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Technical Report

3rd Generation Partnership Project; Technical Specification Group Radio Access Network; UTRA TDD Low Chip Rate Option Radio Protocol Aspects (Release 2000)



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Foreword

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1 Scope

This TR describes the services provided by the physical layer and the layer 2/3 functionality for support of the 1.28 Mcps low chip rate option of UTRA TDD. Based on the protocol structure existing for UTRA TDD / FDD, it is identified which modifications will be required in order to enable the layer 1 characteristics and key features of the low chip rate option.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.

- [1] 3G TS 25. 928: 1.28 Mcps functionality for UTRA TDD Physical Layer
- [2] 3G TS 25. 302: Services provided by the Physical Layer
- [3] 3G TR 25.990: Vocabulary for the UTRAN
- [4] 3G TS 25.321: MAC Protocol Specification
- [5] 3G TS 25.331: RRC Protocol Specification
- [6] 3G TR 25.921: Guidelines and Principles for protocol description and error handling
- [7] 3G TS 25.222: Multiplexing and Channel Coding (TDD)

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in [3] apply.

3.2 Symbols

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ASC	Access Service Class
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
BMC	Broadcast/Multicast Control
C-	Control-
CCCH	Common Control Channel
CCH	Control Channel
CCTrCH	Coded Composite Transport Channel
CN	Core Network
CRC	Cyclic Redundancy Check
CTCH	Common Traffic Channel
DC	Dedicated Control (SAP)
DCA	Dynamic Channel Allocation
DCCH	Dedicated Control Channel
DCH	Dedicated Channel
DL	Downlink
DRNC	Drift Radio Network Controller
DSCH	Downlink Shared Channel
DTCH	Dedicated Traffic Channel
DwPTS	Downlink Pilot Timeslot
FACH	Forward Link Access Channel
FDD	Frequency Division Duplex
FPACH	Fast Physical Access Channel
GC	General Control (SAP)
GP	Guard Period
HO	Handover
ITU	International Telecommunication Union
kbps	kilo-bits per second
L1	Layer 1 (physical layer)
L2	Layer 2 (data link layer)
L3	Layer 3 (network layer)
MAC	Medium Access Control
Nt	Notification (SAP)
PCCH	Paging Control Channel
P-CCPCH	Primary Common Control Physical Channel
PCH	Paging Channel
PDCP	Packet Data Convergence Protocol
PDSCH	Physical Downlink Shared Channel
PDU	Protocol Data Unit
PHY	Physical layer
PhyCH	Physical Channels
P-RACH	Physical Random Access Channel
PU	Payload Unit
PUSCH	Physical Uplink Shared Channel
RAB	Radio Access Bearer
RACH	Random Access Channel
RB	Radio Bearer
RLC	Radio Link Control
RNC	Radio Network Controller
RNS	Radio Network Subsystem

RNTI	Radio Network Temporary Identity
RRC	Radio Resource Control
Rx	Receive
SAP	Service Access Point
SCH	Synchronization Channel
SDU	Service Data Unit
SHCCH	Shared Channel Control Channel
SIR	Signal to Interference Ratio
SRNC	Serving Radio Network Controller
SRNS	Serving Radio Network Subsystem
TCH	Traffic Channel
TDD	Time Division Duplex
TFCI	Transport Format Combination Indicator
TFI	Transport Format Indicator
TPC	Transmit Power Control
Ts	Timeslot
Tx	Transmit
U-	User-
UE	User Equipment
UL	Uplink
UMTS	Universal Mobile Telecommunications System
UpPTS	Uplink Pilot Timeslot
URA	UTRAN Registration Area
USCH	Uplink Shared Channel
UTRA	UMTS Terrestrial Radio Access
UTRAN	UMTS Terrestrial Radio Access Network

4 Background and Introduction

TDD low chip rate option is a release 2000 work item that was agreed in RAN#7 plenary meeting. This work item involves the introduction of functionality to enable the physical layer structure of TDD low chip rate option within the existing UTRA layers.

This report identifies the required modifications within the UTRA layers 2/3. Basically, the layer 2/3 services and functions need not be changed. Emphasis must be put on the fact that it is tried to reuse existing functionality as much as possible for enabling the TDD low chip rate option. Addition or modification of some elements or parameters in comparison with the existing layer 2/3 will however be needed due to the specific physical layer structure and key features of the TDD low chip rate option and the aim of this report is to show where this is the case.

5 Overview of Physical Layer of TDD Low Chip Rate Option

This section contains some basic information about frame and burst structure of physical layer of TDD low chip rate option. More information on physical layer characteristics of TDD low chip rate option can be found in [1].

5.1 Frame structure

For low chip rate option, the frame length is 10ms and the 10ms frame is divided into 2 sub-frames of 5ms. The frame structure for each sub-frame in the 10ms frame length is the same. The frame structure for each sub-frame is shown in Figure 1.

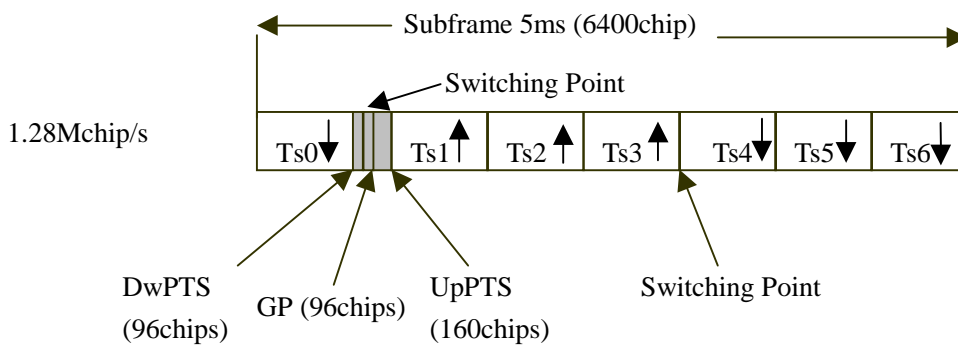


Figure 1 Structure of the sub-frame for TDD low chip rate option

T_{sn} (n from 0 to 6): the nth normal time slot, 864 chips duration;
 DwPTS: downlink pilot time slot, 96 chips duration;
 UpPTS: uplink pilot time slot, 160 chips duration;
 GP: main guard period for TDD operation, 96 chips duration;

In Figure 1, the total number of normal traffic time slots for uplink and downlink is 7, and the length for each normal time slot is 864 chips duration. Among the 7 normal traffic time slots, Ts₀ is always allocated as downlink while Ts₁ is always allocated as uplink. The time slots for the uplink and the downlink are separated by a switching point. Between the downlink time slots and uplink time slots, the special period is the switching point to separate the uplink and downlink. In each sub-frame of 5ms for low chip rate option, there are two switching points (uplink to downlink and vice versa). The proposed frame structure has taken some new technologies into consideration, both the smart antenna (beam forming) technology and the uplink synchronisation will be well supported.

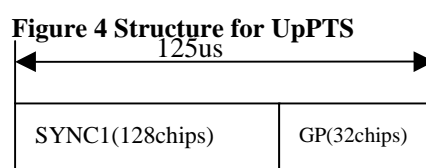
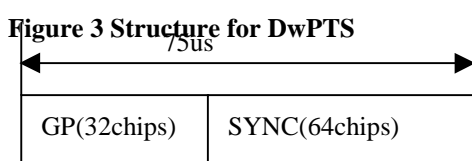
5.2 Burst Types

In correspondence to the frame structure described above, the burst structures for T_{sn}, DwPTS and UpPTS are proposed. The burst structure for normal time slot (T_{sn}) is described in Figure 2.



