### RP-000355

### TSG-RAN Meeting #9 Oahu, HI, USA, 20 – 22 September 2000

Title: Agreed CRs to TS 25.304

Source: TSG-RAN WG2

Agenda item: 5.2.3

Doc-1st-	Status-	Spec	CR	Rev	Subject	Cat	Version	Versio
R2-001448	agreed	25.304	035	2	Paging channel selection	F	3.3.0	3.4.0
R2-001447	agreed	25.304	037	1	Editorial corrections	F	3.3.0	3.4.0
R2-001380	agreed	25.304	039		HCS measurement rules	F	3.3.0	3.4.0
R2-001458	agreed	25.304	042	2	Usage of Ec/N0 measurement quantity for cell ranking	F	3.3.0	3.4.0
R2-001750	agreed	25.304	044		Correction and restructuring	F	3.3.0	3.4.0

### **3GPP TSG RAN WG2#14** Paris, France, July 3-7, 2000

# Document R2-001448 e.g. for 3GPP use the format TP-99xxx or for SMG, use the format P-99-xxx

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Subject:	Paging cha	nnel selection						
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<u>Reason for</u> <u>change:</u>	Idle mode.	o selection algorit This CR proposes regarding descrip	a selec	tion algo	rithm an	d also provide		l
Clauses affecte	ed: 5.2.2.3	, 6.2, 8, 10.3						
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### 5.2.2.3 Camped Normally

When camped normally, the UE shall perform the following tasks:

- <u>select and monitor the selected PICH and PCH of the cell as specified in clause 8 according to information sent</u> in system information;
- monitor relevant System Information;
- prior to RACH transmission, the UE shall perform an immediate cell evaluation, according to 5.2.2.2.

# 6 Broadcast information receiving

# 6.1 Reception of System Information

The UE shall read the BCCH to acquire valid system information. For each acquisition, the UE will need different combinations of system information blocks broadcast on BCCH. Thus, the scheduling of the broadcast channel is done in such way that the UE knows exactly when the needed information can be found.

When any of the system information blocks are modified, the corresponding scheduling information is updated to reflect the changes in system information transmitted on BCCH. Further, a message is sent to all UEs on PCCH to indicate that a new master information block is available in the cell. Then the UE shall read the updated master information block on BCCH and if the changes are applicable for the UE, the modified system information block(s) are read as well.

# 6.2 Cell Broadcast

A UE supporting Cell Broadcast Service (CBS) shall be capable to receive BMC messages in the Idle mode. <u>When</u> several PCHs exist in the cell, the FACH which carries the CTCH may be mapped to a different SCCPCH than the PCH selected by the UE for paging in Idle mode (as specified in Sec. 8.1). In this case, UEs with basic service capabilities shall be capable to change from the SCCPCH that carries the PCH selected for paging to another SCCPCH which carries Cell Broadcast messages (i.e. the CTCH mapped to an FACH) and receive BMC messages during time intervals which do not conflict with the UE specific paging occasions.

Editors Note: If PCH and CTCH are not mapped onto the same SCCPCH, UEs with basic service capabilities may not be able to monitor Cell Broadcast messages continuously, Further work on scheduling of paging occasions and cell broadcast messages is necessary to enable full service continuity for CBS.

# 8 Paging and SCCPCH selection in Idle mode Discontinuous Reception

## 8.1 Paging Channel selection

System information block type 5 (SIB 5) defines common channels to be employed in Idle mode, see TS 25.331 [4]. In a cell, a single or several PCHs may be established. Each Secondary Common Control Physical Channel (SCCPCH) indicated to the UE in system information may carry up to one PCH. For each thus defined PCH anone uniquely associated PICH is also indicated.

In case that more than a single PCH and associated PICH are defined in SIB 5, the UE shall perform a selection according to the following rule:

- \_\_\_\_\_The UE shall select a SCCPCH from the ones listed in SIB 5 based on IMSI as follows:

Index of selected SCCPCH = (IMSI moddiv (("DRX cycle length" div PBP)\*Np\*N<sub>PICH</sub>)1000) mod K,

where K is equal to the number of listed SCCPCHs which carry a PCH (i.e. SCCPCHs carrying FACH only shall not be counted). These SCCPCHs shall be indexed in the order of their occurrence in SIB 5 from 0 to  $K-1._{\tau}$  and

-"Index of selected SCCPCH" identifies the selected SCCPCH with the PCH and the uniquely associated PICH to be used by the UE.

Np is the number of Page Indicators per frame. "DRX cycle length", PBP, Np and N<sub>PICH</sub> shall be determined as specified Sec. 8.3.

### 8.2 SCCPCH selection when entering Connected mode

When entering Connected mode from Idle mode by sending an RRC CONNECTION REQUEST message, the UE shall select the S-CCPCH which carries an FACH to be used for reception of the RRC CONNECTION SETUP message according to the following rule:

- the UE shall select an SCCPCH from the SCCPCHs listed in System Information Block type 5 (SIB 5) based on "Initial UE Identity" as follows:

"Index of selected SCCPCH" = "Initial UE Identity" mod K,

where K is equal to the number of listed SCCPCHs which carry a FACH (i.e. SCCPCHs carrying PCH only shall not be counted). These SCCPCHs shall be indexed from 0 to K-1in the order of their occurrence in SIB 5, and

"Index of selected SCCPCH" identifies the selected SCCPCH. "Initial UE Identity" refers to the Information Element included by the UE into the RRC CONNECTION REQUEST message.

## 8.32 Discontinuous Reception

The UE may use Discontinuous Reception (DRX) in idle mode in order to reduce power consumption. When DRX is used the UE needs only to monitor one Page Indicator, PI, (see definition in [7] and [8]) in one Paging Occasion per DRX cycle.

The DRX cycle length shall be  $2^{k}$  \*PBP frames, where k is an integer and PBP is the Paging Block Periodicity. PBP is only applicable for TDD and is equal to the PICH repetition period that is broadcast in system information. For FDD, PBP=1.

The UE may be attached to different CN domains with different CN domain specific DRX cycle lengths. The UE shall store each CN domain specific DRX cycle length for each CN domain the UE is attached to and use the shortest of those DRX cycle lengths. The DRX cycle lengths to use for each CN domain are given in system information. The DRX cycle lengths to use for UTRAN connected mode is the shortest of the following:

- UTRAN DRX cycle length;

 $\mathbf{PI} =$ 

- any of the stored CN domain specific DRX cycle length for the CN domains the UE is only attached to with no signalling connection established.

The UE shall use the IMSI, the Cell System Frame Number (SFN), Np (number of page indicators within a frame), Frame offset (For FDD, Frame offset = 0; for TDD, PICH frame offset values are given in system information), PBP and the DRX cycle length to determine the Paging Occasions.

The value of the Paging Occasion (i.e. the SFN of the first frame of the Paging Block) is determined as follows:

Paging Occasion=  $\{IMSI \mod (DRX \text{ cycle length div PBP})\} * PBP + n * DRX \text{ cycle length + Frame Offset}$ Where n = 0, 1, 2... as long as SFN is below its maximum value.

The actual Page Indicator within a Paging Occasion that the UE shall read is similarly determined based on IMSI. The Page Indicator to use is calculated by using the following formula:

DRX Index mod Np

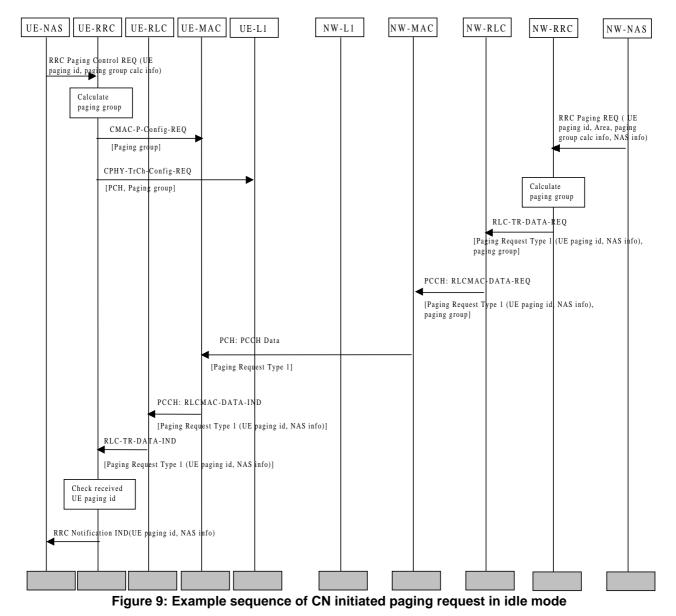
where DRX Index = {IMSI div (DRX cycle length div PBP)}

In FDD mode, Np = (18,36,72,144) is the number of Page Indicators per frame, and is given in IE "Number of PI per frame", part of system information in FDD mode. In TDD mode, Np is the number of Page Indicators per paging block and is calculated by the Paging Indicator Length L<sub>PI</sub> the Burst Type (long or short midamble) and the PICH repetition length, which are given in system information.

If the UE has no IMSI, for instance when making an emergency call without USIM, the UE shall use a default number, IMSI = 0, in the formulas above.

For FDD, see [7] for details about the timing between a PICH frame and when the paging message is transmitted on the PCH in the associated S-CCPCH frame. In TDD mode, the Paging Message Receiving Occasion is calculated using the following formula:

Paging Message Receiving Occasion =Paging Occasion +  $N_{PICH}$  +  $N_{GAP}$  + {(DRX Index div Np) mod  $N_{PCH}$  } \*2 The value  $N_{PICH}$  is the number of frames for PICH transmission and is equal to the PICH repetition length given in system information. The value  $N_{GAP}$  is the number of frames between the last frame carrying PICH for this Paging Occasion and the first frame carrying paging messages for this Paging Occasion. The value  $N_{PCH}$  is the number of Paging Groups.  $N_{PCH}$  and  $N_{GAP}$  are given in system information.



### 10.3 CN originated paging in idle mode

Figure 9 illustrates a CN originated paging request when the UE is in idle mode.

In the UE, a NAS entity issues the primitive RRC Paging Control REQ, which tells RRC to listen to paging and notifications addressed to a given UE paging identity and on a paging group which can be calculated using information given from NAS.

NOTE: The paging group calculation info can e.g. be the IMSI of the UE.

A NAS entity on the network side requests paging of an UE using the RRC Paging REQ primitive over the Nt-SAP. The primitive contains a UE paging identity, an area where the page request is to be broadcast, information for calculation of the paging group and NAS information to be transparently transmitted to the UE by the paging request. The RRC layer calculates the paging group, and formats a Paging Request Type 1 message containing the UE paging identity and the NAS information The RRC layer then requests MAC to transmit the message on the a specific PCH on the selected paging group. The PCH to be used for transmission of the paging message is selected based on the IMSI of the UE.

In the UE, the RRC layer continuously monitors the paging group compares the UE paging identities in received paging request messages with its own identities. A match occurs, and in this case the UE paging identity and the NAS information is forwarded to the NAS entity of the UE.

# 3GPP TSG RAN WG2 #14 Paris, France, 3<sup>rd</sup> – 7<sup>th</sup> July, 2000

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### 5.2.2 UTRA Radio access technology

#### 5.2.2.1 Cell Selection Procedures

#### 5.2.2.1.1 Description

Whenever a PLMN is selected, the UE shall attempt to find a suitable cell of that PLMN to camp on according to the following steps.

- 1) Create a candidate list of potential cells to camp on. Two searching procedures are possible.
  - a) Initial Cell Selection

This procedure requires no prior knowledge of which RF channels are UTRA carriers. The UE shall scan all RF channels in the UTRA band to find a suitable cell. On each carrier, the UE searches first for the strongest cell and reads its system information, in order to find out which PLMNs are available. If the selected PLMN is found, the search of the rest of carriers may be stopped. After the UE has found one suitable cell for the selected PLMN, the UE shall create a candidate list consisting of this cell and its neighbouring cells, as received in measurement control information via the selected cell.

b) Stored Information Cell Selection

This procedure requires stored information of carrier frequencies and optionally also information on cell parameters, e.g. scrambling codes, from previously received measurement control information elements. After the UE has found one suitable cell for a selected PLMN the UE shall create the candidate list consisting of this cell and its neighbouring cells, as received in measurement control information via the selected cell.

NOTE: Setting the priorities of PLMN search and selection are FFS.

2) For each cell on the candidate list, measure the quality value, Q<sub>meas,LEV</sub> (see 5.2.2.1.2).

<u>2</u>3)For each cell on the candidate list calculate the cell selection value, <u>Squal and Srxlev and Squal (for FDD cells</u> <u>only</u>), defined in subclause 5.2.2.1.2.

<u>3</u>4) Rank the cells and select the best cell-as follows;

- For FDD cells, select CPICH Rx-Ec/N0 or RSCP used for evaluation of Q<sub>meas\_tEV</sub> in the formula\_defined in section 5.2.2.2.2 based on Cell\_selection\_and\_reselection\_quality measure in system information.
- If mapping information is provided in system information, <u>the specified</u> mapping function is used in the UE and <u>uses</u>-the formula in section 5.2.2.2.2 for cell ranking <u>is applied</u> rank. Select the best cell.
- If no-mapping information is not provided in system information, UE shall use <u>default mapping function</u> Qmap = Qmeas\_LEV in the formula in section 5.2.2.2.2 (=implicit mapping) and use it for cell ranking. Select the best cell.
- **45**) Select the cell that fulfils the  $\underline{Q_{map,n}} > \underline{Q_{map,s}} + \underline{Qoffset_{s,n}}$  criteriaon in 5.2.2.2.2 best. Check if the selected cell fulfils all requirements for a suitable cell. If so, choose this cell to camp on. If this cell does not fulfil all requirements for a suitable cell, this cell and all cells on the same frequency shall be removed as candidates for cell selection in case the barred cell does not accept intra-frequency cell selection and re-selection. On the other hand, in case the barred cell accepts intra-frequency cell selection and re-selection. On the other hand, in case the barred cell selection (see also subclause 5.2.4), and step 4 shall be repeated for the remaining cells.

If different radio access modes are involved in the procedure, specific mapping functions shall be applied. For each radio access mode, such a mapping function is defined and its parameters are broadcast in system information. The mapping function maps a certain range of measurement values  $Q_{meas\_LEV}$  to a representing quality value  $Q_{map}$  that can have values between 0 and 99 (step size 1). These quality values  $Q_{map}$  can then be compared with each other and the cell with the highest  $Q_{map}$  value is chosen (among those cells with S>0).

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If no suitable cell of selected PLMN is found and the stored information cell selection procedure was used in step 1, the Initial cell selection procedure shall be started and the steps are repeated. If the UE is unable to find any suitable cell of selected PLMN using the Initial cell selection procedure, it shall attempt to camp on highest ranked acceptable cell and enter the Camped on any cell state, where it can only obtain limited service.

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NOTE: In PLMN selection, automatic mode, this would normally result in a new PLMN selection.

#### 5.2.2.1.2 Criteria

The cell selection criteria S are defined as follows.

 $Squal = Q_{qualmeas} - Qqualmin$ Srxlev = Q<sub>rxlevmeas</sub> - Qrxlevmin - Pcompensation

Squal	Cell Selection quality value, (dB)
	Not applicable for TDD cells or GSM cells.
Srxlev	Cell Selection RX level value (dB)
Cell_selection_and_reselecti on_quality_measure (FDD only)	Choice of measurement (CPICH $Rx$ -Ec/N0 or CPICH RSCP) to use as quality measure $Q_{meas\_LEV}$ (read in system information)
Q <sub>qualmeas</sub>	Measured cell quality value. The quality of the received signal expressed in CPICH $E_c/N_0$
	(dB) for FDD cells. Not applicable for TDD cells or GSM cells.
Qrxlevmeas	Measured cell RX level value. This is received signal, CPICH RSCP <del>, (dB)</del> for FDD cells ( <u>dBm)</u> , P-CCPCH RSCP for TDD cells (dBm) and RXLEV for GSM cells (dBm).
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICHE <sub>c</sub> /N <sub>0</sub> or
	CPICHRSCP_LEV for FDD cells,-and P-CCPCHRSCP_LEV for TDD cells and RXLEV for GSM cells (dBm).
Qqualmin	Minimum required quality level in the cell (dB). Not applicable for TDD cells or GSM cells.
Qrxlevmin	Minimum required RX level in the cell. (dBm)
Pcompensation	max(UE_TXPWR_MAX_RACH – P_MAX, 0)
UE_TXPWR_MAX_RACH	Maximum TX power level an UE may use when accessing the cell on RACH (read in system information), (dBm)
P_MAX	Maximum RF output power of the UE, (dBm)

The cell selection criterion S is fulfilled if:

Squal > 0 Srxlev > 0

Squal has to be evaluated for FDD cells only.

#### 5.2.2.2 Immediate Cell Evaluation Procedure

NOTE: Conditions on the use of the immediate cell evaluation procedure are FFS. Specifically, the time needed to perform the procedure is to be considered.

#### 5.2.2.2.1 Description

The Immediate Cell Evaluation procedure is used by the UE to perform a quick evaluation of the quality of the intrafrequency cells. Based on this information, the UE shall select the best cell among the cells on the same frequency, according to the criteria defined in the next subclause. The immediate cell evaluation shall be triggered prior to RACH transmission.

The following steps shall be carried out when an immediate cell evaluation has been triggered.

- 1) The candidate list of potential cells to camp on consists of the cells in the current registration area listed for intrafrequency measurements in system information of the serving cell.
- 2) For each cell on the candidate list, measure the quality value, Q<sub>measLEV</sub>-
- <u>23</u>) For each cell on the candidate list calculate the cell selection values, <u>Squal and Srxlev and Squal (for FDD cells only)</u>, defined in subclause 5.2.2.1.2.

<u>3</u>4)Rank the cells and select the best cell as follows;

- For FDD cells, select CPICH-Rx Ec/N0 or RSCP used for evaluation of Q<sub>meas\_rLEV</sub> in the formuladefined in section 5.2.2.2.2 based on Cell\_selection\_and\_reselection\_quality\_ measure in system information.
- If mapping information is provided in system information, <u>the specified</u> mapping function is used in the UE and <u>uses</u> the formula in section 5.2.2.2.2 for cell ranking <u>is appliedrank</u>. <u>Select the best cell</u>.
- If no-mapping information is not provided in system information, UE shall use <u>default mapping function</u> Qmap = Qmeas\_LEV in the formula in section 5.2.2.2.2 (=implicit mapping) and use it for cell ranking. Select the best cell.
- <u>45</u>)Select the neighbouring cell that fulfils the  $Q_{map,n} > Q_{map,s} + Qoffset_{s,n}$  criteria in 5.2.2.2.2 best. If the best cell does not fulfil all other requirements for a suitable cell, UE shall trigger cell re-selection (see also subclause 5.2.4).
- NOTE: Whether the calculation of the  $Q_{map}$  value should require the immediate decoding (e.g. in case the UL load value is used for the calculation) of a set of neighbouring cell BCHs is FFS.

#### 5.2.2.2.2 Criteria

The UE shall select a new cell if the following criteria are fulfilled.

$$\begin{split} Squal_n > 0 \\ Srxlev_n > 0 \\ Q_{map,n} > Q_{map,s} + Qoffset_{s,n} \end{split}$$

Squal<sub>n</sub> has to be evaluated for FDD cells only.

