**3GPP TSG-CT WG1 Meeting #130-eC1-213437**

**Electronic meeting, 20 May – 28 May 2021 was C1-212458**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **3133** | **rev** | **3** | **Current version:** | **17.2.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | “List of subscriber data” handling for SNPN supporting AAA-Server for primary authentication and authorization | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | LG Electronics, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | eNPN | | | | |  | ***Date:*** | | | 2021-04-17 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
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| ***Reason for change:*** | | In Rel16, the current SNPN is always belongs to “list of subscriber data”. But, in Rel17, the current SNPN is not always belongs to “list of subscriber data” if the selected SNPN is the SNPN which is access using credentials from credential holders.  In the TS24.501, upon reception of Authentication Reject message, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.  However, if the selected SNPN is the SNPN which is access using credentials from credential holders, above statement does not fit correctly. So, for this case, the yellow marked terminology should be changed correctly to the subscribed SNPN identity allowed by selected SNPN.  Furthermore, In Rel16, selected SNPN is mapped to one subscribed SNPN identity. But, in Rel 17, selected SNPN is mapped to one more subscribed SNPN identities. In this case, we should consider which subscribed SNPN is selected for the UE.  Let me give an example.   1. Subscription 1 : SNPN #1 – credential, optionally allow to access SNPN #3 using credential from CH 2. Subscription 2 : SNPN #2 – credential, optionally allow to access SNPN#3 using credential from CH   If the UE has subscription #1 and #2 and the UE is camped on SNPN#3, the UE has received Authentication Accept or Reject message via SNPN#3, the UE has to consider which credential was used. | | | | | | | | |
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| ***Summary of change:*** | | 1. Upon reception of Authentication Reject message, entry of the "list of subscriber data" with the SNPN identity of the current SNPN is changed correctly to selected entry of the "list of subscriber data" with the SNPN identity of the current SNPN, when the UE supports access to an SNPN using credential from a credentials holder. 2. Definition of credentials holder is added in subclause 3.1. | | | | | | | | |
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| ***Consequences if not approved:*** | | Due to invalid use of terminoloy, successful authentication can be misinterpreted as failure of authentication. As a results, it cause that normal UE can be considers as invalid UE. | | | | | | | | |
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| ***Clauses affected:*** | | 5.4.1.2.2.11, 5.4.1.2.3.1, 5.4.1.2.3A.1, 5.4.1.3.5, 5.5.1.2.5, 5.5.1.3.5, 5.5.2.3.2, 5.6.1.5 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev.1   1. The subscribed SNPN identity associated with the selected SNPN is changed to selected entry of the “list of subscriber data” with the SNPN identity of the current SNPN. 2. All cases were considered. (e.g. Registration reject, De-registration, Service Reject, Authentication Reject for other than EAP-AKA’ ( e.g EAP-TLS))   Rev. 2  The selected entry of the "list of subscriber data" with the SNPN identity of the current SNPN is changed to the selected entry of the “list of subscriber data” | | | | | | | | |

\*\*\* First change \*\*\*

###### 5.4.1.2.2.11 UE handling EAP-failure message

Upon receiving an EAP-failure message, the UE shall delete the partial native 5G NAS security context and shall delete the new KAUSF and the new KSEAF, if any were created as described in subclause 5.4.1.2.2.3.

The UE shall consider the procedure complete.

If the EAP-failure message is received in an AUTHENTICATION REJECT message:

1) if the AUTHENTICATION REJECT message has been successfully integrity checked by the NAS:

- The UE shall set the update status to 5U3 ROAMING NOT ALLOWED, delete the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

In case of PLMN, the USIM shall be considered invalid until switching off the UE or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the entry until switching off or the UICC containing the USIM is removed;

- The UE shall set:

i) the counter for "SIM/USIM considered invalid for GPRS services" events, the counter for "USIM considered invalid for 5GS services over non-3GPP access" events, and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

ii) the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to UE implementation-specific maximum value; and

- If the UE is operating in single-registration mode, the UE shall handle EMM parameters, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed; and

2) if the AUTHENTICATION REJECT message is received without integrity protection, the UE shall start timer T3247 with a random value uniformly drawn from the range between 30 minutes and 60 minutes, if the timer is not running (see subclause 5.3.20). Additionally, the UE shall:

a) if the AUTHENTICATION REJECT message is received over 3GPP access, and the counter for "SIM/USIM considered invalid for GPRS services" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-a) of subclause 5.3.20.2 (if the UE is not operating in SNPN access operation mode) or list item a)-1) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3;

b) if the AUTHENTICATION REJECT message is received over non-3GPP access, and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-b) of subclause 5.3.20.2 (if the UE is not operating in SNPN access operation mode) or list item a)-2) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3;

c) otherwise:

i) if the AUTHENTICATION REJECT message is received over 3GPP access:

- The UE shall set the update status for 3GPP access to 5U3 ROAMING NOT ALLOWED, delete for 3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI.

- In case of PLMN, the UE shall consider the USIM as invalid for 5GS services via 3GPP access and invalid for non-EPS service until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN via 3GPP access until switching off or the UICC containing the USIM is removed.

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the entry via 3GPP access until switching off or the UICC containing the USIM is removed.

- The UE shall set:

- the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

- the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN;

- to UE implementation-specific maximum value.

- If the UE is operating in single-registration mode, the UE shall handle 4G-GUTI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed; and

ii) if the AUTHENTICATION REJECT message is received over non-3GPP access:

- the UE shall set the update status for non-3GPP access to 5U3 ROAMING NOT ALLOWED, delete for non-3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

- in case of PLMN, the UE shall consider the USIM as invalid for 5GS services via non-3GPP access until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for non-3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN and for non-3GPP access until switching off or the UICC containing the USIM is removed; and

- the UE shall set:

- the counter for "USIM considered invalid for 5GS services over non-3GPP access" events to UE implementation-specific maximum value in case of PLMN; or

- the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events to UE implementation-specific maximum value in case of SNPN.

If the AUTHENTICATION REJECT message is received by the UE, the UE shall abort any 5GMM signalling procedure, stop any of the timers T3510, T3517, T3519 or T3521 (if they were running), enter state 5GMM-DEREGISTEREDand delete any stored SUCI.

\*\*\* Next change \*\*\*

###### 5.4.1.2.3.1 General

The UE may support acting as EAP-TLS peer as specified in 3GPP TS 33.501 [24]. The AUSF may support acting as EAP-TLS server as specified in 3GPP TS 33.501 [24].

The EAP-TLS enables mutual authentication of the UE and the network.

When initiating an EAP based primary authentication and key agreement procedure using EAP-TLS, the network shall select an ngKSI value. If an ngKSI is contained in an initial NAS message during a 5GMM procedure, the network shall select a different ngKSI value. The network shall send the selected ngKSI value to the UE along with each EAP message. The network shall send the ABBA value as described in subclause 9.11.3.10 to the UE along with the EAP-request message and EAP-success message.

When the EAP based primary authentication and key agreement procedure uses EAP-TLS, the ME and the AUSF shall generate EMSKas described in 3GPP TS 33.501 [24].

When handling of an EAP-request message results into generation of EMSK, the ME may generate a new KAUSF from the EMSK, a new KSEAF from the new KAUSF, and the KAMF from the ABBA received together with the EAP-request message, and the new KSEAF as described in 3GPP TS 33.501 [24], and create a partial native 5G NAS security context identified by the ngKSI value received together with the EAP-request message in subclause 5.4.1.2.4.2, in the volatile memory of the ME. If the KAMF and the partial native 5G NAS security context are created, the ME shall store the KAMF in the created partial native 5G NAS security context.

NOTE 0: Generation of the new KAUSF and the new KSEAF does not result into deletion of the valid KAUSF and the valid KSEAF, if any.

The ME shall not use the new KAUSF in the verification of SOR transparent container and UE parameters update transparent container, if any are received, until receipt of an EAP-success message.

When handling of an EAP response message results into generation of EMSK, the AUSF shall generate the KAUSF from the EMSK, and the KSEAF from the KAUSF as described in 3GPP TS 33.501 [24].

NOTE 1: The AUSF provides the KSEAF to the SEAF. Upon reception of the KSEAF, the SEAF generates the KAMF based on the ABBA and the KSEAF as described in 3GPP TS 33.501 [24], and provides ngKSI and the KAMF to the AMF. Upon reception of the ngKSI and the KAMF, the AMF creates a partial native 5G NAS security context identified by the ngKSI, and stores the KAMF in the created partial native 5G NAS security context.

If the UE does not accept the server certificate of the network, the UE shall start timer T3520 when the AUTHENTICATION RESPONSE message containing the EAP-response message is sent. Furthermore, the UE shall stop any of the retransmission timers that are running (e.g. T3510, T3517 or T3521). Upon receiving an AUTHENTICATION REQUEST message with the EAP message IE containing an EAP-request message from the network, the UE shall stop timer T3520, if running, and then process the EAP-request message as normally.

If the network does not accept the client certificate of the UE, the network handling depends upon the type of identity used by the UE in the initial NAS message, that is:

- if the 5G-GUTI was used; or

- if the SUCI was used.

If the 5G-GUTI was used, the network should transport the EAP-failure message in the AUTHENTICATION RESULT message of the EAP result message transport procedure, initiate an identification procedure to retrieve SUCI from the UE and restart the EAP based primary authentication and key agreement procedure with the received SUCI.

If the SUCI was used for identification in the initial NAS message or in a restarted EAP based primary authentication and key agreement procedure, or the network decides not to initiate the identification procedure to retrieve SUCI from the UE after an unsuccessful the EAP based primary authentication and key agreement procedure, the network should transport the EAP-failure message in an AUTHENTICATION REJECT message of the EAP result message transport procedure.

Depending on local requirements or operator preference for emergency services, if the UE initiates a registration procedure with 5GS registration type IE set to "emergency registration" and the AMF is configured to allow emergency registration without user identity, the AMF needs not follow the procedures specified for transporting the EAP-failure message in the AUTHENTICATION REJECT message of the EAP result message transport procedure in the present subclause. The AMF may include the EAP-failure message in a response of the current 5GMM specific procedure or in the AUTHENTICATION RESULT of the EAP result message transport procedure.

If the EAP-failure message is received in an AUTHENTICATION REJECT message:

a) if the AUTHENTICATION REJECT message has been successfully integrity checked by the NAS:

1) the UE shall set the update status to 5U3 ROAMING NOT ALLOWED, delete the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI.

