# TSGRP#12(01) 0374

## TSG-RAN Meeting #12 Stockholm, Sweden, 12 - 15 June 2001

Title: Agreed CRs to TS 25.413

Source: TSG-RAN WG3

Agenda item: 8.3.3/8.3.4

Tdoc_Num	Specification	CR_Num	Revision_Num	CR_Subject	CR_Category	WG_Status	Cur_Ver_Num	New_Ver_Num	Workitem
R3-011858	25.413	276	2	Corrections and introduction of an appendix for usage of Criticality Diagnostics IE	F	agreed	3.5.0	3.6.0	TEI
R3-011859	25.413	277	1	Corrections and introduction of an appendix for usage of Criticality Diagnostics IE	A	agreed	4.0.0	4.1.0	TEI
R3-011323	25.413	278		Reporting of Logical Error with Error Indication Procedure	F	agreed	3.5.0	3.6.0	TEI
R3-011324	25.413	279		Reporting of Logical Error with Error Indication Procedure	A	agreed	4.0.0	4.1.0	TEI
R3-011331	25.413	280		Clarification of IEs order rule	F	agreed	3.5.0	3.6.0	TEI
R3-011332	25.413	281		Clarification of IEs order rule	A	agreed	4.0.0	4.1.0	TEI
R3-011348	25.413	284		CN Domain Indicator in ERROR INDICATION	F	agreed	3.5.0	3.6.0	TEI
R3-011349	25.413	285		CN Domain Indicator in ERROR INDICATION	A	agreed	4.0.0	4.1.0	TEI
R3-011350	25.413	286		Correction to RAB Release Procedures description	F	agreed	3.5.0	3.6.0	TEI
R3-011351	25.413	287		Correction to RAB Release Procedures description	A	agreed	4.0.0	4.1.0	TEI
R3-011352	25.413	288		TRELOCalloc_usage	F	agreed	3.5.0	3.6.0	TEI
R3-011353	25.413	289		TRELOCalloc_usage	A	agreed	4.0.0	4.1.0	TEI

R3-011354	25.413	290		Relocation Resource Allocation in case of Cell/URA Update	F	agreed	3.5.0	3.6.0	TEI
R3-011355	25.413	291		Relocation Resource Allocation in case of Cell/URA Update	A	agreed	4.0.0	4.1.0	TEI
R3-011672	25.413	293	1	Global Cell-ID IE in INITIAL UE MESSAGE	F	agreed	3.5.0	3.6.0	TEI
R3-011673	25.413	294	1	Global Cell-ID IE in INITIAL UE MESSAGE	A	agreed	4.0.0	4.1.0	TEI
R3-011817	25.413	295	2	CN Domain Indicator in OVERLOAD message	F	agreed	3.5.0	3.6.0	TEI
R3-011818	25.413	296	2	CN Domain Indicator in OVERLOAD message	A	agreed	4.0.0	4.1.0	TEI
R3-011733	25.413	298	1	Reference to superseeded versions of ASN.1 documents	F	agreed	3.5.0	3.6.0	TEI
R3-011734	25.413	299	1	Reference to superseeded versions of ASN.1 documents	A	agreed	4.0.0	4.1.0	TEI

# 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

# R3-011858

CHANGE REQUEST							
<sup>ж</sup> 25.4	13 CR 276 <sup># rev</sup> 2 <sup># C</sup>	Current version: <b>3.5.0</b> <sup></sup> #					
For <u>HELP</u> on usi	ing this form, see bottom of this page or look at the p	pop-up text over the X symbols.					
Proposed change at	ffects: ೫ (U)SIM ME/UE Radio Acce	ess Network X Core Network X					
Title: ೫	Corrections and introduction of an appendix for usa	age of Criticality Diagnostics IE					
Source: #	R-WG3						
Work item code: #	TEI	<b>Date:</b>					
Category: #	F F	Release: ೫ <mark>R99</mark>					
	<ul> <li>Use <u>one</u> of the following categories:</li> <li><i>F</i> (essential correction)</li> <li><i>A</i> (corresponds to a correction in an earlier release)</li> <li><i>B</i> (Addition of feature),</li> <li><i>C</i> (Functional modification of feature)</li> <li><i>D</i> (Editorial modification)</li> <li>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</li> </ul>	Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)					
Reason for change:	# The Criticality Diagnostics IE cannot tell if a re	ported error is due to a not					
	understood or a missing IE. This needs to be a Also the usage of Criticality Diagnostics IE nee understand. An informative annex is thus adde	eds to be made easier to					
Summary of change	appendix with examples of the usage of <i>Critica</i> Changes since R3 #20: The semantics of the <i>Repetition Number</i> II and <i>Message Structure</i> IE have been improved One figure per example have been include One example on "missing IE" has been include One example on "missing IE" has been include One example on "missing IE" has been include The <i>Type of Error</i> IE has been added in th <i>Diagnostics</i> IE in the <i>Criticality Diagnostics</i> IE is causes to the inclusion of the Criticality Diagno The main reason for reporting Criticality Diagno <i>Cause</i> IE, but the reason may be different for of reason my be a missing IE (cause="Abstract S Message)") but still there may be a not underst (cause="Abstract Syntax Error (Reject)" or "Ab Notify)"). The value range for the <i>Repetition Numbe</i>	ality Diagnostics IE is also added. E in the Criticality Diagnostics IE ed. ed in the Appendix. cluded in the Appendix. ne Information Element Criticality to allow the reporting of multiple ostics IE. nostics can be indicated by the different reported IEs. E.g the main Syntax Error (Falsely Constructed stood IE reported as well ostract Syntax Error (Ignore and					
	<ul> <li>has been changed from (1256) to (0255).</li> <li>The value range for the <i>Repetition Numbe</i> has been changed from (1256) to (1256).</li> <li>Information for revision 1:</li> <li>It was recognised, that the addition of the exten <i>Number</i> IE in the <i>Criticality Diagnostics</i> IE and</li> </ul>	ension marker for the <i>Repetition</i>					
	to a non backwards compatible change, as it e (decoder) error if this IE is received by a node						

	implemented this change. R2: correction in ASN.1+removal of ellipsis from the repetition number.
Consequences if not approved:	<ul> <li>It will not be possible to know what type of error that is reported, making it difficult to take appropriate actions.</li> <li>The proposed change is not backwards compatible due to:</li> <li>The changes done to the value range for Repetition Number.</li> <li>The introduction of the possibility to report missing IEs, thus making received information ambiguous for a receiver implemented according to Criticality Diagnostics without this possibility.</li> </ul>

Clauses affected:	# 9.2.1.35, 9.2.1.42, 9.3.4, 9.3.6 and Appendix A.2 (new)								
Other specs	ж	Х	Other core specifications	ж	25.413 V4.0.0, CR277				
-			-		25.419 V3.4.0, CR035				
					25.419 V4.0.0, CR036				
					25.423 V3.5.0, CR340				
					25.423 V4.0.0, CR341				
					25.433 V3.5.0, CR389				
					25.433 V4.0.0, CR390				
			<b>T</b> and a second fit and the second						
affected:			Test specifications						
			O&M Specifications						
Other comments:	ж								

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://www.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 9.2.1.35 Criticality Diagnostics

For further details on how to use the Criticality Diagnostics IE, see Annex A.2.

IE/Group Name	Presence	Range	IE type and	Semantics description
-			reference	•
Criticality Diagnostics				
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERAT ED(initiating message, successful outcome, unsuccessful outcome, outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
>Procedure Criticality	0		ENUMERAT ED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used.
Information Element Criticality Diagnostics		0 to <maxnoof errors&gt;</maxnoof 		
>IE Criticality	М		ENUMERAT ED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Repetition Number	0		INTEGER ( <u>0</u> 425 <u>5</u> <del>6</del> )	The Repetition Number IE         gives         • in case of a not         understood IE:         The number of         occurrences of the         reported IE up to and         including the not         understood occurrence         • in case of a missing IE:         The number of         occurrences up to but not         including the missing         occurrence.         Note: All the counted         occurrences of the reported IE         must have the same topdown         hierachical message structure         of IEs with assigned criticality         above them. The repetition         number of the not understood         IE within the bottom most         repetition level identified by the         message structure IE, if         applicable
>Message Structure	0		9.2.1.42	The Message Structure IE describes the structure where the not understood or missing IE was detected. This IE is included if the not understood IE is not the top level of the message.
>Type of Error	<u>M</u>		ENUMERAT ED(not	lever of the message.

	understood,	
	<u>missing,)</u>	

Range bound	Explanation
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

## 9.2.1.42 Message Structure

The *Message Structure* IE gives information for each level with assigned criticality in an hierachical message structure from top level down to the lowest level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message structure		1 to <maxnoofle vels&gt;</maxnoofle 		The first repetition of the Message Structure IE corresponds to the top level of the message. The last repetition of the Message Structure IE corresponds to the level above the reported level for the occured error of the message.Informatio n given per level with assigned criticality in an hierachical message structure. Given from top level down to the level above the reported level for the occured error (reported in the Information Element Criticality	GLOBAL	ignore
>IE ID	M		INTEGER (065535)	Diagnostics IE). The IE ID of this level's IE containing the not understood or missing IE.	-	
>Repetition Number	0		INTEGER (1256)	The RepetitionNumber IE gives, ifapplicable, thenumber ofoccurrences of thislevel's reported IEup to and includingthe occurrencecontaining the notunderstood ormissing IE.Note: All the countedoccurrences of thereported IE musthave the sametopdown hierachicalmessage structureof IEs with assignedcriticality above	-	
				them.The repetition number of this level's reported IE, if applicable		

Range bound	Explanation
maxnooflevels	Maximum no. of message levels to report. The value for
	maxnooflevels is 256.

9.3.4 Information Element Definitions
<pre> ***********************************</pre>
RANAP-IEs { itu-t (0) identified-organization (4) etsi (0) mobileDomain (0) umts-Access (20) modules (3) ranap (0) versionl (1) ranap-IEs (2) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
<pre>IMPORTS     maxNrOfErrors,     maxNrOfPDPDirections,     maxNrOfPoints,     maxNrOfRABs,     maxNrOfSeparateTrafficDirections,     maxRAB-Subflows,     maxRAB-SubflowCombination,     maxNrOfLevels,</pre>
id-MessageStructure <u>,</u> id-TypeOfError
FROM RANAP-Constants
Criticality, ProcedureCode, ProtocolIE-ID, TriggeringMessage FROM RANAP-CommonDataTypes
ProtocolExtensionContainer{}, RANAP-PROTOCOL-EXTENSION FROM RANAP-Containers;
A
AllocationOrRetentionPriority ::= SEQUENCE {     priorityLevel PriorityLevel,     pre-emptionCapability Pre-emptionCapability,     pre-emptionVulnerability Pre-emptionVulnerability,     queuingAllowed QueuingAllowed,     iE-Extensions ProtocolExtensionContainer { {AllocationOrRetentionPriority-ExtIEs } } OPTIONAL,  }

rab-pre-empted (1),

```
AllocationOrRetentionPriority-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
}
AreaIdentity ::= CHOICE {
    sAI
                    SAI,
    geographicalArea
                            GeographicalArea,
    . . .
-- B
BindingID
                        ::= OCTET STRING (SIZE (4))
-- C
Cause ::= CHOICE {
    radioNetwork
                            CauseRadioNetwork,
    transmissionNetwork
                            CauseTransmissionNetwork,
    nAS
                    CauseNAS,
    protocol
                        CauseProtocol,
    misc
                        CauseMisc,
    non-Standard
                            CauseNon-Standard,
    . . .
CauseMisc ::= INTEGER {
    om-intervention (113),
    no-resource-available (114),
    unspecified-failure (115),
    network-optimisation (116)
\{(113..128)
CauseNAS ::= INTEGER {
    user-restriction-start-indication (81),
    user-restriction-end-indication (82),
    normal-release (83)
} (81..96)
CauseProtocol ::= INTEGER
    transfer-syntax-error (97),
    semantic-error (98),
    message-not-compatible-with-receiver-state (99),
    abstract-syntax-error-reject (100),
    abstract-syntax-error-ignore-and-notify (101),
    abstract-syntax-error-falsely-constructed-message (102)
\{ (97..112) \}
CauseRadioNetwork ::= INTEGER {
```

```
Release 1999
```

trelocoverall-expiry (2), trelocprep-expiry (3). treloccomplete-expiry (4), tqueing-expiry (5), relocation-triggered (6), trellocalloc-expiry(7), unable-to-establish-during-relocation (8), unknown-target-rnc (9), relocation-cancelled (10), successful-relocation (11), requested-ciphering-and-or-integrity-protection-algorithms-not-supported (12), change-of-ciphering-and-or-integrity-protection-is-not-supported (13), failure-in-the-radio-interface-procedure (14), release-due-to-utran-generated-reason (15). user-inactivity (16), time-critical-relocation (17), requested-traffic-class-not-available (18), invalid-rab-parameters-value (19), requested-maximum-bit-rate-not-available (20), requested-guaranteed-bit-rate-not-available (21), requested-transfer-delay-not-achievable (22), invalid-rab-parameters-combination (23), condition-violation-for-sdu-parameters (24), condition-violation-for-traffic-handling-priority (25), condition-violation-for-guaranteed-bit-rate (26), user-plane-versions-not-supported (27), iu-up-failure (28), relocation-failure-in-target-CN-RNC-or-target-system(29), invalid-RAB-ID (30), no-remaining-rab (31), interaction-with-other-procedure (32), requested-maximum-bit-rate-for-dl-not-available (33), requested-maximum-bit-rate-for-ul-not-available (34), requested-quaranteed-bit-rate-for-dl-not-available (35), requested-quaranteed-bit-rate-for-ul-not-available (36), repeated-integrity-checking-failure (37), requested-report-type-not-supported (38), request-superseded (39), release-due-to-UE-generated-signalling-connection-release (40), resource-optimisation-relocation (41), requested-information-not-available (42), relocation-desirable-for-radio-reasons (43), relocation-not-supported-in-target-RNC-or-target-system (44), directed-retry (45), radio-connection-with-UE-Lost (46)  $\{(1..64)$ CauseNon-Standard ::= INTEGER (129..256) CauseTransmissionNetwork ::= INTEGER { signalling-transport-resource-failure (65), iu-transport-connection-failed-to-establish (66)

```
} (65..80)
```

```
CriticalityDiagnostics ::= SEQUENCE {
    procedureCode
                            ProcedureCode
                                                    OPTIONAL,
    triggeringMessage
                            TriggeringMessage
                                                    OPTIONAL,
    procedureCriticality
                                Criticality
                                                    OPTIONAL,
    iEsCriticalityDiagnostics
                                    CriticalityDiagnostics-IE-List OPTIONAL,
                            ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
CriticalityDiagnostics-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF
    SEQUENCE {
       iECriticality
                            Criticality,
        iE-ID
                            ProtocolIE-ID,
        repetitionNumber
                                RepetitionNumber0
                                                        OPTIONAL,
                                ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs } } OPTIONAL,
        iE-Extensions
        . . .
CriticalityDiagnostics-IE-List-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
        ID id-MessageStructure CRITICALITY ignore
                                                        EXTENSION MessageStructure PRESENCE optional
                                                                                                        }_|
        ID id-TypeOfError
                                CRITICALITY ignore
                                                        EXTENSION TypeOfError
                                                                                    PRESENCE mandatory },
    . . .
MessageStructure ::= SEQUENCE (SIZE (1..maxNrOfLevels)) OF
    SEQUENCE {
        iE-ID
                                ProtocolIE-ID,
                                RepetitionNumber1
        repetitionNumber
                                                        OPTIONAL,
        iE-Extensions
                                ProtocolExtensionContainer { {MessageStructure-ExtIEs} } OPTIONAL,
MessageStructure-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
         LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN ****
  ****
```

```
RelocationType ::= ENUMERATED {
    ue-not-involved,
    ue-involved,
    ...
```

}

RepetitionNumber <u>0</u> ::= INTEGER (<u>0</u> $\pm$ ..25<u>5</u> $\pm$ )

RepetitionNumber1 ::= INTEGER (1..256)

#### \*\*\*\* LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN \*\*\*\*

```
TriggerID
                      ::= OCTET STRING (SIZE (3..22))
TypeOfError ::= ENUMERATED {
   not-understood,
   missing,
    . . .
-- U
UE-ID ::= CHOICE {
    imsi
                       IMSI,
    imei
                       IMEI,
    . . .
}
UL-GTP-PDU-SequenceNumber
                           ::= INTEGER (0..65535)
UL-N-PDU-SequenceNumber
                         ::= INTEGER (0..65535)
UP-ModeVersions
                          ::= BIT STRING (SIZE (16))
USCH-ID
                          ::= INTEGER (0..255)
UserPlaneMode ::= ENUMERATED {
    transparent-mode,
    support-mode-for-predefined-SDU-sizes,
    . . .
}
END
```

## 9.3.6 Constant Definitions

---

-- Constant definitions

RANAP-Constants {
 itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
 umts-Access (20) modules (3) ranap (0) version1 (1) ranap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

id-ResetResource

id-RANAP-Relocation

\_ \_ -- Elementary Procedures \_\_\_ id-RAB-Assignment INTEGER ::= 0 id-Iu-Release INTEGER ::= 1 INTEGER ::= 2 id-RelocationPreparation id-RelocationResourceAllocation INTEGER ::= 3 id-RelocationCancel INTEGER ::= 4 id-SRNS-ContextTransfer INTEGER ::= 5 id-SecurityModeControl INTEGER ::= 6 id-DataVolumeReport INTEGER ::= 7 id-Re id-RA

id-Reset	INTEGER	::=	9
id-RAB-ReleaseRequest	INTEGER	::=	10
id-Iu-ReleaseRequest	INTEGER	::=	11
id-RelocationDetect	INTEGER	::=	12
id-RelocationComplete	INTEGER	::=	13
id-Paging	INTEGER	::=	14
id-CommonID	INTEGER	::=	15
id-CN-InvokeTrace	INTEGER	::=	16
id-LocationReportingControl	INTEGER	::=	17
id-LocationReport	INTEGER	::=	18
id-InitialUE-Message	INTEGER	::=	19
id-DirectTransfer	INTEGER	::=	20
id-OverloadControl	INTEGER	::=	21
id-ErrorIndication	INTEGER	::=	22
id-SRNS-DataForward	INTEGER	::=	23
id-ForwardSRNS-Context	INTEGER	::=	24
id-privateMessage	INTEGER	::=	25
id-CN-DeactivateTrace	INTEGER	::=	26

