### RP-020047

### 3GPP TSG-RAN Meeting #15 Jeju, Korea, 5 – 8, March, 2002

Title: Agreed CRs (R99 and Rel-4 Category A) to TS 25.214

Source: TSG-RAN WG1

Agenda item: 7.1.3

No.	Spec	CR	Rev	R1 T-doc	Subject	Release	Cat	Workitem	V_old	V_new
1	25.214	226	-	R1-02-0305	Clarification on DPCCH dedicated pilot bits with closed loop	R99	F	TEI	3.9.0	3.10.0
					mode 1					
2	25.214	227	-	R1-02-0305	Clarification on DPCCH dedicated pilot bits with closed loop mode 1	Rel-4	A	TEI	4.3.0	4.4.0
3	25.214	230	1	R1-02-0487	Qth threshold parameter in SSDT	R99	F	TEI	3.9.0	3.10.0
4	25.214	231	1	R1-02-0487	Qth threshold parameter in SSDT	Rel-4	Α	TEI	4.3.0	4.4.0
5	25.214	239	1	R1-02-0488	TPC procedure in UE when SSDT is activated	R99	F	TEI	3.9.0	3.10.0
6	25.214	240	1	R1-02-0488	TPC procedure in UE when SSDT is activated	Rel-4	Α	TEI	4.3.0	4.4.0

	CHANGE REQUEST										
ж	<b>25.214</b> CR <b>226 # rev</b> - <b>#</b> Current version: <b>3.9.0 #</b>										
For <u>HELP</u> on ι	For <u><b>HELP</b></u> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.										
Proposed change affects: # (U)SIM ME/UE X Radio Access Network X Core Network											
Title: #	Clarification on DPCCH dedicated pilot bits with closed loop mode 1										
Source: #	TSG RAN WG1										
Work item code: भ	TEI Date: 육 13-02-02										
Category: #	FRelease: % R99Use one of the following categories:F (correction)A (corresponds to a correction in an earlier release)2 (GSM Phase 2)B (addition of feature),R96 (Release 1996)C (functional modification of feature)R98 (Release 1997)D (editorial modification)R99 (Release 1999)D tetailed explanations of the above categories can be found in 3GPP TR 21.900.Release 1990										
Reason for change Summary of change	orthogonal pilot patterns (when Npilot = 2) may be used between the transmit antennas in closed loop mode 1. Section 7 of the current version of TS 25.214 specifies that different (orthogonal) pilot bit patterns may be used. The wording "different (orthogonal)" is incorrect. <b>ge: #</b> This CR clarifies that non orthogonal dedicated pilot bits of DPCCH may be sent										
Consequences if not approved:	<ul> <li>over the two antennas when Npilot = 2 and closed loop mode 1 is used.</li> <li><b>%</b> The text in TS 25.214 related to the use of the pilot bit patterns in closed loop mode 1 would remain incorrect and not aligned with TS 25.211.</li> </ul>										
Clauses affected:	¥ <mark>7</mark>										
Other specs affected:	%       Other core specifications       %         Test specifications       0&M Specifications										
Other comments:	ж -										

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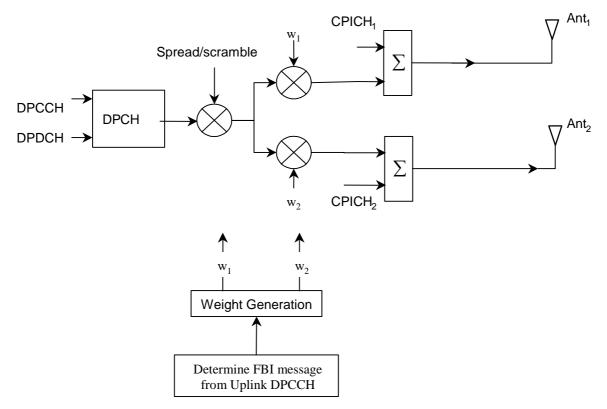
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 7 Closed loop mode transmit diversity

The general transmitter structure to support closed loop mode transmit diversity for DPCH transmission is shown in figure 3. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_i$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general.

The weight factors (actually the corresponding phase adjustments in closed loop mode 1 and phase/amplitude adjustments in closed loop mode 2) are determined by the UE, and signalled to the UTRAN access point (=cell transceiver) using the D sub-field of the FBI field of uplink DPCCH.

