TF0,TF0,TF0,TF1)</u>		
>>>>>ctfc		6	60		
>>>>>gainFactorInform ation		Computed	Computed		
>>>>>>referenceTFCId		0	0		
>>>>>TFCS 8		(TF2, TF1)			
>>>>>ctfc		7	<u>61</u>		
>>>>>>sgainFactorInform ation		Computed	Computed		
>>>>>>referenceTFCId		0	<u>0</u>		
>>>>>TFCS 9		(TF3, TF1)	<u>(TF2,TF1,TF0,TF1)</u>		
>>>>>ctfc		8	<u>68</u>		
>>>>>>gainFactorInform ation		Computed	Computed		
>>>>>>referenceTFCId		0	<u>0</u>		
>>>>>TFCS 10		(TF4, TF1)	(TF3,TF2,TF0,TF1)		
>>>>>ctfc >>>>>>gainFactorInform		9 Signalled	75 Computed		
ation >>>>>βc (FDD only)		8	N/A		
>>>>>βd		15	N/A		
>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>		0	0		
>>>>>TFCS 11			<u>0</u> (TF4,TF3,TF0,TF1)		
<u>&gt;&gt;&gt;&gt;&gt;ctfc</u>			<u>82</u>		
>>>>>gainFactorInform ation			Computed		
>>>>>referenceTFCId					
>>>>TFCS 12			(TF5,TF4,TF1,TF1)		
<u>&gt;&gt;&gt;&gt;&gt;ctfc</u>			<u>119</u>		

Configuration	28.8 kbps	57.6 kbps	<u>12.2 kbps</u>		
	streaming CS-	streaming CS-	speech(multimode)		
	data +	data +	±		
	3.4 kbps signalling	3.4 kbps signalling	3.4 kbps signalling		
>>>>>gainFactorInform			Signalled		
ation					
<u>&gt;&gt;&gt;&gt;&gt;βc (FDD only)</u>			11		
<u>&gt;&gt;&gt;&gt;&gt;βd</u>			15		
>>>>>referenceTFCId			0		
dl-CommonTransChInfo					
>tfcs-SignallingMode	Same as UL	Same as UL	Same as UL		
PhyCH INFORMATION FDD					
UL-DPCH-InfoPredef					
>ul-DPCH-					
PowerControlInfo					
>>powerControlAlgorithm	Algorithm 1	Algorithm 1	Algorithm 1		
>>>tpcStepSize	1	1	<u>1</u>		
>tfci-Existence	TRUE	TRUE	TRUE		
>puncturingLimit	1	1	<u>0.88</u>		
DL-					
CommonInformationPrede f					
>dl-DPCH-InfoCommon					
>>spreadingFactor	64	32	<u>128</u>		
>>pilotBits	8	8	4		
>>positionFixed	Flexible	Flexible	Fixed		
PhyCH INFORMATION					
TDD					
UL-DPCH-InfoPredef					
>ul-DPCH-					
PowerControlInfo					
>>dpch-ConstantValue	-20	-20	<u>-20</u>		
>commonTimeslotInfo					
>>secondInterleavingMod	frameRelated	frameRelated	frameRelated		
е					
>>tfci-Coding	16	16	<u>16</u>		
>>puncturingLimit	0.44	0.48	<u>0.88</u>		
>>repetitionPeriodAndLen	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1		
gth					
DL-					
CommonInformationPrede f					
>dl-DPCH-InfoCommon					
>>commonTimeslotInfo					
>>>secondInterleavingMo	frameRelated	frameRelated	frameRelated		
de					
>>>tfci-Coding	16	16	<u>16</u>		
>>>puncturingLimit	0.44	0.48	<u>0.92</u>		
>>>repetitionPeriodAndLe	repetitionPeriod1	repetitionPeriod1	repetitionPeriod1		
ngth					

	WG2 Meeting #27 A, 18-22.02.2002	Tdoc R2-02057:						
CHANGE REQUEST								
¥	<b>25.331</b> CR <b>1343 # rev</b> - <b>#</b> Current <b>v</b>	version: 4.3.0 <sup>#</sup>						
For <u>HELP</u> on u	sing this form, see bottom of this page or look at the pop-up	text over the X symbols.						
Proposed change	affects: # (U)SIM ME/UE X Radio Access Net	work X Core Network						
Title: ೫	Corrections of UE Positioning measurements							
Source: ೫	TSG-RAN WG2							
Work item code: Ж	TEI Date	e:						
Category: अ		(Release 1997) (Release 1998) (Release 1999) -4 (Release 4)						
Reason for change	<b>: #</b> Currently, the CELL ID method in TS 25.331 gives the Rx-T for the reference cell specified in IE "UE positioning OTDO. ID method performs the best if the Rx-Tx time difference typ set of the UE can be measured simultaneously with one require network in the same IE.	A assistance data". The CELL pe 2 for all cells in the active						
Summary of chang	The CELL ID method is changed so that it now gives the Rx all cells in the active set of the UE. UE GPS timing of cell frames measurement aligned with 25. Impact Analysis: Would not affect implementations behaving like indica implementations supporting the corrected functionality not implement this CR	214 and 25.133. ted in the CR, would affect						
Consequences if not approved:	*							
Clauses affected:	# 8.6.7.19.1a, 8.6.7.19.1b, 10.3.7.105							
Other specs affected:	%Other core specifications%25.331 v3.9.0, CTest specificationsO&M Specifications	R 1342r3						
Other comments:	¥							
<b>How to create CRs</b> Comprehensive inform Below is a brief summa	ation and tips about how to create CRs can be found at: http://www	.3gpp.org/3G_Specs/CRs.htm						

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.6.7.19.1a UE positioning reporting for UE assisted methods

- when a measurement report is triggered; and
- if higher layers indicated that the positioning request is permitted:
  - if the UE was able to perform measurements on at least one neighbour cell in case of OTDOA or one satellite in case of GPS positioning:
    - if the IE "Vertical Accuracy" is included:
      - interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.
    - if the IE "Positioning Methods" is set to "GPS":
      - include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:
        - if the UE supports the capability to provide the GPS timing of the cell frames measurement:
          - if the IE "GPS timing of Cell wanted" is set to TRUE:
            - perform the UE GPS timing of cell frames measurement on the reference cell indicated in the IE "UE positioning GPS reference cell info".
            - if the UE is unable to measure the GPS timing of cell frames of the reference cell indicated in the IE "UE positioning GPS reference cell info":
              - perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.
            - include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and
            - include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".
          - if the UE does not support the capability to provide the GPS timing of the cell; or
          - if the IE "GPS timing of Cell wanted" is set to FALSE:
            - include the IE "GPS TOW msec".
    - if the IE "Positioning Methods" is set to "OTDOA":
      - include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:
        - set IE "SFN" to the SFN when the last measurement was performed;
        - if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:
          - if the UE is in CELL\_DCH state:
            - if the measured value is equal to "1279.9375":
              - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".
            - otherwise:
              - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

- include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED that belongs to the active set.
- if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:
  - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.
- include IE group "Neighbour" for all neighbour cells listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.
- if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":
  - the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.
- if the IE "Positioning Methods" is set to "CELL ID":
  - if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and
  - if the UE is in CELL\_DCH state:
    - perform the Rx-Tx time difference type 2 measurement on the <u>cells in the active set</u>reference cell indicated in the IE "UE positioning OTDOA assistance data"; and
    - report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set. In case the reference cell (indicated in the IE "UE positioning OTDOA assistance data") belongs to the active set of the UE, report Rx-Tx time difference type 2 of the reference cell also. In case the reference cell (indicated in the IE "UE positioning OTDOA assistance data") does not belong to the active set of the UE, set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375". For all the reported cells, set the IE "SFN-SFN observed time difference type 2" in IE "UE positioning OTDOA measured results" to value "0".excluding any measurements on neighbour cells in this IE.
- if the UE is not able to report the requested measurement results; or
- if higher layers have indicated that the positioning request is not permitted; or
- if the positioning request was not processed by higher layers and timed out:
  - include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

## 8.6.7.19.1b UE positioning reporting for UE based methods

- when a measurement report is triggered; and
- if higher layers indicated that the positioning request is permitted:
  - if the UE has been able to calculate a position:
    - include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:
      - if the UE supports the capability to perform the UE GPS timing of cell frames measurement and UTRAN has requested to report the GPS timing of cell frames:
        - perform the UE GPS timing of cell frames measurement on the reference cell indicated in the IE
           <u>"UE positioning GPS reference cell info";</u>

- if the UE is unable to measure the GPS timing of cell frames of the reference cell indicated in the IE "UE positioning GPS reference cell info":
  - perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.
- include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;
- include the SFN when the position was determined;
- include the IE "UE GPS timing of cell frames".
- if the UE does not support the capability to perform the UE GPS timing of cell frames measurement; or
- if the IE "GPS timing of Cell wanted" is set to FALSE:
  - include the IE "GPS TOW msec".
- if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":
  - if the IE "Vertical Accuracy" has been assigned to value "0":
    - if the IE "Horizontal Accuracy" has been assigned a value "0":
      - may include IE "Ellipsoid point with altitude".
    - if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and
    - if the UE has been able to calculate a 3-dimensional position
      - include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
    - if the UE has not been able to calculate a 3-dimensional position:
      - may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".
  - if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":
    - if the UE has been able to calculate a 3-dimensional position:
      - include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
    - if the UE has not been able to calculate a 3-dimensional position:
      - act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".
- if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":
  - if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":
    - may include IE "Ellipsoid point".
  - if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:
    - include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
- if the UE was not able to calculate a position; or
- if higher layers have indicated that the positioning request is not permitted; or

- if the positioning request was not processed by higher layers and timed out:
  - include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

## 10.3.7.105 UE positioning OTDOA measured results

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
SFN	MP		Integer(040 95)	SFN during which the last measurement was performed
CHOICE mode			/	
>FDD				
>>Reference cell id	MP		Primary CPICH info 10.3.6.60	
>>UE Rx-Tx time difference type 2 info	MP			
>>>UE Rx-Tx time difference type 2	MP		UE Rx-Tx time difference type 2 10.3.7.84	
>>>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the UE Rx-Tx time difference type 2 measurement from the reference cell.
>TDD				(no data)
>>Reference cell id	MP		Cell parameters ID 10.3.6.9	
Neighbours	MP	0 to <maxcellm eas&gt;</maxcellm 		
>CHOICE mode	MP			
>>FDD				
>>>Neighbour Identity	MD		Primary CPICH info 10.3.6.60	Default value is the same as in the first set of multiple sets.
>>>Frequency info	MD		Frequency info 10.3.6.36	Default value is the existing value of frequency information
>>>UE Rx-Tx time difference type 2 info	OP			Included <u>for cellif the</u> neighbour is in the active set excluding the reference cell.
>>>UE Rx-Tx time difference type 2	MP		UE Rx-Tx time difference type 2 10.3.7.84	
>>>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the UE Rx-Tx time difference type 2 measurement from the neighbour cell.
>>TDD				
>>>Cell and Channel ID	MD		Cell and Channel Identity info 10.3.6.8a	Default value is the same as in the first set of multiple sets.
>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the SFN-SFN observed time difference type 2 measurement from the neighbour cell.
>SFN-SFN observed time difference type 2	MP		SFN-SFN observed time difference	Gives the timing relative to the reference cell. Only type 2 is allowed.

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
			10.3.7.63	

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Proposed change	affec	<i>ts:</i>	(U)	SIM	ME/UE	X	Radio	o Ac	cess N	etwor	k X	Cor	e Ne	twork
Title: ೫	Со	rrectio	ns of L	JE Positic	oning mea	suren	nents							
Source: ೫	TS	G-RAN	<mark>VWG</mark> 2											
Work item code: ℜ	TE	l							Da	nte: #	19	.02.20	02	
Category: ೫ Reason for change	Deta be fo	F (con A (cor B (add C (fun D (edi iled exp ound in Currer	rection) respon dition of ctional torial m olanatic 3GPP	ds to a con f feature), modification ons of the a TR 21.900	rrection in a on of featur above cate O method in	re) gories <mark>1 TS 2</mark>	can	give	2 R R R R R R R R	<u>one</u> of 96 97 98 99 EL-4 EL-5 <del>x-Tx t</del>	the fe (GSi (Rel (Rel (Rel (Rel (Rel (Rel	ollowin M Phas ease 1: ease 1: ease 1: ease 4; ease 5; ifferen	se 2) 996) 997) 998) 999) ) ) ce typ	be 2 only
		ID me set of t networ	thod pe the UE rk in th	erforms the can be me e same IE.		e Rx-T nultano	'x time eously	e dif with	ference h one re	type 2 quest	for a and re	ll cells eported	in the l back	e active to the
Summary of chang	ye: Ж	all cell UE GI Impa Wou imple	ls in the PS timi act Ana Id not ementa	e active set ng of cell : alysis: affect imp	t of the UE frames mea plementati pporting th	asuren ons b	nent al pehavi	ligne ing l	ed with 2	25.214 cated	l and	25.133 e CR,	woul	d affect
Consequences if not approved:	ж													
Clauses affected:	ж	8.6.7	<mark>.19.1</mark> a	ı <mark>, 8.6.7.1</mark> 9	9 <mark>.1b, 10.3</mark> .	<mark>.7.10</mark>	5							
Other specs affected:	ж	Τe	est spe	ore specifi ecification ecificatio	S	ж	25.3	331	v4.3.0,	CR 1	343			
Other comments:	ж													
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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.6.7.19.1a UE positioning reporting for UE assisted methods

- when a measurement report is triggered; and
- if higher layers indicated that the positioning request is permitted:
  - if the UE was able to perform measurements on at least one neighbour cell in case of OTDOA or one satellite in case of GPS positioning:
    - if the IE "Vertical Accuracy" is included:
      - interpret the presence of this IE to indicate that the UTRAN desires to compute a 3-dimensional position estimate.
    - if the IE "Positioning Methods" is set to "GPS":
      - include the IE "UE positioning GPS measured results" in the measurement report and set the contents of the IE as follows:
        - if the UE supports the capability to provide the GPS timing of the cell frames measurement:
          - if the IE "GPS timing of Cell wanted" is set to TRUE:
            - perform the UE GPS timing of cell frames measurement on the reference cell indicated in the IE "UE positioning GPS reference cell info".
            - if the UE is unable to measure the GPS timing of cell frames of the reference cell indicated in the IE "UE positioning GPS reference cell info":
            - perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.
            - include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD; and
            - include the IE "Reference SFN" and the IE "UE GPS timing of cell frames".
          - if the UE does not support the capability to provide the GPS timing of the cell; or
          - if the IE "GPS timing of Cell wanted" is set to FALSE:
            - include the IE "GPS TOW msec".
    - if the IE "Positioning Methods" is set to "OTDOA":
      - include the IE "UE positioning OTDOA measured results " in the measurement report and set the contents of the IE as follows:
        - set IE "SFN" to the SFN when the last measurement was performed;
        - if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement:
          - if the UE is in CELL\_DCH state:
            - if the measured value is equal to "1279.9375":
              - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to "1279.8750".
            - otherwise:
              - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to the measured value.

- include the IE group "Rx-Tx time difference type 2 info" for the reference cell and for each neighbour cell listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED that belongs to the active set.
- if the UE does not support the capability to perform the Rx-Tx time difference type 2 measurement:
  - set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375" to indicate that the measurement is not supported.
- include IE group "Neighbour" for all neighbour cells listed in variable UE\_POSITIONING\_OTDOA\_DATA\_UE\_ASSISTED on which the SFN-SFN observed time difference type 2 measurement could be performed.
- if IE "Positioning Methods" in the MEASUREMENT CONTROL message has been assigned to value "OTDOA or GPS":
  - the UE may choose to either act as if IE "Positioning Methods" is set to "GPS" or "OTDOA" depending on the method chosen by the UE.
- if the IE "Positioning Methods" is set to "CELL ID":
  - if the UE supports the capability to perform the Rx-Tx time difference type 2 measurement; and
  - if the UE is in CELL\_DCH state:
    - perform the Rx-Tx time difference type 2 measurement on the <u>cells in the active set</u>reference cell indicated in the IE "UE positioning OTDOA assistance data"; and
    - report the measurement results back to the network in the MEASUREMENT REPORT by using IE "UE positioning OTDOA measured results" including measurements on the cells in the active set. In case the reference cell (indicated in the IE "UE positioning OTDOA assistance data") belongs to the active set of the UE, report Rx-Tx time difference type 2 of the reference cell also.excluding any measurements on neighbour cells in this IE. In case the reference cell (indicated in the IE "UE positioning OTDOA assistance data") does not belong to the active set of the UE, set the IE "Rx-Tx time difference type 2" in IE "UE positioning OTDOA measured results" for the reference cell to value "1279.9375". For all the reported cells, set the IE "SFN-SFN observed time difference type 2" in IE "UE positioning OTDOA measured results" to value "0".
- if the UE is not able to report the requested measurement results; or
- if higher layers have indicated that the positioning request is not permitted; or
- if the positioning request was not processed by higher layers and timed out:
  - include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

## 8.6.7.19.1b UE positioning reporting for UE based methods

- when a measurement report is triggered; and
- if higher layers indicated that the positioning request is permitted:
  - if the UE has been able to calculate a position:
    - include IE "UE positioning Position Estimate Info" in the MEASUREMENT REPORT and set the contents of the IE as follows:
      - if the UE supports the capability to perform the UE GPS timing of cell frames measurement and UTRAN has requested to report the GPS timing of cell frames:
        - perform the UE GPS timing of cell frames measurement on the reference cell indicated in the IE
           "UE positioning GPS reference cell info";

- if the UE is unable to measure the GPS timing of cell frames of the reference cell indicated in the IE "UE positioning GPS reference cell info":
- perform the UE GPS timing of cell frames measurement on the serving cell or on one cell of the active set.
- include the IE "Primary CPICH Info" for FDD or the IE "cell parameters id" for TDD;
- include the SFN when the position was determined;
- include the IE "UE GPS timing of cell frames".
- if the UE does not support the capability to perform the UE GPS timing of cell frames measurement; or
- if the IE "GPS timing of Cell wanted" is set to FALSE:
  - include the IE "GPS TOW msec".
- if IE "Vertical Accuracy" has been included in IE "UE positioning reporting quantity":
  - if the IE "Vertical Accuracy" has been assigned to value "0":
    - if the IE "Horizontal Accuracy" has been assigned a value "0":
      - may include IE "Ellipsoid point with altitude".
    - if the IE "Horizontal Accuracy" has been assigned a value unequal to "0"; and
    - if the UE has been able to calculate a 3-dimensional position
      - include IE "Ellipsoid point with altitude" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
    - if the UE has not been able to calculate a 3-dimensional position:
      - may act as if IE "Vertical Accuracy" was not included in IE "UE positioning reporting quantity".
  - if the IE "Vertical Accuracy" has been assigned to a value unequal to "0":
    - if the UE has been able to calculate a 3-dimensional position:
      - include IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
    - if the UE has not been able to calculate a 3-dimensional position:
      - act as if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity".
- if IE "Vertical Accuracy" has not been included in IE "UE positioning reporting quantity":
  - if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to value "0":
    - may include IE "Ellipsoid point".
  - if IE "Horizontal Accuracy" in IE "UE positioning reporting quantity" has been assigned to a value unequal to 0:
    - include either IE "Ellipsoid point with uncertainty circle" or IE "Ellipsoid point with uncertainty ellipse" or IE "Ellipsoid point with altitude and uncertainty ellipsoid" as the position estimate.
- if the UE was not able to calculate a position; or
- if higher layers have indicated that the positioning request is not permitted; or

- if the positioning request was not processed by higher layers and timed out:
  - include IE "UE positioning error" in the MEASUREMENT REPORT and set the contents of this IE as specified in subclause 8.6.7.19.5.

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
SFN	MP		Integer(040 95)	SFN during which the last measurement was performed
CHOICE mode			/	
>FDD				
>>Reference cell id	MP		Primary CPICH info 10.3.6.60	
>>UE Rx-Tx time difference type 2 info	MP			
>>>UE Rx-Tx time difference type 2	MP		UE Rx-Tx time difference type 2 10.3.7.84	
>>>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the UE Rx-Tx time difference type 2 measurement from the reference cell.
>TDD				(no data)
>>Reference cell id	MP		Cell parameters ID 10.3.6.9	
Neighbours	MP	0 to <maxcellm eas&gt;</maxcellm 		
>CHOICE mode	MP			
>>FDD				
>>>Neighbour Identity	MD		Primary CPICH info 10.3.6.60	Default value is the same as in the first set of multiple sets.
>>>Frequency info	MD		Frequency info 10.3.6.36	Default value is the existing value of frequency information
>>>UE Rx-Tx time difference type 2 info	OP <u>CV-</u> <u>ActiveSet</u>			Included if the neighbour is for cell in the active set excluding the reference cell.
>>>>UE Rx-Tx time difference type 2	MP		UE Rx-Tx time difference type 2 10.3.7.84	
>>>>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the UE Rx-Tx time difference type 2 measurement from the neighbour cell.
>>TDD				
>>>Cell and Channel ID	MD		Cell and Channel Identity info 10.3.6.8a	Default value is the same as in the first set of multiple sets.
>UE positioning OTDOA quality	MP		UE positioning OTDOA quality 10.3.7.107	Quality of the SFN-SFN observed time difference type 2 measurement from the neighbour cell.
>SFN-SFN observed time difference type 2	MP		SFN-SFN observed time	Gives the timing relative to the reference cell. Only type 2 is allowed.