INTEGER ::= 27

INTEGER ::= 28

\_ \_ -- Extension constants \_ \_ maxPrivateIEs INTEGER ::= 65535 maxProtocolExtensions INTEGER ::= 65535 INTEGER ::= 65535 maxProtocolIEs \_\_\_ -- Lists \_ \_ maxNrOfDTs INTEGER ::= 15 maxNrOfErrors INTEGER ::= 256 maxNrOfIuSigConIds INTEGER ::= 250 maxNrOfPDPDirections INTEGER ::= 2 maxNrOfPoints INTEGER ::= 15 maxNrOfRABs INTEGER ::= 256 maxNrOfSeparateTrafficDirections INTEGER ::= 2 maxNrOfVol INTEGER ::= 2 maxNrOfLevels INTEGER ::= 256 maxRAB-Subflows INTEGER ::= 7 maxRAB-SubflowCombination INTEGER ::= 64 \_ \_ -- IEs \_\_\_ id-AreaIdentity INTEGER ::= 0 id-CN-DomainIndicator INTEGER ::= 3 id-Cause INTEGER ::= 4 id-ChosenEncryptionAlgorithm INTEGER ::= 5 id-ChosenIntegrityProtectionAlgorithm INTEGER ::= 6 id-ClassmarkInformation2 INTEGER ::= 7 id-ClassmarkInformation3 INTEGER ::= 8 id-CriticalityDiagnostics INTEGER ::= 9 id-DL-GTP-PDU-SequenceNumber INTEGER ::= 10 id-EncryptionInformation INTEGER ::= 11 id-IntegrityProtectionInformation INTEGER ::= 12 id-IuTransportAssociation INTEGER ::= 13 id-L3-Information INTEGER ::= 14 id-LAI INTEGER ::= 15 id-NAS-PDU INTEGER ::= 16 id-NonSearchingIndication INTEGER ::= 17 id-NumberOfSteps INTEGER ::= 18 id-OMC-ID INTEGER ::= 19

id-OldBSS-ToNewBSS-Information	INTEGER ::= 20
id-PagingAreaID	INTEGER ::= 21
id-PagingCause	INTEGER ::= 22
id-PermanentNAS-UE-ID	INTEGER ::= 23
id-RAB-ContextItem	INTEGER ::= 24
id-RAB-ContextList	INTEGER ::= 25
id-RAB-DataForwardingItem	INTEGER ::= 26
id-RAB-DataForwardingItem-SRNS-CtxReq	INTEGER ::= 27
id-RAB-DataForwardingList	INTEGER ::= 28
id-RAB-DataForwardingList-SRNS-CtxReq	INTEGER ::= 29
id-RAB-DataVolumeReportItem	INTEGER ::= 30
id-RAB-DataVolumeReportList	INTEGER ::= 31
id-RAB-DataVolumeReportRequestItem	INTEGER ::= 32
id-RAB-DataVolumeReportRequestList	INTEGER ::= 33
id-RAB-FailedItem	INTEGER ::= 34
id-RAB-FailedList	INTEGER ::= 35
id-RAB-ID	INTEGER ::= 36
id-RAB-QueuedItem	INTEGER ::= 37
id-RAB-QueuedList	INTEGER ::= 38
id-RAB-ReleaseFailedList	INTEGER ::= 39
id-RAB-ReleaseItem	INTEGER ::= 40
id-RAB-ReleaseList	INTEGER ::= 41
id-RAB-ReleasedItem	INTEGER ::= 42
id-RAB-ReleasedList	INTEGER ::= 43
id-RAB-ReleasedList-IuRelComp	INTEGER ::= 44
id-RAB-RelocationReleaseItem	INTEGER ::= 45
id-RAB-RelocationReleaseList	INTEGER ::= 46
id-RAB-SetupItem-RelocReq	INTEGER ::= 47
id-RAB-SetupItem-RelocRegAck	INTEGER ::= 48
id-RAB-SetupList-RelocReg	INTEGER ::= 49
id-RAB-SetupList-RelocRegAck	INTEGER ::= 50
id-RAB-SetupOrModifiedItem	INTEGER ::= 51
id-RAB-SetupOrModifiedList	INTEGER ::= 52
id-RAB-SetupOrModifyItem	INTEGER ::= 53
id-RAB-SetupOrModifyList	INTEGER ::= 54
id-RAC	INTEGER ::= 55
id-RelocationType	INTEGER ::= 56
id-RequestType	INTEGER ::= 57
id-SAI	INTEGER ::= 58
id-SAPI	INTEGER ::= 59
id-SourceID	INTEGER ::= 60
id-SourceRNC-ToTargetRNC-TransparentContainer	INTEGER ::= 61
id-TargetID	INTEGER ::= 62
id-TargetRNC-ToSourceRNC-TransparentContainer	INTEGER ::= 63
id-TemporaryUE-ID	INTEGER ::= 64
id-TraceReference	INTEGER ::= 65
	INTEGER ::= 65
id-TraceType	INTEGER := 66
id-TransportLayerAddress	INTEGER := 67
id-TriggerID id-UE-ID	
id-UE-ID	INTEGER ::= 69
id-UL-GTP-PDU-SequenceNumber	INTEGER ::= 70
id-RAB-FailedtoReportItem	INTEGER ::= 71
id-RAB-FailedtoReportList	INTEGER ::= 72

id-DRX-CycleLengthCoefficientINTEGER::= 76id-IuSigConIdListINTEGER::= 77id-IuSigConIdItemINTEGER::= 78
5
id-TuSigConIdItem INTEGER ::= 78
id-IuSigConId INTEGER ::= 79
id-DirectTransferInformationItem-RANAP-RelocInf INTEGER ::= 80
id-DirectTransferInformationList-RANAP-RelocInf INTEGER ::= 81
id-RAB-ContextItem-RANAP-RelocInf INTEGER ::= 82
id-RAB-ContextList-RANAP-RelocInf INTEGER ::= 83
id-RAB-ContextFailedtoTransferItem INTEGER ::= 84
id-RAB-ContextFailedtoTransferList INTEGER ::= 85
id-GlobalRNC-ID INTEGER ::= 86
id-RAB-ReleasedItem-IuRelComp INTEGER ::= 87
id-MessageStructure INTEGER ::= 88
id-TypeOfError INTEGER ::= 93

END

# A.2 Guidelines for Usage of the Criticality Diagnostics IE

# A.2.1 EXAMPLE MESSAGE Layout

Assume the following message format:

<u>IE/Group Name</u>	Presence	<u>Range</u>	<u>IE type</u> and referenc e	<u>Semantics</u> description	<u>Criticality</u>	<u>Assigned</u> <u>Criticality</u>
Message Type	Μ				YES	reject
	M				YES	reject
<u>A</u> <u>B</u>	M				YES	reject
<u>&gt;E</u>		1 <maxe></maxe>			EACH	ignore
<u>&gt;&gt;F</u>		1 <maxf></maxf>			<u> </u>	
<u>&gt;&gt;&gt;G</u>		<u>03,</u>			<u>EACH</u>	ignore
<u>&gt;&gt;H</u>		<u>1<maxh></maxh></u>			EACH	ignore
<u>&gt;&gt;&gt;G</u>		<u>03,</u>			EACH	ignore and
						<u>notify</u>
<u>&gt;&gt;G</u>	<u>M</u>				<u>YES</u>	<u>reject</u>
<u>&gt;&gt;J</u>		<u>1<maxj></maxj></u>			-	
<u>&gt;&gt;&gt;G</u>		<u>03,</u>			EACH	<u>reject</u>
<u>C</u>	M				YES	<u>reject</u>
<u>&gt;K</u>		<u>1<maxk></maxk></u>			<u>EACH</u>	ignore and notify
>>L		<u>1<maxl></maxl></u>			-	
>>>M	0				-	
<u>D</u>	M				YES	<u>reject</u>

 Note 1.
 The IEs F, J, and L do not have assigned criticality. The IEs F, J, and L are consequently realised as the

 ASN.1 type SEQUENCE OF of "ordinary" ASN.1 type, e.g. INTEGER. On the other hand, the repeatable

 IEs with assigned criticality are realised as the ASN.1 type SEQUENCE OF of an IE object, e.g.

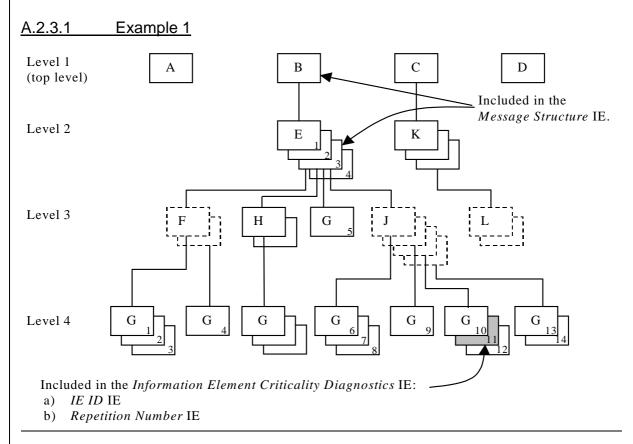
 ProtocolIE-Container.

For the corresponding ASN.1 layout, see subclause A.2.4.

## Example on a Received EXAMPLE MESSAGE A.2.2 Assume further more that a received message based on the above tabular format is according to the figure below. Level 1 В С D А (top level) Level 2 Е K Level 3 Η G F Level 4 G G G G G G G -1<sup>st</sup> repetition Legend: 2<sup>nd</sup> repetition N<sup>th</sup> repetition IE based on a protocol container IE being an "ordinary" ASN.1 type

## Figure A.1: Example of content of a received RANAP message based on the EXAMPLE MESSAGE

# A.2.3 Content of Criticality Diagnostics



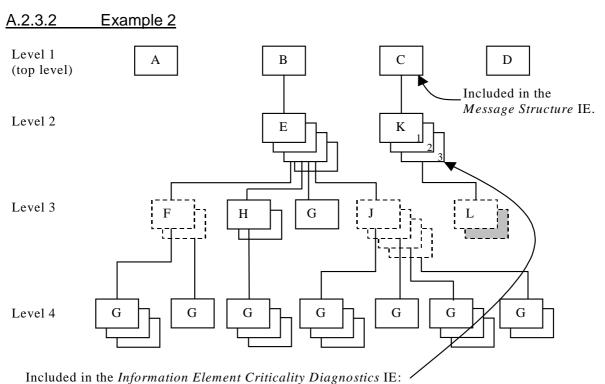
#### Figure A.2: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE J shown in the figure A.2 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	<u>Comment</u>
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 4.
<u>IE ID</u>	<u>id-G</u>	IE ID from the reported level, i.e. level 4.
Repetition	<u>11</u>	Repetition number on the reported level, i.e. level 4.
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE this is
		the eleventh occurrence of IE G within the IE E (level 2).
Type of Error	<u>not</u>	
	<u>underst</u>	
	<u>ood</u>	
Message Structur	<u>e, first rep</u>	etition_
>IE ID	<u>id-B</u>	IE ID from level 1.
Message Structur	re, second	<u>repetition</u>
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.
Number		

Note 2. The IE J on level 3 cannot be included in the *Message Structure* IE since they have no criticality of their <u>own</u>.

Note 3. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).



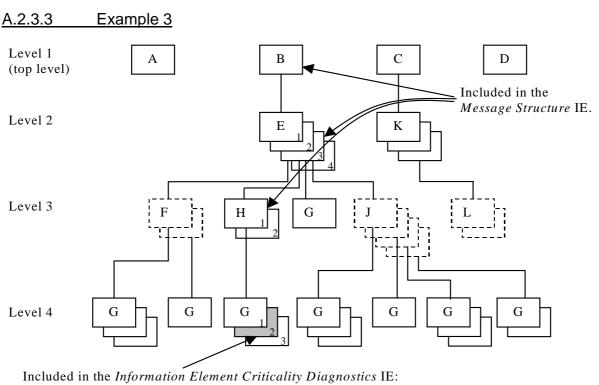
- a) *IE ID* IE
- b) Repetition Number IE

#### Figure A.3: Example of a received RANAP message containing a not comprehended IE

If there is an error within the second instance (marked as grey) in the sequence (IE L in the tabular format) on level 3 below IE K in the structure shown in the figure A.3 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	<u>Comment</u>
IE Criticality	<u>ignore</u>	Criticality for IE on the reported level, i.e. level 2.
	and	
	notify	
<u>IE ID</u>	<u>id-K</u>	IE ID from the reported level, i.e. level 2.
Repetition	3	Repetition number on the reported level, i.e. level 2.
Number		
Type of Error	<u>not</u>	
	<u>underst</u>	
	<u>ood</u>	
Message Structur	e, first repe	etition
<u>&gt;IE ID</u>	id-C	IE ID from the lowest level above the reported level, i.e. level 1.

Note 4. The IE L on level 3 cannot be reported individually included in the *Message Structure* IE since it has no criticality of its own.



a) *IE ID* IE

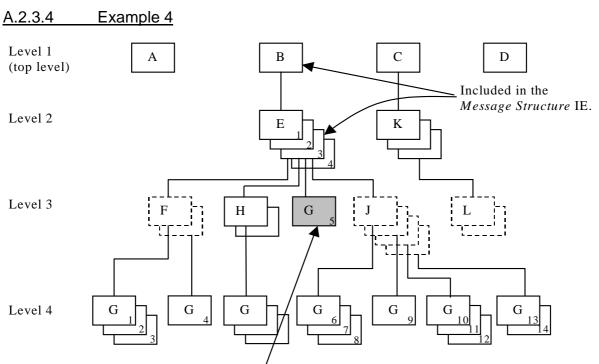
b) Repetition Number IE

### Figure A.4: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE H shown in the figure A.4 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment		
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 4.		
IE ID	id-G	IE ID from the reported level, i.e. level 4.		
Repetition Number	2	Repetition number on the reported level, i.e. level 4.		
Type of Error	<u>not</u> underst ood			
Message Structur	e, first repe	etition		
<u>&gt;IE ID</u>	id-B	IE ID from level 1.		
Message Structur	e, second	repetition		
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from level 2.		
<u>&gt;Repetition</u> <u>Number</u>	<u>3</u>	Repetition number from level 2.		
Message Structur	Message Structure, third repetition			
<u>&gt;IE ID</u>	id-H	IE ID from the lowest level above the reported level, i.e. level 3.		
>Repetition Number	1	Repetition number from the lowest level above the reported level, i.e. level 3.		

Note 5. The repetition number of level 4 indicates the number of repetitions of IE G received up to the detected erroneous repetition, counted below the same instance of the previous level with assigned criticality (instance 1 of IE H on level 3).



Included in the Information Element Criticality Diagnostics IE: a) IE ID IE

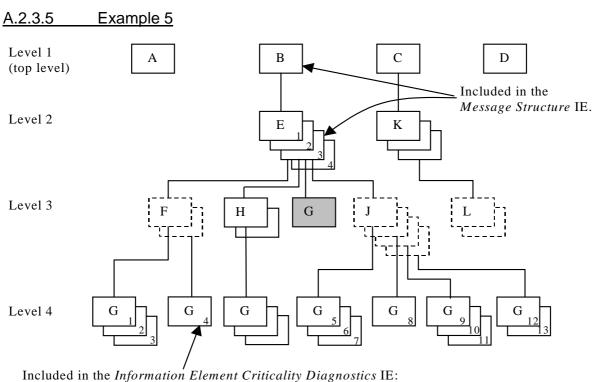
b) Repetition Number IE

### Figure A.5: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE E shown in the figure A.5 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.
<u>IE ID</u>	id-G	IE ID from the reported level, i.e. level 3.
<b>Repetition</b>	<u>5</u>	Repetition number on the reported level, i.e. level 3.
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE this is
		the fifth occurrence of IE G within the IE E (level 2).
Type of Error	not	
	<u>underst</u>	
	<u>ood</u>	
Message Structur	e, first rep	etition
<u>&gt;IE ID</u>	<u>id-B</u>	IE ID from level 1.
Message Structur	re, second	<u>repetition</u>
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.
Number		

Note 6. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).



- a) *IE ID* IE
- b) Repetition Number IE

### Figure A.6: Example of a received RANAP message with a missing IE

If the instance marked as grey in the IE G in the IE E shown in the figure A.6 above, is missing this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	<u>Comment</u>
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.
IE ID	id-G	IE ID from the reported level, i.e. level 3.
Repetition Number	4	Repetition number up to the missing IE on the reported level, i.e. level 3. (Since the IE E (level 2) is the lowest level included in the Message Structure IE there have been four occurrences of IE G within the IE E (level 2) up to the missing occurrence.
Type of Error	missing	
Message Structur	e, first repe	etition
<u>&gt;IE ID</u>	<u>id-B</u>	IE ID from level 1.
Message Structur	e, second	repetition
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from the lowest level above the reported level, i.e. level 2.
>Repetition Number	<u>3</u>	Repetition number from the lowest level above the reported level, i.e. level 2.

Note 7. The repetition number of the reported IE indicates the number of repetitions of IE G received up to but not including the missing occurrence, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

## A.2.4 ASN.1 of EXAMPLE MESSAGE

ExampleMessage ::= SEQUENCE { 
 ProtocolIEs
 ProtocolIE-Container
 {{ExampleMessage-IEs}},

 ProtocolExtensions
 ProtocolExtensionContainer
 {ExampleMessage-IEs},
 OPTIONAL, . . . } ExampleMessage-IEs RANAP-PROTOCOL-IES ::= { CRITICALITY reject TYPE A PRESENCE mandatory} CRITICALITY reject TYPE B PRESENCE mandatory} { ID id-A { ID id-B { ID id-C CRITICALITY reject TYPE C PRESENCE mandatory} { ID id-D CRITICALITY reject TYPE D PRESENCE mandatory} . . . } B ::= SEQUENCE  $\{$ E-List, e iE-Extensions ProtocolExtensionContainer { {B-ExtIEs} } OPTIONAL, } B-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } E-List ::= SEQUENCE (SIZE (1..maxE)) OF ProtocollE-Container { {E-LES} } E-IES RANAP-PROTOCOL-IES ::= { { ID id-E CRITICALITY ignore TYPE E PRESENCE mandatory }, . . . } E ::= SEQUENCE { f F-List, H-List, h G-List1, g j J-List, iE-Extensions ProtocolExtensionContainer { {E-ExtIEs} } OPTIONAL, . . . } E-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } F-List ::= SEQUENCE (SIZE (1..maxF)) OF F  $F ::= SEQUENCE {$ G-List2 OPTIONAL, ProtocolExtensionContainer { {F-ExtIEs} } OPTIONAL, iE-Extensions . . . } RANAP-PROTOCOL-EXTENSION ::= { F-ExtIEs } G-List2 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G2-IES} } G2-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY ignore TYPE G PRESENCE mandatory }, . . . } H-List ::= SEQUENCE (SIZE (1..maxH)) OF Protocolle-Container { {H-LES} } H-IES RANAP-PROTOCOL-IES ::= { { ID id-H CRITICALITY ignore TYPE H PRESENCE mandatory }, . . . } H ::= SEQUENCE { G-List3 OPTIONAL α ProtocolExtensionContainer { {H-ExtIEs} } OPTIONAL, iE-Extensions . . . } H-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {

. . . } G-List3 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G3-IEs} } G3-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY not ify TYPE G PRESENCE mandatory }, . . . } G-List1 ::= ProtocolIE-Container { {G1-IEs} } G1-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY reject TYPE G PRESENCE mandatory }, . . . } J-List ::= SEQUENCE (SIZE (1..maxJ)) OF J J ::= SEQUENCE { G-List4 OPTIONAL, iE-Extensions ProtocolExtor ProtocolExtensionContainer { {J-ExtIEs} } OPTIONAL, . . . } J-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { • • • } G-List4 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G4-IEs} } G4-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY reject TYPE G PRESENCE mandatory }, . . . } C ::= SEQUENCE { k K-List, iE-Extensions ProtocolExtensionContainer { {C-ExtIEs} } OPTIONAL, } C-ExtIEsA -PROTOCOL-EXTENSION ::= { . . . } K-List ::= SEQUENCE (SIZE (1..maxK)) OF Protocolle-Container { {K-IEs} } K-IES RANAP-PROTOCOL-IES ::= { { ID id-K CRITICALITY notify TYPE K PRESENCE mandatory }, . . . } K ::= SEQUENCE { L-List, 1 
 I
 L-List,

 iE-Extensions
 ProtocolExtensionContainer { {K-ExtIEs} } OPTIONAL,
 } K-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } L-List ::= SEQUENCE (SIZE (1..maxL)) OF L L ::= SEQUENCE  $\{$ M OPTIONAL, m iE-Extensions ProtocolExtensionContainer { {L-ExtIEs} } OPTIONAL, . . . } L-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } ExampleMessage-Extensions RANAP-PROTOCOL-EXTENSION ::= { . . . }