Squal <sub>n</sub>	Cell Selection quality value of the neighbouring cell, (dB)
	Not applicable for TDD cells or GSM cells.
Srxlevn	Cell Selection RX level value of the neighbouring cell, (dB)
Cell_selection_and_reselecti	Choice of measurement (CPICH-Rx Ec/N0 or CPICH RSCP) that is used to derive Qmap,n
on_quality_measure (FDD	and Q <sub>map,s</sub> , (read in system information).
only)	
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICH $E_C/N_0$ or
	CPICHRSCP_LEV for FDD cells and P-CCPCHRSCP_LEV for TDD cells.
Q <sub>map,n</sub>	Quality of the neighbouring cell, after mapping function is applied, derived from CPICH Rx-E <sub>C</sub> /N <sub>0</sub> or CPICH RSCP for FDD cells, and from P-CCPCH RSCP for TDD cells and
	from RXLEV for GSM cells. For FDD cells, the measurement that is used to derive the quality value is set by the Cell_selection_and_reselection_quality_measure information element.
Q <sub>map,s</sub>	Quality of the serving cell, after mapping function is applied. For FDD cells, the
	measurement that is used to derive the quality value is set by the
	Cell_selection_and_reselection_quality_measure information element.
Qoffset <sub>s,n</sub>	Offset between the two cells considered in the evaluation (read in system information).

The quality values  $Q_{map,n}$  and  $Q_{map,s}$  are determined by mapping functions. The parameters for these mapping functions are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value.  $Q_{map,n}$  and  $Q_{map,s}$  can have values between 0 and 99 (step size 1).

If more than one neighbouring cell fulfils the criteria, the UE shall choose the cell where the difference between  $Q_{map,n}$  and  $(Q_{map,s} + Qoffset)$  is highest. If no neighbouring cell fulfils the criteria, the UE shall keep the serving cell.

#### 5.2.2.3 Camped Normally

When camped normally, the UE shall perform the following tasks:

- monitor PICH and PCH of the cell as specified in clause 8 according to information sent in system information;
- monitor relevant System Information;
- prior to RACH transmission, the UE shall perform an immediate cell evaluation, according to 5.2.2.2.

#### 5.2.2.4 Cell Reselection Procedure

#### 5.2.2.4.1 Triggers for cell re-selection

The cell reselection procedure shall be triggered in the following cases:

- 1) Time for cell re-selection evaluation;
- 2) Cell selection criterion S in 5.2.2.1.2 is not fulfilled;
- 3) cell has become barred or forbidden.

In case 2) and 3), the parameters Qhyst and Treselection shall not be considered in the criteria.

#### 5.2.2.4.2 Measurements for cell re-selection when HCS is not used

When serving cell does not belong to a hierarchical cell structure, UE shall follow these rules for intra- and interfrequency measurements and inter-RAT measurements:

The UE shall use Squal for FDD cells and Srxlev for TDD-and GSM cells as Sx in the following rules.

- If Sx > S<sub>intrasearch</sub>, UE need not perform intra-frequency measurements. If Sx <= S<sub>intrasearch</sub>, UE shall perform intra-frequency measurements. If S<sub>intrasearch</sub>, is not sent for serving cell, UE shall perform intra-frequency measurements.
- If Sx > S<sub>intersearch</sub>, UE need not perform inter-frequency measurements If Sx <= S<sub>intersearch</sub>, UE shall perform inter-frequency measurements. If S<sub>intersearch</sub>, is not sent for serving cell, UE shall perform intra-frequency measurements.
- If Sx > Ssearch<sub>RAT n</sub>, UE need not perform measurements on cells of RAT n If Sx <= Ssearch<sub>RAT n</sub>, UE shall perform measurements on cells of RAT n. If Ssearch<sub>RAT m</sub>, is not sent for serving cell, UE shall perform measurements on cells of RAT m.

#### 5.2.2.4.3 Measurements for cell re-selection when HCS is used

When serving cell belongs to a hierarchical cell structure, the UE shall follow these rules for intra- and inter-frequency measurements:

1. Intra- and inter-frequency threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD-and-GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub>  $\leq$  Ssearch<sub>HCS</sub>) or (S<sub>x</sub>  $\leq$  S<sub>intersearch</sub>) THEN

<UE shall measure on all intra- and inter-frequency cells>

ELSE

IF  $(S_x > S_{intrasearch})$  THEN

<UE shall measure on all intra- and inter-frequency cells, which have higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

ELSE

<UE shall measure on all intra- and inter-frequency cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

ENDIF

ENDIF

2. Intra- and inter-frequency measurement rules for fast-moving UEs

If the number of cell reselections during time period  $T_{CRmax}$  exceeds  $N_{CR}$ , high-mobility has been detected. In this high-mobility state, UE shall measure intra- and inter-frequency neighbouring cells, which have equal or lower HCS priority than serving cell. Furthermore, UE shall prioritise re-selection of intra- and inter-frequency neighbouring cells on lower HCS priority level before neighbouring cells on same HCS priority level.

When the number of cell reselections during time period  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold based measurement rules.

When serving cell belongs to a hierarchical cell structure,, the UE shall follow these rules for Inter-RAT measurements:

1. Inter-RAT threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD-and GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub> <= S<sub>HCS,RATm</sub>) or (S<sub>x</sub> <= S<sub>SearchRATm</sub>) THEN

<UE shall measure on all inter-RATm cells>

#### ELSE

IF  $(S_x > S_{limit,SearchRATm})$  THEN

< UE need not measure inter-RATm neighbouring cells >

ELSE

<UE shall measure on all inter-RATm cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

ENDIF

ENDIF

- 2. Inter-RAT measurement rules for fast-moving UEs
  - If the number of cell reselections during time period T<sub>CRmax</sub> exceeds N<sub>CR</sub>, high-mobility has been detected. In this high-mobility state, UE shall measure RATm neighbouring cells, which have an equal or lower HCS priority than the serving cell. Furthermore, UE shall prioritise re-selection of RATm neighbouring cells on lower HCS priority level before RATm neighbouring cells on same HCS priority level.

When the number of cell reselections during time interval  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold-based measurement rules.

#### 5.2.2.4.4 Non-suitable cells (Squal > 0 or Srxlev > 0)

If the best cell according to cell reselection criteria specified in subclause 5.2.2.4.5, does not fulfil all requirements for a suitable cell, that cell, together with all cells on that frequency shall be removed as candidate for cell re-selection (see also subclause 5.2.4).

#### 5.2.2.4.5 Cell Reselection Criteria

The following cell re-selection criteria are used for intra-frequency cells, inter-frequency cells and inter-RAT cells:

The quality level threshold criterion H for hierarchical cell structures is used to determine whether prioritised ranking according to hierarchical cell re-selection rules shall apply, and is defined by:

$$H_s = Q_{map,s} - Qhcs_s$$
  
 $H_n = Q_{map,n} - Qhcs_n - TO_n * L_n$ 

The cell-ranking criterion R is defined by:

$$R_{s} = Q_{map,s} + Qhyst_{s}$$
$$R_{n} = Q_{map,n} - Qoffset_{s,n} - TO_{n} * (1 - L_{n})$$

where:

$$\begin{split} TO_n &= TEMP\_OFFSET_n * W(PENALTY\_TIME_n - T_n) \\ L_n &= 0 & \text{if } HCS\_PRIO_n = HCS\_PRIO_s \\ L_n &= 1 & \text{if } HCS\_PRIO_n <> HCS\_PRIO_s \\ W(x) &= 0 & \text{for } x < 0 \\ W(x) &= 1 & \text{for } x >= 0 \end{split}$$

 $T_n$  is a timer implemented for each neighbouring cell.  $T_n$  shall be started from zero when following conditions becomes true:

$Q_{map,n} > Qhcs_n$	if HCS_PRIO <sub>n</sub> <> HCS_PRIO <sub>s</sub>
$Q_{map,n} > Q_{map,s} + Qoffset_{s,n}$	if HCS_PRIO <sub>n</sub> = HCS_PRIO <sub>s</sub>

T<sub>n</sub> shall be stopped as soon as these conditions are no longer fulfilled.

At cell-reselection, a timer  $T_n$  is stopped only if the corresponding cell is not a neighbour cell of the new serving cell, or if the criterion given above for starting timer  $T_n$  for the corresponding cell is no longer fulfilled with the parameters of the new serving cell.

 $TEMP\_OFFSET_n$  applies an offset to H and R criteria for the duration of PENALTY\_TIME\_n after the timer  $T_n$  has started for that cell.

Sn	Cell Selection value of the neighbouring cell, (dB)
Cell_selection_and_reselecti	Choice of measurement (CPICH Rx-Ec/N0 or CPICH RSCP) that is used to derive quality
on_quality_measure (FDD	measures Q <sub>map,n</sub> and Q <sub>map,s</sub> , (read in system information).
only)	
Q <sub>map,n</sub>	Quality of the neighbouring cell, after mapping function is applied, derived from CPICH
	$R_{X} E_{c}/N_{0}$ or CPICH RSCP for FDD cells, from P-CCPCH for TDD cells and from RXLEV
	for GSM cells. For FDD cells, the measurement that is used to derive the quality value is
	set by the Cell_selection_and_reselection_quality_measure information element.
Q <sub>map,s</sub>	Quality of the serving cell, after mapping function is applied, derived from CPICH-Rx
	E <sub>c</sub> /N <sub>0</sub> or CPICH RSCP for FDD cells, and from P-CCPCH for TDD cells and from RXLEV
	for GSM cells. For FDD cells, the measurement that is used to derive the quality value is
	set by the Cell_selection_and_reselection_quality_measure information element.
Qoffset <sub>s,n</sub>	Offset between the two cells considered in the evaluation (read in system information).
Qhysts	Hysteresis value of the serving cell.
HCS_PRIOs, HCS_PRIOn	HCS priority level (0-7) for serving cell and neighbouring cells
PENALTY_TIMEn	Duration for applying TEMP_OFFSET <sub>n</sub> to H and R criteria (s)
Qhcs <sub>s</sub> , Qhcs <sub>n</sub>	Quality threshold level for applying prioritised hierarchical cell re-selection
TEMP_OFFSETn	Offset to H and R criteria for the duration of PENALTY_TIMEn
T <sub>maxCR</sub>	Duration for evaluating allowed amount of cell reselections (s).
N <sub>CR</sub>	Maximum number of cell reselections
T <sub>CrmaxHyst</sub>	Additional time period before UE reverts to low-mobility measurements (s)
Treselections	Time-to-trigger for cell reselection, (s)

The quality values  $Q_{map,n}$  and  $Q_{map,s}$  are determined by mapping functions. The parameters for these mapping functions are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value.  $Q_{map,n}$  and  $Q_{map,s}$  can have values between 0 and 99 (step size 1).

The UE shall perform a cell re-selection if a non-serving cell is evaluated to be better than the serving cell. The best cell is the cell with the highest R value and (Squal > 0 (only for FDD cells), Srxlev > 0) among

- those cells that have the highest HCS\_PRIO among those cells that fulfil the criterion  $H \ge 0$ . Note that this rule is not valid when UE high-mobility is detected (see subclause 5.2.2.4.3).
- all cells, not considering HCS priority levels, if no cell fulfil the criterion  $H \ge 0$ . This case is also valid when HCS is not applied, that is when serving cell does not belong to a hierarchical cell structure.

The UE shall reselect the new cell, if the cell reselection criteria are fulfilled during a time interval Treselection.

When serving cell is an FDD cell, and CPICH  $E_c/N_0$  is used as cell\_selection\_and\_reselection\_quality\_measure for FDD cells, the UE shall perform the ranking and re-selection according to the procedure below and in the following order (FFS):

- 1. UE shall rank the FDD cells according to the R criteria above using the CPICH  $E_c/N_0$  as measurement quantity. If a non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall perform a cell reselection to that cell.
- 2. If no non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall rank TDD cells and/or Inter-RAT GSM cells according to the R criteria above. The UE shall re-select to a TDD or Inter-RAT GSM cell if the R<sub>n</sub> value for the highest ranked TDD or Inter-RAT GSM cell exceeds the measured CPICH RSCP of the serving FDD cell and all FDD cells in the candidate list.

# 7 Measurements for cell selection / reselection

### 7.1 Use of Mapping Functions

Different types of measurements are used in different radio access technologies and modes for the cell selection and reselection (CPICH Ec/N0 or CPICH RSCP in UTRA FDD, P-CCPCH RSCP in UTRA TDD, RXLEV in GSM). Whenever a direct comparison of these measurements is required, mapping functions shall be applied.

Mapping functions are used for mapping a certain range of measurement values Q<sub>meas\_LEV</sub> (CPICH\_EC/N0, CPICH\_RSCP\_LEV, P-CCPCH\_RSCP\_LEV, RXLEV) to a representing quality value Q<sub>map</sub> (0..99, step size 1).

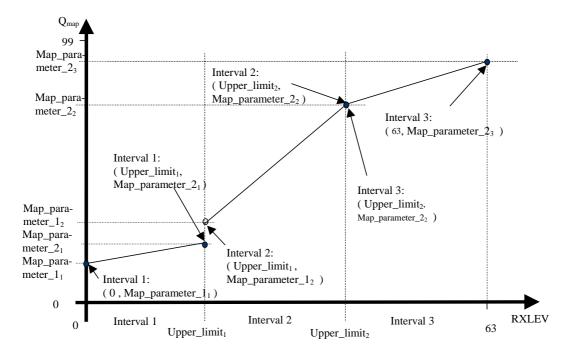
For each radio access technology and mode, one mapping function is defined. It may be defined over one or several consecutive intervals of the measurement values  $Q_{meas\_LEV}$ .

The size of the consecutive intervals is sufficiently defined by their upper limit (given by parameter Upper\_limit). In case of only one interval specified, the parameter Upper\_limit is not needed and the interval is equivalent to the measurement range defined for that radio access technology. In case of more than one interval specified, the upper limit of the last interval defined is equivalent to the upper limit of the defined measurement range. The lower limit of an interval is equivalent to the upper limit of the interval. For the first interval, the lower limit is equivalent to the lower limit of the defined measurement range.

Within each interval, one function type is defined (given by parameter Function\_type) and the according function is defined by two parameters Map\_parameter\_1 and Map\_parameter\_2. For release 99, only linear functions are specified:  $Q_{map} = a * Q_{meas\_LEV} + b$ , if  $Q_{meas\_LEV}$  is the measured value and  $Q_{map}$  is the representing quality value.

Map\_parameter\_1 and Map\_parameter\_2 for an interval define the  $Q_{map}$  values that the  $Q_{meas\_tEV}$  values at the upper and the lower limit of this interval are mapped to, respectively. In other words, the linear function within one interval is defined by two tuples ( $Q_{meas\_tEV}$ ,  $Q_{map}$ ) at the interval limits, so that the parameters a and b can be derived from this.

Accordingly, if the mapping function is steady between two consecutive intervals, Map\_parameter\_2 for the first interval has the same value as Map\_parameter\_1 for the following interval. This is illustrated in the following figure:



If no mapping functionality is needed (e.g. in FDD- or TDD-only networks), an implicit mapping is used:  $Q_{map} = Q_{meas\_tLev}$ . This is specified as default case.

The parameters defined for each interval (Function\_type, Map\_parameter\_1, Map\_parameter\_2 and Upper\_limit) are broadcast in system information.

# 8 Discontinuous Reception

The UE may use Discontinuous Reception (DRX) in idle mode in order to reduce power consumption. When DRX is used the UE needs only to monitor one Page Indicator, PI, (see definition in [7] and [8]) in one Paging Occasion per DRX cycle.

The DRX cycle length shall be  $2^{k}$  \*PBP frames, where k is an integer and PBP is the Paging Block Periodicity. PBP is only applicable for TDD and is equal to the PICH repetition period that is broadcast in system information. For FDD, PBP=1.

The UE may be attached to different CN domains with different CN domain specific DRX cycle lengths. The UE shall store each CN domain specific DRX cycle length for each CN domain the UE is attached to and use the shortest of those DRX cycle lengths. The CS CN specific DRX cycle length coefficient shall be updated locally in the UE using information given in system information. On the other hand, the PS CN specific DRX cycle length coefficient shall be updated after the negotiation between the UE and PS CN by NAS procedure. If no specific value "k" is negotiated in NAS procedure, the UE and PS CN shall use the DRX cycle length given for PS CN domain in system information.

The DRX cycle lengths to use for UTRAN connected mode is the shortest of the following:

- UTRAN DRX cycle length;
- any of the stored CN domain specific DRX cycle length for the CN domains the UE is only attached to with no signalling connection established.

The UE shall use the IMSI, the Cell System Frame Number (SFN), Np (<u>for FDD, Np is the number of page indicators</u> within a frame<u>: for TDD, Np is the number of page indicators within a paging block</u>), Frame offset (For FDD, Frame offset = 0; for TDD, PICH frame offset values are given in system information), PBP and the DRX cycle length to determine the Paging Occasions.

The value of the Paging Occasion (i.e. the SFN of the first frame of the Paging Block) is determined as follows:

Paging Occasion= {IMSI mod (DRX cycle length div PBP)} \* PBP + n \* DRX cycle length + Frame Offset

Where n = 0, 1, 2... as long as SFN is below its maximum value.

The actual Page Indicator within a Paging Occasion that the UE shall read is similarly determined based on IMSI.

The Page Indicator to use is calculated by using the following formula:

PI = DRX Index mod Np

where DRX Index = {IMSI div (DRX cycle length div PBP)}

In FDD mode, Np = (18,36,72,144) is the number of Page Indicators per frame, and is given in IE "Number of PI per frame", part of system information in FDD mode. In TDD mode, Np is the number of Page Indicators per paging block and is calculated by the Paging Indicator Length L<sub>PI</sub> the Burst Type (long or short midamble) and the PICH repetition length, which are given in system information.

If the UE has no IMSI, for instance when making an emergency call without USIM, the UE shall use a default number, IMSI = 0, in the formulas above.

For FDD, see [7] for details about the timing between a PICH frame and when the paging message is transmitted on the PCH in the associated S-CCPCH frame. In TDD mode, the Paging Message Receiving Occasion is calculated using the following formula:

 $Paging \ Message \ Receiving \ Occasion = \ Paging \ Occasion + N_{PICH} + N_{GAP} + \{(DRX \ Index \ div \ Np) \ mod \ N_{PCH} \ \} \ *2$ 

The value  $N_{PICH}$  is the number of frames for PICH transmission and is equal to the PICH repetition length given in system information. The value  $N_{GAP}$  is the number of frames between the last frame carrying PICH for this Paging Occasion and the first frame carrying paging messages for this Paging Occasion. The value  $N_{PCH}$  is the number of Paging Groups.  $N_{PCH}$  and  $N_{GAP}$  are given in system information.

### 3GPP RAN WG2 Meeting #14 Paris, France, July 3-7, 2000

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## Document **R2-001380**

e.g. for 3GPP use the format TP-99xxx or for SMG, use the format P-99-xxx

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#### 5.2.2.4.3 Measurements for cell re-selection when HCS is used

When serving cell belongs to a hierarchical cell structure, the UE shall follow these rules for intra- and inter-frequency measurements:

1. Intra- and inter-frequency threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD and GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub> <= Ssearch<sub>HCS</sub>) or ( $s_{\tilde{x}}$ - $S_{gual}$  <=  $S_{intersearch}$ (FDD only)) THEN

<UE shall measure on all intra- and inter-frequency cells>

ELSE

IF  $(S_x > S_{intrasearch})$  THEN

<UE shall measure on all intra- and inter-frequency cells, which have higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

ELSE

<UE shall measure on all intra- and inter-frequency cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

**ENDIF** 

ENDIF

2. Intra- and inter-frequency measurement rules for fast-moving UEs

If the number of cell reselections during time period  $T_{CRmax}$  exceeds  $N_{CR}$ , high-mobility has been detected. In this high-mobility state, UE shall measure intra- and inter-frequency neighbouring cells, which have equal or lower HCS priority than serving cell. Furthermore, UE shall prioritise re-selection of intra- and inter-frequency neighbouring cells on lower HCS priority level before neighbouring cells on same HCS priority level.