# 10.3.7.105 UE positioning OTDOA measured results

Information Element/Group name	Need	Multi	Type and Reference	Semantics description
			difference 10.3.7.63	

## 3GPP TSG-RAN2 Meeting #27 Orlando, USA, 18-22 of February

## R2-020583

Unanuu, USA, 10					
CHANGE REQUEST					
X	<b>25.331</b> CR <b>1283 # rev</b> - <sup># Current version: <b>4.3.0</b> <sup>#</sup></sup>				
For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.					
Proposed change at	ffects: # (U)SIM ME/UE X Radio Access Network X Core Network				
Title: ೫	Security corrections				
Source: #	TSG-RAN WG2				
Work item code: 🕱 🕇	<b>FEI Date: #</b> 2002-02-22				
[	ARelease: %REL-4Use one of the following categories:Use one of the following releases:F (correction)2A (corresponds to a correction in an earlier release)R96B (addition of feature),R97C (functional modification of feature)R98D (editorial modification)R99D (editorial modifications of the above categories canREL-4De found in 3GPP TR 21.900.REL-5				
Reason for change:	<ul> <li>The current specification is unclear on a number of points and require clarification.</li> <li>Shadow R4 CR of CR1282r3.</li> </ul>				
Summary of change	v- 92				
Summary of change	[A] 8.1.12.2.1 (and other) It is clarified that "suspend" means that PDUs with SN>X shall not be transmitted.				
	8.1.12.2.1, 8.1.12.2.2, 8.1.12.5				
	OI 2.38 UTRAN procedures completed/corrected.				
	<ul> <li>8.1.3.6 (and other)</li> <li>[B] For integrity protection RRC messages with RRC SN &gt;X are allowed to transmit when the integrity configuration has been changed. This is missing in some procedures, i.e. Cell update, at reception of security mode complete, transmission of response messages in normal case.</li> <li>8.2.2.3</li> <li>[C] / OI 2.41: The IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "modify" can only be received in SECURITY MODE COMMAND. This is removed from other reconfiguration messages.</li> <li>8.5.8</li> <li>[D] The first CFN of the TTI shall be used for ciphering in the whole TTI. This is clarified in 8.5.8. (See also CR to 25.321).</li> </ul>				

## 8.5.9

OI 2.25: It needs to be clarified that COUNT-C corresponding to non ciphered RB should NOT be included in the START value calculation.

## 8.6.3.4

[E] "Pending activation time" is clarified for downlink. This is needed as the UE in some cases shall apply a new configuration at a pending activation time.

#### 8.6.3.5

OI 2.49: Added missing Integrity Protection invalid configuration check.

## 8.6.4.1

[F] The start of ciphering is missing when an SRB is setup (SRB4) and ciphering is already started for the CN domain (see similar text in RB setup, 8.6.4.3).

#### 8.3.6.3 and 10.2.16.b

[G] UE shall, in case USIM is present, include in the HANDOVER TO UTRAN COMPLETE message the START values that were not transferred to the network via the other RAT. The specification is clarified, by referring to UE variable INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED.

8.6.4.1 (and others)

OI 2.37. The variable START\_VALUE\_TO\_TRANSMIT needs to be set when SRB4 is setup in RB SETUP. It is also clarified that SRB4 and any RB needs to be from the same CN domain.

#### In revision2:

OI 21 (8.1.12.4.b and 8.2.2.12b)

Failure message when security procedure is interruped by cell update. UE shall not send failure message after having sent the SECURITY MODE COMPLETE message (see R2-020348)

Section 8.1.12.2.1 and 8.1.12.2.2: The restriction on the number of ciphering configurations and integrity protection algorithms that the UE needs to store is clarified.

8.5.9. The START value calculation is changed from MAX(HFN)+1 to MAX(HFN)+2 in order to avoid reuse in case of loss of data in UM before RB release.

#### In revision 3:

- ASN.1 changes added
- STARTlist is added in the inter node containers
- SIM handling
- Timing initialised HHO
- HO from GSM
- ASN change for inter node containers

Consequences if # Potential misinterpretation of security functionality. Unclear behavior. Risk for

not approved:	ciphering failure in case of RB setup of SRB4 and other scenarios.
Clauses affected:	<ul> <li>8.1.3.6, 8.1.8.2, 8.1.8.3, 8.1.12.2.1, 8.1.12.2.2, 8.1.12.3, 8.1.12.3.1, 8.1.12.4b,</li> <li>8.1.12.5, 8.2.2.2, 8.2.2.3, 8.2.2.4, 8.2.2.12b, 8.3.1.6, 8.3.3.3, 8.3.4.3, 8.3.6.3,</li> <li>8.6.7.4, 8.5.2, 8.5.8, 8.5.9, 8.5.10.3, 8.6.3.4, 8.6.3.5, 8.6.4.1, 8.6.4.3, 8.6.4.8,</li> <li>8.6.5.1, 8.6.6.28, 10.2.16b, 10.2.16c, 10.3.3.5, 10.3.3.16, 11.2, 11.5, 13.4.x</li> <li>(new), 14.12.4.2</li> </ul>
Other specs affected:	#       Other core specifications       #       25.331 v3.9.0, CR 1282r4         Test specifications       O&M Specifications
Other comments:	¥

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#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked # contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.1.3.6 Reception of an RRC CONNECTION SETUP message by the UE

The UE shall compare the value of the IE "Initial UE identity" in the received RRC CONNECTION SETUP message with the value of the variable INITIAL\_UE\_IDENTITY.

If the values are different, the UE shall:

- ignore the rest of the message.

If the values are identical, the UE shall:

- stop timer T300, and act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:
  - if the UE will be in the CELL\_FACH state at the conclusion of this procedure:
    - if the IE "Frequency info" is included:
      - select a suitable UTRA cell according to [4] on that frequency;
    - select PRACH according to subclause 8.5.17;
    - select Secondary CCPCH according to subclause 8.5.19;
    - ignore the IE "UTRAN DRX cycle length coefficient" and stop using DRX.
- perform the physical layer synchronisation procedure as specified in [29];
- enter a state according to subclause 8.6.3.3;
- submit an RRC CONNECTION SETUP COMPLETE message to the lower layers on the uplink DCCH after successful state transition per subclause 8.6.3.3, with the contents set as specified below:
  - set the IE "RRC transaction identifier" to:
    - the value of "RRC transaction identifier" in the entry for the RRC CONNECTION SETUP message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - clear that entry.
  - if the USIM or <u>SIM</u> is present:
    - set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message with the corresponding START value that is stored in the USIM [50]; if present, or as stored in the UE if the SIM is present; and then
    - set the START value stored in the USIM [50] if present, and as stored in the UE if the SIM is present, for any CN domain to the value "THRESHOLD" of the variable START\_THRESHOLD.
  - if <u>neither the USIM nor SIM</u> is not present:
    - set the "START" for each CN domain in the IE "START list" in the RRC CONNECTION SETUP COMPLETE message to zero;
    - set the value of "THRESHOLD" in the variable "START\_THRESHOLD" to the default value [40].
  - retrieve its UTRA UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - include this in IE "UE radio access capability" and IE "UE radio access capability extension", provided this IE is included in variable UE\_CAPABILITY\_REQUESTED;
  - retrieve its inter-RAT-specific UE radio access capability information elements from variable UE\_CAPABILITY\_REQUESTED; and then
  - include this in IE "UE system specific capability".

When the RRC CONNECTION SETUP COMPLETE message has been submitted to lower layers for transmission the UE shall:

- if the UE has entered CELL\_FACH state:
  - start timer T305 using its initial value if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1.
- store the contents of the variable UE\_CAPABILITY\_REQUESTED in the variable UE\_CAPABILITY\_TRANSFERRED;
- initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4;
- consider the procedure to be successful;

And the procedure ends.

## 8.1.8.2 Initiation of Initial direct transfer procedure in the UE

In the UE, the initial direct transfer procedure shall be initiated, when the upper layers request establishment of a signalling connection. This request also includes a request for the transfer of a NAS message.

Upon initiation of the initial direct transfer procedure when the UE is in idle mode, the UE shall:

- set the variable ESTABLISHMENT\_CAUSE to the cause for establishment indicated by upper layers;
- perform an RRC connection establishment procedure, according to subclause 8.1.3;
- if the RRC connection establishment procedure was not successful:
  - indicate failure to establish the signalling connection to upper layers and end the procedure.
- when the RRC connection establishment procedure is completed successfully:
  - continue with the initial direct transfer procedure as below.

Upon initiation of the initial direct transfer procedure when the UE is in CELL\_PCH or URA\_PCH state, the UE shall:

- perform a cell update procedure, according to subclause 8.3.1, using the cause "uplink data transmission";
- when the cell update procedure completed successfully:
  - continue with the initial direct transfer procedure as below.

The UE shall, in the INITIAL DIRECT TRANSFER message:

- set the IE "NAS message" as received from upper layers; and
- set the IE "CN domain identity" as indicated by the upper layers; and
- set the IE "Intra Domain NAS Node Selector" as follows:
  - derive the IE "Intra Domain NAS Node Selector" from TMSI/PMTSI, IMSI, or IMEI; and
  - provide the coding of the IE "Intra Domain NAS Node Selector" according to the following priorities:
    - 1. derive the routing parameter for IDNNS from TMSI (CS domain) or PTMSI (PS domain) whenever a valid TMSI/PTMSI is available;
    - 2. base the routing parameter for IDNNS on IMSI when no valid TMSI/PTMSI is available;
    - 3. base the routing parameter for IDNNS on IMEI only if no (U)SIM is inserted in the UE.
- calculate the START according to subclause 8.5.9 for the CN domain set in the IE "CN Domain Identity"; and
  - include the calculated START value for that CN domain in the IE "START";

In CELL\_FACH state, the UE shall:

- include a measurement report in the IE "Measured results on RACH", as specified in the IE "Intra-frequency reporting quantity for RACH reporting" and the IE "Maximum number of reported cells on RACH" in System Information Block type 12 (or "System Information Block Type 11" if "System Information Block Type 12" is not being broadcast);
- include in the IE "Measured results on RACH" all requested reporting quantities for cells for which measurements are reported.

The UE shall:

- transmit the INITIAL DIRECT TRANSFER message on the uplink DCCH using AM RLC on signalling radio bearer RB3;
- when the INITIAL DIRECT TRANSFER message has been submitted to lower layers for transmission:
  - confirm the establishment of a signalling connection to upper layers; and
  - add the signalling connection with the identity indicated by the IE "CN domain identity" in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS; and
  - the procedure ends.

When not stated otherwise elsewhere, the UE may also initiate the initial direct transfer procedure when another procedure is ongoing, and in that case the state of the latter procedure shall not be affected.

A new signalling connection request may be received from upper layers during transition to idle mode. In those cases, from the time of the indication of release to upper layers until the UE has entered idle mode, any such upper layer request to establish a new signalling connection shall be queued. This request shall be processed after the UE has entered idle mode.

## 8.1.8.3 Reception of INITIAL DIRECT TRANSFER message by the UTRAN

On reception of the INITIAL DIRECT TRANSFER message the NAS message should be routed using the IE "CN Domain Identity". UTRAN may also use the IE "Intra Domain NAS Node Selector" for routing among the CN nodes for the addressed CN domain.

If no signalling connection exists towards the chosen node, then a signalling connection is established.

If the IE "Measured results on RACH" is present in the message, the UTRAN should extract the contents to be used for radio resource control.

When the UTRAN receives an INITIAL DIRECT TRANSFER message, it shall not affect the state of any other ongoing RRC procedures, when not stated otherwise elsewhere.

The UTRAN should:

 set the START value for the CN domain indicated in the IE "CN domain identity" to the value of the IE "START";

#### 8.1.12.2 Initiation

## 8.1.12.2.1 Ciphering configuration change

To stop or start/restart ciphering, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the most recent ciphering configuration. If no such ciphering configuration exists then the SECURITY MODE COMMAND is not ciphered.

When configuring ciphering, UTRAN should ensure that the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain, in total over all radio bearers at any given time. For signalling radio bearers the total number of ciphering configurations that need to be stored is at most three

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- if this is the first SECURITY MODE COMMAND sent for this RRC connection:
  - use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers for all the signalling radio bearers; while:
    - setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the START for that CN domain;
    - setting the remaining bits of the hyper frame numbers equal to zero.
- <u>(INDENTATION)</u> suspend all radio bearers using RLC-AM or RLC-UM and suspend all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC-AM according to the following:
  - suspend all signalling radio bearers using RLC-AM or RLC-UM, except the signalling radio bearer used to send the SECURITY MODE COMMAND message on the downlink DCCH in RLC AM;
  - <u>(INDENTATION)do</u> not transmit RLC PDUs with sequence number greater than or equal to the number in IE "Radio bearer downlink ciphering activation time info" on all suspended radio bearers and all suspended signalling radio bearers; <u>/\* Indentation changed to B32\*/</u>
- apply the old ciphering configuration for the transmission of RLC PDUs with RLC sequence number less than the number indicated in the IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
- apply the new ciphering configuration for the transmission of RLC PDUs with RLC sequence number greater than or equal to the number indicated in IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
- set, for the signalling radio bearer used to send the SECURITY MODE COMMAND, the "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- if a transparent mode radio bearer for this CN domain exists:
  - include the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- set, for each suspended radio bearer and signalling radio bearer that has no pending ciphering activation time set by a previous security mode control procedure, an "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info", at which time the new ciphering configuration shall be applied;
- set, for each suspended radio bearer and signalling radio bearer that has a pending ciphering activation time set by a previous security mode control procedure, the "RLC send sequence number" in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" to the value used in the previous security mode control procedure, at which time the latest ciphering configuration shall be applied;
- if Integrity protection has already been started for the UE; and
  - for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:
    - include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND
- if Integrity protection has already been started for the UE; and
  - if the IE "CN domain identity" in the SECURITY MODE COMMAND is different from the IE "CN domain identity" that was sent in the previous SECURITY MODE COMMAND message to the UE:
    - include the IE "Integrity protection mode info" in the SECURITY MODE COMMAND
- transmit the SECURITY MODE COMMAND message on <u>RB2</u>the downlink DCCH in AM RLC.

## 8.1.12.2.2 Integrity protection configuration change

To start or modify integrity protection, UTRAN sends a SECURITY MODE COMMAND message on the downlink DCCH in AM RLC using the new integrity protection configuration.

When configuring Integrity protection, UTRAN should:

- ensure that the UE needs to store at most three different Integrity protection configurations (keysets) at any given time. This includes the total number of Integrity protection configurations for all signalling radio bearers.
- if Ciphering has already been started for the UE for the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND; and
  - if for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, a new security key set (new ciphering and integrity protection keys) has been received from upper layers since the transmission of the last SECURITY MODE COMMAND message for that CN domain:

- include the IE "Ciphering mode info" in the SECURITY MODE COMMAND;

- if Ciphering has already been configured for the UE for a CN domain different from the CN domain to be set in the IE "CN domain identity" in the SECURITY MODE COMMAND;
  - include the IE "Ciphering mode info" in the SECURITY MODE COMMAND;

Prior to sending the SECURITY MODE COMMAND, for the CN domain indicated in the IE "CN domain identity" in the SECURITY MODE COMMAND, UTRAN should:

- if this is the first SECURITY MODE COMMAND sent for this RRC connection:
  - if new keys have been received:
    - initialise the hyper frame numbers as follows:
      - set all bits of the hyper frame numbers of the COUNT-I values for all signalling radio bearers to zero;
  - else (if new keys have not been received):
    - use the value "START" in the most recently received IE "START list" or IE "START" that belongs to the CN domain indicated in the IE "CN domain identity" to initialise all hyper frame numbers of COUNT-I for all the signalling radio bearers, by:
      - setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
      - setting the remaining bits of the hyper frame numbers equal to zero;
- else (this is not the first SECURITY MODE COMMAND sent for this RRC connection):
  - if new keys have been received;
    - initialise the hyper frame number for COUNT-I for RB2 as follows:
      - set all bits of the HFN of the COUNT-I value for RB2 to zero;
  - if new keys have not been received;
    - initialize the hyper frame number for COUNT-I for RB2 as follows:
      - set the 20 most significant bits of the HFN of the downlink and uplink COUNT-I to the value of the most recently received IE "START" or IE "START LIST" for the CN domain to be set in the the IE "CN Domain Identity";
      - set the remaining bits of the HFN of the downlink and uplink COUNT-I to zero;
- if the IE "Integrity protection mode command" has the value "Start":

- prohibit the transmission of signalling messages with any RRC SN on all signalling radio bearers, except <u>RB2;</u>
- set the FRESH value in the IE "Integrity protection initialisation number", included in the IE "Integrity protection mode info";
- if the IE "Integrity protection mode command" has the value "Modify":
  - for each signalling radio bearer RBn, except RB2:
    - prohibit the transmission of signalling messages with RRC SN greater or equal to the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
  - set, for each signalling radio bearer RBn, that has no pending integrity protection activation time set by a previous security mode control procedure, an RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", at which time the new integrity protection configuration shall be applied;
  - set, for each signalling radio bearer RBn, that has a pending integrity protection activation time set by a previous security mode control procedure, the RRC sequence number in entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info", to the value used in the previous security mode control procedure, at which time the latest integrity protection configuration shall be applied;

- transmit the SECURITY MODE COMMAND message on RB2 using the new integrity protection configuration.

## 8.1.12.3 Reception of SECURITY MODE COMMAND message by the UE

Upon reception of the SECURITY MODE COMMAND message, the UE shall:

- if the <u>neither IE</u>s "Ciphering mode info" and the <u>nor IE</u>-IE-"Integrity protection mode info" is are both not included in the SECURITY MODE COMMAND:
  - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the IE "Security capability" is the same as indicated by variable UE\_CAPABILITY\_TRANSFERRED, and the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED:
    - set the variable LATEST\_CONFIGURED\_CN\_DOMAIN equal to the IE "CN domain identity";
    - set the IE "Status" in the variable SECURITY MODIFICATION for the CN domain indicated in the IE "CN domain identity" in the received SECURITY MODE COMMAND to the value "Affected";
    - set the IE "Status" in the variable SECURITY\_MODIFICATION for all CN domains other than the CN domain indicated in the IE "CN domain identity" to "Not affected"
    - - use the value "START" in the most recently sent IE "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers for all the signalling radio bearers; while
        - setting the 20 most significant bits of the hyper frame numbers for all signalling radio bearers to the START for that CN domain;
    - set the IE "RRC transaction identifier" in the SECURITY MODE COMPLETE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
    - clear that entry;

- if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
  - perform the actions as specified in subclause 8.6.3.4.
- if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
  - perform the actions as specified in subclause 8.6.3.5.
- prior to sending the SECURITY MODE COMPLETE message:
  - use the old ciphering configuration for this message;
  - if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - include <u>and set</u> the IE "Radio bearer uplink ciphering activation time info"<u>to the value of the variable</u> <u>RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO</u>
    - for each radio bearer and signalling radio bearer that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
      - start or continue incrementing the COUNT-C values for all RLC-AM and RLC-UM signalling radio bearers at the ciphering activation time as specified in the procedure;
      - continue incrementing the COUNT-C values for all RLC-AM and RLC-UM radio bearers;
    - if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN:
      - <u>for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the downlink, at the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info" included in the SECURITY MODE COMMAND, for each signalling radio bearer:</u>
        - set the 20 most significant bits of the HFN component of the downlink COUNT-C to the value
           <u>"START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN</u> domain as indicated in the variable LATEST CONFIGURED CN DOMAIN;
        - set the remaining bits of the hyper frame numbers to zero;
    - if new keys have been received perform the actions in subclause 8.1.12.3.1.
  - if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
    - include <u>and set</u> the IE "Uplink integrity protection activation info"<u>to the value of the variable</u> INTEGRITY\_PROTECTION\_ACTIVATION\_INFO for each signalling radio bearer;-
    - if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, for RB2:
      - in the downlink, for the received SECURITY MODE COMMAND message :
        - set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
        - set the remaining bits of the IE "Downlink RRC HFN" to zero;
      - in the uplink, for the transmitted response message, SECURITY MODE COMPLETE:
        - set the 20 most significant bits of the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN;
        - set the remaining bits of the IE "Uplink RRC HFN" to zero;

- if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST CONFIGURED CN DOMAIN, the UE shall for each signalling radio bearer other than RB2:

- if the IE "Integrity protection mode command" has the value "start":

- in the downlink, for this signalling radio bearer, set the 20 most significant bits of IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;

- set the remaining bits of the IE "Downlink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the downlink COUNT-I to zero;

- else:

- in the downlink, for the first message for which the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
  - [HANS, I want this to be B6]for this signalling radio bearer, set the 20 most significant bits of the IE "Downlink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the downlink COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN;

- set the remaining bits of the IE "Downlink RRC HFN" to zero;

- if new keys have been received perform the actions in subclause 8.1.12.3.1;

- start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
- transmit the SECURITY MODE COMPLETE message on the uplink DCCH in AM RLC;
- when the successful delivery of the SECURITY MODE COMPLETE message has been confirmed by RLC:
  - if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN:
      - <u>for ciphering on signalling radio bearers using RLC-AM and RLC-UM in the uplink, at the RLC</u> sequence number indicated in IE "Radio bearer uplink ciphering activation time info" included in the <u>SECURITY MODE COMPLETE</u>, for each signalling radio bearer:
        - set the HFN component of the uplink COUNT-C to the value "START" in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN.
        - set the remaining bits of the hyper frame numbers to zero;
    - if new keys have been received perform the actions in subclause 8.1.12.3.1.
    - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
    - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
  - if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":

- if no new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain indicated in the variable LATEST CONFIGURED CN DOMAIN, the UE shall for each signalling radio bearer other than RB2:
  - if the IE "Integrity protection mode command" has the value "start":
    - in the uplink, for this signalling radio bearer, set the 20 most significant bits of IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to the value START transmitted in the most recently transmitted IE "START list" or IE "START" that belongs to the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN;

- set the remaining bits of the IE "Uplink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the uplink COUNT-I to zero;

- else:

- in the uplink, for the first transmitted RRC message for this signalling radio bearer with RRC sequence number equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the transmitted SECURITY MODE COMPLETE:
  - <u>[Hans same here B6]for this signalling radio bearer, set the 20 most significant bits of the IE</u>
     <u>"Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink</u>
     <u>COUNT-I to the value "START" in the most recently transmitted IE "START list" or IE</u>
     <u>"START" that belongs to the CN domain as indicated in the variable</u>
     <u>LATEST\_CONFIGURED\_CN\_DOMAIN;</u>
  - set the remaining bits of the IE "Uplink RRC HFN" to zero;
- if new keys have been received perform the actions in subclause 8.1.12.3.1;
- allow the transmission of RRC messages on all signalling radio bearers with <u>any</u> RRC SN-greater than or equal to the value in the "RRC message sequence number list" indicated for each signalling radio bearer in the IE "Uplink integrity protection activation info" of the response message;
- set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
- set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
- clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable SECURITY MODIFICATION;
- notify upper layers upon change of the security configuration;
- and the procedure ends.
- if the IE "Security capability" is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or the IE "GSM security capability" (if included in the SECURITY MODE COMMAND) is not the same as indicated by the variable UE\_CAPABILITY\_TRANSFERRED, or if the IE "GSM security capability" is not included in the SECURITY MODE COMMAND and is included in the variable UE\_CAPABILITY\_TRANSFERRED:
  - release all its radio resources;
  - indicate the release of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - clear the variable ESTABLISHED\_RABS;
  - clear the variable SECURITY\_MODIFICATION;

- enter idle mode;
- perform actions when entering idle mode as specified in subclause 8.5.2;
- and the procedure ends.