For the closed loop mode 1 different (orthogonal when  $N_{pilot} > 2$ ) dedicated pilot symbols in the DPCCH are sent on the 2 different antennas. For closed loop mode 2 the same dedicated pilot symbols in the DPCCH are sent on both antennas.



# Figure 3: The generic downlink transmitter structure to support closed loop mode transmit diversity for DPCH transmission

There are two closed loop modes whose characteristics are summarised in the table 8. The use of the modes is controlled via higher layer signalling.

Table 8: Summary of number of feedback information bits per slot, N<sub>FBD</sub>, feedback command length in slots, N<sub>w</sub>, feedback command rate, feedback bit rate, number of phase bits, N<sub>ph</sub>, per signalling word, number of amplitude bits, N<sub>po</sub>, per signalling word and amount of constellation rotation at UE for the two closed loop modes

Closed loop mode	N <sub>FBD</sub>	Nw	Update rate	Feedback bit rate	N <sub>po</sub>	N <sub>ph</sub>	Constellatio n rotation	
1	1	1	1500 Hz	1500 bps	0	1	π/2	
2	1	4	1500 Hz	1500 bps	1	3	N/A	

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Title: ដ	Clarification on DPCCH dedicated pilot bits with closed loop mode 1								
Source: ೫	TSG RAN WG1								
Work item code: %	TEI Date: # 13-02-02								
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Consequences if not approved:	Control The text in TS 25.214 related to the use of the pilot bit patterns in closed loop mode 1 would remain incorrect and not aligned with TS 25.211.								
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Other specs affected:	%       Other core specifications       %         Test specifications       0&M Specifications								
Other comments:	ж -								

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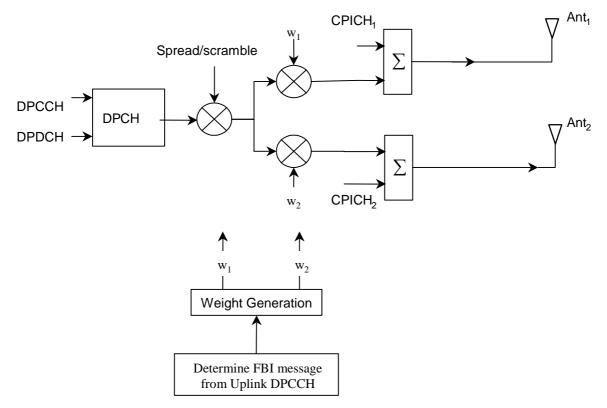
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## 7 Closed loop mode transmit diversity

The general transmitter structure to support closed loop mode transmit diversity for DPCH transmission is shown in figure 3. Channel coding, interleaving and spreading are done as in non-diversity mode. The spread complex valued signal is fed to both TX antenna branches, and weighted with antenna specific weight factors  $w_1$  and  $w_2$ . The weight factors are complex valued signals (i.e.,  $w_i = a_i + jb_i$ ), in general.

The weight factors (actually the corresponding phase adjustments in closed loop mode 1 and phase/amplitude adjustments in closed loop mode 2) are determined by the UE, and signalled to the UTRAN access point (=cell transceiver) using the D sub-field of the FBI field of uplink DPCCH.

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# Figure 3: The generic downlink transmitter structure to support closed loop mode transmit diversity for DPCH transmission

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Closed loop mode	N <sub>FBD</sub>	Nw	Update rate	Feedback bit rate	N <sub>po</sub>	N <sub>ph</sub>	Constellatio n rotation
1	1	1	1500 Hz	1500 bps	0	1	π/2
2	1	4	1500 Hz	1500 bps	1	3	N/A

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if at least the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.
- The received uplink signal quality satisfies a quality threshold, Qth a parameter defined by the network.

- If uplink compressed mode is used, and less than  $\lfloor N_{ID}/3 \rfloor$  bits are lost from the ID code (as a result of uplink compressed mode), where  $N_{ID}$  is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot  $(j+1+T_{os}) \mod 15$ , where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5.

	The number of FBI bits per slot assigned for SSDT						
code length	1	2					
"long"	1 update per frame	2 updates per frame					
"medium"	2 updates per frame	4 updates per frame					
"short"	3 updates per frame	5 updates per frame					

#### Table 5: Period of primary cell update

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#### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if at least the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.
- The received uplink signal quality satisfies a quality threshold, Qth a parameter defined by the network.

