**3GPP TSG-CT WG1 Meeting #130-eC1-21wxyz**

**Electronic meeting, 20-28 May 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **CR#** | **rev** | **-** | **Current version:** | **16.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** |  | | | | | | | | | |
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| ***Source to WG:*** | ZTE | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | ATSSS | | | | |  | ***Date:*** | | | 2021-05-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | According to S2-2101574 "LS Response on MA PDU session for LADN" and the two corresponding agreed CRs ( TS 23.501 CR 2531 and TS 23.502 CR 2515), the MA PDU session does not support LADN regardless of access type. Following clarification needs to be made in stage 3:  1) AMF rejects the PDU session establishment request if the request is for a LADN.  2) If the PDU Session Establishment request is for a LADN, the AMF shall not forward "MA PDU Network-Upgrade Allowed" indication to the SMF. | | | | | | | | |
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| ***Summary of change:*** | | 1) Add the case as one of the reason why "Network-initiated NAS transport procedure initiation" is performed.  2) Clarify upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "MA PDU request", and the UE requested DNN corresponds to an LADN DNN, the AMF shall send back to the UE the 5GSM message which was not forwarded and 5GMM cause #90 "payload was not forwarded".  3) Add a NOTE saying if the UE requested DNN corresponds to an LADN DNN, the AMF cannont forword the MA PDU session information IE to the SMF.  **Interoperability analysis**  The change is backward compatible. | | | | | | | | |
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| ***Consequences if not approved:*** | | Misalignment with stage 2 requirement | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.4.5.2.3, 5.4.5.2.4, 5.4.5.3.1, 5.4.5.3.2, 6.4.1.2. | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\* \* \* 1st Change \* \* \* \*

##### 5.4.5.2.3 UE-initiated NAS transport of messages accepted by the network

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to:

a) "N1 SM information", the AMF looks up a PDU session routing context for:

1) the UE and the PDU session ID IE in case the Old PDU session ID IE is not included, and:

NOTE 1: If the Old PDU session ID IE is not included in the UL NAS TRANSPORT message and the AMF has received a reallocation requested indication from the SMF, the AMF needs to ignore the reallocation requested indication.

i) if the AMF has a PDU session routing context for the PDU session ID and the UE, and the Request type IE is either not included or is included but set to other value than "initial request", "existing PDU session", "initial emergency request", "existing emergency PDU session" or "MA PDU request", the AMF shall forward the 5GSM message, and the PDU session ID IE towards the SMF identified by the SMF ID of the PDU session routing context;

ii) if the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is not an emergency PDU session, the Request type IE is included and is set to "existing PDU session" or "MA PDU request", and the S-NSSAI associated with the PDU session identified by the PDU session ID is allowed for the target access type, the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN (if received) and the request type towards the SMF identified by the SMF ID of the PDU session routing context;

iii) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, and the Request type IE is included and is set to "initial request" or "MA PDU request":

A) the AMF shall select an SMF with following handlings:

If the S-NSSAI IE is not included and the allowed NSSAI contains:

- one S-NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI as the S-NSSAI;

- two or more S-NSSAIs and the user's subscription context obtained from UDM contains only one default S-NSSAI that is included in the allowed NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI that matches the default S-NSSAI as the S-NSSAI; or

- two or more S-NSSAIs and the user's subscription context obtained from UDM contains zero, two or more default S-NSSAI(s) included in the allowed NSSAI, the AMF shall use an S-NSSAI in the allowed NSSAI selected based on operator policy as the S-NSSAI.

If the DNN IE is included, the AMF shall use the UE requested DNN as the DNN determined by the AMF; and

If the DNN IE is not included, and the user's subscription context obtained from UDM:

- contains the default DNN for the S-NSSAI, the AMF shall use the default DNN as the DNN determined by the AMF; and

- does not contain the default DNN for the S-NSSAI, the AMF shall use a locally configured DNN as the DNN determined by the AMF; and

NOTE 2: SMF selection is outside the scope of the present document.

NOTE 3: As part of SMF selection, the PCF can provide the AMF with a DNN selected by the network different from the DNN determined by the AMF.

B) if the SMF selection is successful:

- if the DNN selected by the network is a LADN DNN, the AMF shall determine the UE presence in LADN service area;

- the AMF shall store a PDU session routing context for the PDU session ID and the UE, shall set the SMF ID in the stored PDU session routing context to the SMF ID corresponding to the DNN in the user's subscription context obtained from the UDM; and

- the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN determined by the AMF, DNN selected by the network (if different from DNN determined by the AMF), the request type, the MA PDU session information and UE presence in LADN service area (if DNN received corresponds to an LADN DNN) towards the SMF identified by the SMF ID of the PDU session routing context;

NOTE 4: The MA PDU session information cannot be forwarded towards the SMF if DNN received corresponds to an LADN DNN.

iv) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "existing PDU session" or "MA PDU request", and the AMF retrieves an SMF ID associated with:

A) the PDU session ID matching the PDU session ID received from the UE, if any; or

B) the DNN matching the DNN received from the UE, otherwise;

such that the SMF ID includes a PLMN identity corresponding to the UE's HPLMN or the current PLMN, then:

A) the AMF shall store a PDU session routing context for the PDU session ID and the UE, shall set the SMF ID in the stored PDU session routing context to the retrieved SMF ID; and

B) the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN (if received) and the request type towards the SMF identified by the SMF ID of the PDU session routing context;

v) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "initial emergency request", and the AMF does not have a PDU session routing context for another PDU session ID of the UE indicating that the PDU session is an emergency PDU session:

A) the AMF shall select an SMF. The AMF shall use the emergency DNN from the AMF emergency configuration data as the DNN, if configured. The AMF shall derive the SMF from the emergency DNN or use the statically configured SMF from the AMF emergency configuration data, if configured; and

B) if the SMF selection is successful:

- the AMF shall store a PDU session routing context for the PDU session ID and the UE, shall set the SMF ID in the stored PDU session routing context to the SMF ID of the selected SMF, and shall store an indication that the PDU session is an emergency PDU session in the stored PDU session routing context; and