When the number of cell reselections during time period  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold based measurement rules.

When serving cell belongs to a hierarchical cell structure, the UE shall follow these rules for Inter-RAT measurements: 1. Inter-RAT threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD and GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub>  $\leq S_{HCS,RATm}$ ) or ( $S_x S_{gual} \leq S_{SearchRATm}$  (FDD only)) THEN

<UE shall measure on all inter-RATm cells>

ELSE

IF  $(S_x > S_{\text{limit,SearchRATm}})$  THEN

< UE need not measure inter-RATm neighbouring cells >

ELSE

<UE shall measure on all inter-RATm cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

ENDIF

ENDIF

- 2. Inter-RAT measurement rules for fast-moving UEs
  - If the number of cell reselections during time period T<sub>CRmax</sub> exceeds N<sub>CR</sub>, high-mobility has been detected. In this high-mobility state, UE shall measure RATm neighbouring cells, which have an equal or lower HCS

priority than the serving cell. Furthermore, UE shall prioritise re-selection of RATm neighbouring cells on lower HCS priority level before RATm neighbouring cells on same HCS priority level.

When the number of cell reselections during time interval  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold-based measurement rules.

3GPP TSG RAN WG2 Meeting #14 France, France, 02- 07 July 2000

CHANGE REQUEST											
			25.3	<mark>804</mark>	CR	042	r2	Cı	urrent Versi	on: 3.3.0	
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#### 5.2.2.4.5 **Cell Reselection Criteria**

The following cell re-selection criteria are used for intra-frequency cells, inter-frequency cells and inter-RAT cells:

The quality level threshold criterion H for hierarchical cell structures is used to determine whether prioritised ranking according to hierarchical cell re-selection rules shall apply, and is defined by:

$H_s = Q_{map,s} - Qhcs_s$	
$H_n = Q_{map,n} - Qhcs_n - TO_n * L_n$	

$$H_s = Q_{meas\_LEV,s} - Qhcs_s$$
  
 $H_n = Q_{meas\_LEV,n} - Qhcs_n - TO_n * L_n$ 

The cell-ranking criterion R is defined by:

$$R_{s} = Q_{map,s} + Qhyst_{s}$$
$$R_{n} = Q_{map,n} - Qoffset_{s,n} - TO_{n} * (1 - L_{n})$$

where:

 $TO_n = TEMP\_OFFSET_n * W(PENALTY\_TIME_n - T_n)$  $L_n = 0$ if  $HCS_PRIO_n = HCS_PRIO_s$  $L_n = 1$ if HCS PRIO<sub>n</sub> <> HCS PRIO<sub>s</sub> W(x) = 0for x < 0W(x) = 1for  $x \ge 0$ 

T<sub>n</sub> is a timer implemented for each neighbouring cell. T<sub>n</sub> shall be started from zero when one of the following conditions becomes true:

 $Q_{\text{meas LEV,n}} \xrightarrow{Q_{\text{man,n}}} Ohcs_n$ 

if HCS\_PRIO<sub>n</sub> <> HCS\_PRIO<sub>s</sub>

or

For TDD cells, GSM cells and FDD cells if the cell selection and reselection-quality measure IE sets the quality value to be CPICH RSCP:

 $Q_{map,n} > Q_{map,s} + Qoffset \underline{1}_{s,n}$ 

if HCS\_PRIO<sub>n</sub> = HCS\_PRIO<sub>s</sub>

For FDD cells if the cell selection and reselection-quality measure IE sets the quality value to be CPICH <u>Ec/No:</u>

 $\underline{Q}_{\text{meas}\_\text{LEV},n} > \underline{Q}_{\text{meas}\_\text{LEV},s} + \underline{Qoffset2}_{s,n}$  if  $\text{HCS}\_PRIO_n = \text{HCS}\_PRIO_s$ 

T<sub>n</sub> shall be stopped as soon as these conditions are no longer fulfilled.

At cell-reselection, a timer  $T_n$  is stopped only if the corresponding cell is not a neighbour cell of the new serving cell, or if the criterion given above for starting timer  $T_n$  for the corresponding cell is no longer fulfilled with the parameters of the new serving cell.

TEMP\_OFFSET<sub>n</sub>applies an offset to H and R criteria for the duration of PENALTY\_TIME<sub>n</sub> after the timer  $T_n$  has started for that cell.

Sn	Cell Selection value of the neighbouring cell, (dB)
Cell_selection_and_reselecti	Choice of measurement (CPICH Rx Ec/N0 or CPICH RSCP) that is used to derive quality
on_quality_measure (FDD	measures $Q_{map,n}$ and $Q_{map,s}$ , (read in system information).
only)	
Q <sub>map.n</sub>	Quality of the neighbouring cell, after mapping function is applied, derived from CPICH
	$Rx E_c/N_0$ or CPICH RSCP for FDD cells, from P-CCPCH for TDD cells and from RXLEV
	for GSM cells. For FDD cells, the measurement that is used to derive the quality value is
	set by the Cell_selection_and_reselection_quality_measure information element.
Q <sub>map,s</sub>	Quality of the serving cell, after mapping function is applied, derived from CPICH Rx $E_c/N_0$ or CPICH RSCP for FDD cells, from P-CCPCH for TDD cells and from RXLEV for
	GSM cells. For FDD cells, the measurement that is used to derive the quality value is set by the Cell_selection_and_reselection_quality_measure information element.
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICH_Ec/No or
	CPICH_RSCP_LEV for FDD cells as set by the
	Cell selection and reselection quality measure information element, P-
	CCPCH_RSCP_LEV for TDD cells and RXLEV for GSM cells.
Qoffset <u>1<sub>s,n</sub></u>	Offset value 1 between the two cells considered in the evaluation (read in system
	information).
<u>Qoffset2<sub>s,n.</sub></u>	Offset value 2 between the two cells considered in the evaluation (read in system
	information).
Qhyst <u>1</u> ₅	Hysteresis value of the serving cell.
<u>Qhyst2<sub>s</sub></u>	Hysteresis value of the serving cell.
HCS_PRIO <sub>s</sub> , HCS_PRIO <sub>n</sub>	HCS priority level (0-7) for serving cell and neighbouring cells
PENALTY_TIMEn	Duration for applying TEMP_OFFSETn to H and R criteria (s)
Qhcs <sub>s</sub> , Qhcs <sub>n</sub>	Quality threshold level for applying prioritised hierarchical cell re-selection
TEMP_OFFSET <u>1</u> n	Offset to H and R criteria for the duration of PENALTY_TIMEn
TEMP_OFFSET2n	Offset to H and R criteria for the duration of PENALTY_TIME <sub>n</sub>
T <sub>maxCR</sub>	Duration for evaluating allowed amount of cell reselections (s).
N <sub>CR</sub>	Maximum number of cell reselections
T <sub>CrmaxHyst</sub>	Additional time period before UE reverts to low-mobility measurements (s)
Treselections	Time-to-trigger for cell reselection, (s)

The quality values  $Q_{map,n}$  and  $Q_{map,s}$  are determined by mapping functions. The parameters for these mapping functions are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value.  $Q_{map,n}$  and  $Q_{map,s}$  can have values between 0 and 99 (step size 1).

The UE shall perform <u>ranking of all cells that fulfil the S criterion (see subclause 5.2.2.1.2)</u> a cell re selection if a nonserving cell is evaluated to be better than the serving cell. The best cell is the cell with the highest R value and (Squal > 0, Srxlev > 0) among

- <u>all those</u> cells that have the highest HCS\_PRIO among those cells that fulfil the criterion  $H \ge 0$ . Note that this rule is not valid when UE high-mobility is detected (see subclause 5.2.2.4.3).
- all cells, not considering HCS priority levels, if no cell fulfil the criterion  $H \ge 0$ . This case is also valid when HCS is not applied, that is when serving cell does not belong to a hierarchical cell structure.

or

The cells shall be ranked according to the R criteria specified above, using CPICH RSCP, P-CCPCH RSCP and RXLEV for deriving  $Q_{map,n}$  and  $Q_{map,s}$  and calculating the R values of the FDD, TDD and GSM cells, respectively. The offset Qoffset1<sub>s,n</sub> is used to calculate  $R_n$ , the hysteresis Qhyst1<sub>s</sub> is used to calculate  $R_s$  and TEMP\_OFFSET1<sub>n</sub> is used to calculate TO<sub>n</sub>. The best ranked cell is the cell with the highest R value.

If a TDD or GSM cell is ranked as the best cell, then the UE shall perform cell re-selection to that TDD or GSM cell.

If a FDD cell is ranked as the best cell and IE cell selection and reselection-quality measure is set to CPICH RSCP, the UE shall perform cell re-selection to that FDD cell.

If a FDD cell is ranked as the best cell and IE cell selection and reselection-quality measure is set to CPICH Ec/No, the UE shall perform a second ranking of the FDD cells according to the R criteria specified above, but using the measurement quantity CPICH Ec/No as given in cell\_selection\_and\_reselection-quality\_measure for deriving the  $Q_{map,n}$  and  $Q_{map,s}$  and calculating the R values of the FDD cells. In this case, default mapping function  $Q_{map} = Q_{meas\_LEV}$  is used and the offset Qoffset2<sub>s,n</sub> is used to calculate  $R_n$ , the hysteresis Qhyst2<sub>s</sub> is used to calculate  $R_s$  and TEMP\_OFFSET2<sub>n</sub> is used to calculate TO<sub>n</sub>. Then the UE shall perform cell re-selection to the best ranked FDD cell.

The UE shall reselect the new cell, if the cell reselection criteria are fulfilled during a time interval Treselection.

When serving cell is an FDD cell, and CPICH  $E_e/N_0$  is used as cell\_selection\_and\_reselection\_quality\_measure for FDD cells, the UE shall perform the ranking and re selection according to the procedure below and in the following order (FFS):

- UE shall rank the FDD cells according to the R criteria above using the CPICH E<sub>e</sub>/ N<sub>0</sub> as measurement quantity. If a non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall perform a cell reselection to that cell.
- 2. If no non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall rank TDD cells and/or Inter RAT GSM cells according to the R criteria above. The UE shall re select to a TDD or Inter RAT GSM cell if the R<sub>n</sub> value for the highest ranked TDD or Inter RAT GSM cell exceeds the measured CPICH RSCP of the serving FDD cell and all FDD cells in the candidate list.

#### 5.2.2.4.6 Cell reselection parameters in system information broadcasts

The selection of values for network controlled parameters can be optimised by means of different methods. Examples of methods are described in [6]. Cell reselection parameters are broadcast in system information as follows:

#### $Qoffset \underline{1}_{s,n}$

The offset between the two cells is read in system information of the serving cell. It is used for TDD and GSM cells and for FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH RSCP.

#### **Ooffset2**<sub>s,n</sub>

The offset between the two cells is read in system information of the serving cell. It is used for FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH Ec/No.

#### Qhyst1s

The hysteresis value (Qhyst) is read in system information of the serving cell. <u>It is used for TDD and GSM cells and for FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH RSCP.</u>

#### <u>Ohyst2</u><sub>s</sub>

The hysteresis value (Qhyst) is read in system information of the serving cell. It is used for FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH Ec/No.

#### HCS\_PRIO<sub>s</sub>, HCS\_PRIO<sub>n</sub>

HCS priority level (0-7) for serving cell and neighbouring cells are read in system information of serving cell.

#### Qhcs<sub>s</sub>, Qhcs<sub>n</sub>

Quality threshold levels for applying prioritised hierarchical cell re-selection are read in system information of serving cell.

#### Qqualmin

Minimum required quality level in the cell, (dB). Not applicable for TDD cells or GSM cells.

#### Qrxlevmin

Minimum required RX level in the cell. (dBm)

#### $PENALTY\_TIME_n$

Time duration for which the TEMPORARY\_OFFSET  $_n$  is applied for a neighbouring cell is read in system information of serving cell.

#### $TEMPORARY\_OFFSET\underline{1}_n$

Applies an offset to the H and R criteria for a neighbouring cell for the duration of PENALTY\_TIME<sub>n</sub>. The parameter is read in system information of serving cell. It is used for TDD and GSM cells and for FDD cells in case IE cell selection and re-selection quality measure is set to CPICH RSCP.

#### TEMPORARY\_OFFSET2<sub>n</sub>

<u>Applies an offset to the H and R criteria for a neighbouring cell for the duration of PENALTY\_TIME<sub>n</sub>. The parameter is read in system information of serving cell. It is used for FDD cells in case IE cell selection and reselection quality measure is set to CPICH Ec/No.</u>

#### T<sub>CRmax</sub>

Duration for evaluating allowed amount of cell reselection(s) is read in system information of serving cell.

#### N<sub>CR</sub>

Maximum number of cell reselections is read in system information of serving cell.

#### T<sub>CRmaxHyst</sub>

Additional time period before UE reverts to low-mobility measurements is read in system information of serving cell.

#### **Treselection**<sub>s</sub>

The cell reselection timer value is read in system information of the serving cell.

#### $Ssearch_{HCS} \\$

Below this limit in the serving cell, the UE shall initiate measurements of all neighbouring cells of the serving cell. The value is read in system information of the serving cell.

#### Ssearch<sub>RAT 1</sub> - Ssearch<sub>RAT k</sub>

This RAT specific threshold in the serving cell is used in the inter-RAT measurement rules. The values are read in system information of the serving cell.

#### S<sub>HCS,RATm</sub>

This RAT specific threshold in the serving cell is used in the inter-RAT measurement rules. The values are read in system information of the serving cell.

#### Sintrasearch

Threshold for intra frequency measurements (dB for FDD, dBm for TDD) and for the HCS measurement rules.

#### Sintersearch

Threshold for intra frequency measurements (dB for FDD, dBm for TDD)and for the HCS measurement rules.

#### Slimit,SearchRATm

Above this RAT specific threshold in the serving UTRA cell, the UE need not perform any inter-RATm measurements (dB for FDD, dBm for TDD)

#### **Mapping Info**

Mapping Info contains all the information that is necessary to define the mapping function that is used for mapping a certain range of measurement values to a representing quality value (0..99, step size 1).

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<u>change:</u>		2. Addition of missing re	eferences					
		3. Correction of ambigui	ty in definitio	ons				
		4. Removal of ODMA fr	om R'99 spec	cification				
		5. Correction to the gene	ral descriptio	n of idle mo	ode.			
		6. Correction to the funct	tional division	n between A	AS and NAS in I	dle Mod	le	
		7. The cell selection and separation between sta					ide a clear	
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		10. The immediate cell ev align with 25.331.	aluation proc	ess has beer	n moved into a s	eparate j	process section to	
		11. The camped normally	state has been	n corrected.				
		12. The cell reselection pr	ocess has bee	n corrected.				
		13. The camped on any ce	ell state has be	en correcte	d.			
		14. The cell access restrict	tion has been	reorganised	l in order to be u	ınambigı	uous.	

15. The processed in connection modes (URA\_PCH, CELL\_PCH, CELL\_FACH) have been corrected. 16. The duplicate description of Broadcast information already contained in 25.331 has been removed. 17. The cell broadcast in idle mode has been corrected. 18. The description of Paging and SCCPCH selection in Idle mode has been corrected. **Clauses affected:** 1: 2; 3.1; 3.2; 4.1; 4.2; 4.3; 5; 5.1; 5.2.1; 5.2.2; 5.2.2.1.1; 5.2.2.1.2; 5.2.2.2; 5.2.2.2.1; 5.2.2.2; 5.2.2.4.2; 5.2.2.4.3; 5.2.2.4.4; 5.2.2.4.5; 5.2.2.4.6; 5.2.2.5; 5.2.2.6; 5.2.2.7; 5.2.2.8(deleted); 5.2.2.9(deleted); 5.2.3; 5.2.3.1; 5.2.3.2; 5.2.3.3; 5.2.3.4; 5.2.3.5; 5.2.3.6; 5.2.3.7; 5.2.4; 5.2.4.1; 5.2.4.2; 5.2.4.3; 5.2.5(deleted); 5.3; 5.3.1; 5.3.1.2; 5.3.1.2.1; 5.3.1.2.2; 5.3.1.3; 5.3.1.3.1; 5.3.1.4; 5.4; 6.1; 6.2; 7.1; 8; 9.1; 10.1; 10.2; 10.3; 10.4; 10.5; 10.6; 10.7; 10.8.1; 10.8.2; Other specs Other 3G core specifications  $\rightarrow$  List of CRs: 25.331 affected: Other GSM core → List of CRs: specifications MS test specifications → List of CRs: BSS test specifications → List of CRs: **O&M** specifications → List of CRs: Other comments:

2



<----- double-click here for help and instructions on how to create a CR.

# 1 Scope

This document specifies the Access Stratum part of the Idle Mode procedures applicable to a UE. The present The nonaccess stratum part of Idle mode procedures and processes are specified in [5]

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This document also specifies cell reselection processes applicable to UEs in connected mode. Invocation of these processes are described in [4].

<u>This</u> document <u>shall describespecify</u> <u>specifies the overall idle mode process for the UE and the model for</u> the functional division between the non-access stratum and access stratum in <u>the a</u>UE.

The non-access stratum part is specified in [5] and the access stratum part is specified in the present document. The UE is in idle mode when there is no RRC connection. In idle mode the UE is identified by non access stratum identities such as IMSI, TMSI and P TMSI. In addition, the UTRAN has no own information about the individual idle mode UEs, and can only address e.g. all UEs in a cell or all UEs monitoring a specific paging occasion.

The present document applies to <u>all</u> UEs that support at least UTRA, <u>including multi-RAT UEs as described in 3GPP</u> <u>specifications</u>, in the following cases:

- When the UE is camped on a UTRA cell
- When the UE is searching for a cell to camp on
- When the UE is camped on another RAT than UTRA (Note that details for those cases are described in the specifications of the other RAT) and possibly also other radio access technologies, for instance GSM.

In addition to the specification of the idle mode process, there is a specification of the cell selection and reselection procedures applicable to UEs in connected mode in some cases, which are specified in [4].

The present document presents also examples of inter-layer procedures related to the idle mode processes and describes idle mode functionality of a dual RAT UTRA/GSM UE.

Following items are considered for releases beyond Release 1999future releases:

- 1) State diagram between the multicast service and DSCH (subclause 9.1);
- 2) ODMA probing sub process (subclause 5.2.2.6).

Support for radio access technology priority list is not included in Release 1999.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.
- [1] GSM TS 03.22: "Functions related to Mobile Station in idle mode and group receive mode".
- [2] 3G TS 25.301: "Radio Interface Protocol Architecture".
- [3] 3G TS 25.303: "Interlayer Procedures in Connected Mode".