# 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

# R3-011859

CHANGE REQUEST						CR-Form-v3	
<sup>ж</sup> 25	. <mark>413</mark>	CR 277	₩ rev	<mark>1</mark> <sup>អ</sup>	Current versi	ion: <b>4.0.0</b>	ж
For <u>HELP</u> on	For <b>HELP</b> on using this form, see bottom of this page or look at the pop-up text over the <b>#</b> symbols.						
Proposed change	e affects: ₩	(U)SIM	1E/UE	Radio Ac	cess Network	Core Ne	etwork X
Title:	# Corrections	and introduction	of an apper	<mark>dix for u</mark>	sage of Critica	ality Diagnostic	cs IE
Source: ៖	₭ <mark>R-WG3</mark>						
Work item code: ३	fe TEI				Date:	2001-05-16	
Category: ३	ж <mark>А</mark>				Release: ೫	REL-4	
	F (esse A (corre B (Addi C (Fund D (Edito Detailed expla	e following categor ntial correction) esponds to a correc- tion of feature), tional modification ( orial modification) anations of the abo GPP TR 21.900.	tion in an earl of feature)		2 P) R96 R97 R98 R99 REL-4	the following reli (GSM Phase 2) (Release 1996) (Release 1997) (Release 1998) (Release 1999) (Release 4) (Release 5)	
Reason for chang	under Also ti under	Criticality Diagnost stood or a missing he usage of Critic stand. An informa	g IE. This ne ality Diagnos tive annex is	eds to be stics IE n s thus ad	e added. needs to be m lded.	ade easier to	
Summary of chan	appen Chang • Th ar • On • On • On • Th Du m Th Ca as (Ig • Th ha • Th ha • Th ha • Th ha	of Error is added to dix with examples ges since R3 #20: the semantics of the ad <i>Message Struc</i> the figure per exam- the example on "m the <i>Type of Error</i> II <i>iagnostics</i> IE in the ultiple causes to to the main reason for <i>ause</i> IE, but the re- ation reason my be constructed Messa to well (cause="Ab- genore and Notify)" the value range for as been changed the value range for as been changed the value range for as been changed the value range for as been cha	a missing IE a missing IE has been a e <i>Criticality</i> in he inclusion r reporting C eason may b a missing IE ge)") but still stract Syntax ). the <i>Repetit</i> from (1256 the <i>Repetit</i> from (1256 1:	a Number been im een inclu as been i added in Diagnost of the C Criticality be differe cause= I there m c Error (F ion Numi ) to (02) ion Numi ) to (12) of the ex tics IE an	r IE in the <i>Crit</i> aproved. ded in the <i>Application</i> ded in the <i>Application</i> ded in the <i>Application</i> ded in the <i>Application</i> the <i>Informatication</i> fics IE to allow riticality Diagn Diagnostics c nt for different ="Abstract Syr ay be a not un Reject)" or "Ab ber IE in the <i>C</i> 55,). ber IE in the <i>C</i> 55,).	stics IE is also icality Diagnos pendix. Appendix. The reporting iostics IE. an be indicate t reported IEs. ntax Error (Fal- nderstood IE re- ostract Syntax Criticality Diagn Message Struct er for the Repe ge Structure IE	added. atics IE iticality of d by the E.g the sely eported Error bostics IE ture IE

Consequences if not approved:	<ul> <li>(decoder) error if this IE is received by a node of an version which did not implemented this change.</li> <li>Correction in ASN.1+removal of ellipsis from the repetition number were performed.</li> <li>It will not be possible to know what type of error that is reported, making it difficult to take appropriate actions.</li> <li>The proposed change is not backwards compatible due to:</li> <li>The changes done to the value range for Repetition Number.</li> <li>The introduction of the possibility to report missing IEs, thus making received information ambiguous for a receiver implemented according to Criticality Diagnostics without this possibility.</li> </ul>			
Clauses affected:	# 9.2.1.35, 9.2.1.42, 9.3.4, 9.3.6 and Appendix A.2 (new)			
Other specs	<b>X</b> Other core specifications <b>X</b> 25.413 V3.5.0, CR276 25.419 V3.4.0 CR035			

Other specs	ж 🖊	Other core specifications	ж	25.413 V3.5.0, CR276
				25.419 V3.4.0, CR035
				25.419 V4.0.0, CR036
				25.423 V3.5.0, CR340
				25.423 V4.0.0, CR341
				25.433 V3.5.0, CR389
				25.433 V4.0.0, CR390
affected:		Test specifications		
		O&M Specifications		
		_		
Other comments:	Ħ			

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://www.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 9.2.1.35 Criticality Diagnostics

For further details on how to use the Criticality Diagnostics IE, see Annex A.2.

IE/Group Name	Presence	Range	IE type and	Semantics description
Criticality Diagnostics			reference	
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERAT ED(initiating message, successful outcome, unsuccessful outcome, outcome,	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
>Procedure Criticality	0		ENUMERAT ED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used.
Information Element Criticality Diagnostics		0 to <maxnoof errors&gt;</maxnoof 		
>IE Criticality	М		ENUMERAT ED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Repetition Number	0		INTEGER ( <u>0</u> 425 <u>5</u> <del>6</del> )	The Repetition Number IE         gives         • in case of a not understood IE: The number of occurrences of the reported IE up to and including the not understood occurrence         • in case of a missing IE: The number of occurrences up to but not including the missing occurrence.         • Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them. The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable
>Message Structure	0		9.2.1.42	applicable         The Message Structure IE         describes the structure where         the not understood or missing         IE was detected.         This IE is included if the not         understood IE is not the top         level of the message.
>Type of Error	<u>M</u>		ENUMERAT	I IEVEL UL LITE ITTESSAYE.
			ED(not	

	understood,	
	<u>missing,)</u>	

Range bound	Explanation
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

## 9.2.1.42 Message Structure

The *Message Structure* IE gives information for each level with assigned criticality in an hierachical message structure from top level down to the lowest level above the reported level for the occured error (reported in the *Information Element Criticality Diagnostics* IE).

IE/Group Name	Presence	Range	IE type and	Semantics description	Criticality	Assigned Criticality
			reference			
Message structure		1 to <maxnoofle vels&gt;</maxnoofle 		The first repetition of the Message Structure IE corresponds to the top level of the message. The last repetition of the Message Structure IE corresponds to the level above the reported level for the occured error of the message.Informatio n given per level with assigned criticality in an hierachical message structure. Given from top level down to the level above the reported level for the occured error (reported in the	GLOBAL	ignore
>IE ID	M		INTEGER	<i>Information Element</i> <i>Criticality</i> <i>Diagnostics</i> IE). The IE ID of this	_	
			(065535)	level's IE containing the not understood or missing IE.	-	
>Repetition Number	0		INTEGER (1256)	The Repetition Number IE gives, if applicable, the number of occurrences of this level's reported IE up to and including the occurrence containing the not understood or missing IE.	-	
				Note: All the counted occurrences of the reported IE must have the same topdown hierachical message structure of IEs with assigned criticality above them. The repetition number of this level's reported IE, if applicable		

Range bound	Explanation
maxnooflevels	Maximum no. of message levels to report. The value for
	maxnooflevels is 256.

9.3.4 Information Element Definitions
<pre> ***********************************</pre>
RANAP-IEs { itu-t (0) identified-organization (4) etsi (0) mobileDomain (0) umts-Access (20) modules (3) ranap (0) version1 (1) ranap-IEs (2) }
DEFINITIONS AUTOMATIC TAGS ::=
BEGIN
<pre>IMPORTS maxNrOfErrors, maxNrOfPDPDirections, maxNrOfPophis, maxNrOfRABs, maxNrOfSeparateTrafficDirections, maxRAB-Subflows, maxRAB-SubflowCombination, maxNrOfLevels, maxNrOfAltValues, id-MessageStructure, id-TypeOfError</pre>
FROM RANAP-Constants
Criticality, ProcedureCode, ProtocolIE-ID, TriggeringMessage FROM RANAP-CommonDataTypes
ProtocolExtensionContainer{}, RANAP-PROTOCOL-EXTENSION FROM RANAP-Containers;
A
AllocationOrRetentionPriority ::= SEQUENCE { priorityLevel PriorityLevel, pre-emptionCapability Pre-emptionCapability, pre-emptionVulnerability Pre-emptionVulnerability, queuingAllowed QueuingAllowed, iE-Extensions ProtocolExtensionContainer { {AllocationOrRetentionPriority-ExtIEs } } OPTIONAL, 

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```
}
AllocationOrRetentionPriority-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
}
Alt-RAB-Parameters ::= SEQUENCE {
    altMaxBitrateInf
                                Alt-RAB-Parameter-MaxBitrateInf
                                                                                         OPTIONAL,
    altGuaranteedBitRateInf
                                Alt-RAB-Parameter-GuaranteedBitrateInf
                                                                                         OPTIONAL,
                            ProtocolExtensionContainer { {Alt-RAB-Parameters-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
ļ
Alt-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
Alt-RAB-Parameter-GuaranteedBitrateInf ::= SEQUENCE {
    altGuaranteedBitrateType
                                      Alt-RAB-Parameter-GuaranteedBitrateType,
    altGuaranteedBitrates
                                        Alt-RAB-Parameter-GuaranteedBitrates
                                                                                         OPTIONAL
    -- This IE is only present when a value range or discrete values are given --,
    . . .
ļ
Alt-RAB-Parameter-GuaranteedBitrateType ::= ENUMERATED{
    unspecified,
    value-range,
    discrete-values,
    . . .
Alt-RAB-Parameter-GuaranteedBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
    Alt-RAB-Parameter-GuaranteedBitrateList
Alt-RAB-Parameter-GuaranteedBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate
Alt-RAB-Parameter-MaxBitrateInf ::= SEQUENCE {
    altMaxBitrateType
                             Alt-RAB-Parameter-MaxBitrateType,
    altMaxBitrates
                                Alt-RAB-Parameter-MaxBitrates
                                                                         OPTIONAL
    -- This IE is only present when a value range or discrete values are given --,
    . . .
}
Alt-RAB-Parameter-MaxBitrateType ::= ENUMERATED{
    unspecified,
    value-range,
    discrete-values,
    . . .
Alt-RAB-Parameter-MaxBitrates ::= SEQUENCE (SIZE (1..maxNrOfAltValues)) OF
```

Alt-RAB-Parameter-MaxBitrateList

```
Alt-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate
```

```
AreaIdentity ::= CHOICE {
    sAI
                    SAI,
    geographicalArea
                            GeographicalArea,
    . . .
}
Ass-RAB-Parameters ::= SEQUENCE {
    assMaxBitrateInf
                                Ass-RAB-Parameter-MaxBitrateList
                                                                                         OPTIONAL
    -- This IE is only present when RAB OoS Negotiation has been performed for the RAB Parameter in question --,
    assGuaranteedBitRateInf
                                Ass-RAB-Parameter-GuaranteedBitrateList
                                                                                         OPTIONAL
    -- This IE is only present when RAB OoS Negotiation has been performed for the RAB Parameter in guestion --.
                            ProtocolExtensionContainer { {Ass-RAB-Parameters-ExtIEs} } OPTIONAL,
    iE-Extensions
    . . .
Ass-RAB-Parameters-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
    . . .
Ass-RAB-Parameter-GuaranteedBitrateList ::= SEOUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF GuaranteedBitrate
Ass-RAB-Parameter-MaxBitrateList ::= SEQUENCE (SIZE (1..maxNrOfSeparateTrafficDirections)) OF MaxBitrate
-- B
BindingID
                        ::= OCTET STRING (SIZE (4))
-- C
Cause ::= CHOICE {
    radioNetwork
                            CauseRadioNetwork,
    transmissionNetwork
                            CauseTransmissionNetwork,
   nAS
                    CauseNAS,
                        CauseProtocol,
    protocol
    misc
                        CauseMisc,
    non-Standard
                            CauseNon-Standard,
    . . .
CauseMisc ::= INTEGER
    om-intervention (113),
    no-resource-available (114),
    unspecified-failure (115),
```

```
network-optimisation (116)
} (113..128)
CauseNAS ::= INTEGER {
   user-restriction-start-indication (81),
   user-restriction-end-indication (82),
   normal-release (83)
} (81..96)
CauseProtocol ::= INTEGER {
   transfer-syntax-error (97),
   semantic-error (98),
   message-not-compatible-with-receiver-state (99)
   abstract_gumtar_arror_resignt (100)
```

```
semantic-error (98),
message-not-compatible-with-receiver-state (99),
abstract-syntax-error-reject (100),
abstract-syntax-error-ignore-and-notify (101),
abstract-syntax-error-falsely-constructed-message (102)
```

```
} (97..112)
```

```
CauseRadioNetwork ::= INTEGER {
    rab-pre-empted (1),
    trelocoverall-expiry (2),
    trelocprep-expiry (3),
    treloccomplete-expiry (4),
    tqueing-expiry (5),
    relocation-triggered (6),
    trellocalloc-expiry(7),
    unable-to-establish-during-relocation (8),
    unknown-target-rnc (9),
    relocation-cancelled (10),
    successful-relocation (11),
    requested-ciphering-and-or-integrity-protection-algorithms-not-supported (12),
    change-of-ciphering-and-or-integrity-protection-is-not-supported (13),
    failure-in-the-radio-interface-procedure (14),
    release-due-to-utran-generated-reason (15),
    user-inactivity (16),
    time-critical-relocation (17),
    requested-traffic-class-not-available (18),
    invalid-rab-parameters-value (19),
    requested-maximum-bit-rate-not-available (20),
    requested-guaranteed-bit-rate-not-available (21),
    requested-transfer-delay-not-achievable (22),
    invalid-rab-parameters-combination (23),
    condition-violation-for-sdu-parameters (24),
    condition-violation-for-traffic-handling-priority (25),
    condition-violation-for-guaranteed-bit-rate (26),
    user-plane-versions-not-supported (27),
    iu-up-failure (28),
    relocation-failure-in-target-CN-RNC-or-target-system(29),
    invalid-RAB-ID (30),
    no-remaining-rab (31),
    interaction-with-other-procedure (32),
    requested-maximum-bit-rate-for-dl-not-available (33),
```

requested-maximum-bit-rate-for-ul-not-available (34), requested-quaranteed-bit-rate-for-dl-not-available (35), requested-quaranteed-bit-rate-for-ul-not-available (36), repeated-integrity-checking-failure (37), requested-report-type-not-supported (38), request-superseded (39), release-due-to-UE-generated-signalling-connection-release (40), resource-optimisation-relocation (41), requested-information-not-available (42), relocation-desirable-for-radio-reasons (43), relocation-not-supported-in-target-RNC-or-target-system (44), directed-retry (45), radio-connection-with-UE-Lost (46), rNC-unable-to-establish-all-RFCs (47)  $\{(1..64)$ CauseNon-Standard ::= INTEGER (129..256) CauseTransmissionNetwork ::= INTEGER signalling-transport-resource-failure (65), iu-transport-connection-failed-to-establish (66) } (65..80) CriticalityDiagnostics ::= SEQUENCE { procedureCode ProcedureCode OPTIONAL, triggeringMessage TriggeringMessage OPTIONAL, procedureCriticality Criticality OPTIONAL, iEsCriticalityDiagnostics CriticalityDiagnostics-IE-List OPTIONAL, ProtocolExtensionContainer { {CriticalityDiagnostics-ExtIEs} } OPTIONAL, iE-Extensions CriticalityDiagnostics-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . CriticalityDiagnostics-IE-List ::= SEQUENCE (SIZE (1..maxNrOfErrors)) OF SEQUENCE { iECriticality Criticality, iE-ID ProtocolIE-ID, repetitionNumber RepetitionNumber0 OPTIONAL, ProtocolExtensionContainer { {CriticalityDiagnostics-IE-List-ExtIEs} } OPTIONAL, iE-Extensions . . . CriticalityDiagnostics-IE-List-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { ID id-MessageStructure CRITICALITY ignore EXTENSION MessageStructure PRESENCE optional } | -ID id-TypeOfError CRITICALITY ignore EXTENSION TypeOfError PRESENCE mandatory . . . } MessageStructure ::= SEQUENCE (SIZE (1..maxNrOfLevels)) OF

```
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```

SEQUENCE {
 iE-ID ProtocolIE-ID,
 repetitionNumber RepetitionNumber1 OPTIONAL,
 iE-Extensions ProtocolExtensionContainer { {MessageStructure-ExtIEs} } OPTIONAL,
 ...
}

```
MessageStructure-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {
```

} ...