#### 8.1.12.3.1 New ciphering and integrity protection keys

If a new security key set (new ciphering and integrity protection keys) has been received from the upper layers [40] for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN, the UE shall:

- set the START value for this the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN CN domain to zero;
- if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
- for each signalling radio bearer:
  - for integrity protection in the downlink on each signalling radio bearer except RB2:
    - if IE "Integrity protection mode command" has the value "start":
      - for the first received message on this signalling radio bearer:
        - start using the new integrity key;
        - for this signalling radio bearer, set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
    - else:
      - <u>for the first message for which when</u> the RRC sequence number in a received RRC message for this signalling radio bearer is equal to or greater than the activation time as indicated in IE "Downlink integrity protection activation info" as included in the IE "Integrity protection mode info":
        - use-start using the new integrity key;
        - for this signalling radio bearer, set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero.
  - for integrity protection in the uplink on each signalling radio bearer except RB2:
    - <u>for the first message for which when</u> the RRC sequence number in a to be transmitted RRC message for this signalling radio bearer is equal to the activation time as indicated in IE "Uplink integrity protection activation info" included in the IE "Integrity protection mode info":
      - **use**<u>start using</u> the new integrity key;
      - for this signalling radio bearer, set the IE "Uplink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the uplink COUNT-I to zero.;
    - for integrity protection in the downlink on signalling radio bearer RB2:- at the received SECURITY MODECOMMAND:
      - start using the new integrity key;
      - set the IE "Downlink RRC HFN" in the variable INTEGRITY\_PROTECTION\_INFO of the downlink COUNT-I to zero;
    - for integrity protection in the uplink on signalling radio bearer RB2 :- at the transmitted SECURITY MODE COMPLETE:
      - start using the new integrity key;
      - <u>set the IE "Uplink RRC HFN" in the variable INTEGRITY PROTECTION INFO of the uplink</u> <u>COUNT-I to zero;</u>

-	if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
	<ul> <li>for each signalling radio bearer and for each radio bearer for this CN domain the CN domain as indicated in the variable LATEST_CONFIGURED_CN_DOMAIN:</li> </ul>
I	- if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers using RLC-TM:
	- at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info":
	- use start using the new key in uplink and downlink;
I	- set the HFN component of the COUNT-C to zero.
	- if the IE "Status" in the variable CIPHERING_STATUS has the value "Started" for this CN domain, then for ciphering on radio bearers and signalling radio bearers using RLC-AM and RLC-UM:
I	- in the downlink, at and after the RLC sequence number indicated in IE "Radio bearer downlink ciphering activation time info" in the IE "Ciphering mode info":
	- <u>use start using the new key;</u>
I	- set the HFN component of the downlink COUNT-C to zero.
I	- in the uplink, at and after the RLC sequence number indicated in IE "Radio bearer uplink ciphering activation time info":
	- <u>use start using the new key;</u>
	- set the HFN component of the uplink COUNT-C to zero:
-	consider the value of the latest transmitted START value to be zero.

8.1.12.4 Void

#### 8.1.12.4a Incompatible simultaneous security reconfiguration

If the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION becomes set to TRUE of the received SECURITY MODE COMMAND message, the UE shall:

- transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC, using the ciphering and integrity protection configurations prior to the reception of this SECURITY MODE COMMAND;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- set the IE "failure cause" to the cause value "incompatible simultaneous reconfiguration";
- when the response message has been submitted to lower layers for transmission:
  - set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to FALSE;
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

#### 8.1.12.4b Cell update procedure during security reconfiguration

#### If:

- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received SECURITY MODE COMMAND message causes either,
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

#### the UE shall:

- abort the ongoing integrity and/or ciphering reconfiguration;
- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC, using the ciphering and integrity protection configurations prior to the reception of this SECURITY MODE COMMAND;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- set the IE "failure cause" to the cause value "cell update occurred";
- when the response message has been submitted to lower layers for transmission:
  - if the SECURITY MODE COMMAND message contained the IE "Ciphering mode info":
    - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
    - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - if the SECURITY MODE COMMAND message contained the IE "Integrity protection mode info":
    - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
    - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received; and
  - clear the variable SECURITY\_MODIFICATION;
  - the procedure ends.

#### 8.1.12.4c Invalid configuration

If the variable INVALID\_CONFIGURATION is set to TRUE due to the received SECURITY MODE COMMAND message, the UE shall:

- transmit a SECURITY MODE FAILURE message on the DCCH using AM RLC after setting the IEs as specified below:
  - set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry;

- set the IE "failure cause" to the cause value "invalid configuration".
- when the response message has been submitted to lower layers for transmission:
  - set the variable INVALID\_CONFIGURATION to FALSE;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE;
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

#### 8.1.12.5 Reception of SECURITY MODE COMPLETE message by the UTRAN

UTRAN should apply integrity protection on the received SECURITY MODE COMPLETE message and all subsequent messages with the new integrity protection configuration, if changed. When UTRAN has received a SECURITY MODE COMPLETE message and the integrity protection has successfully been applied, UTRAN should:

- if the IE "Ciphering mode info" was included in the SECURITY MODE COMMAND message:
  - if new keys were received for the CN domain set in the IE "CN Domain Identity" in the SECURITY MODE COMMAND:
    - at the downlink and uplink activation time set all the bits of the hyper frame numbers of the downlink and uplink COUNT-C values respectively for all radio bearers for this CN domain and all signalling radio bearers to zero;
  - else (if new keys were not received)
    - at the downlink and uplink activation time use the value "START" in the most recently received IE
       "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain identity" to initialise all hyper frame numbers of the downlink and uplink COUNT-C values respectively for all the signalling radio bearers by:
      - setting the 20 most significant bits of the hyper frame numbers of the COUNT-C for all signalling radio bearers to the value "START" in the most recently received IE "START list" or IE "START" for that CN domain;
      - setting the remaining bits of the hyper frame numbers equal to zero.
- if the IE "Integrity protection mode info" was included in the SECURITY MODE COMMAND message; and
  - if this was not the first SECURITY MODE COMMAND message for this RRC connection:
    - if new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message:
      - at the downlink and uplink activation time initialise all hyper frame numbers of the downlink and uplink COUNT-I values respectively for all the signalling radio bearers other than RB2 as follows:
        - set all bits of the hyper frame numbers of the uplink and downlink COUNT-I to zero;
    - if no new keys have been received for the CN domain set in the IE "CN Domain Identity" included in the transmitted SECURITY MODE COMMAND message:
      - at the downlink and uplink activation time use the value "START" in the most recently received IE
         "START list" or IE "START" that belongs to the CN domain as indicated in the IE "CN domain
         identity" to initialise all hyper frame numbers of the downlink and uplink COUNT-I values
         respectively for all the signalling radio bearers other than RB2 by:
        - setting the 20 most significant bits of the hyper frame numbers of the downlink and uplink
           <u>COUNT-I respectively for all signalling radio bearers to the value "START" in the most recently</u>
           received IE "START list" or IE "START" for that CN domain;
        - setting the remaining bits of the hyper frame numbers equal to zero.

- send an indication to upper layers that the new integrity protectionsecurity configuration has been activated;
- resume, in the downlink, all suspended radio bearers and all signalling radio bearers;
- allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;

- if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Start":

- start applying integrity protection in the downlink for all signalling radio bearers;
- if the IE "Integrity protection mode command" included in the SECURITY MODE COMMAND had the value "Modify":
  - <u>start applying the new integrity protection configuration in the downlink at the RRC sequence number, for</u> <u>each signalling radio bearers RBn, except for signalling radio bearer RB2, indicated by the entry for</u> <u>signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection</u> <u>activation info";</u>
  - continue applying the new integrity configuration for signalling radio bearer RB2;
  - <u>apply the new integrity protection configuration on the received signalling messages with RRC SN greater</u> <u>than or equal to the number associated with the signalling radio bearer in IE "Uplink integrity protection</u> <u>activation info";</u>
- apply the old ciphering configuration for the transmission of RLC PDUs with RLC sequence number less than the number indicated in the IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
- apply the new ciphering configuration for the transmission of RLC PDUs with RLC sequence number greater than or equal to the number indicated in IE "Radio bearer downlink ciphering activation time info" included in the IE "Ciphering mode info";
- apply the old integrity protection configuration on the received signalling messages with RRC SN smaller than the number associated with the signalling radio bearer in IE "Uplink integrity protection activation info";
- for radio bearers using RLC-AM or RLC-UM:
  - use the old ciphering configuration for received RLC PDUs with RLC sequence number less than the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - use the new ciphering configuration for received RLC PDUs with RLC sequence number greater than or equal to the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" sent by the UE;
  - if an RLC reset or re-establishment occurs after the SECURITY MODE COMPLETE message has been received by UTRAN before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.
- for radio bearers using RLC-TM:
  - use the old ciphering configuration for the received RLC PDUs before the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND;
  - use the new ciphering configuration for the received RLC PDUs at the CFN as indicated in the IE "Ciphering activation time for DPCH" in the IE "Ciphering mode info" as included in the SECURITY MODE COMMAND.
- and the procedure ends.

## 8.1.12.6 Invalid SECURITY MODE COMMAND message

If the SECURITY MODE COMMAND message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows:

- transmit a SECURITY MODE FAILURE message on the uplink DCCH using AM RLC;
- set the IE "RRC transaction identifier" in the SECURITY MODE FAILURE message to the value of "RRC transaction identifier" in the entry for the SECURITY MODE COMMAND message in the table "Rejected transactions" in the variable TRANSACTIONS; and
- clear that entry;
- set the IE "failure cause" to the cause value "protocol error";
- include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION;
- when the response message has been submitted to lower layers for transmission:
  - continue with any ongoing processes and procedures as if the invalid SECURITY MODE COMMAND message has not been received;
  - and the procedure ends.

## 8.2.2.2 Initiation

To initiate any one of the reconfiguration procedures, UTRAN should:

- configure new radio links in any new physical channel configuration;
- start transmission and reception on the new radio links;
- for a radio bearer establishment procedure:
  - transmit a RADIO BEARER SETUP message on the downlink DCCH using AM or UM RLC.
  - if signaling radio bearer RB4 is setup with this procedure and signaling radio bearers RB1-RB3 were already established prior to the procedure:
    - if the variable "LATEST\_CONFIGURED\_CN\_DOMAIN" has been initialised:
      - any radio bearers setup by the same message as signalling radio bearer RB4 should be connected to the CN domain indicated in the varable "LATEST CONFIGURED CN DOMAIN";
- for a radio bearer reconfiguration procedure:
  - transmit a RADIO BEARER RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- for a radio bearer release procedure:
  - transmit a RADIO BEARER RELEASE message on the downlink DCCH using AM or UM RLC.
- for a transport channel reconfiguration procedure:
  - transmit a TRANSPORT CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- for a physical channel reconfiguration procedure:
  - transmit a PHYSICAL CHANNEL RECONFIGURATION message on the downlink DCCH using AM or UM RLC.
- if the reconfiguration procedure is simultaneous with SRNS relocation procedure:

- include the IE "Downlink counter synchronisation info"; and
- if ciphering and/or integrity protection are activated:
  - include new ciphering and/or integrity protection configuration information to be used after reconfiguration.
- use the downlink DCCH using AM RLC.
- if transport channels are added, reconfigured or deleted in uplink and/or downlink:
  - set TFCS according to the new transport channel(s).
- if transport channels are added or deleted in uplink and/or downlink, and RB Mapping Info applicable to the new configuration has not been previously provided to the UE, the UTRAN should:
  - send the RB Mapping Info for the new configuration.

In the Radio Bearer Reconfiguration procedure UTRAN may indicate that uplink transmission shall be stopped or continued on certain radio bearers. Uplink transmission on a signalling radio bearer used by the RRC signalling (signalling radio bearer RB1 or signalling radio bearer RB2) should not be stopped.

- NOTE 1: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure", even if UTRAN does not require the reconfiguration of any RB. In these cases, UTRAN may include only the IE "RB identity" within the IE "RB information to reconfigure".
- NOTE 2: The RADIO BEARER RECONFIGURATION message always includes the IE "Downlink information per radio link list", even if UTRAN does not require the reconfiguration of any RL. In these cases, UTRAN may re-send the currently assigned values for the mandatory IEs included within the IE "Downlink information per radio link list ". Moreover, the RADIO BEARER RECONFIGURATION message always includes the IE "Primary CPICH Info" (FDD) or IE "Primary CCPCH Info" (TDD). This implies that in case UTRAN applies the RADIO BEARER RECONFIGURATION message to move the UE to CELL\_FACH state, it has to indicate a cell. However, UTRAN may indicate any cell; the UE anyhow performs cell selection and notifies UTRAN if it selects another cell than indicated by UTRAN.

If the IE "Activation Time" is included, UTRAN should set it to a value taking the UE performance requirements into account.

UTRAN should take the UE capabilities into account when setting the new configuration.

If the message is used to initiate a transition from CELL\_DCH to CELL\_FACH state, the UTRAN may assign a common channel configuration of a given cell and C-RNTI to be used in that cell to the UE.

8.2.2.3 Reception of RADIO BEARER SETUP or RADIO BEARER RECONFIGURATION or RADIO BEARER RELEASE or TRANSPORT CHANNEL RECONFIGURATION or PHYSICAL CHANNEL RECONFIGURATION message by the UE

The UE shall be able to receive any of the following messages:

- RADIO BEARER SETUP message; or
- RADIO BEARER RECONFIGURATION message; or
- RADIO BEARER RELEASE message; or
- TRANSPORT CHANNEL RECONFIGURATION message; or
- PHYSICAL CHANNEL RECONFIGURATION message;

and perform a hard handover, even if no prior UE measurements have been performed on the target cell and/or frequency.

If the UE receives:

- a RADIO BEARER SETUP message; or
- a RADIO BEARER RECONFIGURATION message; or
- a RADIO BEARER RELEASE message; or
- a TRANSPORT CHANNEL RECONFIGURATION message; or
- a PHYSICAL CHANNEL RECONFIGURATION message:

#### it shall:

- set the variable ORDERED\_RECONFIGURATION to TRUE;
- perform the physical layer synchronisation procedure as specified in [29];
- act upon all received information elements as specified in subclause 8.6, unless specified in the following and perform the actions below.

The UE may first release the physical channel configuration used at reception of the reconfiguration message. The UE shall then:

- in FDD, if the IE "PDSCH code mapping" is included but the IE "PDSCH with SHO DCH Info" is not included and if the DCH has only one link in its active set:
  - act upon the IE "PDSCH code mapping" as specified in subclause 8.6; and
  - infer that the PDSCH will be transmitted from the cell from which the downlink DPCH is transmitted.
- enter a state according to subclause 8.6.3.3.

In case the UE receives a RADIO BEARER RECONFIGURATION message including the IE "RB information to reconfigure" that only includes the IE "RB identity", the UE shall:

- handle the message as if IE "RB information to reconfigure" was absent.
- NOTE: The RADIO BEARER RECONFIGURATION message always includes the IE "RB information to reconfigure". UTRAN has to include it even if it does not require the reconfiguration of any RB.

If after state transition the UE enters CELL\_DCH state, the UE shall, after the state transition:

- remove any C-RNTI from MAC;
- clear the variable C\_RNTI.

If the UE was in CELL\_DCH state upon reception of the reconfiguration message and remains in CELL\_DCH state, the UE shall:

- if the IE "Uplink DPCH Info" is absent, not change its current UL Physical channel configuration;
- if the IE "Downlink information for each radio link" is absent, not change its current DL Physical channel configuration.

If after state transition the UE enters CELL\_FACH state, the UE shall, after the state transition:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency.
- if the IE "Frequency info" is not included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4].
- if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selects another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";

- when the cell update procedure completed successfully:
  - if the UE is in CELL\_PCH or URA\_PCH state:
    - initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
    - proceed as below.
- start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- select PRACH according to subclause 8.5.17;
- select Secondary CCPCH according to subclause 8.5.19;
- use the transport format set given in system information;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - ignore that IE and stop using DRX.
- if the contents of the variable C\_RNTI is empty:
  - perform a cell update procedure according to subclause 8.3.1 using the cause "Cell reselection";
  - when the cell update procedure completed successfully:
    - if the UE is in CELL\_PCH or URA\_PCH state:
      - initiate a cell update procedure according to subclause 8.3.1 using the cause "Uplink data transmission";
      - proceed as below.

If the UE was in CELL\_FACH state upon reception of the reconfiguration message and remains in CELL\_FACH state, the UE shall:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency;
  - if the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD):
    - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
    - when the cell update procedure completed successfully:
      - proceed as below.

The UE shall transmit a response message as specified in subclause 8.2.2.4, setting the information elements as specified below. The UE shall:

- if the received reconfiguration message included the IE "Downlink counter synchronisation info":
  - re-establish RB2;
  - set the new uplink and downlink HFN of RB2 to MAX(uplink HFN of RB2 | downlink HFN of RB2) + 1;
  - increment by one the downlink and uplink HFN values for RB2;
  - calculate the START value according to subclause 8.5.9;

- include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
- if the received reconfiguration message did not include the IE "Downlink counter synchronisation info":
  - if the variable START\_VALUE\_TO\_TRANSMIT is set:
    - include and set the IE "START" to the value of that variable.
  - if the variable START\_VALUE\_TO\_TRANSMIT is not set and the IE "New U-RNTI" is included:
    - calculate the START value according to subclause 8.5.9;
    - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info".
  - if the received reconfiguration message caused a change in the RLC size for any RB using RLC-AM:
    - calculate the START value according to subclause 8.5.9;
    - include the calculated START values for the CN domain associated with the corresponding RB identity in the IE "START list" in the IE "Uplink counter synchronisation info".
- if the received reconfiguration message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY MODIFICATION for all the CN domains in the variable SECURITY MODIFICATION to "Affected";
- if the received reconfiguration message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the received reconfiguration message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

 include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- if the received reconfiguration message did not contain the IE "Ciphering activation time for DPCH" in IE "Ciphering mode info":
  - if prior to this procedure there exist no transparent mode RLC radio bearers:
    - if, at the conclusion of this procedure, the UE will be in CELL\_DCH state; and
    - if, at the conclusion of this procedure, at least one transparent mode RLC radio bearer exists:
      - include the IE "COUNT-C activation time" and specify a CFN value for this IE.
  - if prior to this procedure there exists at least one transparent mode RLC radio bearer:
    - if, at the conclusion of this procedure, no transparent mode RLC radio bearers exist:
      - include the IE "COUNT-C activation time" and specify a CFN value for this IE.
- set the IE "RRC transaction identifier" to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;
- if the variable PDCP\_SN\_INFO is not empty:
  - include the IE "RB with PDCP information list" and set it to the value of the variable PDCP\_SN\_INFO.
- in TDD, if the procedure is used to perform a handover to a cell where timing advance is enabled, and the UE can calculate the timing advance value in the new cell (i.e. in a synchronous TDD network):

- set the IE "Uplink Timing Advance" according to subclause 8.6.6.26.
- if the IE "Integrity protection mode info" was present in the received reconfiguration message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.