Figure 2 Burst structure for normal traffic time slot

The structure for DwPTS and UpPTS is described in Figure 3 and Figure 4.



In Figure 2, the data symbols in each side of the midamble are 352 chips. The TPC bits for power control, the TFCI bits and the additional uplink synchronization bits (synchronization shift) are included in the Data symbols fields of the burst if they are needed. The amount of TFCI bits used is depending on the service and the details for TFCI, synchronization shift and TPC bits should be provided later with service mapping. For the power control symbols, the uplink synchronization control symbols and the TFCI the symbols around the midamble are used.

The GP field in Figure 2 for each time slot is used for protection between time slots to avoid the long delay multi-path interference. It should be noted that the GP of the TS0 together with the guard period in DwPTS is 48 chips long which is different with other normal guard period of 16 chips between time slots. This 'super long' guard period can be used to avoid the interference between the last normal downlink time slot and the downlink synchronization pilot burst. Otherwise, the interference to the last downlink time slot from the strong powered pilot will be serious to the traffic; and vice versa, the interference to the downlink pilot burst from the last downlink time slot will decrease the performance on downlink synchronization and cell search. Note that if the UEs serving Node B is far away and the UE makes handover measurements it will receive the beginning of the DwPTS of a close by Node B inside these 48 chip. 48 chip corresponds to 11 km difference in distance to the Node B. If the other Node B is more distant to the serving Node B, big guard period can be used for receiving the DwPTS of the handover candidate Node B.

In DwPTS and UpPTS, the content of SYNC and SYNC1 field are used for downlink and uplink pilot. The GP fields are used to separate the downlink (uplink) pilot from the normal downlink (uplink) time slot.

It should be pointed out that the uplink synchronization burst (SYNC1) is not followed by a RACH immediately. First the UL synchronization burst UpPTS is sent by the UE. This UpPTS is used for Node B to determine the received power level and the received timing. Second, the Node B transmits timing and power control information to the UE using the FPACH (one burst message) within the next 4 frames. Then the P-RACH is transmitted. Both FPACH and P-RACH are carrying single burst messages transmitted on a normal traffic time slot (see Fig. 2).

6 Services and Functions of the Physical Layer of 1.28 Mcps TDD

6.1 General

No modifications for UTRA 1.28Mcps TDD are required according to Section 5.1 in [2].

6.2 Overview of L1 functions

No modifications for UTRA 1.28Mcps are required according to Section 5.2 in [2].

6.3 L1 interactions with L2 retransmission functionality

No modifications for UTRA 1.28Mcps TDD are required according to Section 5.3 in [2].

7 Model of physical layer of the UE

7.1 Uplink models

No modifications for UTRA TDD low chip rate option are required compared to UTRA TDD 3.84 Mcps.

7.2 Downlink models

No modifications for UTRA TDD low chip rate option are required compared to UTRA TDD 3.84 Mcps.

8 Formats and configurations for L1 data transfer

8.1 General concepts about Transport Channels

The transport channel concept for UTRA TDD low chip rate option is the same as for UTRA TDD 3.84 Mcps as defined in [2].

8.2 Types of Transport Channels

A general classification of transport channels is into two groups:

- common channels; and
- dedicated channels (where the UEs can be unambiguously identified by the physical channel, i.e. code, frequency and time slot).

Common transport channel types are the same as for UTRA TDD 3.84 Mcps. Details of operation on RACH and FACH are ffs, e.g. power control. RACH and FACH are characterized as follows:

1. Random Access Channel(s) (RACH) characterised by:
 - existence in uplink only;
 - limited data field;
 - collision risk;
 - power control.
2. Forward Access Channel(s) (FACH) characterised by:
 - existence in downlink only;
 - possibility to use beam forming;
 - power control;
 - possibility to change rate fast (each 10ms).

The details of shared channels USCH and DSCH are ffs.

Dedicated transport channel types are the same as for UTRA TDD 3.84 Mcps. For TDD low chip rate option, DCH has the possibility to use Uplink Synchronisation to maintain timing advance :

1. Dedicated Channel (DCH) characterised by:
 - existing in uplink or downlink;
 - possibility to use beam forming;
 - possibility to change rate fast (each 10ms);
 - fast power control;
 - Possibility to use Uplink Synchronisation.

9 UE Simultaneous Physical Channels combinations

9.1 1.28 Mcps TDD Uplink

The following table lists the combinations of 1.28 Mcps TDD physical channels that can be supported in the uplink by one UE in any one 5 ms sub-frame. With the exception of UpPTS, a TDD physical channel corresponds to one code, one timeslot and one frequency. The channel combinations listed are similar to those of TDD 3.84 Mcps, the differences relate to the additional physical channels that are supported by 1.28 Mcps TDD.

The combinations that have to be introduced or modified for 1.28 Mcps TDD are marked with “*”.

Please note that details of using shared channels for 1.28 Mcps TDD are ffs.

Table 1: 1.28 Mcps TDD Uplink

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service Dependent	Comment
1*	UpPTS	N/A	Baseline	UpPTS is used to obtain permission for RACH access.
2*	PRACH	RACH	Baseline	One RACH transport channel maps to one <u>or more</u> PRACH physical channels.
3	One or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
4*	UpPTS + one or more DPCH	one or more DCH coded into one or more CCTrCH	Service dependent	UpPTS is used to obtain permission for RACH access. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
5*	PRACH + one or more DPCH	RACH + one or more DCH coded into one or more CCTrCH	Service dependent	One RACH transport channel maps to one <u>or more</u> PRACH physical channels. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
6	One or more PUSCH	One or more USCH coded onto one or more CCTrCH	Service dependent	An USCH transport channel may map to one or more PUSCH physical channels based on system configuration. USCH requires a control channel (RACH/FACH or DCH); however, it is not required to be in the same 10ms frame as the USCH.
7*	UpPTS + one or more PUSCH	One or more USCH coded on to one or more CCTrCH	Service dependent	UpPTS is used to obtain permission for RACH access. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
8*	PRACH + one or more PUSCH	RACH + One or more USCH coded on to one or more CCTrCH	Service dependent	One RACH transport channel maps to one <u>or more</u> PRACH physical channels. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
9	One or more PUSCH + one or more DPCH	One or more USCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
10*	UpPTS + one or more PUSCH + one or more DPCH	one or more USCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	UpPTS is used to obtain permission for RACH access. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
11*	PRACH + one or more PUSCH + one or more DPCH	RACH + one or more USCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	One RACH transport channel maps to one <u>or more</u> PRACH physical channels. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.

9.2 1.28 Mcps TDD Downlink

The table describes the combinations of TDD physical channels that can be supported in the downlink by one UE in any one 5 ms frame. With the exception of DwPTS, a TDD physical channel corresponds to one code, one timeslot and one frequency. The channel combinations listed are similar to those of 3.84 Mcps TDD, the differences relating to the additional physical channels that are supported by the 1.28 Mcps TDD.

The combinations that have to be introduced or modified for 1.28 Mcps TDD are marked with “*”).

Please note that details of using shared channels for 1.28 Mcps TDD are ffs.