- the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data), and the request type towards the SMF identified by the SMF ID of the PDU session routing context; and

vi) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "initial emergency request", and the AMF has a PDU session routing context indicating that the PDU session is an emergency PDU session for another PDU session ID of the UE:

A) the AMF shall store a PDU session routing context for the PDU session ID and the UE and shall set the SMF ID in the stored PDU session routing context to the SMF ID of the PDU session routing context for the other PDU session ID of the UE; and

B) the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data) and the request type towards the SMF identified by the SMF ID of the PDU session routing context; or

vii) if the AMF has a PDU session routing context for the PDU session ID and the UE, the PDU session routing context indicates that the PDU session is an emergency PDU session, and the Request type IE is included and is set to "existing emergency PDU session", the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data), and the request type towards the SMF identified by the SMF ID of the PDU session routing context; and

viii) if the AMF does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "existing emergency PDU session", and the AMF retrieves an SMF ID associated with emergency services such that the SMF ID includes a PLMN identity corresponding to the current PLMN, then:

A) the AMF shall store a PDU session routing context for the PDU session ID and the UE, shall set the SMF ID in the stored PDU session routing context to the retrieved SMF ID; and

B) the AMF shall forward the 5GSM message, the PDU session ID, the S-NSSAI (if configured in the AMF emergency configuration data), the DNN (if configured in the AMF emergency configuration data), and the request type towards the SMF identified by the SMF ID of the PDU session routing context; or

2) the UE and the Old PDU session ID IE in case the Old PDU session ID IE is included, and:

i) the AMF has a PDU session routing context for the old PDU session ID and the UE and does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "initial request", and the AMF received a reallocation requested indication from the SMF indicating that the SMF is to be reused, the AMF shall store a PDU session routing context for the PDU session ID and the UE, set the SMF ID in the stored PDU session routing context to the SMF ID of the PDU session routing context for the old PDU session ID and the UE. If the DNN is a LADN DNN, the AMF shall determine the UE presence in LADN service area. The AMF shall forward the 5GSM message, the PDU session ID, the old PDU session ID, the S-NSSAI (if received), the mapped S-NSSAI (if available in roaming scenarios), the DNN, the request type and UE presence in LADN service area (if DNN received corresponds to an LADN DNN) towards the SMF identified by the SMF ID of the PDU session routing context;

ii) the AMF has a PDU session routing context for the old PDU session ID and the UE and does not have a PDU session routing context for the PDU session ID and the UE, the Request type IE is included and is set to "initial request", and the AMF received a reallocation requested indication from the SMF indicating that the SMF is to be reallocated:

A) the AMF shall select an SMF with the following handling;

If the S-NSSAI IE is not included and the allowed NSSAI contains:

- one S-NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI as the S-NSSAI;

- two or more S-NSSAIs and the user's subscription context obtained from UDM contains only one default S-NSSAI that is included in the allowed NSSAI, the AMF shall use the S-NSSAI in the allowed NSSAI that matches the default S-NSSAI; or

- two or more S-NSSAIs and the user's subscription context obtained from UDM contains zero, two or more default S-NSSAI(s) included in the allowed NSSAI, the AMF shall use an S-NSSAI in the allowed NSSAI selected based on operator policy as the S-NSSAI.

If the DNN is a LADN DNN, the AMF shall determine the UE presence in LADN service area.

B) if the SMF selection is successful:

- the AMF shall store a PDU session routing context for the PDU session ID and the UE and set the SMF ID of the PDU session routing context to the SMF ID of the selected SMF; and

- the AMF shall forward the 5GSM message, the PDU session ID, the old PDU session ID, the S-NSSAI, the mapped S-NSSAI (if available in roaming scenarios), the DNN, the request type, the MA PDU session information and UE presence in LADN service area (if DNN received corresponds to an LADN DNN) towards the SMF identified by the SMF ID of the PDU session routing context for the PDU session ID and the UE;

b) "SMS", the AMF shall forward the content of the Payload container IE to the SMSF associated with the UE;

c) "LTE Positioning Protocol (LPP) message container", the AMF shall forward the Payload container type and the content of the Payload container IE to the LMF associated with the routing information included in the Additional information IE of the UL NAS TRANSPORT message;

d) "SOR transparent container", the AMF shall forward the content of the Payload container IE to the UDM;

e) "UE policy container", the AMF shall forward the content of the Payload container IE to the PCF.

f) "UE parameters update transparent container", the AMF shall forward the content of the Payload container IE to the UDM.

g) "Location services message container":

1) if the Additional information IE is not included in the UL NAS TRANSPORT message, the AMF shall provide the Payload container type and the content of the Payload container IE to the location services application; and

2) if the Additional information IE is included in the UL NAS TRANSPORT message, the AMF shall forward the Payload container type and the content of the Payload container IE to an LMF associated with routing information included in the Additional information IE of the UL NAS TRANSPORT message.

h) "CIoT user data container", the AMF shall look up a PDU session routing context for the UE and the PDU session ID, and

1) forward the content of the Payload container IE towards the SMF identified by the SMF ID of the PDU session routing context; and

2) initiate the release of the N1 NAS signalling connection:

i) if the Release assistance indication IE is included in the UL NAS TRANSPORT message and the DDX field of the Release assistance indication IE indicates "No further uplink and no further downlink data transmission subsequent to the uplink data transmission is expected" and if there is no downlink signalling or downlink data for the UE; or

ii) upon subsequent delivery of the next received downlink data transmission to the UE if the Release assistance indication IE is included in the UL NAS TRANSPORT message and the DDX field of the Release assistance indication IE indicates "Only a single downlink data transmission and no further uplink data transmission subsequent to the uplink data transmission is expected" and if there is no additional downlink signalling or downlink data for the UE.

i) "Multiple payloads", the AMF shall first decode the content of the Payload container IE (see subclause 9.11.3.39) to obtain the number of payload container entries and for each payload container entry, the AMF shall:

i) decode the payload container type field;

ii) decode the optional IE fields and the payload container contents field in the payload container entry; and

iii) handle the content of each payload container entry the same as the content of the Payload container IE and the associated optional IEs as specified in bullets a) to h) above according to the payload container type field.

