**3GPP TSG-CT WG1 Meeting #130-eC1-21xxxx**

**Electronic meeting, 20-28 May 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **24.501** | **CR** | **3348** | **rev** | **1** | **Current version:** | **17.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network | **x** |

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|  |
| ***Title:***  | PDU session establishment for NR satellite access |
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| ***Source to WG:*** | Nokia, Nokia Shanghai Bell, China Mobile |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5GSAT\_ARCH-CT |  | ***Date:*** | 2021-05-27 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)...Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | S2-2103553 introduces the following requirement. *For NR satellite access, the AMF may decide to verify the UE location. In this case, if the AMF can determine based on the ULI (including Cell ID) received from the gNB that UE has registered to a PLMN that is not allowed to operate at the present UE location, then the AMF may reject the PDU Session Establishment Request and further deregister the UE as specified in clause 4.2.2.3.3. Otherwise, if the AMF is not aware of the UE location with sufficient accuracy to make a final decision, the AMF proceeds with the PDU Session Establishment procedure and may initiate 5GC-NI-LR Procedure as specified in clause 6.10.1 of TS 23.273 [51], and deregisters the UE as specified in clause 4.2.2.3.3 if the information received form the LMF proves that the UE is registered to a PLMN that is not allowed to operate in the UE location.* |
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| ***Summary of change:*** | The AMF may reject PDU session establishment request if the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location. |
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| ***Consequences if not approved:*** | The stage 2 requirement specified above is not fulfilled. |
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| ***Clauses affected:*** | 5.4.5.2.5, 5.4.5.3.1, 5.4.5.3.2, 5.4.5.3.3, 6.4.1.6 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 23.502 CR 2623  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

##### 5.4.5.2.5 Abnormal cases on the network side

The following abnormal cases in AMF are identified:

a) If the Payload container type IE is set to "N1 SM information" and:

1) if the Old PDU session ID IE is not included in the UL NAS TRANSPORT message, the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "initial request" or "MA PDU request", and the SMF selection fails, then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

2) if the Old PDU session ID IE is included in the UL NAS TRANSPORT message, the AMF has a PDU session routing context for the old PDU session ID and the UE and does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "initial request", the AMF received a reallocation requested indication from the SMF indicating that the SMF is to be reallocated, and the SMF selection fails, then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

3) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "existing PDU session" or "MA PDU request", and the user's subscription context obtained from the UDM does not contain an SMF ID for the PDU session ID matching the PDU session ID received from the UE or for the DNN matching the DNN received from the UE such that the SMF ID includes a PLMN identity corresponding to the UE's HPLMN or the current PLMN or the PLMN ID part of the current SNPN, then the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f).

4) if the Old PDU session ID IE is included in the UL NAS TRANSPORT message, and the AMF has a PDU session routing context for the old PDU session ID and the UE and does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "initial request" and the AMF has not received a reallocation requested indication, the AMF should select an SMF with following handlings:

i) if the S-NSSAI IE is not included and the allowed NSSAI contains:

A) one S-NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI as the S-NSSAI;

B) two or more S-NSSAIs and the user's subscription context obtained from UDM contains only one default S-NSSAI that is included in the allowed NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI as the S-NSSAI; or

C) two or more S-NSSAIs and the user's subscription context obtained from UDM contains zero, two or more default S-NSSAI(s) included in the allowed NSSAI, the AMF shall use an S-NSSAI in the allowed NSSAI selected based on operator policy as the S-NSSAI;

ii) if the DNN IE is not included, and the user's subscription context obtained from UDM:

A) contains the default DNN for the S-NSSAI, the AMF shall use the default DNN as the DNN; and

B) does not contain the default DNN for the S-NSSAI, the AMF shall use a locally configured DNN as the DNN;

iii) if the DNN selected by the network is a LADN DNN, the AMF shall determine the UE presence in LADN service area;

iv) if the SMF selection is successful, the AMF should store a PDU session routing context for the PDU session ID and the UE, set the SMF ID in the stored PDU session routing context to the selected SMF ID, and forward the 5GSM message, the PDU session ID, the old PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN determined by the AMF, DNN selected by the network (if different from DNN determined by the AMF), the request type and UE presence in LADN service area (if DNN selected by the network corresponds to an LADN DNN) towards the SMF ID of the PDU session routing context; and

v) if the SMF selection fails, then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