#### \*\*\*\* LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN \*\*\*\*

RelocationType ::= ENUMERATED {
 ue-not-involved,
 ue-involved,
 ...
}

RepetitionNumber 0 ::= INTEGER (01..2556)

RepetitionNumber1 ::= INTEGER (1..256)

#### \*\*\*\* LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.4 NOT SHOWN \*\*\*\*

```
TriggerID
                        ::= OCTET STRING (SIZE (3..22))
TypeOfError ::= ENUMERATED {
    not-understood,
    missing,
    . . .
-- U
UE-ID ::= CHOICE {
    imsi
                         IMSI,
    imei
                         IMEI,
    . . .
ļ
UL-GTP-PDU-SequenceNumber
                                ::= INTEGER (0..65535)
```

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UL-N-PDU-SequenceNumber ::= INTEGER (0..65535)
UP-ModeVersions ::= BIT STRING (SIZE (16))
USCH-ID ::= INTEGER (0..255)
UserPlaneMode ::= ENUMERATED {
 transparent-mode,
 support-mode-for-predefined-SDU-sizes,
 ...
}
END

## 9.3.6 Constant Definitions

--- ( --- (

-- Constant definitions

RANAP-Constants {
 itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)
 umts-Access (20) modules (3) ranap (0) version1 (1) ranap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

\_ \_ -- Elementary Procedures \_\_\_ id-RAB-Assignment INTEGER ::= 0 id-Iu-Release INTEGER ::= 1 INTEGER ::= 2 id-RelocationPreparation id-RelocationResourceAllocation INTEGER ::= 3 id-RelocationCancel INTEGER ::= 4 id-SRNS-ContextTransfer INTEGER ::= 5 id-SecurityModeControl INTEGER ::= 6 id-DataVolumeReport INTEGER ::= 7 id-Reset INTEGER ::= 9 id-RAB-ReleaseRequest INTEGER ::= 10 ić

id-Iu-ReleaseRequest	INTEGER	::=	11
id-RelocationDetect	INTEGER	::=	12
id-RelocationComplete	INTEGER	::=	13
id-Paging	INTEGER	::=	14
id-CommonID	INTEGER	::=	15
id-CN-InvokeTrace	INTEGER	::=	16
id-LocationReportingControl	INTEGER	::=	17
id-LocationReport	INTEGER	::=	18
id-InitialUE-Message	INTEGER	::=	19
id-DirectTransfer	INTEGER	::=	20
id-OverloadControl	INTEGER	::=	21
id-ErrorIndication	INTEGER	::=	22
id-SRNS-DataForward	INTEGER	::=	23
id-ForwardSRNS-Context	INTEGER	::=	24
id-privateMessage	INTEGER	::=	25
id-CN-DeactivateTrace	INTEGER	::=	26
id-ResetResource	INTEGER	::=	27
id-RANAP-Relocation	INTEGER	::=	28
id-RAB-ModifyRequest	INTEGER	::=	29

\_ \_ -- Extension constants \_\_\_ maxPrivateIEs INTEGER ::= 65535 INTEGER ::= 65535 maxProtocolExtensions maxProtocolIEs INTEGER ::= 65535 \_ \_ -- Lists \_ \_ maxNrOfDTs INTEGER ::= 15 maxNrOfErrors INTEGER ::= 256 maxNrOfIuSigConIds INTEGER ::= 250 maxNrOfPDPDirections INTEGER ::= 2 maxNrOfPoints INTEGER ::= 15 maxNrOfRABs INTEGER ::= 256 maxNrOfSeparateTrafficDirections INTEGER ::= 2 maxNrOfVol INTEGER ::= 2 maxNrOfLevels INTEGER ::= 256maxNrOfAltValues INTEGER ::= 16 INTEGER ::= 7 maxRAB-Subflows maxRAB-SubflowCombination INTEGER ::= 64 \_ \_ -- IEs \_ \_ id-AreaIdentity INTEGER ::= 0 id-CN-DomainIndicator INTEGER ::= 3 id-Cause INTEGER ::= 4 id-ChosenEncryptionAlgorithm INTEGER ::= 5 id-ChosenIntegrityProtectionAlgorithm INTEGER ::= 6 id-ClassmarkInformation2 INTEGER ::= 7 id-ClassmarkInformation3 INTEGER ::= 8 id-CriticalityDiagnostics INTEGER ::= 9 id-DL-GTP-PDU-SequenceNumber INTEGER ::= 10 id-EncryptionInformation INTEGER ::= 11 id-IntegrityProtectionInformation INTEGER ::= 12 id-IuTransportAssociation INTEGER ::= 13 id-L3-Information INTEGER ::= 14 id-LAI INTEGER ::= 15 id-NAS-PDU INTEGER ::= 16

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id-NonSearchingIndication	INTEGER ::= 17
id-NumberOfSteps	INTEGER ::= 18
id-OMC-ID	INTEGER ::= 19
id-OldBSS-ToNewBSS-Information	INTEGER ::= 20
id-PagingAreaID	INTEGER ::= 21
id-PagingCause	INTEGER ::= 22
id-PermanentNAS-UE-ID	INTEGER ::= 23
id-RAB-ContextItem	INTEGER ::= 24
id-RAB-ContextList	INTEGER ::= 25
id-RAB-DataForwardingItem	INTEGER ::= 26
	INTEGER ::= 20
id-RAB-DataForwardingItem-SRNS-CtxReq	
id-RAB-DataForwardingList	INTEGER ::= 28
id-RAB-DataForwardingList-SRNS-CtxReq	INTEGER ::= 29
id-RAB-DataVolumeReportItem	INTEGER ::= 30
id-RAB-DataVolumeReportList	INTEGER ::= 31
id-RAB-DataVolumeReportRequestItem	INTEGER ::= 32
id-RAB-DataVolumeReportRequestList	INTEGER ::= 33
id-RAB-FailedItem	INTEGER ::= 34
id-RAB-FailedList	INTEGER ::= 35
id-RAB-ID	INTEGER ::= 36
id-RAB-QueuedItem	INTEGER ::= 37
id-RAB-QueuedList	INTEGER ::= 38
id-RAB-ReleaseFailedList	INTEGER ::= 39
id-RAB-ReleaseItem	INTEGER ::= 40
id-RAB-ReleaseList	INTEGER ::= 40
id-RAB-ReleasedItem	
	INTEGER ::= 42
id-RAB-ReleasedList	INTEGER ::= 43
id-RAB-ReleasedList-IuRelComp	INTEGER ::= 44
id-RAB-RelocationReleaseItem	INTEGER ::= 45
id-RAB-RelocationReleaseList	INTEGER ::= 46
id-RAB-SetupItem-RelocReq	INTEGER ::= 47
id-RAB-SetupItem-RelocReqAck	INTEGER ::= 48
id-RAB-SetupList-RelocReq	INTEGER ::= 49
id-RAB-SetupList-RelocReqAck	INTEGER ::= 50
id-RAB-SetupOrModifiedItem	INTEGER ::= 51
id-RAB-SetupOrModifiedList	INTEGER ::= 52
id-RAB-SetupOrModifyItem	INTEGER ::= 53
id-RAB-SetupOrModifyList	INTEGER ::= 54
id-RAC	INTEGER ::= 55
id-RelocationType	INTEGER ::= 56
id-RequestType	INTEGER ::= 57
id-SAI	INTEGER ::= 57
id-SAPI	INTEGER ::= 59
id-SourceID	INTEGER ::= 60
id-SourceRNC-ToTargetRNC-TransparentContainer	INTEGER ::= 61
id-TargetID	INTEGER ::= 62
id-TargetRNC-ToSourceRNC-TransparentContainer	INTEGER ::= 63
id-TemporaryUE-ID	INTEGER ::= 64
id-TraceReference	INTEGER ::= 65
id-TraceType	INTEGER ::= 66
id-TransportLayerAddress	INTEGER ::= 67
id-TriggerID	INTEGER ::= 68
id-UE-ID	INTEGER ::= 69

id-UL-GTP-PDU-SequenceNumber	INTEGER	::=	70
id-RAB-FailedtoReportItem	INTEGER		
id-RAB-FailedtoReportList	INTEGER		
id-KeyStatus	INTEGER		
-	INTEGER		
id-DRX-CycleLengthCoefficient			
id-IuSigConIdList	INTEGER		
id-IuSigConIdItem	INTEGER		
id-IuSigConId	INTEGER	::=	79
id-DirectTransferInformationItem-RANAP-RelocInf	INTEGER	::=	80
id-DirectTransferInformationList-RANAP-RelocInf	INTEGER	::=	81
id-RAB-ContextItem-RANAP-RelocInf	INTEGER	::=	82
id-RAB-ContextList-RANAP-RelocInf	INTEGER	::=	83
id-RAB-ContextFailedtoTransferItem	INTEGER	::=	84
id-RAB-ContextFailedtoTransferList	INTEGER	::=	85
id-GlobalRNC-ID	INTEGER	::=	86
id-RAB-ReleasedItem-IuRelComp	INTEGER	::=	87
id-MessageStructure	INTEGER	::=	88
id-Alt-RAB-Parameters	INTEGER	::=	89
id-Ass-RAB-Parameters	INTEGER	::=	90
id-RAB-ModifyList	INTEGER	::=	91
id-RAB-ModifyItem	INTEGER	::=	92
id-TypeOfError	INTEGER	::=	93

# A.2 Guidelines for Usage of the Criticality Diagnostics IE

## A.2.1 EXAMPLE MESSAGE Layout

Assume the following message format:

IE/Group Name	Presence	<u>Range</u>	<u>IE type</u> <u>and</u> <u>referenc</u>	Semantics description	<u>Criticality</u>	<u>Assigned</u> <u>Criticality</u>
	M		<u>e</u>		YES	roioot
Message Type						<u>reject</u>
A	M				<u>YES</u>	reject
B	M				YES	reject
<u>&gt;E</u>		<u>1<maxe></maxe></u>			<u>EACH</u>	<u>ignore</u>
<u>&gt;&gt;F</u>		<u>1<maxf></maxf></u>			<u>-</u>	
<u>&gt;&gt;&gt;G</u>		<u>03,</u>			<u>EACH</u>	ignore
<u>&gt;&gt;H</u>		<u>1<maxh></maxh></u>			EACH	ignore
<u>&gt;&gt;&gt;G</u>		<u>03,</u>			EACH	ignore and
						notify
<u>&gt;&gt;G</u>	M				<u>YES</u>	reject
<u>&gt;&gt;J</u>		<u>1<maxj></maxj></u>			<u>-</u>	
>>>G		<u>03,</u>			EACH	reject
<u>C</u>	M				YES	reject
<u>&gt;K</u>		<u>1<maxk></maxk></u>			<u>EACH</u>	ignore and
		1				<u>notify</u>
<u>&gt;&gt;L</u>		<u>1<maxl></maxl></u>			<u>-</u>	
<u>&gt;&gt;&gt;M</u>	<u>0</u>				<u>-</u>	
<u>D</u>	<u>M</u>				<u>YES</u>	<u>reject</u>

 Note 1.
 The IEs F, J, and L do not have assigned criticality. The IEs F, J, and L are consequently realised as the

 ASN.1 type SEQUENCE OF of "ordinary" ASN.1 type, e.g. INTEGER. On the other hand, the repeatable

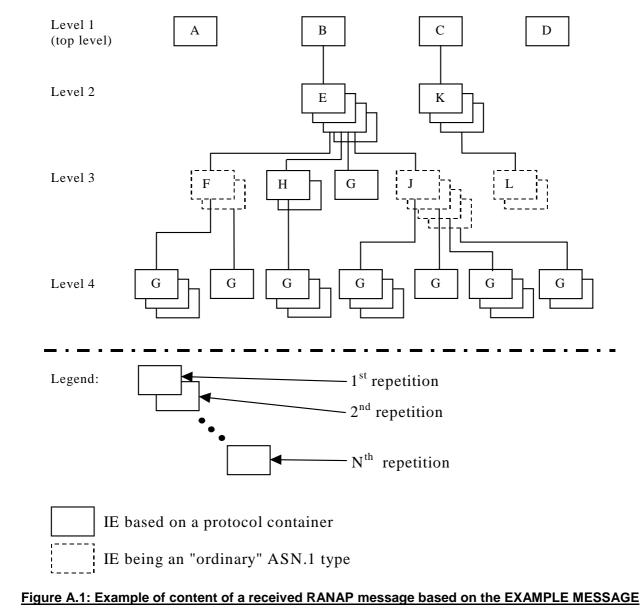
 IEs with assigned criticality are realised as the ASN.1 type SEQUENCE OF of an IE object, e.g.

 ProtocolIE-Container.

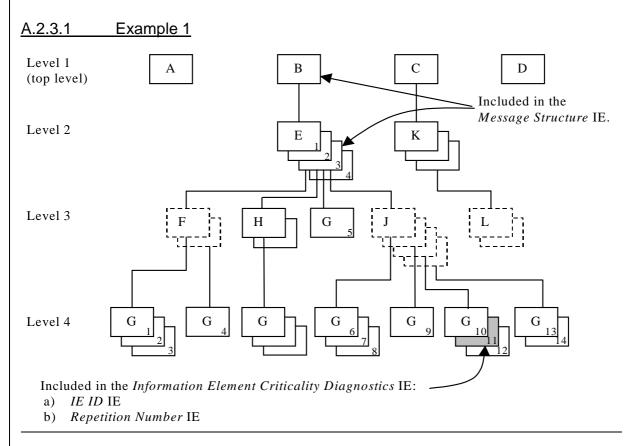
For the corresponding ASN.1 layout, see subclause A.2.4.

## A.2.2 Example on a Received EXAMPLE MESSAGE

Assume further more that a received message based on the above tabular format is according to the figure below.



## A.2.3 Content of Criticality Diagnostics



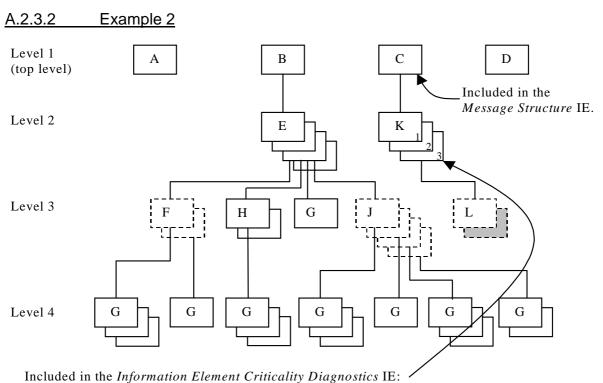
#### Figure A.2: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE J shown in the figure A.2 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	<u>Comment</u>		
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 4.		
<u>IE ID</u>	<u>id-G</u>	IE ID from the reported level, i.e. level 4.		
Repetition	<u>11</u>	Repetition number on the reported level, i.e. level 4.		
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE this is		
		the eleventh occurrence of IE G within the IE E (level 2).		
Type of Error	<u>not</u>			
	<u>underst</u>			
	<u>ood</u>			
Message Structure, first repetition				
>IE ID	<u>id-B</u>	IE ID from level 1.		
Message Structur	e, second	<u>repetition</u>		
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from the lowest level above the reported level, i.e. level 2.		
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.		
Number				

Note 2. The IE J on level 3 cannot be included in the *Message Structure* IE since they have no criticality of their <u>own</u>.

Note 3. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).



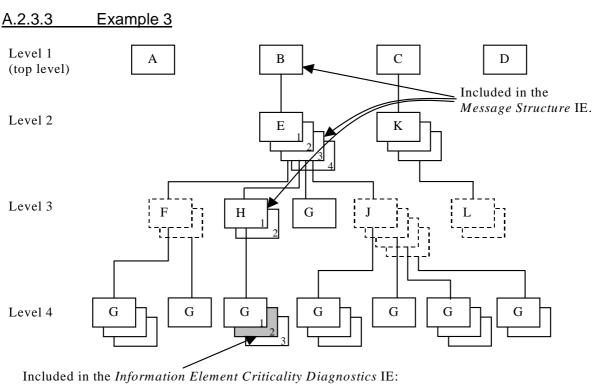
- a) *IE ID* IE
- b) Repetition Number IE

#### Figure A.3: Example of a received RANAP message containing a not comprehended IE

If there is an error within the second instance (marked as grey) in the sequence (IE L in the tabular format) on level 3 below IE K in the structure shown in the figure A.3 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

Value	Comment
ignore	Criticality for IE on the reported level, i.e. level 2.
and	
<u>notify</u>	
<u>id-K</u>	IE ID from the reported level, i.e. level 2.
3	Repetition number on the reported level, i.e. level 2.
not	
<u>underst</u>	
ood	
e, first repe	etition
id-C	IE ID from the lowest level above the reported level, i.e. level 1.
	ignore and notify id-K <u>3</u> <u>not</u> <u>underst</u> <u>ood</u> e, first repe

Note 4. The IE L on level 3 cannot be reported individually included in the *Message Structure* IE since it has no criticality of its own.



a) IE ID IE

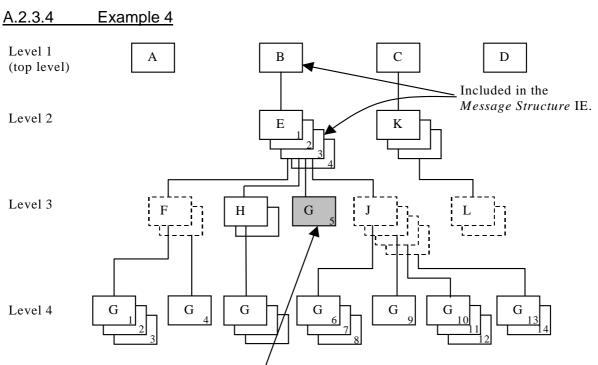
b) Repetition Number IE

#### Figure A.4: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE H shown in the figure A.4 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment			
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 4.			
IE ID	id-G	IE ID from the reported level, i.e. level 4.			
Repetition Number	2	Repetition number on the reported level, i.e. level 4.			
Type of Error					
Message Structure, first repetition					
<u>&gt;IE ID id-B IE ID from level 1.</u>					
Message Structure, second repetition					
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from level 2.			
>Repetition         3         Repetition number from level 2.           Number		Repetition number from level 2.			
Message Structure, third repetition					
<u>&gt;IE ID</u>	>IE ID id-H IE ID from the lowest level above the reported level, i.e. level 3.				
>Repetition Number	1	Repetition number from the lowest level above the reported level, i.e. level 3.			

Note 5. The repetition number of level 4 indicates the number of repetitions of IE G received up to the detected erroneous repetition, counted below the same instance of the previous level with assigned criticality (instance 1 of IE H on level 3).



Included in the Information Element Criticality Diagnostics IE: a) IE ID IE

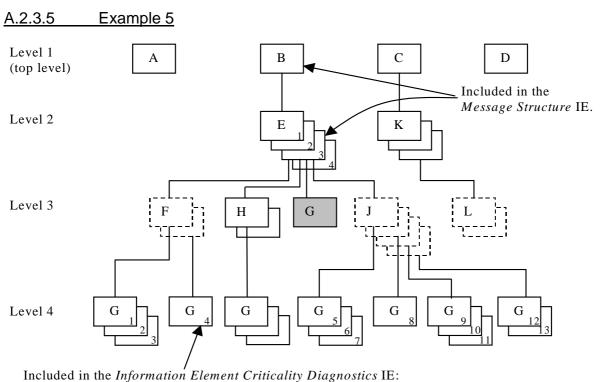
b) Repetition Number IE

#### Figure A.5: Example of a received RANAP message containing a not comprehended IE

If there is an error within the instance marked as grey in the IE G in the IE E shown in the figure A.5 above, this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	Comment		
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.		
<u>IE ID</u>	id-G	IE ID from the reported level, i.e. level 3.		
Repetition	<u>5</u>	Repetition number on the reported level, i.e. level 3.		
Number		(Since the IE E (level 2) is the lowest level included in the Message Structure IE this is		
		the fifth occurrence of IE G within the IE E (level 2).		
Type of Error	not			
	<u>underst</u>			
	ood			
Message Structure, first repetition				
<u>&gt;IE ID</u>	id-B	IE ID from level 1.		
Message Structur	e, second	repetition		
<u>&gt;IE ID</u>	<u>id-E</u>	IE ID from the lowest level above the reported level, i.e. level 2.		
>Repetition	3	Repetition number from the lowest level above the reported level, i.e. level 2.		
Number				

Note 6. The repetition number of the reported IE indicates the number of repetitions of IE G received up to the detected erroneous repetition, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).



- a) IE ID IE
- b) Repetition Number IE

#### Figure A.6: Example of a received RANAP message with a missing IE

If the instance marked as grey in the IE G in the IE E shown in the figure A.6 above, is missing this will be reported within the *Information Element Criticality Diagnostics* IE within the *Criticality Diagnostics* IE as follows:

IE name	Value	<u>Comment</u>			
IE Criticality	reject	Criticality for IE on the reported level, i.e. level 3.			
IE ID	id-G	IE ID from the reported level, i.e. level 3.			
Repetition Number	4	Repetition number up to the missing IE on the reported level, i.e. level 3. (Since the IE E (level 2) is the lowest level included in the <i>Message Structure</i> IE there have been four occurrences of IE G within the IE E (level 2) up to the missing occurrence.			
Type of Error	missing				
Message Structure, first repetition					
<u>&gt;IE ID</u>	id-B	IE ID from level 1.			
Message Structure, second repetition					
<u>&gt;IE ID</u>	id-E IE ID from the lowest level above the reported level, i.e. level 2.				
>Repetition Number	<u>3</u>	Repetition number from the lowest level above the reported level, i.e. level 2.			

Note 7. The repetition number of the reported IE indicates the number of repetitions of IE G received up to but not including the missing occurrence, counting all occurrences of the IE G below the same instance of the previous level with assigned criticality (instance 3 of IE E on level 2).