\* \* \* 2nd Change \* \* \* \*

##### 5.4.5.2.4 UE-initiated NAS transport of messages not accepted by the network

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information" and the UE is not configured for high priority access in selected PLMN, and:

a) if the Request type IE is set to "initial request" or "existing PDU session";

1) DNN based congestion control is activated for the DNN included in the UL NAS TRANSPORT message, or DNN based congestion control is activated for the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #22 "congestion" as specified in subclause 5.4.5.3.1 case f);

2) S-NSSAI and DNN based congestion control is activated for the S-NSSAI and DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message and the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message and the DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI and the selected DNN in case of no S-NSSAI and no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #67 "insufficient resources for specific slice and DNN" as specified in subclause 5.4.5.3.1 case f);

3) S-NSSAI only based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message, or S-NSSAI based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #69 "insufficient resources for specific slice" as specified in subclause 5.4.5.3.1 case f);

b) if the Request type IE is set to "MA PDU request";

1) DNN based congestion control is activated for the DNN included in the UL NAS TRANSPORT message, or DNN based congestion control is activated for the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #22 "congestion" as specified in subclause 5.4.5.3.1 case f);

2) S-NSSAI and DNN based congestion control is activated for the S-NSSAI and DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message and the selected DNN in case of no DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message and the DNN included in the UL NAS TRANSPORT message, or S-NSSAI and DNN based congestion control is activated for the selected S-NSSAI and the selected DNN in case of no S-NSSAI and no DNN included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #67 "insufficient resources for specific slice and DNN" as specified in subclause 5.4.5.3.1 case f);

3) S-NSSAI only based congestion control is activated for the S-NSSAI included in the UL NAS TRANSPORT message, or S-NSSAI based congestion control is activated for the selected S-NSSAI in case of no S-NSSAI included in the UL NAS TRANSPORT message, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #69 "insufficient resources for specific slice" as specified in subclause 5.4.5.3.1 case f);

c) if the Request type IE is set to "modification request" and the PDU session is not an emergency PDU session;

1) DNN based congestion control is activated for the stored DNN, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #22 "congestion" as specified in subclause 5.4.5.3.1 case f);

2) S-NSSAI and DNN based congestion control is activated for the stored S-NSSAI and DNN, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #67 "insufficient resources for specific slice and DNN" as specified in subclause 5.4.5.3.1 case f);

3) S-NSSAI only based congestion control is activated for the stored S-NSSAI, e.g. configured by operation and maintenance, the AMF shall send back to the UE the 5GSM message which was not forwarded, a back-off timer value and 5GMM cause #69 "insufficient resources for specific slice" as specified in subclause 5.4.5.3.1 case f); or

d) the timer T3447 is running and the UE does not support service gap control:

1) the Request type IE:

i) is set to "initial request";

ii) is set to "existing PDU session"; or

iii) is set to "modification request" and the PDU session being modified is a non-emergency PDU session;

2) the current NAS signalling connection was not triggered by paging; and

3) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection,

the AMF shall send back to the UE the message which was not forwarded, send the 5GMM cause #22 "Congestion", and may include a back-off timer set to the remaining time of the timer T3447 as specified in subclause 5.4.5.3.1 case f).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request", "existing PDU session" or "MA PDU request", and the AMF determines that the PLMN's maximum number of PDU sessions has already been reached for the UE, the AMF shall send back to the UE the 5GSM message which was not forwarded and 5GMM cause #65 "maximum number of PDU sessions reached" as specified in subclause 5.4.5.3.1 case h).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request", and

a) the UE is in NB-N1 mode;

b) the UE has indicated preference for user plane CIoT 5GS optimization;

c) the network accepted the use of user plane CIoT 5GS optimization; and

d) the AMF determines that there are user-plane resources established for a number of PDU sessions that is equal to the UE' s maximum number of supported user-plane resources (see 3GPP TS 23.501 [8]),

the AMF shall either:

a) send back to the UE the message which was not forwarded as specified in in subclause 5.4.5.3.1 case h1); or

b) proceed with the PDU session establishment and include the Control Plane CIoT 5GS Optimisation indication or Control Plane Only indicator to the SMF.

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "CIoT user data container", the UE is not configured for high priority access in selected PLMN, and:

a) the timer T3447 is running and the UE does not support service gap control;

b) the current NAS signalling connection was not triggered by paging; and

c) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection;

the AMF shall send back to the UE the CIoT user data which was not forwarded, send the 5GMM cause #22 "Congestion", and include a back-off timer set to the remaining time of the timer T3447 as specified in subclause 5.4.5.3.1 case l2).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "existing PDU session", and

a) the UE is in NB-N1 mode;

b) the UE has indicated preference for user plane CIoT 5GS optimization;

c) the network accepted the use of user plane CIoT 5GS optimization; and

d) the AMF determines that there are user-plane resources established for a number of PDU sessions that equals to the UE's maximum number of supported user-plane resources (see 3GPP TS 23.501 [8]),

the AMF shall send back to the UE the message which was not forwarded as specified in in subclause 5.4.5.3.1 case h1).

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "initial request" or "modification request", the associated S-NSSAI that the AMF determined through the S-NSSAI IE or the PDU session ID IE is an S-NSSAI for which the AMF is performing NSSAA, and the AMF determines to not forward the 5GSM message to the SMF based on local policy, the AMF shall send back to the UE the 5GSM message which was not forwarded as specified in subclause 5.4.5.3.1 case h2).

Upon reception of a UL NAS TRANSPORT message, if the Payload container type IE is set to "N1 SM information", the Request type IE is set to "MA PDU request", and the UE requested DNN corresponds to an LADN DNN, the AMF shall send back to the UE the 5GSM message which was not forwarded and 5GMM cause #90 "payload was not forwarded" as specified in subclause 5.4.5.3.1 case hx).

Upon reception of an UL NAS TRANSPORT message, if the Payload container type IE is set to "SMS" or "LTE Positioning Protocol (LPP) message container", the UE is not configured for high priority access in selected PLMN, and:

a) the timer T3447 is running and the UE does not support service gap control;

b) the current NAS signalling connection was not triggered by paging; and

c) mobile terminated signalling has not been sent or no user-plane resources have been established for any PDU session after the establishment of the current NAS signalling connection;

the AMF shall abort the procedure.