In case of PLMN, the USIM shall be considered invalid until switching off the UE or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid until the UE is switched off or the entry is updated;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid until the UE is switched off or the entry is updated;

2) the UE shall set:

i) the counter for "SIM/USIM considered invalid for GPRS services" events, the counter for "USIM considered invalid for 5GS services over non-3GPP access" events, and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

ii) the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

NOTE 2: The term "non-3GPP access" used in the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events, is used to express access to SNPN services via a PLMN.

to UE implementation-specific maximum value; and

3) if the UE is operating in single-registration mode, the UE shall handle EMM parameters, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed; and

b) if the AUTHENTICATION REJECT message is received without integrity protection, the UE shall start timer T3247 with a random value uniformly drawn from the range between 30 minutes and 60 minutes, if the timer is not running (see subclause 5.3.20). Additionally, the UE shall:

1) if the AUTHENTICATION REJECT message is received over 3GPP access, and the counter for "SIM/USIM considered invalid for GPRS services" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-a) of subclause 5.3.20.2 (if the UE is not SNPN enabled or is not operating in SNPN access operation mode) or list item a) 1) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3;

2) if the AUTHENTICATION REJECT message is received over non-3GPP access, and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-b) of subclause 5.3.20.2 (if the UE is not operating in SNPN access operation mode) or list item a)-2) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3; or

3) otherwise:

i) if the AUTHENTICATION REJECT message is received over 3GPP access:

A) the UE shall set the update status for 3GPP access to 5U3 ROAMING NOT ALLOWED, delete for 3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services via 3GPP access and invalid for non-EPS service until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 3GPP access until the UE is switched off or the entry is updated;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated;

B) the UE shall set:

- the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

- the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN;

to UE implementation-specific maximum value; and

C) If the UE is operating in single-registration mode, the UE shall handle 4G-GUTI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed; and

ii) if the AUTHENTICATION REJECT message is received over non-3GPP access:

A) the UE shall set the update status for non-3GPP access to 5U3 ROAMING NOT ALLOWED, delete for non-3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI. In case of PLMN, the USIM shall be considered invalid for 5GS services via non-3GPP access until switching off the UE or the UICC containing the USIM is removed. In case of SNPN, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid for non-3GPP access until the UE is switched off or the entry is updated; and

B) the UE shall set the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN to UE implementation-specific maximum value.

If the AUTHENTICATION REJECT message is received by the UE, the UE shall abort any 5GMM signalling procedure, stop any of the timers T3510, T3517, T3519 or T3521 (if they were running), enter state 5GMM-DEREGISTERED and delete any stored SUCI.

Upon receiving an EAP-success message, the ME shall:

a) delete the valid KAUSF and the valid KSEAF, if any;

b) if the ME has not generated a new KAUSF and a new KSEAF and has not created a partial native 5G NAS security context when handling the EAP-request message which resulted into generation of EMSK as described above:

1) generate a new KAUSF from the EMSK, a new KSEAF from the new KAUSF, and the KAMF from the ABBA that was received with the EAP-success message, and the new KSEAF as described in 3GPP TS 33.501 [24];

2) create a partial native 5G NAS security context identified by the ngKSI value in the volatile memory of the ME; and

3) store the KAMF in the created partial native 5G NAS security context; and

c) consider the new KAUSF to be the valid KAUSF, and the new KSEAF to be the valid KSEAF, reset the SOR counter and the UE parameter update counter to zero, store the valid KAUSF, the valid KSEAF, the SOR counter and the UE parameter update counter as specified in annex C, and use the valid KAUSF in the verification of SOR transparent container and UE parameters update transparent container, if any are received.

The UE shall consider the procedure complete.

Upon receiving an EAP-failure message, the UE shall delete the partial native 5G NAS security context and shall delete the new KAUSF and the new KSEAF, if any were created when handling the EAP-request message which resulted into generation of EMSK as described above.

The UE shall consider the procedure complete.

\*\*\* Next change \*\*\*

###### 5.4.1.2.3A.1 General

This subclause applies when an EAP method:

a) supporting mutual authentication;

b) supporting EMSK generation; and

c) other than EAP-AKA' and EAP-TLS;

is used for primary authentication and key agreement in an SNPN.

The UE may support acting as EAP peer of such EAP method as specified in 3GPP TS 33.501 [24]. The AUSF may support acting as EAP server of such EAP method as specified in 3GPP TS 33.501 [24].

When initiating an EAP based primary authentication and key agreement procedure using such EAP method, the network shall select an ngKSI value. If an ngKSI is contained in an initial NAS message during a 5GMM procedure, the network shall select a different ngKSI value. The network shall send the selected ngKSI value to the UE along with each EAP message. The network shall send the ABBA value as described in subclause 9.11.3.10 to the UE along with the EAP-request message and EAP-success message.

When the EAP based primary authentication and key agreement procedure uses such EAP method, the ME and the AUSF shall generate EMSK as described in 3GPP TS 33.501 [24].

When handling of an EAP-request message results into generation of EMSK, the ME may generate a new KAUSF from the EMSK, a new KSEAF from the new KAUSF, and the KAMF from the ABBA received together with the EAP-request message, and the new KSEAF as described in 3GPP TS 33.501 [24], and create a partial native 5G NAS security context identified by the ngKSI value received together with the EAP-request message in subclause 5.4.1.2.4.2, in the volatile memory of the ME. If the KAMF and the partial native 5G NAS security context are created, the ME shall store the KAMF in the created partial native 5G NAS security context.

NOTE 0: Generation of the new KAUSF and the new KSEAF does not result into deletion of the valid KAUSF and the valid KSEAF, if any.

The ME shall not use the new KAUSF in the verification of SOR transparent container and UE parameters update transparent container, if any are received, until receipt of an EAP-success message.

When handling of an EAP response message results into generation of EMSK, the AUSF shall generate the KAUSF from the EMSK, and the KSEAF from the KAUSF as described in 3GPP TS 33.501 [24].

NOTE 1: The AUSF provides the KSEAF to the SEAF. Upon reception of the KSEAF, the SEAF generates the KAMF based on the ABBA and the KSEAF as described in 3GPP TS 33.501 [24], and provides ngKSI and the KAMF to the AMF. Upon reception of the ngKSI and the KAMF, the AMF creates a partial native 5G NAS security context identified by the ngKSI, and stores the KAMF in the created partial native 5G NAS security context.

If the UE fails to authenticate the network, the UE shall start timer T3520 when the AUTHENTICATION RESPONSE message containing the EAP-response message is sent. Furthermore, the UE shall stop any of the retransmission timers that are running (e.g. T3510, T3517 or T3521). Upon receiving an AUTHENTICATION REQUEST message with the EAP message IE containing an EAP-request message from the network, the UE shall stop timer T3520, if running, and then process the EAP-request message as normally.

If the network fails to authenticate the UE, the network handling depends upon the type of identity used by the UE in the initial NAS message, that is:

- if the 5G-GUTI was used; or

- if the SUCI was used.

If the 5G-GUTI was used, the network should transport the EAP-failure message in the AUTHENTICATION RESULT message of the EAP result message transport procedure, initiate an identification procedure to retrieve SUCI from the UE and restart the EAP based primary authentication and key agreement procedure with the received SUCI.

If the SUCI was used for identification in the initial NAS message or in a restarted EAP based primary authentication and key agreement procedure, or the network decides not to initiate the identification procedure to retrieve SUCI from the UE after an unsuccessful the EAP based primary authentication and key agreement procedure, the network should transport the EAP-failure message in an AUTHENTICATION REJECT message of the EAP result message transport procedure.

If the EAP-failure message is received in an AUTHENTICATION REJECT message:

a) if the AUTHENTICATION REJECT message has been successfully integrity checked by the NAS:

1) the UE shall set the update status to 5U3 ROAMING NOT ALLOWED, delete the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid until the UE is switched off or the entry is updated;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid until the UE is switched off or the entry is updated; and

2) the UE shall set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN to UE implementation-specific maximum value; and

NOTE 2: The term "non-3GPP access" used in the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events, is used to express access to SNPN services via a PLMN.

b) if the AUTHENTICATION REJECT message is received without integrity protection, the UE shall start timer T3247 with a random value uniformly drawn from the range between 30 minutes and 60 minutes, if the timer is not running (see subclause 5.3.20). Additionally, the UE shall:

1) if the AUTHENTICATION REJECT message is received over 3GPP access, and the counter for "the entry for the current SNPN considered invalid for 3GPP access" events has a value less than a UE implementation-specific maximum value, proceed as specified in list item a) 1) of subclause 5.3.20.3 for the case that the 5GMM cause value received is #3;

2) if the AUTHENTICATION REJECT message is received over non-3GPP access, and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events has a value less than a UE implementation-specific maximum value, proceed as specified in list item a)-2) of subclause 5.3.20.3 for the case that the 5GMM cause value received is #3; or

3) otherwise:

i) if the AUTHENTICATION REJECT message is received over 3GPP access:

- the UE shall set the update status for 3GPP access to 5U3 ROAMING NOT ALLOWED, delete for 3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid for 3GPP access until the UE is switched off or the entry is updated;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid until the UE is switched off or the entry is updated; and

- the UE shall set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events to UE implementation-specific maximum value; and

ii) if the AUTHENTICATION REJECT message is received over non-3GPP access:

- the UE shall set the update status for non-3GPP access to 5U3 ROAMING NOT ALLOWED, delete for non-3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI. The entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid for non-3GPP access until the UE is switched off or the entry is updated; and

- the UE shall set the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events to UE implementation-specific maximum value.

NOTE 3: The AUTHENTICATION REJECT message "received over non-3GPP access" in this subclause refers to an AUTHENTICATION REJECT message received via a PLMN when the UE attempts to access SNPN services via a PLMN.

If the AUTHENTICATION REJECT message is received by the UE, the UE shall abort any 5GMM signalling procedure, stop any of the timers T3510, T3517, T3519 or T3521 (if they were running), enter state 5GMM-DEREGISTERED and delete any stored SUCI.

Upon receiving an EAP-success message, the ME shall:

a) delete the valid KAUSF and the valid KSEAF, if any;

b) if the ME has not generated a new KAUSF and a new KSEAF and has not created a partial native 5G NAS security context when handling the EAP-request message which resulted into generation of EMSK as described above:

1) generate a new KAUSF from the EMSK, a new KSEAF from the new KAUSF, and the KAMF from the ABBA that was received with the EAP-success message, and the KSEAF as described in 3GPP TS 33.501 [24];

2) create a partial native 5G NAS security context identified by the ngKSI value in the volatile memory of the ME; and

3) store the KAMF in the created partial native 5G NAS security context; and

c) consider the new KAUSF to be the valid KAUSF, and the new KSEAF to be the valid KSEAF, reset the SOR counter and the UE parameter update counter to zero, store the valid KAUSF, the valid KSEAF, the SOR counter and the UE parameter update counter as specified in annex C, and use the valid KAUSF in the verification of SOR transparent container and UE parameters update transparent container, if any are received.

