**3GPP TSG-CT WG1 Meeting #130-eC1-213585**

**Electronic meeting, 20-28 May 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  | **24.501** | **CR** | **2994** | **rev** | **2** | **Current version:** | **17.2.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | Correct behavior when receiving #54 during transfer or interworking of existing PDN connection/PDU session  |
|  |  |
| ***Source to WG:*** | BlackBerry UK Ltd. |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5GProtoc17 |  | ***Date:*** | 2021-05-06 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)...Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | According to C1-211200, a transfer or interworking may be rejected with #54 during a race condition when the network releases a PDN connection/PDUU session at the same time as the UE’s attempt to transfer or interwork the PDN connection or PDU session.Furthermore, the UE can attempt to interwork a PDN connection from EPC to 5GS without N26 and the PDN connection is provided by standalone P-GW. In case the PDN connection is an emergency session, the AMF derives an SMF addressed. The SMF with the derived SMF address may not actually have the corresponding PDU session and thus the network responds with a #54.While the former case is a race condition, in the later case the UE shall not release the to be transferred emergency session until the associated bearers have been released.In general, when #54 is received for a PDU session or a (or PDN connection if this is for interworking with EPC), the NAS in the UE shall not release the context until the associated bearers have been released. |
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| ***Summary of change:*** | In the case that the transferred PDU sesison on interworked PDN connection from EPS to 5GS is rejected with cause code #54, the NAS layer in the UE does not autonomously release its context.  |
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| ***Consequences if not approved:*** | UE permitted to terminate an active emergency session, without user consent. The UE is unavailable for PSAP call back (following emergency transfer failure) due to being emergency registered.UE permitted to end voice call without user’s consent. |
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| ***Clauses affected:*** | 6.4.1.4.1, 6.4.1.4.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\* First change \*\*\*

#### 6.4.1.4 UE requested PDU session establishment procedure not accepted by the network

##### 6.4.1.4.1 General

If the connectivity with the requested DN is rejected by the network, the SMF shall create a PDU SESSION ESTABLISHMENT REJECT message.

The SMF shall set the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message to indicate the reason for rejecting the PDU session establishment.

The 5GSM cause IE typically indicates one of the following SM cause values:

#8 operator determined barring;

#26 insufficient resources;

#27 missing or unknown DNN;

#28 unknown PDU session type;

#29 user authentication or authorization failed;

#31 request rejected, unspecified;

#32 service option not supported;

#33 requested service option not subscribed;

#35 PTI already in use;

#38 network failure;

#39 reactivation requested;

#46 out of LADN service area;

#50 PDU session type IPv4 only allowed;

#51 PDU session type IPv6 only allowed;

#54 PDU session does not exist;

#57: PDU session type IPv4v6 only allowed;

#58: PDU session type Unstructured only allowed;

#61: PDU session type Ethernet only allowed;

#67 insufficient resources for specific slice and DNN;

#68 not supported SSC mode;

#69 insufficient resources for specific slice;

#70 missing or unknown DNN in a slice;

#82 maximum data rate per UE for user-plane integrity protection is too low; or

#95 – 111 protocol errors.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, are limited to IPv4 only for the requested DNN, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, are limited to IPv6 only for the requested DNN, the SMF shall include the 5GSM cause value #51 "PDU session type IPv6 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", and the subscription, the SMF configuration, or both, support none of "IPv4v6", "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "Unstructured" or "Ethernet", and the subscription, the SMF configuration, or both, do not support the PDU session type for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and includes a PDU session type IE set to "Unstructured", and the SMF configuration does not support the PDU session type, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message contains the SSC mode IE indicating an SSC mode not supported by the subscription, the SMF configuration, or both of them, and the SMF decides to rejects the PDU session establishment, the SMF shall include the 5GSM cause value #68 "not supported SSC mode" in the 5GSM cause IE and the SSC modes allowed by SMF in the Allowed SSC mode IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and MA PDU session is not allowed due to operator policy and subscription, and the SMF decides to reject the PDU session establishment, the SMF shall include the 5GSM cause value #33 "requested service option not subscribed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

In 3GPP access, if the operator's configuration requires user-plane integrity protection for the PDU session and, the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink or the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink, or both, are lower than required by the operator's configuration, the SMF shall include the 5GSM cause value #82 "maximum data rate per UE for user-plane integrity protection is too low" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the UE requests a PDU session establishment for an LADN when the UE is located outside of the LADN service area, the SMF shall include the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the DN authentication of the UE was performed and completed unsuccessfully, the SMF shall include the 5GSM cause value #29 "user authentication or authorization failed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message and shall set the EAP message IE of the PDU SESSION ESTABLISHMENT REJECT message to an EAP-failure message as specified in IETF RFC 3748 [34], provided by the DN.