If after state transition the UE enters CELL\_PCH or URA\_PCH state, the UE shall, after the state transition and transmission of the response message:

- if the IE "Frequency info" is included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4] on that frequency.
- if the IE "Frequency info" is not included in the received reconfiguration message:
  - select a suitable UTRA cell according to [4].
- prohibit periodical status transmission in RLC;
- remove any C-RNTI from MAC;
- clear the variable C\_RNTI;
- start timer T305 using its initial value if timer T305 is not running and if periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity" in system information block type 1;
- select Secondary CCPCH according to subclause 8.5.19;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2.
- if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the UE enters CELL\_PCH state from CELL\_DCH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE or the received reconfiguration message did not include the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info") (for FDD) or "Primary CCPCH info") (for TDD):
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - when the cell update procedure completed successfully:
    - the procedure ends.
- if the UE enters CELL\_PCH state from CELL\_FACH state, and the received reconfiguration message included the IE "Primary CPICH info" (for FDD) or "Primary CCPCH info" (for TDD), and the UE selected another cell than indicated by this IE:
  - initiate a cell update procedure according to subclause 8.3.1 using the cause "cell reselection";
  - when the cell update procedure is successfully completed:
    - the procedure ends.
- if the UE enters URA\_PCH state, and after cell selection the criteria for URA update caused by "URA reselection" according to subclause 8.3.1 is fulfilled:
  - initiate a URA update procedure according to subclause 8.3.1 using the cause "URA reselection";
  - when the URA update procedure is successfully completed:
    - the procedure ends.

#### 8.2.2.4 Transmission of a response message by the UE, normal case

In case the procedure was triggered by reception of a RADIO BEARER SETUP message, the UE shall:

- transmit a RADIO BEARER SETUP COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RECONFIGURATION message, the UE shall:

- transmit a RADIO BEARER RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a RADIO BEARER RELEASE message, the UE shall:

- transmit a RADIO BEARER RELEASE COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a TRANSPORT CHANNEL RECONFIGURATION message, the UE shall:

- transmit a TRANSPORT CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

In case the procedure was triggered by reception of a PHYSICAL CHANNEL RECONFIGURATION message, the UE shall:

 transmit a PHYSICAL CHANNEL RECONFIGURATION COMPLETE as response message on the uplink DCCH using AM RLC.

If the new state is CELL\_DCH or CELL\_FACH, the response message shall be transmitted using the new configuration after the state transition, and the UE shall:

- if the IE "Downlink counter synchronization info" was included in the reconfiguration message:
  - when RLC has confirmed the successful transmission of the response message:
    - re-establish all AM and UM RLC entities with RB identities larger than 4 and set the first 20 bits of all their HFN values to the START value included in the response message for the corresponding CN domain;
    - re-establish the RLC entities with RB identities 1, 3 and 4 and set the first 20 bits of all their HFN values to the START value included in the response message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - set the remaining bits of the HFN values of all AM and UM RLC entities with RB identities different from 2 to zero.
- if the variable PDCP\_SN\_INFO is empty:
  - if the received reconfiguration message contained the IE "Ciphering mode info":
    - when RLC has confirmed the successful transmission of the response message:
      - notify upper layers upon change of the security configuration;
      - perform the actions below.
  - if the received reconfiguration message did not contain the IE "Ciphering mode info":
    - when RLC has been requested to transmit the response message:
      - perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the response message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:

- if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
  - configure the RLC entity for that radio bearer to "continue".
- perform the actions below.

If the new state is CELL\_PCH or URA\_PCH, the response message shall be transmitted using the old configuration before the state transition, but the new C-RNTI shall be used if the IE "New C-RNTI" was included in the received reconfiguration message, and the UE shall:

- when RLC has confirmed the successful transmission of the response message:
  - for each radio bearer in the variable PDCP\_SN\_INFO:
    - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
      - configure the RLC entity for that radio bearer to "continue".
  - enter the new state (CELL\_PCH or URA\_PCH, respectively);
  - perform the actions below.

#### The UE shall:

- set the variable ORDERED\_RECONFIGURATION to FALSE;
- if the received reconfiguration message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the received reconfiguration message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable PDCP\_SN\_INFO;
- clear the variable START\_VALUE\_TO\_TRANSMIT; /\*Itentation to be changed to B1 (this was not alligned with R99\*/
- clear the variable SECURITY MODIFICATION.

#### 8.2.2.12b Cell update procedure during security reconfiguration

- If:
- a cell update procedure according to subclause 8.3.1 is initiated; and
- the received reconfiguration message causes either:
  - the IE "Reconfiguration" in the variable CIPHERING\_STATUS to be set to TRUE; and/or
  - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to be set to TRUE:

the UE shall:

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- abort the ongoing integrity and/or ciphering reconfiguration;
- resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
- allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:

set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- if the received reconfiguration message contained the IE "Ciphering mode info":
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
- if the received reconfiguration message contained the IE "Integrity protection mode info":
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- continue with any ongoing processes and procedures as if the reconfiguration message was not received.

The procedure ends.

#### 8.2.2.13 Invalid received message

If the received reconfiguration message contains a protocol error causing the variable PROTOCOL\_ERROR\_REJECT to be set to TRUE according to clause 9, the UE shall perform procedure specific error handling as follows. The UE shall:

- transmit a failure response message as specified in subclause 8.2.2.9, setting the information elements as specified below:
  - include the IE "RRC transaction identifier"; and
  - set it to the value of "RRC transaction identifier" in the entry for the received message in the table "Rejected transactions" in the variable TRANSACTIONS; and
  - clear that entry;
  - set the IE "failure cause" to the cause value "protocol error";
  - include the IE "Protocol error information" with contents set to the value of the variable PROTOCOL\_ERROR\_INFORMATION.

The procedure ends.

## 8.3.1.5 Reception of an CELL UPDATE/URA UPDATE message by the UTRAN

When the UTRAN receives a CELL UPDATE/URA UPDATE message, it may either:

- in case the procedure was triggered by reception of a CELL UPDATE:
  - update the START value for each CN domain as maintained in UTRAN (refer to subclause 8.5.9) with "START" in the IE "START list" for the CN domain as indicated by "CN domain identity" in the IE "START list";
  - if this procedure was triggered while the UE was not in CELL\_DCH state, then for each CN domain as indicated by "CN domain identity" in the IE "START list":
    - set the 20 MSB of the MAC-d HFN with the corresponding START value in the IE "START list";
    - set the remaining LSB of the MAC-d HFN to zero.
  - transmit a CELL UPDATE CONFIRM message on the downlink DCCH or optionally on the CCCH but only if ciphering is not required; and
  - optionally include the IE "RLC re-establish indicator" to request a RLC re-establishment in the UE, in which case the corresponding RLC entities should also be re-established in UTRAN; or
- in case the procedure was triggered by reception of a URA UPDATE:
  - transmit a URA UPDATE CONFIRM message to the lower layers for transmission on the downlink CCCH or DCCH in which case the UTRAN should include the IE "URA identity" in the URA UPDATE CONFIRM message in a cell where multiple URA identifiers are broadcast; or
- initiate an RRC connection release procedure (see subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH. In particular UTRAN should:
  - if the CELL UPDATE message was sent because of an unrecoverable error in RB2, RB3 or RB4:
    - initiate an RRC connection release procedure (subclause 8.1.4) by transmitting an RRC CONNECTION RELEASE message on the downlink CCCH.

# 8.3.1.6 Reception of the CELL UPDATE CONFIRM/URA UPDATE CONFIRM message by the UE

When the UE receives a CELL UPDATE CONFIRM/URA UPDATE CONFIRM message; and

- if the message is received on the CCCH, and IE "U-RNTI" is present and has the same value as the variable U\_RNTI; or
- if the message is received on DCCH:

#### the UE shall:

- stop timer T302;
- in case of a cell update procedure and the CELL UPDATE CONFIRM message:
  - includes "RB information elements"; and/or
  - includes "Transport channel information elements"; and/or
  - includes "Physical channel information elements"; and
  - if the variable ORDERED\_RECONFIGURATION is set to FALSE:
    - set the variable ORDERED\_RECONFIGURATION to TRUE;
- act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following:

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- if the IE "Frequency info" is included in the message:
  - if the IE "RRC State Indicator" is set to the value "CELL\_FACH" or "CELL\_PCH" or URA\_PCH":
    - select a suitable UTRA cell according to [4] on that frequency;
    - act as specified in subclause 8.3.1.12.
  - if the IE "RRC State Indicator" is set to the value "CELL\_DCH":
    - act on the IE "Frequency info" as specified in subclause 8.6.6.1.
- use the transport channel(s) applicable for the physical channel types that is used; and
- if the IE "TFS" is neither included nor previously stored in the UE for that transport channel(s):
  - use the TFS given in system information.
- if none of the TFS stored is compatible with the physical channel:
  - delete the stored TFS;
  - use the TFS given in system information.
- perform the physical layer synchronisation procedure as specified in [29];
- if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB2, RB3 and RB4)":
  - re-establish the RLC entities for signalling radio bearer RB2, signalling radio bearer RB3 and signalling radio bearer RB4 (if established);
  - if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN is set to "Started":
    - set the HFN values for AM RLC entities with RB identity 2,RB identity 3 and RB identity 4 (if established) equal to the START value included in the latest transmitted CELL UPDATE message for the CN domain stored in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
- if the CELL UPDATE CONFIRM message includes the IE "RLC re-establish indicator (RB5 and upwards)":
  - for radio bearers with RB identity 5 and upwards:
    - re-establish the AM RLC entities;
    - if the value of the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
      - set the HFN values for AM RLC entities equal to the START value included in this CELL UPDATE message for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS;
- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- enter a state according to subclause 8.6.3.3 applied on the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message.

If the UE after state transition enters CELL\_DCH state, it shall:

- not prohibit periodical status transmission in RLC;
- for each CN domain for which a transparent mode radio bearer exists and for which the IE "Status" in the variable CIPHERING\_STATUS is set to "Started" for that CN domain:

- choose an activation time for the ciphering on transparent mode radio bearers and include it in the response message in the IE "COUNT-C activation time";
- set the 20 MSB of the MAC-d HFN with the corresponding START value in the most recently sent IE "START list";
- set the remaining LSB of the MAC-d HFN to zero;
- apply ciphering on the transparent mode radio bearers;
- start incrementing the COUNT-C value from the CFN that has been included in the IE "COUNT-C activation time".

If the UE after state transition remains in CELL\_FACH state, it shall

- start the timer T305 using its initial value if timer T305 is not running and periodical cell update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- select PRACH according to subclause 8.5.17;
- select Secondary CCPCH according to subclause 8.5.19;
- not prohibit periodical status transmission in RLC;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - ignore that IE and stop using DRX.

If the UE after state transition enters URA\_PCH or CELL\_PCH state, it shall:

- prohibit periodical status transmission in RLC;
- clear the variable C\_RNTI;
- stop using that C\_RNTI just cleared from the variable C\_RNTI in MAC;
- start the timer T305 using its initial value if timer T305 is not running and periodical update has been configured by T305 in the IE "UE Timers and constants in connected mode" set to any other value than "infinity";
- select Secondary CCPCH according to subclause 8.5.19;
- if the IE "UTRAN DRX cycle length coefficient" is included in the same message:
  - use the value in the IE "UTRAN DRX Cycle length coefficient" for calculating Paging Occasion and PICH Monitoring Occasion as specified in subclause 8.6.3.2 in CELL\_PCH state.
- if the IE "UTRAN DRX cycle length coefficient" is not included in the same message:
  - set the variable INVALID\_CONFIGURATION to TRUE.

If the UE after the state transition remains in CELL\_FACH state; and

- the contents of the variable C\_RNTI are empty:

it shall check the value of V302; and:

- if V302 is equal to or smaller than N302:
  - if, caused by the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
    - the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE; and/or
    - the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE:
      - abort the ongoing integrity and/or ciphering reconfiguration;

- if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- in case of a URA update procedure:
  - stop the URA update procedure; and
  - continue with a cell update procedure.
- set the contents of the CELL UPDATE message according to subclause 8.3.1.3, except for the IE "Cell update cause" which shall be set to "cell reselection";
- submit the CELL UPDATE message for transmission on the uplink CCCH;
- increment counter V302;
- restart timer T302 when the MAC layer indicates success or failure to transmit the message.
- if V302 is greater than N302:
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
  - in case of a cell update procedure:
    - clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - in case of a URA update procedure:
    - clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
  - release all its radio resources;
  - indicate release (abort) of the established signalling connections (as stored in the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS) and established radio access bearers (as stored in the variable ESTABLISHED\_RABS) to upper layers;
  - clear the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS;
  - clear the variable ESTABLISHED\_RABS;
  - enter idle mode;
  - other actions the UE shall perform when entering idle mode from connected mode are specified in subclause 8.5.2;
  - and the procedure ends.

If the UE after the state transition remains in CELL\_FACH state; and

- a C-RNTI is stored in the variable C\_RNTI;
- or

- the UE after the state transition moves to another state than the CELL\_FACH state:

the UE shall:

- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" in any response message transmitted below to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

- in case of a cell update procedure:
  - set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the CELL UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry.
- in case of a URA update procedure:
  - set the IE "RRC transaction identifier" in any response message transmitted below to the value of "RRC transaction identifier" in the entry for the URA UPDATE CONFIRM message in the table "Accepted transactions" in the variable TRANSACTIONS; and
  - clear that entry;
- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in any response message transmitted below and set it to the value of the variable PDCP\_SN\_INFO.
- if the received CELL UPDATE CONFIRM or URA UPDATE CONFIRM message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in any response message transmitted below.
- transmit a response message as specified in subclause 8.3.1.7;
- if the IE "Integrity protection mode info" was present in the CELL UPDATE CONFIRM or URA UPDATE CONFIRM message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted response message.
    - set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable
       INTEGRITY\_PROTECTION\_INFO to a value such that next RRC message to be sent on uplink RB0 will use the new integrity protection configuration;
- if the variable ORDERED\_RECONFIGURATION is set to TRUE caused by the received CELL UPDATE CONFIRM message in case of a cell update procedure:
  - set the variable ORDERED\_RECONFIGURATION to FALSE.
- clear the variable PDCP\_SN\_INFO;
- when the response message transmitted per subclause 8.3.1.7 to the UTRAN has been confirmed by RLC:

<u>/\* Indentation below has been changed\*/</u>

- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the CELL UPDATE CONFIRM / URA UPDATE CONFIRM message contained the IE "Integrity protection mode info":
  - <u>set "Uplink RRC Message sequence number" for signalling radio bearer RB0 in the variable</u>
     <u>INTEGRITY PROTECTION INFO to a value such that next RRC message to be sent on uplink RB0</u>
     will use the new integrity protection configuration;
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
- set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and

/\* Indentation above has been changed\*/

- clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- in case of a cell update procedure:
  - clear the entry for the CELL UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- in case of a URA update procedure:
  - clear the entry for the URA UPDATE CONFIRM message in the table "Rejected transactions" in the variable TRANSACTIONS.
- set the variable CELL\_UPDATE\_STARTED to FALSE:
- clear the variable SECURITY\_MODIFICATION.

The procedure ends.

# 8.3.3.3 Reception of UTRAN MOBILITY INFORMATION message by the UE

When the UE receives a UTRAN MOBILITY INFORMATION message, it shall:

- act on received information elements as specified in subclause 8.6;
- if the IE "UE Timers and constants in connected mode" is present:
  - store the values of the IE "UE Timers and constants in connected mode" in the variable TIMERS\_AND\_CONSTANTS, replacing any previously stored value for each timer and constant; and
  - for each updated timer value:
    - start using the new value next time the timer is started;
  - for each updated constant value:
    - start using the new value directly;
- set the IE "RRC transaction identifier" in the UTRAN MOBILITY INFORMATION CONFIRM message to the value of "RRC transaction identifier" in the entry for the UTRAN MOBILITY INFORMATION message in the table "Accepted transactions" in the variable TRANSACTIONS; and
- clear that entry;

- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
  - set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

 include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in the UTRAN MOBILITY INFORMATION CONFIRM message and set it to the value of the variable PDCP\_SN\_INFO.
- if the received UTRAN MOBILITY INFORMATION message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the UTRAN MOBILITY INFORMATION CONFIRM message.
- transmit a UTRAN MOBILITY INFORMATION CONFIRM message on the uplink DCCH using AM RLC;
- if the IE "Integrity protection mode info" was present in the UTRAN MOBILITY INFORMATION message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted UTRAN MOBILITY INFORMATION CONFIRM message.
- if the variable PDCP\_SN\_INFO is empty; and
  - if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
    - when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
  - if the UTRAN MOBILITY INFORMATION message did not contain the IE "Ciphering mode info":
    - when RLC has been requested to transmit the UTRAN MOBILITY INFORMATION CONFIRM message, perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the UTRAN MOBILITY INFORMATION CONFIRM message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:
      - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - configure the RLC entity for that radio bearer to "continue".
    - clear the variable PDCP\_SN\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and

- clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the UTRAN MOBILITY INFORMATION message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable SECURITY\_MODIFICATION.

The procedure ends.

#### 8.3.4.3 Reception of an ACTIVE SET UPDATE message by the UE

Upon reception of an ACTIVE SET UPDATE message the UE shall act upon all received information elements as specified in 8.6, unless specified otherwise in the following. The UE shall:

- first add the RLs indicated in the IE "Radio Link Addition Information";
- remove the RLs indicated in the IE "Radio Link Removal Information". If the UE active set is full or becomes full, an RL, which is included in the IE "Radio Link Removal Information" for removal, shall be removed before adding RL, which is included in the IE "Radio Link Addition Information" for addition;
- perform the physical layer synchronisation procedure as specified in [29];
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info" or contained the IE "Integrity protection mode info":
- set the IE "Status" in the variable SECURITY\_MODIFICATION for all the CN domains in the variable SECURITY\_MODIFICATION to "Affected";
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":
  - include and set the IE "Radio bearer uplink ciphering activation time info" to the value of the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Integrity protection mode info" with the IE "Integrity protection mode command" set to "Modify":

include and set the IE "Uplink integrity protection activation info" to the value of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.

- if the variable PDCP\_SN\_INFO is non-empty:
  - include the IE "RB with PDCP information list" in the ACTIVE SET UPDATE COMPLETE message; and
  - set it to the value of the variable PDCP\_SN\_INFO.
- if the IE "TFCI combining indicator" associated with a radio link to be added is set to TRUE:
  - if a DSCH transport channel is assigned and there is a 'hard' split in the TFCI field:
    - configure Layer 1 to soft-combine TFCI (field 2) of this new link with those links already in the TFCI (field 2) combining set.
- if the received ACTIVE SET UPDATE message included the IE "Downlink counter synchronisation info":
  - calculate the START value according to subclause 8.5.9;
  - include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the ACTIVE SET UPDATE COMPLETE message.
- set the IE "RRC transaction identifier" in the ACTIVE SET UPDATE COMPLETE message to the value of "RRC transaction identifier" in the entry for the ACTIVE SET UPDATE message in the table "Accepted transactions" in the variable TRANSACTIONS; and

- clear that entry;

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- transmit an ACTIVE SET UPDATE COMPLETE message on the uplink DCCH using AM RLC without waiting for the Physical Layer synchronization;
- if the IE "Integrity protection mode info" was present in the ACTIVE SET UPDATE message:
  - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted ACTIVE SET UPDATE COMPLETE message.
- if the variable PDCP\_SN\_INFO is empty:
  - if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":
    - when RLC has confirmed the successful transmission of the ACTIVE SET UPDATE COMPLETE message:
      - perform the actions below.
  - if the ACTIVE SET UPDATE message did not contain the IE "Ciphering mode info":
    - when RLC has been requested to transmit the ACTIVE SET UPDATE COMPLETE message:
      - perform the actions below.
- if the variable PDCP\_SN\_INFO is non-empty:
  - when RLC has confirmed the successful transmission of the ACTIVE SET UPDATE COMPLETE message:
    - for each radio bearer in the variable PDCP\_SN\_INFO:
      - if the IE "RB started" in the variable ESTABLISHED\_RABS is set to "started":
        - configure the RLC entity for that radio bearer to "continue".
    - clear the variable PDCP\_SN\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Ciphering mode info":
  - resume data transmission on any suspended radio bearer and signalling radio bearer mapped on RLC-AM or RLC-UM;
  - set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to FALSE; and
  - clear the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO.
- if the ACTIVE SET UPDATE message contained the IE "Integrity protection mode info":
  - allow the transmission of RRC messages on all signalling radio bearers with any RRC SN;
  - set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to FALSE; and
  - clear the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- clear the variable SECURITY MODIFICATION;
- the procedure ends on the UE side.

# 8.3.6.3 Reception of HANDOVER TO UTRAN COMMAND message by the UE

The UE shall be able to receive a HANDOVER TO UTRAN COMMAND message and perform an inter-RAT handover, even if no prior UE measurements have been performed on the target UTRAN cell and/or frequency.