- If uplink compressed mode is used, and less than  $\lfloor N_{ID}/3 \rfloor$  bits are lost from the ID code (as a result of uplink compressed mode), where  $N_{ID}$  is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot  $(j+1+T_{os}) \mod 15$ , where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5.

	The number of FBI bits per slot assigned for SSI							
code length	1	2						
"long"	1 update per frame	2 updates per frame						
"medium"	2 updates per frame	4 updates per frame						
"short"	3 updates per frame	5 updates per frame						

#### Table 5: Period of primary cell update

#### 1

### 3GPP TSG RAN Meeting #15 Jeju, Korea, 5 – 8, March, 2002

## R1-02-0488

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change reques

ID label	"long"	"medium"	"short"
а	00000000000000	(0)0000000	00000
b	101010101010101	(0)1010101	01001
С	011001100110011	(0)0110011	11011
d	110011001100110	(0)1100110	10010
е	000111100001111	(0)0001111	00111
f	101101001011010	(0)1011010	01110
g	011110000111100	(0)0111100	11100
ĥ	110100101101001	(0)1101001	10101

Table 3: Settings of ID codes for 1 bit FBI

#### Table 4: Settings of ID codes for 2 bit FBI

	ID code									
	(Column and Row deno	FBI-bit position.)								
ID label	"long"	"medium"	"short"							
а	(0)0000000	(0)000	000							
	(0)0000000	(0)000	000							
b	(0)0000000	(0)000	000							
	(1)111111	(1)111	111							
С	(0)1010101	(0)101	101							
	(0)1010101	(0)101	101							
d	(0)1010101	(0)101	101							
	(1)0101010	(1)010	010							
е	(0)0110011	(0)011	011							
	(0)0110011	(0)011	011							
f	(0)0110011	(0)011	011							
	(1)1001100	(1)100	100							
g	(0)1100110	(0)110	110							
	(0)1100110	(0)110	110							
h	(0)1100110	(0)110	110							
	(1)0011001	(1)001	001							

The ID code bits shown in table 3 and table 4 are transmitted from left to right. In table 4, the first row gives the first FBI bit in each slot, the second row gives the 2nd FBI bit in each slot. The ID code(s) are transmitted aligned to the radio frame structure (i.e. ID codes shall be terminated within a frame). If FBI space for sending the last ID code within a frame cannot be obtained, the first bit(s) from that ID code are punctured. The bit(s) to be punctured are shown in brackets in table 3 and table 4.

The alignment of the ID codes to the radio frame structure is not affected by transmission gaps resulting from uplink compressed mode.

#### 5.2.1.4.2 TPC procedure in UE

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH based on the -downlink signals from the primary cell as selected by the UE only. An example on how to derive the TPC commands is given in Annex B.2.

#### 5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of P-CPICHs transmitted by the active cells. The cell with the highest P-CPICH RSCP is detected as a primary cell.

#### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.

- The received uplink signal quality satisfies a quality threshold, Qth, a parameter defined by the network.
- If uplink compressed mode is used, and less than  $\lfloor N_{ID}/3 \rfloor$  bits are lost from the ID code (as a result of uplink compressed mode), where  $N_{ID}$  is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot  $(j+1+T_{os}) \mod 15$ , where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5. However, SSDT is only applicable with DPC\_MODE = 0.

	The number of FBI bits per slot assigned for SSDT			
code length	1	2		
"long"	1 update per frame	2 updates per frame		
"medium"	2 updates per frame	4 updates per frame		
"short"	3 updates per frame	5 updates per frame		

#### Table 5: Period of primary cell update

#### 5.2.1.4.5 TPC procedure in the network

In SSDT, a non-primary cell can switch off its DPDCH output (i.e. no transmissions).