NOTE: In this state the NAS signalling connection can be released by the network.

\* \* \* 3rd Change \* \* \* \*

##### 5.4.5.3.1 General

The purpose of the network-initiated NAS transport procedure is to provide a transport of:

a) a single 5GSM message;

b) SMS;

c) an LPP message;

d) an SOR transparent container;

e) a single uplink 5GSM message which was not forwarded due to routing failure;

f) a single uplink 5GSM message which was not forwarded due to congestion control;

g) a UE policy container;

h) a single uplink 5GSM message which was not forwarded, because the PLMN's maximum number of PDU sessions has been reached;

h1) a single uplink 5GSM message which was not forwarded, because the maximum number of PDU sessions with active user-plane resources has been reached;

h2) a single uplink 5GSM message which was not forwarded, because of ongoing network slice-specific authentication and authorization procedure for the S-NSSAI that is requested;

hx) a single uplink 5GSM message which was not forwarded, because the UE requested to establish an MA PDU session for LADN DNN;

i) a single uplink 5GSM message which was not forwarded due to service area restrictions;

j) a UE parameters update transparent container;

k) a location services message;

l) a CIoT user data container;

l1) a single uplink CIoT user data container or control plane user data which was not forwarded due to routing failure;

l2) a single uplink CIoT user data container which was not forwarded due to congestion control; or

m) multiple of the above types.

from the AMF to the UE in a 5GMM message.

\* \* \* 4th Changes \* \* \* \*

##### 5.4.5.3.2 Network-initiated NAS transport procedure initiation

In 5GMM-CONNECTED mode, the AMF initiates the NAS transport procedure by sending the DL NAS TRANSPORT message, as shown in figure 5.4.5.3.2.1.

In case a) in subclause 5.4.5.3.1, i.e. upon reception from an SMF of a 5GSM message without an N1 SM delivery skip allowed indication for a UE or a 5GSM message with an N1 SM delivery skip allowed indication for a UE in the 5GMM-CONNECTED mode, the AMF shall:

a) include the PDU session information (PDU session ID) in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information"; and

c) set the Payload container IE to the 5GSM message.

In case b) in subclause 5.4.5.3.1, i.e. upon reception from an SMSF of an SMS payload, the AMF shall:

a) set the Payload container type IE to "SMS";

b) set the Payload container IE to the SMS payload; and

c) select the access type to deliver the DL NAS TRANSPORT message as follows in case the access type selection is required:

1) if the UE to receive the DL NAS TRANSPORT message is registered to the network via both 3GPP access and non-3GPP access, the 5GMM context of the UE indicates that SMS over NAS is allowed, the UE is in MICO mode, and the UE is in 5GMM-IDLE mode for 3GPP access and in 5GMM-CONNECTED mode for non-3GPP access, then the AMF selects non-3GPP access. Otherwise, the AMF selects either 3GPP access or non-3GPP access.

If the delivery of the DL NAS TRANSPORT message over 3GPP access has failed, the AMF may re-send the DL NAS TRANSPORT message over the non-3GPP access.

If the delivery of the DL NAS TRANSPORT message over non-3GPP access has failed, the AMF may re-send the DL NAS TRANSPORT message over the 3GPP access; and

2) otherwise, the AMF selects 3GPP access.

NOTE 1: The AMF selects an access type between 3GPP access and non-3GPP access based on operator policy.

In case c) in subclause 5.4.5.3.1 i.e. upon reception from an LMF of an LPP message payload, the AMF shall:

a) set the Payload container type IE to "LTE Positioning Protocol (LPP) message container";

b) set the Payload container IE to the LPP message payload received from the LMF;

c) set the Additional information IE to an LCS correlation identifier received from the LMF from which the LPP message was received.

NOTE 2: The LCS Correlation Identifier is assigned originally by the AMF except for LPP message transfer associated with event reporting for periodic or triggered location as described in subclause 6.3.1 of 3GPP TS 23.273 [6B], where the LMF assigns the correlation identifier. AMF and LMF assigned correlation identifiers can be distinguished by an implementation specific convention (e.g. use of a different number of octets) to enable an AMF to distinguish one from the other when received in the Additional Information IE in an UL NAS Transport message.

In case d) in subclause 5.4.5.3.1 i.e. upon reception of a steering of roaming information (see 3GPP TS 23.122 [5]) from the UDM to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "SOR transparent container"; and

b) set the Payload container IE to the steering of roaming information (see 3GPP TS 23.122 [5]) received from the UDM.

In case e) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to routing failure, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded" or 5GMM cause #91 "DNN not supported or not subscribed in the slice".

The AMF sets the 5GMM cause IE to the 5GMM cause #91 "DNN not supported or not subscribed in the slice", if the 5GSM message could not be forwarded since SMF selection fails because:

1) the DNN is not supported in the slice identified by the S-NSSAI used by the AMF; or

2) neither the DNN provided by the UE nor the wildcard DNN are in the subscribed DNN list of the UE for the S-NSSAI used by the AMF.

Otherwise, the AMF sets the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

In case f) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to congestion control, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded;

d) set the 5GMM cause IE to the 5GMM cause #22 "Congestion", the 5GMM cause #67 "insufficient resources for specific slice and DNN" or the 5GMM cause #69 "insufficient resources for specific slice"; and

e) include the Back-off timer value IE.

In case g) in subclause 5.4.5.3.1, i.e. upon reception of a UE policy container from the PCF to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "UE policy container"; and

b) set the Payload container IE to the UE policy container received from the PCF.

In case h) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded, because the PLMN's maximum number of PDU sessions has been reached, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #65 "maximum number of PDU sessions reached".

In case h1) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded, because the maximum number of PDU sessions with active user-plane resources has been reached, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #92 "insufficient user-plane resources for the PDU session".