5) if the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is an emergency PDU session, the Request type IE is set to "initial emergency request", the AMF should forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data) and the request type towards the SMF ID of the PDU session routing context;

6) if the Request type IE is set to "initial emergency request" and the S-NSSAI or the DNN is received, the AMF ignores the received S-NSSAI or the DNN and uses the emergency DNN from the AMF emergency configuration data, if any;

7) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, and the Request type IE of the UL NAS TRANSPORT message is either not provided or is provided but set to other value than "initial request", "existing PDU session", "initial emergency request", "existing emergency PDU session" and "MA PDU request", then the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

8) if the AMF unsuccessfully attempted to forward the 5GSM message, the PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN and the request type (if received) towards a SMF ID, then the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f).

9) if the Old PDU session ID IE is included in the UL NAS TRANSPORT message, the AMF does not have a PDU session routing context for the old PDU session ID and the UE, the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "initial request", the AMF should select an SMF with following handlings:

i) if the S-NSSAI IE is not included and the allowed NSSAI contains:

A) one S-NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI as the S-NSSAI;

B) two or more S-NSSAIs and the user's subscription context obtained from UDM contains only one default S-NSSAI that is included in the allowed NSSAI, the AMF shall use the default S-NSSAI in the allowed NSSAI as the S-NSSAI; or

C) two or more S-NSSAIs and the user's subscription context obtained from UDM contains zero, two or more default S-NSSAI(s) included in the allowed NSSAI, the AMF shall use an S-NSSAI in the allowed NSSAI selected based on operator policy as the S-NSSAI.

ii) if the DNN IE is not included, and the user's subscription context obtained from UDM:

A) contains the default DNN for the S-NSSAI, the AMF shall use the default DNN as the DNN; and

B) does not contain the default DNN for the S-NSSAI, the AMF shall use a locally configured DNN as the DNN;

iii) if the DNN selected by the network is a LADN DNN, the AMF shall determine the UE presence in LADN service area;

iv) if the SMF selection is successful, the AMF should store a PDU session routing context for the PDU session ID and the UE, set the SMF ID in the stored PDU session routing context to the selected SMF ID, and forward the 5GSM message, the PDU session ID, the old PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN determined by the AMF, DNN selected by the network (if different from DNN determined by the AMF), the request type and UE presence in LADN service area (if DNN selected by the network corresponds to an LADN DNN) towards the SMF ID of the PDU session routing context; and

v) if the SMF selection fails, then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

10) if the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is not an emergency PDU session, and the Request type IE is included and is set to "existing emergency PDU session", the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

11) if the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is an emergency PDU session, and the Request type IE is included and is set to "existing PDU session", the AMF may forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data), and the request type towards the SMF identified by the SMF ID of the PDU session routing context;

12) if the AMF has a PDU session routing context for the PDU session ID and the UE, the Request type IE is set to "initial request", then the AMF shall perform a local release of the PDU session identified by the PDU session ID and shall request the SMF to perform a local release of the PDU session, and proceed as specified in subclause 5.4.5.2.3;

13) if the Request type IE is set to "initial request" and the S-NSSAI IE contains an S-NSSAI that is not allowed by the network, then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

14) if the Request type IE is set to "existing PDU session", the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is not an emergency PDU session, and the S-NSSAI associated with the PDU session identified by the PDU session ID is not allowed for the target access type, the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

15) if the Request type IE is set to "initial request", "existing PDU session", "modification request" or "MA PDU request", the UE is not configured for high priority access in selected PLMN, and the UE is in non-allowed area or is not in allowed area, the AMF shall send back to the UE the 5GSM message which was not forwarded, and 5GMM cause #28 "Restricted service area" as specified in subclause 5.4.5.3.1 case i);

15a) if the Request type IE is set to "initial request" or "initial emergency request" and the AMF determines that the UE has registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location, then the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case i1); and

16) if the Request type IE is set to "initial request" or "MA PDU request", the AMF is pending the receipt of a REGISTRATION REQUEST message indicating "mobility registration updating" in the 5GS registration type IE, and an emergency PDU session exists for the UE (see subclause 5.4.4.3), the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

17) if the timer T3447 is running and the UE supports service gap control and:

i) the Request type IE:

A) is set to "initial request";