The UE shall consider the procedure complete.

Upon receiving an EAP-failure message, the UE shall delete the partial native 5G NAS security context and shall delete the new KAUSF and the new KSEAF, if any were created when handling the EAP-request message which resulted into generation of EMSK as described above.

The UE shall consider the procedure complete.

\*\*\* Next change \*\*\*

##### 5.4.1.3.5 Authentication not accepted by the network

If the authentication response (RES) returned by the UE is not valid, the network response depends upon the type of identity used by the UE in the initial NAS message, that is:

- if the 5G-GUTI was used; or

- if the SUCI was used.

If the 5G-GUTI was used, the network should initiate an identification procedure to retrieve SUCI from the UE and restart the 5G AKA based primary authentication and key agreement procedure with the received SUCI.

If the SUCI was used for identification in the initial NAS message or in a restarted 5G AKA based primary authentication and key agreement procedure, or the network decides not to initiate the identification procedure to retrieve SUCI from the UE after an unsuccessful 5G AKA based primary authentication and key agreement procedure, the network should send an AUTHENTICATION REJECT message to the UE.

Upon receipt of an AUTHENTICATION REJECT message,

1) if the AUTHENTICATION REJECT message has been successfully integrity checked by the NAS:

the UE shall set the update status to 5U3 ROAMING NOT ALLOWED, delete the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

In case of PLMN, the USIM shall be considered invalid until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the entry until switching off or the UICC containing the USIM is removed;

- The UE shall set:

i) the counter for "SIM/USIM considered invalid for GPRS services" events, the counter for "USIM considered invalid for 5GS services over non-3GPP access" events, and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

ii) the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to UE implementation-specific maximum value; and

- if the UE is operating in single-registration mode, the UE shall handle EMM parameters, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed.

2) if the AUTHENTICATION REJECT message is received without integrity protection, the UE shall start timer T3247 with a random value uniformly drawn from the range between 30 minutes and 60 minutes, if the timer is not running (see subclause 5.3.20). Additionally, the UE shall:

a) if the AUTHENTICATION REJECT message is received over 3GPP access, and the counter for "SIM/USIM considered invalid for GPRS services" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-a) of subclause 5.3.20.2 (if the UE is not operating in SNPN access operation mode) or list item a)-1) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3;

b) if the AUTHENTICATION REJECT message is received over non-3GPP access, and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN or the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN has a value less than a UE implementation-specific maximum value, proceed as specified in subclause 5.3.20, list item 1)-b) of subclause 5.3.20.2 (if the UE is not operating in SNPN access operation mode) or list item a)-2) of subclause 5.3.20.3 (if the UE is operating in SNPN access operation mode) for the case that the 5GMM cause value received is #3.

c) otherwise:

i) if the AUTHENTICATION REJECT message is received over 3GPP access:

- The UE shall set the update status for 3GPP access to 5U3 ROAMING NOT ALLOWED, delete for 3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI.

- In case of PLMN, the UE shall consider the USIM as invalid for 5GS services via 3GPP access and non-EPS service until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN shall be considered invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN via 3GPP access until switching off or the UICC containing the USIM is removed.

- The UE shall set:

- the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "SIM/USIM considered invalid for non-GPRS services" events if maintained by the UE, in case of PLMN; or

- the counter for "the entry for the current SNPN considered invalid for 3GPP access" events in case of SNPN;

to UE implementation-specific maximum value.

- If the UE is operating in single-registration mode, the UE shall handle 4G-GUTI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the authentication procedure is not accepted by the network. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed; and

ii) if the AUTHENTICATION REJECT message is received over non-3GPP access:

- the UE shall set the update status for non-3GPP access to 5U3 ROAMING NOT ALLOWED, delete for non-3GPP access only the stored 5G-GUTI, TAI list, last visited registered TAI and ngKSI;

- in case of PLMN, the UE shall consider the USIM as invalid for 5GS services via non-3GPP access until switching off the UE or the UICC containing the USIM is removed.

In case of SNPN, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for non-3GPP access until the UE is switched off or the entry is updated. Additionally, the UE shall consider the USIM as invalid for the current SNPN and for non-3GPP access until switching off or the UICC containing the USIM is removed; and

- the UE shall set:

- the counter for "USIM considered invalid for 5GS services over non-3GPP access" events to UE implementation-specific maximum value in case of PLMN; or

- the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events to UE implementation-specific maximum value in case of SNPN.

If the AUTHENTICATION REJECT message is received by the UE, the UE shall abort any 5GMM signalling procedure, stop any of the timers T3510, T3516, T3517, T3519, T3520 or T3521 (if they were running), enter state 5GMM-DEREGISTERED and delete any stored SUCI.

Depending on local requirements or operator preference for emergency services, if the UE initiates a registration procedure with 5GS registration type IE set to "emergency registration" and the AMF is configured to allow emergency registration without user identity, the AMF needs not follow the procedures specified for the authentication failure in the present subclause. The AMF may continue a current 5GMM specific procedure.

\*\*\* Next change \*\*\*

##### 5.5.1.2.5 Initial registration not accepted by the network

If the initial registration request cannot be accepted by the network, the AMF shall send a REGISTRATION REJECT message to the UE including an appropriate 5GMM cause value.

If the initial registration request is rejected due to general NAS level mobility management congestion control, the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

In NB-N1 mode, if the registration request is rejected due to operator determined barring (see 3GPP TS 29.503 [20AB]), the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

If the REGISTRATION REJECT message with 5GMM cause #76 was received without integrity protection, then the UE shall discard the message. If the REGISTRATION REJECT message with 5GMM cause #62 was received without integrity protected, the behaviour of the UE is specified in subclause 5.3.20.2.

Based on operator policy, if the initial registration request is rejected due to core network redirection for CIoT optimizations, the network shall set the 5GMM cause value to #31 "Redirection to EPC required".

NOTE 1: The network can take into account the UE's S1 mode capability, the EPS CIoT network behaviour supported by the UE or the EPS CIoT network behaviour supported by the EPC to determine the rejection with the 5GMM cause value #31 "Redirection to EPC required".

If the initial registration request is rejected because:

a) all the S-NSSAI(s) included in the requested NSSAI are either rejected for the current PLMN, rejected for the current registration area, or rejected for the failed or revoked NSSAA; and

b) the UE set the NSSAA bit in the 5GMM capability IE to:

1) "Network slice-specific authentication and authorization supported" and:

i) there are no subscribed S-NSSAIs marked as default;

ii) all subscribed S-NSSAIs marked as default are not allowed; or

iii) network slice-specific authentication and authorization has failed or been revoked for all subscribed S-NSSAIs marked as default and based on network local policy, the network decides not to initiate the network slice-specific re-authentication and re-authorization procedures for any subscribed S-NSSAI marked as default requested by the UE; or

2) "Network slice-specific authentication and authorization not supported"; and

i) there are no subscribed S-NSSAIs which are marked as default; or

ii) all subscribed S-NSSAIs marked as default are either not allowed or are subject to network slice-specific authentication and authorization;

the network shall set the 5GMM cause value to #62 "No network slices available". If the UE had included requested NSSAI in the REGISTRATION REQUEST message, then the network shall include the rejected S-NSSAI(s) in the rejected NSSAI of the REGISTRATION REJECT message. Otherwise, the network may include the rejected S-NSSAI(s) in the rejected NSSAI of the REGISTRATION REJECT message.

If the UE has set the ER-NSSAI bit to "Extended rejected NSSAI supported" in the 5GMM capability IE of the REGISTRATION REQUEST message, the rejected S-NSSAI(s) shall be included in the Extended rejected NSSAI IE of the REGISTRATION REJECT message. Otherwise the rejected S-NSSAI(s) shall be included in the Rejected NSSAI IE of the REGISTRATION REJECT message.

If the AMF receives the initial registration request along with the authenticated indication over N2 reference point on non-3GPP access and does not receive the indication that authentication by the home network is not required over N12 reference point, the network shall set the 5GMM cause value to #72 "Non-3GPP access to 5GCN not allowed".

If the initial registration request from a UE supporting CAG is rejected due to CAG restrictions, the network shall set the 5GMM cause value to #76 "Not authorized for this CAG or authorized for CAG cells only" and should include the "CAG information list" in the CAG information list IE in the REGISTRATION REJECT message.

NOTE 2: The network cannot be certain that "CAG information list" stored in the UE is updated as result of sending of the REGISTRATION REJECT message with the CAG information list IE, as the REGISTRATION REJECT message is not necessarily delivered to the UE (e.g. due to abnormal radio conditions).

NOTE 3: The "CAG information list" can be provided by the AMF and include no entry if no "CAG information list" exists in the subscription.

If the initial registration request from a UE not supporting CAG is rejected due to CAG restrictions, the network shall operate as described in bullet j) of subclause 5.5.1.2.8.

The UE shall take the following actions depending on the 5GMM cause value received in the REGISTRATION REJECT message.

#3 (Illegal UE); or

#6 (Illegal ME).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall delete the list of equivalent PLMNs (if any) and enter the state 5GMM-DEREGISTERED.NO-SUPI. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to a UE implementation-specific maximum value.

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to a UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#7 (5GS services not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 5GS services until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall enter the state 5GMM-DEREGISTERED.NO-SUPI. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to a UE implementation-specific maximum value.

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#11 (PLMN not allowed).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs and reset the registration attempt counter and store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same PLMN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#12 (Tracking area not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN for non-integrity protected NAS reject message.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

#13 (Roaming not allowed in this tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall delete the list of equivalent PLMNs (if available) and reset the registration attempt counter.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE or optionally 5GMM-DEREGISTERED.PLMN-SEARCH. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE or optionally 5GMM-DEREGISTERED.PLMN-SEARCH. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

If the UE is registered in S1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in subclause 4.8.3. Otherwise the UE shall perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

#15 (No suitable cells in tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

The UE shall search for a suitable cell in another tracking area according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

If received over non-3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.2.7.

#22 (Congestion).

If the T3346 value IE is present in the REGISTRATION REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below; otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.2.7.

The UE shall abort the initial registration procedure, set the 5GS update status to 5U2 NOT UPDATED, reset the registration attempt counter and enter state 5GMM-DEREGISTERED.ATTEMPTING-REGISTRATION.