[4]	3G TS 25.331: "RRC Protocol Specification".
[5]	3G TS 23. <u>1022</u> : " <u>NAS f</u> Functions related to <u>Mobile Station (MS)</u> in idle mode-and group receive mode".
[6]	3G TR 25.922: "Radio Resource Management Strategies".
[7]	3G TS 25.211: "Physical channels and mapping of transport channels onto physical channels (FDD)".
[8]	3G TS 25.221: "Physical channels and mapping of transport channels onto physical channels (TDD)".
[9]	3G TS 22.011: "Service accessibility".
[10]	3G TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".
[11]	3G TS 25.123: "Requirements for Support of Radio Resource Management (TDD)".

# 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

Acceptable Cell: a cell that the UE may camp on to make emergency calls. It shall satisfiesy certain conditions as specified in 4.3. A UE can always attempt emergency calls on an acceptable cell.

Allowable PLMN: a PLMN, which is not in the list of forbidden PLMNs in the UE.

Available PLMN: a PLMN where for which the UE has found at least one -acceptable cell that satisfies certain conditions.

**Camped on a cell:** UE is in idle mode and has completed the cell selection/reselection process and has chosen a cell. The UE monitors system information and (in most cases) paging information.

NOTE: The services may be limited, and that the PLMN may not be aware of the existence of the UE within the chosen cell.

DRX cycle: individual time interval between monitoring Paging Occasion for a specific UE.

**Home PLMN:** a PLMN where the Mobile Country Code (MCC) and Mobile Network Code (MNC) of the PLMN identity are the same as the MCC and MNC of the IMSI.

**Location Registration (LR):** UE registers its presence in a registration area, for instance regularly or when entering a new registration area.

**LSA:** Localised Service Area. A LSA is an operator-defined group of cells for which specific access conditions applies. This may correspond to an area in which the Core Network offers specific services. A LSA may be defined within a PLMN or globally. Therefore, a LSA may offer non-contiguous radio coverage.

**LSA exclusive access cell:** UE may only camp on this cell if the cell belongs to the LSAs to which the user has subscribed. Nevertheless, if no other cells are available, the UE of non-LSA users may originate emergency calls from this cell.

LSA ID: Localised Service Area Identity.

**LSA only access:** when LSA only access applies to the user, the UE can only access cells that belong to the LSAs to which the user has subscribed. Outside the coverage area of the subscribed LSAs, the UE may camp on other cells and limited services apply.

**LSA preferential access cell:** LSA preferential access cell is a cell, which is part of the LSA. UEs of users that have subscribed to a LSA of an LSA-preferential-access cell have higher priority to resources than non-LSA users in the

same cell. The availability of LSA preferential access cells impacts the radio resource allocation (controlled by UTRAN-Access Stratum). This function is out of the scope of the standards.

Maximum DRX cycle: time interval for the longest possible DRX cycle in a cell.

**Paging Block Periodicity (PBP):** period of the occurrence of Paging Blocks. (For FDD, PBP = 1).

Paging Message Receiving Occasion: frame where the UE receives actual paging message.

#### **Paging occasion:**

(FDD) The frame where the UE monitors the PICH.

(TDD) The paging block, which consists of several frames. The value of Paging Occasion is equal to the first frame of the Paging Block.

Process: a local action in the UE invoked by a RRC procedure or an Idle Mode procedure.

Radio Access Mode: radio access mode of the cell, FDD or TDD.

Radio Access Technology: type of technology used for radio access, for instance UTRA or GSM.

Registered PLMN (RPLMN): this is the PLMN on which the UE has performed a location registration successfully.

**Registration Area:** (NAS) registration area is an area in which the UE may roam without a need to perform location registration, which is a NAS procedure.

Selected PLMN: this is the PLMN that has been selected by the non-access stratum, either manually or automatically.

Suitable Cell: a cell on which an UE may camp. It shall satisfy certain conditions, see subclause 4.3.

**Visited PLMN of home country:** a PLMN, different from the home PLMN, where the MCC part of the PLMN identity is the same as the MCC of the IMSI.

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

AS	Access Stratum
BCCH	Broadcast Control Channel
CN	Core Network
DRX	Discontinuous Reception
DSCH	Downlink Shared Channel
FDD	Frequency Division Duplex
GC	General Control (SAP)
GPRS	General Packet Radio System
GSM	Global System for Mobile
IMSI	International Mobile Subscriber Identity
MCC	Mobile Country Code
MM	Mobility Management
MNC	Mobile Network Code
NAS	Non-Access Stratum
<del>ODMA</del>	Opportunity Driven Multiple Access
ORACH	ODMA Random Access Channel
PCH	Paging Channel
PI	Page Indicator
PICH	Page Indication Channel
PLMN	Public Land Mobile Network
RAT	Radio Access Technology
RRC	Radio Resource Control
SAP	Service Access Point
TDD	Time Division Duplex
UE	User Equipment
UE <sub>R</sub>	User Equipment with ODMA values around on shall d
	<u>User Equipment with ODMA relay operation enabled</u>
UMTS	Universal Mobile Telecommunications System

UTRA	UMTS Terrestrial Radio Access
UTRAN	UMTS Terrestrial Radio Access Network

#### General description of Idle mode 4

#### 4.1 Overview

When a multi RAT-UE is switched on, it attempts to make contact with searches and selects a public land mobile network (PLMN) using a certain radio access technology (RAT). Criteria for cell selection and cell re-selection between RATs described in this document only consider radio criteria. In addition to radio access technology, the core networkPLMN type may differ as well. In this specification, the term PLMN is used as a generic term covering both GSM MAP and ANSI-41 type of PLMNs. According to the type of PLMN, the way to identify it can be different. If the PLMN type is GSM, the PLMN is identified by 'PLMN identity'- and if the PLMN type is ANSI-41, the PLMN is identified by 'SID'

The UE looks-searches for a suitable cell of the chosen PLMN and chooses that cell to provide available services, and tunes to its control channel. This choosing is known as "camping on the cell". The UE will then register its presence, by means of a NAS registration procedure, in the registration area of the chosen cell, if necessary.

If the UE finds a more suitable cell of the selected PLMN, it reselects onto that alternative cell of the selected PLMN and camps on that cellit. If the new cell is in a different registration area, location registration is performed.

If necessary, the UE will look for more suitable cells on other PLMNs at regular time intervals, which is referred to as PLMN-reselection. Particularly, in the home country of the UE, the UE will try to get back to its Home PLMN. This is described in [9]

If the UE loses coverage of a the registered PLMN, either a new PLMN is selected automatically (automatic mode), or an indication of which PLMNs are available is given to the user, so that a manual selection can be made (manual mode).

Registration is not performed by UEs only capable of services that need no registration.

The purpose of camping on a cell in idle mode is fourfold:

- a) It enables the UE to receive system information from the PLMN.
- b) When registered and if the UE wishes to initiate a callestablish an RRC connection, it can do this by initially accessing the network on the control channel of the cell on which it is camped.
- c) If the PLMN receives a call for the registered UE, it knows (in most cases) the registration area of the cell in which the UE is camped. It can then send a "paging" message for the UE on control channels of all the cells in the registration area. The UE will then receive the paging message because it is tuned to the control channel of a cell in that registration area and the UE can respond on that control channel.
- d) It enables the UE to receive cell broadcast messagesservices.

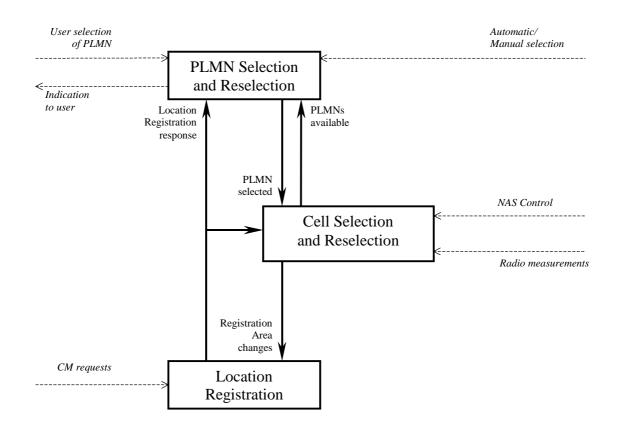
If the UE is unable to find a suitable cell to camp on, or the USIM is not inserted, or if the location registration failed, it attempts to camp on a cell irrespective of the PLMN identity, and enters a "limited service" state in which it can only attempt to make emergency calls.

The idle mode tasks can be subdivided into three processes:

- PLMN selection and reselection;
- Cell selection and reselection:
- Location registration.

The relationship between these processes is illustrated in Figure 1.

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#### Figure 1: Overall Idle Mode process

NOTE: The impact of NAS defined service areas is FFS.

### 4.2 Functional division between AS and NAS in Idle mode

Table 1 presents the functional division between UE non-access stratum (NAS) and UE access stratum (AS) in idle mode. The non-access stratum part is specified in [5] and the access stratum part in the present document. Examples of different idle mode procedures are presented in Clause 10.

Idle Mode Process	UE Non-Access Stratum	UE Access Stratum
PLMN Selection and Reselection	<ul> <li>Maintain the list of allowed PLMN types. It can be GSM-MAP only, ANSI-41 only or both.</li> <li>Maintain a list of PLMNs in priority order. Request AS to select a cell either belonging to the PLMN having the highest priority (in automatic mode) or belonging to the manually selected PLMN. For each PLMN, associated radio access technology(ies) may be set.</li> <li>In automatic mode, if a PLMN with higher priority is found, request AS to select a cell belonging to</li> </ul>	If associated radio access technology(ies)is (are) available for the PLMN, search inthat radio access technology for that PLMNin cell selection.Report available PLMNs with associatedPLMN type and radio access technology toNAS on request from NAS orautonomously.It must respect allowed PLMN typesindications from NAS.
Cell Selection	that PLMN. Control cell selection by for example by indicating associated radio access technology(ies) to be used initially in the search of a cell in the cell selection. NAS is also, maintaining lists of forbidden registration areas and a list of NAS defined service areas in priority order.	Perform measurements needed to support cell selection. Detect and synchronise to a broadcast channel. Receive and handle broadcast information. Forward NAS system information to NAS. Search for a suitable cell <u>in the associated</u> <u>radio access technology(ies)</u> belonging to the PLMN requested by NAS. The cells <u>broadcast their are identified with 'PLMN</u> identity' (GSM-MAP) or 'SID' in the system information. Respond to NAS whether such cell is found or not. If such a cell is found, the cell is selected to camp on.
Cell Reselection	Control cell reselection by for example, maintaining lists of forbidden registration areas and a list of NAS defined service areas in priority order.	Perform measurements needed to support cell reselection. Detect and synchronise to a broadcast channel. Receive and handle broadcast information. Forward NAS system information to NAS. Change cell if a more suitable cell is found. Perform ODMA probing in an ODMA Relay Node.
Location registration	Register the UE as active after power on. Register the UE's presence in a registration area, for instance regularly or when entering a new registration area. Deregister UE when shutting down.	Report registration area information to NAS.

#### Table 1: Functional division between AS and NAS in idle mode

## 4.3 Service type in Idle mode

This chapter defines the level of service that may be provided by the UTRAN network to an UE in Idle mode.

The action of camping on a cell is <u>mandatory necessary</u> to <u>receive get access to</u> some services from the cell. Three levels of services are defined for UEs in idle mode:

- Limited service (emergency calls on an acceptable cell)
- Normal service (for public use on a suitable cell)
- Operator related services (for operators only on a reserved cell)

Furthermore, the cells are categorised according to which services they offer:

#### acceptable cell:

An "acceptable cell" is a cell on which the UE may camp to obtain limited service (originate emergency calls). Such a cell shall fulfil the following requirements, which is the minimum set of requirements to initiate an emergency call in a UTRAN network:

- The cell is not barred, see subclause 5.3.4.1
  - The cell selection criteria are fulfilled, see subclause 5.2.3.1.22.1;

- The cell is not reserved for operator use only.

#### suitable cell:

A "suitable cell" is a cell on which the UE may camp on to obtain normal service. Such a cell shall fulfil all the following requirements.

- The cell is part of the selected PLMN.
- The cell is not barred, see subsclause 5.3.4.1-except for [details are FFS]:

-----emergency calls; and/or

- SoLSA exclusive access.

- The cell is not part of a forbidden registration area [details are FFS].
- The cell selection criteria are fulfilled, see subclause 5.2.3.1.22.1.
- The SoLSA criteria are fulfilled [SoLSA support is not R'99FFS the current release].
- The cell is not an operator only cell, unless the UE has those access rights.

#### barred cell:

An UE shall not camp on this kind of cell for normal services, but may camp on this cell for limited service if no other suitable cell is available.

<u>A Whether or not the cell is barred if it</u>, is indicated in the system information [4].

#### operator only cell:

The aim of this type of cells is to allow the operator using and test newly deployed cells without being disturbed by normal traffic. A UE shall not camp on this cell for any service, except for some classes of UE as indicated on the USIM.

Whether or not the cell is reserved for operator use only, is indicated in the system information.

Table 2 summarises all the different cases above as well as the level of service provided by UTRAN, as seen from the UE in Idle mode.

	Acceptable cell	Suitable cell	Barred cell	Operator-only cell
Limited service	¥	¥	¥	N
Normal service	N	¥	N	N
Operator- related service	N	¥	N	¥

#### able 2: Summary of service levels provided by UTRAN

# 5 Process and procedure descriptions

### 5.1 PLMN selection and reselection

In the UE, the access stratum shall report available PLMNs to the non-access stratum on request from the non-access stratum or autonomously.

UE shall maintain a list of allowed PLMN types. The allowed PLMN type can be GSM-MAP only, ANSI-41 only or both. During PLMN selection and reselection, based on the list of allowed PLMN types and a list of PLMN identities in priority order, the particular PLMN may be selected either automatically or manually. Each PLMN in the list of PLMN identities can be identified by either 'PLMN identity' (GSM-MAP) or 'SID'. In the system information on the broadcast channel, the UE can receive identities of multiple PLMNs of either or both types, i.e. a 'PLMN identity' (GSM-MAP) or a 'SID' or a 'PLMN identity' (GSM-MAP) and a 'SID', in a given cell. The result of the PLMN selection is an identifier of the chosen PLMN, the choice being based on the allowed PLMN types, UE capability or other factors. This identifier is one of either 'PLMN identity' for GSM-MAP type of PLMNs or 'SID' for ANSI-41 type of PLMNs.

In case that the list of allowed PLMN types includes GSM-MAP, the non-access part of the PLMN selection and reselection process is specified in [5]. In the case that list of allowed PLMN types includes ANSI-41, the non-access stratum part of the PLMN selection and reselection is specified in TIA/EIA/IS-2000.5 and TIA/EIA/IS-707.

You can add TIA/EIA/IS-707.

## 5.2 Cell selection and reselection in idle mode

### 5.2.1 GeneralIntroduction

As stated in clause 1, the present document applies to UEs that support at least UTRA-and possibly also other radio access technologies, for instance GSM. The following subclauses specify the details for idle mode cell selection and reselection.

- The general part for all radio access technologies, currently UTRA and GSM, this subclause.

UTRA radio access technologies, see subclause 5.2.2.

-GSM radio access technologies, see subclause 5.2.3.

As an example, consider a UE supporting both UTRA and GSM radio access technologies. It shall follow the specification in this subclause at all times, the specification in 5.2.2 while in UTRA and 5.2.3 while in GSM radio access technology (in addition to the GSM specifications).

Different types of measurements are used in different radio access technologies and modes for the cell selection and reselection. Whenever a direct comparison of these measurements is required, mapping functions will be applied that are defined in [4].\_The use of the mapping functions is defined in subclause 7.1. Measured values are marked with the index 'meas', whereas the index 'map' is used whenever mapping functions have been applied onto a measured value. The performance requirements for the measurements are specified in [10][11].

The UE shall select a suitable cell and the radio access mode based on idle mode measurements and cell selection criteria. The non-access stratum can control the <u>radio access technology(ies) in which the cell selection should be</u> <u>performed</u>, for instance <u>by indicating associated radio access technology(ies)</u>, and by maintaining in terms of a list of forbidden registration area(s) and a list of NAS defined service area(s) in priority order.

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When camped on a cell, the UE shall regularly search for a better cell according to the cell reselection criteria. If a better cell is found, that cell is selected.

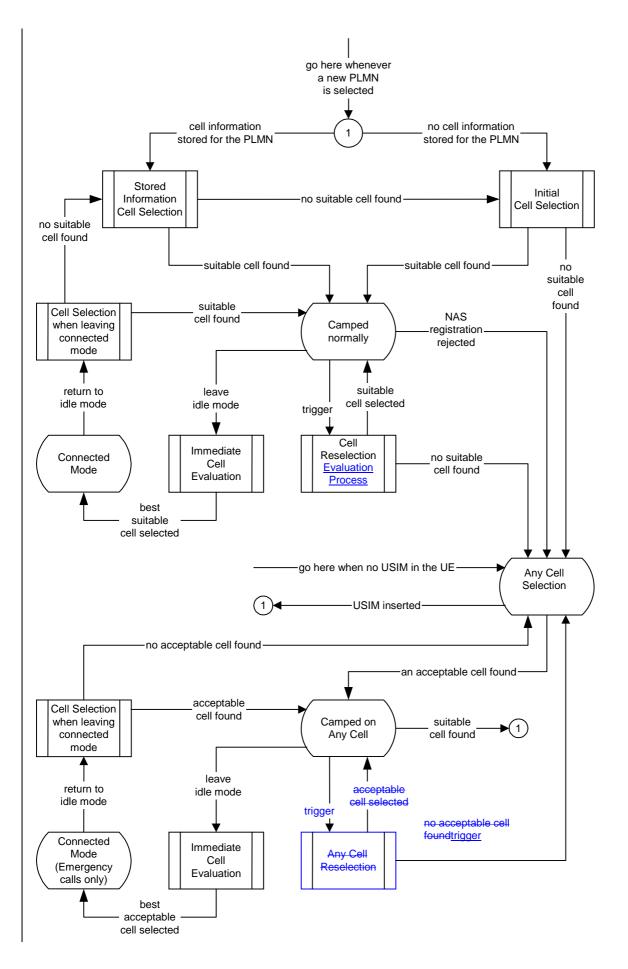
The non-access stratum is informed if the cell selection and reselection results in changes in the received system information.

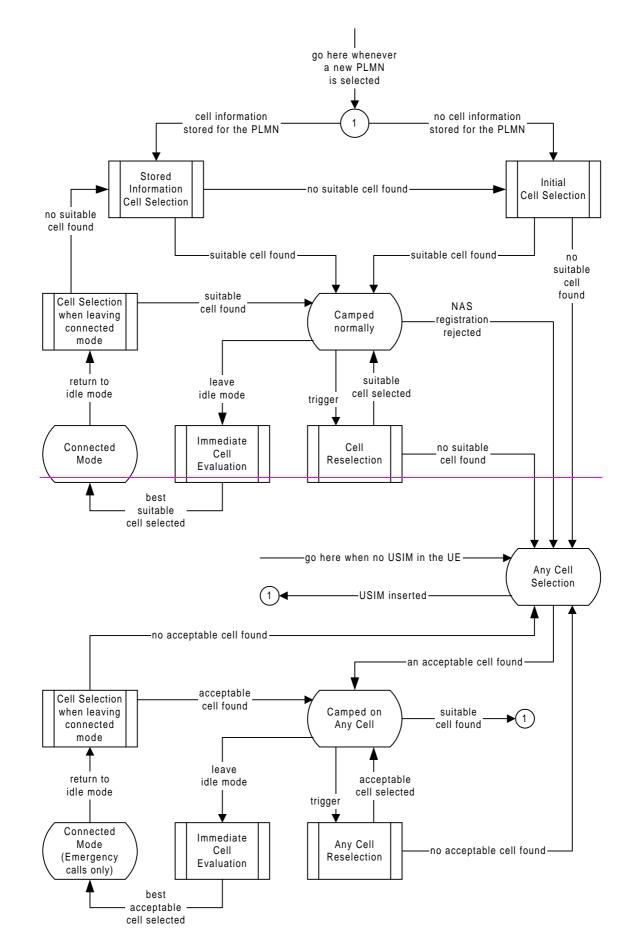
For normal service, the UE has to camp on a suitable cell, tune to that cell's control channel(s) so that the UE can:

- Receive system information from the PLMN;
  - Receive registration area information from the PLMN, e.g., location area and routing area; and
  - Identify the NAS defined service area(s) to which the serving cell belongs;
  - Receive other AS and NAS Information;
- If registered:
  - receive paging and notification messages from the PLMN; and
- -\_\_\_\_-initiate call setup for outgoing calls or other actions from the UE.