B) is set to "existing PDU session"; or

C is set to "modification request" and the PDU session being modified is a non-emergency PDU session;

ii) the UE is not configured for high priority access in selected PLMN;

iii) the current NAS signalling connection was not triggered by paging; and

iv) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection,

 then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

18) if the AMF has a PDU session routing context for the PDU session ID and the UE, the Request type IE is not included, the UE is not configured for high priority access in selected PLMN, and the PDU session is not an emergency PDU session, then the AMF shall forward the 5GSM message, and the PDU session ID IE towards the SMF identified by the SMF ID of the PDU session routing context with:

i) an exemptionInd attribute indicating "message was exempted from the DNN based congestion activated in the AMF" as specified in 3GPP TS 29.502 [20A], if DNN based congestion control is activated for the selected DNN;

ii) an exemptionInd attribute indicating "message was exempted from the S-NSSAI and DNN based congestion activated in the AMF" as specified in 3GPP TS 29.502 [20A], if S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI and the selected DNN; or

iii) an exemptionInd attribute indicating "message was exempted from the S-NSSAI only based congestion activated in the AMF" as specified in 3GPP TS 29.502 [20A], if S-NSSAI only based congestion control is activated for the selected S-NSSAI;

19) if the Request type IE is set to "MA PDU request" and the S-NSSAI IE contains an S-NSSAI that is not allowed by the network on neither access, then the AMF shall send to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f);

20) if the Request type IE is set to "initial request" and the UE is registered for emergency services over the current access, then the AMF may send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e) or case f); and

21) if the Request type IE is set to "existing PDU session", the UE is attempting to transfer a PDU session from 3GPP access to non-3GPP access, and the PDU session is associated with control plane only indication then the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case e).

b) If the Payload container type IE is set to "SMS" and the AMF does not have an SMSF address associated with the UE or the AMF cannot forward the content of the Payload container IE to the SMSF associated with the SMSF address available in the AMF, the AMF shall abort the procedure.

c) If the Payload container type IE is set to "LTE Positioning Protocol (LPP) message container" and if the Additional information IE is not included in the UL NAS TRANSPORT message or the AMF cannot forward the content of the Payload container IE to the LMF associated with the routing information included in the Additional information IE, the AMF shall abort the procedure.

d) If the Payload container type IE is set to "UE policy container" and the AMF does not have a PCF address associated with the UE or the AMF cannot forward the content of the Payload container IE to the PCF associated with the PCF address available in the AMF, the AMF shall abort the procedure.

e) If the Payload container type IE is set to "Location services message container" and if the Additional information IE is included in the UL NAS TRANSPORT message and the AMF cannot forward the content of the Payload container IE to an LMF associated with the routing information included in the Additional information IE, the AMF shall abort the procedure.

f) If the Payload container type IE is set to "SMS" or "LTE Positioning Protocol (LPP) message container":

1) the timer T3447 is running and the UE supports service gap control;

2) the UE is not configured for high priority access in selected PLMN;

3) the current NAS signalling connection was not triggered by paging; and

4) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment ofthe UE in 5GMM-CONNECTED mode receives mobile terminated signalling or downlink data over the user-plane the current NAS signalling connection,

 the AMF shall abort the procedure.

NOTE: In this state the N1 NAS signalling connection can be released by the network.

g) If the Payload container type IE is set to "CIoT user data container" and:

1) if the AMF does not have a PDU session routing context for the PDU session ID and the UE; or

2) if the AMF unsuccessfully attempted to forward the user data container and the PDU session ID,

 then the AMF may send back to the UE the CIoT user data container which was not forwarded as specified in subclause 5.4.5.3.1 case l1).

h) If the Payload container type IE is set to "CIoT user data container":

1) if the timer T3447 is running and the UE supports service gap control;

2) the UE is not configured for high priority access in selected PLMN;

3) the current N1 NAS signalling connection was not triggered by paging; and

4) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection,

 then the AMF shall send back to the UE the CIoT user data container which was not forwarded as specified in subclause 5.4.5.3.1 case l1).