## A.2.4 ASN.1 of EXAMPLE MESSAGE

ExampleMessage ::= SEQUENCE { 
 ProtocolIEs
 ProtocolIE-Container
 {{ExampleMessage-IEs}},

 ProtocolExtensions
 ProtocolExtensionContainer
 {ExampleMessage-IEs},
 OPTIONAL, . . . } ExampleMessage-IEs RANAP-PROTOCOL-IES ::= { CRITICALITY reject TYPE A PRESENCE mandatory} CRITICALITY reject TYPE B PRESENCE mandatory} { ID id-A { ID id-B { ID id-C CRITICALITY reject TYPE C PRESENCE mandatory} { ID id-D CRITICALITY reject TYPE D PRESENCE mandatory} . . . } B ::= SEQUENCE  $\{$ E-List, e iE-Extensions ProtocolExtensionContainer { {B-ExtIEs} } OPTIONAL, } B-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } E-List := SEQUENCE (SIZE (1..maxE)) OF ProtocollE-Container { {E-LES} } E-IES RANAP-PROTOCOL-IES ::= { { ID id-E CRITICALITY ignore TYPE E PRESENCE mandatory }, . . . } E ::= SEQUENCE { f F-List, H-List, h G-List1, g j J-List, iE-Extensions ProtocolExtensionContainer { {E-ExtIEs} } OPTIONAL, . . . } E-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } F-List ::= SEQUENCE (SIZE (1..maxF)) OF F  $F ::= SEQUENCE {$ G-List2 OPTIONAL, ProtocolExtensionContainer { {F-ExtIEs} } OPTIONAL, iE-Extensions . . . } RANAP-PROTOCOL-EXTENSION ::= { F-ExtIEs } G-List2 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G2-IES} } G2-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY ignore TYPE G PRESENCE mandatory }, . . . } H-List := SEQUENCE (SIZE (1..maxH)) OF Protocolle-Container { {H-LES} } H-IES RANAP-PROTOCOL-IES ::= { { ID id-H CRITICALITY ignore TYPE H PRESENCE mandatory }, . . . } H ::= SEQUENCE { G-List3 OPTIONAL α ProtocolExtensionContainer { {H-ExtIEs} } OPTIONAL, iE-Extensions . . . } H-ExtIEs RANAP-PROTOCOL-EXTENSION ::= {

**Release 4** 

. . . } G-List3 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G3-IEs} } G3-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY not ify TYPE G PRESENCE mandatory }, . . . } G-List1 ::= ProtocolIE-Container { {G1-IEs} } G1-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY reject TYPE G PRESENCE mandatory }, . . . } J-List ::= SEQUENCE (SIZE (1..maxJ)) OF J J ::= SEQUENCE { G-List4 OPTIONAL, iE-Extensions ProtocolExtor ProtocolExtensionContainer { {J-ExtIEs} } OPTIONAL, . . . } J-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } G-List4 ::= SEQUENCE (SIZE (1..3, ...)) OF ProtocolIE-Container { {G4-IEs} } G4-IES RANAP-PROTOCOL-IES ::= { { ID id-G CRITICALITY reject TYPE G PRESENCE mandatory }, . . . } C ::= SEQUENCE { k K-List, iE-Extensions ProtocolExtensionContainer { {C-ExtIEs} } OPTIONAL, } C-ExtIEsA -PROTOCOL-EXTENSION ::= { . . . } K-List ::= SEQUENCE (SIZE (1..maxK)) OF ProtocolIE-Container { {K-IEs} } K-IES RANAP-PROTOCOL-IES ::= { { ID id-K CRITICALITY notify TYPE K PRESENCE mandatory }, . . . } K ::= SEQUENCE { L-List, 1 
 I
 L-List,

 iE-Extensions
 ProtocolExtensionContainer { {K-ExtIEs} } OPTIONAL,
 } K-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } L-List ::= SEQUENCE (SIZE (1..maxL)) OF L L ::= SEQUENCE  $\{$ M OPTIONAL, m iE-Extensions ProtocolExtensionContainer { {L-ExtIEs} } OPTIONAL, . . . } L-ExtIEs RANAP-PROTOCOL-EXTENSION ::= { . . . } ExampleMessage-Extensions RANAP-PROTOCOL-EXTENSION ::= { . . . }

### 3GPP TSG-RAN WG3 Meeting #20 Beijing, China, April 2<sup>nd</sup> – April 6<sup>th</sup>, 2001

CHANGE REQUEST								
<sup>ж</sup> 2	<b>5.413</b>	CR	278 <sup>#</sup>	s rev	<b>-</b> *	Current versi	on: <b>3.5.0</b>	ж
For <u><b>HELP</b></u> on using this form, see bottom of this page or look at the pop-up text over the $#$ symbols.								
Proposed change affects: # (U)SIM ME/UE Radio Access Network X Core Network								
Title:	¥ Error	Indication for	reporting of lo	ogical er	ror			
Source:	<mark>ដ R-W</mark> (	G3						
Work item code:	ж <mark>ТЕІ</mark>					Date: ₩	2001-04-23	
Category:	ដ F					Release: ೫	R99	
Use one of the following categories:Use one of the following releases.F (essential correction)2A (corresponds to a correction in an earlier release)R96B (Addition of feature),R97C (Functional modification of feature)R98D (Editorial modification)R99D tetailed explanations of the above categories canREL-4be found in 3GPP TR 21.900.REL-5							eases:	
Reason for chan	-	INDICATION within the <i>Crit</i>	message, the	Proced stics IE	ure Cod must be	rting a logical e le IE and the <i>Tr</i> included in ord	riggering Mess	age IE
Summary of cha	1	the <i>Triggerin</i> g	Message IE	within th	e Critica	arify that the Plality Diagnostics the logical erro	s IE must be ir	
Consequences if not approved:       #       It will not be clear which information to include in ERRO reporting a logical error, which may lead to different improved:         Additional information:       The proposed change is backwards compatible.					different impler		when	
Clauses affected	l: ¥	9 <mark>.2.1.35, 10.4</mark>						
Other specs affected:	ж	C Other core Test specif O&M Spec		ж	25.413	3 CR279 REL-4	ļ	
Other comments	s: X							

#### How to create CRs using this form:

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 9.2.1.35 Criticality Diagnostics

<u>The Criticality Diagnostics IE is sent by the RNC or the CN when parts of a received message have not been</u> comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs that were not comprehended or were missing.

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERAT ED(initiating message, successful outcome, unsuccessful outcome, outcome,	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
>Procedure Criticality	0		ENUMERAT ED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used.
Information Element Criticality Diagnostics		0 to <maxnoof errors&gt;</maxnoof 		
>IE Criticality	М		ENUMERAT ED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Repetition Number	0		INTEGER (1256)	The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable
>Message Structure	0		9.2.1.42	

Range bound	Explanation
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

# 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IEs/IE groups containing the erroneous values.

#### Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, local error handling shall be initiated.

#### Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering* <u>Message IE within the Criticality Diagnostics IE shall then be included in order to identify the message containing the logical error.</u>

#### Class 3:

Where the logical error occurs in a request message of a class 3 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 3 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 3 procedure, local error handling shall be initiated.

#### 3GPP TSG-RAN WG3 Meeting #20 Beijing, China, April 2<sup>nd</sup> – April 6<sup>th</sup>, 2001

CR-Form-v3 CHANGE REQUEST ж 25.413 CR 279 ж rev ж Current version: ж 4.0.0 For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. ME/UE Radio Access Network X Core Network X Proposed change affects: # (U)SIM Title: **#** Error Indication for reporting of logical error Source: 第 R-WG3 Work item code: # TEI Date: # 2001-04-23 Category: ж <mark>А</mark> Release: # REL-4 Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) (Release 1999) R99 Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) Reason for change: # In clause 10.4 it is not clear that when reporting a logical error with the ERROR INDICATION message, the Procedure Code IE and the Triggering Message IE within the Criticality Diagnostics IE must be included in order to identify the message containing the logical error. Summary of change: # Text in clause 10.4 is updated in order to clarify that the Procedure Code IE and the Triggering Message IE within the Criticality Diagnostics IE must be included in order to identify the message containing the logical error. It will not be clear which information to include in ERROR INDICATION when Consequences if ж reporting a logical error, which may lead to different implementations. not approved: Additional information: The proposed change is backwards compatible. ж 9.2.1.35, 10.4 Clauses affected: Other specs **X** Other core specifications ж 25.413 CR278 R99 Test specifications affected: **O&M** Specifications Other comments: ж

#### How to create CRs using this form:

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 9.2.1.35 Criticality Diagnostics

<u>The Criticality Diagnostics IE is sent by the RNC or the CN when parts of a received message have not been</u> comprehended or were missing, or if the message contained logical errors. When applicable, it contains information about which IEs that were not comprehended or were missing.

100

IE/Group Name	Presence	Range	IE type and reference	Semantics description
Criticality Diagnostics				
>Procedure Code	0		INTEGER (0255)	Procedure Code is to be used if Criticality Diagnostics is part of Error Indication procedure, and not within the response message of the same procedure that caused the error
>Triggering Message	0		ENUMERAT ED(initiating message, successful outcome, unsuccessful outcome, outcome)	The Triggering Message is used only if the Criticality Diagnostics is part of Error Indication procedure.
>Procedure Criticality	0		ENUMERAT ED(reject, ignore, notify)	This Procedure Criticality is used for reporting the Criticality of the Triggering message (Procedure). The value 'ignore' shall never be used.
Information Element Criticality Diagnostics		0 to <maxnoof errors&gt;</maxnoof 		
>IE Criticality	М		ENUMERAT ED(reject, ignore, notify)	The IE Criticality is used for reporting the criticality of the triggering IE. The value 'ignore' shall not be used.
>IE ID	М		INTEGER (065535)	The IE ID of the not understood or missing IE
>Repetition Number	0		INTEGER (1256)	The repetition number of the not understood IE within the bottom most repetition level identified by the message structure IE, if applicable
>Message Structure	0		9.2.1.42	

Range bound	Explanation
maxnooferrors	Maximum no. of IE errors allowed to be reported with a single
	message. The value for maxnooferrors is 256.

## 10.4 Logical Error

Logical error situations occur when a message is comprehended correctly, but the information contained within the message is not valid (i.e. semantic error), or describes a procedure which is not compatible with the state of the receiver. In these conditions, the following behaviour shall be performed (unless otherwise specified) as defined by the class of the elementary procedure, irrespective of the criticality information of the IEs/IE groups containing the erroneous values.

#### Class 1:

Where the logical error occurs in a request message of a class 1 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 1 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 1 procedure, local error handling shall be initiated.

#### Class 2:

Where the logical error occurs in a message of a class 2 procedure, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering* <u>Message IE within the Criticality Diagnostics IE shall then be included in order to identify the message containing the logical error.</u>

#### Class 3:

Where the logical error occurs in a request message of a class 3 procedure, and the procedure has a failure message, the failure message shall be sent with an appropriate cause value. Typical cause values are:

- Semantic Error.
- Message not compatible with receiver state.

Where the logical error is contained in a request message of a class 3 procedure, and the procedure does not have a failure message, the procedure shall be terminated and the Error Indication procedure shall be initiated with an appropriate cause value. The *Procedure Code* IE and the *Triggering Message* IE within the *Criticality Diagnostics* IE shall then be included in order to identify the message containing the logical error.

Where the logical error exists in a response message of a class 3 procedure, local error handling shall be initiated.

#### 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

CR-Form-v3 CHANGE REQUEST ж Current version: 25.413 CR 280 ₩ rev ж ж 3.5.0For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. ME/UE Radio Access Network X Core Network Proposed change affects: # (U)SIM **%** Clarification IEs order rule Title: Source: R-WG3 æ Date: # May 2001 Work item code: # TEI Category: жF Release: # R99 Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) R99 (Release 1999) Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) Reason for change: # Introduction of new IEs in the extension containers results in different message contents in different specification versions. To ensure interoperability the receiving node shall be able to interprete correctly messages coming from nodes of higher specification versions. Therefore when determining the right order of the IEs the receiving node shall ignore IEs specified only in the higher specification version and consider only IEs of it's own specification version. Summary of change: # A clarification to consider only IEs specified in the specification version of the receiving node when determining the right order of the IEs has been added into chapter 'Handling of Unknown, Unforeseen and Erroneous Protocol Data'. # In case this CR is not approved there might be interoperability problems between Consequences if nodes of different specification versions. not approved: This change is backward compatible. Clauses affected: **# 10.3.6** Other specs **X** Other core specifications # CR281 Rel4 TS 25.413, CR039 R99 TS 25.419, CR040 Rel4 TS 25.419, CR344 R99 TS 25.423.

CR345 Rel4 TS 25.423,

CR393 R99 TS 25.433,

CR394 Rel4 TS 25.433.

affected:	Test specifications     O&M Specifications
Other comments:	ж

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

# 10.3.6 IEs or IE groups received in wrong order or with too many occurrences

If a message with IEs or IE groups in wrong order or with too many occurrences is received, the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".
- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

#### 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

CR-Form-v3 CHANGE REQUEST ж Current version: 25.413 CR 281 ₩ rev ж ж 4.0.0 For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. Radio Access Network X Core Network Proposed change affects: # (U)SIM ME/UE **%** Clarification IEs order rule Title: Source: R-WG3 æ Date: # May 2001 Work item code: # TEI Category: ж A Release: # REL-4 Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) R99 (Release 1999) Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) Reason for change: # Introduction of new IEs in the extension containers results in different message contents in different specification versions. To ensure interoperability the receiving node shall be able to interprete correctly messages coming from nodes of higher specification versions. Therefore when determining the right order of the IEs the receiving node shall ignore IEs specified only in the higher specification version and consider only IEs of it's own specification version. Summary of change: # A clarification to consider only IEs specified in the specification version of the receiving node when determining the right order of the IEs has been added into chapter 'Handling of Unknown, Unforeseen and Erroneous Protocol Data'. # In case this CR is not approved there might be interoperability problems between Consequences if nodes of different specification versions. not approved: This change is backward compatible. Clauses affected: **# 10.3.6** Other specs **X** Other core specifications # CR280 R99 TS 25.413, CR039 R99 TS 25.419, CR040 Rel4 TS 25.419, CR344 R99 TS 25.423.

CR345 Rel4 TS 25.423,

CR393 R99 TS 25.433,

CR394 Rel4 TS 25.433.

affected:	Test specifications     O&M Specifications
Other comments:	ж

#### How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

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If a message with IEs or IE groups in wrong order or with too many occurrences is received, the receiving node shall behave according to the following:

- If a message *initiating* a procedure is received containing IEs or IE groups in wrong order or with too many occurrences, none of the functional requests of the message shall be executed. The receiving node shall reject the procedure and report the cause value "Abstract Syntax Error (Falsely Constructed Message)" using the message normally used to report unsuccessful outcome of the procedure. In case the information received in the initiating message was insufficient to determine a value for all IEs that are required to be present in the message used to report the unsuccessful outcome of the procedure, the receiving node shall instead terminate the procedure and initiate the Error Indication procedure.
- If a message *initiating* a procedure that does not have a message to report unsuccessful outcome is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall terminate the procedure and initiate the Error Indication procedure, and use cause value "Abstract Syntax Error (Falsely Constructed Message)".
- If a *response* message is received containing IEs or IE groups in wrong order or with too many occurrences, the receiving node shall initiate local error handling.

When determining the correct order only the IEs specified in the specification version used by the receiver shall be considered.

#### 3GPP TSG-RAN WG3 Meeting #20 Beijing, China, April 2<sup>nd</sup> – April 6<sup>th</sup>, 2001

CR-Form-v3 CHANGE REQUEST ж 25.413 CR 284 æ rev ж Current version: ж 3.5.0 For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. ME/UE Radio Access Network X Core Network X Proposed change affects: # (U)SIM **# CN Domain Indicator in ERROR INDICATION** Title: Source: 第 R-WG3 Work item code: # TEI Date: # 2001-04-23 Category: жF Release: # R99 Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature), R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) (Release 1999) R99 Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) CN Domain Indicator is optional in ERROR INDICATION. Since CN Domain Reason for change: # Indicator shall be included when the message is sent connectionless, it is proposed to change the Presence to indicate this. Summary of change: # CN Domain Indicator gets Presence: C – ifCL (= if connectionless) Consequences if It will not be clear when to include CN Domain Indicator in ERROR INDICATION. æ not approved: Additional information: The proposed change is backwards compatible. Clauses affected: Ħ 9.1.41, 9.3.3 **X** Other core specifications æ 25.413 CR285 REL-4 Other specs affected: **Test specifications O&M** Specifications

Other comments: ೫

#### How to create CRs using this form:

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## 9.1.41 ERROR INDICATION

This message is sent by both the CN and the RNC and is used to indicate that some error has been detected in the node.

Direction: RNC  $\rightarrow$  CN and CN  $\rightarrow$  RNC.

Signalling bearer mode: Connection oriented or connectionless.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	ignore
Cause	C - ifalone		9.2.1.4		YES	ignore
Criticality Diagnostics	C - ifalone		9.2.1.35		YES	ignore
CN Domain Indicator	<del>O</del> C - ifCL		9.2.1.5		YES	ignore
Global RNC-ID	C –		9.2.1.39		YES	ignore
	ifULandCL					

Condition	Explanation
ifalone	At least either Cause IE or Criticality Diagnostics IE shall be present.
ifULandCL	This IE is always used in uplink direction when message is sent connectionless
<u>ifCL</u>	This IE is always used when the message is sent connectionless

. . .

}

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### 9.3.3 PDU Definitions

-- PDU definitions for RANAP.

#### \*\*\*\* LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.3 REMOVED \*\*\*\*

	***************************************
	ERROR INDICATION ELEMENTARY PROCEDURE
	***************************************
	***************************************
	Error Indication
	***************************************
Err	orIndication ::= SEQUENCE { protocolIEs ProtocolIE-Container { {ErrorIndicationIEs} }, protocolExtensions ProtocolExtensionContainer { {ErrorIndicationExtensions} } OPTIONAL,
}	
Err	orIndicationIEs RANAP-PROTOCOL-IES ::= {
	{ ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE conditional
	At least either of Cause IE or Criticality IE shall be present }
	{ ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE conditional At least either of Cause IE or Criticality IE shall be present }
	{ ID id-CN-DomainIndicator CRITICALITY ignore TYPE CN-DomainIndicator PRESENCE conditional <del>optional</del>
	This IE is always used when the message is sent connectionless
	{ ID id-GlobalRNC-ID CRITICALITY ignore TYPE GlobalRNC-ID PRESENCE conditional
	This IE is always used in the uplink direction when message is sent connectionless },
_	
}	

ErrorIndicationExtensions RANAP-PROTOCOL-EXTENSION ::= {

#### 3GPP TSG-RAN WG3 Meeting #20 Beijing, China, April 2<sup>nd</sup> – April 6<sup>th</sup>, 2001

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ж 2	2 <mark>5.413</mark>	CR	<mark>285</mark> <sup>ж</sup>	rev	<b>-</b> *	Current vers	ion: <b>4.0.0</b>	ж
For <u>HELP</u> o	on using	this form, see b	oottom of this pa	age or l	ook at th	e pop-up text	over the X syr	nbols.
Proposed chan	ge affec	ts:	M ME/UI	Ξ	Radio Ad	ccess Network	Core Ne	etwork X
Title:	¥ CN	l Domain Indica	tor in ERROR	INDICA	TION			
Source:	<mark>೫ R-\</mark>	NG3						
Work item code	e: ೫ TE	I				Date: ೫	2001-04-23	
Category:	ж А					Release: ೫	REL-4	
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Reason for cha	nge: Ж	Indicator sha	ndicator is optic Il be included w change the Pres	hen the	e messag	ge is sent coni		
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Consequences not approved:	if ¥	Additional inf	clear when to ir ormation: d change is bao				ERROR INDI	CATION.
Clauses affecte	d: ¥	9.1.41, 9.3.3						
Other specs affected:	: <b>с</b> : ж			ж	25.413	CR284 R99		

Other comments: #

#### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
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## 9.1.41 ERROR INDICATION

This message is sent by both the CN and the RNC and is used to indicate that some error has been detected in the node.