The UE shall act upon all received information elements as specified in subclause 8.6, unless specified otherwise in the following. The UE shall:

- store a U-RNTI value (32 bits), which is derived by the IEs "SRNC identity" (12 bits) and "S-RNTI 2" (10 bits) included in IE "U-RNTI-short". In order to produce a full size U-RNTI value, a full size "S-RNTI" (20 bits) shall be derived by padding the IE "S-RNTI 2" with 10 zero bits in the most significant positions; and
- initialise the variable ESTABLISHED\_SIGNALLING\_CONNECTIONS with the signalling connections that remains after the handover according to the specifications of the source RAT;
- initialise the variable UE\_CAPABILITIES\_TRANSFERRED with the UE capabilities that have been transferred to the network up to the point prior to the handover, if any;
- initialise the variable TIMERS\_AND\_CONSTANTS to the default values and start to use those timer and constants values;
- if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Predefined configuration":
  - initiate the radio bearer and transport channel configuration in accordance with the predefined parameters identified by the IE "Predefined configuration identity";
  - initiate the physical channels in accordance with the predefined parameters identified by the IE "Predefined radio configuration identity" and the received physical channel information elements;
  - store information about the established radio access bearers and radio bearers according to the IE "Predefined configuration identity"; and
  - set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".
- if IE "Specification mode" is set to "Preconfiguration" and IE "Preconfiguration mode" is set to "Default configuration":
  - initiate the radio bearer and transport channel configuration in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity";
  - initiate the physical channels in accordance with the default parameters identified by the IE "Default configuration mode" and IE "Default configuration identity" and the received physical channel information elements;
- NOTE IE "Default configuration mode" specifies whether the FDD or TDD version of the default configuration shall be used
  - set the IE "RAB Info Post" in the variable ESTABLISHED\_RABS and the IE "Re-establishment timer" in the IE "RAB Info" in the variable ESTABLISHED\_RABS to "useT314".
- if IE "Specification mode" is set to "Preconfiguration":
  - use the following values for parameters that are neither signalled within the HANDOVER TO UTRAN COMMAND message nor included within pre-defined or default configuration:
    - 0 dB for the power offset P Pilot-DPDCH bearer in FDD;
    - calculate the Default DPCH Offset Value using the following formula:
      - in FDD:

Default DPCH Offset Value = (SRNTI 2 mod 600) \* 512

- in TDD:

Default DPCH Offset Value = (SRNTI 2 mod 7)

- handle the above Default DPCH Offset Value as if an IE with that value was included in the message, as specified in subclause 8.6.6.21.
- if IE "Specification mode" is set to "Complete specification":

- initiate the radio bearer, transport channel and physical channel configuration in accordance with the received radio bearer, transport channel and physical channel information elements.
- perform an open loop estimation to determine the UL transmission power according to subclause 8.5.3;
- calculate START according to subclause 8.5.9 for all CN domains
- set the IE "START" for each CN domain, in the IE "START list" in the HANDOVER TO UTRAN COMPLETE message with the calculated START value ;
- if ciphering has been activated and ongoing in the radio access technology from which inter- RAT handover is performed:
  - for the CN domain as in the IE "CN domain identity" which is included in the IE "RAB info" of the IE "RAB information to setup":
    - set the HFN component of the COUNT-C variable for all UL and DL radio bearers that use RLC AM and RLC UM and all UL and DL signalling radio bearers that use RLC AM and RLC UM to the START value for that CN domain as stored in the USIM if present for that CN domain, or as stored in the UE if the SIM is present; and
    - if a "START" value was transferred prior to the handover according to the IE "UE security information" in the variable " INTER RAT HANDOVER INFO TRANSFERRED":
      - set the 20 MSB of the HFN component of the COUNT-C variable for all TM radio bearers to the "START" value included in the IE "UE security information" in the variable "INTER\_RAT\_HANDOVER\_INFO\_TRANSFERRED";
    - else:
      - set the 20 MSB of the HFN component of the COUNT-C variable for all TM radio bearers to zero;
    - set the remaining LSBs of the HFN component of COUNT-C to zero;
    - not increment the HFN component of COUNT-C for TM radio bearers, i.e. keep the HFN value fixed without incrementing every CFN cycle;
    - set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
    - set the HFN component of the COUNT C variable for all UL and DL radio bearers and all UL and DL signalling radio bearers that use the transparent mode of RLC to zero, while not incrementing the value of the HFN component of the COUNT C variable at each CFN cycle; and
    - set the CFN component of the COUNT-C variable to the value of the CFN as calculated in subclause 8.5.15;
    - set the IE "Status" in the variable CIPHERING\_STATUS to "Started";
    - apply the same ciphering status (ciphered/unciphered) as prior to inter-RAT handover;
    - if the change of algorithm is requested by means of the IE "Ciphering algorithm":
      - apply this algorithm and apply ciphering immediately upon reception of the HANDOVER TO UTRAN COMMAND.

If the UE succeeds in establishing the connection to UTRAN, it shall:

- if the IE "Status" in the variable CIPHERING\_STATUS of a CN domain is set to "Started" and transparent mode radio bearers have been established by this procedure for that CN domain:
  - include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;
  - at the CFN value as indicated in the response message in the IE "COUNT-C activation time":

- set the HFN component of the COUNT-C variable to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
- set the remaining LSBs of the HFN component of COUNT-C to zero;
- increment the HFN component of the COUNT-C variable by one;
- set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
- step the COUNT-C variable, as normal, at each CFN value. The HFN component is no longer fixed in value but incremented at each CFN cycle.
- transmit a HANDOVER TO UTRAN COMPLETE message on the uplink DCCH, using the new ciphering configuration, only if ciphering has been started;
- when the HANDOVER TO UTRAN COMPLETE message has been submitted to lower layers for transmission:
  - initialise variables upon entering UTRA RRC connected mode as specified in subclause 13.4.
- and the procedure ends.

#### 8.3.7.4 Successful completion of the inter-RAT handover

Upon successfully completing the handover, UTRAN should:

- release the radio connection; and
- remove all context information for the concerned UE.

Upon successfully completing the handover, the UE shall:

- if the USIM is present:
  - store the current START value for every CN domain in the USIM [50];
  - if the "START" stored in the USIM [50] for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:
    - delete the ciphering and integrity keys that are stored in the USIM for that CN domain;
    - inform the deletion of these keys to upper layers.
- if the SIM is present:
  - store the current START value for every CN domain in the UE;
  - if the "START" stored in the UE for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START THRESHOLD:
    - delete the ciphering and integrity keys that are stored in the SIM for that CN domain;
    - inform the deletion of these keys to upper layers.
- clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4.

NOTE: The release of the UMTS radio resources is initiated from the target RAT.

## 8.5.2 Actions when entering idle mode from connected mode

When entering idle mode from connected mode, the UE shall:

- clear or set variables upon leaving UTRA RRC connected mode as specified in subclause 13.4;
- attempt to select a suitable cell to camp on.

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When leaving connected mode according to [4], the UE shall:

- perform cell selection.

While camping on a cell, the UE shall:

- acquire system information according to the system information procedure in subclause 8.1;
- perform measurements according to the measurement control procedure specified in subclause 8.4; and
- if the UE is registered:
  - be prepared to receive paging messages according to the paging procedure in subclause 8.2.

If IE "PLMN identity" within variable SELECTED\_PLMN has the value "GSM-MAP", the UE shall:

- delete any NAS system information received in connected mode;
- acquire the NAS system information in system information block type 1; and
- proceed according to subclause 8.6.1.2.

When entering idle mode, the UE shall:

- if the USIM is present, for each CN domain:
  - if a new security key set was received for this CN domain but was not used either for integrity protection or ciphering during this RRC connection:
    - set the START value for this domain to zero and;
    - store this START value for this domain in the USIM;
  - else:
  - if the current "START" value, according to chapter 8.5.9 for a CN domain, is greater than or equal to the value "THRESHOLD" of the variable START THRESHOLD:
    - delete the ciphering and integrity keys that are stored in the USIM for that CN domain;
    - inform the deletion of these keys to upper layers.
  - <u>- else</u>
    - store the current "START" value for this CN domain on the USIM.
- else, if the SIM is present:
  - <u>- if the current "START" value, according to subclause 8.5.9 for a CN domain, is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:</u>
    - delete the Kc key that is stored in the SIM;
    - set the "START" values for both CN domains to zero and store them in the UE;
    - inform the deletion of these keys to upper layers.
  - <u>- else</u>
    - store the current "START" value for every CN domain in the UE
- if the USIM is present:
  - store the current START value for every CN domain in the USIM [50];
  - if the "START" stored in the USIM [50] for a CN domain is greater than or equal to the value "THRESHOLD" of the variable START\_THRESHOLD:

set the value of START value to THRESHOLD;

inform the deletion of these keys to upper layers.

## 8.5.8 Maintenance of Hyper Frame Numbers

The MSBs of both the ciphering sequence numbers (COUNT-C) and integrity sequence numbers (COUNT-I), for the ciphering and integrity protection algorithms, respectively [40], are called the Hyper Frame Numbers (HFN).

For integrity protection, the UE shall:

- maintain COUNT-I as specified in subclause 8.5.10.

The following hyper frame numbers types are defined:

MAC-d HFN: 24 MSB of COUNT-C for data sent over RLC TM RLC UM HFN: 25 MSB of COUNT-C for data sent over RLC UM

RLC AM HFN: 20 MSB of COUNT-C for data sent over RLC AM

RRC HFN: 28 MSB of COUNT-I

For non-transparent mode RLC signalling radio bearers and radio bearers, the UE shall:

- maintain one uplink and one downlink COUNT-C per signalling radio bearer and per radio bearer and one uplink and one downlink COUNT-I per signalling radio bearer.

For all transparent mode RLC signalling radio bearers and radio bearers of each CN domain, the UE shall:

- maintain one COUNT-C, common for all signalling radio bearers and radio bearers in uplink and downlink;
- maintain one uplink and one downlink COUNT-I per signalling radio bearer.
- NOTE: In this release of the specification there is only an uplink transparent mode COUNT-I, which is used for signalling radio bearer RB0.

COUNT-C and COUNT-I are defined in [40], with the following supplement for COUNT-C: for transparent mode RLC radio bearers with a transmission time interval of x radio frames (x = 2, 4, 8), the MAC PDU is carried by L1 in x consecutive radio frames due to radio frame segmentation. In this case, the CFN of the first radio frame in the TTI shall be used as the CFN component of COUNT-C for ciphering of all data in the TTI [15]. the CFN of the first segment of the MAC PDU is used as the CFN component of COUNT-C.

## 8.5.9 START value calculation

In connected mode, the START value for CN domain 'X' is calculated as

Let  $START_X$  = the START value for CN domain 'X' prior to the calculation below:

 $START_{X}' = MSB_{20}$  (MAX {COUNT-C, COUNT-I | radio bearers and signalling radio bearers using the most recently configured  $CK_{X}$  and  $IK_{X}$ } + <u>2</u>.

- if  $START_X$ '= the maximum value = 1048575 then  $START_X$  =  $START_X$ ';
- if the current  $START_X < START_X'$  then  $START_X = START_X'$ , otherwise  $START_X$  is unchanged.
- NOTE: Here, "most recently configured" means that if there is more than one key in use for a CN domain, due to non-expiry of the ciphering and/or integrity protection activation time for any signalling radio bearers and/or radio bearers, do not include the COUNT-I/COUNT-C for these signalling radio bearers and/or radio bearers in the calculation of the START x'.

<u>COUNT-C</u> corresponding to non-ciphered radio bearers shall not be included in the calculation of the  $START_{x'}$ . If a radio bearer is released and the radio bearer was ciphered, the values of the COUNT-C at the time the radio bearer is released shall be taken into account in the calculation of the  $START_{x'}$ .

## 8.5.10 Integrity protection

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" then the UE shall:

- perform integrity protection (and integrity checking) on all RRC messages, with the following exceptions:

HANDOVER TO UTRAN COMPLETE

PAGING TYPE 1

PUSCH CAPACITY REQUEST

PHYSICAL SHARED CHANNEL ALLOCATION

RRC CONNECTION REQUEST

RRC CONNECTION SETUP

RRC CONNECTION SETUP COMPLETE

RRC CONNECTION REJECT

RRC CONNECTION RELEASE (CCCH only)

SYSTEM INFORMATION

SYSTEM INFORMATION CHANGE INDICATION

TRANSPORT FORMAT COMBINATION CONTROL (TM DCCH only)

If the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started" then integrity protection (and integrity checking) shall not be performed on any RRC message.

For each signalling radio bearer, the UE shall use two RRC hyper frame numbers:

- "Uplink RRC HFN";
- "Downlink RRC HFN".

and two message sequence numbers:

- "Uplink RRC Message sequence number";
- "Downlink RRC Message sequence number".

The above information is stored in the variable INTEGRITY\_PROTECTION\_INFO per signalling radio bearer (RB0-RB4).

Upon the first activation of integrity protection for an RRC connection, UE and UTRAN initialise the "Uplink RRC Message sequence number" and "Downlink RRC Message sequence number" for all signalling radio bearers as specified in subclauses 8.6.3.5 and 8.5.10.1.

The RRC message sequence number (RRC SN) is incremented for every integrity protected RRC message.

#### 8.5.10.1 Integrity protection in downlink

If the UE receives an RRC message on signalling radio bearer with RB identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is present the UE shall:

- check the value of the IE "RRC message sequence number" included in the IE "Integrity check info";

- if the "Downlink RRC Message sequence number" is not present in the variable INTEGRITY\_PROTECTION\_INFO:
  - initialise the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received message.
- if the "Downlink RRC Message sequence number" is present in the variable INTEGRITY\_PROTECTION\_INFO:
  - if the RRC message sequence number is lower than the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:
    - increment "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with one.
  - if the RRC message sequence number is equal to the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO:
    - discard the message.
- calculate an expected message authentication code in accordance with subclause 8.5.10.3;
- compare the expected message authentication code with the value of the received IE "message authentication code" contained in the IE "Integrity check info";
  - if the expected message authentication code and the received message authentication code are the same, the integrity check is successful:
    - update the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with the value of the IE "RRC message sequence number" included in the IE "Integrity check info" of the received RRC message.
  - if the calculated expected message authentication code and the received message authentication code differ:
    - if the IE "RRC message sequence number" included in the IE "Integrity check info" is lower than the "Downlink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO (in this case the "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO was incremented by one, as stated above):
      - decrement "Downlink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO by one.
    - discard the message.

If the UE receives an RRC message on signalling radio bearer with identity n, the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and the IE 'Integrity check info' is not present the UE shall:

- discard the message.

#### 8.5.10.2 Integrity protection in uplink

Prior to sending an RRC message using the signalling radio bearer with radio bearer identity n, and the "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" the UE shall:

- increment "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with 1. When "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO becomes 0, the UE shall increment "Uplink RRC HFN" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO with 1;
- calculate the message authentication code in accordance with subclause 8.5.10.3;
- replace the "Message authentication code" in the IE "Integrity check info" in the message with the calculated message authentication code;

- replace the "RRC Message sequence number" in the IE "Integrity check info" in the message with contents set to the new value of the "Uplink RRC Message sequence number" for signalling radio bearer RBn in the variable INTEGRITY\_PROTECTION\_INFO.

In the response message for the procedure ordering the security reconfiguration, the UE indicates the activation time, for each signalling radio bearer except for the signalling radio bearer that was used for this security reconfiguration procedure. When the new integrity configuration is to be applied in uplink, UTRAN should start to apply the new integrity protection configuration according to the activation time for each signalling radio bearer (except for the signalling radio bearer which is used to send the message that is reconfiguring the security configuration) where the new configuration is to be applied starting from and including reception of the response message).

#### 8.5.10.3 Calculation of message authentication code

The UE shall calculate the message authentication code in accordance with [40]. The input parameter MESSAGE [40] for the integrity algorithm shall be constructed by:

- setting the "Message authentication code" in the IE "Integrity check info" in the message the value of the IE "RB identity" to the radio bearer identity for the signalling radio bearer;
- setting the "RRC Message sequence number" in the IE "Integrity check info" in the message to zero;
- encoding the message;
- appending RRC padding (if any) as a bit string to the encoded bit string as the least significant bits.

For usage on an RRC message transmitted or received on the radio bearer with identity n, the UE shall:

- construct the input parameter COUNT-I [40] by appending the following IEs from the IE "Signalling radio bearer specific integrity protection information" for radio bearer n in the variable INTEGRITY\_PROTECTION\_INFO:
  - for uplink:
    - "Uplink RRC HFN", as the MSB, and "Uplink RRC Message sequence number", as LSB.
  - for downlink:
    - "Downlink RRC HFN", as the MSB, and the IE "RRC message sequence number" included in the IE "Integrity check info", as LSB.

#### 8.6.3.4 Ciphering mode info

The IE "Ciphering mode info" defines the new ciphering configuration. At any given time, the UE needs to store at most two different ciphering configurations (keyset and algorithm) per CN domain at any given time in total for all signalling radio bearers and radio bearers, the old and latest ciphering configurations and three configurations in total for all signalling radio bearers, per CN domain.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to TRUE, the UE shall:

- ignore this second attempt to change the ciphering configuration; and
- set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.

If the IE "Ciphering mode info" is present and if the IE "Reconfiguration" in the variable CIPHERING\_STATUS is set to FALSE, the UE shall:

- if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the variable
   LATEST\_CONFIGURED\_CN\_DOMAIN has the value "Not Started", and if the IE "Ciphering mode command" has the value "stop"; or
- if the IE "Status" in the variable CIPHERING STATUS has the value "Not started", and this IE was included in a message that is not the message SECURITY MODE COMMAND; or

- if there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established RLC-AM and RLC-UM radio bearers included in the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or
  - if there does not exist exactly one ciphering activation time in the IE "Ciphering activation time for DPCH" for each established RLC TM radio bearers included in the IE "RB information" in the IE "ESTABLISHED\_RABS" for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN; or
- if the IE "Ciphering activation time for DPCH" is not included in message ACTIVE SET UPDATE or SECURITY MODE COMMAND, and there exist radio bearers using RLC-TM according to the IE "RB information" in the IE "ESTABLISHED RABS" for the CN domain as indicated in the variable LATEST CONFIGURED CN DOMAIN; or
- if there does not exist exactly one ciphering activation time in the IE "Radio bearer downlink ciphering activation time info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS":
  - ignore this attempt to change the ciphering configuration;
  - set the variable INVALID\_CONFIGURATION to TRUE;
  - perform the actions as specified in subclause 8.1.12.4c.
- set the IE "Reconfiguration" in the variable CIPHERING\_STATUS to TRUE;
- set the IE "Status" in the variable CIPHERING\_STATUS of <u>thethis</u> CN <u>domains for which the IE "Status" of the</u> variable <u>SECURITY\_MODIFICATION</u> is set to "Affected" <u>domain</u> to "Started";
- start or restartapply the new ciphering configuration in the lower layers for all RBs and SRBs that belong to a CN domain for which the IE "Status" of the variable SECURITY MODIFICATION is set to "Affected" and all signalling radio bearers:
  - using the ciphering algorithm (UEA [40]) indicated by the IE "Ciphering algorithm" as part of the new ciphering configuration;
  - for each radio bearer and signalling radio bearer that belongs to a CN domain for which the IE "Status" of the variable SECURITY\_MODIFICATION is set to "Affected" and all signalling radio bearers:
    - use the value of the IE "RB identity" in the variable ESTABLISHED\_RABS minus one as the value of BEARER [40] in the ciphering algorithm.
    - start incrementing the COUNT C values for all RLC AM and RLC UM signalling radio bearers and continue incrementing the COUNT C values for all RLC AM and RLC UM radio bearers;
    - if at least one transparent mode radio bearer exists for this CN domain and ciphering was started for this CN domain;
      - continue incrementing the COUNT C value for this CN domain.
    - -else:
      - start incrementing the COUNT C values for that CN domain at the ciphering activation time as specified in the procedure.
- NOTE: If the ciphering activation time for transparent mode radio bearers was specified in the downlink then the IE "Ciphering activation time for DPCH" is included (e.g. for the SECURITY MODE COMMAND), otherwise, this ciphering activation time is specified in the IE "COUNT C activation time" in the uplink response message.
  - if the IE "Ciphering mode command" has the value "stop":
    - -when the new ciphering configuration is applied at the time as specified below:

stop ciphering for all radio bearers for this CN domain and all signalling radio bearers;

- stop incrementing COUNT C values for all UL and DL signalling radio bearers and also for UL and DL radio bearers using RLC TM;
- set the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN to "Not started".
- in case the IE "Ciphering mode command" has the value "start/restart" or "stop", the new ciphering configuration shall be applied as follows:
  - store the (oldest currently used) ciphering configuration until activation times have elapsed for the new ciphering configuration to be applied on all signalling radio bearers and radio bearers;
- consider an activation time in downlink to be pending:
  - for UM-RLC until an UMD PDU with sequence number equal to or larger than activation time –1 has been received;
  - for AM-RLC until all AMD PDUs with sequence numbers up to and including activation time –1 have been received;
  - for TM-RLC until the CFN indicated in the activation time has been reached;
- if there are pending activation times <u>in downlimk</u> set for ciphering by a previous procedure changing the ciphering configuration:
  - apply the ciphering configuration <u>included in the present message</u> at this pending activation time.
- if the IE "Ciphering activation time for DPCH" is present in the IE "Ciphering mode info" and the UE was in CELL\_DCH state prior to this procedure:
  - for radio bearers using RLC-TM:
    - apply the old ciphering configuration for CFN less than the number indicated in the IE "Ciphering activation time for DPCH";
    - apply the new ciphering configuration for CFN greater than or equal to the number indicated in IE "Ciphering activation time for DPCH".
- if the UE was in CELL\_FACH state prior to this procedure and at completion of this procedure a transparent mode radio bearer exists and the IE "Ciphering activation time for DPCH" is not present in the IE "Ciphering mode info":
  - for radio bearers using RLC-TM:
    - apply the old ciphering configuration for CFN less than the number as indicated in the transmitted uplink response message for the ciphering activation time for this radio bearer;
    - apply the new ciphering configuration for CFN greater than or equal to the number as indicated in the transmitted uplink response message for the ciphering activation time for this radio bearer.
- NOTE: This is indicated by the IE "COUNT-C activation time" in the transmitted uplink response message.
  - if the IE "Radio bearer downlink ciphering activation time info" is present:
    - apply the following procedure for each radio bearer and signalling radio bearers using RLC-AM or RLC-UM indicated by the IE "RB identity":
      - suspend uplink transmission on the radio bearer or the signalling radio bearer (except for that SRBm that the message was used);
      - suspend uplink transmission on the radio bearer or the signalling radio bearer (except for the SRB where the response message is transmitted) according to the following:

- do not transmit RLC PDUs with sequence number greater than or equal to the uplink activation time, where the uplink activation time is seclected according to the rules below;
- select an "RLC send sequence number" at which (activation) time the new ciphering configuration shall be applied in uplink for that radio bearer according to the following:
  - for each radio bearer and signalling radio bearer that has no pending ciphering activation time in the uplink as set by a previous procedure changing the security configuration:
    - set a suitable value that would ensure a minimised delay in the change to the latest security configuration.
  - for each radio bearer and signalling radio bearer that has a pending ciphering activation time <u>as in</u> <u>the uplink as</u> set by a previous procedure changing the security configuration:
    - set the same value as the pending ciphering activation time.
  - consider this activation time in uplink to be elapsed when the selected activation time (as above) is equal to the "RLC send sequence number";
- store the selected "RLC send sequence number" for that radio bearer in the entry for the radio bearer in the variable RB\_UPLINK\_CIPHERING\_ACTIVATION\_TIME\_INFO;

when the data transmission of that radio bearer or signalling radio bearer is resumed:

/\* Indentation has been changed in the following bullets\*/

- switch to the new ciphering configuration according to the following:
  - use the old ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers smaller than the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
  - use the new ciphering configuration for the transmitted and received RLC PDUs with RLC sequence numbers greater than or equal to the corresponding RLC sequence numbers indicated in the IE "Radio bearer uplink ciphering activation time info" sent to UTRAN and in the received IE "Radio bearer downlink ciphering activation time info" received from UTRAN, respectively;
  - for a radio bearer using RLC-AM, when the RLC sequence number indicated in the IE "Radio bearer downlink ciphering activation time info" falls below the RLC receiving window and the RLC sequence number indicated in the IE "Radio bearer uplink ciphering activation time info" falls below the RLC transmission window, the UE may release the old ciphering configuration for that radio bearer;
  - if an RLC reset or re-establishment occurs before the activation time for the new ciphering configuration has been reached, ignore the activation time and apply the new ciphering configuration immediately after the RLC reset or RLC re-establishment.