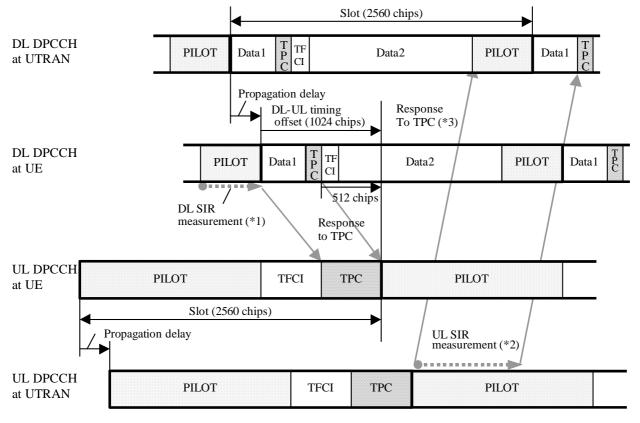
The cell manages two downlink transmission power levels, P1, and P2. Power level P1 is used for downlink DPCCH transmission power level and this level is updated in the same way with the downlink DPCCH power adjustment specified in 5.2.1.2.2 (for normal mode) and 5.2.1.3 (for compressed mode) regardless of the selected state (primary or non-primary). The actual transmission power of TFCI, TPC and pilot fields of DPCCH is set by adding P1 and the offsets PO1, PO2 and PO3, respectively, as specified in 5.2.1.1. P2 is used for downlink DPDCH transmission power level and this level is set to P1 if the cell is selected as primary, otherwise P2 is switched off. The cell updates P1 first and P2 next, and then the two power settings P1 and P2 are maintained within the power control dynamic range. Table 6 summarizes the updating method of P1 and P2.

State of cell	P1 (DPCCH)	P2 (DPDCH)
non primary	Updated in the same way with the downlink DPCCH power adjustment specified in 5.2.1.2.2 and 5.2.1.3	Switched off
primary		= P1

### 5.2.2 PDSCH

The PDSCH power control can be based on the following solutions, which are selectable, by the network:

- Inner-loop power control based on the power control commands sent by the UE on the uplink DPCCH.
- Slow power control.



1,2 The SIR measurement periods illustrated here are examples. Other ways of measurement are allowed to achieve accurate SIR estimation.

3 If there is not enough time for UTRAN to respond to the TPC, the action can be delayed until the next slot.



## B.2 Example of implementation in the UE

The downlink inner-loop power control adjusts the network transmit power in order to keep the received downlink SIR at a given SIR target, SIR<sub>target</sub>. A higher layer outer loop adjusts SIR<sub>target</sub> independently for each connection.

The UE should estimate the received downlink DPCCH/DPDCH power of the connection to be power controlled. Simultaneously, the UE should estimate the received interference and calculate the signal-to-interference ratio, SIR<sub>est</sub>. SIR<sub>est</sub> can be calculated as RSCP/ISCP, where RSCP refers to the received signal code power on one code and ISCP refers to the non-orthogonal interference signal code power of the received signal on one code. Note that due to the specific SIR target offsets described in [5] that can be applied during compressed frames, the spreading factor shall not be considered in the calculation of SIR<sub>est</sub>.

The obtained SIR estimate SIR<sub>est</sub> is then used by the UE to generate TPC commands according to the following rule: if  $SIR_{est} > SIR_{target}$  then the TPC command to transmit is "0", requesting a transmit power decrease, while if  $SIR_{est} < SIR_{target}$  then the TPC command to transmit is "1", requesting a transmit power increase.

When the UE is in soft handover and SSDT is not activated, the UE should estimate  $SIR_{est}$  from the downlink signals of all cells in the active set.

When SSDT is activated, the UE should estimate SIR<sub>est</sub> from the downlink signals of the primary cell as described in 5.2.1.4.2. If the state of the cells (primary or non-primary) in the active set is changed and the UE sends the last portion of the coded ID in uplink slot j, the UE should change the basis for the estimation of SIR<sub>est</sub> at the beginning of downlink slot  $(j+1+T_{os}) \mod 15$ , where  $T_{os}$  is defined as a constant of 2 time slots.

#### 1

### 3GPP TSG RAN Meeting #15 Jeju, Korea, 5 – 8, March, 2002

## R1-02-0488

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#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest

version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change reques

	ID code			
ID label	"long"	"medium"	"short"	
а	00000000000000	(0)0000000	00000	
b	101010101010101	(0)1010101	01001	
С	011001100110011	(0)0110011	11011	
d	110011001100110	(0)1100110	10010	
е	000111100001111	(0)0001111	00111	
f	101101001011010	(0)1011010	01110	
g	011110000111100	(0)0111100	11100	
ĥ	110100101101001	(0)1101001	10101	