Direction: RNC  $\rightarrow$  CN and CN  $\rightarrow$  RNC.

Signalling bearer mode: Connection oriented or connectionless.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	ignore
Cause	C - ifalone		9.2.1.4		YES	ignore
Criticality Diagnostics	C - ifalone		9.2.1.35		YES	ignore
CN Domain Indicator	<del>O</del> C - ifCL		9.2.1.5		YES	ignore
Global RNC-ID	C –		9.2.1.39		YES	ignore
	ifULandCL					

Condition	Explanation
ifalone	At least either Cause IE or Criticality Diagnostics IE shall be present.
ifULandCL	This IE is always used in uplink direction when message is sent connectionless
<u>ifCL</u>	This IE is always used when the message is sent connectionless

. . .

}

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\_ \_

## 9.3.3 PDU Definitions

-- PDU definitions for RANAP.

#### \*\*\*\* LOTS OF UNAFFECTED ASN.1 DESCRIPTION FROM SECTION 9.3.3 REMOVED \*\*\*\*

************************************
ERROR INDICATION ELEMENTARY PROCEDURE
************************************
************************************
Error Indication
************************************
ErrorIndication ::= SEQUENCE {     protocolIEs ProtocolIE-Container { {ErrorIndicationIEs} },     protocolExtensions ProtocolExtensionContainer { {ErrorIndicationExtensions} } OPTIONAL,
}
ErrorIndicationIES RANAP-PROTOCOL-IES ::= { { ID id-Cause CRITICALITY ignore TYPE Cause PRESENCE conditional At least either of Cause IE or Criticality IE shall be present } { ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE conditional At least either of Cause IE or Criticality IE shall be present } { ID id-CN-DomainIndicator CRITICALITY ignore TYPE CN-DomainIndicator PRESENCE conditional This IE is always used when the message is sent connectionless } { ID id-GlobalRNC-ID CRITICALITY ignore TYPE GlobalRNC-ID PRESENCE conditional This IE is always used in the uplink direction when message is sent connectionless }, 
<pre>} ErrorIndicationExtensions RANAP-PROTOCOL-EXTENSION ::= {</pre>

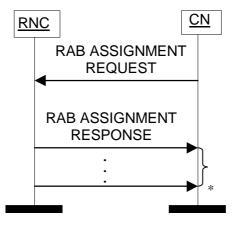
		С	HANGE	REQU	EST			CR-Form-v3
æ	<mark>25.41</mark> 3	CR	<mark>286</mark> <sup>#</sup>	rev	ж	Current vers	<sup>ion:</sup> 3.5.0	ж
For <u>HELP</u> (	on using	this form, see	bottom of this p	age or lo	ok at th	e pop-up text	over the # sy	mbols.
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Title:	ж <mark>Со</mark>	rrection to RA	B Release Proc	edures de	escriptio	on		
Source:	ដ <mark>R-</mark> \	WG3						
Work item code	e: ೫ TE	I				Date: ೫	May 2001	
Category:	ដ F					Release: ೫	R99	
	Deta	<ul> <li>B (Addition of f</li> <li>C (Functional r</li> <li>D (Editorial model)</li> </ul>	rrection) to a correction i eature), nodification of fea dification) s of the above ca	ature)		2	the following re (GSM Phase 2 (Release 1996 (Release 1997 (Release 1998 (Release 1999 (Release 4) (Release 5)	) ) )
Reason for cha	nge: ж	RAB after ha RAB release example who REQUEST) If the reportin that the CN	TS 23.060, e.g ving released i result (RAB A ether on receip or on the comp ng is done befo equests a RAB released RAB	t. Howeve SSIGNME t of a RAE letion of th re the RA 8 (re-)esta	er TS 25 NT RE releas ne relea B is rel	5.413 does no SPONSE) sh as request (RA ase of the ass eased by the	ot specify whe ould be report AB ASSIGNMI ociated radio RNC, then it i	n the ted, for ENT bearer(s). s possible
Summary of ch	ange: #	"After sendir within the R	d to add the fol g a RAB ASSI ABs Released I nt request of a	GNMENT E, the RN	RESP IC shall	ONSE messa I be prepared	ge containing to receive a n	
Consequences not approved:	if ¥	RESPONSE result in inte Backwards (	clear that the S (for RABs Rele- working proble compatibility St aviour of the p	eased) un ems. atement :	til the F This C	RAB ID can be	e re-used. This	
Clauses affecte	ed: ¥	8.2.2						
Other specs affected:	ж	X Other core Test spec O&M Spe				/3.3.0 : CR 00 /4.0.0 : CR 28		
Other commen	ts: ж							

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- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
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### 8.2.2 Successful Operation



\* it can be several responses

### Figure 1: RAB Assignment procedure. Successful operation.

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the T  $_{\rm RABAssgt}$  timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).
- UL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).
- DL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).
- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).
- NAS Synchronisation Indicator.
- RAB parameters.
- Transport Layer Address.
- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.
- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.
- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.
- The priority levels and the pre-emption indicators may (singularly or in combination) be used to determine whether the RAB assignment has to be performed unconditionally and immediately. If the requested RAB is marked as "may trigger pre-emption" and the resource situation so requires, RNC may trigger the pre-emption procedure which may then cause the forced release of a lower priority RAB which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure is operator dependent, the pre-emption indicators, if given in the RAB ASSIGNMENT REQUEST message, shall be treated as follows:

- 1. The values of the last received Pre-emption Vulnerability IE and Priority Level IE shall prevail.
- 2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.
- 3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.
- 4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this connection shall be included in the pre-emption process.
- 5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this connection shall not be included in the pre-emption process.
- 6. If the *Priority Level* IE is set to "no priority used" the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values "shall not trigger pre-emption" and "not pre-emptable" shall prevail.
- If the *Allocation/Retention Priority* IE is not given in the RAB ASSIGNMENT REQUEST message, the allocation request shall not trigger the pre-emption process and the connection may be pre-empted and considered to have the value "lowest" as priority level. Moreover, queuing shall not be allowed.
- The UTRAN pre-emption process shall keep the following rules:
  - 1. UTRAN shall only pre-empt RABs with lower priority, in ascending order of priority.
  - 2. The pre-emption may be done for RABs belonging to the same UE or to other UEs.

If the *NAS Synchronisation Indicator* IE is contained in the RAB ASSIGNMENT REQUEST message, the RNC shall pass it to the radio interface protocol for the transfer to the UE.

If the RAB ASSIGNMENT REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

If the Service Handover IE is included, this tells if the RAB

- should be handed over to GSM, i.e. from NAS point of view, the RAB should be handed over to GSM as soon as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- should not be handed over to GSM, i.e. from NAS point of view, the RAB should remain in UMTS as long as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- shall not be handed over to GSM, i.e. the RAB shall never be handed over to GSM. This means that UTRAN shall not initiate handover to GSM for the UE unless the RABs with this indication have first been released with the normal release procedures.

The value of the *Service Handover* IE is valid throughout the lifetime of the RAB or until changed by a RAB modification.

The Service Handover IE shall only influence decisions made regarding UTRAN initiated handovers.

If the *Service Handover* IE is not included, the decision whether to perform a handover to GSM is only an internal UTRAN matter.

UTRAN shall report to CN, in the first RAB ASSIGNMENT RESPONSE message, the result for all the requested RABs, such as:

- List of RABs successfully established or modified.
- List of RABs released.
- List of RABs queued.
- List of RABs failed to establish or modify.
- List of RABs failed to release.

UTRAN shall report the outcome of a specific RAB to establish or modify only after the transport network control plane signalling, which is needed for RAB establishment or modification, has been executed. The transport network control plane signalling shall use the *Transport Layer Address* IE and *Iu Transport Association* IE. At a RAB modification, it is up to the RNC to decide if any transport network control plane signalling shall be performed for the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE or if the already existing transport bearer shall be used. If the RNC decides to establish a new transport bearer, then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode.

Before reporting the outcome of a specific RAB to establish or modify, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. This initialisation is described in ref.[6].

In case of establishment of a RAB for the PS domain, the CN must be prepared to receive user data before the RAB ASSIGNMENT RESPONSE message has been received.

If none of the RABs have been queued, the CN shall stop timer T<sub>RABAssgt.</sub> And the RAB Assignment procedure terminates. In that case, the procedure shall also be terminated in UTRAN.

When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer  $T_{QUEUING}$ . This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer  $T_{QUEUING}$  is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer T<sub>QUEUING</sub>.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop  $T_{QUEUING}$  when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

When CN receives the response that one or several RABs are queued, CN shall expect UTRAN to provide the outcome of the queuing function for each RAB before expiry of the T<sub>RABAssgt</sub> timer. In case the timer T<sub>RABAssgt</sub> expires, the CN shall consider the RAB Assignment procedure terminated and the RABs not reported shall be considered as failed.

In the case the timer  $T_{QUEUING}$  expires, the RAB Assignment procedure terminates in UTRAN for all queued RABs, and UTRAN shall respond for all of them in one RAB ASSIGNMENT RESPONSE message. The RAB Assignment procedure shall also be terminated in CN.

In case a request to modify or release a RAB contains the RAB ID of a RAB being queued, the RAB shall be taken out of the queue and treated according to the second request. The first request shall be responded to as a RAB failed to setup or modify with the cause value "Request superseded".

When UTRAN reports unsuccessful establishment/modification of a RAB, the cause value should be precise enough to enable the core network to know the reason for unsuccessful establishment/modification. Typical cause values are: "Requested Traffic Class not Available", "Invalid RAB Parameters Value", "Requested Maximum Bit Rate not Available", "Requested Maximum Bit Rate for DL not Available", "Requested Maximum Bit Rate for UL not Available", "Requested Guaranteed Bit Rate not Available", "Requested Guaranteed Bit Rate for UL not Available", "Requested Guaranteed Bit Rate for UL not Available", "Invalid RAB Parameters Combination", "Requested Transfer Delay not Achievable", "Invalid RAB Parameters Combination", "Condition Violation for SDU Parameters", "Condition Violation for Traffic Handling Priority", "Condition Violation for Guaranteed Bit Rate", "User Plane Versions not Supported", "Iu UP Failure", "Iu Transport Connection Failed to Establish".

If the RAB ID of a RAB requested to be released is unknown in the RNC, this shall be reported as a RAB failed to release with the cause value "Invalid RAB ID".

The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of "Directed Retry".

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

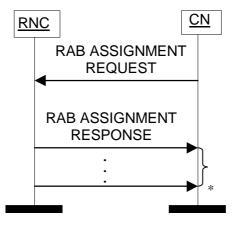
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Source:	ដ <mark>R-</mark> \	WG3						
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Reason for cha	nge: ж	RAB after ha RAB release example who REQUEST) If the reportin that the CN	TS 23.060, e.g ving released i result (RAB A ether on receip or on the comp ng is done befo equests a RAB released RAB	t. Howeve SSIGNME t of a RAE letion of th re the RA 8 (re-)esta	er TS 25 NT RE releas ne relea B is rel	5.413 does no SPONSE) sh are request (RA ase of the ass eased by the	ot specify whe ould be report AB ASSIGNMI ociated radio RNC, then it i	n the ted, for ENT bearer(s). s possible
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Clauses affecte	ed: #	8.2.2						
Other specs affected:	ж	X Other core Test spec O&M Spe				/3.3.0 : CR 00 /4.0.0 : CR 28		
Other commen	ts: ж							

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### 8.2.2 Successful Operation



\* it can be several responses

### Figure 1: RAB Assignment procedure. Successful operation.

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the T  $_{\rm RABAssgt}$  timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).
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- DL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).
- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).
- NAS Synchronisation Indicator.
- RAB parameters.
- Transport Layer Address.
- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.
- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.
- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.
- The priority levels and the pre-emption indicators may (singularly or in combination) be used to determine whether the RAB assignment has to be performed unconditionally and immediately. If the requested RAB is marked as "may trigger pre-emption" and the resource situation so requires, RNC may trigger the pre-emption procedure which may then cause the forced release of a lower priority RAB which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure is operator dependent, the pre-emption indicators, if given in the RAB ASSIGNMENT REQUEST message, shall be treated as follows:

- 1. The values of the last received Pre-emption Vulnerability IE and Priority Level IE shall prevail.
- 2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.
- 3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.
- 4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this connection shall be included in the pre-emption process.
- 5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this connection shall not be included in the pre-emption process.
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- If the *Allocation/Retention Priority* IE is not given in the RAB ASSIGNMENT REQUEST message, the allocation request shall not trigger the pre-emption process and the connection may be pre-empted and considered to have the value "lowest" as priority level. Moreover, queuing shall not be allowed.
- The UTRAN pre-emption process shall keep the following rules:
  - 1. UTRAN shall only pre-empt RABs with lower priority, in ascending order of priority.
  - 2. The pre-emption may be done for RABs belonging to the same UE or to other UEs.

If the *NAS Synchronisation Indicator* IE is contained in the RAB ASSIGNMENT REQUEST message, the RNC shall pass it to the radio interface protocol for the transfer to the UE.

If the RAB ASSIGNMENT REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

If the Service Handover IE is included, this tells if the RAB

- should be handed over to GSM, i.e. from NAS point of view, the RAB should be handed over to GSM as soon as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- should not be handed over to GSM, i.e. from NAS point of view, the RAB should remain in UMTS as long as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- shall not be handed over to GSM, i.e. the RAB shall never be handed over to GSM. This means that UTRAN shall not initiate handover to GSM for the UE unless the RABs with this indication have first been released with the normal release procedures.

The value of the *Service Handover* IE is valid throughout the lifetime of the RAB or until changed by a RAB modification.

The Service Handover IE shall only influence decisions made regarding UTRAN initiated handovers.

If the *Service Handover* IE is not included, the decision whether to perform a handover to GSM is only an internal UTRAN matter.

UTRAN shall report to CN, in the first RAB ASSIGNMENT RESPONSE message, the result for all the requested RABs, such as:

- List of RABs successfully established or modified.
- List of RABs released.
- List of RABs queued.
- List of RABs failed to establish or modify.
- List of RABs failed to release.

UTRAN shall report the outcome of a specific RAB to establish or modify only after the transport network control plane signalling, which is needed for RAB establishment or modification, has been executed. The transport network control plane signalling shall use the *Transport Layer Address* IE and *Iu Transport Association* IE. At a RAB modification, it is up to the RNC to decide if any transport network control plane signalling shall be performed for the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE or if the already existing transport bearer shall be used. If the RNC decides to establish a new transport bearer, then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode.

Before reporting the outcome of a specific RAB to establish or modify, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. This initialisation is described in ref.[6].

In case of establishment of a RAB for the PS domain, the CN must be prepared to receive user data before the RAB ASSIGNMENT RESPONSE message has been received.

If none of the RABs have been queued, the CN shall stop timer T<sub>RABAssgt.</sub> And the RAB Assignment procedure terminates. In that case, the procedure shall also be terminated in UTRAN.

When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer  $T_{QUEUING}$ . This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer  $T_{QUEUING}$  is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer T<sub>QUEUING</sub>.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop  $T_{QUEUING}$  when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

When CN receives the response that one or several RABs are queued, CN shall expect UTRAN to provide the outcome of the queuing function for each RAB before expiry of the T<sub>RABAssgt</sub> timer. In case the timer T<sub>RABAssgt</sub> expires, the CN shall consider the RAB Assignment procedure terminated and the RABs not reported shall be considered as failed.

In the case the timer  $T_{QUEUING}$  expires, the RAB Assignment procedure terminates in UTRAN for all queued RABs, and UTRAN shall respond for all of them in one RAB ASSIGNMENT RESPONSE message. The RAB Assignment procedure shall also be terminated in CN.

In case a request to modify or release a RAB contains the RAB ID of a RAB being queued, the RAB shall be taken out of the queue and treated according to the second request. The first request shall be responded to as a RAB failed to setup or modify with the cause value "Request superseded".

When UTRAN reports unsuccessful establishment/modification of a RAB, the cause value should be precise enough to enable the core network to know the reason for unsuccessful establishment/modification. Typical cause values are: "Requested Traffic Class not Available", "Invalid RAB Parameters Value", "Requested Maximum Bit Rate not Available", "Requested Maximum Bit Rate for DL not Available", "Requested Maximum Bit Rate for UL not Available", "Requested Guaranteed Bit Rate not Available", "Requested Guaranteed Bit Rate for UL not Available", "Requested Guaranteed Bit Rate for UL not Available", "Invalid RAB Parameters Combination", "Requested Transfer Delay not Achievable", "Invalid RAB Parameters Combination", "Condition Violation for SDU Parameters", "Condition Violation for Traffic Handling Priority", "Condition Violation for Guaranteed Bit Rate", "User Plane Versions not Supported", "Iu UP Failure", "Iu Transport Connection Failed to Establish".

If the RAB ID of a RAB requested to be released is unknown in the RNC, this shall be reported as a RAB failed to release with the cause value "Invalid RAB ID".

The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of "Directed Retry".

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

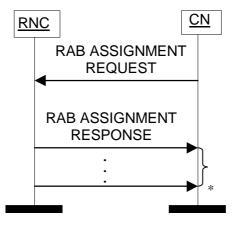
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#### How to create CRs using this form:

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- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
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### 8.2.2 Successful Operation



\* it can be several responses

### Figure 1: RAB Assignment procedure. Successful operation.

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the T  $_{\rm RABAssgt}$  timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).
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- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).
- NAS Synchronisation Indicator.
- RAB parameters.
- Transport Layer Address.
- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.
- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.
- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.
- The priority levels and the pre-emption indicators may (singularly or in combination) be used to determine whether the RAB assignment has to be performed unconditionally and immediately. If the requested RAB is marked as "may trigger pre-emption" and the resource situation so requires, RNC may trigger the pre-emption procedure which may then cause the forced release of a lower priority RAB which is marked as "pre-emptable". Whilst the process and the extent of the pre-emption procedure is operator dependent, the pre-emption indicators, if given in the RAB ASSIGNMENT REQUEST message, shall be treated as follows:

- 1. The values of the last received Pre-emption Vulnerability IE and Priority Level IE shall prevail.
- 2. If the *Pre-emption Capability* IE is set to "may trigger pre-emption", then this allocation request may trigger the pre-emption procedure.
- 3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.
- 4. If the *Pre-emption Vulnerability* IE is set to "pre-emptable", then this connection shall be included in the pre-emption process.
- 5. If the *Pre-emption Vulnerability* IE is set to "not pre-emptable", then this connection shall not be included in the pre-emption process.
- 6. If the *Priority Level* IE is set to "no priority used" the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values "shall not trigger pre-emption" and "not pre-emptable" shall prevail.
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When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer  $T_{QUEUING}$ . This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer  $T_{QUEUING}$  is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer T<sub>QUEUING</sub>.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop  $T_{QUEUING}$  when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

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The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of "Directed Retry".