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.4.5.3.1 General

The purpose of the network-initiated NAS transport procedure is to provide a transport of:

a) a single 5GSM message;

b) SMS;

c) an LPP message;

d) an SOR transparent container;

e) a single uplink 5GSM message which was not forwarded due to routing failure;

f) a single uplink 5GSM message which was not forwarded due to congestion control;

g) a UE policy container;

h) a single uplink 5GSM message which was not forwarded, because the PLMN's maximum number of PDU sessions has been reached;

h1) a single uplink 5GSM message which was not forwarded, because the maximum number of PDU sessions with active user-plane resources has been reached;

h2) a single uplink 5GSM message which was not forwarded, because of ongoing network slice-specific authentication and authorization procedure for the S-NSSAI that is requested;

i) a single uplink 5GSM message which was not forwarded due to service area restrictions;

i1) a single uplink 5GSM message which was not forwarded because the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location;

j) a UE parameters update transparent container;

k) a location services message;

l) a CIoT user data container;

l1) a single uplink CIoT user data container or control plane user data which was not forwarded due to routing failure;

l2) a single uplink CIoT user data container which was not forwarded due to congestion control; or

m) multiple of the above types.

from the AMF to the UE in a 5GMM message.

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.4.5.3.2 Network-initiated NAS transport procedure initiation

In 5GMM-CONNECTED mode, the AMF initiates the NAS transport procedure by sending the DL NAS TRANSPORT message, as shown in figure 5.4.5.3.2.1.

In case a) in subclause 5.4.5.3.1, i.e. upon reception from an SMF of a 5GSM message without an N1 SM delivery skip allowed indication for a UE or a 5GSM message with an N1 SM delivery skip allowed indication for a UE in the 5GMM-CONNECTED mode, the AMF shall:

a) include the PDU session information (PDU session ID) in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information"; and

c) set the Payload container IE to the 5GSM message.

In case b) in subclause 5.4.5.3.1, i.e. upon reception from an SMSF of an SMS payload, the AMF shall:

a) set the Payload container type IE to "SMS";

b) set the Payload container IE to the SMS payload; and

c) select the access type to deliver the DL NAS TRANSPORT message as follows in case the access type selection is required:

1) if the UE to receive the DL NAS TRANSPORT message is registered to the network via both 3GPP access and non-3GPP access, the 5GMM context of the UE indicates that SMS over NAS is allowed, the UE is in MICO mode, and the UE is in 5GMM-IDLE mode for 3GPP access and in 5GMM-CONNECTED mode for non-3GPP access, then the AMF selects non-3GPP access. Otherwise, the AMF selects either 3GPP access or non-3GPP access.

 If the delivery of the DL NAS TRANSPORT message over 3GPP access has failed, the AMF may re-send the DL NAS TRANSPORT message over the non-3GPP access.

 If the delivery of the DL NAS TRANSPORT message over non-3GPP access has failed, the AMF may re-send the DL NAS TRANSPORT message over the 3GPP access; and

2) otherwise, the AMF selects 3GPP access.

NOTE 1: The AMF selects an access type between 3GPP access and non-3GPP access based on operator policy.

In case c) in subclause 5.4.5.3.1 i.e. upon reception from an LMF of an LPP message payload, the AMF shall:

a) set the Payload container type IE to "LTE Positioning Protocol (LPP) message container";

b) set the Payload container IE to the LPP message payload received from the LMF;

c) set the Additional information IE to an LCS correlation identifier received from the LMF from which the LPP message was received.

NOTE 2: The LCS Correlation Identifier is assigned originally by the AMF except for LPP message transfer associated with event reporting for periodic or triggered location as described in subclause 6.3.1 of 3GPP TS 23.273 [6B], where the LMF assigns the correlation identifier. AMF and LMF assigned correlation identifiers can be distinguished by an implementation specific convention (e.g. use of a different number of octets) to enable an AMF to distinguish one from the other when received in the Additional Information IE in an UL NAS Transport message.

In case d) in subclause 5.4.5.3.1 i.e. upon reception of a steering of roaming information (see 3GPP TS 23.122 [5]) from the UDM to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "SOR transparent container"; and

b) set the Payload container IE to the steering of roaming information (see 3GPP TS 23.122 [5]) received from the UDM.

In case e) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to routing failure, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded" or 5GMM cause #91 "DNN not supported or not subscribed in the slice".

 The AMF sets the 5GMM cause IE to the 5GMM cause #91 "DNN not supported or not subscribed in the slice", if the 5GSM message could not be forwarded since SMF selection fails because:

1) the DNN is not supported in the slice identified by the S-NSSAI used by the AMF; or

2) neither the DNN provided by the UE nor the wildcard DNN are in the subscribed DNN list of the UE for the S-NSSAI used by the AMF.