/\* Indentation has been changed in the above bullets\*/

If the IE "Ciphering mode info" is not present, the UE shall:

- not change the ciphering configuration.

### 8.6.3.5 Integrity protection mode info

The IE "Integrity protection mode info" defines the new integrity protection configuration. At any given time, the UE needs to store at most <u>threetwo</u> different integrity protection configurations (keysets) in total for all signalling radio bearers for all CN domains., the old and newest integrity protection configurations, per CN domain.

If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to TRUE, the UE shall:

- ignore this second attempt to change the integrity protection configuration; and

- set the variable INCOMPATIBLE\_SECURITY\_RECONFIGURATION to TRUE.
- If the IE "Integrity protection mode info" is present and if the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO is set to FALSE, the UE shall:
- set the IE "Reconfiguration" in the variable INTEGRITY\_PROTECTION\_INFO to TRUE;
- if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and this IE was included in the message SECURITY MODE COMMAND:
  - initialise the information for all signalling radio bearers in the variable INTEGRITY\_PROTECTION\_INFO according to the following:
    - set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero;
    - do not include set the IE "Downlink RRC Message sequence number" which is included in the variable INTEGRITY\_PROTECTION\_INFO-:
    - set the variable INTEGRITY PROTECTION ACTIVATION INFO to zero for each signalling radio berer in the IE "ESTABLISHED\_RABS";
  - set the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO to the value "Started";
  - perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
    - using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
    - using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
    - <u>start applying the new integrity protection configuration in the downlink for each signalling radio bearer</u> in the IE "ESTABLISHED\_RABS" except RB2 at the next received RRC message;
    - start applying the new integrity protection configuration in the downlink for signalling radio bearer RB2 from and including the received SECURITY MODE COMMAND message;
    - start applying the new integrity protection configuration in the uplink for signalling radio bearer RB2 from and including the transmitted SECURITY MODE COMPLETE message;
    - start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RB2 at the uplink activation time included in the IE "Uplink integrity protection activation info".
- if IE "Integrity protection mode command" has the value "start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was not included SECURITY MODE COMMAND:
- NOTE: This case is used in SRNS relocation
  - perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1 by:
    - using the algorithm (UIA [40]) indicated by the IE "Integrity protection algorithm" contained in the IE "Integrity protection mode info";
    - using the IE "Integrity protection initialisation number", contained in the IE "Integrity protection mode info" as the value of FRESH [40].
    - let RBm be the signalling radio bearer where the reconfiguration message was received and let RBn be the signalling radio bearer where the response message is transmitted;
  - prohibit transmission of RRC messages on all signalling radio bearers- in the IE "ESTABLISHED\_RABS"
     except the radio bearer where the response message is transmitted;

- start applying the new integrity protection configuration in the downlink for each signalling radio bearer in the IE "ESTABLISHED RABS" except RBm at the next received RRC message;
- start applying the new integrity protection configuration in the downlink for signalling radio bearer RBm from and including the received configuration message;
- start applying the new integrity protection configuration in the uplink for signalling radio bearer RBn from and including the transmitted response message;
- start applying the new integrity protection configuration in the uplink for signalling radio bearers other than RBn at the uplink activation time included in the IE "Uplink integrity protection activation info".
- if IE "Integrity protection mode command" has the value "modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started" and this IE was included in SECURITY MODE COMMAND:
  - store the (oldest currently used) integrity protection configuration until activation times have elapsed for the new integrity protection configuration to be applied on all signalling radio bearers;
  - if there are pending activation times set for integrity protection by a previous procedure changing the integrity protection configuration:
    - apply the integrity protection configuration at this pending activation time as indicated in this procedure.
  - start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each signalling radio bearer n, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info", included in the IE "Integrity protection mode info";
  - perform integrity protection on the received message, applying the new integrity protection configuration, as described in subclause 8.5.10.1;
    - if present, use the algorithm indicated by the IE "Integrity protection algorithm" (UIA [40]);
  - let RBm be the signalling radio bearer on which the message containing the IE "integrity protection mode info" was received;
  - set the content of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO according to the following:
    - for each established signalling radio bearer, stored in the variable ESTABLISHED\_RABS:
      - select a value of the RRC sequence number at which (activation) time the new integrity protection configuration shall be applied in uplink for that signalling radio bearer according to the following:
        - for each signalling radio bearer that has no pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
          - set a suitable value that would ensure a minimised delay in the change to the latest integrity protection configuration.
        - for signalling radio bearer that has a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration:
          - set the same value as the pending activation time for integrity protection;
        - consider this (pending) activation time to be elapsed when the selected activation time (as above) is equal to the next RRC sequence number to be used, which means that the last RRC message using the old integrity protection configuration has been submitted to lower layers.
      - for signalling radio bearer RB0:
        - set the value of the included RRC sequence number to greater than or equal to the current value of the RRC sequence number for signalling radio bearer RB0 in the variable INTEGRITY\_PROTECTION\_INFO, plus the value of the constant N302 plus one.

- prohibit the transmission of RRC messages on all signalling radio bearers, except for RB2m, with RRC SN greater than or equal to the value in the "RRC message sequence number list" for the signalling radio bearer in the IE "Uplink integrity protection activation info" of the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO.
- start applying the new integrity protection configuration in the uplink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2m, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Uplink integrity protection activation info", included in the variable INTEGRITY\_PROTECTION\_ACTIVATION\_INFO;
- start applying the new integrity protection configuration in the uplink at the RRC sequence number for signalling radio bearer RB2m, as specified for the procedure initiating the integrity protection reconfiguration;
- start applying the new integrity protection configuration in the downlink at the RRC sequence number, for each RBn, except for signalling radio bearer RB2<sup>m</sup>, indicated by the entry for signalling radio bearer n in the "RRC message sequence number list" in the IE "Downlink integrity protection activation info";
- NOTE: For signalling radio bearers that have a pending activation time as set for integrity protection by a previous procedure changing the integrity protection configuration, UTRAN should set this value in IE "Downlink integrity protection activation info".
  - start applying the new integrity protection configuration in the downlink at the RRC sequence number for signalling radio bearer RB2m, as specified for the procedure initiating the integrity protection reconfiguration.

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and the IE "Integrity protection mode command info" was not included in the message SECURITY MODE COMMAND; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not started", and the IE "Integrity protection mode info" was included in the message SECURITY MODE COMMAND, and the IE "Integrity protection algorithm" is not included; or

If the IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Not Started"; or

If IE "Integrity protection mode command" has the value "Start" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started", and the IE "Integrity protection mode command info" was included in the message SECURITY MODE COMMAND; or

If there does not exist exactly one integrity protection activation time in the IE "Downlink integrity protection activation info" for each established signalling radio bearer included in the IE "Signalling radio bearer information" in the IE "ESTABLISHED\_RABS"; or

If IE "Integrity protection mode command" has the value "Modify" and the IE "Status" in the variable INTEGRITY\_PROTECTION\_INFO has the value "Started", and the IE "Integrity protection mode info" was not included in the message SECURITY MODE COMMAND:

the UE shall:

- ignore this attempt to change the integrity protection configuration; and
- set the variable INVALID\_CONFIGURATION to TRUE.

If the IE "Integrity protection mode info" is not present, the UE shall:

- not change the integrity protection configuration.

#### 8.6.4.1 Signalling RB information to setup list

If the IE "Signalling RB information to setup list" is included the UE shall:

- use the same START value to initialise the COUNT-C and COUNT-I variables for all the signalling radio bearers in the list;
- if the IE "Signalling RB information to setup list" was included in the RADIO BEARER SETUP message:
  - if the variable "LATEST CONFIGURED CN DOMAIN" has been initialised:
    - calculate the START value only once during this procedure according to subclause 8.5.9 for the CN domain indicated in the variable "LATEST\_CONFIGURED\_CN\_DOMAIN";
    - store the calculated START value in the variable START VALUE TO TRANSMIT;
- for each occurrence of the IE "Signalling RB information to setup":
  - use the value of the IE "RB identity" as the identity of the signalling radio bearer to setup;
  - if the signalling radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED RABS:
    - create a new entry for the signalling radio bearer in the variable ESTABLISHED\_RABS;
  - if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "STATUS" of the variable "CIPHERING\_STATUS" of the CN domain stored in this variable is "Started":
    - if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
      - initialise the 20 MSB of the hyper frame number component of COUNT-C for this signalling radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT for the CN domain as indicated in the variable "LATEST\_CONFIGURED\_CN\_DOMAIN";
      - set the remaining LSB of the hyper frame number component of COUNT-C for this signalling radio bearer to zero.
      - start to perform ciphering on this signaling radio bearer, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
  - if the variable LATEST\_CONFIGURED\_CN\_DOMAIN has been initialised and the value "Status" of the variable "INTEGRITY\_PROTECTION\_INFO" of the CN domain stored in this variable is "Started":
    - initialise the 20 MSB of the hyper frame number component of COUNT-I for this signalling radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT; for the CN domain as indicated in the variable LATEST\_CONFIGURED\_CN\_DOMAIN;
    - set the remaining LSB of the hyper frame number component of COUNT-I for this signalling radio bearer to zero;
    - for this signalling radio bearer, set the IE "Uplink RRC Message sequence number" in the variable INTEGRITY\_PROTECTION\_INFO to zero.
    - start performing integrity protection according to subclause 8.5.10.1 and 8.5.10.2;
  - perform the actions for the IE "RLC info" as specified in subclause 8.6.4.9, applied for that signalling radio bearer;
  - perform the actions for the IE "RB mapping info" as specified in subclause 8.6.4.8, applied for that signalling radio bearer.
- apply a default value of the IE "RB identity" equal to 1 for the first IE "Signalling RB information to setup"; and
- increase the default value by 1 for each occurrence.

#### 8.6.4.2 RAB information for setup

If the IE "RAB information for setup" is included, the procedure is used to establish radio bearers belonging to a radio access bearer, and the UE shall:

- if several IEs "RAB information for setup" are included and the included IEs "CN domain identity" in the IE "RAB info" does not all have the same value:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the radio access bearer identified with the IE "RAB info" does not exist in the variable ESTABLISHED\_RABS:
  - create a new entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - store the content of the IE "RAB info" in the entry for the radio access bearer in the variable ESTABLISHED\_RABS;
  - indicate the establishment of the radio access bearer to the upper layer entity using the IE "CN domain identity", forwarding the content of the IE "RAB identity";
  - if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE "CN domain identity" and at least one transparent mode radio bearer is included in the IE "RB information to setup"; or
  - if at least one RLC-AM or RLC-UM radio bearer is included in the IE "RB information to setup":
    - calculate the START value only once during this procedure (the same START value shall be used on all new radio bearers created for this radio access bearer) according to subclause 8.5.9 for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" part of the IE "RAB information to setup";
    - store the calculated START value in the variable START\_VALUE\_TO\_TRANSMIT.
- for each radio bearer in the IE "RB information to setup":
  - if the radio bearer identified with the IE "RB identity" does not exist in the variable ESTABLISHED\_RABS:
    - perform the actions specified in subclause 8.6.4.3;
    - store information about the new radio bearer in the entry for the radio access bearer identified by "RAB info" in the variable ESTABLISHED\_RABS;
    - create a new RAB subflow for the radio access bearer;
    - number the RAB subflow in ascending order, assigning the smallest number to the RAB subflow corresponding to the first radio bearer in the list;
    - if the IE "CN domain identity" in the IE "RAB info" is set to "PS domain" and the number of RAB subflows for the radio access bearer is greater than 1:
      - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the radio bearer identified with the IE "RB identity" already exists in the variable ESTABLISHED\_RABS:
    - set the variable INVALID\_CONFIGURATION to TRUE.

## 8.6.4.2a RAB information to reconfigure

If the IE "RAB information to reconfigure" is included then the UE shall:

- if the entry for the radio access bearer identified by the IE "CN domain identity" together with the IE "RAB Identity" in the variable ESTABLISHED\_RABS already exists:
  - perform the action for the IE "NAS Synchronization Indicator", according to subclause 8.6.4.12.
- else:
  - set the variable INVALID\_CONFIGURATION to TRUE.

### 8.6.4.3 RB information to setup

If the IE "RB information to setup" is included, the UE shall apply the following actions on the radio bearer identified with the value of the IE "RB identity". The UE shall:

- use the same START value to initialise the hyper frame number components of COUNT-C variables for all the new radio bearers to setup;
- perform the actions for the IE "PDCP info", if present, according to subclause 8.6.4.10, applied for the radio bearer;
- perform the actions for the IE "RLC info", according to subclause 8.6.4.9, applied for the radio bearer;
- perform the actions for the IE "RB mapping info", according to subclause 8.6.4.8, applied for the radio bearer;
- if the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - configure delivery of erroneous SDUs in lower layers according to indication from upper layer [5].
- if the IE "Uplink RLC mode" or the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "AM RLC" or "UM RLC":
  - initialise the 20 MSB of the hyper frame number component of COUNT-C for this radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
  - set the remaining LSB of the hyper frame number component of COUNT-C for this radio bearer to zero;
  - start incrementing the COUNT-C values.
- if the IE "Uplink RLC mode" and the IE "Downlink RLC mode" either in the IE "RLC info" or referenced by the RB identity in the IE "Same as RB" is set to "TM RLC":
  - if prior to this procedure there exists no transparent mode radio bearer for the CN domain included in the IE
     "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS and at least one transparent mode radio bearer is included in the IE "RB information to setup":
    - at the activation time as specified in the IE "Ciphering activation time for DPCH" if included in the IE "Ciphering mode info" in the command message or, if this IE is not included, as specified in the IE "COUNT-C activation time" included in the response message:
      - initialise the 20 most significant bits of the hyper frame number component of COUNT-C common for all transparent mode radio bearers of this CN domain with the START value in the variable START VALUE TO TRANSMIT;
      - set the remaining LSB of the hyper frame number component of COUNT-C to zero;
      - if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Not Started":
        - do not increment the COUNT-C value for this CN domain;
      - else, if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED RABS is set to "Started":
        - start increment the COUNT-C value for this CN domain;
    - if prior to this procedure there exists at least one transparent mode radio bearer for the CN domain included in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS:
      - continue incrementing the COUNT-C value common for all transparent mode radio bearers of this CN domain.
    - if no other transparent mode RLC radio bearers and signalling radio bearers exist in the variable ESTABLISHED\_RABS:

- initialise the 20 MSB of the hyper frame number component of COUNT C for this radio bearer with the START value in the variable START\_VALUE\_TO\_TRANSMIT;
- if at least one transparent mode RLC radio bearers or signalling radio bearers exist in the variable ESTABLISHED\_RABS:
  - set the MAC d HFN component of the COUNT C for this radio bearer with the MAC d HFN that is common (refer to subclause 8.5.8) for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" part of the IE "RAB information for setup".
- if the IE "Status" in the variable CIPHERING\_STATUS of the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS is set to "Started":
  - start to perform ciphering on the radio bearer in lower layers, using the value of the IE "RB identity" minus one as the value of BEARER in the ciphering algorithm.
- NOTE: UTRAN should not use the IE "RB information to setup" to setup radio bearers with RB identity in the range 1-4.

## 8.6.4.8 RB mapping info

If the IE "RB mapping info" is included, the UE shall:

- for each multiplexing option of the RB:
  - if a transport channel that would not exist as a result of the message (i.e. removed in the same message in IE "Deleted DL TrCH information" and IE "Deleted UL TrCH information") is referred to:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if a multiplexing option that maps a logical channel corresponding to a TM-RLC entity onto RACH, CPCH, FACH or DSCH is included:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the multiplexing option realises the radio bearer on the uplink (resp. on the downlink) using two logical channels with different values of the IE "Uplink transport channel type" (resp. of the IE "Downlink transport channel type"):
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if that RB is using TM and the IE "Segmentation indication" is set to TRUE and, based on the multiplexing configuration resulting from this message, the logical channel corresponding to it is mapped onto the same transport channel as another logical channel:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if the transport channel considered in that multiplexing option is different from RACH and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - if that RB is using UM or TM and the multiplexing option realises it using two logical channels:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - for each logical channel in that multiplexing option:
    - if the value of the IE "RLC size list" is set to "Explicit list":
      - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value (index) of any IE "RLC size

index" in the IE "Explicit list" does not correspond to an "RLC size" in the IE transport format set of that transport channel given in the message; or

- if the transport channel this logical channel is mapped on in this multiplexing option is different from RACH, and if a "Transport format set" for that transport channel is not included in the same message, and the value (index) of any IE "RLC size index" in the IE "Explicit list" does not correspond to an "RLC size" in the stored transport format set of that transport channel; or
- if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
- if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of the IE "RLC size list" is set to "All":
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and the value of any IE "Logical channel list" in the transport format set is not set to "Configured"; or
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and the value of any IE "Logical channel list" in the stored transport format set of that transport channel is not set to "Configured":
    - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of the IE "RLC size list" is set to "Configured":
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is included in the same message, and for none of the RLC sizes defined for that transport channel in the "Transport format set", the "Logical Channel List" is set to "All" or given as an "Explicit List" which contains this logical channel; or
  - if a "Transport format set" for the transport channel this logical channel is mapped on in this multiplexing option is not included in the same message, and for none of the RLC sizes defined in the transport format set stored for that transport channel, the "Logical Channel List" is set to "All" or given as an "Explicit List" which contains this logical channel:
    - set the variable INVALID\_CONFIGURATION to TRUE.
- if, as a result of the message this IE is included in, several radio bearers can be mapped onto the same transport channel, and the IE "Logical Channel Identity" was not included in the RB mapping info of any of those radio bearers for a multiplexing option on that transport channel or the same "Logical Channel Identity" was used more than once in the RB mapping info of those radio bearers for the multiplexing options on that transport channel:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- delete all previously stored multiplexing options for that radio bearer;
- store each new multiplexing option for that radio bearer;
- select and configure the multiplexing options applicable for the transport channels to be used;
- if the IE "Uplink transport channel type" is set to the value "RACH":
  - in FDD:
    - refer the IE "RLC size index" to the RACH Transport Format Set of the first PRACH received in the IE "PRACH system information list" received in SIB5 or SIB6.
  - in TDD:

- use the first Transport Format of the PRACH of the IE "PRACH system information list" at the position equal to the value in the IE "RLC size index".
- determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IEs "RLC size list" and/or the IEs "Logical Channel List" included in the applicable "Transport format set" (either the ones received in the same message or the ones stored if none were received); and
- in case the selected multiplexing option is a multiplexing option on RACH:
  - ignore the RLC size indexes that do not correspond to any RLC size within the Transport Format Set stored for RACH.
- if RACH is the transport channel to be used on the uplink, if that RB has a multiplexing option on RACH and if it is using AM:
  - apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
- if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
  - re-establish the corresponding RLC entity;
  - configure the corresponding RLC entity with the new RLC size;
  - for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS whose RLC size is changed; and
  - for each AM RLC signalling radio bearer in the the CN domain as indicated in the IE "CN domain identity" in the variable LATEST CONFIGURED CN DOMAIN whose RLC size is changed:
  - for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable
     ESTABLISHED\_RABS for all radio bearers; and
  - for the CN domain as indicated in the IE "CN domain identity" in the variable LATEST\_CONFIGURED\_CN\_DOMAIN for all signalling radio bearers:
    - if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
      - if this IE was included in system information:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message that will be sent before the next transmission.
      - if this IE was included in CELL UPDATE CONFIRM:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.
      - if this IE was included in a reconfiguration message:
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.
- if that RB is using UM:
  - indicate the largest applicable RLC size to the corresponding RLC entity.
- configure MAC multiplexing according to the selected multiplexing option (MAC multiplexing shall only be configured for a logical channel if the transport channel it is mapped on according to the selected multiplexing option is the same as the transport channel another logical channel is mapped on according to the multiplexing option selected for it);
- configure the MAC with the logical channel priorities according to selected multiplexing option;
- configure the MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB;

- if there is no multiplexing option applicable for the transport channels to be used:
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if there is more than one multiplexing option applicable for the transport channels to be used:
  - set the variable INVALID\_CONFIGURATION to TRUE.