In case h2) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded because the UE requested to establish a PDU session associated with an S-NSSAI for which:

a) the AMF is performing NSSAA and determined to reject the request based on local policy; or

b) the network slice-specific authentication and authorization has failed or the authorization has been revoked;

the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

In case hx) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded because the UE requested to establish an MA PDU session for LADN DNN, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

In case i) in subclause 5.4.5.3.1, i.e. upon sending a single uplink 5GSM message which was not forwarded due to service area restrictions, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "N1 SM information";

c) set the Payload container IE to the 5GSM message which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #28 "Restricted service area".

In case j) in subclause 5.4.5.3.1 i.e. upon reception of UE parameters update data (see 3GPP TS 23.502 [9]) from the UDM to be forwarded to the UE, the AMF shall:

a) set the Payload container type IE to "UE parameters update transparent container"; and

b) set the contents of the Payload container IE to the UE parameters update data (see 3GPP TS 23.502 [9]) received from the UDM.

For case k) in subclause 5.4.5.3.1 upon reception from a location services application of a Location services message payload, the AMF shall:

a) set the Payload container type IE to "Location services message container"; and

b) set the Payload container IE to the Location services message payload.

For case k) in subclause 5.4.5.3.1 upon reception from an LMF of a Location services message payload, the AMF shall:

a) set the Payload container type IE to "Location services message container";

b) set the Payload container IE to the Location services message payload; and

c) set the Additional information IE to routing information associated with the LMF from which the Location services message payload was received.

NOTE 3: Case k) in subclause 5.4.5.3.1 supports transport of a Location services message container between a UE and an AMF and between a UE and an LMF. For transport between a UE and an LMF, the Additional information IE is included and provides routing information for the LMF. For transport between a UE and an AMF, the Additional information IE is not included.

In case l) in subclause 5.4.5.3.1, i.e. upon reception from an SMF of a user data container payload, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to "CIoT user data container"; and

c) set the Payload container IE to the user data container.

For case l1) in subclause 5.4.5.3.1, i.e. upon sending a single uplink CIoT user data container or control plane user data which was not forwarded due to routing failure, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to " CIoT user data container";

c) set the Payload container IE to the CIoT user data container or control plane user data which was not forwarded; and

d) set the 5GMM cause IE to the 5GMM cause #90 "payload was not forwarded".

NOTE 4: For case l1) in subclause 5.4.5.3.1, this is also applied for a single uplink CIoT user data container or control plane user data in the CONTRON PLANE SERVICE REQUEST message which was not forwarded due to routing failure.

For case l2) in subclause 5.4.5.3.1, i.e. upon sending a single uplink CIoT user data container which was not forwarded due to congestion control, the AMF shall:

a) include the PDU session ID in the PDU session ID IE;

b) set the Payload container type IE to " CIoT user data container";

c) set the Payload container IE to the CIoT user data container which was not forwarded;

d) set the 5GMM cause IE to the 5GMM cause #22 "Congestion" and include the Back-off timer value IE.

In case m) in subclause 5.4.5.3.1, the AMF shall:

a) set the Payload container type IE to "Multiple payloads";

b) set each payload container entry of the Payload container IE (see subclause 9.11.3.39) as follows:

i) set the payload container type field of the payload container entry to a payload container type value set in the Payload container type IE as specified for cases a) to l2) above;

ii) set the payload container entry contents field of the payload container entry to the payload container contents set in the Payload container IE as specified for cases a) to l2) above;

iii) set the optional IE fields, if any, to the optional associated information as specified for cases a) to l2) above.



Figure 5.4.5.3.2.1: Network-initiated NAS transport procedure

\* \* \* 5th Changes \* \* \* \*

#### 6.4.1.2 UE-requested PDU session establishment procedure initiation

In order to initiate the UE-requested PDU session establishment procedure, the UE shall create a PDU SESSION ESTABLISHMENT REQUEST message.

NOTE 0: When IMS voice is available over either 3GPP access or non-3GPP access, the "voice centric" UE in 5GMM-REGISTERED state will receive a request from upper layers to establish the PDU session for IMS signalling, if the conditions for performing an initial registration with IMS indicated in 3GPP TS 24.229 [14] subclause U.3.1.2 are satisfied.

If the UE requests to establish a new PDU session, the UE shall allocate a PDU session ID which is not currently being used by another PDU session over either 3GPP access or non-3GPP access. If the N5CW device supports 3GPP access and requests to establish a new PDU session via 3GPP access, the N5CW device shall refrain from allocating "PDU session identity value 15". If the TWIF acting on behalf of the N5CW device requests to establish a new PDU session, the TWIF acting on behalf of the N5CW device shall allocate the "PDU session identity value 15".

The UE shall allocate a PTI value currently not used and shall set the PTI IE of the PDU SESSION ESTABLISHMENT REQUEST message to the allocated PTI value.

If the UE is registered for emergency services over the current access, the UE shall not request establishing a non-emergency PDU session over the current access. If the UE is registered for emergency services over the current access it shall not request establishing an emergency PDU session over the non-current access except if the request is for transferring the emergency PDU session to the non-current access. Before transferring an emergency PDU session from non-3GPP access to 3GPP access, or before transferring a PDN connection for emergency bearer services from untrusted non-3GPP access connected to EPC to 3GPP access, the UE shall check whether emergency services are supported in the NG-RAN cell (either an NR cell or an E-UTRA cell) on which the UE is camping.

NOTE 1: Transfer of an existing emergency PDU session or PDN connection for emergency bearer services between 3GPP access and non-3GPP access is needed e.g. if the UE determines that the current access is no longer available.

If the UE requests to establish a new emergency PDU session, the UE shall include the PDU session type IE in the PDU SESSION ESTABLISHMENT REQUEST message and shall set the IE to the IP version capability as specified in subclause 6.2.4.2.

If the UE requests to establish a new non-emergency PDU session with a DN, the UE shall include the PDU session type IE in the PDU SESSION ESTABLISHMENT REQUEST message and shall set the IE to one of the following values: the IP version capability as specified in subclause 6.2.4.2, "Ethernet" or "Unstructured" based on the URSP rules or based on UE local configuration (see 3GPP TS 24.526 [19]).