The UE shall stop timer T3346 if it is running.

If the REGISTRATION REJECT message is integrity protected, the UE shall start timer T3346 with the value provided in the T3346 value IE.

If the REGISTRATION REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [12].

The UE stays in the current serving cell and applies the normal cell reselection process. The initial registration procedure is started if still needed when timer T3346 expires or is stopped.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach request procedure is rejected with the EMM cause with the same value.

#27 (N1 mode not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter and shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for 3GPP access and the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN; or

2) the SNPN-specific attempt counter for 3GPP access for the current SNPN in case of SNPN and the SNPN-specific attempt counter for non-3GPP access for the current SNPN;

to the UE implementation-specific maximum value.

The UE shall disable the N1 mode capability for the specific access type for which the message was received (see subclause 4.9).

If the message has been successfully integrity checked by the NAS, the UE shall disable the N1 mode capability also for the other access type (see subclause 4.9).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED and shall delete any 4G-GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#31 (Redirection to EPC required).

5GMM cause #31 received by a UE that has not indicated support for CIoT optimizations or received by a UE over non-3GPP access is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter.

The UE shall enable the E-UTRA capability if it was disabled, disable the N1 mode capability for 3GPP access (see subclause 4.9.2) and enter the 5GMM-DEREGISTERED.NO-CELL-AVAILABLE.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when the EPS attach procedure is rejected with the EMM cause with the same value.

#62 (No network slices available).

The UE shall abort the initial registration procedure, set the 5GS update status to 5U2 NOT UPDATED and enter state 5GMM-DEREGISTERED.NORMAL-SERVICE or 5GMM-DEREGISTERED.PLMN-SEARCH. Additionally, the UE shall reset the registration attempt counter.

The UE receiving the rejected NSSAI in the REGISTRATION REJECT message takes the following actions based on the rejection cause in the rejected S-NSSAI(s):

"S-NSSAI not available in the current PLMN or SNPN"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the current PLMN or SNPN as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI(s) in the current PLMN or SNPN until switching off the UE, the UICC containing the USIM is removed, an entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed or deleted as described in subclause 4.6.2.2.

"S-NSSAI not available in the current registration area"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the current registration area as described in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI(s) in the current registration area until switching off the UE, the UE moving out of the current registration area, the UICC containing the USIM is removed, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed or deleted as described in subclause 4.6.2.2.

"S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the failed or revoked NSSAA as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI in the current PLMN over any access until switching off the UE, the UICC containing the USIM is removed, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed or deleted as described in subclause 4.6.1 and 4.6.2.2.

If the UE has an allowed NSSAI or configured NSSAI that contains S-NSSAI(s) which are not included any of the rejected NSSAI for the current PLMN or SNPN, the rejected NSSAI for the current registration area, and the rejected NSSAI for the failed or revoked NSSAA, the UE may stay in the current serving cell, apply the normal cell reselection process and start an initial registration with a requested NSSAI that includes any S-NSSAI from the allowed NSSAI or the configured NSSAI that is neither in the rejected NSSAI for the PLMN or SNPN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA. Otherwise the UE may perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5] and additionally, the UE may disable the N1 mode capability for the current PLMN or SNPN if the UE does not have an allowed NSSAI and each S-NSSAI in configured NSSAI, if available, was rejected with cause "S-NSSAI not available in the current PLMN or SNPN" or "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" as described in subclause 4.9.

If the UE has neither allowed NSSAI for the current PLMN or SNPN nor configured NSSAI for the current PLMN and has a default configured NSSAI containing one or more S-NSSAIs that are not included in any of the rejected NSSAI for the PLMN or SNPN, the rejected NSSAI for the current registration area, and the rejected NSSAI for the failed or revoked NSSAA, the UE may stay in the current serving cell, apply the normal cell reselection process, and start an initial registration with a requested NSSAI with that default configured NSSAI. Otherwise, the UE may perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5] and additionally, the UE may disable the N1 mode capability for the current PLMN or SNPN if each S-NSSAI in the default configured NSSAI was rejected with cause "S-NSSAI not available in the current PLMN or SNPN" or "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" as described in subclause 4.9.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU2 NOT UPDATED, reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#72 (Non-3GPP access to 5GCN not allowed).

When received over non-3GPP access the UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter and enter the state 5GMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN: or

2) the SNPN-specific attempt counter for non-3GPP access for that SNPN in case of SNPN;

to the UE implementation-specific maximum value.

NOTE 4: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

The UE shall disable the N1 mode capability for non-3GPP access (see subclause 4.9.3).

As an implementation option, the UE may enter the state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5].

If received over 3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.2.7.

#73 (Serving network not authorized).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs, reset the registration attempt counter, store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A, and enter state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED and shall delete any 4G-GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#74 (Temporarily not authorized for this SNPN).

5GMM cause #74 is only applicable when received from a cell belonging to an SNPN. 5GMM cause #74 received from a cell not belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and store the SNPN identity in the "temporarily forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 5: When 5GMM cause #74 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#75 (Permanently not authorized for this SNPN).

5GMM cause #75 is only applicable when received from a cell belonging to an SNPN with a globally-unique SNPN identity. 5GMM cause #75 received from a cell not belonging to an SNPN or a cell belonging to an SNPN with a non-globally-unique SNPN identity is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and store the SNPN identity in the "permanently forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 6: When 5GMM cause #75 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#76 (Not authorized for this CAG or authorized for CAG cells only).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED, store the 5GS update status according to clause 5.1.3.2.2, and reset the registration attempt counter.

If 5GMM cause #76 is received from:

1) a CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the REGISTRATION REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 7: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, then the UE shall delete the CAG-ID(s) of the cell from the "allowed CAG list" for the current PLMN. In addition:

i) if the entry in the "CAG information list" for the current PLMN does not include an "indication that the UE is only allowed to access 5GS via CAG cells" or if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list";

ii) if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list"; or

iii) if the "CAG information list" does not include an entry for the current PLMN, then the UE shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list".

2) a non-CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the REGISTRATION REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 8: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN, if any. If the "CAG information list" stored in the UE does not include the current PLMN's entry, the UE shall add an entry for the current PLMN to the "CAG information list" and store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN.

In addition:

i) if the "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated CAG information; or

ii) if the "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list".

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED, reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#77 (Wireline access area not allowed).

5GMM cause #77 is only applicable when received from a wireline access network by the 5G-RG or the W-AGF acting on behalf of the FN-CRG. 5GMM cause #77 received from a 5G access network other than a wireline access network and 5GMM cause #77 received by the W-AGF acting on behalf of the FN-BRG are considered as abnormal cases and the behaviour of the UE is specified in subclause 5.5.1.2.7.

When received over wireline access network, the 5G-RG and the W-AGF acting on behalf of the FN-CRG shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2), shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI, shall reset the registration attempt counter, shall enter the state 5GMM-DEREGISTERED and shall act as specified in subclause 5.3.23.

NOTE 9: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

Other values are considered as abnormal cases. The behaviour of the UE in those cases is specified in subclause 5.5.1.2.7.

\*\*\* Next change \*\*\*

##### 5.5.1.3.5 Mobility and periodic registration update not accepted by the network

If the mobility and periodic registration update request cannot be accepted by the network, the AMF shall send a REGISTRATION REJECT message to the UE including an appropriate 5GMM cause value.

If the mobility and periodic registration update request is rejected due to general NAS level mobility management congestion control, the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

In NB-N1 mode, if the mobility and periodic registration update request is rejected due to operator determined barring (see 3GPP TS 29.503 [20AB]), the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

When the UE performs inter-system change from S1 mode to N1 mode, if the AMF is informed that verification of the integrity protection of the TRACKING AREA UPDATE REQUEST message included by the UE in the EPS NAS message container IE of the REGISTRATION REQUEST message has failed in the MME, then:

a) If the AMF can retrieve the current 5G NAS security context as indicated by the ngKSI and 5G-GUTI sent by the UE, the AMF shall proceed as specified in subclause 5.5.1.3.4;

b) if the AMF cannot retrieve the current 5G NAS security context as indicated by the ngKSI and 5G-GUTI sent by the UE, or the ngKSI or 5G-GUTI was not sent by the UE, the AMF may initiate the identification procedure by sending the IDENTITY REQUEST message with the "Type of identity" of the 5GS identity type IE set to "SUCI" before taking actions as specified in subclause 4.4.4.3; or

c) If the AMF needs to reject the mobility and periodic registration update procedure, the AMF shall send REGISTRATION REJECT message including 5GMM cause #9 "UE identity cannot be derived by the network".

If the REGISTRATION REJECT message with 5GMM cause #76 was received without integrity protection, then the UE shall discard the message. If the REGISTRATION REJECT message with 5GMM cause #62 was received without integrity protected, the behaviour of the UE is specified in subclause 5.3.20.2.

Based on operator policy, if the mobility and periodic registration update request is rejected due to core network redirection for CIoT optimizations, the network shall set the 5GMM cause value to #31 "Redirection to EPC required".

NOTE 1: The network can take into account the UE's S1 mode capability, the EPS CIoT network behaviour supported by the UE or the EPS CIoT network behaviour supported by the EPC to determine the rejection with the 5GMM cause value #31 "Redirection to EPC required".

If the mobility and periodic registration update request is rejected because:

a) all the S-NSSAI(s) included in the requested NSSAI (i.e. Requested NSSAI IE or Requested mapped NSSAI IE) are either rejected for the current registration area, rejected for the current PLMN, or rejected for the failed or revoked NSSAA;

b) the UE set the NSSAA bit in the 5GMM capability IE to:

1) "Network slice-specific authentication and authorization supported" and;

i) there are no subscribed S-NSSAIs marked as default;

ii) all subscribed S-NSSAIs marked as default are not allowed; or

iii) network slice-specific authentication and authorization has failed or been revoked for all subscribed S-NSSAIs marked as default and based on network local policy, the network decides not to initiate the network slice-specific re-authentication and re-authorization procedures for any subscribed S-NSSAI marked as default requested by the UE; or

2) "Network slice-specific authentication and authorization not supported" and;

i) there are no subscribed S-NSSAIs which are marked as default; or

ii) all subscribed S-NSSAIs marked as default are either not allowed or are subject to network slice-specific authentication and authorization; and

c) no emergency PDU session has been established for the UE;

the network shall set the 5GMM cause value to #62 "No network slices available". If the UE had included requested NSSAI in the REGISTRATION REQUEST message, then the network shall include the rejected S-NSSAI(s) in the rejected NSSAI of the REGISTRATION REJECT message. Otherwise, the network may include the rejected S-NSSAI(s) in the rejected NSSAI of the REGISTRATION REJECT message.