In case IE "RB mapping info" includes IE "Downlink RLC logical channel info" but IE "Number of downlink RLC logical channels" is absent, the parameter values are exactly the same as for the corresponding UL logical channels. In case two multiplexing options are specified for the UL, the first options shall be used as default for the DL. As regards the IE "Channel type", the following rule should be applied to derive the DL channel type from the UL channel included in the IE:

Channel used in UL	DL channel type implied by "same as"
DCH	DCH
RACH	FACH
CPCH	FACH
USCH	DSCH

## 8.6.5.1 Transport Format Set

If the IE "Transport format set" is included, the UE shall:

- if the transport format set is a RACH TFS received in System Information Block type 5 or 6, and CHOICE "Logical Channel List" has the value "Explicit List":
  - ignore that System Information Block.
- if the transport format set for a downlink transport channel is received in a System Information Block, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - ignore that System Information Block.
- if the transport format set for a downlink transport channel is received in a message on a DCCH, and CHOICE "Logical Channel List" has a value different from 'ALL':
  - keep the transport format set if this exists for that transport channel;
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the value of any IE "RB identity" (and "Logical Channel" for RBs using two UL logical channels) in the IE "Logical channel list" does not correspond to a logical channel indicated to be mapped onto this transport channel in any RB multiplexing option (either included in the same message or previously stored and not changed by this message); or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "Configured" while it is set to "All" or given as an "Explicit List" for any other RLC size; or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is set to "All" and for any logical channel mapped to this transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- if the "Logical Channel List" for any of the RLC sizes defined for that transport channel is given as an "Explicit List" that contains a logical channel for which the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is not set to "Configured"; or
- if the "Logical Channel List" for all the RLC sizes defined for that transport channel are given as "Explicit List" and if one of the logical channels mapped onto this transport channel is not included in any of those lists; or
- if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the value of the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored) is also set to "Configured"; or

- if the IE "Transport Format Set" was not received within the IE "PRACH system information list" and if the "Logical Channel List" for the RLC sizes defined for that transport channel is set to "Configured" and for any logical channel mapped onto that transport channel, the "RLC size list" (either provided in the IE "RB mapping info" if included in the same message, or stored ) is given as an "Explicit List" that includes an "RLC size index" that does not correspond to any RLC size in this "Transport Format Set":
  - keep the transport format set if this exists for that transport channel;
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the total number of configured transport formats for the transport channel exceeds maxTF:
  - keep the transport format set if this exists for that transport channel;
  - set the variable INVALID\_CONFIGURATION to TRUE.
- if the IE "Transport format set" is considered as valid according to the rules above:
  - remove a previously stored transport format set if this exists for that transport channel;
  - store the transport format set for that transport channel;
  - consider the first instance of the parameter *Number of TBs and TTI List* within the *Dynamic transport format information* to correspond to transport format 0 for this transport channel, the second to transport format 1 and so on;
  - if the IE "Transport format Set" has the choice "Transport channel type" set to "Dedicated transport channel":
    - calculate the transport block size for all transport formats in the TFS using the following

where:

- MAC header size is calculated according to [15] if MAC multiplexing is used. Otherwise it is 0 bits;
- 'RLC size' reflects the RLC PDU size.
- if the IE "Transport format Set" has the choice "Transport channel type" set to "Common transport channel":
  - calculate the transport block size for all transport formats in the TFS using the following:

- if the IE "Number of Transport blocks" <> 0 and IE "RLC size" = 0, no RLC PDU data exists but only parity bits exist for that transport format;
- if the IE "Number of Transport blocks" = 0, neither RLC PDU neither data nor parity bits exist for that transport format;
- configure the MAC with the new transport format set (with computed transport block sizes) for that transport channel;
- if the RB multiplexing option for a RB mapped onto that transport channel (based on the stored RB multiplexing option) is not modified by this message:
  - determine the sets of RLC sizes that apply to the logical channels used by that RB, based on the IE "Logical Channel List" and/or the IE "RLC Size List" from the previously stored RB multiplexing option.
  - if the IE "Transport Format Set" was received within the IE "PRACH system information list":
    - ignore the RLC size indexes in the stored RB multiplexing option that do not correspond to any RLC size in the received Transport Format Set.
  - if the IE "Transport Format Set" was received within the IE "PRACH system information list", if that RB is using AM and if RACH is the transport channel to be used on the uplink:

- apply the largest size amongst the ones derived according to the previous bullet for the RLC size (or RLC sizes in case the RB is realised using two logical channels) for the corresponding RLC entity.
- if the IE "Transport Format Set" was not received within the IE "PRACH system information list", and if that RB is using AM and the set of RLC sizes applicable to the logical channel transferring data PDUs has more than one element:
  - set the variable INVALID\_CONFIGURATION to true.
- if that RB is using AM and the RLC size applicable to the logical channel transporting data PDUs is different from the one derived from the previously stored configuration:
  - re-establish the corresponding RLC entity;
  - configure the corresponding RLC entity with the new RLC size;
  - for each AM RLC radio bearer in the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS whose RLC size is changed; and
  - for each AM RLC signalling radio bearer in the the CN domain as indicated in the IE "CN domain identity" in the variable LATEST CONFIGURED CN DOMAIN whose RLC size is changed:for the CN domain as indicated in the IE "CN domain identity" in the IE "RAB info" in the variable ESTABLISHED\_RABS for all radio bearers; and
    - for the CN domain as indicated in the IE "CN domain identity" in the variable
       LATEST\_CONFIGURED\_CN\_DOMAIN for all signalling radio bearers:
      - if this IE was included in system information and if the IE "Status" in variable CIPHERING\_STATUS of this CN domain is set to "Started":
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" for this CN domain that will be included in the CELL UPDATE message that will be sent before the next transmission.
      - if this IE was included in CELL UPDATE CONFIRM and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" included in the latest transmitted CELL UPDATE message for this CN domain.
      - if this IE was included in a reconfiguration message and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
        - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the reconfiguration complete message for this CN domain.
  - if this IE was included in ACTIVE SET UPDATE and if the IE "Status" in the variable CIPHERING\_STATUS of this CN domain is set to "Started":
    - set the HFN values for the corresponding RLC entity equal to the value of the IE "START" that will be included in the ACTIVE SET UPDATE COMPLETE message for this CN domain.
- if that RB is using UM:
  - indicate the largest applicable RLC size to the corresponding RLC entity.
- configure MAC with the set of applicable RLC Sizes for each of the logical channels used for that RB.

For configuration restrictions on Blind Transport Format Detection, see [27].

#### 8.6.6.28 Downlink DPCH info common for all radio links

If the IE "Downlink DPCH info common for all RL" is included the UE shall:

- perform actions for the IE "Timing indication" as specified in subclause 8.5.15.2;
- ignore the value received in IE "CFN-targetSFN frame offset";
- if the IE "Downlink DPCH power control information" is included:
  - perform actions for the IE "DPC Mode" according to [29].
- if the IE choice "mode" is set to 'FDD':
  - if the IE "Downlink rate matching restriction information" is included:
    - set the variable INVALID\_CONFIGURATION to TRUE.
  - perform actions for the IE "spreading factor";
  - perform actions for the IE "Fixed or Flexible position";
  - perform actions for the IE "TFCI existence";
  - if the IE choice "SF" is set to 256:
    - store the value of the IE "Number of bits for pilot bits".
  - if the IE choice "SF" set to 128:
    - store the value of the IE "Number of bits for pilot bits".
- if the IE choice "mode" is set to 'TDD':
  - perform actions for the IE "Common timeslot info".

If the IE "Downlink DPCH info common for all RL" is included in a message used to perform a Timing re-initialised hard handover<u>or the IE "Downlink DPCH info common for all RL" is included in a message used to transfer the UE from a state different from Cell\_DCH to the Cell\_DCH state</u>, and ciphering is active for any radio bearer using RLC-TM, the UE shall, after having activated the dedicated physical channels indicated by that IE:

- set the 20 MSB of the HFN component of COUNT-C for TM-RLC to the value of the latest transmitted IE
   "START" or "START List" for this CN domain, while not incrementing the value of the HFN component of COUNT-C at each CFN cycle; and
- set the remaining LSBs of the HFN component of COUNT-C to zero;
- include the IE "COUNT-C activation time" in the response message and specify a CFN value other than the default, "Now" for this IE;
- calculate the START value according to subclause 8.5.9;
- include the calculated START values for each CN domain in the IE "START list" in the IE "Uplink counter synchronisation info" in the response message;
- at the CFN value as indicated in the response message in the IE "COUNT-C activation time":
  - set the 20 MSB of the HFN component of the COUNT-C variable to the START value as indicated in the IE "START list" of the response message for the relevant CN domain; and
  - set the remaining LSBs of the HFN component of COUNT-C to zero;
  - increment the HFN component of the COUNT-C variable by one;
  - set the CFN component of the COUNT-C to the value of the IE "COUNT-C activation time" of the response message. The HFN component and the CFN component completely initialise the COUNT-C variable;
  - step the COUNT-C variable, as normal, at each CFN value, i.e. the HFN component is no longer fixed in value but incremented at each CFN cycle.
  - increment HFN for RLC-TM by '1'.

# 10.2.16b HANDOVER TO UTRAN COMPLETE

This message is sent by the UE when a handover to UTRAN has been completed.

#### RLC-SAP: AM

Logical channel: DCCH

Direction: UE  $\rightarrow$  UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE Information elements				
START list	СН	1 to <maxcndo mains&gt;</maxcndo 		START [40] values for all CN domains. The IE is mandatory if it has not been transferred prior to the handover.
>CN domain identity	MP		CN domain identity 10.3.1.1	
>START	MP		START 10.3.3.38	
RB Information elements				
COUNT-C activation time	OP		Activation time 10.3.3.1	Used for radio bearers mapped on RLC-TM.

# 10.2.16c INITIAL DIRECT TRANSFER

This message is used to initiate a signalling connection based on indication from the upper layers, and to transfer a NAS message.

RLC-SAP: AM

Logical channel: DCCH

Direction: UE -> UTRAN

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message Type	MP		Message Type	
UE information elements				
Integrity check info	СН		Integrity check info 10.3.3.16	
CN information elements				
CN domain identity	MP		CN domain identity 10.3.1.1	
Intra Domain NAS Node Selector	MP		Intra Domain NAS Node Selector 10.3.1.6	
NAS message	MP		NAS message 10.3.1.8	
<u>START</u>	<u>OP</u>		<u>START</u> <u>10.3.3.38</u>	START value to be used in the CN domain as indicated in the IE CN domain identity. This IE shall alwys be present inthis version of the protocol.
Measurement information elements				
Measured results on RACH	OP		Measured results on RACH 10.3.7.45	

## 10.3.3.5 Ciphering mode info

This information element contains the ciphering specific security mode control information.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Ciphering mode command	MP		Enumerated (start/restart, stop)	The command "stop" is not used in this version of the protocol
Ciphering algorithm	CV- notStop		Ciphering algorithm 10.3.3.4	
Ciphering activation time for DPCH	OP		Activation time 10.3.3.1	Used for radio bearers mapped on RLC-TM. Only applicable if the UE is already in CELL_DCH state
Radio bearer downlink ciphering activation time info	OP		RB activation time info, 10.3.4.13	Used for radio bearers mapped on RLC-AM or RLC- UM

Condition	Explanation
notStop	The IE is mandatory present if the IE "Ciphering mode command" has the value "start/restart", otherwise the IE is not needed in the message.

## 10.3.3.16 Integrity check info

The Integrity check info contains the RRC message sequence number needed in the calculation of XMAC-I [40] and the calculated MAC-I.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Message authentication code	MP		bit string(32)	MAC-I [40]. The Message Authentication Code bits are numbered b0-b31, where b0 is the least significant bit. The 27 MSB of the IE shall be set to zero and the 5 LSB of the IE shall be set to the value of the IE "RB identity" for the used signalling radio bearer identity when the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm.
RRC Message sequence number	MP		Integer (015)	The local RRC hyper frame number (RRC HFN) is concatenated with the RRC message sequence number to form the input parameter COUNT-I for the integrity protection algorithm. The IE value shall be set to zero when the encoded RRC message is used as the MESSAGE parameter in the integrity protection algorithm.

# 11.2 PDU definitions

************************************	* * * * * * * * * * * * * *	
INITIAL DIRECT TRANSFER		
************************************	* * * * * * * * * * * * *	
<pre>InitialDirectTransfer ::= SEQUENCE {     Core network IEs         cn-DomainIdentity         intraDomainNasNodeSelector         nas-Message     Measurement IEs         measuredResultsOnRACH         v3a0NonCriticalExtensions         initialDirectTransfer-v3a0ext         Extension mechanism for non-</pre>		OPTIONAL,
<pre>} OPTIONAL } InitialDirectTransfer-v3a0ext ::= SEQUEN</pre>		protocol
start-Value START-Va	alue	OPTIONAL
<u>}</u>		

## 11.5 RRC information between network nodes

Internode-definitions DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

```
HandoverToUTRANCommand,
   MeasurementReport,
   PhysicalChannelReconfiguration,
   RadioBearerReconfiguration,
   RadioBearerRelease,
   RadioBearerSetup,
   RRC-FailureInfo-r3-IEs,
   TransportChannelReconfiguration
FROM PDU-definitions
-- Core Network IEs :
   CN-DomainIdentity,
   CN-DomainInformationList,
   CN-DRX-CycleLengthCoefficient,
   NAS-SystemInformationGSM-MAP,
-- UTRAN Mobility IEs :
   CellIdentity,
   URA-Identity,
-- User Equipment IEs :
   C-RNTI,
   DL-PhysChCapabilityFDD-v380ext,
   FailureCauseWithProtErr,
   RRC-MessageSequenceNumber,
   STARTList,
   START-Value,
   U-RNTI,
   UE-RadioAccessCapability,
   UE-RadioAccessCapability-v370ext,
   UE-RadioAccessCapability-v380ext,
-- Radio Bearer IEs :
   PredefinedConfigStatusList,
   PredefinedConfigValueTag,
   RAB-InformationSetupList,
   SRB-InformationSetupList,
-- Transport Channel IEs :
   CPCH-SetID,
   DL-CommonTransChInfo,
   DL-AddReconfTransChInfoList,
   DRAC-StaticInformationList,
   UL-CommonTransChInfo,
   UL-AddReconfTransChInfoList,
-- Measurement IEs :
   MeasurementIdentity,
   MeasurementReportingMode,
   MeasurementType,
   MeasurementType-r4,
   AdditionalMeasurementID-List,
   PositionEstimate,
   UE-Positioning-IPDL-Parameters-TDD-r4-ext,
-- Other IEs :
InterRAT-UE-RadioAccessCapabilityList
FROM InformationElements
   maxCNdomains,
   maxNoOfMeas,
   maxRB,
   maxSRBsetup
FROM Constant-definitions
;
-- Part 1: Class definitions similar to what has been defined in 11.1 for RRC messages
-- Information that is tranferred in the same direction and across the same path is grouped
_ _
-- RRC information, to target RNC
```