Table 2: 1.28 Mcps TDD Downlink

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service dependent	Comment
1*	DwPTS	N/A	Baseline	DwPTS provides cell synchronisation.
2*	FPACH	N/A	Baseline	FPACH indicates access rights to PRACH.
3	P-CCPCH and/or One or more S-CCPCH + PICH	BCH and/or PCH and/or one or more FACH	Baseline	BCH maps to the P-CCPCH in a frame. FACH can map to multiple S-CCPCH in a frame. PCH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
4*	FPACH+ P-CCPCH and/or One or more S-CCPCH + PICH	BCH and/or PCH and/or one or more FACH	Baseline	FPACH indicates access rights to PRACH. BCH maps to the P-CCPCH in a frame. FACH can map to multiple S-CCPCH in a frame. PCH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
5	One or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability
6*	FPACH and one or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability
7	P-CCPCH and/or one or more S-CCPCH + PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH + one or more DCH coded into one or more CCTrCH	Service dependent	The number of DCHs and the maximum channel bit rate are dependent on the UE Service Capability. BCH maps to the P-CCPCH in a frame. FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
8*	FPACH and P-CCPCH and/or one or more S-CCPCH + PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH + one or more DCH coded into one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. The number of DCHs and the maximum channel bit rate are dependent on the UE Service Capability. BCH maps to the P-CCPCH in a frame. FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
9	One or more PDSCH	One or more DSCH coded onto one or more CCTrCH	Service dependent	A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH) however, it is not required to be in the same 10ms frame as the DSCH.
10*	FPACH + one or more PDSCH	One or more DSCH coded onto one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
11	One or more PDSCH + P-CCPCH and/or one or more S-CCPCH + PICH	BCH and/or PCH and/or one or more FACH + one or more DSCH coded onto one or more CCTrCH	Service dependent	BCH maps to the P-CCPCH in a frame. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service dependent	Comment
12*	FPACH + one or more PDSCH + P-CCPCH and/or one or more S-CCPCH + PICH	BCH and/or PCH and/or one or more FACH + one or more DSCH coded onto one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. BCH maps to the P-CCPCH in a frame. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.
13	One or more PDSCH + one or more DPCH	One or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
14*	FPACH + one or more PDSCH + one or more DPCH	One or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
15	One or more PDSCH + P-CCPCH and/or one or more S-CCPCH + PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH + one or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	BCH maps to the P-CCPCH in a frame. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
16*	FPACH + one or more PDSCH + P-CCPCH and/or one or more S-CCPCH + PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH + one or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	FPACH indicates access rights to PRACH. BCH maps to the P-CCPCH in a frame. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.

9.3 1.28 Mcps TDD Uplink and Downlink Combinations

1.28 Mcps TDD basically supports the same possible uplink and downlink physical channel combinations as 3.84 Mcps TDD within a frame. Due to the use of FPACH, DwPTS and UpPTS, additional combinations are supported as indicated in the tables of this document. Furthermore, the different usage of PRACH in 1.28 Mcps TDD also causes some differences that can be derived from the tables in this document. For simplification, it is therefore omitted here to name all possible UL and DL physical channel combinations.

Please note that details of using shared channels for 1.28 Mcps TDD are ffs.

9.4 1.28 Mcps TDD UE Uplink Timeslot Combinations

This table describes possible uplink physical channels that can be supported by a UE within a specific time slot. UpPTS is not included because it occupies a single use timeslot.

The combinations that have to be introduced or modified for 1.28 Mcps TDD are marked with “*”.

Please note that details of using shared channels for 1.28 Mcps TDD are ffs.

Table 3: 1.28 Mcps TDD UE Uplink Timeslot Combinations

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service Dependent	Comment
1 *	PRACH	RACH	Baseline	One RACH transport channel maps to one <u>or more</u> PRACH physical channels.
2	One or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
3 *	PRACH and one or more DPCH	RACH and one or more DCH coded into one or more CCTrCH	Service dependent	PRACH can be located in the same timeslot as DPCH. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
4	One or more PUSCH	One or more USCH coded onto one or more CCTrCH	Service dependent	An USCH transport channel may map to one or more PUSCH physical channels based on system configuration. USCH requires a control channel (RACH/FACH or DCH); however, it is not required to be in the same 10 ms frame as the USCH.
5 *	PRACH and one or more PUSCH	RACH and one or more USCH coded onto one or more CCTrCH	Service dependent	PRACH can be located in the same timeslot as PUSCH. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
6	One or more PUSCH + one or more DPCH	One or more USCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.
7 *	PRACH and one or more PUSCH + one or more DPCH	RACH and one or more USCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	PRACH can be located in the same timeslot as both DPCH and PUSCH. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. An USCH transport channel may map to one or more PUSCH physical channels based on system configuration.

9.5 1.28 Mcps TDD UE Downlink Timeslot Combinations

This table describes possible downlink physical channels that can be supported by a UE within a specific time slot.

The combinations that have to be introduced or modified for 1.28 Mcps TDD are marked with “*”.

Please note that details of using shared channels for 1.28 Mcps TDD are ffs.

Table 4: 1.28 Mcps TDD UE Downlink Timeslot Combinations

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service dependent	Comment
1	P-CCPCH and/or one or more S-CCPCH+ PICH	BCH and/or PCH and/or one or more FACH	Baseline	BCH maps to the P-CCPCH. FACH can map to multiple S-CCPCH in a frame. PCH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
2*	FPACH and P-CCPCH and/or one or more S-CCPCH+ PICH	BCH and/or PCH and/or one or more FACH	Baseline	FPACH assigns PRACH access rights. BCH maps to the P-CCPCH. FACH can map to multiple S-CCPCH in a frame. PCH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
3	One or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependant	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability
4*	FPACH and one or more DPCH	One or more DCH coded into one or more CCTrCH	Service dependent	FPACH assigns PRACH access rights. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability.
5	P-CCPCH and/or one or more S-CCPCH+ PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH and one or more DCH coded into one or more CCTrCH	Service dependent	The number of DCHs and the maximum channel bit rate are dependent on the UE Service Capability. BCH maps to the P-CCPCH. FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
6*	FPACH and P-CCPCH and/or one or more S-CCPCH+ PICH + one or more DPCH	BCH and/or PCH and/or one or more FACH and one or more DCH coded into one or more CCTrCH	Service dependent	FPACH assigns PRACH access rights. The number of DCHs and the maximum channel bit rate are dependent on the UE Service Capability. BCH maps to the P-CCPCH. FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel.
7	One or more PDSCH	One or more DSCH coded onto one or more CCTrCH	Service dependent	A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.
8*	FPACH and one or more PDSCH	One or more DSCH coded onto one or more CCTrCH	Service dependent	FPACH assigns PRACH access rights. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.

	Physical Channel Combination	Transport Channel Combination	Baseline Capability or Service dependent	Comment
9	P-CCPCH and/or one or more PDSCH + one or more S-CCPCH+ PICH	BCH and/or PCH and/or one or more FACH and one or more DSCH coded onto one or more CCTrCH	Service dependant	BCH maps to the P-CCPCH. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.
10 *	FPACH and P-CCPCH and/or one or more PDSCH + one or more S-CCPCH+ PICH	BCH and/or PCH and/or one or more FACH and one or more DSCH coded onto one or more CCTrCH	Service dependant	FPACH assigns PRACH access rights. BCH maps to the P-CCPCH. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration. DSCH requires a control channel (FACH or DCH); however, it is not required to be in the same 10ms frame as the DSCH.
11	One or more PDSCH + one or more DPCH	One or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
12 *	FPACH and one or more PDSCH + one or more DPCH	One or more DSCH coded onto one or more CCTrCH + one or more DCH coded into one or more CCTrCH	Service dependent	FPACH assigns PRACH access rights. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
13	One or more PDSCH + P-CCPCH and/or one or more S-CCPCH+ PICH+ one or more DPCH	BCH and/or PCH and/or one or more FACH and one or more DSCH coded onto one or more CCTrCH and one or more DCH coded into one or more CCTrCH	Service dependent	BCH maps to P-CCPCH. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.
14 *	FPACH and one or more PDSCH + P-CCPCH and/or one or more S-CCPCH+ PICH+ one or more DPCH	BCH and/or PCH and/or one or more FACH and one or more DSCH coded onto one or more CCTrCH and one or more DCH coded into one or more CCTrCH	Service dependent	FPACH assigns PRACH access rights. BCH maps to P-CCPCH. Each FACH can map to multiple S-CCPCH in a frame. PICH substitutes one or more paging sub-channels that are mapped on an S-CCPCH assigned for the PCH transport channel. The maximum number of DCHs and the maximum channel bit rate are dependent on UE Service Capability. A DSCH transport channel may map to one or more PDSCH physical channels based on system configuration.