If the UE has set the ER-NSSAI bit to "Extended rejected NSSAI supported" in the 5GMM capability IE of the REGISTRATION REQUEST message, the rejected S-NSSAI(s) shall be included in the Extended rejected NSSAI IE of the REGISTRATION REJECT message. Otherwise the rejected S-NSSAI(s) shall be included in the Rejected NSSAI IE of the REGISTRATION REJECT message.

If the mobility and periodic registration update request from a UE supporting CAG is rejected due to CAG restrictions, the network shall set the 5GMM cause value to #76 "Not authorized for this CAG or authorized for CAG cells only" and should include the "CAG information list" in the CAG information list IE in the REGISTRATION REJECT message.

NOTE 2: The network cannot be certain that "CAG information list" stored in the UE is updated as result of sending of the REGISTRATION REJECT message with the CAG information list IE, as the REGISTRATION REJECT message is not necessarily delivered to the UE (e.g due to abnormal radio conditions).

If the mobility and periodic registration update request from a UE not supporting CAG is rejected due to CAG restrictions, the network shall operate as described in bullet i) of subclause 5.5.1.3.8.

The UE shall take the following actions depending on the 5GMM cause value received in the REGISTRATION REJECT message.

#3 (Illegal UE); or

#6 (Illegal ME).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed.

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall delete the list of equivalent PLMNs (if any) and shall move to 5GMM-DEREGISTERED.NO-SUPI state. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

to UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed. If the UE is in EMM-REGISTERED state, the UE shall move to EMM-DEREGISTERED state. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#7 (5GS services not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 5GS services until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall move to 5GMM-DEREGISTERED.NO-SUPI state. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to UE implementation-specific maximum value.

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#9 (UE identity cannot be derived by the network).

The UE shall set the 5GS update status to 5U2 NOT UPDATED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall enter the state 5GMM-DEREGISTERED.

If the UE has initiated the registration procedure in order to enable performing the service request procedure for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GCN according to the domain priority and selection rules specified in 3GPP TS 23.167 [6]. If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures. If the UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access.

If the rejected request was neither for initiating an emergency PDU session nor for emergency services fallback, the UE shall subsequently, automatically initiate the initial registration procedure.

NOTE 3: User interaction is necessary in some cases when the UE cannot re-establish the PDU session(s) automatically.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

#10 (implicitly de-registered).

The UE shall enter the state 5GMM-DEREGISTERED.NORMAL-SERVICE. The UE shall delete any mapped 5G NAS security context or partial native 5G NAS security context.

If the UE has initiated the registration procedure in order to enable performing the service request procedure for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GCN according to the domain priority and selection rules specified in 3GPP TS 23.167 [6]. If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures.

If the rejected request was neither for initiating an emergency PDU session nor for emergency services fallback, the UE shall perform a new registration procedure for initial registration.

NOTE 4: User interaction is necessary in some cases when the UE cannot re-establish the PDU session(s) automatically.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM state as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

#11 (PLMN not allowed).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A, delete the list of equivalent PLMNs, reset the registration attempt counter and enter the state 5GMM-DEREGISTERED.PLMN-SEARCH. The UE shall perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same PLMN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#12 (Tracking area not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN for non-integrity protected NAS reject message.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

#13 (Roaming not allowed in this tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete the list of equivalent PLMNs (if available). The UE shall reset the registration attempt counter and shall change to state 5GMM-REGISTERED.PLMN-SEARCH.

If the UE is registered in S1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in subclause 4.8.3. Otherwise if:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and shall remove the current TAI from the stored TAI list if present. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

The UE shall perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

#15 (No suitable cells in tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2). The UE shall reset the registration attempt counter and shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE.

If the UE has initiated the registration procedure in order to enable performing the service request procedure for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GC according to the emergency services support indicator (see 3GPP TS 36.331 [25A]). If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures. If the UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access. Otherwise, the UE shall search for a suitable cell in another tracking area according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C].

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and shall remove the current TAI from the stored TAI list, if present. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN and shall remove the current TAI from the stored TAI list, if present. If the REGISTRATION REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

If received over non-3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.3.7.

#22 (Congestion).

If the T3346 value IE is present in the REGISTRATION REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below, otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.3.7.

The UE shall abort the registration procedure for mobility and periodic registration update. If the rejected request was not for initiating an emergency PDU session, the UE shall set the 5GS update status to 5U2 NOT UPDATED, reset the registration attempt counter and change to state 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE.

The UE shall stop timer T3346 if it is running.

If the REGISTRATION REJECT message is integrity protected, the UE shall start timer T3346 with the value provided in the T3346 value IE.

If the REGISTRATION REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [12].

The UE stays in the current serving cell and applies the normal cell reselection process. The registration procedure for mobility and periodic registration update is started, if still necessary, when timer T3346 expires or is stopped.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

If the registration procedure for mobility and periodic registration update was initiated for an MO MMTEL voice call (i.e. access category 4), or an MO MMTEL video call (i.e. access category 5), or an MO IMS registration related signalling (i.e. access category 9) or for NAS signalling connection recovery during an ongoing MO MMTEL voice call (i.e. access category 4), or during an ongoing MO MMTEL video call (i.e. access category 5) or during an ongoing MO IMS registration related signalling (i.e. access category 9), then a notification that the request was not accepted due to network congestion shall be provided to upper layers.

#27 (N1 mode not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2). Additionally, the UE shall reset the registration attempt counter and shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for 3GPP access and the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN; or

2) the SNPN-specific attempt counter for 3GPP access for the current SNPN and the SNPN-specific attempt counter for non-3GPP access for the current SNPN in case of SNPN;

to the UE implementation-specific maximum value.

The UE shall disable the N1 mode capability for the specific access type for which the message was received (see subclause 4.9).

If the message has been successfully integrity checked by the NAS, the UE shall disable the N1 mode capability also for the other access type (see subclause 4.9).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED. Additionally, the UE shall reset the tracking area updating attempt counter and enter the state EMM-REGISTERED.

#31 (Redirection to EPC required).

5GMM cause #31 received by a UE that has not indicated support for CIoT optimizations or received by a UE over non-3GPP access is considered an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2). The UE shall reset the registration attempt counter and enter the state 5GMM- REGISTERED.LIMITED-SERVICE.

The UE shall enable the E-UTRA capability if it was disabled and disable the N1 mode capability for 3GPP access (see subclause 4.9.2).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, and tracking area updating attempt counter as specified in 3GPP TS 24.301 [15] for the case when the normal tracking area updating procedure is rejected with the EMM cause with the same value.

#62 (No network slices available).

The UE shall abort the registration procedure for mobility and periodic registration update procedure, set the 5GS update status to 5U2 NOT UPDATED and enter state 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE. Additionally, the UE shall reset the registration attempt counter.

The UE receiving the rejected NSSAI in the REGISTRATION REJECT message takes the following actions based on the rejection cause in the rejected S-NSSAI(s):

"S-NSSAI not available in the current PLMN or SNPN"

The UE shall add the rejected S-NSSAI(s) in the rejected NSSAI for the current PLMN or SNPN as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI(s) in the current PLMN or SNPN until switching off the UE, the UICC containing the USIM is removed, an entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed as described in subclause 4.6.2.2.

"S-NSSAI not available in the current registration area"

The UE shall add the rejected S-NSSAI(s) in the rejected NSSAI for the current registration area as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI(s) in the current registration area until switching off the UE, the UE moving out of the current registration area, the UICC containing the USIM is removed, an entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed as described in subclause 4.6.2.2.

"S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the failed or revoked NSSAA as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI in the current PLMN over any access until switching off the UE, the UICC containing the USIM is removed, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed or deleted as described in subclause 4.6.1 and 4.6.2.2.

If the UE has an allowed NSSAI or configured NSSAI that contains S-NSSAIs which are not included in any of the rejected NSSAI for the PLMN or SNPN, the rejected NSSAI for the current registration area, and the rejected NSSAI for the failed or revoked NSSAA, the UE may stay in the current serving cell, apply the normal cell reselection process and start a registration procedure for mobility and periodic registration update with a requested NSSAI that includes any S-NSSAI from the allowed S-NSSAI or the configured NSSAI that is neither in the rejected NSSAI for the PLMN or SNPN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA. Otherwise the UE may perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5] and additionally, the UE may disable the N1 mode capability for the current PLMN or SNPN if the UE does not have an allowed NSSAI and each S-NSSAI in the configured NSSAI, if available, was rejected with cause "S-NSSAI not available in the current PLMN or SNPN" or "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" as described in subclause 4.9.

If the UE has neither allowed NSSAI for the current PLMN or SNPN nor configured NSSAI for the current PLMN and has a default configured NSSAI containing one or more S-NSSAIs that are not included in any of the rejected NSSAI for the PLMN or SNPN, the rejected NSSAI for the current registration area, and the rejected NSSAI for the failed or revoked NSSAA, the UE may stay in the current serving cell, apply the normal cell reselection process, and start a registration procedure for mobility and periodic registration update with a requested NSSAI with that default configured NSSAI. Otherwise, the UE may perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5] and additionally, the UE may disable the N1 mode capability for the current PLMN or SNPN if each S-NSSAI in the default configured NSSAI was rejected with cause "S-NSSAI not available in the current PLMN or SNPN" or "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" as described in subclause 4.9.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU2 NOT UPDATED, reset the attach attempt counter and enter the state EMM-REGISTERED.

#72 (Non-3GPP access to 5GCN not allowed).

When received over non-3GPP access the UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter and enter the state 5GMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN; or

2) the SNPN-specific attempt counter for non-3GPP access for that SNPN in case of SNPN;

to the UE implementation-specific maximum value.

NOTE 5: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

The UE shall disable the N1 mode capability for non-3GPP access (see subclause 4.9.3).

As an implementation option, the UE may enter the state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5].

If received over 3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.3.7.

#73 (Serving network not authorized).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs, reset the registration attempt counter, store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A, and enter state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED and shall delete any 4G-GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the tracking area updating attempt counter and enter the state EMM-DEREGISTERED.

#74 (Temporarily not authorized for this SNPN).

5GMM cause #74 is only applicable when received from a cell belonging to an SNPN. 5GMM cause #74 received from a cell not belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and store the SNPN identity in the "temporarily forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 6: When 5GMM cause #74 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#75 (Permanently not authorized for this SNPN).