### 5.2.2 States and state transitions in Idle Mode

Figure 2 shows the states and procedures in the cell selection and reselection processIdle Mode.







Whenever a PLMN has been selected, the UE shall attempt to find a suitable cell to camp on using one of the two procedures, *Initial cell selection* or *Stored information cell selection*. The *Initial cell selection* procedure requires no knowledge about the selected PLMN, but the *Stored information cell selection* procedure requires information to have been stored about the selected PLMN during a previous selection of that PLMN\_previously stored. This stored information makes the search for a suitable cell faster. The information may contain information about from-several radio access technologies. The non-access stratum may control the cell selection by:

for example, providing information on radio access technology(ies);

associated with the selected PLMN;-

-maintaining lists of forbidden registration areas:

-and-a list of NAS defined service areas in priority order.

One or several radio access technologies may be associated with the selected PLMN. In [5], it is specified which radio access technology a UE shall select to search for a suitable cell of the selected PLMN.

In the *Initial cell selection* procedure, the UE shall select one radio access technology and search for a suitable cell. If no suitable cell is found, the UE shall select another radio access technology and search for a suitable cell, and so on. In the *Stored information cell selection* procedure, the UE may use stored information about the selected PLMN. The information may contain information from several radio access technologies.

When a suitable cell has been found, the UE shall perform necessary NAS registration procedures. When the UE has registered successfully-(assuming a service that requires registration), the UE shall camp on the cell, state *Camped normally*. In this state, the UE shall monitor paging information, monitor system information and perform radio measurements. The measurements shall be used in evaluation of the cell selection, immediate cell evaluation (UTRA only) and reselection criteria. The network controls what the UE shall measure by sending measurement control information in the system information. The measurement control information may contain intra-frequency, interfrequency and inter-radio-access- technology measurements.

When a cell reselection is triggered, tThe UE shall evaluate the cell reselection criteria based on radio measurements, and if a better cell is found that cell is selected, procedure *Cell reselection*. The change of cell may imply a change of radio access technology.

When UE leaves idle mode, state *Camped normally*, in order to enter connected mode, state *Connected mode*, the UE shall use the *Immediate cell evaluation* procedure (UTRA only) in order to select the best cell on the current frequency for the access attempt. This procedure allows the UE to reduce power consumption spent on radio measurements, still enabling the UE to select the best cell for access, thus minimising the interference in the system. If no suitable cell is found, the UE shall use the *Cell reselection* procedure. When returning to idle mode, the UE shall use the procedure *Cell selection when leaving connected mode* in order to find a suitable cell to camp on, state *Camped normally*. If no suitable cell is found, the *Stored information cell selection* procedure shall be used.

If no suitable cell is found, the UE shall attempt to find an acceptable cell of any PLMN, state *Any cell searchelection*. This state is also entered if a non-access stratum registration procedure is rejected, see [5], or if there is no USIM in the UE. If an acceptable cell is found, the UE shall camp on this cell and obtain limited service, state *Camped on any cell*. In this state, the UE shall behave as specified for state *Camped normally*, but typically with a different PLMN. Additionally, the UE shall regularly attempt to find a suitable cell using stored information, trying all radio access technologies that are supported by the UE. If a suitable cell is found, the PLMN is reselected which causes an exit to number 1.

When a cell reselection is triggered, the UE shall evaluate the cell reselection criteria based on radio measurements, and if a better cell is found that cell is selected, procedure *Any cell reselectionsearch*. The change of cell may imply a change of radio access technology.

When UE leaves idle mode, state *Camped on any cell*, in order to make an emergency call in connected mode, state *Connected mode (emergency calls only)*, the UE shall use the *Immediate cell evaluation* procedure (UTRA only) in order to select the best cell on the current frequency for the access attempt. If no suitable cell is found, the UE shall use the *Any cell reselection* procedure. When returning to idle mode, the UE shall use the procedure *Cell selection when leaving connected mode* in order to find a suitable cell to camp on, state *Camped on any cell*.

If no acceptable cell is found, the UE shall continue to search for an acceptable cell of any PLMN in state *Any cell selection* trying all radio access technologies that are supported by the UE.

NOTE: The 'PLMN selection and reselection' process may select a new PLMN at any time in idle mode, which in Figure 2 causes an exit to number 1.

5.2.32 UTRA Radio access technologyCell Selection Process

### 5.2.2.1 Cell Selection Procedures 5.2.32.1 UTRA

#### 5.2.32.1.1 Description

Whenever a PLMN is selected [5], the UE shall attempt to find a suitable cell of that PLMN to camp on according to the following steps.

- Create a candidate list of potential cells to camp on <u>by using one of the</u>. <u>T</u>two searching procedures: are possible.
  - a) Initial Cell Selection

This procedure requires no prior knowledge of which RF channels are UTRA carriers. The UE shall scan all RF channels in the UTRA band to find a suitable cell. On each carrier, the UE searches first for the strongest cell and reads its system information, in order to find out which PLMNs the cell belongs to are available. If the selected PLMN is found, the search of the rest of carriers may be stopped. <u>OnceAfter</u> the UE has found <u>aone</u> suitable cell for the selected PLMN, the UE shall create a candidate list consisting of this cell and its neighbouring cells, as received in measurement control information via the selected cell.

b) Stored Information Cell Selection

This procedure requires stored information of carrier frequencies and optionally also information on cell parameters, e.g. scrambling codes, from previously received measurement control information elements. After the UE has found one suitable cell for a selected PLMN the UE shall create the candidate list consisting of this cell and its neighbouring cells, <u>using information as from the selected cells</u> received in-measurement control information via the selected cell.

#### NOTE: Setting the priorities of PLMN search and selection are FFS.

2) For each cell on the candidate list, measure the quality value, Q<sub>meas,LEV</sub> (see 5.2.2.1.2).

23) For each cell on the candidate list calculate the cell selection value, Squal and Srxlev and Squal (Squal is used for FDD cells only), defined in subclause 5.2.32.1.2. Cells which do not fulfil the criteria S are removed from the candidate list.

<u>34</u>) Rank-Evaluate the cells and select the best cell-as follows;

- For FDD cells, select CPICH Rx-Ec/N0 or RSCP used for evaluation of Q<sub>meas-LEV</sub> in the formula <u>defined</u> in section 5.2.<u>34</u>2.12.2 by the based on Cell\_selection\_and\_reselection\_quality measure in system information.
- If mapping information is provided in system information, <u>the specified</u> mapping function is used in the UE <u>following and uses</u> the formula in <u>clausesection</u> 5.2.<u>34</u>2.<u>1</u>2.2 for cell ranking.<u>is applied</u> rank.<u>Select the best</u> <u>cell.</u>
- **45**) Select the cell that fulfils the  $Q_{map,n} > Q_{map,s} + Qoffset_{s,n}$  criteriona in 5.2.42.12.2 best. If no cell fulfil the criteria, the UE should select the initial suitable cell. Check if the selected cell fulfils all requirements for a suitable cell. If so, choose this cell to camp on. If this cell does not fulfil all requirements for a suitable cell, this cell and all cells on the same frequency shall be removed as candidates for cell selection in case the barred cell does not accept intra-frequency cell selection and re-selection. On the other hand, in case the barred selected cell accepts intra-frequency cell selection and re-selection, only the barred cell shall be removed as candidate for cell selection (see also subclause 5.2.34), and step 34 shall be repeated for the remaining cells.

Note: Q<sub>map,s</sub> and Qoffset<sub>s,n</sub> in this case apply to the cell from which system information was read

5) move to state camped normally

If different radio access modes are involved in the procedure, specific mapping functions shall be applied. For each radio access mode, such a mapping function is defined and its parameters are broadcast in system information. The mapping function maps a certain range of measurement values,  $Q_{meas\_LEV}$  to a representive quality value  $Q_{map}$  that ean have values ranges between 0 and 99 with a (granularity of step size 1). These quality values  $Q_{map}$  can then be compared with each other and the cell with the highest  $Q_{map}$  value is chosen (among those cells fulfilling 5.2.32.1.2).

If no suitable cell of selected PLMN is found and the stored information cell selection procedure was used in step 1, the Initial cell selection procedure shall be started and the steps are repeated. If the UE is unable to find any suitable cell of selected PLMN using the Initial cell selection procedure, it shall attempt to camp on highest ranked acceptable cell and enter the Camped on any cell state, where it can only obtain limited service.

NOTE: In PLMN selection, automatic mode, this would normally result in a new PLMN selection [5].

#### 5.2.<u>3</u>2.1.2 Criteria

The cell selection criteria S are defined as follows.

 $Squal = Q_{qualmeas} - Qqualmin$ 

 $Srxlev = Q_{rxlevmeas}$  - Qrxlevmin - Pcompensation

Squal	Cell Selection quality value, (dB)	
Oqual	Not applicable for TDD cells or GSM cells.	
Oradaya		
Srxlev	Cell Selection RX level value (dB)	
Cell_selection_and_reselecti	i Choice of measurement (CPICH Rx-Ec/N0 or CPICH RSCP) to use as quality measure	
on_quality_measure (FDD	Q <sub>meas LEV</sub> (read in system information)	
only)		
Q <sub>qualmeas</sub>	Measured cell quality value. The quality of the received signal expressed in CPICH $E_c/N_0$	
	(dB) for FDD cells. Not applicable for TDD cells or GSM cells.	
Qrxlevmeas	Measured cell RX level value. This is received signal, CPICH RSCP, (dB) for FDD cells	
	(dBm), P-CCPCH RSCP for TDD cells (dBm) and RXLEV for GSM cells (dBm).	
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICH $E_{c}/N_{0}$ or	
	CPICHRSCP_LEV for FDD cells, and P-CCPCHRSCP_LEV for TDD cells and	
	RXLEV for GSM cells (dBm).	
Qqualmin	Minimum required quality level in the cell (dB). Not applicable for TDD cells or GSM cells.	
Qrxlevmin	Minimum required RX level in the cell. (dBm)	
Pcompensation	max(UE_TXPWR_MAX_RACH – P_MAX, 0) (dB)	
UE_TXPWR_MAX_RACH	Maximum TX power level an UE may use when accessing the cell on RACH (read in	
	system information), (dBm)	
P_MAX	Maximum RF output power of the UE, (dBm)	

The cell selection criterion S-is fulfilled when: if:

Squal > 0

Srxlev > 0

Squal has to be evaluated for FDD cells only.

## <u>5.2.3.2 GSM</u>

The cell selection procedures in GSM are specified in [1].

## 5.2.2.2 Immediate Cell Evaluation Procedure 5.2.4 Immediate Cell Evaluation process

NOTE: Conditions on the use of the immediate cell evaluation procedure are FFS. Specifically, the time needed to perform the procedure is to be considered.

### 5.2.4.1 UTRA

### 5.2.<u>42</u>.12.1 Description

The Immediate Cell Evaluation procedure is used by the UE to perform a quick evaluation of the quality of the intrafrequency cells. Based on this information, the UE shall select the best cell among the cells on the same frequency, according to the criteria defined in the next subclause.

The immediate cell evaluation shall be triggered prior to RACH transmission.

The following steps shall be carried out when an immediate cell evaluation has been triggered.

- 1) The candidate list of potential cells to camp on consists of the cells in the current registration area listed for intrafrequency measurements in system information of the serving cell.
- 2) For each cell on the candidate list, measure the quality value, Q<sub>meas,LEV</sub>.
- <u>2</u>3) For each cell on the candidate list calculate the cell selection values, <u>Squal and Srxlev and Squal (for FDD cells only)</u>, defined in subclause 5.2.<u>6.1.42.1.2</u>. <u>Cells</u>, which do not fulfil criteria S, are removed from the candidate list....

<u>34</u>)Rank-Evaluate the cells and select the best cell-as follows;

- For FDD cells, select CPICH Rx-Ec/N0 or RSCP used for evaluation of Q<sub>meas\_LEV</sub> in the formula\_defined in section 5.2.6.1.42.2.2 based on Cell\_selection\_and\_reselection\_quality\_ measure in system information.
- If mapping information is provided in system information, <u>the specified</u> mapping function is used in the UE and <u>uses</u> the formula in section 5.2.<u>6.1.42.2.2</u> for cell ranking <u>rankis applied</u>. <u>Select the best cell</u>.
- If no-mapping information is <u>not</u> provided in system information, UE shall use <u>default mapping function</u> Qmap = Qmeas\_LEV in the formula in section 5.2.<u>6.1.4</u>2.2.2-(=implicit mapping) and use it for cell ranking. Select the best cell.
- <u>45</u>)Select the neighbouring cell that fulfils the  $Q_{map,n} > Q_{map,s} + Qoffset_{s,n}$  criteria in 5.2.<u>6.1.42.2.2</u> best. If the best cell does not fulfil all other requirements for a suitable cell, UE shall trigger cell re-selection. <u>Otherwise select the serving cell if  $Q_{map,s} > Q_{map,n} - Qoffset_{s,n}$  (see also subclause 5.2.4).</u>
- NOTE: Whether the calculation of the  $Q_{map}$  value should require the immediate decoding (e.g. in case the UL load value is used for the calculation) of a set of neighbouring cell BCHs is FFS.

## 5.2.<u>4</u>2.12.2 Criteria

The UE shall select a new cell if the following criteria are fulfilled.

$$\begin{split} Squal_n > 0 \\ Srxlev_n > 0 \\ Q_{map,n} > Q_{map,s} + Qoffset_{s,n} \end{split}$$

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### $\underline{Squal}_{n}$ has to be evaluated for FDD cells only.

Squal <sub>n</sub>	Cell Selection quality value of the neighbouring cell, (dB) Not applicable for TDD cells or GSM cells.	
Srxlevn	Cell Selection RX level value of the neighbouring cell, (dB)	
Cell_selection_and_reselecti on_quality_measure (FDD only)	ti Choice of measurement (CPICH $\frac{Rx}{Rx}$ Ec/N0 or CPICH RSCP) that is used to derive $Q_{map,n}$ and $Q_{map,s}$ , (read in system information).	
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICHE <sub>c</sub> /N <sub>0</sub> or	
	CPICHRSCP_LEV for FDD cells and P-CCPCHRSCP_LEV for TDD cells.	
Q <sub>map,n</sub>	Quality of the neighbouring cell, after mapping function is applied, derived from CPICH Rx-E <sub>C</sub> /N <sub>0</sub> or CPICH RSCP for FDD cells and from P-CCPCH RSCP for TDD cells-and	
	<u>from RXLEV for GSM cells</u> . For FDD cells, the measurement that is used to derive the quality value is set by the Cell_selection_and_reselection_quality_measure information element.	
Q <sub>map,s</sub>	Quality of the serving cell, after mapping function is applied. For FDD cells, the	
	measurement that is used to derive the quality value is set by the	
	Cell_selection_and_reselection_quality_measure information element.	
Qoffset <sub>s,n</sub>	Offset between the two cells considered in the evaluation (read in system information).	

The quality values  $Q_{map,n}$  and  $Q_{map,s}$  are determined by mapping functions. The parameters for these mapping functions are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value.  $Q_{map,n}$  and  $Q_{map,s}$  can have values between 0 and 99 (step size 1).

If more than one neighbouring cell fulfils the criteria, the UE shall choose the cell where the difference between  $Q_{map,n}$  and  $(Q_{map,s} + Qoffset)$  is highest. If no neighbouring cell fulfils the criteria, the UE shall keep the serving cell.

## 5.2.4.2 GSM

Immediate Cell Evaluation procedure is not applicable for GSM.

# 5.2.52.3 Camped Normally State

## <u>5.2.5.1 UTRA</u>

When camped normally, the UE shall perform the following tasks:

- <u>select and monitor the indicated selected</u>-PICH and PCH of the cell as specified in clause 8 according to information sent in system information;
- -\_\_\_\_\_-monitor relevant System Information; This is specified in [4]25.331.
- perform necessary measurements for the cell reselection evaluation procedure
- <u>prior to RACH transmission, the UE shall perform an immediate cell evaluation, according to 5.2.2.2. Execute</u> the cell reselection evaluation process on the following occasions/triggers:

1) UE internal triggers, so as to meet performance as specified in [10]25.133 and [11]25.123;

2) When information on the BCCH used for the cell reselection evaluation procedure has been modified

### <u>5.2.5.2 GSM</u>

The Camped Normally State is specified in [1].

## 5.2.2.4 Cell Reselection Procedure 5.2.62.4 Cell Reselection Evaluation Process

5.2.2.4.1 Triggers for cell re-selection

The cell reselection procedure shall be triggered in the following cases:

1) Time for cell re selection evaluation;

2) Cell selection criterion S in 5.2.2.1.2 is not fulfilled;

3) cell has become barred or forbidden.

In cases 2) and 3), the parameters Qhyst, providing hysteresis in the quality measure, and Treselection shall not be considered in the criteria.

## 5.2.6.1 UTRA

The cell reselection process is described by the following sub-clauses:

#### 5.2.<u>62.14.12</u> Measurements for cell re-selection when HCS is not used

When serving cell does not belong to a hierarchical cell structure, UE shall follow these rules for intra- and inter-frequency measurements and inter-RAT measurements:

The UE shall use Squal for FDD cells and Srxlev for TDD and GSM cells as Sx in the following rules.

- If Sx > S<sub>intrasearch</sub>, UE need not perform intra-frequency measurements. If Sx <= S<sub>intrasearch</sub>, UE shall perform intra-frequency measurements. If S<sub>intrasearch</sub>, is not sent for serving cell, UE shall perform intra-frequency measurements.
- If Sx > S<sub>intersearch</sub>, UE need not perform inter-frequency measurements If Sx <= S<sub>intersearch</sub>, UE shall perform inter-frequency measurements. If S<sub>intersearch</sub>, is not sent for serving cell, UE shall perform intra-frequency measurements.
- If Sx > Ssearch<sub>RAT n</sub>, UE need not perform measurements on cells of RAT n If Sx <= Ssearch<sub>RAT n</sub>, UE shall perform measurements on cells of RAT n. If Ssearch<sub>RAT m</sub>, is not sent for serving cell, UE shall perform measurements on cells of RAT m.