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

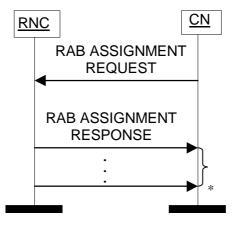
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### 8.2.2 Successful Operation



\* it can be several responses

### Figure 1: RAB Assignment procedure. Successful operation.

The CN shall initiate the procedure by sending a RAB ASSIGNMENT REQUEST message. When sending the RAB ASSIGNMENT REQUEST message, the CN shall start the T  $_{\rm RABAssgt}$  timer.

The CN may request UTRAN to:

- establish,
- modify,
- release

one or several RABs with one RAB ASSIGNMENT REQUEST message.

The message shall contain the information required by the UTRAN to build the new RAB configuration, such as:

- list of RABs to establish or modify with their bearer characteristics;
- list of RABs to release.

For each RAB requested to establish, the message shall contain:

- RAB ID.
- RAB parameters (including e.g. Allocation/Retention Priority).
- User Plane Mode Information (i e User Plane Mode and UP Mode Versions).
- Transport Layer Address.
- Iu Transport Association.
- PDP Type Information (only for PS)
- Data Volume Reporting Indication (only for PS).

- DL GTP-PDU sequence number (only when GTP-PDU sequence number is available in cases of handover from GPRS to UMTS or when establishing a RAB for an existing PDP context).
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- DL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).
- UL N-PDU sequence number (only when N-PDU sequence number is available in case of handover from GPRS to UMTS).

For each RAB requested to modify, the message may contain:

- RAB ID (mandatory).
- NAS Synchronisation Indicator.
- RAB parameters.
- Transport Layer Address.
- Iu Transport Association.

For each RAB request to release, the message shall contain:

- RAB ID.
- Cause.

Upon reception of the RAB ASSIGNMENT REQUEST message UTRAN shall execute the requested RAB configuration. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The RAB ID shall identify uniquely the RAB for the specific CN domain for the particular UE, which makes the RAB ID unique over the Iu connection on which the RAB ASSIGNMENT REQUEST message is received. When a RAB ID already in use over that particular Iu instance is used, the procedure is considered as modification of that RAB.

The RNC shall pass the contents of *RAB ID* IE to the radio interface protocol for each RAB requested to establish or modify.

The RNC shall establish or modify the resources according to the values of the *Allocation/Retention Priority* IE (priority level, pre-emption indicators, queuing) and the resource situation as follows:

- The RNC shall consider the priority level of the requested RAB, when deciding on the resource allocation.
- If the requested RAB is allowed for queuing and the resource situation so requires, RNC may place the RAB in the establishment queue.
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- 3. If the *Pre-emption Capability* IE is set to "shall not trigger pre-emption", then this allocation request shall not trigger the pre-emption procedure.
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- 6. If the *Priority Level* IE is set to "no priority used" the given values for the *Pre-emption Capability* IE and *Pre-emption Vulnerability* IE shall not be considered. Instead the values "shall not trigger pre-emption" and "not pre-emptable" shall prevail.
- If the *Allocation/Retention Priority* IE is not given in the RAB ASSIGNMENT REQUEST message, the allocation request shall not trigger the pre-emption process and the connection may be pre-empted and considered to have the value "lowest" as priority level. Moreover, queuing shall not be allowed.
- The UTRAN pre-emption process shall keep the following rules:
  - 1. UTRAN shall only pre-empt RABs with lower priority, in ascending order of priority.
  - 2. The pre-emption may be done for RABs belonging to the same UE or to other UEs.

If the *NAS Synchronisation Indicator* IE is contained in the RAB ASSIGNMENT REQUEST message, the RNC shall pass it to the radio interface protocol for the transfer to the UE.

If the RAB ASSIGNMENT REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

If the Service Handover IE is included, this tells if the RAB

- should be handed over to GSM, i.e. from NAS point of view, the RAB should be handed over to GSM as soon as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- should not be handed over to GSM, i.e. from NAS point of view, the RAB should remain in UMTS as long as possible although the final decision whether to perform a handover to GSM is still made in UTRAN.
- shall not be handed over to GSM, i.e. the RAB shall never be handed over to GSM. This means that UTRAN shall not initiate handover to GSM for the UE unless the RABs with this indication have first been released with the normal release procedures.

The value of the *Service Handover* IE is valid throughout the lifetime of the RAB or until changed by a RAB modification.

The Service Handover IE shall only influence decisions made regarding UTRAN initiated handovers.

If the *Service Handover* IE is not included, the decision whether to perform a handover to GSM is only an internal UTRAN matter.

UTRAN shall report to CN, in the first RAB ASSIGNMENT RESPONSE message, the result for all the requested RABs, such as:

- List of RABs successfully established or modified.
- List of RABs released.
- List of RABs queued.
- List of RABs failed to establish or modify.
- List of RABs failed to release.

If any alternative RAB parameter values have been used when establishing or modifying a RAB, these RAB parameter values shall be included in the RAB ASSIGNMENT RESPONSE message.

UTRAN shall report the outcome of a specific RAB to establish or modify only after the transport network control plane signalling, which is needed for RAB establishment or modification, has been executed. At a RAB establishment, the transport network control plane signalling shall use the *Transport Layer Address* IE and *Iu Transport Association* IE. At a RAB modification, it is up to the RNC to decide if any transport network control plane signalling shall be performed or if the already existing transport bearer shall be used. If the RNC decides to establish a new transport bearer, the transport network control plane signalling shall use the possibly included *Transport Layer Address* IE and *Iu Transport Association* IE. Then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode. If the RNC decides to modify the already existing transport bearer, the transport network control plane signalling shall not use the possibly included *Transport Address* IE and *Iu Transport Association* IE. Then the switch over to this new transport bearer shall be done immediately after transport bearer establishment and initialisation of the user plane mode. If the RNC decides to modify the already existing transport bearer, the transport network control plane signalling shall not use the possibly included *Transport Address* IE and *Iu Transport Association* IE. That is, re-binding with *Iu Transport Association* IE shall not be done.

Before reporting the successful outcome of a specific RAB to establish or modify, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* "support mode for predefined SDU sizes", it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value "RNC unable to establish all RFCs". The user plane initialisation is described in ref.[6].

In case of establishment of a RAB for the PS domain, the CN must be prepared to receive user data before the RAB ASSIGNMENT RESPONSE message has been received.

If none of the RABs have been queued, the CN shall stop timer T<sub>RABAssgt</sub>. And the RAB Assignment procedure terminates. In that case, the procedure shall also be terminated in UTRAN.

When the request to establish or modify one or several RABs is put in the queue, UTRAN shall start the timer  $T_{QUEUING}$ . This timer specifies the maximum time for queuing of the request of establishment or modification. The same timer  $T_{QUEUING}$  is supervising all RABs being queued.

For each RAB that is queued the following outcomes shall be possible:

- successfully established or modified;
- failed to establish or modify;
- failed due to expiry of the timer T<sub>QUEUING</sub>.

For the queued RABs, indicated in the first RAB ASSIGNMENT RESPONSE message, UTRAN shall report the outcome of the queuing for every RAB individually or for several RABs in subsequent RAB ASSIGNMENT RESPONSE message(s). This is left to implementation. UTRAN shall stop  $T_{QUEUING}$  when all RABs have been either successfully established or modified or failed to establish or modify. The RAB Assignment procedure is then terminated both in CN and UTRAN when all RABs have been responded to.

When CN receives the response that one or several RABs are queued, CN shall expect UTRAN to provide the outcome of the queuing function for each RAB before expiry of the T<sub>RABAssgt</sub> timer. In case the timer T<sub>RABAssgt</sub> expires, the CN shall consider the RAB Assignment procedure terminated and the RABs not reported shall be considered as failed.

In the case the timer  $T_{QUEUING}$  expires, the RAB Assignment procedure terminates in UTRAN for all queued RABs, and UTRAN shall respond for all of them in one RAB ASSIGNMENT RESPONSE message. The RAB Assignment procedure shall also be terminated in CN.

In case a request to modify or release a RAB contains the RAB ID of a RAB being queued, the RAB shall be taken out of the queue and treated according to the second request. The first request shall be responded to as a RAB failed to setup or modify with the cause value "Request superseded".

When UTRAN reports unsuccessful establishment/modification of a RAB, the cause value should be precise enough to enable the core network to know the reason for unsuccessful establishment/modification. Typical cause values are: "Requested Traffic Class not Available", "Invalid RAB Parameters Value", "Requested Maximum Bit Rate not Available", "Requested Maximum Bit Rate for DL not Available", "Requested Maximum Bit Rate for UL not Available", "Requested Guaranteed Bit Rate not Available", "Requested Guaranteed Bit Rate for UL not Available", "Requested Guaranteed Bit Rate for UL not Available", "Invalid RAB Parameters Combination", "Requested Transfer Delay not Achievable", "Invalid RAB Parameters Combination", "Condition Violation for SDU Parameters", "Condition Violation for Traffic Handling Priority", "Condition Violation for Guaranteed Bit Rate", "User Plane Versions not Supported", "Iu UP Failure", "Iu Transport Connection Failed to Establish".

If the RAB ID of a RAB requested to be released is unknown in the RNC, this shall be reported as a RAB failed to release with the cause value "Invalid RAB ID".

The RNC may indicate an impending directed retry attempt to GSM by sending RAB ASSIGNMENT RESPONSE message with a RAB ID included in the list of RABs failed to setup and a cause value of "Directed Retry".

The RNC shall be prepared to receive a RAB ASSIGNMENT REQUEST message containing a *RABs To Be Released* IE at any time and shall always reply to it. If there is an ongoing RAB Assignment procedure for a RAB indicated within the *RABs To Be Released* IE, the RNC shall discard the preceding RAB Assignment procedure for that specific RAB, release any related resources and report the released RAB within the RAB ASSIGNMENT RESPONSE message.

After sending RAB ASSIGNMENT RESPONSE message containing RAB ID within the *RABs Released* IE, the RNC shall be prepared to receive new establishment request of a RAB identified by the same RAB ID.

## R3-011352

Title:       # TRELOCalloc usage         Source:       # R-WG3         Work item code:       # TEI         Date:       # May 11, 2001         Category:       # F         Vork item code:       # F         Date:       # R99         Use one of the following categories:       Use one of the following releases:         F       (essential correction)         A (corresponds to a correction in an earlier release)       R96         B (Addition of feature),       R97         C (Functional modification)       R98         D (Editorial modification)       R99         D (Editorial modification)       R99         D (Editorial modification)       R99         D (Editorial modification)       R99         B found in 3GPP TR 21.900.       REL-5			CR-Form-v3
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Reason for change: # Clarification of usage of I <sub>RELOCalloc</sub> timer.	Reason for change	e: # Clarification of usage of T <sub>RELOCalloc</sub> timer.	
Summary of change: # In 8.7.4, state that expiration of T <sub>RELOCalloc</sub> , is an example whereby CN shall initial lu release towards target RNC if lu connection has been or will become established.	Summary of chang	Iu release towards target RNC if Iu connection hat established.	

#### How to create CRs using this form:

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Consequences if

Clauses affected:

Other comments:

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Other specs

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Comprehensive information and tips about how to create CRs can be found at: <u>http://www.3gpp.org/3G\_Specs/CRs.htm</u>. Below is a brief summary:

to do if timer expires.

**X** Other core specifications

Test specifications

**O&M** Specifications

**%** 8.7.4

# If this CR is not approved there may be misinterpretation on actions of CN what

# 25,413 CR289 REL-4

- 1) Fill out the above form. The symbols above marked **#** contain pop-up help information about the field that they are closest to.
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# 8.7 Relocation Resource Allocation

### 8.7.1 General

The purpose of the Relocation Resource Allocation procedure is to allocate resources from target RNS for a relocation of SRNS. Procedure shall be co-ordinated in all Iu signalling connections existing for the UE. The procedure uses connection oriented signalling.

## 8.7.2 Successful Operation

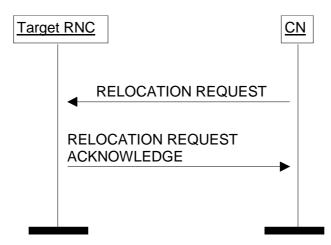


Figure 1: Relocation Resource Allocation procedure. Successful operation.

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer  $T_{RELOCalloc.}$ 

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The Cause IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".

- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB exist(s) and can be used for the RAB by the target RNC.
- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the NAS Synchronisation Indicator IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the RRC Container IE contained in the Target RNC to Source RNC Transparent Container IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

### 8.7.3 Unsuccessful Operation

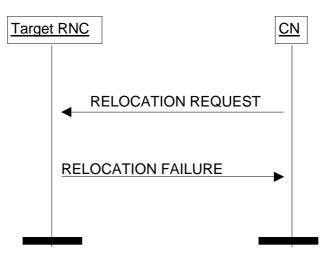


Figure 2: Relocation Resource Allocation procedure: Unsuccessful operation.

If the target RNC can not even partially accept the relocation of SRNS or a failure occurs during the Relocation Resource Allocation procedure in the target RNC, the target RNC shall send RELOCATION FAILURE message to the CN.

Transmission and reception of RELOCATION FAILURE message terminates the procedure in the UTRAN and the CN respectively.

When CN has received RELOCATION FAILURE message from target RNC, CN shall stop timer  $T_{RELOCalloc}$  and shall assume possibly allocated resources within target RNC completely released.

# 8.7.4 Abnormal Conditions

If after reception of the RELOCATION REQUEST message, the target RNC receives another RELOCATION REQUEST message on the same Iu connection, then the target RNC shall discard the latter message and the original Relocation Resource Allocation procedure shall continue normally.

### Interactions with Iu Release procedure:

If the CN decides to not continue the Relocation Resource Allocation procedure (e.g. due to  $T_{RELOCalloc}$  expiry) before the Relocation Resource Allocation procedure is completed, the CN shall stop timer  $T_{RELOCalloc}$  (if timer  $T_{RELOCalloc}$  has not already expired) and the CN shall, if the Iu signalling connection has been established or later becomes established, initiate the Iu Release procedure towards the target RNC with an appropriate value for the *Cause* IE, e.g. "Relocation Cancelled".

## R3-011353

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## 8.7.2 Successful Operation

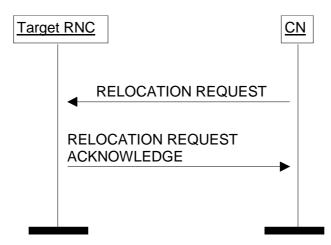


Figure 1: Relocation Resource Allocation procedure. Successful operation.

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer  $T_{\text{RELOCalloc.}}$ 

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The Cause IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.

- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.
- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB exist(s) and can be used for the RAB by the target RNC.
- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.
- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message. This shall not apply to the UTRAN initiated relocation.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the NAS Synchronisation Indicator IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the RRC Container IE contained in the Target RNC to Source RNC Transparent Container IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

Before reporting the successful outcome of the Relocation Resource allocation procedure, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* "support mode for predefined SDU sizes", it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value "RNC unable to establish all RFCs". The user plane initialisation is described in ref.[6].

# 8.7.3 Unsuccessful Operation

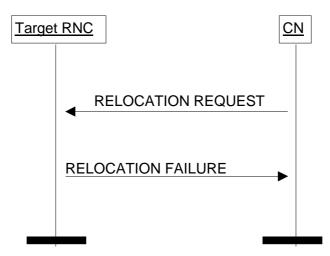


Figure 2: Relocation Resource Allocation procedure: Unsuccessful operation.

If the target RNC can not even partially accept the relocation of SRNS or a failure occurs during the Relocation Resource Allocation procedure in the target RNC, the target RNC shall send RELOCATION FAILURE message to the CN.

Transmission and reception of RELOCATION FAILURE message terminates the procedure in the UTRAN and the CN respectively.

When CN has received RELOCATION FAILURE message from target RNC, CN shall stop timer  $T_{RELOCalloc}$  and shall assume possibly allocated resources within target RNC completely released.

# 8.7.4 Abnormal Conditions

If after reception of the RELOCATION REQUEST message, the target RNC receives another RELOCATION REQUEST message on the same Iu connection, then the target RNC shall discard the latter message and the original Relocation Resource Allocation procedure shall continue normally.

### Interactions with Iu Release procedure:

If the CN decides to not continue the Relocation Resource Allocation procedure (e.g. due to  $T_{RELOCalloc}$  expiry) before the Relocation Resource Allocation procedure is completed, the CN shall stop timer  $T_{RELOCalloc}$  (if timer  $T_{RELOCalloc}$  has not already expired) and the CN shall, if the Iu signalling connection has been established or later becomes established, initiate the Iu Release procedure towards the target RNC with an appropriate value for the *Cause* IE, e.g. "Relocation Cancelled".

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		always fail.
		Additional information:
		The proposed change is backwards compatible.

Clauses affected: **# 8.7.2** 

Other specs

affected:	Test specifications     O&M Specifications
Other comments:	ж

### How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.7.2 Successful Operation

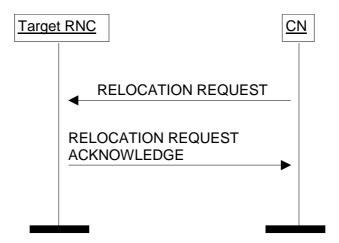


Figure 1: Relocation Resource Allocation procedure. Successful operation.

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer  $T_{RELOCalloc.}$ 

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The Cause IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

If the relocation type IE is "UE not involved in relocation of SRNS":

#### Release 1999

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB <u>either exist(s) already</u>, and can be used for the RAB by the target RNC, or does not exist before the relocation but can be established in order to support the RAB in the target RNC.
- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the NAS Synchronisation Indicator IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the RRC Container IE contained in the Target RNC to Source RNC Transparent Container IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

# 3GPP TSG-RAN WG3 Meeting #20 Beijing, China, April 2<sup>nd</sup> – April 6<sup>th</sup>, 2001

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		There are two cases in which the UE is already in the target RNC, i.e. Cell/URA Update case and the case when the radio links are in the Target RNC, and Iur U- Plane is used. Here the "UE not involved in relocation of SRNS" value of Relocation Type is used.							nd lur U-	
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### How to create CRs using this form:

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 8.7.2 Successful Operation

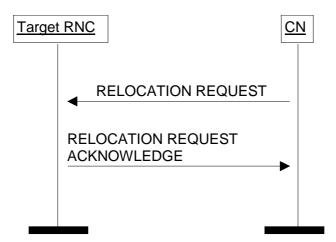


Figure 1: Relocation Resource Allocation procedure. Successful operation.

The CN shall initiate the procedure by generating RELOCATION REQUEST message. In a UTRAN to UTRAN relocation, this message shall contain the information (if any) required by the UTRAN to build the same RAB configuration as existing for the UE before the relocation. The CN may indicate that RAB QoS negotiation is allowed for certain RAB parameters and in some cases also which alternative values to be used in the negotiation.