 Otherwise, the AMF sets the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

In case f) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to congestion control, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded;

d) set the 5GMM cause IE to the 5GMM cause #22 "Congestion", the 5GMM cause #67 "insufficient resources for specific slice and DNN" or the 5GMM cause #69 "insufficient resources for specific slice"; and

e) include the Back-off timer value IE.

In case g) in subclause 5.4.5.3.1, i.e. upon reception of a UE policy container from the PCF to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "UE policy container"; and

b) set the Payload container IE to the UE policy container received from the PCF.

In case h) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded, because the PLMN's maximum number of PDU sessions has been reached, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #65 "maximum number of PDU sessions reached".

In case h1) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded, because the maximum number of PDU sessions with active user-plane resources has been reached, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #92 "insufficient user-plane resources for the PDU session".

In case h2) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded because the UE requested to establish a PDU session associated with an S-NSSAI or to modify a PDU session associated with an S-NSSAI for which:

a) the AMF is performing network slice-specific authentication and authorization and determined to reject the request based on local policy; or

b) the network slice-specific authentication and authorization has failed or the authorization has been revoked;

the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

In case i) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to service area restrictions, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #28 "Restricted service area".

In case i1) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded because the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #78 "PLMN not allowed to operate at the present UE location".

In case j) in subclause 5.4.5.3.1 i.e. upon reception of UE parameters update data (see 3GPP TS 23.502 [9]) from the UDM to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "UE parameters update transparent container"; and

b) set the contents of the Payload container IE to the UE parameters update data (see 3GPP TS 23.502 [9]) received from the UDM.

For case k) in subclause 5.4.5.3.1 upon reception from a location services application of a Location services message payload, the AMF shall:

a) set the Payload container type IE to "Location services message container"; and

b) set the Payload container IE to the Location services message payload.

For case k) in subclause 5.4.5.3.1 upon reception from an LMF of a Location services message payload, the AMF shall:

a) set the Payload container type IE to "Location services message container";

b) set the Payload container IE to the Location services message payload; and

c) set the Additional information IE to routing information associated with the LMF from which the Location services message payload was received.

NOTE 3: Case k) in subclause 5.4.5.3.1 supports transport of a Location services message container between a UE and an AMF and between a UE and an LMF. For transport between a UE and an LMF, the Additional information IE is included and provides routing information for the LMF. For transport between a UE and an AMF, the Additional information IE is not included.

In case l) in subclause 5.4.5.3.1, i.e. upon reception from an SMF of a user data container payload, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "CIoT user data container"; and

c) set the Payload container IE to the user data container.

For case l1) in subclause 5.4.5.3.1, i.e. upon sending a single uplink CIoT user data container or control plane user data which was not forwarded due to routing failure, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to " CIoT user data container";

c) set the Payload container IE to the CIoT user data container or control plane user data which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

NOTE 4: For case l1) in subclause 5.4.5.3.1, this is also applied for a single uplink CIoT user data container or control plane user data in the CONTRON PLANE SERVICE REQUEST message which was not forwarded due to routing failure.

For case l2) in subclause 5.4.5.3.1, i.e. upon sending a single uplink CIoT user data container which was not forwarded due to congestion control, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to " CIoT user data container";

c) set the Payload container IE to the CIoT user data container which was not forwarded;

d) set the 5GMM cause IE to the 5GMM cause #22 "Congestion" and include the Back-off timer value IE.

In case m) in subclause 5.4.5.3.1, the AMF shall:

a) set the Payload container type IE to "Multiple payloads";

b) set each payload container entry of the Payload container IE (see subclause 9.11.3.39) as follows:

i) set the payload container type field of the payload container entry to a payload container type value set in the Payload container type IE as specified for cases a) to l2) above;

ii) set the payload container entry contents field of the payload container entry to the payload container contents set in the Payload container IE as specified for cases a) to l2) above;

iii) set the optional IE fields, if any, to the optional associated information as specified for cases a) to l2) above.



Figure 5.4.5.3.2.1: Network-initiated NAS transport procedure

\*\*\*\*\* Next change \*\*\*\*\*

##### 5.4.5.3.3 Network-initiated NAS transport of messages

Upon reception of a DL NAS TRANSPORT message, the UE shall stop the timer T3346 if running.