10 Measurements provided by the physical layer

10.1 Model of physical layer measurements

The model of physical layer measurements is common with 3.84 Mcps TDD.

10.2 UE Measurements

UE measurements specified for 3.84 Mcps TDD are also used in 1.28 Mcps TDD. Ranges and accuracy have to be adapted.

10.3 UTRAN Measurements

UTRAN measurements specified for 3.84 Mcps TDD are also used in 1.28 Mcps TDD. Ranges and accuracy have to be adapted.

11 Primitives of the physical layer

11.1 Generic names of primitives between layers 1 and 2

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.2 Generic names of primitives between layers 1 and 3

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.2.1 STATUS PRIMITIVES

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.2.2 CONTROL PRIMITIVES

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.3 Parameter definition

11.3.1 Error code

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.3.2 Event value

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.3.3 Access Information

For the UTRA TDD 1.28 Mcps the access information options are:

- Ready for RACH data transmission (when Ack on FPACH has been received),
- No response received in FPACH while maximum number of synchronisation attempts has been performed.

11.3.4 Transport Format Subset

No modifications for UTRA TDD 1.28 Mcps are required compared to UTRA TDD 3.84 Mcps.

11.3.5 Physical channel description

In addition to the physical channels defined for UTRA TDD, three physical channels are added to support low chip rate TDD option, they are: DwPTS, UpPTS and FPACH. Besides, two physical channels, Primary SCH and Secondary SCH, are not needed in low chip rate TDD option.

Because there is only one burst type in low chip rate TDD option, “burst type” defined as a parameter for physical channel is not necessary.

Due to the different RACH procedure of low chip rate TDD option, the Access Service Class selection needs further study.

Shared channels, PUSCH and PDSCH, will be supported by TDD low chip rate option, but details are ffs.

The added physical channels and the modifications for PRACH are described in the following:

11.3.5.1 DwPTS

- Tx diversity mode.
- SYNC code ID

11.3.5.2 UpPTS

- SYNC1 code ID

11.3.5.3 FPACH

- Scrambling code.
- Channelisation code.
- Timeslot
- Midamble shift
- Tx diversity mode.

11.3.5.4 PRACH

- Spreading factor for data part
- Power control info:
 - UL target SIR
 - Primary CCPCH DL TX power
 - UL interference
- Access Service Class Selection:
 - ffs.
- Timeslots
- Spreading Codes
- Midamble Shift

12 Layer 2 Services and Functions

12.1 MAC Services and Functions

12.1.1 MAC Services to upper layers

MAC services to upper layers, logical channels and mapping between logical channels and transport channels are identical for UTRA TDD 3.84 Mcps and 1.28 Mcps.

12.1.2 MAC functions

Differences are FFS.

12.2 RLC Services and Functions

No modifications for UTRA TDD low chip rate option are required compared to UTRA TDD 3.84 Mcps

12.3 PDCP Services and Functions

No modifications for UTRA TDD low chip rate option are required compared to UTRA TDD 3.84 Mcps

12.4 Broadcast/Multicast Control - Services and Functions

No modifications for UTRA TDD low chip rate option are required compared to UTRA TDD 3.84 Mcps

13 Layer 3 - Uu Stratum Services and Functions

13.1 Uu Stratum services

Uu Stratum services are the same as for UTRA FDD / 3.84 Mcps TDD.

13.2 RRC functions

RRC functions for 1.28 Mcps TDD are common with 3.84 Mcps TDD, except for timing advance control which is maintained by L1 function Uplink Synchronization in 1.28 Mcps TDD.

13.3 RRC Protocol Aspects

13.3.1 Discussion on Physical Channel Parameters for 1.28 Mcps TDD

1.28Mcps TDD and 3.84Mcps TDD are both based on CDMA with an additional TDMA component. The most obvious difference is of course the different bandwidth that is used in the both modes. While 3.84Mcps TDD uses a chiprate of 3.84 Mcps the chip rate of 1.28Mcps TDD is 1.28 Mcps. In contrast to 3.84Mcps TDD it is foreseen to be the normal case for 1.28Mcps TDD that several frequency bands are used within one cell. For example if a frequency band of 5 MHz is available it is divided into three frequency bands of 1.6 MHz to be used for 1.28Mcps TDD.

Timing handling is due to the high accuracy requirements in 1.28Mcps TDD a layer1 functionality. Thus timing advance as an RRC functionality is not needed.

Apart from these differences there is a high potential to reuse descriptions of the description of physical channel information for the 3.84Mcps TDD for 1.28Mcps TDD mode.

Parameters required to define physical channels in 1.28Mcps TDD:

- **Timeslot:** The frame structure defines seven timeslots per subframe. The timeslots of the two subframes in a timeslot are always associated to each other (except for the FPACH; this will be described later). The first timeslot (TS0) in a subframe is always dedicated to the downlink and the second timeslot (TS1) is always dedicated to the uplink. Thus at most six timeslots may be allocated in one direction in contrast to fourteen in 3.84Mcps TDD.
- **Channelisation code:** The handling of channelisation codes is exactly the same as in 3.84Mcps TDD.
- **Midamble shift:** The handling of midambles (basic midamble code and applied midamble shift) is basically the same as in 3.84Mcps TDD. The basic midamble code is also acquired during synchronisation process and the midamble shift is either explicitly signalled for a particular physical channel or a predefined association between channelisation codes and midamble shifts is used. This association is defined in WG1 specifications.
- **Frame allocation:** As an option the same multiframe structure (defined by an offset, repetition period and repetition length) as used in 3.84Mcps TDD can be adopted for 1.28Mcps TDD.
- **Burst type:** Only one burst type exists for 1.28Mcps TDD for traffic channels. Therefore no signalling of the used burst type is required.
- **Modulation:** The basic modulation scheme is the same as in 3.84Mcps TDD. However, in case of usage of spreading factor 1 optionally 8 PSK can be used in contrast to 3.84Mcps TDD.

Handling of coded composite transport channels of dedicated or shared type in 1.28Mcps TDD:

In 1.28Mcps TDD multiplexing chain defined in [7] can be adopted with minor modifications. These modifications require only changes that are of no importance for layer 2 and layer 3 (i.e. specification of mapping of bits on the two timeslots in the different subframes).

Thus the required parameters for the specification of coded composite transport channels are the same as in 3.84Mcps TDD mode i.e.

- **Multiple CCTrCHs:** A list of up to 8 CCTrCHs can be configured. Thus an identifier for the CCTrCHs is required (TFCS Identity)
- **2nd interleaving mode:** Whether the frame or timeslot related 2nd interleaving mode is used depends on the requirements. Same as in 3.84Mcps TDD mode.
- **Puncturing limit:** Dynamic rate matching is used both in uplink and downlink. Same as in 3.84Mcps TDD mode.
- **TFCI coding:** The channel coding can be adapted to the requirements. Same as in 3.84Mcps TDD mode.
- **TFCI existence** per timeslot: Depending on the requirements a TFCI may or may not be included in particular timeslots. Same as in 3.84Mcps TDD mode.
- **Multiple timeslots per CCTrCH:** A number of timeslots may be allocated for one CCTrCH. Same as in 3.84Mcps TDD mode.
- **Channelisation codes:** In downlink direction rather multicode configuration than variable codes can be configured. In uplink direction both multicode and variable spreading are supported. Same as in 3.84Mcps TDD mode.
- **Time info:** The concept of time limited channels can be adopted from 3.84Mcps TDD mode.