### 5.2.<u>62.14.2</u><sup>3</sup> Measurements for cell re-selection when HCS is used

When serving cell belongs to a hierarchical cell structure, the UE shall follow these rules for intra- and inter-frequency measurements:

1. Intra- and inter-frequency threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD and GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub>  $\leq$  Ssearch<sub>HCS</sub>) or (S<sub>x</sub>  $\leq$  S<sub>intersearch</sub> (FDDonly)) THEN

<UE shall measure on all intra- and inter-frequency cells>

#### ELSE

IF  $(S_x > S_{intrasearch})$  THEN

<UE shall measure on all intra- and inter-frequency cells, which have higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

#### ELSE

<UE shall measure on all intra- and inter-frequency cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

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ENDIF

ENDIF

2. Intra- and inter-frequency measurement rules for fast-moving UEs

If the number of cell reselections during time period  $T_{CRmax}$  exceeds  $N_{CR}$ , high-mobility has been detected. In this high-mobility state, UE shall measure intra- and inter-frequency neighbouring cells, which have equal or lower HCS priority than serving cell. Furthermore, UE shall prioritise re-selection of intra- and inter-frequency neighbouring cells on lower HCS priority level before neighbouring cells on same HCS priority level.

When the number of cell reselections during time period  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold based measurement rules.

When serving cell belongs to a hierarchical cell structure,, the UE shall follow these rules for Inter-RAT measurements:

1. Inter-RAT threshold-based measurement rules

The UE shall use Squal for FDD cells and Srxlev for TDD and GSM cells as Sx in the following rules.

IF (Srxlev<sub>s</sub>  $\leq$  S<sub>HCS,RATm</sub>) or (S<sub>xqual</sub>  $\leq$  S<sub>SearchRATm</sub> (FDDonly)) THEN

<UE shall measure on all inter-RATm cells>

#### ELSE

IF  $(S_x > S_{limit,SearchRATm})$  THEN

< UE need not measure inter-RATm neighbouring cells >

#### ELSE

<UE shall measure on all inter-RATm cells, which have equal or higher HCS priority level than the serving cell unless measurement rules for fast-moving UEs are triggered >

#### ENDIF

#### **ENDIF**

- 2. Inter-RAT measurement rules for fast-moving UEs
  - If the number of cell reselections during time period T<sub>CRmax</sub> exceeds N<sub>CR</sub>, high-mobility has been detected. In this high-mobility state, UE shall measure RATm neighbouring cells, which have an equal or lower HCS priority than the serving cell. Furthermore, UE shall prioritise re-selection of RATm neighbouring cells on lower HCS priority level before RATm neighbouring cells on same HCS priority level.

When the number of cell reselections during time interval  $T_{CRmax}$  no longer exceeds  $N_{CR}$ , UE shall continue these measurements during time period  $T_{CrmaxHyst}$ . Then, UE shall revert to measure according to the threshold-based measurement rules.

### 5.2.62.14.34 Non-suitable cells (Squal > 0 or Srxlev > 0)

If the best cell according to cell reselection criteria specified in subclause 5.2.6.1.42.4.5, does not fulfil all requirements for a suitable cell, that cell, together with all cells on that frequency shall be removed as candidate for cell re-selection (see also subclause 5.2.6-5.2.4).

#### 5.2.<u>62.14.45</u> Cell Reselection Criteria

The following cell re-selection criteria are used for intra-frequency cells, inter-frequency cells and inter-RAT cells:

The quality level threshold criterion H for hierarchical cell structures is used to determine whether prioritised ranking according to hierarchical cell re-selection rules shall apply, and is defined by:

> $H_s = Q_{meas LEV,s} - Qhcs_s$  $H_n = Q_{meas\_LEV,n} - Qhcs_n - TO_n * L_n$

$$H_{s} = Q_{map,s} - Qhcs_{s}$$
$$H_{n} = Q_{map,n} - Qhcs_{n} - TO_{n} * L_{n}$$

The cell-ranking criterion R is defined by:

$$R_s = Q_{map,s} + Qhyst_s$$
  
 $R_n = Q_{map,n} - Qoffset_{s,n} - TO_n * (1 - L_n)$ 

where:

 $TO_n = TEMP\_OFFSET_n * W(PENALTY\_TIME_n - T_n)$  $L_n = 0$ if  $HCS_PRIO_n = HCS_PRIO_s$  $L_n = 1$ if HCS\_PRIO<sub>n</sub> <> HCS\_PRIO<sub>s</sub> W(x) = 0for x < 0W(x) = 1for  $x \ge 0$ 

T<sub>n</sub> is a timer implemented for each neighbouring cell. T<sub>n</sub> shall be started from zero when <u>one of the</u> following conditions becomes true:

	$\underline{Q_{\text{meas}}}_{\text{LEV}} = \underline{P}_{\text{map},n} > Qhcs_n$	if HCS_PRIO <sub>n</sub> $>$ HCS_PRIO <sub>s</sub>
or		
-	For TDD cells, GSM cells and FDD cells if the cell selection and requality value to be CPICH RSCP:	eselection-quality measure IE sets the
	$Q_{map,n} > Q_{map,s} + Qoffset_{\underline{1}_{s,n}}$	if $HCS_PRIO_n = HCS_PRIO_s$
_	<u>10</u>	
-	For FDD cells if the cell_selection_and_reselection-quality_measure Ec/No:	IE sets the quality value to be CPICH
	$Q_{\text{meas}\_\text{LEV},n} > Q_{\text{meas}\_\text{LEV}_{ss}} + Qoffset2_{s,n}$	if HCS_PRIO <sub>n</sub> = HCS_PRIO <sub>s</sub>

T<sub>n</sub> shall be stopped as soon as these conditions are no longer fulfilled.

At cell-reselection, a timer  $T_n$  is stopped only if the corresponding cell is not a neighbour cell of the new serving cell, or if the criterion given above for starting timer  $T_n$  for the corresponding cell is no longer fulfilled with the parameters of the new serving cell.

 $TEMP\_OFFSET_n \ applies \ an \ offset \ to \ H \ and \ R \ criteria \ for \ the \ duration \ of \ PENALTY\_TIME_n \ after \ the \ timer \ T_n \ has \ started \ for \ that \ cell.$ 

Sn	Cell Selection value of the neighbouring cell, (dB)	
Cell_selection_and_reselecti	Choice of measurement (CPICH Rx-Ec/N0 or CPICH RSCP) that is used to derive quality	
on_quality_measure (FDD	measures Q <sub>map,n</sub> and Q <sub>map,s</sub> , (read in system information).	
only)		
Q <sub>map,n</sub>	Quality of the neighbouring cell, after mapping function is applied, derived from CPICH	
	$R_{X-E_{c}}/N_{0}$ or CPICH RSCP for FDD cells, from P-CCPCH for TDD cells and from RXLEV	
	for GSM cells. For FDD cells, the measurement that is used to derive the quality value is	
	set by the Cell_selection_and_reselection_quality_measure information element.	
Q <sub>map,s</sub>	Quality of the serving cell, after mapping function is applied, derived from CPICH-Rx	
	E <sub>c</sub> /N <sub>0</sub> or CPICH RSCP for FDD cells <del>,</del> and from P-CCPCH for TDD cells and from RXLEV	
	for GSM cells. For FDD cells, the measurement that is used to derive the quality value is	
	set by the Cell_selection_and_reselection_quality_measure information element.	
Q <sub>meas_LEV</sub>	Quality value. The quality value of the received signal expressed in CPICH_Ec/No or	
	CPICH_RSCP_LEV for FDD cells as set by the	
	Cell selection and reselection quality measure information element, P-	
	CCPCH_RSCP_LEV for TDD cells and RXLEV for GSM cells.	
Qoffset <u>1</u> <sub>s,n</sub>	Offset. <u>value 1</u> between the two cells considered in the evaluation (read in system	
	information).	
<u>Qoffset2<sub>s,n,</sub></u>	Offset value 2 between the two cells considered in the evaluation (read in system	
	information).	
Qhyst <u>1</u> ₅	Hysteresis value of the serving cell.	
<u>Qhyst2</u> s	Hysteresis value of the serving cell.	
HCS_PRIO <sub>s</sub> , HCS_PRIO <sub>n</sub>	HCS priority level (0-7) for serving cell and neighbouring cells	
PENALTY_TIMEn	Duration for applying TEMP_OFFSET <sub>n</sub> to H and R criteria (s)	
Qhcs <sub>s</sub> , Qhcs <sub>n</sub>	Quality threshold level for applying prioritised hierarchical cell re-selection	
TEMP_OFFSET <u>1</u> n	Offset to H and R criteria for the duration of PENALTY_TIME <sub>n</sub>	
TEMP_OFFSET2n	Offset to H and R criteria for the duration of PENALTY_TIMEn	
T <u>Cr</u> max <del>CR</del>	Duration for evaluating allowed amount of cell reselections (s).	
N <sub>CR</sub>	Maximum number of cell reselections	
T <sub>CrmaxHyst</sub>	Additional time period before UE reverts to low-mobility measurements (s)	
Treselections	Time-to-trigger for cell reselection, (s)	

The quality values  $Q_{map,n}$  and  $Q_{map,s}$  are determined by mapping functions. The parameters for these mapping functions are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value.  $Q_{map,n}$  and  $Q_{map,s}$  can have values between 0 and 99 (step size 1).

The UE shall perform <u>ranking of all cells that fulfil the S criterion (see subclause 5.2.6.1.4)</u> a cell re-selection if a nonserving cell is evaluated to be better than the serving cell. The best cell is the cell with the highest R value and (Squal > 0, Srxlev > 0) among

- <u>allthose</u> cells that have the highest HCS\_PRIO among those cells that fulfil the criterion  $H \ge 0$ . Note that this rule is not valid when UE high-mobility is detected (see subclause 5.2.<u>6.1.4</u>2.4.3).
- all cells, not considering HCS priority levels, if no cell fulfil the criterion  $H \ge 0$ . This case is also valid when HCS is not applied, that is when serving cell does not belong to a hierarchical cell structure.

The cells shall be ranked according to the R criteria specified above, using CPICH RSCP, P-CCPCH RSCP and RXLEV for deriving  $Q_{map,n}$  and  $Q_{map,s}$  and calculating the R values of the FDD, TDD and GSM cells, respectively. The offset  $Q_{offset1_{s,n}}$  is used to calculate  $R_n$ , the hysteresis  $Q_{hyst1_s}$  is used to calculate  $R_s$  and TEMP\_OFFSET1\_n is used to calculate  $TO_n$ . The best ranked cell is the cell with the highest R value.

If a TDD or GSM cell is ranked as the best cell, then the UE shall perform cell re-selection to that TDD or GSM cell.

If a FDD cell is ranked as the best cell and IE cell selection and reselection-quality measure is set to CPICH RSCP, the UE shall perform cell re-selection to that FDD cell.

If a FDD cell is ranked as the best cell and IE cell\_selection\_and\_reselection-quality\_measure is set to CPICH Ec/No, the UE shall perform a second ranking of the FDD cells according to the R criteria specified above, but using the measurement quantity CPICH Ec/No as given in cell\_selection\_and\_reselection-quality\_measure for deriving the  $Q_{map,n}$ and  $Q_{map,s}$  and calculating the R values of the FDD cells. In this case, default mapping function  $Q_{map} = Q_{meas\_LEV}$  is used and the offset Qoffset2<sub>s,n</sub> is used to calculate  $R_n$ , the hysteresis Qhyst2<sub>s</sub> is used to calculate  $R_s$  and TEMP\_OFFSET2<sub>n</sub> is used to calculate TO<sub>n</sub>. Then the UE shall perform cell re-selection to the best ranked FDD cell.

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The UE shall reselect the new cell, if the cell reselection criteria are fulfilled during a time interval Treselection.

When serving cell is an FDD cell, and CPICH  $E_e/N_{\theta}$  is used as cell\_selection\_and\_reselection\_quality\_measure for FDD cells, the UE shall perform the ranking and re-selection according to the procedure below and in the following order (FFS):

- UE shall rank the FDD cells according to the R criteria above using the CPICH E<sub>e</sub>/ N<sub>0</sub> as measurement quantity. If a non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall perform a cell reselection to that cell.
- 2. If no non-serving FDD cell is evaluated to be better than the serving FDD cell, the UE shall rank TDD cells and/or Inter RAT GSM cells according to the R criteria above. The UE shall re-select to a TDD or Inter RAT GSM cell if the R<sub>n</sub>-value for the highest ranked TDD or Inter-RAT GSM cell exceeds the measured CPICH RSCP of the serving FDD cell and all FDD cells in the candidate list.

#### 5.2.<u>62.14.56</u> Cell reselection parameters in system information broadcasts

The selection of values for network controlled parameters can be optimised by means of different methods. Examples of methods are described in [6]. Cell reselection parameters are broadcast in system information as follows:

#### $Qoffset_{s,n}$

The offset between the two cells is read in system information of the serving cell. It is used for TDD and GSM cells and for FDD cells in case IE cell selection and re-selection quality measure is set to CPICH RSCP.

#### Qoffset2<sub>s,n</sub>

The offset between the two cells is read in system information of the serving cell. It is used for FDD cells in case IE cell selection and re-selection quality measure is set to CPICH Ec/No.

#### Qhyst1<sub>s</sub>

The hysteresis value (Qhyst) is read in system information of the serving cell. <u>It is used for TDD and GSM cells and for</u> <u>FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH RSCP.</u>

#### <u>Qhyst2</u><sub>s</sub>

The hysteresis value (Qhyst) is read in system information of the serving cell. It is used for FDD cells in case IE cell selection and re-selection quality measure is set to CPICH Ec/No.

#### HCS\_PRIO<sub>s</sub>, HCS\_PRIO<sub>n</sub>

HCS priority level (0-7) for serving cell and neighbouring cells are read in system information of serving cell.

#### Qhcs<sub>s</sub>, Qhcs<sub>n</sub>

Quality threshold levels for applying prioritised hierarchical cell re-selection are read in system information of serving cell.

#### Qqualmin

Minimum required quality level in the cell, (dB). Not applicable for TDD cells or GSM cells.

#### Qrxlevmin

Minimum required RX level in the cell. (dBm)

#### PENALTY\_TIME<sub>n</sub>

Time duration for which the TEMPORARY\_OFFSET<sub>n</sub> is applied for a neighbouring cell is read in system information of serving cell.

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### TEMPORARY\_OFFSET1\_n

Applies an offset to the H and R criteria for a neighbouring cell for the duration of PENALTY\_TIME<sub>n</sub>. The parameter is read in system information of serving cell. It is used for TDD and GSM cells and for FDD cells in case IE cell selection and re-selection quality measure is set to CPICH RSCP.

### TEMPORARY\_OFFSET2<sub>n</sub>

<u>Applies an offset to the H and R criteria for a neighbouring cell for the duration of PENALTY\_TIME<sub>n</sub>. The parameter is read in system information of serving cell. It is used for FDD cells in case IE cell\_selection\_and\_re-selection\_quality\_measure is set to CPICH Ec/No.</u>

#### T<sub>CRmax</sub>

Duration for evaluating allowed amount of cell reselection(s) is read in system information of serving cell.

#### Ncr

Maximum number of cell reselections is read in system information of serving cell.

#### T<sub>CRmaxHyst</sub>

Additional time period before UE reverts to low-mobility measurements is read in system information of serving cell.

#### **Treselection**<sub>s</sub>

The cell reselection timer value is read in system information of the serving cell.

#### $Ssearch_{HCS} \\$

Below this limit in the serving cell, the UE shall initiate measurements of all neighbouring cells of the serving cell. The value is read in system information of the serving cell.

#### $Ssearch_{RAT\,1} \text{ - } Ssearch_{RAT\,k}$

This RAT specific threshold in the serving cell is used in the inter-RAT measurement rules. The values are read in system information of the serving cell.

#### S<sub>HCS,RATm</sub>

This RAT specific threshold in the serving cell is used in the inter-RAT measurement rules. The values are read in system information of the serving cell.

#### $\mathbf{S}_{intrasearch}$

Threshold for intra frequency measurements (dB for FDD, dBm for TDD) and for the HCS measurement rules.

#### Sintersearch

Threshold for intra frequency measurements (dB for FDD, dBm for TDD)and for the HCS measurement rules.

#### Slimit,SearchRATm

Above this RAT specific threshold in the serving UTRA cell, the UE need not perform any inter-RATm measurements (dB for FDD, dBm for TDD)

#### **Mapping Info**

Mapping Info contains all the information that is necessary to define the mapping function that is used for mapping a certain range of measurement values to a representing quality value (0..99, step size 1).

## 5.2.6.2 GSM

The cell reselection procedure in GSM, including reselection from GSM to UTRA, is specified in [1].

# 5.2.2.75 Cell Selection when leaving connected mode

## <u>5.2.7.1 UTRA</u>

When returning to idle mode from connected mode, the UE shall select a suitable cell to camp on. Candidate cells for this selection are the cell(s) used immediately before leaving connected mode. If no suitable cell is found, the UE shall use the Stored information cell selection procedure in order to find a suitable cell to camp on.

When returning to idle mode after an emergency call on any PLMN, the UE shall select an acceptable cell to camp on. Candidate cells for this selection are the cell(s) used immediately before leaving connected mode. If no acceptable cell is found, the UE shall continue to search for an acceptable cell of any PLMN in the state Any cell selection.

## 5.2.7.2 GSM

Cell selection when leaving connected mode in GSM is specified in [1].

# 5.2.2.86 Any Cell Selection state

## 5.2.8.1 UTRA

In this state, the UE shall attempt to find an acceptable cell to camp on, trying all radio access technologies that are supported by the UE.

If no acceptable cell is found, the UE shall continue to search for an acceptable cell in this state.

## 5.2.8.2 GSM

The any cell selection state in GSM is specified in [1].

## 5.2.2.97 Camped on Any Cell State

## 5.2.9.1 UTRA

If an acceptable cell is found, the UE shall camp on this cell and obtain limited service, state Camped on any cell.

-In this state, the UE shall behave as specified for state *Camped normally*, but typically with a different PLMN. Additionally, the UE shall regularly attempt to find a suitable cell trying all radio access technologies that are supported by the UE. If a suitable cell is found, this causes an exit to number 1 in Figure 2.

perform the following tasks:

- monitor relevant System Information; This is specified in [4].
- perform necessary measurements for the cell reselection evaluation procedure
- Execute the cell reselection evaluation process on the following occasions/triggers:
- 1) UE internal triggers, so as to meet performance as specified in [10] and [11];
- 2) When information on the BCCH used for the cell reselection evaluation procedure has been modified
- -regularly attempt to find a suitable cell trying all radio access technologies that are supported by the UE. If a suitable cell is found, this causes an exit to number 1 in Figure 2.

### <u>5.2.9.2 GSM</u>

The camped on any cell state in GSM is specified in [1].

# 5.2.2.108 Any Cell Search Reselection State

## <u>5.2.10.1 UTRA</u>

The Any cell reselection procedure is identical to the cell reselection procedure. However, the requirement of selecting a suitable cell is relaxed to selecting an acceptable cell.

### 5.2.10.2 GSM

The any cell reselection procedure in GSM is specified in [1].

### 5.2.2.9 ODMA probing sub-process

In addition to UE cell selection process the  $UE_R$  will initiate or continue to evaluate the relay link via probing. The ODMA probing process state machine controls the rate of ODMA relay node probing. The ODMA probing state machines and mechanisms for controlling the rate of ODMA probing are discussed in the following subclause.

#### 5.2.2.6.1 ODMA probing state machines

Probing is a mechanism used by the ODMA relay node to build a neighbour list which should contain at least a predefined minimum number of neighbours. The probing activity levels of an ODMA relay node may also be influenced by a number of key system parameters such as:

- gradient information;

- battery power level.