Upon reception of a DL NAS TRANSPORT message, if the Payload container type IE is set to:

a) "N1 SM information" and the 5GMM cause IE is not included in the DL NAS TRANSPORT message, the 5GSM message in the Payload container IE and the PDU session ID are handled in the 5GSM procedures specified in clause 6;

b) "SMS", the UE shall forward the content of the Payload container IE to the SMS stack entity;

c) "LTE Positioning Protocol (LPP) message container", the UE shall forward the payload container type, the content of the Payload container IE and the routing information included in the Additional information IE to the upper layer location services application;

d) "SOR transparent container" and if the Payload container IE:

1) successfully passes the integrity check (see 3GPP TS 33.501 [24]), the ME shall store the received SOR counter as specified in annex C and proceed as follows: If the Payload container IE indicates a list of preferred PLMN/access technology combinations is provided and the list type indicates:

i) "PLMN ID and access technology list", then the ME shall replace the highest priority entries in the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME; or

ii) "secured packet", then the ME shall behave as if a SMS is received with protocol identifier set to SIM data download, data coding scheme set to class 2 message and SMS payload as secured packet contents of SOR transparent container IE. The SMS payload is forwarded to UICC as specified in 3GPP TS 23.040 [4A]

 If the ACK bit of the SOR header for SOR data type in the SOR transparent container is set to "acknowledgement requested", the ME shall send an acknowledgement in the Payload container IE of an UL NAS TRANSPORT message with Payload type IE set to "SOR transparent container" as specified in subclause 5.4.5.2.2.

 The UE shall proceed with the behaviour as specified in 3GPP TS 23.122 [5] annex C; or

2) does not successfully pass the integrity check (see 3GPP TS 33.501 [24]) then the UE shall proceed with the behaviour as specified in 3GPP TS 23.122 [5] annex C.

e) Void;

f) Void;

g) "N1 SM information" and:

1) the 5GMM cause IE is set to the 5GMM cause #22 "Congestion", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to DNN based congestion control along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message, and the time value from the Back-off timer value IE;

2) the 5GMM cause IE is set to the 5GMM cause #28 "Restricted service area", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to service area restrictions along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message, enters the state 5GMM-REGISTERED.NON-ALLOWED-SERVICE and, if the DL NAS TRANSPORT message is received over 3GPP access, performs the registration procedure for mobility and periodic registration update without waiting for the release of the N1 NAS signalling connection (see subclauses 5.3.5 and 5.5.1.3);

3) the 5GMM cause IE is set to the 5GMM cause #65 "maximum number of PDU sessions reached", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded because the PLMN's maximum number of PDU sessions has been reached, along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message;

4) the 5GMM cause IE is set to the 5GMM cause #67 "insufficient resources for specific slice and DNN", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to S-NSSAI and DNN based congestion control along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message, and the time value from the Back-off timer value IE;

5) the 5GMM cause IE is set to the 5GMM cause #69 "insufficient resources for specific slice", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to S-NSSAI only based congestion control along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message, and the time value from the Back-off timer value IE;

5a) the 5GMM cause IE is set to the 5GMM cause #78 "PLMN not allowed to operate at the present UE location", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded because the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message;

6) the 5GMM cause IE is set to the 5GMM cause #90 "payload was not forwarded", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to routing failure along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message;

7) the 5GMM cause IE is set to the 5GMM cause #91 "DNN not supported or not subscribed in the slice", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded because the DNN is not supported or not subscribed in a slice along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message;

8) the 5GMM cause IE is set to the 5GMM cause #92 "insufficient user-plane resources for the PDU session", the UE passes to the 5GSM sublayer an indication that the 5GSM message was not forwarded due to insufficient user-plane resources along with the 5GSM message from the Payload container IE of the DL NAS TRANSPORT message.

h) "UE policy container", the UE policy container in the Payload container IE is handled in the UE policy delivery procedures specified in Annex D;

i) "UE parameters update transparent container" and if the Payload container IE

1) successfully passes the integrity check (see 3GPP TS 33.501 [24]), the ME shall store the received UE parameter update counter as specified in annex C and proceed as follows:

i) if the UE parameters update list includes a UE parameters update data set with UE parameters update data set type indicating "Routing indicator update data",