13.3.2 Parameter description for 1.28Mcps TDD

13.3.2.1 Parameters for RACH procedure specification

The random access procedure for 1.28Mcps TDD is described in detail in [2].

The SYNC1 code transmission is basically the contention based mechanism in 1.28Mcps TDD. Major similarities can be noticed between preamble transmissions in FDD and SYNC1 transmissions in 1.28Mcps TDD. Thus the parameters M (maximum number of SYNC1 transmissions) are broadcast as in FDD to control the RACH procedure. Additionally, a parameter defining the step sizes to be used for the power ramping for successive SYNC1 transmissions is proposed to be included. This gives operators further means to control the RACH procedure.

There is essentially no difference between a PRACH compared to a DPCH since uplink synchronisation is already achieved with the help of the SYNC1 codes. Thus the only difference between the PRACH and the DPCH is that it is assigned to be part of the random access procedure. Since similar procedures are used for 1.28Mcps TDD as for 3.84Mcps TDD similar messages are sent over the RACH transport channel.

In principle the configuration of the PRACH can have the same flexibility as a DPCH.

The FPACH is a physical channel with similarities to the AICH in FDD. It carries only a small number of bits containing information to adjust the uplink transmissions (power control, synchronisation, ...). One FPACH transmission is only comprised of a single sub-frame. Due to the limited amount of required transmission capacity the FPACH uses only spreading factor 16.

When sending a SYNC1 sequence, the UE knows which FPACH, PRACH and S-CCPCH resources will be used for the access. This information is provided in system information on BCCH.

There is a predefined correspondence between a P-RACH and a FPACH, and an implicit correspondence between SYNC1 signatures and FPACHs, according to the following rule:

$$\text{FPACH/PRACH number} = N \bmod M,$$

where FPACH/PRACH number is the FPACH/PRACH description position within the IE 'PRACH system information list', (see section 4.3) e.g. the first configured PRACH/FPACH pair gets number 0, the second configured PRACH/FPACH gets number 1 and so on. This number is the parameter M in the equation above. N is the number of the chosen signature (range 0..7). In a cell, at least one PRACH and one associated FPACH shall be configured. Up to a maximum of 8 PRACH/FPACH pairs can be configured.

The SCCPCH used for one UE is chosen in the same way as in 3.84Mcps TDD and FDD based on the Initial UE Identity in idle mode and based on the old U-RNTI in connected mode.

13.3.2.2 Parameters required to define the primary CCPCH

Essentially, the description of the PCCPCH in 1.28Mcps TDD is much simpler than in 3.84Mcps TDD because the timeslot for the PCCPCH is defined to be TS0 in 1.28Mcps TDD while the timeslot is flexible in 3.84Mcps TDD depending on the location of the SCH. Furthermore, in 1.28Mcps TDD there is in contrast to 3.84Mcps TDD only one burst type. Due to the different nature of the synchronization process there are no different synchronization cases. The usage of Block STTD is currently discussed for 1.28Mcps TDD in WG1.

Thus the only parameters describing the PCCPCH is the cell parameter id and Block STTD if it is decided.

The primary CCPCH can be used for cell identification in a similar way for the identification of cells as the Primary CPICH info in FDD and the primary CCPCH info in TDD.

13.3.2.3 Parameters required to define the secondary CCPCH

The secondary CCPCH can be described in a similar way as in 3.84Mcps TDD. Essentially, the only difference is the absence of the burst type because there is only one burst type in 1.28Mcps TDD.

13.3.2.4 Parameters required to define the PICH

Essentially, the concept for the paging indicator channel can be adopted from the 3.84Mcps TDD mode. Thus there are similar changes needed as for most of the other physical channels. I.e. the burst type is not required as a parameter.

13.3.2.5 Parameters required to define shared channels

Similar as for the other physical channels only minor changes can be expected. However, shared channels are out of the scope of this document.

13.3.2.6 Additional parameters to be broadcast

This section identifies parameters that additionally need to be broadcast to support 1.28Mcps TDD. Depending on the size of the cell a different amount of users can be supported in one timeslot. In order to improve the configuration of the receiver in the UE it is beneficial to provide these information in the system information. It is proposed to include the parameter W in system information block type 5. This parameter provides information about the maximum channel impulse response. This parameter depends on the amount of users that can be served and the environment. This parameter is foreseen to be cell specific. The allowed values are W=8, 9, 12, 16, 21, 32, 64 (cp [1]). The predefined association of Midamble to Channelisation Codes depends on this parameter (cp. [1]).

1.28Mcps TDD has higher requirements on the uplink timing of transmissions than 3.84Mcps TDD. The means to preserve uplink synchronization are layer1 bits, the SS bits. The SS bits are transmitted every subframe, however, they are often not required that often. Thus the frequency of the update of the adjustment of uplink transmission can be decreased on a case by case basis. Another advantage of the reduction of the update frequency of the adjustment of the uplink transmission is the averaging effect by jointly evaluating a number of SS bits. Thus the probability of false adjustments is reduced. A parameter "Uplink synchronisation step size" is proposed with a value range (1..8) to achieve this.

Consequently, another parameter is reasonable that allows to adjust the step sizes of the uplink transmission adjustment. By providing this parameter the network can reduce the accuracy requirements on a case by case basis. The parameter "Uplink synchronisation frequency" is proposed to allow this adjustment of the step sizes for the adjustment of the uplink transmissions. A value range of (1..8) is proposed.

13.3.3 Information elements for 1.28Mcps TDD

Note: The tabular description in this section is for information only. Change requests on TS 25.331 may follow different methodology to incorporate changes for release 00.

This section contains a tabular description of required information for physical channel description in a 25.331 like format. The hierarchical structure as specified in the RRC specification is not used but could easily be applied. The differences to the 3.84Mcps TDD mode are highlighted with revision marks. The section numbers in the type and reference column refer to 25.331v3.3.0.

13.3.3.1 Dedicated physical channel information

Uplink DPCH info

Information Element/Group name	Need	Multi	Type and reference	DESCRIPTION
Uplink DPCH power control info	OP		10.3.6.70	Not required in 1.28Mcps TDD
Uplink Timing Advance	OP			This information element is not required in 1.28Mcps TDD
UL CCTrCH List	MP	1..8		
>TFCS Identity	MD		10.3.5.21	Same as 3.84Mcps TDD
>2nd interleaving mode	MP		Enumerated(Frame, Timeslot)	Same as 3.84Mcps TDD
>Puncturing limit	MP		Real(0.40..1.0 by step of 0.04)	Same as 3.84Mcps TDD
>Repetition period	MD		Integer(1, 2,4,8,16,32,64)	Same as 3.84Mcps TDD
>Repetition length	MP		Integer(1.. Repetition period -1)	Same as 3.84Mcps TDD
>Time info	MP		10.3.6.71	Same as 3.84Mcps TDD
>TFCI coding	MP		Integer(4,8,16,32)	Same as 3.84Mcps TDD
>Timeslot list	MP	1..6		
>>Timeslot number	MP		Integer(1..6)	Reduced range compared to 3.84Mcps TDD mode.
>>>Burst Type	MP		Enumerated(Type 1, Type 2)	This information is not needed in 1.28Mcps TDD because only one burst type exists.
>>>Midamble Shift	MD		Integer(0..15)	Range depends on cell configuration. A fixed association between channelisation codes and midamble shift is described in 25.928.
>> TFCI existence	MP		Boolean	Same as 3.84Mcps TDD
>>CHOICE SF	MP			
>>> SF1			Enumerated(QPSK, 8PSK)	Modulation options in contrast to 3.84Mcps TDD mode
>>> Other				
>>>>Code list	MP	1..2		
>>>>>Channelisation Codes	MP		Enumerated((1/1),(2/1),(2/2),(4/1)..(4/4),(8/1)..(8/8),(16/1)..(16/16))	Same as in 3.84Mcps TDD.