NOTE 2: When the UE initiates the UE-requested PDU session establishment procedure to transfer an existing non-IP PDN connection in the EPS to the 5GS, the UE can use locally available information associated with the PDN connection to select the PDU session type between "Ethernet" and "Unstructured".

If the UE requests to establish a new non-emergency PDU session with a DN and the UE requests an SSC mode, the UE shall set the SSC mode IE of the PDU SESSION ESTABLISHMENT REQUEST message to the SSC mode. If the UE requests to establish a PDU session of "IPv4", "IPv6" or "IPv4v6" PDU session type, the UE shall either omit the SSC mode IE or set the SSC mode IE to "SSC mode 1", "SSC mode 2", or "SSC mode 3". If the UE requests to establish a PDU session of "Ethernet" or "Unstructured" PDU session type, the UE shall either omit the SSC mode IE or set the SSC mode IE to "SSC mode 1" or "SSC mode 2". If the UE requests transfer of an existing PDN connection in the EPS to the 5GS or the UE requests transfer of an existing PDN connection in an untrusted non-3GPP access connected to the EPC to the 5GS, the UE shall set the SSC mode IE to "SSC mode 1".

If the UE requests to establish a new emergency PDU session, the UE shall set the SSC mode IE of the PDU SESSION ESTABLISHMENT REQUEST message to "SSC mode 1".

If the UE requests to establish a new PDU session with a DN, the UE may include the SM PDU DN request container IE with a DN-specific identity of the UE complying with network access identifier (NAI) format as specified in IETF RFC 7542 [37].

The UE should set the RQoS bit to "Reflective QoS supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message if the UE supports reflective QoS and:

a) the UE requests to establish a new PDU session of "IPv4", "IPv6", "IPv4v6" or "Ethernet" PDU session type;

b) the UE requests to transfer an existing PDN connection in the EPS of "IPv4", "IPv6", "IPv4v6" or "Ethernet" PDN type or of "Non-IP" PDN type mapping to "Ethernet" PDU session type, to the 5GS; or

c) the UE requests to transfer an existing PDN connection in an untrusted non-3GPP access connected to the EPC of "IPv4", "IPv6" or "IPv4v6" PDN type to the 5GS.

NOTE 3: The determination to not request the usage of reflective QoS by the UE for a PDU session is implementation dependent.

The UE shall indicate the maximum number of packet filters that can be supported for the PDU session in the Maximum number of supported packet filters IE of the PDU SESSION ESTABLISHMENT REQUEST message if:

a) the UE requests to establish a new PDU session of "IPv4", "IPv6", "IPv4v6", or "Ethernet" PDU session type, and the UE can support more than 16 packet filters for this PDU session;

b) the UE requests to transfer an existing PDN connection in the EPS of "IPv4", "IPv6", "IPv4v6", or "Ethernet" PDN type or of "Non-IP" PDN type mapping to "Ethernet" PDU session type, to the 5GS and the UE can support more than 16 packet filters for this PDU session; or

c) the UE requests to transfer an existing PDN connection in an untrusted non-3GPP access connected to the EPC of "IPv4", "IPv6" or "IPv4v6" PDN type to the 5GS and the UE can support more than 16 packet filters for this PDU session.

The UE shall include the Integrity protection maximum data rate IE in the PDU SESSION ESTABLISHMENT REQUEST message to indicate the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink and the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink.

The UE shall set the MH6-PDU bit to "Multi-homed IPv6 PDU session supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message if the UE supports multi-homed IPv6 PDU session and:

a) the UE requests to establish a new PDU session of "IPv6" or "IPv4v6" PDU session type; or.

b) the UE requests to transfer an existing PDN connection of "IPv6" or "IPv4v6" PDN type in the EPS or in an untrusted non-3GPP access connected to the EPC to the 5GS.

The UE shall set the EPT-S1 bit to "Ethernet PDN type in S1 mode supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message if the UE supports Ethernet PDN type in S1 mode and requests "Ethernet" PDU session type.

If the UE requests to establish a new PDU session as an always-on PDU session (e.g. because the PDU session is for TSC), the UE shall include the Always-on PDU session requested IE and set the value of the IE to "Always-on PDU session requested" in the PDU SESSION ESTABLISHMENT REQUEST message.

NOTE 4: Determining whether a PDU session is for TSC is UE implementation dependent.

If the UE has an emergency PDU session, the UE shall not perform the UE-requested PDU session establishment procedure to establish another emergency PDU session. The UE may perform the UE-requested PDU session establishment procedure to transfer an existing emergency PDU session or an existing PDN connection for emergency services.

If:

a) the UE requests to perform handover of an existing PDU session between 3GPP access and non-3GPP access;

b) the UE requests to perform transfer an existing PDN connection in the EPS to the 5GS; or

c) the UE requests to perform transfer an existing PDN connection in an untrusted non-3GPP access connected to the EPC to the 5GS;

the UE shall:

a) set the PDU session ID in the PDU SESSION ESTABLISHMENT REQUEST message and in the UL NAS TRANSPORT message to the stored PDU session ID corresponding to the PDN connection; and

b) set the S-NSSAI in the UL NAS TRANSPORT message to the stored S-NSSAI associated with the PDU session ID only if the S-NSSAI is included in the allowed NSSAI.

If the N5CW device supports 3GPP access and requests to perform handover of an existing PDU session from non-3GPP access to 3GPP access, the N5CW device shall set the PDU session ID in the PDU SESSION ESTABLISHMENT REQUEST message and in the UL NAS TRANSPORT message to "PDU session identity value 15".

If the UE is registered to a network which supports ATSSS and the UE requests to establish a new PDU session the UE may allow the network to upgrade the requested PDU session to an MA PDU session. In order to allow the network to upgrade the requested PDU session to an MA PDU session, the UE shall set "MA PDU session network upgrade allowed" in the MA PDU session information IE and shall set the request type to "initial request" in the UL NAS TRANSPORT message. If the UE is registered to a network which does not support ATSSS, the UE shall not perform the procedure to allow the network to upgrade the requested PDU session to an MA PDU session.

NOTE 5: If the UE requested DNN corresponds to an LADN DNN, the AMF cannont forword the MA PDU session information IE to the SMF.