5GMM cause #75 is only applicable when received from a cell belonging to an SNPN with a globally-unique SNPN identity. 5GMM cause #75 received from a cell not belonging to an SNPN or a cell belonging to an SNPN with a non-globally-unique SNPN identity is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and store the SNPN identity in the "permanently forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 7: When 5GMM cause #75 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#76 (Not authorized for this CAG or authorized for CAG cells only).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.7.

The UE shall set the 5GS update status to 5U3.ROAMING NOT ALLOWED, store the 5GS update status according to clause 5.1.3.2.2, and reset the registration attempt counter.

If 5GMM cause #76 is received from:

1) a CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the REGISTRATION REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 8: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall delete the CAG-ID(s) of the cell from the "allowed CAG list" for the current PLMN. In addition:

i) if the entry in the "CAG information list" for the current PLMN does not include an "indication that the UE is only allowed to access 5GS via CAG cells" or if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list";

ii) if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list"; or

iii) if the "CAG information list" does not include an entry for the current PLMN, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list".

2) a non-CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the REGISTRATION REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 9: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN, if any. If the "CAG information list" stored in the UE does not include the current PLMN’s entry, the UE shall add an entry for the current PLMN to the "CAG information list" and store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN.

In addition:

i) if the "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated CAG information; or

ii) if the "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list".

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED, reset the attach attempt counter and enter the state EMM-REGISTERED.

#77 (Wireline access area not allowed).

5GMM cause #77 is only applicable when received from a wireline access network by the 5G-RG or the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device). 5GMM cause #77 received from a 5G access network other than a wireline access network and 5GMM cause #77 received by the W-AGF acting on behalf of the FN-BRG are considered as abnormal cases and the behaviour of the UE is specified in subclause 5.5.1.3.7.

When received over wireline access network, the 5G-RG and the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device) shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2), shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI, shall reset the registration attempt counter, shall enter the state 5GMM-DEREGISTERED and shall act as specified in subclause 5.3.23.

NOTE 10: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

Other values are considered as abnormal cases. The behaviour of the UE in those cases is specified in subclause 5.5.1.3.7.

\*\*\* Next change \*\*\*

##### 5.5.2.3.2 Network-initiated de-registration procedure completion by the UE

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration required" and the de-registration request is for 3GPP access, the UE shall perform a local release of the PDU sessions over 3GPP access, if any. The UE shall stop the timer(s) T3346, T3396, T3584, T3585 and 5GSM back-off timer(s) not related to congestion control (see subclause 6.2.12), if running. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for 3GPP access. Furthermore, the UE shall, after the completion of the de-registration procedure, and the release of the existing NAS signalling connection, initiate an initial registration. The UE should also re-establish any previously established PDU sessions over 3GPP access.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration required" and the de-registration request is for non-3GPP access, the UE shall perform a local release of the PDU sessions over non-3GPP access, if any. The UE shall stop the timer(s) T3346, T3396, T3584 and T3585, if it is running. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for non-3GPP access. Furthermore, the UE shall, after the completion of the de-registration procedure, and the release of the existing NAS signalling connection, initiate an initial registration over non-3GPP. The UE should also re-establish any previously established PDU sessions over non-3GPP access.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration required" and the de-registration request is for both 3GPP access and non-3GPP access when the UE is registered in the same PLMN for both accesses, the UE shall perform a local release of the PDU sessions over both 3GPP access and non-3GPP access, if any. The UE shall stop the timer(s) T3346, T3396, T3584 and T3585, if it is running. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for both 3GPP access and non-3GPP access. Furthermore, the UE shall, after the completion of the de-registration procedure, and the release of the existing NAS signalling connection, initiate an initial registration over both 3GPP access and non-3GPP access. The UE should also re-establish any previously established PDU sessions over both 3GPP access and non-3GPP access.

NOTE 1: When the de-registration type indicates "re-registration required", user interaction is necessary in some cases when the UE cannot re-establish the PDU session (s), if any, automatically.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration not required" and the de-registration request is for 3GPP access, the UE shall perform a local release of the PDU sessions over 3GPP access, if any. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for 3GPP access.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration not required" and the de-registration request is for non-3GPP access, the UE shall perform a local release of the PDU sessions over non-3GPP access, if any. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for non-3GPP access.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message indicates "re-registration not required" and the de-registration request is for both 3GPP access and non-3GPP access when the UE is registered in the same PLMN for both accesses, the UE shall perform a local release of the PDU sessions over both 3GPP access and non-3GPP access, if any. The UE shall send a DEREGISTRATION ACCEPT message to the network and enter the state 5GMM-DEREGISTERED for both 3GPP access and non-3GPP access.

Upon receiving the DEREGISTRATION REQUEST message, if the DEREGISTRATION REQUEST message includes the rejected NSSAI, the UE takes the following actions based on the rejection cause in the rejected S-NSSAI(s):

"S-NSSAI not available in the current PLMN or SNPN"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the current PLMN or SNPN as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI in the current PLMN or SNPN until switching off the UE, the UICC containing the USIM is removed, or the rejected S-NSSAI(s) are removed as described in subclause 4.6.2.2.

"S-NSSAI not available in the current registration area"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the current registration area as described in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI(s) in the current registration area until switching off the UE, the UE moving out of the current registration area, the UICC containing the USIM is removed, an entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed as described in subclause 4.6.2.2.

"S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization"

The UE shall store the rejected S-NSSAI(s) in the rejected NSSAI for the failed or revoked NSSAA as specified in subclause 4.6.2.2 and shall not attempt to use this S-NSSAI in the current PLMN over any access until switching off the UE, the UICC containing the USIM is removed, the entry of the "list of subscriber data" with the SNPN identity of the current SNPN is updated, or the rejected S-NSSAI(s) are removed or deleted as described in subclause 4.6.1 and 4.6.2.2.

Upon sending a DEREGISTRATION ACCEPT message, the UE shall delete the rejected NSSAI as specified in subclause 4.6.2.2.

If the de-registration type indicates "re-registration required", then the UE shall ignore the 5GMM cause IE if received.

If the de-registration type indicates "re-registration not required", the UE shall take the actions depending on the received 5GMM cause value:

#3 (Illegal UE);

#6 (Illegal ME)

The message was received via 3GPP access and the UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

- In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall delete the list of equivalent PLMNs (if any) and shall enter the state 5GMM-DEREGISTERED.NO-SUPI.

The UE shall delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required". The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed.

If the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#7 (5GS services not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 5GS services until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall enter the state 5GMM-DEREGISTERED.NO-SUPI.

The UE shall delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required".

If the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#11 (PLMN not allowed).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs, shall reset the registration attempt counter and enter the state 5GMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A.

The UE shall perform a PLMN selection according to 3GPP TS 23.122 [5].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required".

If the UE also supports the registration procedure over the other access to the same PLMN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#12 (Tracking area not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE.

If the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service". Otherwise, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters, EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required".

#13 (Roaming not allowed in this tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs (if available), reset the registration attempt counter and shall change to state 5GMM-DEREGISTERED.PLMN-SEARCH.

If the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming". Otherwise, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN.

The UE shall perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5]

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required".

#15 (No suitable cells in tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE.

If the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming". Otherwise the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN.

The UE shall search for a suitable cell in another tracking area according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list, eKSI and attach attempt counter as specified in 3GPP TS 24.301 [15] for the case when a DETACH REQUEST is received with the EMM cause with the same value and with detach type set to "re-attach not required".

If received over non-3GPP access and de-registration request is for non-3GPP access only, the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.2.3.4.

#22 (Congestion).

If the T3346 value IE is present in the DEREGISTRATION REQUEST message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below, otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.2.3.4.

The UE shall stop timer T3346 if it is running, set the 5GS update status to 5U2 NOT UPDATED, reset the registration attempt counter and enter the state 5GMM-DEREGISTERED.ATTEMPTING-REGISTRATION.

The UE shall start timer T3346 with the value provided in the T3346 value IE.

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall set the EPS update status to EU2 NOT UPDATED, reset the attach attempt counter and shall enter the state EMM-DEREGISTERED.

#27 (N1 mode not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. Additionally, the UE shall reset the registration attempt counter and shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE.

The UE shall disable the N1 mode capability for both 3GPP access and non-3GPP access (see subclause 4.9).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED and shall delete any 4G-GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#62 (No network slices available).

The UE shall set the 5GS update status to 5U2 NOT UPDATED and enter state 5GMM-DEREGISTERED.NORMAL-SERVICE or 5GMM-DEREGISTERED.PLMN-SEARCH. Additionally, the UE shall reset the registration attempt counter.

If the UE has a configured NSSAI that contains S-NSSAI(s) which are not included in the rejected NSSAI as rejected for the current PLMN or SNPN or rejected for the current registration area, the UE may stay in the current serving cell, may apply the normal cell reselection process, and may start an initial registration procedure with a requested NSSAI that includes any S-NSSAI from the configured NSSAI that is not in the rejected NSSAI as rejected for the PLMN or SNPN or rejected for the current registration area. Otherwise, the UE may perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5] and additionally, the UE may disable the N1 mode capability for the current PLMN or SNPN if the UE does not have an allowed NSSAI and each S-NSSAI configured NSSAI, if available, was rejected with cause "S-NSSAI not available in the current PLMN or SNPN" or "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" as described in subclause 4.9.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU2 NOT UPDATED, reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#72 (Non-3GPP access to 5GCN not allowed).

If received over non-3GPP access when the UE is registered over non-3GPP access, or received over 3GPP access and de-registration request is for non-3GPP access when the UE is registered in the same PLMN for both accesses, the UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI for non-3GPP access. Additionally, the UE shall reset the registration attempt counter and enter the state 5GMM-DEREGISTERED for non-3GPP access.

NOTE 2: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

The UE shall disable the N1 mode capability for non-3GPP access (see subclause 4.9.3).

As an implementation option, if the UE is not currently registered over 3GPP access, the UE may enter the state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5].

If received over 3GPP access and de-registration request is for 3GPP access only, the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.2.3.4.

#74 (Temporarily not authorized for this SNPN).

This cause value received from a cell belonging to a PLMN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

5GMM cause #74 is only applicable when received from a cell belonging to an SNPN. 5GMM cause #74 received from a cell not belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and shall store the SNPN identity in the "temporarily forbidden SNPNs" list. for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]

#75 (Permanently not authorized for this SNPN).

This cause value received from a cell belonging to a PLMN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

5GMM cause #75 is only applicable when received from a cell belonging to an SNPN with a globally-unique SNPN identity. 5GMM cause #75 received from a cell not belonging to an SNPN or a cell belonging to an SNPN with a non-globally-unique SNPN identity is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall reset the registration attempt counter and store the SNPN identity in the "permanently forbidden SNPNs" list. for the specific access type for which the message was received The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5].