Based on the local policy and user's subscription data, if a PDU session is being established with the request type set to "existing PDU session" and the SMF determines the UE has:

a) moved between a tracking area in NB-N1 mode and a tracking area in WB-N1 mode;

b) moved between a tracking area in NB-S1 mode and a tracking area in WB-N1 mode; or

c) moved between a tracking area in WB-S1 mode and a tracking area in NB-N1 mode,

the SMF may reject the PDU SESSION ESTABLISHMENT REQUEST message and:

a) include the 5GSM cause value #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message; or

b) include a 5GSM cause value other than #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

NOTE 1: The included 5GSM cause value is up to the network implementation.

If the PDU session cannot be established due to resource unavailability in the UPF, the SMF shall include the 5GSM cause value #26 "insufficient resources" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

The network may include a Back-off timer value IE in the PDU SESSION ESTABLISHMENT REJECT message.

If the 5GSM cause value is #26 "insufficient resources", #67 "insufficient resources for specific slice and DNN", or #69 "insufficient resources for specific slice" and the PDU SESSION ESTABLISHMENT REQUEST message was received from a UE configured for high priority access in selected PLMN or the request type provided during the PDU session establishment is set to "initial emergency request" or "existing emergency PDU session", the network shall not include a Back-off timer value IE.

If the 5GSM cause value is #29 "user authentication or authorization failed", the network should include a Back-off timer value IE.

If the Back-off timer value IE is included and the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #46 "out of LADN service area", "#50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", the network may include the Re-attempt indicator IE to indicate whether the UE is allowed to attempt a PDN connectivity procedure in the PLMN for the same DNN in S1 mode, and whether another attempt in S1 mode or in N1 mode is allowed in an equivalent PLMN.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the network may include the Re-attempt indicator IE without Back-off timer value IE to indicate whether the UE is allowed to attempt a PDU session establishment procedure in an equivalent PLMN in N1 mode using the same PDU session type for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE).

The SMF shall send the SM PDU SESSION ESTABLISHMENT REJECT message.

Upon receipt of a PDU SESSION ESTABLISHMENT REJECT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580 shall release the allocated PTI value and shall consider that the PDU session was not established.

If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session" and the UE receives a PDU SESSION ESTABLISHMENT REJECT message with a 5GSM cause value different from #54 "PDU session does not exist", then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 2: This can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) de-register locally, if not de-registered already, attempt initial registration for emergency services.

If the PDU SESSION ESTABLISHMENT REJECT message includes 5GSM cause #39 "reactivation requested" and the PDU session is being transferred from EPS to 5GS and established with the request type set to "existing PDU session", the UE should re-initiate the UE-requested PDU session establishment procedure as specified in subclause 6.4.1 for:

a) the PDU session type associated with the transferred PDU session;

b) the SSC mode associated with the transferred PDU session;

c) the DNN associated with the transferred PDU session; and

d) the S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI if provided in the UE-requested PDU session establishment procedure of the transferred PDU session.

\*\*\* Next change \*\*\*

##### 6.4.1.4.3 Handling of network rejection not due to congestion control

If the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #39 "reactivation requested", #46 "out of LADN service area", #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", and the Back-off timer value IE is included, the UE shall behave as follows: (if the UE is a UE configured for high priority access in selected PLMN, exceptions are specified in subclause 6.2.12):

a) if the timer value indicates neither zero nor deactivated and:

1) if the UE provided a DNN and S-NSSAI to the network during the PDU session establishment and the 5GSM cause value is different from #27 "missing or unknown DNN", the UE shall start the back-off timer with the value provided in the Back-off timer value IE for the PDU session establishment procedure and [PLMN, DNN, (mapped) HPLMN S-NSSAI] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN and (mapped) HPLMN S-NSSAI in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

2) if the UE provided a DNN to the network during the PDU session establishment and the 5GSM cause value is #27 "missing or unknown DNN", the UE shall start the back-off timer with the value provided in the Back-off timer value IE for the PDU session establishment procedure and [PLMN, DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