A) the ME shall behave as if an SMS is received with protocol identifier set to SIM data download, data coding scheme set to class 2 message and SMS payload as secured packet contents of UE parameters update transparent container IE. The SMS payload is forwarded to UICC as specified in 3GPP TS 23.040 [4A]; and

B) if the ACK bit of the UE parameters update header in the UE parameters update transparent container is set to "acknowledgment requested" and if the ME receives status bytes from the UICC indicating that the UICC has received the secured packet successfully, the ME shall send an acknowledgement in the Payload container IE of an UL NAS TRANSPORT message with Payload type IE set to "UE parameters update transparent container" as specified in subclause 5.4.5.2.2; and

C) if the ME receives a REFRESH command from the UICC as specified in 3GPP TS 31.111 [22A] and if the REG bit of the UE parameters update header in the UE parameters update transparent container IE is set to "re-registration requested", and:

C1) the UE is registered over 3GPP access, then the UE shall wait until it enters 5GMM-IDLE mode over 3GPP access or 5GMM-CONNECTED mode with RRC inactive indication, and then perform a de-registration procedure, delete its 5G-GUTI and initiate a registration procedure for initial registration as specified in subclause 5.5.1.2;

C2) the UE is registered over non-3GPP access and does not have emergency services ongoing over non-3GPP access, then the UE shall locally release the N1 NAS signalling connection and enter 5GMM-IDLE mode over non-3GPP access, perform a de-registration procedure, delete its 5G-GUTI if the UE is registered to different PLMN on 3GPP access or the UE is not registered over 3GPP access, and then initiate a registration procedure for initial registration as specified in subclause 5.5.1.2; and

C3) the UE is registered over non-3GPP access and has an emergency services ongoing over non-3GPP access, then the UE shall wait until the emergency services are completed before locally releasing the N1 NAS signalling connection and enter 5GMM-IDLE mode over non-3GPP access, perform a de-registration procedure, delete its 5G-GUTI if the UE is registered to different PLMN on 3GPP access or if the UE is not registered over 3GPP access, and then initiate a registration procedure for initial registration as specified in subclause 5.5.1.2.

ii) if the UE parameters update list includes a UE parameters update data set with UE parameters update data set type indicating "Default configured NSSAI update data",

A) if the ACK bit of the UE parameters update header in the UE parameters update transparent container is set to "acknowledgment requested" and if the UE parameters update list does not include a UE parameters update data set with UE parameters update data set type indicating "Routing indicator update data", the ME shall send an acknowledgement in the Payload container IE of an UL NAS TRANSPORT message with Payload type IE set to "UE parameters update transparent container" as specified in subclause 5.4.5.2.2

B) the ME shall replace the stored default configured NSSAI with the default configured NSSAI included in the default configured NSSAI update data; and

C) if the REG bit of the UE parameters update header in the UE parameters update transparent container is set to "re-registration requested" and the UE parameters update list does not include a UE parameters update data set with UE parameters update data set type indicating "Routing indicator update data", the UE shall wait until it enters 5GMM-IDLE mode and then the UE shall initiate a registration procedure for mobility registration update as specified in subclause 5.5.1.3.

 if the UE parameters update list does not include a UE parameters update data set with UE parameters update data set type indicating "Routing indicator update data", the UE used the old default configured NSSAI to create the requested NSSAI in a REGISTRATION REQUEST message, the UE does not have a configured NSSAI for the current PLMN and the UE has an allowed NSSAI for the current PLMN which contains one or more S-NSSAIs that are not included in the new default configured NSSAI, the UE shall wait until it enters 5GMM-IDLE mode and then the UE shall initiate a registration procedure for mobility and periodic registration update as specified in subclause 5.5.1.3; and

2) does not successfully pass the integrity check (see 3GPP TS 33.501 [24]) then the UE shall discard the content of the payload container IE;

j) "Location services message container" and the 5GMM cause IE is not included in the DL NAS TRANSPORT message, the UE shall forward the payload container type, the content of the Payload container IE and the routing information in the Additional information IE if included to the upper layer location services application;

k) "CIoT user data container", the UE shall forward the content of the Payload container IE and the PDU session ID to the 5GSM sublayer; and

l) "CIoT user data container" and:

1) the 5GMM cause IE is set to the 5GMM cause #22 "Congestion", the UE passes to the 5GSM sublayer an indication that the CIoT user data was not forwarded due to DNN based congestion control along with the CIoT user data from the Payload container IE of the DL NAS TRANSPORT message, and the time value from the Back-off timer value IE.