#76 (Not authorized for this CAG or authorized for CAG cells only).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.2.3.4.

The UE shall set the 5GS update status to 5U3.ROAMING NOT ALLOWED, store the 5GS update status according to clause 5.1.3.2.2, and reset the registration attempt counter.

If 5GMM cause #76 is received from:

1) a CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the DEREGISTRATION REQUEST message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 3: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall delete the CAG-ID(s) of the cell from the "allowed CAG list" for the current PLMN. In addition:

i) if the entry in the "CAG information list" for the current PLMN does not include an "indication that the UE is only allowed to access 5GS via CAG cells" or if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list"; or

ii) if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list".

2) a non-CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the DEREGISTRATION REQUEST message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received CAG information list IE when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 4: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN, if any. If the "CAG information list" stored in the UE does not include the current PLMN’s entry, the UE shall add an entry for the current PLMN to the "CAG information list" and store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN.

In addition:

i) if the "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated CAG information; or

ii) if the "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list".

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED, reset the attach attempt counter and enter the state EMM-DEREGISTERED.

#77 (Wireline access area not allowed).

5GMM cause #77 is only applicable when received from a wireline access network by the 5G-RG or the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device). 5GMM cause #77 received from a 5G access network other than a wireline access network and 5GMM cause #77 received by the W-AGF acting on behalf of the FN-BRG are considered as abnormal cases and the behaviour of the UE is specified in subclause 5.5.2.3.4.

When received over wireline access network, the 5G-RG and the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device) shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2), shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI, shall reset the registration attempt counter, shall enter the state 5GMM-DEREGISTERED and shall act as specified in subclause 5.3.23.

NOTE 5: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

\*\*\* Next change \*\*\*

#### 5.6.1.5 Service request procedure not accepted by the network

If the service request cannot be accepted, the network shall return a SERVICE REJECT message to the UE including an appropriate 5GMM cause value.

If the SERVICE REJECT message with 5GMM cause #76 was received without integrity protection, then the UE shall discard the message.

If the AMF needs to initiate PDU session status synchronisation or a PDU session status IE was included in the SERVICE REQUEST message, the AMF shall include a PDU session status IE in the SERVICE REJECT message to indicate which PDU sessions associated with the access type the SERVICE REJECT message is sent over are active in the AMF. If the PDU session status IE is included in the SERVICE REJECT message and if the message is integrity protected, then:

a) for single access PDU sessions, the UE shall perform a local release of all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE or PDU SESSION ACTIVE PENDING on the UE side associated with the access type the SERVICE REJECT message is sent over, but are indicated by the AMF as being in 5GSM state PDU SESSION INACTIVE; and

b) for MA PDU sessions, for all those PDU sessions which are not in 5GSM state PDU SESSION INACTIVE or PDU SESSION ACTIVE PENDING and have user plane resources established on the UE side associated with the access the SERVICE REJECT message is sent over, but are indicated by the AMF as no user plane resources established:

1) for MA PDU sessions having user plane resources established only on the access type the SERVICE REJECT message is sent over, the UE shall perform a local release of those MA PDU sessions; and

2) for MA PDU sessions having user plane resources established on both accesses, the UE shall perform a local release on the user plane resources on the access type the SERVICE REJECT message is sent over.

If the service request for mobile originated services is rejected due to general NAS level mobility management congestion control, the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

In NB-N1 mode, if the service request for mobile originated services is rejected due to operator determined barring (see 3GPP TS 29.503 [20AB]), the network shall set the 5GMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

If the service request from a UE supporting CAG is rejected due to CAG restrictions, the network shall set the 5GMM cause value to #76 "Not authorized for this CAG or authorized for CAG cells only" and should include the "CAG information list" in the CAG information list IE in the SERVICE REJECT message.

NOTE 0: The network cannot be certain that "CAG information list" stored in the UE is updated as result of sending of the SERVICE REJECT message with the CAG information list IE, as the SERVICE REJECT message is not necessarily delivered to the UE (e.g., due to abnormal radio conditions).

If the service request from a UE not supporting CAG is rejected due to CAG restrictions, the network shall operate as described in bullet h) of subclause 5.6.1.8.

Upon receipt of the CONTROL PLANE SERVICE REQUEST message with uplink data:

- if the AMF decides to not forward the uplink data piggybacked in the CONTROL PLANE SERVICE REQUEST message; and

- if the AMF decides to activate the congestion control for transport of user data via the control plane,

then the AMF shall send a SERVICE REJECT message and set the 5GMM cause value to #22 "congestion" and assign a value for control plane data back-off timer T3448.

If the AMF determines that the UE is in a non-allowed area or is not in an allowed area as specified in subclause 5.3.5, then:

a) if the service type IE in the SERVICE REQUEST message is set to "signalling" or "data", the AMF shall send a SERVICE REJECT message with the 5GMM cause value set to #28 "Restricted service area";

b) otherwise, if the service type IE in the SERVICE REQUEST message is set to "mobile terminated services", "emergency services", "emergency services fallback", "high priority access" or "elevated signalling", the AMF shall continue the process as specified in subclause 5.6.1.4 unless for other reasons the service request cannot be accepted.

If the service request for mobile originated services is rejected due to service gap control as specified in subclause 5.3.17, i.e. the T3447 timer is running in AMF, the network shall set the 5GMM cause value to #22 "Congestion" and may include T3346 value IE in the SERVICE REJECT message set to the remaining time of the running T3447 timer.

Based on operator policy, if the service request procedure is rejected due to core network redirection for CIoT optimizations, the network shall set the 5GMM cause value to #31 "Redirection to EPC required".

NOTE 1: The network can take into account the UE's S1 mode capability, the EPS CIoT network behaviour supported by the UE or the EPS CIoT network behaviour supported by the EPC to determine the rejection with the 5GMM cause value #31 "Redirection to EPC required".

On receipt of the SERVICE REJECT message, if the UE is in state 5GMM-SERVICE-REQUEST-INITIATED, the UE shall reset the service request attempt counter and stop timer T3517 if running.

The UE shall take the following actions depending on the 5GMM cause value received in the SERVICE REJECT message.

#3 (Illegal UE);

#6 (Illegal ME);

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall delete the list of equivalent PLMNs (if any) and shall enter the state 5GMM-DEREGISTERED.NO-SUPI. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to UE implementation-specific maximum value.

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#7 (5GS services not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

In case of PLMN, the UE shall consider the USIM as invalid for 5GS services until switching off or the UICC containing the USIM is removed;

In case of SNPN, if the UE does not support access to an SNPN using credentials from a credentials holder, the UE shall consider the entry of the "list of subscriber data" with the SNPN identity of the current SNPN as invalid for 5GS services until the UE is switched off or the entry is updated. In case of SNPN, if the UE supports access to an SNPN using credentials from a credentials holder, the UE shall consider the selected entry of the "list of subscriber data" as invalid for 3GPP access until the UE is switched off or the entry is updated. Additionally, if EAP based primary authentication and key agreement procedure using EAP-AKA' or 5G AKA based primary authentication and key agreement procedure was performed in the current SNPN, the UE shall consider the USIM as invalid for the current SNPN until switching off or the UICC containing the USIM is removed.

The UE shall enter the state 5GMM-DEREGISTERED.NO-SUPI. If the message has been successfully integrity checked by the NAS, then the UE shall:

1) set the counter for "SIM/USIM considered invalid for GPRS services" events and the counter for "USIM considered invalid for 5GS services over non-3GPP access" events in case of PLMN; or

2) set the counter for "the entry for the current SNPN considered invalid for 3GPP access" events and the counter for "the entry for the current SNPN considered invalid for non-3GPP access" events in case of SNPN;

to UE implementation-specific maximum value.

3) delete the 5GMM parameters stored in non-volatile memory of the ME as specified in annex C.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 2: The possibility to configure a UE so that the radio transceiver for a specific radio access technology is not active, although it is implemented in the UE, is outside the scope of the present document.

#9 (UE identity cannot be derived by the network).

The UE shall set the 5GS update status to 5U2 NOT UPDATED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall enter the state 5GMM-DEREGISTERED.

If the service request was initiated for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GCN according to the domain priority and selection rules specified in 3GPP TS 23.167 [6]. If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures. If the UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access.

If the service request was initiated for any reason other than emergency services fallback or initiating an emergency PDU session, the UE shall perform a new initial registration procedure.

NOTE 3: User interaction is necessary in some cases when the UE cannot re-establish the PDU session(s) automatically.

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

#10 (Implicitly de-registered).

The UE shall enter the state 5GMM-DEREGISTERED.NORMAL-SERVICE. The UE shall delete any mapped 5G NAS security context or partial native 5G NAS security context.

If the service request was initiated for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GCN according to the domain priority and selection rules specified in 3GPP TS 23.167 [6]. If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures. If the UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access.

If the rejected request was neither for initiating an emergency PDU session nor for emergency services fallback, the UE shall perform a new initial registration procedure.

NOTE 4: User interaction is necessary in some cases when the UE cannot re-establish the PDU session(s) automatically.

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall handle the EMM state as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

#11 (PLMN not allowed).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs and store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A. The UE shall enter the state 5GMM-DEREGISTERED and perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same PLMN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

#12 (Tracking area not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN and enter the state 5GMM-DEREGISTERED.LIMITED-SERVICE. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for regional provision of service" for the current SNPN for non-integrity protected NAS reject message.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state, EPS update status, 4G-GUTI, last visited registered TAI, TAI list and eKSI as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

#13 (Roaming not allowed in this tracking area).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2). The UE shall enter the state 5GMM-REGISTERED.PLMN-SEARCH.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and remove the current TAI from the stored TAI list if present. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN and remove the current TAI from the stored TAI list if present. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

The UE shall perform a PLMN selection or SNPN selection according to 3GPP TS 23.122 [5].

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters EMM state and EPS update status as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

#15 (No suitable cells in tracking area).

The UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE.

If:

1) the UE is not operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" and remove the current TAI from the stored TAI list if present. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for non-integrity protected NAS reject message; or

2) the UE is operating in SNPN access operation mode, the UE shall store the current TAI in the list of "5GS forbidden tracking areas for roaming" for the current SNPN and remove the current TAI from the stored TAI list if present. If the SERVICE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "5GS forbidden tracking areas for roaming" for the current SNPN for non-integrity protected NAS reject message.