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```
-- RRC Information to target RNC sent either from source RNC or from another RAT
ToTargetRNC-Container ::= CHOICE {
   interRATHandoverInfo
                                      InterRATHandoverInfoWithInterRATCapabilities-r3,
   srncRelocation
                                      SRNC-RelocationInfo-r3,
   extension
                                      NULL
}
-- RRC information, target RNC to source RNC
radioBearerSetup RadioBearerSetup,
radioBearerReconfiguration RadioBearerReconfiguration,
radioBearerRelease RadioBearerRelease,
transportChannelReconfiguration PhysicalChannelReconfiguration,
rrc-FailureInfo RRC-FailureInfo-r3-IEC
Target-RNC-ToSourceRNC-Container ::= CHOICE {
   extension
                                     NULL
}
-- Part 2: Container definitions, similar to the PDU definitions in 11.2 for RRC messages
-- In alphabetical order
_ _
-- Handover to UTRAN information
InterRATHandoverInfoWithInterRATCapabilities-r3 ::= CHOICE {
       interRATHandoverInfo-r3 InterR
   r3
                                     InterRATHandoverInfoWithInterRATCapabilities-r3-IEs,
   -- IE InterRATHandoverInfoWithInterRATCapabilities-r3-IEs also
   -- includes non critical extensions
       v390NonCriticalExtensions
                                         SEOUENCE {
           interRATHandoverInfoWithInterRATCapabilities-v390ext
   InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs,
           -- Reserved for future non critical extension
           nonCriticalExtensions
                                        SEQUENCE {} OPTIONAL
       }
            OPTIONAL
   }.
   criticalExtensions
                                 SEQUENCE { }
}
                                                        SEQUENCE {
InterRATHandoverInfoWithInterRATCapabilities-r3-IEs::=
       -- The order of the IEs may not reflect the tabular format
       -- but has been chosen to simplify the handling of the information in the BSC
    -- Other IEs
       ue-RATSpecificCapability InterRAT-UE-RadioAccessCapabilityList OPTIONAL,
interRATHandoverInfo OCTET STRING (SIZE (0..255))
       -- Octet string is used to obtain 8 bit length field prior to actual information
       -- This makes it possible for BSS to transparently handle information received via
       -- GSM air interface even when it includes non critical extensions
       -- The octet string shall include the InterRATHandoverInfo information
       -- The BSS can re-use the 04.18 length field received from the \ensuremath{\mathsf{MS}}
}
InterRATHandoverInfoWithInterRATCapabilities-v390ext-IEs ::= SEQUENCE {
   -- User equipment IEs
       failureCauseWithProtErr
                                         FailureCauseWithProtErr
                                                                                OPTIONAL
}
-- SRNC Relocation information
SRNC-RelocationInfo-r3 ::= CHOICE {
       SEQUENCE {
sRNC-RelocationInfo-r3 SRNC-RelocationInfo-r3-IEs,
  r3
           v380NonCriticalExtensions
                                            SEQUENCE {
```

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sRNC-RelocationInfo-v380ext SRNC-RelocationInfo-v380ext-IEs, - Reserved for future non critical extension SEQUENCE { v390NonCriticalExtensions sRNC-RelocationInfo-v390ext SRNC-RelocationInfo-v390ext-IEs, v3a0NonCriticalExtensions SEQUENCE { sRNC-RelocationInfo-v3a0ext SRNC-RelocationInfo-v3a0ext-IEs, - Reserved for future non critical extension nonCriticalExtensions SEQUENCE { } OPTIONAL OPTIONAL OPTIONAL } OPTIONAL }. SEQUENCE { } criticalExtensions } SRNC-RelocationInfo-r3-IEs ::= SEQUENCE { -- Non-RRC IEs stateOfRRC StateOfRRC, stateOfRRC-Procedure StateOfRRC-Procedure, -- Ciphering related information IEs -- If the extension v380 is included use the extension for the ciphering status per CN domain cipheringStatus CipheringStatus, calculationTimeForCiphering CalculationTimeForCiphering OPTIONAL, cipheringInfoPerRB-List CipheringInfoPerRB-List OPTIONAL. OPTIONAL, count-C-List COUNT-C-List integrityProtectionStatus IntegrityProtectionStatus, srb-SpecificIntegrityProtInfo SRB-SpecificIntegrityProtInfoList, implementationSpecificParams ImplementationSpecificParams OPTIONAL, -- User equipment IEs U-RNTI, 11-RNTT C-RNTI C-RNTI OPTIONAL, ue-RadioAccessCapability UE-RadioAccessCapability, ue-Positioning-LastKnownPos UE-Positioning-LastKnownPos OPTIONAL, -- Other IEs ue-RATSpecificCapability InterRAT-UE-RadioAccessCapabilityList OPTIONAL, -- UTRAN mobility IEs ura-Identity URA-Identity OPTIONAL, -- Core network IEs cn-CommonGSM-MAP-NAS-SysInfo NAS-SystemInformationGSM-MAP, cn-DomainInformationList CN-DomainInformationList OPTIONAL, -- Measurement IEs ongoingMeasRepList OngoingMeasRepList OPTIONAL, -- Radio bearer IEs predefinedConfigStatusList PredefinedConfigStatusList, srb-InformationList SRB-InformationSetupList, rab-InformationList RAB-InformationSetupList OPTIONAL, -- Transport channel IEs ul-CommonTransChInfo UL-CommonTransChInfo OPTIONAL. ul-TransChInfoList UL-AddReconfTransChInfoList OPTIONAL, modeSpecificInfo CHOICE { fdd SEOUENCE { cpch-SetID CPCH-Set TD OPTIONAL. transChDRAC-Info DRAC-StaticInformationList OPTIONAL }, tdd NULL }, dl-CommonTransChInfo DL-CommonTransChInfo OPTIONAL, dl-TransChInfoList DL-AddReconfTransChInfoList OPTIONAL, - Measurement report MeasurementReport measurementReport OPTIONAL . nonCriticalExtensions SEQUENCE { -- In case of TDD only this IE is present otherwise this IE is absent UE-Positioning-IPDL-Parameters-TDD-r4-ext up-Ipdl-Parameters-TDD OPTIONAL, -- Extension mechanism for non- release4 information SEQUENCE { } nonCriticalExtensions OPTTONAL. } OPTTONAL. } SRNC-RelocationInfo-v380ext-IEs ::= SEQUENCE { -- Ciphering related information IEs cn-DomainIdentity CN-DomainIdentity, cipheringStatusList CipheringStatusList } SRNC-RelocationInfo-v390ext-IEs ::= SEQUENCE { cn-DomainInformationList-v390ext CN-DomainInformationList-v390ext OPTIONAL, ue-RadioAccessCapability-v370ext UE-RadioAccessCapability-v370ext OPTIONAL, ue-RadioAccessCapability-v380ext UE-RadioAccessCapability-v380ext OPTIONAL,

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dl-PhysChCapabilityFDD-v380ext failureCauseWithProtErr }	DL-PhysChCapabilityFDD-v380ext, FailureCauseWithProtErr	OPTIONAL
SRNC-RelocationInfo-v3a0ext-IEs ::= SEQ	NIENCE {	
StartValueForCIphering-v3a0ext	START-Value	
}		
CipheringStatusList ::= SEQUENC	E (SIZE (1maxCNdomains)) OF CipheringStatusCNdomain	
CipheringStatusCNdomain ::=	SEQUENCE {	
cn-DomainIdentity	CN-DomainIdentity,	
cipheringStatus }	CipheringStatus	
SRNC-RelocationInfo-r4 ::= SEQ Non-RRC IEs	UENCE {	
stateOfRRC	<pre>StateOfRRC,</pre>	
stateOfRRC-Procedure	StateOfRRC-Procedure,	
cipheringStatus	CipheringStatus,	
calculationTimeForCiphering	CalculationTimeForCiphering	OPTIONAL,
cipheringInfoPerRB-List integrityProtectionStatus	CipheringInfoPerRB-List IntegrityProtectionStatus,	OPTIONAL,
srb-SpecificIntegrityProtInfo	SRB-SpecificIntegrityProtInfoList,	
implementationSpecificParams	ImplementationSpecificParams	OPTIONAL,
User equipment IEs		
u-RNTI	U-RNTI,	
c-RNTI ue-RadioAccessCapability	C-RNTI UE-RadioAccessCapability,	OPTIONAL,
ue-Positioning-LastKnownPos	UE-Positioning-LastKnownPos	OPTIONAL,
Other IEs		
ue-RATSpecificCapability UTRAN mobility IEs	InterRAT-UE-RadioAccessCapabilityLi	st OPTIONAL,
ura-Identity	URA-Identity	OPTIONAL,
Core network IEs cn-CommonGSM-MAP-NAS-SysInfo	NAS-SystemInformationGSM-MAP,	
cn-DomainInformationList Measurement IEs	CN-DomainInformationList	OPTIONAL,
ongoingMeasRepList	OngoingMeasRepList-r4	OPTIONAL,
Radio bearer IEs	DrodofinodConfigStatuatiat	
predefinedConfigStatusList srb-InformationList	PredefinedConfigStatusList, SRB-InformationSetupList,	
rab-InformationList	RAB-InformationSetupList	OPTIONAL,
Transport channel IEs		
ul-CommonTransChInfo	UL-CommonTransChInfo	OPTIONAL,
ul-TransChInfoList	UL-AddReconfTransChInfoList	OPTIONAL,
modeSpecificInfo fdd	CHOICE { SEQUENCE {	
cpch-SetID	CPCH-SetID	OPTIONAL,
transChDRAC-Info	DRAC-StaticInformationList	OPTIONAL
},		
tdd },	NULL	
}, dl-CommonTransChInfo	DL-CommonTransChInfo	OPTIONAL,
dl-TransChInfoList	DL-AddReconfTransChInfoList	OPTIONAL,
Measurement report		
measurementReport	MeasurementReport	OPTIONAL,
nonCriticalExtensions	SEQUENCE { is present otherwise this IE is abse	unt l
up-Ipdl-Parameters-TDD	UE-Positioning-IPDL-Parameters-	
Extension mechanism for non-	-	
nonCriticalExtensions	SEQUENCE { }	OPTIONAL
}		OPTIONAL
}		
IE definitions		
	UENCE {	
cell-Id	CellIdentity,	
sfn	INTEGER (04095)	
}		
CipheringInfoPerRB ::= SEQ	UENCE {	
dl-HFN	BIT STRING (SIZE (2025)),	
ul-HFN	BIT STRING (SIZE (2025))	
}		

-- TABULAR: Multiplicity value numberOfRadioBearers has been replaced -- with maxRB. CipheringInfoPerRB-List ::= SEQUENCE (SIZE (1..maxRB)) OF CipheringInfoPerRB ENUMERATED { CipheringStatus ::= started, notStarted } CN-DomainInformation-v390ext ::= SEQUENCE { cn-DRX-CycleLengthCoeff CN-DRX-CycleLengthCoefficient } CN-DomainInformationList-v390ext ::= SEQUENCE (SIZE (1..maxCNdomains)) OF CN-DomainInformation-v390ext COUNT-C-List ::= SEQUENCE (SIZE (1..maxCNdomains)) OF COUNT-CSingle COUNT-CSingle ::= SEQUENCE { cn-DomainIdentity CN-DomainIdentity, count-C BIT STRING (SIZE (32)) } ImplementationSpecificParams ::= BIT STRING (SIZE (1..512)) IntegrityProtectionStatus ::= ENUMERATED { started, notStarted } MeasurementCommandWithType ::= CHOICE { setup MeasurementType, modify NULL, release NULL } MeasurementCommandWithType-r4 ::= CHOICE { setup MeasurementType-r4, modify NULL, release NULL } OngoingMeasRep ::= SEQUENCE { bingMeasRep ::= SEQUENCE {
measurementIdentity MeasurementIdentity,
measurementCommandWithType MeasurementCommandWithType, -- TABULAR: The CHOICE Measurement in the tabular description is included -- in the IE above. measurementReportingModeMeasurementReportingModeOPTIONALadditionalMeasurementID-ListAdditionalMeasurementID-ListOPTIONAL OPTIONAL. } OngoingMeasRep-r4 ::= >ingMeasRep-r4 ::= SEQUENCE {
measurementIdentity MeasurementIdentity, measurementCommandWithType MeasurementCommandWithType-r4, -- TABULAR: The CHOICE Measurement in the tabular description is included -- in the IE above. measurementReportingMode MeasurementReportingMode OPTIONAL, additionalMeasurementID-List AdditionalMeasurementID-List OPTIONAL } OngoingMeasRepList ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF OngoingMeasRep OngoingMeasRepList-r4 ::= SEQUENCE (SIZE (1..maxNoOfMeas)) OF OngoingMeasRep-r4 SRB-SpecificIntegrityProtInfo ::= SEQUENCE { ul-RRC-HFN BIT STRING (SIZE (28)), dl-RRC-HFN BIT STRING (SIZE (28)), ul-RRC-SequenceNumber RRC-MessageSequenceNumber, dl-RRC-SequenceNumber RRC-MessageSequenceNumber } SRB-SpecificIntegrityProtInfoList ::= SEQUENCE (SIZE (4..maxSRBsetup)) OF SRB-SpecificIntegrityProtInfo StateOfRRC ::= ENUMERATED { cell-DCH, cell-FACH, cell-PCH, ura-PCH }

```
StateOfRRC-Procedure ::=
                                   ENUMERATED {
                                       awaitNoRRC-Message,
                                       awaitRRC-ConnectionRe-establishmentComplete,
                                       awaitRB-SetupComplete,
                                       awaitRB-ReconfigurationComplete,
                                       awaitTransportCH-ReconfigurationComplete,
                                       awaitPhysicalCH-ReconfigurationComplete,
                                       awaitActiveSetUpdateComplete,
                                       awaitHandoverComplete,
                                       sendCellUpdateConfirm,
                                       sendUraUpdateConfirm,
                                       sendRrcConnectionReestablishment,
                                       otherStates
}
UE-Positioning-LastKnownPos ::= SEQUENCE {
                                  INTEGER (0..4095),
       sfn
       cell-id
                                       CellIdentity,
       positionEstimate
                                       PositionEstimate
}
```

END

## 13.4.x SECURITY\_MODIFICATION

This variable contains information on which CN domain is affected by the ongoing security reconfiguration

Information Element/Group name	Need	<u>Multi</u>	<u>Type and</u> reference	Semantics description
Status for each CN domain	MP	<u>&lt;1 to</u> <u>maxCNDo</u> <u>mains&gt;</u>		
<u>&gt;CN domain identity</u>	MP		CN domain identity 10.3.1.1	
<u>&gt;Status</u>	MP		Enumerated( <u>Affected, Not</u> <u>Affected)</u>	

### 14.12.4.2 SRNS RELOCATION INFO

This RRC message is sent between network nodes when preparing for an SRNS relocation.

Direction: source RAT→target RNC

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Non RRC IEs				
>State of RRC	MP		RRC state	
			indicator,	
			10.3.3.10	
>State of RRC procedure	MP		Enumerated	
			(await no	
			RRC	
			message,	
			Complete,	
			await RB	
			Setup	
			Complete,	
			await RB	
			Reconfigurat	
			ion	
			Complete,	
			await RB	
			Release	
			Complete,	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			await Transport CH Reconfigurat ion Complete, await Physical CH Reconfigurat ion Complete, await Active Set Update Complete, await Handover Complete, send Cell Update Confirm, send URA Update Confirm, , others)	
Ciphering related information			, others)	
<ul> <li>Ciphering status for each CN domain</li> </ul>	MP	<1 to maxCNDo mains>		
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>Ciphering status	MP		Enumerated( Not started, Started)	
>>START	<u>MP</u>		<u>START</u> <u>10.3.3.38</u>	START value to be used in this CN domain.
>Latest configured CN domain	MP		CN domain identity 10.3.1.1	Value contained in the variable of the same name.
>Calculation time for ciphering related information	CV- Ciphering			Time when the ciphering information of the message were calculated, relative to a cell of the target RNC
>>Cell Identity	MP		Cell Identity 10.3.2.2	Identity of one of the cells under the target RNC and included in the active set of the current call
>>SFN	MP		Integer(040 95)	
>COUNT-C list	CV- Ciphering	1 to <maxcndo mains&gt;</maxcndo 		COUNT-C values for radio bearers using transparent mode RLC
>>CN domain identity	MP		CN domain identity 10.3.1.1	
>>COUNT-C	MP		Bit string(32)	
>Ciphering info per radio bearer	OP	1 to <maxrb></maxrb>		For signalling radio bearers this IE is mandatory.
>>RB identity	MP		RB identity 10.3.4.16	
>>Downlink HFN	MP		Bit string(2025 )	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)
>>Uplink HFN	MP		Bit string(2025 )	This IE is either RLC AM HFN (20 bits) or RLC UM HFN (25 bits)

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
Integrity protection related information				
>Integrity protection status	MP		Enumerated( Not started, Started)	
>Signalling radio bearer specific integrity protection information	CV-IP	4 to <maxsrbs etup&gt;</maxsrbs 		
>>Uplink RRC HFN	MP		Bit string (28)	
>>Downlink RRC HFN	MP		Bit string (28)	
>>Uplink RRC Message sequence number	MP		Integer (0 15)	
>>Downlink RRC Message sequence number	MP		Integer (0 15)	
>Implementation specific parameters	OP		Bit string (1512)	
RRC IEs UE Information elements				
>U-RNTI	MP		U-RNTI 10.3.3.47	
>C-RNTI	OP		C-RNTI 10.3.3.8	
>UE radio access Capability	MP		UE radio access capability 10.3.3.42	
>UE radio access capability extension	OP		UE radio access capability extension 10.3.3.42a	
>Last known UE position	OP		1010101120	
>>SFN	MP		Integer (04095)	Time when position was estimated
>>Cell ID	MP		Cell identity; 10.3.2.2	Indicates the cell, the SFN is valid for.
>>CHOICE Position estimate	MP			
>>>Ellipsoid Point			Ellipsoid Point; 10.3.8.4a	
>>>Ellipsoid point with uncertainty circle			Ellipsoid point with uncertainty circle 10.3.8.4d	
>>>Ellipsoid point with uncertainty ellipse			Ellipsoid point with uncertainty ellipse 10.3.8.4e	
>>>Ellipsoid point with altitude			Ellipsoid point with altitude 10.3.8.4b	
>>>Ellipsoid point with altitude and uncertainty ellipsoid			Ellipsoid point with altitude and uncertainty ellipsoid 10.3.8.4c	
Other Information elements				
>UE system specific capability	OP	1 to <maxsyste< td=""><td></td><td></td></maxsyste<>		

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
		mCapabilit y>		
>>Inter-RAT UE radio access capability	MP	y-	Inter-RAT UE radio access capability 10.3.8.7	
UTRAN Mobility Information elements				
>URA Identifier	OP		URA identity	
			10.3.2.6	
CN Information Elements >CN common GSM-MAP NAS	MP		NAS system	
system information	IVIE		information (GSM-MAP) 10.3.1.9	
>CN domain related information	OP	1 to <maxcndo mains&gt;</maxcndo 		CN related information to be provided for each CN domain
>>CN domain identity	MP			
>>CN domain specific GSM- MAP NAS system info	MP		NAS system information (GSM-MAP) 10.3.1.9	
>>CN domain specific DRX cycle length coefficient	MP		CN domain specific DRX cycle length coefficient, 10.3.3.6	
Measurement Related Information elements				
>For each ongoing measurement reporting	OP	1 to <maxnoof Meas&gt;</maxnoof 		
>>Measurement Identity	MP		Measuremen t identity 10.3.7.48	
>>Measurement Command	MP		Measuremen t command 10.3.7.46	
>>Measurement Type	CV-Setup		Measuremen t type 10.3.7.50	
>>Measurement Reporting Mode	OP		Measuremen t reporting mode 10.3.7.49	
>>Additional Measurements list	OP		Additional measuremen ts list 10.3.7.1	
>>CHOICE Measurement	OP			
>>>Intra-frequency	OP		Intro	
>>>Intra-frequency cell info			Intra- frequency cell info list 10.3.7.33	
>>>>Intra-frequency measurement quantity	OP		Intra- frequency measuremen t quantity 10.3.7.38	
>>>>Intra-frequency reporting quantity	OP		Intra- frequency reporting	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
			quantity 10.3.7.41	
>>>Reporting cell status	OP		Reporting	
	0.		cell status	
			10.3.7.61	
>>>>Measurement validity	OP		Measuremen	
, , , , , , , , , , , , , , , , , , ,			t validity	
			10.3.7.51	
>>>>CHOICE report criteria	OP			
>>>>Intra-frequency			Intra-	
measurement			frequency	
reporting criteria			measuremen	
			t reporting	
			criteria	
			10.3.7.39	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>Inter-frequency				
>>>>Inter-frequency cell info	OP		Inter-	
			frequency	
			cell info list	
			10.3.7.13	
>>>>Inter-frequency	OP		Inter-	
measurement			frequency	
quantity			measuremen	
			t quantity	
			10.3.7.18	
>>>>Inter-frequency reporting	OP		Inter-	
quantity			frequency	
			reporting	
			quantity	
			10.3.7.21	
>>>>Reporting cell status	OP		Reporting	
			cell status	
			10.3.7.61	
>>>>Measurement validity	OP		Measuremen	
			t validity	
	OP		10.3.7.51	
>>>>CHOICE report criteria >>>>Inter-frequency			Inter-	
measurement				
reporting criteria			frequency measuremen	
reporting criteria			t reporting	
			criteria	
			10.3.7.19	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>Inter-RAT				
>>>>Inter-RAT cell info	OP		Inter-RAT	
			cell info list	
			10.3.7.23	
>>>>Inter-RAT measurement	OP		Inter-RAT	
quantity	<b>_</b> .		measuremen	
J~~,			t quantity	
			10.3.7.29	
>>>Inter-RAT reporting	OP		Inter-RAT	
quantity			reporting	
1			quantity	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>Reporting cell status	OP		Reporting	
			cell status	
			10.3.7.61	
>>>>Measurement validity	OP		Measuremen	
			t validity	
			10.3.7.51	
>>>>CHOICE report criteria	OP			
>>>>Inter-RAT measurement			Inter-RAT	
reporting criteria			measuremen	
			t reporting	
			criteria	
			10.3.7.30	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>Traffic Volume				
>>>>Traffic volume	OP		Traffic	
measurement			volume	
Object			measuremen	
			t object	
			10.3.7.70	
>>>>Traffic volume	OP		Traffic	
measurement	•		volume	
quantity			measuremen	
quantity			t quantity	
			10.3.7.71	
>>>>Traffic volume reporting	OP		Traffic	
quantity			volume	
quantity			reporting	
			quantity	
			10.3.7.74	
>>>>CHOICE report criteria	OP		10.3.7.74	
>>>>Traffic volume			Traffic	
measurement			volume	
reporting criteria			measuremen	
reporting chiena			t reporting	
			criteria	
			10.3.7.72	
>>>>Periodical reporting			Periodical	
>>>>Fellouical reporting			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
			NULL	
>>>Quality			Quality	
>>>>Quality measurement	OP		Quality	
Object			measuremen	
			t object	
>>>>CHOICE report criteria	OP		0	
>>>>Quality measurement			Quality	
reporting criteria			measuremen	
			t reporting	
			criteria	
			10.3.7.58	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>UE internal				
			UE internal	
>>>UE internal measurement	OP		UE Internal	
>>>>UE internal measurement	OP		measuremen	
	OP			

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>>>UE internal reporting	OP		UE internal	
quantity			reporting	
			quantity	
			10.3.7.82	
>>>>CHOICE report criteria	OP			
>>>>UE internal measurement			UE internal	
reporting criteria			measuremen	
			t reporting	
			criteria	
			10.3.7.80	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting			NULL	
>>>UE positioning				
>>>>LCS reporting quantity	OP		LCS	
			reporting	
			quantity	
			10.3.7.111	
>>>>CHOICE report criteria	OP			
>>>>LCS reporting criteria			LCS	
			reporting	
			criteria	
			10.3.7.110	
>>>>Periodical reporting			Periodical	
			reporting	
			criteria	
			10.3.7.53	
>>>>No reporting				
Radio Bearer Information				
Elements				
>Pre-defined configuration	OP		Pre-defined	
status information			configuration	
			status	
			information	
			14.13.2.3	
>Signalling RB information list	MP	1 to		For each signalling radio
		<maxsrbs< td=""><td></td><td>bearer</td></maxsrbs<>		bearer
		etup>		
>>Signalling RB information	MP		Signalling	
			RB	
			information	
			to setup	
			10.3.4.24	
>RAB information list	OP	1 to		Information for each RAB
		<maxrabs< td=""><td></td><td></td></maxrabs<>		
	MD	etup>	DAD	
>>RAB information	MP		RAB	
			information	
			to setup	
Trenen est Ok	+		10.3.4.10	
Transport Channel Information Elements				
Uplink transport channels				
>UL Transport channel	OP		UL Transport	
information common for all			channel	
transport channels			information	
			common for	
			all transport	
			channels	
	1			
			10352/	
>UL transport channel	OP	1 to	10.3.5.24	
>UL transport channel information list	OP	1 to <maxtrch< td=""><td>10.3.5.24</td><td></td></maxtrch<>	10.3.5.24	

Information Element/Group Name	Need	Multi	Type and reference	Semantics description
>>UL transport channel information	MP		Added or reconfigured UL TrCH information 10.3.5.2	
>CHOICE mode	OP			
>>FDD				
>>>CPCH set ID	OP		CPCH set ID 10.3.5.5	
>>>Transport channel information for DRAC list	OP	1 to <maxtrch &gt;</maxtrch 		
>>>>DRAC static information	MP		DRAC static information 10.3.5.7	
>>TDD				(no data)
Downlink transport channels				
>DL Transport channel information common for all transport channels	OP		DL Transport channel information common for all transport channels 10.3.5.6	
>DL transport channel information list	OP	1 to <maxtrch &gt;</maxtrch 		
>>DL transport channel information	MP		Added or reconfigured DL TrCH information 10.3.5.1	
>Measurement report	OP		MEASUREM ENT REPORT 10.2.17	
Other Information elements				
Failure cause	OP		Failure cause 10.3.3.13	Diagnostics information related to an earlier SRNC Relocation request (see NOTE 2 in 14.12.0a)
Protocol error information	CV-ProtErr		Protocol error information 10.3.8.12	

Multi Bound	Explanation
MaxNoOfMeas	Maximum number of active measurements, upper limit 16

Condition	Explanation
Setup	The IE is mandatory present when the IE Measurement command has the value "Setup", otherwise the IE is not needed.
Ciphering	The IE is mandatory present when the IE Ciphering Status has the value "started" and the ciphering counters need not be reinitialised, otherwise the IE is not needed.
IP	The IE is mandatory present when the IE Integrity protection status has the value "started" and the integrity protection counters need not be reinitialised, otherwise the IE is not needed.
ProtErr	This IE is mandatory present if the IE "Protocol error indicator" is included and has the value "TRUE". Otherwise it is not needed.