If the UE is registered to a network which supports ATSSS, the UE may request to establish an MA PDU session. If the UE requests to establish an MA PDU session, the UE shall set the request type to "MA PDU request" in the UL NAS TRANSPORT message. If the UE is registered to a network which does not support ATSSS, the UE shall not request to establish an MA PDU session.

When the UE is registered over both 3GPP access and non-3GPP access in the same PLMN and the UE requests to establish a new MA PDU session, the UE may provide an S-NSSAI in the UL NAS TRANSPORT message only if the S-NSSAI is included in the allowed NSSAIs of both accesses.

If the UE is registered to a network which supports ATSSS and the UE has already an MA PDU session established over one access, the UE may perform the UE-requested PDU session establishment procedure to establish user-plane resources over the other access for the MA PDU session as specified in subclause 4.22 of 3GPP TS 23.502 [9] and the S-NSSAI associated with the MA PDU session is included in the allowed NSSAI of the other access. If the UE establishes user-plane resources over the other access for the MA PDU session, the UE shall:

a) set the request type to "MA PDU request" in the UL NAS TRANSPORT message;

b) set the PDU session ID to the stored PDU session ID corresponding to the established MA PDU session in the PDU SESSION ESTABLISHMENT REQUEST message and in the UL NAS TRANSPORT message; and

c) set the S-NSSAI in the UL NAS TRANSPORT message to the stored S-NSSAI associated with the PDU session ID.

If the UE requests to establish a new MA PDU session or if the UE requests to establish a new PDU session and the UE allows the network to upgrade the requested PDU session to an MA PDU session:

a) if the UE supports ATSSS Low-Layer functionality with any steering mode as specified in subclause 5.32.6 of 3GPP TS 23.501 [8], the UE shall set the ATSSS-ST bits to "ATSSS Low-Layer functionality with any steering mode supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message;

b) if the UE supports MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode as specified in subclause 5.32.6 of 3GPP TS 23.501 [8], the UE shall set the ATSSS-ST bits to "MPTCP functionality with any steering mode and ATSSS-LL functionality with only active-standby steering mode supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message; and

c) if the UE supports MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode as specified in subclause 5.32.6 of 3GPP TS 23.501 [8], the UE shall set the ATSSS-ST bits to "MPTCP functionality with any steering mode and ATSSS-LL functionality with any steering mode supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message.

If the UE is registered to a network which does not support ATSSS and the UE has already an MA PDU session established over one access, the UE shall not attempt to establish user-plane resources for the MA PDU session over the network which does not support ATSSS as specified in subclause 4.22 of 3GPP TS 23.502 [9].

If the UE supports 3GPP PS data off, except for the transfer of a PDU session from non-3GPP access to 3GPP access and except for the establishment of user plane resources on the other access for the MA PDU session, the UE shall include the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT REQUEST message and include the 3GPP PS data off UE status. The UE behaves as described in subclause 6.2.10.

If the UE supports Reliable Data Service, the UE shall include the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT REQUEST message and include the Reliable Data Service request indicator. The UE behaves as described in subclause 6.2.15.

If the UE supports DNS over (D)TLS (see 3GPP TS 33.501 [24]), the UE shall include the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT REQUEST message and include DNS server security information indicator.

NOTE 6: Support of DNS over (D)TLS is based on the informative requirements as specified in 3GPP TS 33.501 [24].

If:

a) the PDU session type value of the PDU session type IE is set to "IPv4", "IPv6" or "IPv4v6";

b) the UE indicates "Control plane CIoT 5GS optimization supported" and "IP header compression for control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message; and

c) the network indicates "Control plane CIoT 5GS optimization supported" and "IP header compression for control plane CIoT 5GS optimization supported" in the 5GS network support feature IE of the REGISTRATION ACCEPT message;

the UE shall include the IP header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message.

If:

a) the PDU session type value of the PDU session type IE is set to "Ethernet";

b) the UE indicates "Control plane CIoT 5GS optimization supported" and "Ethernet header compression for control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message; and

c) the network indicates "Control plane CIoT 5GS optimization supported" and "Ethernet header compression for control plane CIoT 5GS optimization supported" in the 5GS network support feature IE of the REGISTRATION ACCEPT message;

the UE shall include the Ethernet header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message.

If the UE requests to establish a PDU session of "Ethernet" PDU session type and the UE supports transfer of port management information containers, the UE shall:

a) set the TPMIC bit to "Transfer of port management information containers supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message;

b) include the DS-TT Ethernet port MAC address IE in the PDU SESSION ESTABLISHMENT REQUEST message and set its contents to the MAC address of the DS-TT Ethernet port used for the PDU session;

c) if the UE-DS-TT residence time is available at the UE, include the UE-DS-TT residence time IE and set its contents to the UE-DS-TT residence time; and

d) include the Port management information container IE in the PDU SESSION ESTABLISHMENT REQUEST message.

NOTE 7: Only SSC mode 1 is supported for a PDU session which is for TSC.

If the UE supporting S1 mode supports receiving QoS rules with the length of two octets or QoS flow descriptions with the length of two octets via the extended protocol configuration options IE, the UE shall include the QoS rules with the length of two octets support indicator or the QoS flow descriptions with the length of two octets support indicator, respectively, in the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT REQUEST message.

If the W-AGF acting on behalf of the FN-RG requests to establish a PDU session of "IPv6" or "IPv4v6" PDU session type, the W-AGF acting on behalf of the FN-RG may include in the PDU SESSION ESTABLISHMENT REQUEST message the Suggested interface identifier IE with the PDU session type value field set to "IPv6" and containing the interface identifier for the IPv6 link local address associated with the PDU session suggested to be allocated to the FN-RG.