If the UE initiated service request for emergency services fallback, the UE shall attempt to select an E-UTRA cell connected to EPC or 5GC according to the emergency services support indicator (see 3GPP TS 36.331 [25A]). If the UE finds a suitable E-UTRA cell, it then proceeds with the appropriate EMM or 5GMM procedures. If the UE operating in single-registration mode has changed to S1 mode, it shall disable the N1 mode capability for 3GPP access.

If the service request was not initiated for emergency services fallback, the UE shall search for a suitable cell in another tracking area according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C].

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall handle the EMM parameters EMM state and EPS update status as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

If received over non-3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.6.1.7.

#22 (Congestion).

If the T3346 value IE is present in the SERVICE REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below, otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.6.1.7.

If the rejected request was not for initiating an emergency PDU session, the UE shall abort the service request procedure and enter state 5GMM-REGISTERED and stop timer T3517 if still running.

The UE shall stop timer T3346 if it is running.

If the SERVICE REJECT message is integrity protected, the UE shall start timer T3346 with the value provided in the T3346 value IE.

If the SERVICE REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [12].

For all other cases the UE stays in the current serving cell and applies normal cell reselection process. The service request procedure is started, if still necessary, when timer T3346 expires or is stopped.

If the message was received via 3GPP access and the UE is operating in the single-registration mode, the UE shall handle the EMM parameters EMM state and EPS update status as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

If the service request procedure was initiated for an MO MMTEL voice call (i.e. access category 4), or for an MO MMTEL video call (i.e. access category 5) or for an MO IMS registration related signalling (i.e. access category 9), a notification that the service request was not accepted due to congestion shall be provided to the upper layers.

If the UE is using 5GS services with control plane CIoT 5GS optimization and if the T3448 value IE is present in the SERVICE REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall:

a) stop timer T3448 if it is running;

b) consider the transport of user data via the control plane as unsuccessful; and

c) start timer T3448:

1) with the value provided in the T3448 value IE if the SERVICE REJECT message is integrity protected; or

2) with a random value from the default range specified in 3GPP TS 24.301 [15] table 10.2.1 if the SERVICE REJECT message is not integrity protected.

If the UE is using 5GS services with control plane CIoT 5GS optimization, the T3448 value IE is present in the SERVICE REJECT message and the value indicates that this timer is either zero or deactivated, the UE shall ignore the T3448 value IE and:

a) stop timer T3448 if it is running; and

b) consider the transport of user data via the control plane as unsuccessful.

If the UE is using 5GS services with control plane CIoT 5GS optimization and if the T3448 value IE is not present in the SERVICE REJECT message, it shall be considered as an abnormal case and the behaviour of UE for this case is specified in subclause 5.6.1.7.

#27 (N1 mode not allowed).

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for 3GPP access and the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN; or

2) the SNPN-specific attempt counter for 3GPP access for the current SNPN and the SNPN-specific attempt counter for non-3GPP access for the current SNPN in case of SNPN

to the UE implementation-specific maximum value.

The UE shall disable the N1 mode capability for the specific access type for which the message was received (see subclause 4.9).

If the message has been successfully integrity checked by the NAS, the UE shall disable the N1 mode capability also for the other access type (see subclause 4.9).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED and enter the state EMM-REGISTERED.

#28 (Restricted service area).

The UE shall enter the state 5GMM-REGISTERED.NON-ALLOWED-SERVICE, wait for the release of the N1 NAS signalling connection and perform the registration procedure for mobility and periodic registration update if the service type IE in the SERVICE REQUEST message was not set to "elevated signalling" and the SERVICE REJECT message is received over 3GPP access (see subclause 5.3.5 and 5.5.1.3).

If the service type IE in the SERVICE REQUEST message was set to "elevated signalling", the UE shall not re-initiate service request procedure until the UE enters an allowed area or leaves a non-allowed area, except for emergency services, high priority access or responding to paging or notification.

#31 (Redirection to EPC required).

5GMM cause #31 received by a UE that has not indicated support for CIoT optimizations or received by a UE over non-3GPP access is considered an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2). The UE shall reset the service request attempt counter and enter the state 5GMM-REGISTERED.LIMITED-SERVICE.

The UE shall enable the E-UTRA capability if it was disabled and disable the N1 mode capability for 3GPP access (see subclause 4.9.2).

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall handle the EMM parameters, EMM state, and EPS update status as specified in 3GPP TS 24.301 [15] for the case when the service request procedure is rejected with the EMM cause with the same value.

#72 (Non-3GPP access to 5GCN not allowed).

If the UE initiated the service request procedure over non-3GPP access, the UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI for non-3GPP access. Additionally, the UE shall enter the state 5GMM-DEREGISTERED for non-3GPP access. If the message has been successfully integrity checked by the NAS, the UE shall set:

1) the PLMN-specific N1 mode attempt counter for non-3GPP access for that PLMN in case of PLMN; or

2) the SNPN-specific attempt counter for non-3GPP access for that SNPN in case of SNPN;

to the UE implementation-specific maximum value.

NOTE 5: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

The UE shall disable the N1 mode capability for non-3GPP access (see subclause 4.9.3).

As an implementation option, if the UE is not currently registered over 3GPP access, the UE may enter the state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5].

If received over 3GPP access the cause shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.6.1.7.

#73 (Serving network not authorized).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall delete the list of equivalent PLMNs, store the PLMN identity in the forbidden PLMN list as specified in subclause 5.3.13A, and enter state 5GMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the PLMN-specific attempt counter and the PLMN-specific attempt counter for non-3GPP access for that PLMN to the UE implementation-specific maximum value.

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED, enter the state EMM-DEREGISTERED and shall delete any 4G-GUTI, last visited registered TAI, TAI list and eKSI.

#74 (Temporarily not authorized for this SNPN).

5GMM cause #74 is only applicable when received from a cell belonging to an SNPN. 5GMM cause #74 received from a cell not belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall store the SNPN identity in the "temporarily forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 6: When 5GMM cause #74 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#75 (Permanently not authorized for this SNPN).

5GMM cause #75 is only applicable when received from a cell belonging to an SNPN with a globally-unique SNPN identity. 5GMM cause #75 received from a cell not belonging to an SNPN or a cell belonging to an SNPN with a non-globally-unique SNPN identity is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2) and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI. The UE shall store the SNPN identity in the "permanently forbidden SNPNs" list for the specific access type for which the message was received. The UE shall enter state 5GMM-DEREGISTERED.PLMN-SEARCH and perform an SNPN selection according to 3GPP TS 23.122 [5]. If the message has been successfully integrity checked by the NAS, the UE shall set the SNPN-specific attempt counter for 3GPP access and the SNPN-specific attempt counter for non-3GPP access for the current SNPN to the UE implementation-specific maximum value.

If the message has been successfully integrity checked by the NAS and the UE also supports the registration procedure over the other access to the same SNPN, the UE shall in addition handle 5GMM parameters and 5GMM state for this access, as described for this 5GMM cause value.

NOTE 7: When 5GMM cause #75 is received over 3GPP access, the term "other access" in "the UE also supports the registration procedure over the other access to the same SNPN" is used to express access to SNPN services via a PLMN.

#76 (Not authorized for this CAG or authorized for CAG cells only).

This cause value received from a cell belonging to an SNPN is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.6.1.7.

The UE shall set the 5GS update status to 5U3.ROAMING NOT ALLOWED, store the 5GS update status according to clause 5.1.3.2.2.

If 5GMM cause #76 is received from:

1) a CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the SERVICE REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received "CAG information list" when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 8: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall delete the CAG-ID from the "allowed CAG list" for the current PLMN. In addition:

i) if the entry in the "CAG information list" for the current PLMN does not include an "indication that the UE is only allowed to access 5GS via CAG cells" or if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list";

ii) if the entry in the "CAG information list" for the current PLMN includes an "indication that the UE is only allowed to access 5GS via CAG cells" and the updated "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list"; or

iii) if the "CAG information list" does not include an entry for the current PLMN, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] or 3GPP TS 36.304 [25C] with the updated "CAG information list".

2) a non-CAG cell, and if the UE receives a "CAG information list" in the CAG information list IE included in the SERVICE REJECT message, the UE shall:

i) replace the "CAG information list" stored in the UE with the received "CAG information list" when received in the HPLMN or EHPLMN;

ii) replace the serving VPLMN's entry of the "CAG information list" stored in the UE with the serving VPLMN's entry of the received CAG information list IE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN; or

NOTE 9: When the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN, entries of a PLMN other than the serving VPLMN, if any, in the received CAG information list IE are ignored.

iii) remove the serving VPLMN's entry of the "CAG information list" stored in the UE when the UE receives the CAG information list IE in a serving PLMN other than the HPLMN or EHPLMN and the CAG information list IE does not contain the serving VPLMN's entry.

Otherwise, the UE shall store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN, if any. If the "CAG information list" stored in the UE does not include the current PLMN’s entry, the UE shall add an entry for the current PLMN to the "CAG information list" and store an "indication that the UE is only allowed to access 5GS via CAG cells" in the entry of the "CAG information list" for the current PLMN.

In addition:

i) if the "allowed CAG list" for the current PLMN includes one or more CAG-IDs, then the UE shall enter the state 5GMM-REGISTERED.LIMITED-SERVICE and shall search for a suitable cell according to 3GPP TS 38.304 [28] with the updated CAG information; or

ii) if the "allowed CAG list" for the current PLMN does not include any CAG-ID, then the UE shall enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and shall apply the PLMN selection process defined in 3GPP TS 23.122 [6] with the updated "CAG information list".

If the message was received via 3GPP access and the UE is operating in single-registration mode, the UE shall in addition set the EPS update status to EU3 ROAMING NOT ALLOWED, reset the attach attempt counter and enter the state EMM-REGISTERED.

#77 (Wireline access area not allowed).

5GMM cause #77 is only applicable when received from a wireline access network by the 5G-RG or the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device). 5GMM cause #77 received from a 5G access network other than a wireline access network and 5GMM cause #77 received by the W-AGF acting on behalf of the FN-BRG are considered as abnormal cases and the behaviour of the UE is specified in subclause 5.6.1.7.

When received over wireline access network, the 5G-RG and the W-AGF acting on behalf of the FN-CRG (or on behalf of the N5GC device) shall set the 5GS update status to 5U3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.2.2), shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI, shall enter the state 5GMM-DEREGISTERED and shall act as specified in subclause 5.3.23.

NOTE 10: The 5GMM sublayer states, the 5GMM parameters and the registration status are managed per access type independently, i.e. 3GPP access or non-3GPP access (see subclauses 4.7.2 and 5.1.3).

\*\*\* End of changes \*\*\*