Table 3: Settings of ID codes for 1 bit FBI

#### Table 4: Settings of ID codes for 2 bit FBI

		ID code			
	(Column and Row denote slot position and FBI-bit position.)				
ID label	"long"	"medium"	"short"		
а	(0)0000000	(0)000	000		
	(0)000000	(0)000	000		
b	(0)0000000	(0)000	000		
	(1)111111	(1)111	111		
С	(0)1010101	(0)101	101		
	(0)1010101	(0)101	101		
d	(0)1010101	(0)101	101		
	(1)0101010	(1)010	010		
е	(0)0110011	(0)011	011		
	(0)0110011	(0)011	011		
f	(0)0110011	(0)011	011		
	(1)1001100	(1)100	100		
g	(0)1100110	(0)110	110		
	(0)1100110	(0)110	110		
h	(0)1100110	(0)110	110		
	(1)0011001	(1)001	001		

The ID code bits shown in table 3 and table 4 are transmitted from left to right. In table 4, the first row gives the first FBI bit in each slot, the second row gives the 2nd FBI bit in each slot. The ID code(s) are transmitted aligned to the radio frame structure (i.e. ID codes shall be terminated within a frame). If FBI space for sending the last ID code within a frame cannot be obtained, the first bit(s) from that ID code are punctured. The bit(s) to be punctured are shown in brackets in table 3 and table 4.

The alignment of the ID codes to the radio frame structure is not affected by transmission gaps resulting from uplink compressed mode.

#### 5.2.1.4.2 TPC procedure in UE

The UE shall generate TPC commands to control the network transmit power and send them in the TPC field of the uplink DPCCH based on the downlink signals from the primary cell as selected by the UE only. An example on how to derive the TPC commands is given in Annex B.2.

#### 5.2.1.4.3 Selection of primary cell

The UE selects a primary cell periodically by measuring the RSCP of P-CPICHs transmitted by the active cells. The cell with the highest P-CPICH RSCP is detected as a primary cell.

#### 5.2.1.4.4 Delivery of primary cell ID

The UE periodically sends the ID code of the primary cell via portion of the uplink FBI field assigned for SSDT use (FBI S field). A cell recognises its state as non-primary if the following conditions are fulfilled simultaneously:

- The received ID code does not match with the own ID code.

- The received uplink signal quality satisfies a quality threshold, Qth, a parameter defined by the network.
- If uplink compressed mode is used, and less than  $\lfloor N_{ID}/3 \rfloor$  bits are lost from the ID code (as a result of uplink compressed mode), where  $N_{ID}$  is the number of bits in the ID code (after puncturing according to clause 5.2.1.4.1.1, if puncturing has been done).

Otherwise the cell recognises its state as primary.

The state of the cells (primary or non-primary) in the active set is updated synchronously. If a cell receives the last portion of the coded ID in uplink slot j, the state of cell is updated in downlink slot  $(j+1+T_{os}) \mod 15$ , where  $T_{os}$  is defined as a constant of 2 time slots. The updating of the cell state is not influenced by the operation of downlink compressed mode.

At the UE, the primary ID code to be sent to the cells is segmented into a number of portions. These portions are distributed in the uplink FBI S-field. The cell in SSDT collects the distributed portions of the primary ID code and then detects the transmitted ID. The period of the primary cell update depends on the settings of the code length and the number of FBI bits assigned for SSDT use as shown in table 5. However, SSDT is only applicable with DPC\_MODE = 0.

	The number of FBI bits per slot assigned for SSDT			
code length	1	2		
"long"	1 update per frame	2 updates per frame		
"medium"	2 updates per frame	4 updates per frame		
"short"	3 updates per frame	5 updates per frame		

#### Table 5: Period of primary cell update

#### 5.2.1.4.5 TPC procedure in the network

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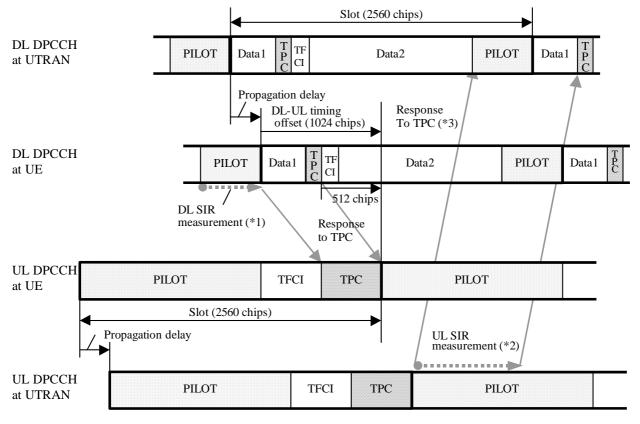
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