The UE shall transport:

a) the PDU SESSION ESTABLISHMENT REQUEST message;

b) the PDU session ID of the PDU session being established, being handed over, being transferred, or been established as an MA PDU session;

c) if the request type is set to:

1) "initial request" or "MA PDU request" and the UE determined to establish a new PDU session or an MA PDU session based on either a URSP rule including one or more S-NSSAIs in the URSP (see subclause 6.2.9) or UE local configuration, according to subclause 4.2.2 of 3GPP TS 24.526 [19]:

i) in case of a non-roaming scenario, an S-NSSAI in the allowed NSSAI which corresponds to one of the S-NSSAI(s) in the matching URSP rule, if any to the S-NSSAI(s) in the UE local configuration or in the default URSP rule, according to the conditions given in subclause 4.2.2 of 3GPP TS 24.526 [19]; or

ii) in case of a roaming scenario:

A) one of the mapped S-NSSAI(s) which corresponds to one of the S-NSSAI(s) in the matching URSP rule, if any, or else to the S-NSSAI(s) in the UE local configuration or in the default URSP rule, according to the conditions given in subclause 4.2.2 of 3GPP TS 24.526 [19]; and

B) the S-NSSAI in the allowed NSSAI associated with the S-NSSAI in A); or

2) "existing PDU session", an S-NSSAI, which is an S-NSSAI associated with the PDU session and (if available in roaming scenarios) a mapped S-NSSAI;

d) the requested DNN, if the request type is set to "initial request" or "existing PDU session", and the UE requests a connectivity to a DNN other than the default DNN;

e) the request type which is set to:

1) "initial request", if the UE is not registered for emergency services and the UE requests to establish a new non-emergency PDU session;

2) "existing PDU session", if the UE is not registered for emergency services and the UE requests:

i) handover of an existing non-emergency PDU session between 3GPP access and non-3GPP access;

ii) transfer of an existing PDN connection for non-emergency bearer services in the EPS to the 5GS; or

iii) transfer of an existing PDN connection for non-emergency bearer services in an untrusted non-3GPP access connected to the EPC to the 5GS;

3) "initial emergency request", if the UE requests to establish a new emergency PDU session;

4) "existing emergency PDU session", if the UE requests:

i) handover of an existing emergency PDU session between 3GPP access and non-3GPP access;

ii) transfer of an existing PDN connection for emergency bearer services in the EPS to the 5GS; or

iii) transfer of an existing PDN connection for emergency bearer services in an untrusted non-3GPP access connected to the EPC to the 5GS; or

5) "MA PDU request", if:

i) the UE requests to establish an MA PDU session;

ii) the UE requests to establish user plane resources over other access of an MA PDU session established over one access only; or

iii) the 5G-RG performs inter-system change from S1 mode to N1 mode according to subclause 4.8.2.3.1 and requests transfer of a PDN connection which is a user plane resource of an MA PDU session; and

f) the old PDU session ID which is the PDU session ID of the existing PDU session, if the UE initiates the UE-requested PDU session establishment procedure upon receiving the PDU SESSION MODIFICATION COMMAND messages with the 5GSM cause IE set to #39 "reactivation requested";

using the NAS transport procedure as specified in subclause 5.4.5, and the UE shall start timer T3580 (see example in figure 6.4.1.2.1).

For bullet c), if the matching URSP rule does not have an associated S-NSSAI, or if the UE does not have any matching URSP rule and there is no S-NSSAI in the UE local configuration or in the default URSP rule, the UE shall not provide any S-NSSAI in a PDU session establishment procedure.

If the request type is set to "initial emergency request" or "existing emergency PDU session", neither DNN nor S-NSSAI is transported by the UE using the NAS transport procedure as specified in subclause 5.4.5.



Figure 6.4.1.2.1: UE-requested PDU session establishment procedure

Upon receipt of a PDU SESSION ESTABLISHMENT REQUEST message, a PDU session ID, optionally an S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI, optionally a DNN determined by the AMF, optionally a DNN selected by the network (if different from the DNN determined by the AMF), the request type, and optionally an old PDU session ID, the SMF checks whether connectivity with the requested DN can be established. If the requested DNN is not included, the SMF shall use the default DNN.

If the PDU session being established is a non-emergency PDU session, the request type is not set to "existing PDU session" and the PDU session authentication and authorization by the external DN is required due to local policy, the SMF shall check whether the PDU SESSION ESTABLISHMENT REQUEST message includes the SM PDU DN request container IE.

If the PDU session being established is a non-emergency PDU session, the request type is not set to "existing PDU session", the SM PDU DN request container IE is included in the PDU SESSION ESTABLISHMENT REQUEST message, the PDU session authentication and authorization by the external DN is required due to local policy and user's subscription data, and:

a) the information for the PDU session authentication and authorization by the external DN in the SM PDU DN request container IE is compliant with the local policy and user's subscription data, the SMF shall proceed with the EAP Authentication procedure specified in 3GPP TS 33.501 [24] and refrain from accepting or rejecting the PDU SESSION ESTABLISHMENT REQUEST message until the EAP Authentication procedure finalizes; or

b) the information for the PDU session authentication and authorization by the external DN in the SM PDU DN request container IE is not compliant with the local policy and user's subscription data, the SMF shall consider it as an abnormal case and proceed as specified in subclause 6.4.1.7.

If the PDU session being established is a non-emergency PDU session, the request type is not set to "existing PDU session", the SM PDU DN request container IE is not included in the PDU SESSION ESTABLISHMENT REQUEST message and the PDU session authentication and authorization by the external DN is required due to local policy and user's subscription data, the SMF shall proceed with the EAP Authentication procedure specified in 3GPP TS 33.501 [24] and refrain from accepting or rejecting the PDU SESSION ESTABLISHMENT REQUEST message until the EAP Authentication procedure finalizes.

If the SMF receives the old PDU session ID from the AMF and a PDU session exists for the old PDU session ID, the SMF shall consider that the request for the relocation of SSC mode 3 PDU session anchor with multiple PDU sessions as specified in 3GPP TS 23.502 [9] is accepted by the UE.

If the UE has set the TPMIC bit to "Transfer of port management information containers supported" in the 5GSM capability IE of the PDU SESSION ESTABLISHMENT REQUEST message and has included a DS-TT Ethernet port MAC address IE and Port management information container IE in the PDU SESSION ESTABLISHMENT REQUEST message, the SMF shall operate as specified in 3GPP TS 23.502 [9] subclause 4.3.2.2.1.

\* \* \* End of the Changes \* \* \* \*