Downlink DPCH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
UL CCTrCH List	MP	1..8		
>TFCS Identity	MD		10.3.5.21	Same as 3.84Mcps TDD
>2nd interleaving mode	MP		Enumerated(Frame, Timeslot)	Same as 3.84Mcps TDD
>Puncturing limit	MP		Real(0.40..1.0 by step of 0.04)	Same as 3.84Mcps TDD
>Repetition period	MD		Integer(1, 2,4,8,16,32,64)	Same as 3.84Mcps TDD
>Repetition length	MP		Integer(1.. Repetition period -1)	Same as 3.84Mcps TDD
>Time info	MP		10.3.6.71	Same as 3.84Mcps TDD
>TFCI coding	MP		Integer(4,8,16,32)	Same as 3.84Mcps TDD
>Timeslot list	MP	1..6		
>>Timeslot number	MP		<u>Integer(1..6)</u>	Reduced range compared to 3.84Mcps TDD mode.
>>Midamble Shift	MD		Integer(0..15)	Range depends on cell configuration. A fixed association between channelisation codes and midamble shift is described in 25.928.
>>>Burst Type	MP		Enumerated(Type 1, Type 2)	This information is not needed in 1.28Mcps TDD because only one burst type exists.
>> TFCI existence	MP		Boolean	Same as 3.84Mcps TDD
>>>CHOICE SF	MP			
>>>> SF1			Enumerated(QPSK, 8PSK)	Modulation options in contrast to 3.84Mcps TDD mode
>>>> Other				
>>>>>Code list	MP	1..16		
>>>>>>Channelisation Codes	MP		Integer(1..16)	Same as 3.84Mcps TDD mode.

13.3.3.2 Shared channel information

Details of shared channels have not been decided yet for 1.28Mcps TDD. However, it is foreseen that no modifications are required for shared channels except similar changes as for dedicated channels.

13.3.3.3 RACH procedure information elements

PRACH system information list

Information element	Need	Multi	Type and reference	Semantics description
<u>Sync1 transmission parameters</u>	<u>MP</u>		<u>Sync1 transmission parameters</u>	
PRACH system information	MP	1 .. <maxPRACH>		
>PRACH info	MP		PRACH info See below	
>FPACH info	<u>MP</u>		<u>FPACH info</u> See below	
>Transport channel identity	MP		Transport channel identity 10.3.5.18	
>RACH TFS	MD		Transport format set 10.3.5.23	Default value is the value of "RACH TFS" for the previous PRACH in the list (note : the first occurrence is then MP)
>RACH TFCS	MD		Transport Format Combination Set 10.3.5.20	Default value is the value of "RACH TFCS" for the previous PRACH in the list (note : the first occurrence is then MP)
>PRACH partitioning	MD		PRACH partitioning 10.3.3.45	Default value is the value of "PRACH partitioning" for the previous PRACH in the list (note : the first occurrence is then MP)
>Persistence scaling factors	OP		Persistence scaling factors 10.3.6.40	If this IE is absent, value is the value of "Persistence scaling factors" for the previous PRACH in the list if value exists
>AC-to-ASC mapping	OP		AC-to-ASC mapping 10.3.6.1	Only present in SIB 5 If this IE is absent, value is the value of "Persistence scaling factors" for the previous PRACH in the list if value exists

PRACH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
2nd interleaving mode	MP		Enumerated(Frame, Timeslot)	Same as 3.84Mcps TDD
Puncturing limit	MP		Real(0.40..1.0 by step of 0.04)	Same as 3.84Mcps TDD
TFCI coding	MP		Integer(4,8,16,32)	Same as 3.84Mcps TDD
Timeslot list	MP	1..6		
>Timeslot number	MP		Integer(1..6)	Reduced range compared to 3.84Mcps TDD mode.
>Midamble Shift	MD		Integer(0..15)	Range depends on cell configuration. A fixed association between channelisation codes and midamble shift is described in 25.928.
>TFCI existence	MP		Boolean	Same as 3.84Mcps TDD
>Code list	MP	1..2		
>>Channelisation Codes	MP		Enumerated((1/1),(2/1),(2/2),(4/1)..(4/4),(8/1)..(8/8),(16/1)..(16/16))	Same as in 3.84Mcps TDD.

FPACH info

This IE is not used in 3.84Mcps TDD.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Timeslot number	MP		Integer(1..6)	
Midamble Shift	MP		Integer(0..15)	
Channelisation Codes	MP		Integer((16/1)..(16/16))	

Sync1 transmission parameters

These parameters are not used in 3.84Mcps TDD. There are major similarities to the RACH transmission parameters in FDD.

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Power Increment	MP		Integer(0,1,2,3)	in dB
M	MP		Integer(1,2,4,8)	Max re-transmissions of UpPTS

13.3.3.4 Common channel information elements

PCCPCH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Cell parameter Id	MP		Integer(0..127)	
Block STTD indicator	MD		Block STTD indicator 10.3.6.5	Default value is "TRUE" The usage of Block STTD for 1.28 Mcps TDD is currently under discussion in WG1

SCCPCH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
2nd interleaving mode	MP		Enumerated(Frame, Timeslot)	Same as 3.84Mcps TDD
Puncturing limit	MP		Real(0.40..1.0 by step of 0.04)	Same as 3.84Mcps TDD
Repetition period	MD		Integer(2,4,8,16,32,64)	Same as 3.84Mcps TDD
Repetition length	MP		Integer(1..Repetition period -1)	Same as 3.84Mcps TDD
Offset	MP		Integer(1..Repetition Period-1)	Same as 3.84Mcps TDD
TFCI coding	MP		Integer(4,8,16,32)	Same as 3.84Mcps TDD
Timeslot list	MP	1..6		
>Timeslot number	MP		Integer(1..6)	Reduced range compared to 3.84Mcps TDD mode.
>Midamble Shift	MD		Integer(0..15)	Range depends on cell configuration. A fixed association between channelisation codes and midamble shift is described in 25.928.
Burst Type	MP			This information is not needed in 1.28Mcps TDD because only one burst type exists.
> TFCI existence	MP		Boolean	Same as 3.84Mcps TDD
>Code list	MP	1..16		
>>Channelisation Codes	MP		Integer(1..16)	Same as 3.84Mcps TDD mode. Only spreading factor 16 is applicable.

PICH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Channelisation code	MD		Enumerated ((16/1)...(16/16))	Same as 3.84Mcps TDD.
Timeslot	MD		Timeslot number 10.3.6.72	Same as 3.84Mcps TDD.
Burst type	MP		Enumerated (Typ1,Typ2)	
Midamble shift	MD		Integer(0..15)	Range depends on cell configuration. A fixed association between channelisation codes and midamble shift is described in 25.928.
Repetition period/length	MD		Enumerated((4/2),(8/2), (8/4),(16/2), (16/4), (32/2),(32/4), (64/2),(64/4))	Same as 3.84Mcps TDD.
Offset	MP		Integer (0...Repetition period -1)	Same as 3.84Mcps TDD.
Paging indicator length	MD		Integer (2, 4, 8)	Same as 3.84Mcps TDD.
N _{GAP}	MD		Integer(2, 4, 8)	Same as 3.84Mcps TDD.
N _{PCH}	MD		Integer(1 .. 8)	Same as 3.84Mcps TDD.