The probing state machines are characterised by the level of probing opportunities. The objective of the probing state machines is to optimise ORACH activity to provide reduced interference and regulate power consumption. The difference between these state machines can generally be characterised by the number of ORACH channels that may be used for probing. Thus the probing opportunities within one N multiframe may vary depending upon the active state machine. Additionally, the ratio of probe transmission to reception is controlled by a probing activity parameter *K*. The state machines are full probing, duty maintained probing, and relay prohibited. The function of each of these state machines is described below:

#### Full probing

Full probing is the case where probing is allowed on every ORACH timeslot within a N multiframe. The UE<sub>R</sub> will probe on the ORACH at a rate defined by the probing activity parameter K.

#### **Duty Maintained probing**

The duty maintained probing is the case where probing is allowed on M slots of an N multiframe. The UE<sub>R</sub> will probe on the M ORACH slots in an N multiframe at a rate defined by the probing activity parameter K.

#### <u>Relay Prohibited</u>

In this mode the UE<sub>R</sub> would cease all of its ODMA probing activities and will fall into standard TDD or FDD operation.

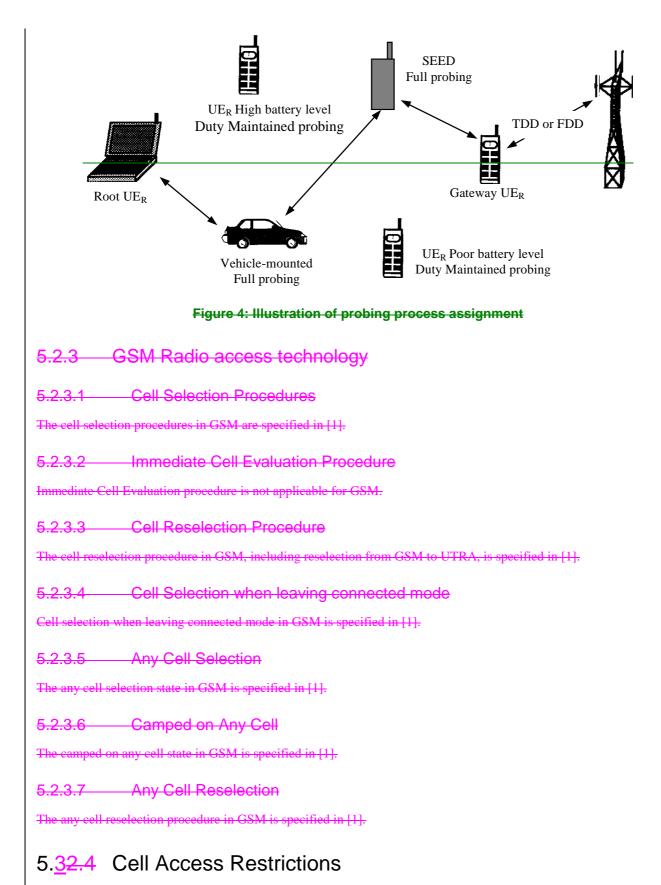
10 ms
TN0 TN1 TN15
СССН
ORACH
N frame multiframe (e.g. $M=N$ , $K=0.5$ )
Tx       Rx       Tx       Rx       Tx       Rx       Tx       Rx         Tx       Rx       Tx       Rx       Tx       Rx       Tx       Rx
(a) Full probing
N frame multiframe (e.g. $0 < M <= N, K=0.5$ )
Rx   Tx   Tx
(b) Duty maintained probing - example
N frame multiframe (e.g. <i>M</i> =0)
(c) Relay prohibited
Figure 3: Probing state machines and mechanism
The probing activity levels for given state machines are illustrated in Figure 3 for a system with an ORACH for M slot per $N \times 16$ multiframe.
NOTE: The distribution of probing opportunities within a multiframe may not necessarily be consecutive and

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NOTE: The distribution of probing opportunities within a multiframe may not necessarily be consecutive and located at the beginning of a multiframe.

A practical illustration of these probing state machines within the ODMA system is shown in Figure 4.

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## 5.3.1 UTRA cells

There are two mechanisms which allow an operator to impose cell access restrictions. The first mechanism uses indication of cell status and special reservations for control of cell selection and re-selection procedures. The second mechanism, referred to as Access Control, shall allow to prevent selected classes of users from sending initial access

messages for load control reasons. At subscription, one or more Access Classes are allocated to the subscriber and stored in the USIM, see [TS 22.0119], which are employed for this purpose.

### 5.2.34.1.1 Cell status and cell reservations

Cell status and cell reservations are indicated with the *Cell Access Restriction* Information Element in the System Information Message (cf. TS 25.331)[4] by means of three Information Elements:

- Cell barred (IE type: "barred" or "not barred"),
- Cell Reserved for operator use (IE type: "reserved" or "not reserved"),
- Cell Reserved for SoLSA exclusive use (IE type: "reserved" or "not reserved").

When cell status is indicated as "not barred", "not reserved" for operator use, and "not reserved" for SoLSA,

- the UE may select/re-select this cell during the cell selection, immediate cell evaluation and cell re-selection procedures in Idle mode and in Connected mode.

When cell status is indicated as "not barred", "not reserved" for operator use, and "reserved" for SoLSA,

- UEs not supporting SoLSA (i.e. all UEs for Release '99) shall behave as if cell status "barred" is indicated (see below).

When cell status is indicated as "not barred ", "reserved" for operator use,

- UEs assigned to an Access Class in the range 11 to 15 may select/re-select this cell if in the home PLMN.
- UEs assigned to an Access Class in the range 0 to 9 shall behave as if cell status "barred" is indicated (see below).

When cell status "barred" is indicated,

- the UE is not permitted to select/re-select this cell, except for emergency call, when no other acceptable cell can be found, and the cell is not barred for emergency call by means of the "Access Class 10 bit", see Sec. 5.3.1.32.4.3.
- The UE shall ignore the "Cell Reserved for SoLSA exclusive use" IE.
- The UE shall select another cell according to the following rule:
  - If the "Intra-frequency cell re-selection indicator" IE in Cell Access Restriction IE is set to value "allowed", the UE may select another cell on the same frequency if selection/re-selection criteria are fulfilled.
    - If the UE is camping on another cell, the UE shall exclude the barred cell from the neighbouring cell list until the expiry of a time interval T<sub>barred</sub>. The time interval T<sub>barred</sub> is sent via system information in a barred cell together with Cell status information in the Cell Access Restriction IE.
    - If the UE does not select another cell, and the barred cell remains to be the "best" one, the UE shall after expiry of the time interval T<sub>barred</sub> again check whether the status of the barred cell has changed.
  - If the "Intra-frequency cell re-selection indicator" IE is set to "not allowed" the UE shall not re-select a cell on the same frequency as the barred cell. For emergency call, the Intra-frequency cell re-selection indicator IE" shall be ignored, i.e. even if it is set to "not allowed" the UE may select another intra-frequency cell.
    - If the barred cell remains to be the "best" one, the UE shall after expiry of the time interval T<sub>barred</sub> again check whether the status of the barred cell has changed.

### 5.<u>32.4</u>.<u>1.</u>2 Access Control

Information on cell access restrictions associated with the Access Classes is broadcast as system information,  $[\underline{4TS}, \underline{25}, \underline{331}]$ .

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The UE shall ignore Access Class related cell access restrictions when selecting a cell to camp on, i.e. it shall not reject a cell for camping on because access on that cell is not allowed for any of the Access Classes of the UE. A change of the indicated access restriction shall not trigger cell re-selection by the UE.

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Access Class related cell access restrictions shall be checked by the UE before sending an RRC CONNECTION REQUEST message when entering Connected Mode from UTRAN Idle mode. Cell access restrictions associated with the Access Classes shall not apply when the initial access for entering Connected Mode is triggered by an Inter-RAT cell re-selection to UTRAN, and for a UE which already is in Connected Mode.

## 5.<u>32.4</u>.<u>1.</u>3 Emergency Call

Generally, emergency calls shall be allowed in all cells whose barred status is not barred, independent of <u>further</u> restrictions due to <u>status indication and</u> cell reservations.

A restriction on emergency calls, if needed, shall be indicated in the "Access class barred list" IE [425.331]. Full details of operation under "Access class barred list" is described in [9]. If the control bit which corresponds to "Access Class 10" is indicated as "barred", emergency calls are not allowed in this cell.

# 5.3.1 GSM cells

The cell access restrictions applicable to GSM are specified in [1].

## 5.2.5 Regional Provision of Service

<del>FFS</del>

# 5.<u>4</u>3 Cell Reselection in <u>Connected ModeProcesses in RRC</u> <u>Connected mode</u>

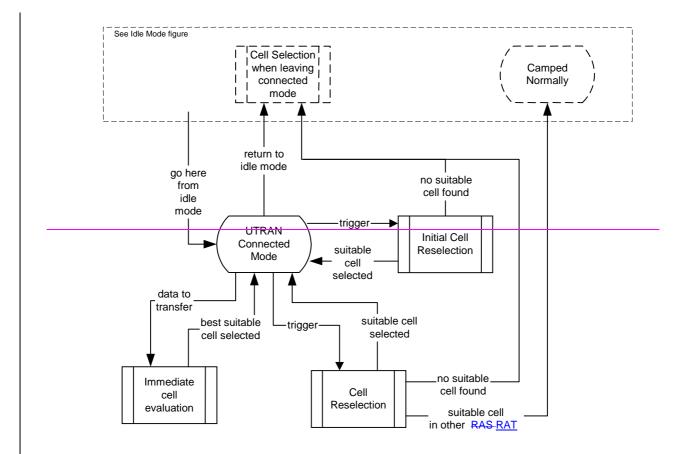
## 5.3.1 UTRA Radio Access Technology

### 5.3.1.1 General

This subclause specifies cell reselection procedures in UTRAN states of RRC connected modes.

The UE shall select a suitable cell and radio access technology based on connected mode radio measurements and cell reselection criteria.

Figure 5 shows the states and procedures in the cell reselection process in connected mode.



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#### Figure 5: UTRAN Connected mode cell reselection

Transition from idle mode to connected mode is described in subclause 5.2.

For UTRAN connected mode, RRC connection mobility tasks are specified in [25.331]. In some states the UE shall perform cell reselection procedures.

When a cell reselection is triggered, the UE shall evaluate the cell reselection criteria based on radio measurements, and if a better cell is found that cell is selected, procedure *Cell reselection* (see subclause 5.3.1.4). If the change of cell implies a change of radio access technology, the RRC connection is released, and the UE enters idle mode. If no suitable cell is found in the cell reselection procedure, the RRC connection is released, and the UE enters idle mode.

When the UE has data to transmit, and there is no restriction for the UE to reselect cell (see [25.331]),.<u>T</u>the UE shall use the *Immediate cell evaluation* procedure (see subclause 5.3.1.3) to select the best suitable cell prior to the access attempt, according to the immediate cell evaluation criteria. Constraints on the use of this procedure are specified in [25.331].

When an Initial cell *reselection* is triggered, the UE shall use the Initial *cell reselection* procedure (see subclause 5.3.1.2) to find a suitable cell. The cases where this may be triggered are specified in [25.331]. One example where this procedure is triggered is at radio link failure, where the UE may trigger an initial cell reselection in order to request re establishment of the RRC connection. If the UE is unable to find a suitable cell, the UE shall release the RRC connection and enter idle mode.

## 5.3.1.2 Initial Cell Reselection Procedure 5.4.1 Initial Cell Reselection Process

### 5.3.1.2.1 Description

Triggers for the Initial cell re-selection procedure-process are specified in [25.3314].

- When the Initial cell reselection procedure is triggered, tThe UE shall attempt to find a suitable cell belonging to the selected PLMN according to the following steps:
  - 1) The UE shall scan all RF channels of the UTRA band to find a suitable cell. The UE may optimise this search by using stored information of carrier frequencies and optionally also information on cell parameters, e.g. scrambling codes, from previously received measurement control information elements.
  - 2) After the UE has found one suitable cell for the selected PLMN, the UE shall create a candidate list consisting of this cell and its neighbouring UTRA cells, as received in measurement control information via the selected cell.
  - 3) Rank the cells according to the cell reselection criteria (see 5.2.<u>6.1.42.4.5</u>), without considering the parameters Qhyst and Treselection.
  - 4) Check if the highest ranked cell fulfils all requirements for a suitable cell. If so, select this cell. If this cell does not fulfil all requirements for a suitable cell, this cell and all cells on the same frequency shall be removed as candidates for cell selection (see also 5.2.<u>3</u>4), and step 4 shall be repeated for the remaining cells.

If different radio access modes are involved in the procedure, <u>then</u> mapping functions shall be applied. For each radio access mode, such a mapping function is defined and its parameters are broadcast in system information. The mapping function maps a certain range of measurement values to a representing quality value  $Q_{map}$  that can have values between 0 and 99 (step size 1). These quality values  $Q_{map}$  can then be compared with each other and the cell with the highest  $Q_{map}$  value is chosen (among those cells with S criterion is fulfilled).

If the UE is unable to find any suitable cell, the UE shall release the RRC connection and enter idle mode.

#### 5.<u>4.1.1</u>3.1.2.2 Criteria

The criteria for initial cell reselection is specified in subclause 5.2.6.1.42.1.2.

### 5.3.1.3 Immediate Cell Evaluation Procedure

## 5.4.2 Immediate Cell Evaluation Process

#### 5.3.1.3.1 Description

The Immediate Cell Evaluation procedure is used by the UE to perform a quick evaluation of the quality of the intrafrequency cells. Based on this information, the UE shall select the best cell among the cells on the same frequency, according to the criteria defined in the next subclause.

The immediate cell evaluation procedure shall be triggered prior to RACH and CPCH (FFS) transmission, if not restrictions specified in [4] inhibits use of the procedure.

The immediate cell evaluation procedure in UTRA access technology Connected Mode is the same as used for idle mode, described in subclause 5.2.42.2.2, with the following differences:

1) The potential cells for selection at immediate cell evaluation in Connected Mode consists of the cells for intrafrequency measurements in system information of the serving cell. However, if UE dedicated measurements control information has been assigned to the UE in the serving cell, the candidate list consists of the cells for intra- frequency measurements included in this UE dedicated measurement control information.

## 5.3.1.4 Cell Reselection Procedure 5.4.3.3.1.4 Cell Reselection process

The cell reselection procedure process in UTRA access technology Connected Mode is the same as used for idle mode, described in subclause 5.2.62.2.4.

# 5.<u>5</u>4 Location Registration

In the UE, the access stratum shall report registration area information to the non-access stratum.

The non-access part of the location registration process is specified in [5].

# 6 Broadcast information receiving

# 6.1 Reception of System Information

The UE shall read the BCCH to acquire valid system information. For each acquisition, the UE will need different combinations of system information blocks broadcast on BCCH. Thus, the scheduling of the broadcast channel is done in such way that the UE knows exactly when the needed information can be found.

When any of the system information blocks are modified, the corresponding scheduling information is updated to reflect the changes in system information transmitted on BCCH. Further, a message is sent to all UEs on PCCH to indicate that a new master information block is available in the cell. Then the UE shall read the updated master information block on BCCH and if the changes are applicable for the UE, the modified system information block(s) are read as well. Requirements are specified in [4]25.331.

# 6.2 Cell Broadcast in Idle Mode

A UE supporting Cell Broadcast Service (CBS) shall be capable to receive BMC messages in the Idle mode. <u>When</u> several PCHs exist in the cell, the FACH which carries the CTCH may be mapped to a different SCCPCH than the PCH selected by the UE for paging in Idle mode (as specified in Sec. 8.1). In this case, UEs with basic service capabilities shall be capable to change from the SCCPCH that carries the PCH selected for paging to another SCCPCH which carries Cell Broadcast messages (i.e. the CTCH mapped to an FACH) and receive BMC messages during time intervals which do not conflict with the UE specific paging occasions.

# 7 Measurements for cell selection / reselection

# 7.1 Use of Mapping Functions

Different types of measurements are used in different radio access technologies and modes for the cell selection and reselection (CPICH Ec/N0 or CPICH RSCP in UTRA FDD, P-CCPCH RSCP in UTRA TDD, RXLEV in GSM). Whenever a direct comparison of these measurements is required, mapping functions shall be applied.

Mapping functions are used for mapping a certain range of measurement values  $Q_{meas\_LEV}$  (CPICH\_EC/N0, CPICH\_RSCP\_LEV, P-CCPCH\_RSCP\_LEV, RXLEV) to a representing quality value  $Q_{map}$  (0..99, step size 1).

For each radio access technology and mode, one mapping function is defined. It may be defined over one or several consecutive intervals of the measurement values  $Q_{meas\_LEV}$ .

The size of the consecutive intervals is sufficiently defined by their upper limit (given by parameter Upper\_limit). In case of only one interval specified, the parameter Upper\_limit is not needed and the interval is equivalent to the measurement range defined for that radio access technology. In case of more than one interval specified, the upper limit of the last interval defined is equivalent to the upper limit of the defined measurement range. The lower limit of an interval is equivalent to the upper limit of the interval. For the first interval, the lower limit is equivalent to the lower limit of the defined measurement range.

Within each interval, one function type is defined (given by parameter Function\_type) and the according function is defined by two parameters Map\_parameter\_1 and Map\_parameter\_2. For release 99, only linear functions are specified:  $Q_{map} = a * Q_{meas\_LEV} + b$ , if  $Q_{meas\_LEV}$  is the measured value and  $Q_{map}$  is the representing quality value.

Map\_parameter\_1 and Map\_parameter\_2 for an interval define the  $Q_{map}$  values that the  $Q_{meas\_tEV}$  values at the upper and the lower limit of this interval are mapped to, respectively. In other words, the linear function within one interval is defined by two tuples ( $Q_{meas\_tEV}$ ,  $Q_{map}$ ) at the interval limits, so that the parameters a and b can be derived from this.

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Accordingly, if the mapping function is steady between two consecutive intervals, Map\_parameter\_2 for the first interval has the same value as Map\_parameter\_1 for the following interval. This is illustrated in the following  $F_{ij}$  is  $F_{ij}$ .

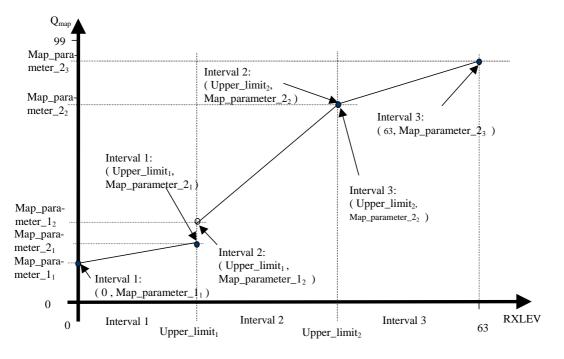


Figure 6: Illustration of mapping of RXLEV using multiple linear models

If no mapping functionality is needed (e.g. in FDD- or TDD-only networks), an implicit mapping is used:  $Q_{map} = Q_{meas\_LEV}$ . This is specified as default case.

The parameters defined for each interval (Function\_type, Map\_parameter\_1, Map\_parameter\_2 and Upper\_limit) are broadcast in system information.