The CN shall transmit the RELOCATION REQUEST message to target RNC and the CN shall start the timer  $T_{\text{RELOCalloc.}}$ 

Upon reception of the RELOCATION REQUEST message, the target RNC shall initiate allocation of requested resources. The following information elements received in RELOCATION REQUEST message require the same special actions in the RNC as specified for the same IEs in the RAB Assignment procedure:

- RAB-ID
- User plane mode
- Priority level, queuing and pre-emption indication
- Service Handover

If the RELOCATION REQUEST message includes the *PDP Type Information* IE, the UTRAN may use this to configure any compression algorithms.

The Cause IE shall contain the same value as the one received in the related RELOCATION REQUIRED message.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the CN, and which the RNC is required to store and remember for the duration of the Iu connection.

Following additional actions shall be executed in the target RNC during Relocation Resource Allocation procedure:

If the relocation type is "UE involved in relocation of SRNS":

- The target RNC may accept a requested RAB only if the RAB can be supported by the target RNC.
- Other RABs shall be rejected by the target RNC in the RELOCATION REQUEST ACKNOWLEDGE message with an appropriate value for *Cause* IE, e.g. "Unable to Establish During Relocation".
- The target RNC shall include information adapted to the resulting RAB configuration in the target to source RNC transparent container to be included in the RELOCATION REQUEST ACKNOWLEDGE message sent to the CN. If the target RNC supports triggering of the Relocation Detect procedure via the Iur interface, the RNC shall assign a d-RNTI for the context of the relocation and include it in the container. If two CNs are involved in the relocation of SRNS, the target RNC may, however, decide to send the container to only one CN.

#### **Release 4**

- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message.

If the relocation type IE is "UE not involved in relocation of SRNS":

- The target RNC may accept a RAB only if the radio bearer(s) for the RAB <u>either exist(s) already</u>, and can be used for the RAB by the target RNC, or does not exist before the relocation but can be established in order to support the RAB in the target RNC.
- If existing radio bearers are not related to any RAB that is accepted by target RNC, the radio bearers shall be ignored during the relocation of SRNS and the radio bearers shall be released by radio interface protocols after completion of relocation of SRNS.
- If any alternative RAB parameter values have been used when allocate the resource, these RAB parameter values shall be included in the RELOCATION REQUEST ACKNOWLEDGE message. This shall not apply to the UTRAN initiated relocation.

After all necessary resources for accepted RABs including the initialised Iu user plane, are successfully allocated, the target RNC shall send RELOCATION REQUEST ACKNOWLEDGE message to the CN. The resources associated with the RABs indicated as failed to set up shall not be released in the CN until the relocation is completed. This is in order to make a return to the old configuration possible in case of a failed or cancelled relocation.

The RELOCATION REQUEST ACKNOWLEDGE message received by the CN may optionally contain a transparent container, which shall be transferred by CN to the source RNC or the external relocation source while completing the Relocation Preparation procedure.

If one or more of the RABs that the target RNC has decided to support can not be supported by the CN, then these failed RABs shall not be released towards the target RNC until the relocation is completed.

If the *NAS Synchronisation Indicator* IE is contained in the RELOCATION REQUEST message, the target RNC shall pass it to the source RNC within the *RRC Container* IE contained in the *Target RNC to Source RNC Transparent Container* IE.

Transmission and reception of RELOCATION REQUEST ACKNOWLEDGE message terminates the procedure in the UTRAN and the CN respectively.

Before reporting the successful outcome of the Relocation Resource allocation procedure, the RNC shall have executed the initialisation of the user plane mode as requested by the CN in the *User Plane Mode* IE. If the RNC is requested to execute the user plane initialisation for the *User Plane Mode* "support mode for predefined SDU sizes", it shall initialise all RAB subflow combinations on Iu as indicated in the *RAB parameters* IE. If not all of the indicated RAB subflow combinations can be initialised the RAB Assignment fails with the cause value "RNC unable to establish all RFCs". The user plane initialisation is described in ref.[6].

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# 8.22.2 Successful Operation



Figure 1: Initial UE Message procedure. Successful operation.

When RNC has received from radio interface a NAS message (see ref. [8]) to be forwarded to CN domain to which the Iu signalling connection for the UE does not exist, RNC shall initiate the Initial UE Message procedure and send the INITIAL UE MESSAGE message to the CN.

In addition to the received NAS-PDU, RNC shall add following information to the INITIAL UE MESSAGE message:

- CN domain indicator, indicating the CN domain towards which this message is sent.
- For CS domain, the LAI which was the last LAI indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI to the UE via the current RRC connection, then the LAI of the cell via which the current RRC connection was established.
- For PS domain, the LAI+RAC which were the last LAI+RAC indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI+RAC to the UE via the current RRC connection, then the LAI+RAC of the cell via which the current RRC connection was established.
- Service Area corresponding to at least one of the cells from which the UE is consuming radio resources.
- Iu signalling connection identifier.

Global RNC identifier.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the RNC, and which the CN is required to store and remember for the duration of the Iu connection.

Whereas several processing entities within the CN (e.g. charging, interception, etc.) may make use of the location information given in the *SAI* IE and the *LAI* (and *RAC*) IE, the mobility management within the CN shall rely on the information given within the *LAI* IE (resp. *LAI* and *RAC* IEs) only.

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# 8.22.2 Successful Operation



Figure 1: Initial UE Message procedure. Successful operation.

When RNC has received from radio interface a NAS message (see ref. [8]) to be forwarded to CN domain to which the Iu signalling connection for the UE does not exist, RNC shall initiate the Initial UE Message procedure and send the INITIAL UE MESSAGE message to the CN.

In addition to the received NAS-PDU, RNC shall add following information to the INITIAL UE MESSAGE message:

- CN domain indicator, indicating the CN domain towards which this message is sent.
- For CS domain, the LAI which was the last LAI indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI to the UE via the current RRC connection, then the LAI of the cell via which the current RRC connection was established.
- For PS domain, the LAI+RAC which were the last LAI+RAC indicated to the UE by UTRAN via the current RRC connection, or if UTRAN had not yet indicated any LAI+RAC to the UE via the current RRC connection, then the LAI+RAC of the cell via which the current RRC connection was established.
- Service Area corresponding to at least one of the cells from which the UE is consuming radio resources.
- Iu signalling connection identifier.

Global RNC identifier.

The *Iu Signalling Connection Identifier* IE contains an Iu signalling connection identifier which is allocated by the RNC, and which the CN is required to store and remember for the duration of the Iu connection.

Whereas several processing entities within the CN (e.g. charging, interception, etc.) may make use of the location information given in the *SAI* IE and the *LAI* (and *RAC*) IE, the mobility management within the CN shall rely on the information given within the *LAI* IE (resp. *LAI* and *RAC* IEs) only.

	CHANGE REQUEST								
ж	<b>25.413</b> CR <b>295 *</b> rev <b>2 *</b> Current version: <b>3.5.0 *</b>								
For <u>HELP</u> on us	sing this form, see bottom of this page or look at the pop-up text over the X symbols.								
Proposed change affects: # (U)SIM ME/UE Radio Access Network X Core Network X									
Title: #	CN Domain Indicator in OVERLOAD message								
Source: ೫	R-WG3								
Work item code: %	TEI Date: # 2001-05-14								
Category: ೫	F Release: # R99								
Reason for change	Use one of the following categories:       Use one of the following releases:         F (essential correction)       2       (GSM Phase 2)         A (corresponds to a correction in an earlier release)       896       (Release 1996)         B (Addition of feature),       R97       (Release 1997)         C (Functional modification of feature)       R98       (Release 1998)         D (Editorial modification)       R99       (Release 1999)         Detailed explanations of the above categories can be found in 3GPP TR 21.900.       REL-4       (Release 4)         K       The Overload procedure is currently lacking of a means to indicate to the RNC the CN domain suffering the signalling traffic overload.       K								
	If the CN domain indicator is given to the RNC (in DL direction), the RNC is able to apply signalling traffic reduction mechanisms towards the indicated domain only. Due to the fact, that UTRAN is implemented in a domain independent way, the possibility to indicate the domain within the UL OVERLOAD message is seen unnecessary.								
Summary of chang	e: # Introduction of CN domain indicator in the DL OVERLOAD message to indicate the CN domain suffering the signalling traffic overload.								
Consequences if not approved:	<ul> <li>The RNC might apply signalling traffic reduction mechanisms to not affected CN domain.</li> <li>This CR is backwards compatible</li> </ul>								
Clauses affected:	<b>₭</b> 8.25.3, 9.1.38, 9.3.3, A.1.1								
Other specs affected:	<ul> <li>Cher core specifications</li> <li>Test specifications</li> <li>O&amp;M Specifications</li> </ul>								
Other comments:	ж								

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 8.25.3 Successful Operation

### 8.25.3.1 Overload at the CN



45



The CN should indicate to the RNC that it is in a congested state by sending an OVERLOAD message. <u>The CN Domain</u> <u>Indicator IE may be included, if the CN can determine the domain suffering the signalling traffic overload.</u>

At <u>T</u>the UTRAN receipt of this message should cause the reduction of <u>signalling</u> traffic <u>towards the CN</u>. to the <u>CN</u> node sending the message. If <u>CN</u> Domain Indicator IE is indicated within the <u>OVERLOAD</u> message, the <u>RNC</u> should apply signalling traffic reduction mechanisms to the indicated domain.

### 8.25.3.2 Overload at the UTRAN



Figure 222: Overload at the UTRAN. Successful operation.

If the UTRAN is not capable to send signalling messages to the UE due to overloaded resources then the UTRAN should send an OVERLOAD message to the CN.

# 9.1.38 OVERLOAD

This message is sent by both the CN and the RNC to indicate that the node is overloaded.

Direction: RNC  $\rightarrow$  CN and CN  $\rightarrow$  RNC.

Signalling bearer mode: Connectionless.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	М		9.2.1.1		YES	ignore
Number Of Steps	0		9.2.1.32		YES	ignore
Global RNC-ID	C- ifUL		9.2.1.39		YES	ignore
CN Domain Indicator	<u>0</u>		9.2.1.25		YES	ignore

Condition	Explanation						
IfUL	This IE is always used in uplink direction						

I

# 9.3.3 PDU Definitions

\*\*\* unchanged ASN.1 code omitted \*\*\*

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************************************	******	
 OVERLOAD CONTROL ELEMENTARY PROCEDU	RE	
 *********************************	*******	
************************************	*****	
 Overload		
***********************************	*****	
	cainer { {OverloadIEs} }, tensionContainer { {OverloadExtensions} }	OPTIONAL,
OverloadIEs RANAP-PROTOCOL-IES ::= {     { ID id-NumberOfSteps     { ID id-GlobalRNC-ID     This IE is always used in the up	CRITICALITY ignore TYPE NumberOfSteps CRITICALITY ignore TYPE GlobalRNC-ID plink direction	<pre>PRESENCE optional }   PRESENCE conditional</pre>
}	<i>.</i>	
OverloadExtensions RANAP-PROTOCOL-EXTEN { ID id-CN-DomainIndicator	NSION ::= { CRITICALITY ignore EXTENSION CN-DomainIndicator	PRESENCE optional } ,
}		

# Annex A (informative): RANAP Guidelines

# A.1 Rules for building RANAP messages

# A.1.1 Rules for RANAP messages that shall contain the CN Domain Indicator IE

Based on the principles described in [3], following rules can be deduced:

- 1) Any RANAP message initiating a connection oriented signalling connection shall contain the *CN Domain Indicator* IE. For the time being, two such RANAP messages are known: INITIAL UE MESSAGE message and RELOCATION REQUEST message.
- 2) Any RANAP message belonging to class 1 procedures that uses connectionless signalling shall contain the *CN Domain Indicator* IE.
- 3) Following RANAP message belonging to class 2 procedures that uses connectionless signalling shall contain the CN Domain Indicator IE: PAGING message and ERROR INDICATION message, the OVERLOAD message in DL direction (see chapter 8.25.3.1) may contain the CN Domain Indicator IE.

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Proposed change affects: # (U)SIM ME/UE Radio Access Network X Core Network X												
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Work item code: भ	B TE								Date: #	200	01-05-14	
Category: भ	B A								Release: #	Re	-4	
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Consequences if not approved:	ж	doma			-		affic re	educt	tion mechani	isms t	o not affe	cted CN
Clauses affected:	Ħ	8,25	3, 9.1.38	3, 9,3.3.	A.1.1							
Other specs affected:	ж	X Ot	her core est speci &M Spec	specific	ations	ж	25.	413	CR295r2 R9	9		
Other comments:	ж											

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### 8.25.3 Successful Operation

### 8.25.3.1 Overload at the CN



Figure <u>14</u>1: Overload at the CN. Successful operation.

The CN should indicate to the RNC that it is in a congested state by sending an OVERLOAD message. <u>The CN</u> *Domain Indicator* IE may be included, if the CN can determine the domain suffering the signalling traffic overload.

At t<u>T</u>he UTRAN receipt of this message should cause the reduction of <u>signalling</u> traffic to<u>wards</u> the CN node sending the message. If CN Domain Indicator IE is indicated within the OVERLOAD message, the RNC should apply signalling traffic reduction mechanisms to the indicated domain

### 8.25.3.2 Overload at the UTRAN



Figure 222: Overload at the UTRAN. Successful operation.

If the UTRAN is not capable to send signalling messages to the UE due to overloaded resources then the UTRAN should send an OVERLOAD message to the CN.

# 9.1.38 OVERLOAD

This message is sent by both the CN and the RNC to indicate that the node is overloaded.

Direction: RNC  $\rightarrow$  CN and CN  $\rightarrow$  RNC.

Signalling bearer mode: Connectionless.

IE/Group Name	Presence	Range	IE type and reference	Semantics description	Criticality	Assigned Criticality
Message Type	Μ		9.2.1.1		YES	ignore
Number Of Steps	0		9.2.1.32		YES	ignore
Global RNC-ID	C- ifUL		9.2.1.39		YES	ignore
CN Domain Indicator	<u>C-</u> IfDLandO VLdet		<u>9.2.1.25</u>		<u>YES</u>	ignore

Condition	Explanation		
IfUL	This IE is always used in uplink direction		
IfDLandOVLdet	This IE is always used in downlink direction if the overload situation		
	can be determined to stem from one CN domain only		

### 9.3.3 PDU Definitions

\*\*\* unchanged ASN.1 code omitted \*\*\* \_ \_ -- OVERLOAD CONTROL ELEMENTARY PROCEDURE \_ \_ \*\*\*\*\*\*\*\*\*\*\* \_ \_ -- Overload \_\_\_ Overload ::= SEQUENCE { protocolIEs ProtocolIE-Container { {OverloadIEs} }, ProtocolExtensionContainer { {OverloadExtensions} } protocolExtensions OPTIONAL, . . . } OverloadIEs RANAP-PROTOCOL-IES ::= { { ID id-NumberOfSteps CRITICALITY ignore TYPE NumberOfSteps PRESENCE optional } | { ID id-GlobalRNC-ID CRITICALITY ignore TYPE GlobalRNC-ID PRESENCE conditional -- This IE is always used in the uplink direction --}, . . . } OverloadExtensions RANAP-PROTOCOL-EXTENSION ::= { { ID id-CN-DomainIndicator CRITICALITY ignore EXTENSION CN-DomainIndicator PRESENCE optional } , . . . }

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# Annex A (informative): RANAP guidelines

# A.1 Rules for building RANAP messages

# A.1.1 Rules for RANAP messages that shall contain the CN Domain Indicator IE

Based on the principles described in [3], following rules can be deduced:

- Any RANAP message initiating a connection oriented signalling connection shall contain the *CN Domain Indicator* IE. For the time being, two such RANAP messages are known: INITIAL UE MESSAGE message and RELOCATION REQUEST message.
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### 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

CR-Form-v3 CHANGE REQUEST Current version: 3.5.0 ж 25.413 CR ж rev ж 298 For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **#** symbols. ME/UE Radio Access Network X Core Network X Proposed change affects: # (U)SIM Title: # Reference to superseded versions of ASN.1 documents Source: 第 R-WG3 Work item code: # TEI Date: # 2001-05-23 Category: жF Release: # R99 Use one of the following categories: Use one of the following releases: F (essential correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) R96 (Release 1996) B (Addition of feature). R97 (Release 1997) **C** (Functional modification of feature) R98 (Release 1998) D (Editorial modification) (Release 1999) R99 Detailed explanations of the above categories can REL-4 (Release 4) be found in 3GPP TR 21.900. REL-5 (Release 5) The 1994 versions of X.680, X.681 and X.691 are referred to in 25.413. These Reason for change: # versions have, however, been superseded by the 1997 versions. It is thus proposed to refer to the 1997 versions instead. Summary of change: # Version of ASN.1 specifications changed to 1997 version. 25.921 is also updated to state that even though version 1997 is referenced, the specifications will only make use of version 1994 functionality. Ж References are made to not maintained specification versions. Consequences if not approved: The proposed changes are backwards compatible. Clauses affected: ж 2 **X** Other core specifications Other specs ж CR299 (25.413 V4.0.0), CRxxx (25.921 V3.3.0) Test specifications affected: **O&M** Specifications

ж

Other comments:

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- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] 3GPP TR 23.930: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; Iu Principles".
- [2] 3GPP TS 25.410: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface: General Aspects and Principles".
- [3] 3GPP TS 25.401: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Overall Description".
- [4] 3GPP TR 25.931: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Functions, Examples on Signalling Procedures".
- [5] 3GPP TS 25.412: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface Signalling Transport".
- [6] 3GPP TS 25.415: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; UTRAN Iu Interface User Plane Protocols".
- [7] 3GPP TS 23.107: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspects; QoS Concept and Architecture".
- [8] 3GPP TS 24.008: "3<sup>rd</sup> Generation Partnership Project (3GPP); Mobile radio interface layer 3 specification, Core Network Protocols Stage 3".
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- [12] 3GPP TS 12.08: "Subscriber and equipment trace".
- [13] X.691 (12/974): "Information Technology ASN.1 encoding rules Specification of Packed Encoding Rules (PER)".
- [14] X.680, (12/9<u>7</u>4): "Information Technology Abstract Syntax Notation One (ASN.1):Specification of basic notation".
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[18]	3GPP TS 25.921: "3 <sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Radio Access Network; Guidelines and principles for protocol description and error handling".
[19]	3GPP TS 23.003: "3 <sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Core Network; Numbering, addressing and identification".

[20] 3GPP TS 23.032: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Core Network; Universal Geographical Area Description (GAD)".

### [21] 3GPP TS 23.060: "3<sup>rd</sup> Generation Partnership Project (3GPP) Technical Specification Group Services and System Aspect; General Packet Radio Service (GPRS); Service description; Stage 2".

# 3GPP TSG-RAN WG3 Meeting #21 Busan, Korea, May 21<sup>st</sup> – 25<sup>th</sup>, 2001

ж	25.413	CR 299	<sup>ℋ rev</sup> -1	# Current vers	sion: <b>4.0.0</b> <sup>#</sup>			
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Reason for ch	vers	1994 versions of X. sions have, however, bosed to refer to the	been superse	ded by the 1997 v	d to in 25.413. These ersions. It is thus			
Summary of cl	<u>25.9</u>	sion of ASN.1 specif 021 is also updated t cifications will only m	o state that ev	en though version	<u>1997 is referenced, the</u>			
Consequences not approved:		References are made to not maintained specification versions. The proposed changes are backwards compatible.						
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Other specs affected:	Т	Other core specificati est specifications 0&M Specifications		R298 (25 <mark>.413 V3.5</mark> 4.0.0)	5.0), CRxxx (25.921			
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