13.3.3.5 Additional information elements for BCH

These information are proposed to be additionally included in System information block type 5 (in addition to the parameters for common channels)

Information Element/Group name	Need	Multi	Type and reference	Semantics description
Uplink synchronisation step size	MP		Integer(1..8)	This parameter specifies the step size to be used for the adjustment of the uplink transmission timing
Uplink synchronisation frequency	MP		Integer(1..8)	This parameter specifies the frequency of the adjustment of the uplink transmission timing
W	MP		Integer(8, 9, 12, 16, 21, 32, 64)	

13.3.4 IE change example for 1.28Mcps TDD

Note: The tabular description in this section is for information only. Change requests on TS 25.331 may follow different methodology to incorporate changes for release 00.

It has been decided to distinguish the differences of FDD and TDD with the help of the CHOICE mode notation as described in [5] and [6]. This notation is suitable to both outline the desired commonalities between both modes and shows also the differences in an easy-to-read manner.

In order to include information elements that are specific for 1.28Mcps TDD into the existing [5] a similar principle could be used. An example how the differences between 1.28 Mcps TDD and 1.28 Mcps FDD could be shown is given in the table below.

10.3.6.49 Primary CCPCH info

Information Element/Group name	Need	Multi	Type and reference	Semantics description
CHOICE <i>mode</i>	MP			
>FDD				
>>TX Diversity indicator	MD		Boolean	Default value is "TRUE"
>TDD				
>>CHOICE TDD mode				
>>>3.84Mcps				
>>>>CHOICE SyncCase	OP			
>>>>>Sync Case 1				
>>>>>>Timeslot	MP		Integer (0..14)	PCCPCH timeslot
>>>>>>Sync Case 2				
>>>>>>>Timeslot	MP		Integer(0..6)	
>>>>>>>1.28Mcps			Null	(No data)
>>Cell parameters ID	OP		Integer (0..127)	The Cell parameters ID is described in 25.223.
>>Block STTD indicator	MD		Block STTD indicator 10.3.6.5	Default value is "TRUE". <u>The usage of Block STTD is currently under discussion in WG1</u>

Example for inclusion of 1.28 Mcps TDD in tabular format

The principles how 1.28 Mcps TDD option can be included in the tabular format of [5] have been described. It is proposed to apply a notation as shown in the above example in order to represent 1.28 Mcps TDD in [5] in R2000.

14 Key Procedures of TDD Low Chip Rate Option

14.1 RACH Procedure

14.1.1 Basic RACH Mechanism

The RACH mechanism that has been defined for the 1.28 Mcps TDD [1] is a two-step process that is similar to the two-step process that has been adopted for UTRA FDD.

Uplink Pilot Timeslot UpPTS is used for transmission of random access signatures, called SYNC1. The UpPTS is located in each 5 ms subframe and it is composed of 128 chips of SYNC1 and 32 chips of guard period. There should be 256 different SYNC1 codes for the whole system and each 8 SYNC1 codes are allocated in a code group. Each 8 SYNC1 codes group corresponds to one SYNC code which is an identity of a cell and used for DL synchronization purpose.

When a RACH access is made with the 1.28 Mcps TDD option the following steps are completed:

- i) The UE randomly chooses its SYNC1 code for cell access out of the 8 possible SYNC1 codes of the code group that is indicated through the used SYNC sequence in DwPTS. The UE transmits using this SYNC1 code in the UpPTS slot. It then monitors the burst of FPACH physical channel associated with the chosen SYNC1 sequence for acknowledgement.
- ii) Acknowledgement on the FPACH will permit transmission of the RACH message in the PRACH resources that are associated with the FPACH. The acknowledgement contains the time corrections and power settings that are to be used with the PRACH transmission. The acknowledgement should be received within 4 sub-frames of the SYNC1 transmission being made.

After sending the RACH message, the UE will receive response by FACH on S-CCPCH indicating whether the UE random access has been accepted or not.

When sending a SYNC1 sequence, the UE knows which FPACH and PRACH resources will be used for the access. This information is provided in system information on BCCH.

The SYNC1 process can be repeated, up to a maximum number of times, including a power ramping of the SYNC1 transmissions. Figure 1 shows the random access transmission sequence for 1.28Mcps TDD.

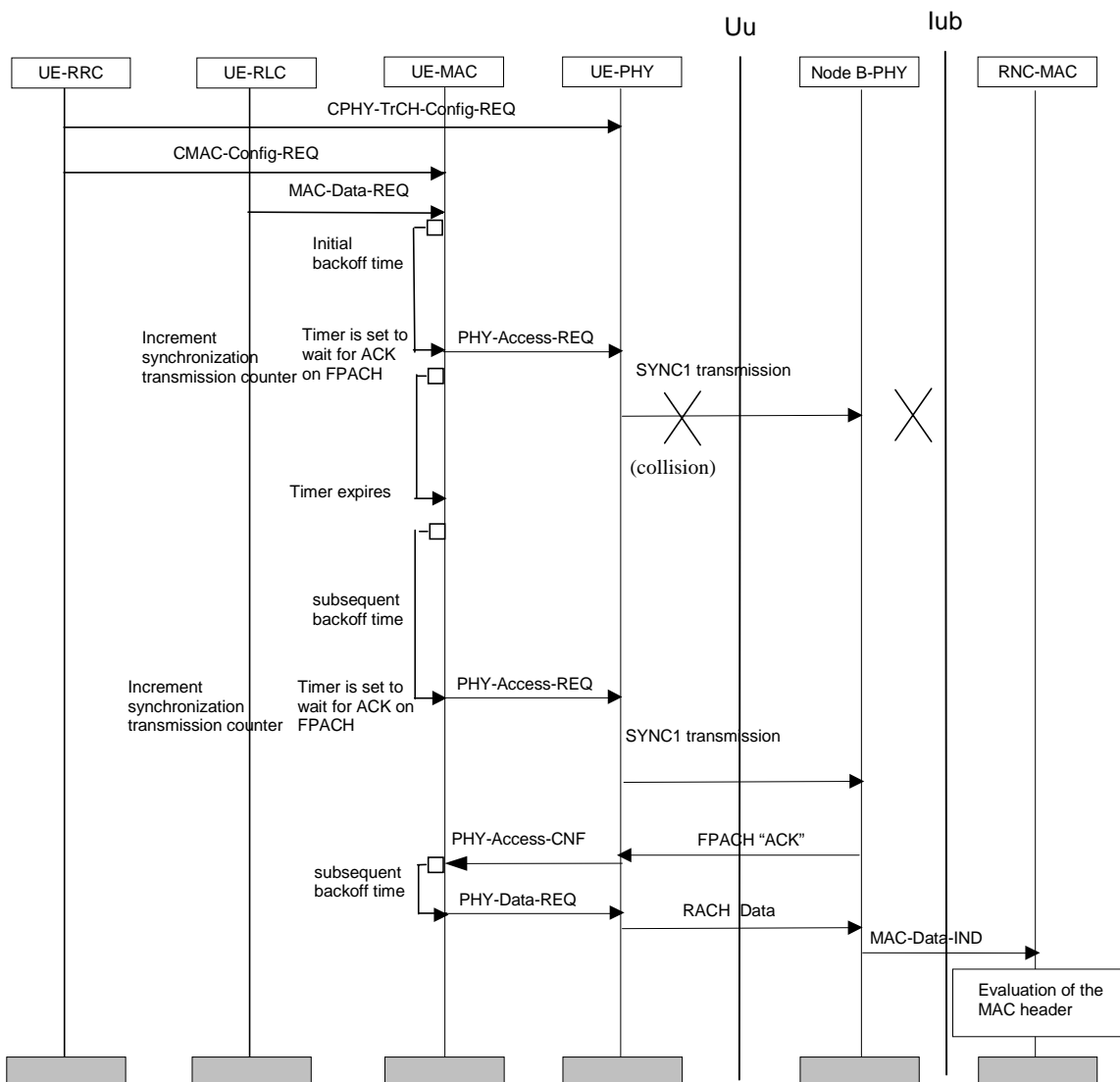


Figure 5: Random access transmission sequence

14.1.2 Control of RACH Transmissions for 1.28 Mcps TDD

The RACH transmissions are performed by the UE as shown in figure 2.