# 8 Paging and SCCPCH selection in Idle modeDiscontinuous Reception

# 8.1 Paging Channel selection

System information block type 5 (SIB 5) defines common channels to be employed in Idle mode [4]. In a cell, a single or several PCHs may be established. Each Secondary Common Control Physical Channel (SCCPCH) indicated to the UE in system information may carry up to one PCH. Thus, for each defined PCH there is one uniquely associated PICH is also indicated.

In case that more than a single PCH and associated PICH are defined in SIB 5, the UE shall perform a selection according to the following rule:

The UE shall select a SCCPCH from the ones listed in SIB 5 based on IMSI as follows:

Index of selected SCCPCH = (IMSI div (("DRX cycle length" div PBP)\*Np\*N<sub>PICH</sub>)) mod K,

where K is equal to the number of listed SCCPCHs which carry a PCH (i.e. SCCPCHs carrying FACH only shall not be counted). These SCCPCHs shall be indexed in the order of their occurrence in SIB 5 from 0 to K-1.

"Index of selected SCCPCH" identifies the selected SCCPCH with the PCH and the uniquely associated PICH to be used by the UE. "DRX cycle length", PBP, Np and N<sub>PICH</sub> shall be determined as specified Section 8.3.

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# 8.2 SCCPCH selection when entering Connected mode

When entering Connected mode from Idle mode by sending an RRC CONNECTION REQUEST message, the UE shall select the S-CCPCH which carries an FACH to be used for reception of the RRC CONNECTION SETUP message according to the following rule:

- the UE shall select an SCCPCH from the SCCPCHs listed in System Information Block type 5 (SIB 5) based on "Initial UE Identity" as follows:

"Index of selected SCCPCH" = "Initial UE Identity" mod K,

where K is equal to the number of listed SCCPCHs which carry a FACH (i.e. SCCPCHs carrying PCH only shall not be counted). These SCCPCHs shall be indexed from 0 to K-1in the order of their occurrence in SIB <u>5</u>, and "Index of selected SCCPCH" identifies the selected SCCPCH. "Initial UE Identity" refers to the Information Element included by the UE into the RRC CONNECTION REQUEST message.

# 8.3 Discontinuous Reception

The UE may use Discontinuous Reception (DRX) in idle mode in order to reduce power consumption. When DRX is used the UE needs only to monitor one Page Indicator, PI, (see definition in [7] and [8]) in one Paging Occasion per DRX cycle.

The DRX cycle length shall be  $2^{k}$  \*PBP frames, where k is an integer and PBP is the Paging Block Periodicity. PBP is only applicable for TDD and is equal to the PICH repetition period that is broadcast in system information. For FDD, PBP=1.

The UE may be attached to different CN domains with different CN domain specific DRX cycle lengths. The UE shall store each CN domain specific DRX cycle length for each CN domain the UE is attached to and use the shortest of those DRX cycle lengths. The CS CN specific DRX cycle length coefficient shall be updated locally in the UE using information given in system information. On the other hand, the PS CN specific DRX cycle length coefficient shall be updated after the negotiation between the UE and PS CN by NAS procedure. If no specific value "k" is negotiated in NAS procedure, the UE and PS CN shall use the DRX cycle length given for PS CN domain in system information.

The DRX cycle lengths to use for UTRAN connected mode is the shortest of the following:

- UTRAN DRX cycle length;
- any of the stored CN domain specific DRX cycle length for the CN domains the UE is only attached to with no signalling connection established.

The UE shall use the IMSI, the Cell System Frame Number (SFN), Np (<u>for FDD, Np is the</u> number of page indicators within a frame<u>: for TDD, Np is the number of page indicators within a paging block</u>), Frame offset (For FDD, Frame offset = 0; for TDD, PICH frame offset values are given in system information), PBP and the DRX cycle length to determine the Paging Occasions.

The value of the Paging Occasion (i.e. the SFN of the first frame of the Paging Block) is determined as follows:

Paging Occasion= {IMSI mod (DRX cycle length div PBP)} \* PBP + n \* DRX cycle length + Frame Offset

Where n = 0, 1, 2... as long as SFN is below its maximum value.

The actual Page Indicator within a Paging Occasion that the UE shall read is similarly determined based on IMSI.

The Page Indicator to use is calculated by using the following formula:

PI = DRX Index mod Np

where DRX Index = {IMSI div (DRX cycle length div PBP)}

In FDD mode, Np = (18,36,72,144) is the number of Page Indicators per frame, and is given in IE "Number of PI per frame", part of system information in FDD mode. In TDD mode, Np is the number of Page Indicators per paging block and is calculated by the Paging Indicator Length L<sub>PI</sub> the Burst Type (long or short midamble) and the PICH repetition length, which are given in system information.

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If the UE has no IMSI, for instance when making an emergency call without USIM, the UE shall use a default number, IMSI = 0, in the formulas above.

For FDD, see [7] for details about the timing between a PICH frame and when the paging message is transmitted on the PCH in the associated S-CCPCH frame. In TDD mode, the Paging Message Receiving Occasion is calculated using the following formula:

 $Paging \ Message \ Receiving \ Occasion = Paging \ Occasion + N_{PICH} + N_{GAP} + \{(DRX \ Index \ div \ Np) \ mod \ N_{PCH} \ \} \ *2$ 

The value  $N_{PICH}$  is the number of frames for PICH transmission and is equal to the PICH repetition length given in system information. The value  $N_{GAP}$  is the number of frames between the last frame carrying PICH for this Paging Occasion and the first frame carrying paging messages for this Paging Occasion. The value  $N_{PCH}$  is the number of Paging Groups.  $N_{PCH}$  and  $N_{GAP}$  are given in system information.

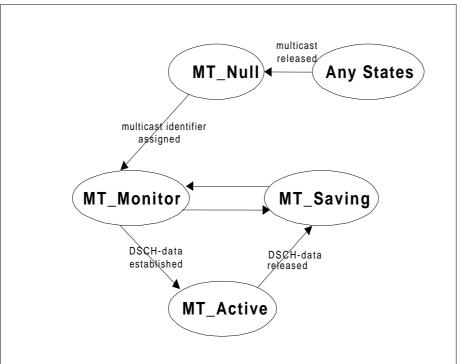
# 9 Multicast services

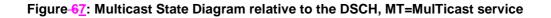
# 9.1 State diagram between the multicast service and DSCH

NOTE: The use of DSCH for multicast services is FFSnot supported in the current release.

The multicast service relative to the DSCH consists of the following states:

- MT\_Null State;
- MT\_Monitor State;
- MT\_Saving State;
- MT\_Active State.
- Figure 67 shows the multicast state diagram relative to the DSCH. The MT\_Monitor State is a state for decoding the DSCH in order to monitor its multicast control data and the MT\_Saving State is a state in which the UE savings for the supporting power saving feature.





## 9.1.1 MT\_Null State

- a) Attributes
  - Multicast service has not been activated.
  - DSCH is not established.
- b) Behaviour
  - Waits for activation of multicast service.

## 9.1.2 MT\_Monitor State

- a) Attributes
  - DSCH is monitored in order to decode the multicast control data that contains the assigned multicast identifier.
- b) Behaviour
  - Receives the DSCH control data on DSCH and confirms the assigned multicast identifier.

# 9.1.3 MT\_Saving State

- a) Attributes
  - DSCH is not monitored for the control or the user data.
- b) Behaviour
  - FFS

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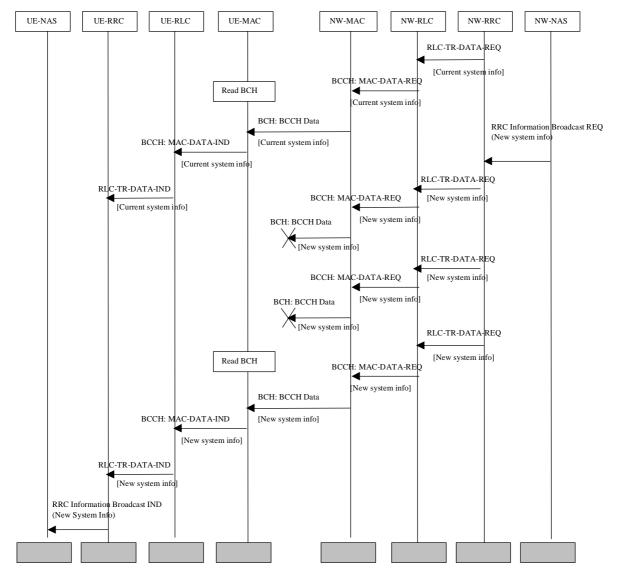
## 9.1.4 MT\_Active State

- a) Attributes
  - DSCH is not monitored for the control data.
  - DSCH is monitored for the user data.
- b) Behaviour
  - Receives the multicast user data on the established DSCH.

# 10 Examples of Procedures

# 10.1 NAS initiated change of system information

The sequence in Figure  $\underline{87}$  shows the change of broadcast system information initiated from the non-access stratum (NAS).





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A non-access stratum entity in the network issues a request for change of the broadcast system information, by issuing a RRC Information Broadcast REQ primitive over the General Control (GC) SAP.

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The change in system information in this example is such that it is not necessary for the UEs to be forced to receive BCCH immediately after the change. All UEs will eventually read the new system information either at e.g. cell reselection or at UE state change.

When the UE reads system information on BCCH and the RRC layer finds out that the non-access part of the information has been changed, an RRC Information Broadcast IND primitive is issued to the non-access stratum entity in the UE over the General Control (GC) SAP.

NOTE: The network may force the UEs in a paging group to read system information by sending a page request message, but this is not shown in the example above.

# 10.2 System Information Update to NAS

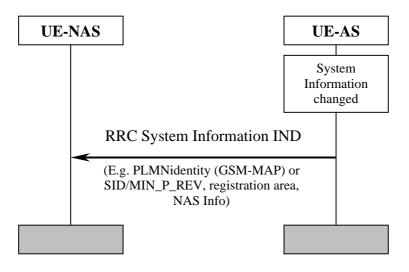
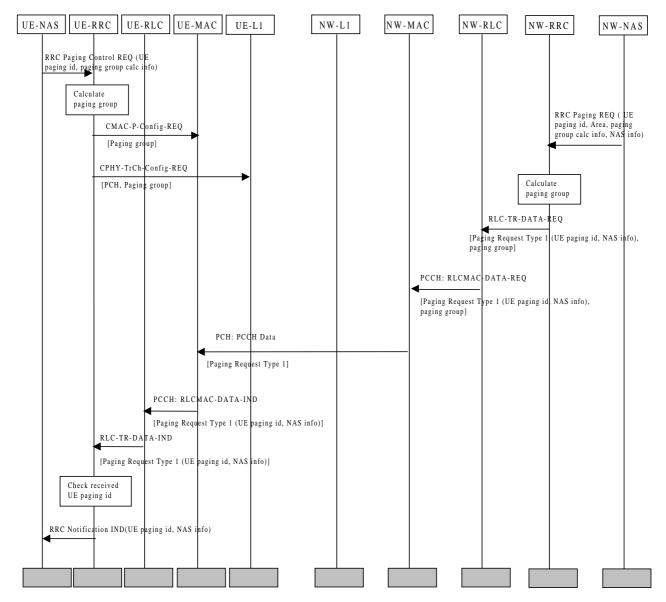


Figure 98: System Information Update to NAS

AS sends system information to NAS when a change of system information is detected in the cell currently camped on. This happens for instance when a new cell is selected due to cell reselection. The information sent can include PLMN identity (GSM-MAP or SID, registration area and NAS information. The NAS information includes the identity of the NAS defined service area.



# 10.3 CN originated paging in idle mode



Figure <u>109</u> illustrates a CN originated paging request when the UE is in idle mode.

In the UE, a NAS entity issues the primitive RRC Paging Control REQ, which tells RRC to listen to paging and notifications addressed to a given UE paging identity and on a paging group which can be calculated using information given from NAS.

NOTE: The paging group calculation info can e.g. be the IMSI of the UE.

A NAS entity on the network side requests paging of an UE using the RRC Paging REQ primitive over the Nt-SAP. The primitive contains a UE paging identity, an area where the page request is to be broadcast, information for calculation of the paging group and NAS information to be transparently transmitted to the UE by the paging request.

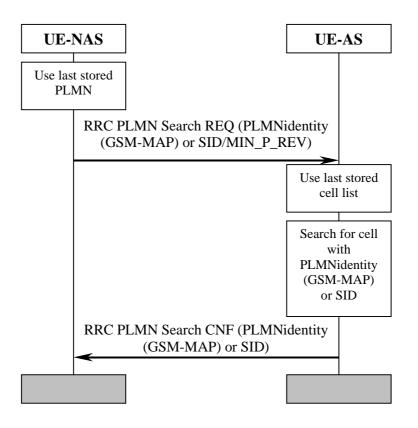
The RRC layer calculates the paging group, and formats a Paging Request Type 1 message containing the UE paging identity and the NAS information The RRC layer then requests MAC to transmit the message on the a specific PCH on the selected paging group. The PCH to be used for transmission of the paging message is selected based on the IMSI of the UE.

In the UE, the RRC layer continuously monitors the paging group compares the UE paging identities in received paging request messages with its own identities. A match occurs, and in this case the UE paging identity and the NAS information is forwarded to the NAS entity of the UE.

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# 10.4 PLMN Selection, automatic mode, normal case

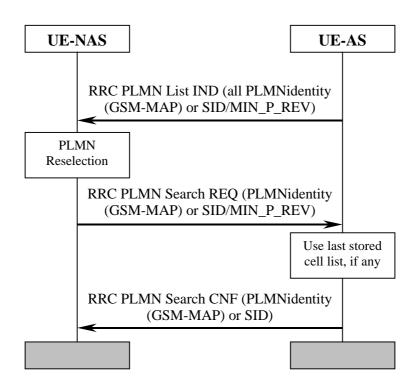


#### Figure 119: PLMN Selection, automatic mode, normal case

At power-on, the non-access stratum (NAS) selects the PLMN with highest priority, possibly the last PLMN stored prior to previous power off. The access stratum (AS) is requested to find a cell belonging to that PLMN. When searching for the requested PLMN and in order to speed up the search, AS may use a list of cell information stored prior to previous power-off. When a cell belonging to the requested PLMN is found, that cell is selected and NAS is notified that the PLMN was found.

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Figure 124: PLMN Reselection, automatic mode

Triggered by, for instance, a timer, AS sends a list to NAS with all PLMNs currently available. The list includes the identities of available PLMNs and possibly information about their NAS defined service area(s). Assuming that a PLMN with higher priority is found, NAS requests AS to select a cell belonging to the PLMN with highest priority. When searching for the requested PLMN and in order to speed up the search, AS may use a list of cell information previously stored, if any. When a cell belonging to the requested PLMN is found, that cell is selected and NAS is notified that the PLMN was found.

# 10.6 PLMN Reselection, manual mode

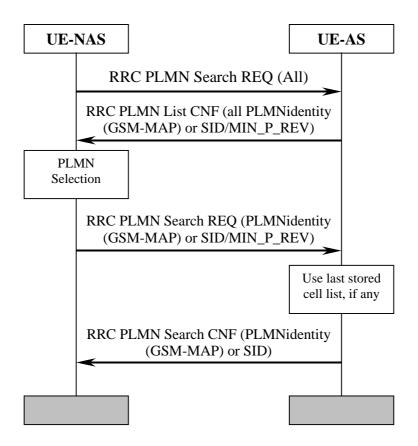


Figure 132: PLMN Reselection, manual mode

NAS requests AS to report all PLMNs currently available, for instance as a response to a user request. AS sends a list to NAS with all PLMNs currently available. The list includes the identities of available PLMNs and possibly information about their NAS defined service area(s). Assuming that a PLMN with higher priority is selected by for instance the user, NAS requests AS to select a cell belonging to the PLMN with highest priority. When searching for the requested PLMN and in order to speed up the search, AS may use a list of cell information previously stored, if any. When a cell belonging to the requested PLMN is found, that cell is selected and NAS is notified that the PLMN was found.

10.7 PLMN Selection, automatic mode, selected PLMN not found

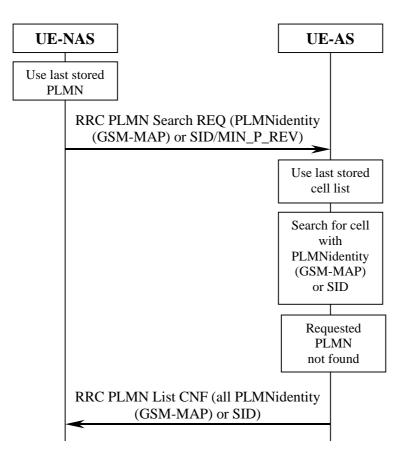
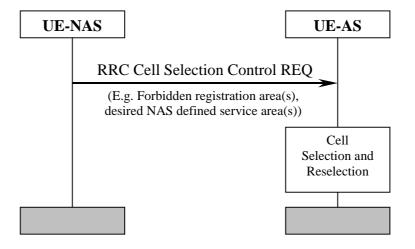


Figure 143: PLMN Selection, automatic mode, selected PLMN not found

At power-on, the non-access stratum selects the PLMN with highest priority, possibly from the list of PLMNs stored prior to previous power off. The access stratum is requested to find a cell belonging to that PLMN. When searching for the requested PLMN and in order to speed up the search, AS may use a list of cell information stored prior to previous power-off. If no cell is found belonging to the requested PLMN, a list of available PLMNs is sent to NAS, indicating which PLMN has been temporarily chosen by AS.

# 10.8 NAS Controlled Cell Selection

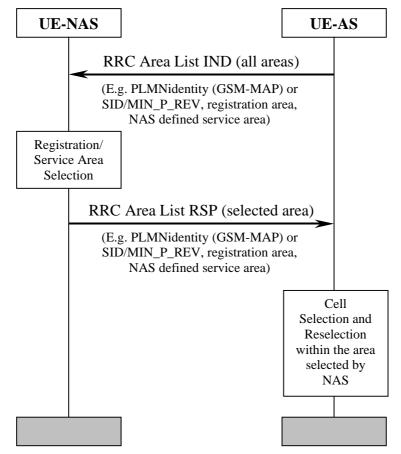
## 10.8.1 Execution in Access Stratum



#### Figure 1<u>5</u>4: NAS Controlled Cell Selection, execution in AS

NAS may influence the cell selection and reselection by sending control information to AS. This information can include, for example, lists of forbidden registration areas and a list of NAS defined service areas in priority order. The control information is used by AS in cell selection and reselection:

- Cells belonging to a forbidden registration area will only be selected if no better cell is found. At this point, the services provided the UE might be limited.
- Cells belonging to a NAS defined service area with higher priority than current service area will be considered better than the cell currently camped on. Depending on radio access mode, the most suitable cell in idle mode may not be the most suitable cell in connected mode.



## 10.8.2 Execution in Non-Access Stratum

#### Figure 165: NAS Controlled Cell Selection, execution in NAS

As an alternative to the example in subclause 11.8.1, AS sends cell selection information to NAS. This information can include PLMN identity (GSM-MAP) or SID, registration area and NAS defined service area. The information contains the full set of available registration areas and NAS defined service areas. The information is typically sent when there is a change of available areas, for instance when a neighbour cell belonging to a new registration area/NAS defined service area is found. Correspondingly, a new list of available areas is sent from AS to NAS when for instance coverage is lost from the cell currently camped on and that is the only cell belonging to the current NAS defined service area.

AS performs cell selection and reselection for the selected registration area/NAS defined service area without interaction with NAS. However, before reselecting a cell in another registration area/NAS defined service area, AS must check with NAS.

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