2) the 5GMM cause IE is set to the 5GMM cause #90 "payload was not forwarded", the UE passes to the 5GSM sublayer an indication that the user data container was not forwarded due to routing failure along with the user data container from the Payload container IE and the PDU session ID from the PDU session ID IE of the DL NAS TRANSPORT message.

m) "Multiple payloads", the UE shall first decode the content of the Payload container IE (see subclause 9.11.3.39) to obtain the number of payload container entries and for each payload container entry, the UE shall:

1) decode the payload container type field;

2) decode the optional IE fields and the payload container contents field in the payload container entry; and

3) handle the content of each payload container entry the same as the content of the Payload container IE and the associated optional IEs as specified in bullets a) to l) above according to the payload container type field.

\*\*\*\*\* Next change \*\*\*\*\*

#### 6.4.1.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiry of timer T3580

 The UE shall, on the first expiry of the timer T3580:

- if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session", then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 1: This can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) de-register locally, if not de-registered already, attempt initial registration for emergency services.

- otherwise, retransmit the PDU SESSION ESTABLISHMENT REQUEST message and the PDU session information which was transported together with the initial transmission of the PDU SESSION ESTABLISHMENT REQUEST message and shall reset and start timer T3580, if still needed. This retransmission can be repeated up to four times, i.e. on the fifth expiry of timer T3580, the UE shall abort the procedure, release the allocated PTI and enter the state PROCEDURE TRANSACTION INACTIVE.

b) Upon receiving an indication that the 5GSM message was not forwarded due to routing failure along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure. If the UE sent the PDU SESSION ESTABLISHMENT REQUEST message in order for the handover of an existing non-emergency PDU session between 3GPP access and non-3GPP access, the UE shall consider that the PDU session is associated with the source access type.

b1) Upon receiving an indication that the 5GSM message was not forwarded due to service area restrictions along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure.

b2) Upon receiving an indication that the 5GSM message was not forwarded because the UE is registered to a PLMN via a satellite NG-RAN cell that is not allowed to operate at the present UE location along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure. The UE shall not trigger the PDU session establishment procedure until the UE is deregistered from the PLMN.

c) Collision of UE-requested PDU session establishment procedure and network-requested PDU session release procedure.

 If the UE receives a PDU SESSION RELEASE COMMAND message after sending a PDU SESSION ESTABLISHMENT REQUEST message to the network, and the PDU session ID in the PDU SESSION RELEASE COMMAND message is the same as the PDU session ID in the PDU SESSION ESTABLISHMENT REQUEST message, the UE shall ignore the PDU SESSION RELEASE COMMAND message and proceed with the UE-requested PDU session establishment procedure.

d) Inter-system change from N1 mode to S1 mode triggered during UE-requested PDU session establishment procedure.

 If the UE-requested PDU session establishment procedure is triggered for handover of an existing PDU session from non-3GPP access to 3GPP access, and the inter-system change from N1 mode to S1 mode is triggered by the NG-RAN and the UE did not receive response to PDU session establishment request, then the UE shall abort the procedure, stop timer T3580, and notify the upper layer of the handover failure.

NOTE 2: This can result in the upper layer requesting re-initiation of handover from non-3GPP access to 3GPP access after the inter-system change is completed, if still required.

e) For an MA PDU session established on a single access, upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message over the other access, if any value of the selected PDU session type, selected SSC mode, 5GSM cause, PDU address, S-NSSAI, DNN IEs in the PDU SESSION ESTABLISHMENT ACCEPT message is different from the corresponding stored value, the UE shall perform a local release of the MA PDU session, and perform the registration procedure for mobility and periodic registration update with a REGISTRATION REQUEST message including the PDU session status IE over both accesses.

f) Collision of UE-requested PDU session establishment procedure initiated to perform handover of an existing PDU session from non-3GPP access to 3GPP access and a notification from the network with access type indicating non-3GPP access.

 If the UE receives a notification from the network with access type indicating non-3GPP access after sending a PDU SESSION ESTABLISHMENT REQUEST message to perform handover of an existing PDU session from non-3GPP access to 3GPP access, the UE shall abort the PDU session establishment procedure, stop timer T3580, proceed with the service request procedure to perform handover of existing PDU session(s) from non-3GPP access to 3GPP access.