NOTE: The figure shall illustrate the operation of the transmission control procedure as specified below. It shall not impose restrictions on implementation.

UE MAC receives the following RACH transmission control parameters from RRC with the CMAC-Config-REQ primitive:

- a set of Access Service Class (ASC) parameters, which includes for each ASC, $i=0,\dots,\text{NumASC}$ an identification of a PRACH partition (as defined by system information element "ASC info" [5]) and a persistence value P_i (transmission probability),
- maximum number of synchronisation attempts M_{max} .

When there is data to be transmitted, MAC selects the ASC from the available set of ASCs, which consists of an identifier i of a certain PRACH partition and an associated persistence value P_i .

Based on the persistence value P_i , the UE MAC decides whether to start the L1 PRACH procedure in the present transmission time interval or not. If transmission is allowed, the PRACH transmission procedure (starting with the selection and transmission of a SYNC1 burst) is initiated by the sending of a PHY-ACCESS-REQ primitive. MAC then waits for access information from L1 via the PHY-ACCESS-CNF primitive. If transmission is not allowed, a new persistency check is performed in the next transmission time interval. The persistency check is repeated until transmission is permitted.

If the synchronisation burst has been acknowledged on the FPACH, L1 access information with parameter "ready for RACH data transmission" is indicated to MAC with a PHY-ACCESS-CNF primitive. Then data transmission is requested with a PHY-DATA-REQ primitive, and the PRACH transmission procedure shall be completed with transmission of the PRACH message on the P-RACH resources associated with the FPACH.

If PHY received no acknowledgement on the FPACH and the maximum number of synchronisation attempts permitted has not been exceeded, then a new persistency test is performed in the next transmission time interval and the PHY-ACCESS-REQ procedure is repeated. The timer T_2 ensures that two successive persistency tests are separated by at least one transmission time interval. If the maximum number of synchronisation attempts is exceeded then MAC abandons the RACH procedure and the message is discarded.

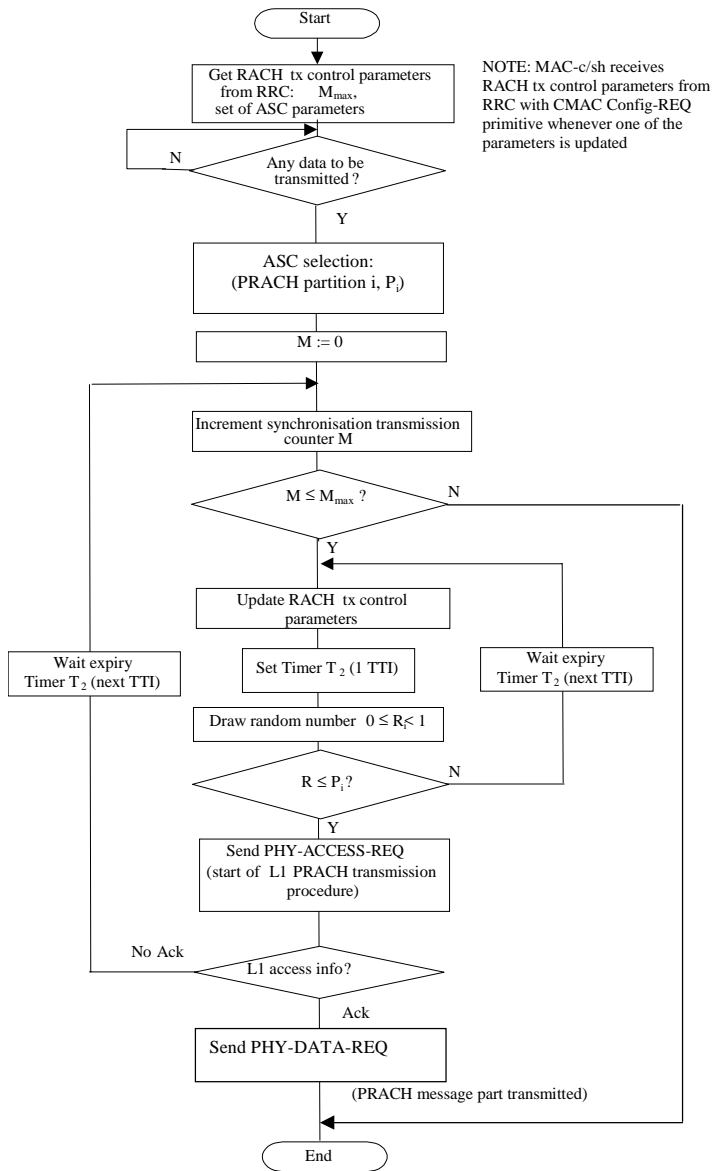


Figure 6: RACH transmission control procedure for 1.28 Mcps TDD (UE side, informative)

14.1.3 Modifications of Primitives to Support RACH

Due to the specific RACH procedure in 1.28 Mcps TDD, some modifications to the primitives are needed.

Specifically, the RACH transmission control elements assigned to CMAC-CONFIG-Req primitive between MAC and RRC as defined in [4] must contain the maximum number of synchronisation attempts:

RACH transmission control elements:

- *Set of ASC parameters (identifier for PRACH partitions, persistence values)*
- *Maximum number of preamble ramping cycles (FDD) or synchronisation attempts (1.28 Mcps TDD) M_{max}*
- *Minimum and maximum number of time units between two preamble ramping cycles, N_{BO1min} and N_{BO1max} (FDD only)*

In the primitives between L1 and MAC, some modification in the parameter access information is needed which is used in PHY-Access-CNF:

Access Information:

- *Ready for RACH data transmission (in case of FDD mode: when Ack on AICH has been received, in case of 1.28 Mcps TDD: when Ack on FPACH has been received).*
- *timeout, no response on AICH or AP-AICH or FPACH has been received while maximum number of access preamble transmissions / synchronisation attempts has been performed;*

The following values of this parameter apply to FDD only:

- *NACK on AICH or AP-AICH has been received;*
- *ready for CPCH data transmission (CD or CD/CA information received on CD-ICH or CD/CA-ICH, respectively);*
- *mismatch of CD-ICH or CD/CA-ICH signatures;*
- *no response on CD-ICH or CD/CA-ICH received;*
- *timeout, no CD/CA-ICH received.*

FPACH physical channel has to be added to **Physical Channel Description** used in CPHY-RL-Setup-REQ and CPHY-RL-Modify-REQ between L1 and L3, see section 11.3.5. PRACH description has to be slightly modified.

14.2 Uplink Synchronization Procedure

Uplink Synchronization is a L1 function of 1.28 Mcps TDD. It is described in [1].

For the support of Uplink Synchronization, some parameters have to be introduced into RRC signaling, see section 13.2. No further impact on L23 protocols could be identified.

14.3 Baton Handover

15 Recommendations

History

Document history

Date	Version	Comment
July 2000	0.0.0	Created
July 2000	0.0.1	Rapporteur inserted, sections updated according to RAN2#14
August 2000	0.1.0	Sections updated according to RAN2#15
September 2000	1.0.0	Changes in v0.1.0 accepted, version to be presented to TSG-RAN #9

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