3) if the UE did not provide a DNN or S-NSSAI or any of the two parameters to the network during the PDU session establishment and the 5GSM cause value is different from #27 "missing or unknown DNN", it shall start the back-off timer accordingly for the PDU session establishment procedure and the [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination. Dependent on the combination, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; or

4) if the UE did not provide a DNN to the network during the PDU session establishment and the 5GSM cause value is #27 "missing or unknown DNN", it shall start the back-off timer accordingly for the PDU session establishment procedure and the [PLMN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, no DNN] in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

b) if the timer value indicates that this timer is deactivated and:

1) if the UE provided a DNN and S-NSSAI to the network during the PDU session establishment and the 5GSM cause value is different from #27 "missing or unknown DNN", the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN and (mapped) HPLMN S-NSSAI in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

2) if the UE provided a DNN to the network during the PDU session establishment and the 5GSM cause value is #27 "missing or unknown DNN", the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

3) if the UE did not provide a DNN or S-NSSAI or any of the two parameters to the network during the PDU session establishment and the 5GSM cause value is different from #27 "missing or unknown DNN", the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; or

4) if the UE did not provide a DNN to the network during the PDU session establishment and the 5GSM cause value is #27 "missing or unknown DNN", the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, no DNN] in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; and

c) if the timer value indicates zero and the 5GSM cause value is different from #27 "missing or unknown DNN", the UE may send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN, (mapped) HPLMN S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI], or [PLMN, no DNN, no S-NSSAI] in the current PLMN. If the timer value indicates zero and the 5GSM cause value is #27 "missing or unknown DNN", the UE may send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN], or [PLMN, no DNN] in the current PLMN.

If the Back-off timer value IE is not included, then the UE shall ignore the Re-attempt indicator IE provided by the network in the PDU SESSION ESTABLISHMENT REJECT message, if any.

a) Additionally, if the 5GSM cause value is #8 "operator determined barring", #32 "service option not supported", #33 "requested service option not subscribed" or #70 "missing or unknown DNN in a slice", then:

1) the UE not operating in SNPN access operation mode shall proceed as follows:

i) if the UE is registered in the HPLMN or in a PLMN that is within the EHPLMN list, the UE shall behave as described above in the present subclause using the configured SM Retry Timer value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, as back-off timer value; and

ii) otherwise, if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the SM Retry Timer value is not configured, the UE shall behave as described above in the present subclause, using the default value of 12 minutes for the back-off timer; or

2) the UE operating in SNPN access operation mode shall proceed as follows:

i) if:

A) the SM Retry Timer value for the current SNPN as specified in 3GPP TS 24.368 [17] is available; or

B) the UE used the USIM for registration to the current SNPN and the SM Retry Timer value in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22] is available;

 then the UE shall behave as described above in the present subclause using the configured SM Retry Timer value as back-off timer value; or

NOTE 1: The way to choose one of the configured SM Retry Timer values for back-off timer value is up to UE implementation if both conditions in bullets A) and B) above are satisfied.

ii) otherwise, the UE shall behave as described above in the present subclause, using the default value of 12 minutes for the back-off timer.

b) For 5GSM cause value #27 "missing or unknown DNN", then:

1) the UE not operating in SNPN access operation mode shall proceed as follows:

i) if the UE is registered in the HPLMN or in a PLMN that is within the EHPLMN list, the UE shall start the back-off timer with the configured SM Retry Timer value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, as back-off timer value for the PDU session establishment procedure and the [PLMN, DNN] or [PLMN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off or the USIM is removed; and

ii) otherwise, if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the SM Retry Timer value is not configured, the UE shall start the back-off timer with the default value of 12 minutes as back-off timer value for the PDU session establishment procedure and the [PLMN, DNN] or [PLMN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off or the USIM is removed; or

2) the UE operating in SNPN access operation mode shall proceed as follows:

i) if:

A) the SM Retry Timer value for the current SNPN as specified in 3GPP TS 24.368 [17] is available; or

B) the UE used the USIM for registration to the current SNPN and the SM Retry Timer value in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22] is available;

 then the UE shall start the back-off timer with the configured SM Retry Timer value as back-off timer value for the PDU session establishment procedure and the [SNPN, DNN] or [SNPN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current SNPN, until the back-off timer expires, the UE is switched off, or the entry in the "list of subscriber data" for the current SNPN is updated; or

NOTE 2: The way to choose one of the configured SM Retry Timer values for back-off timer value is up to UE implementation if both conditions in bullets A) and B) above are satisfied.

ii) otherwise, the UE shall start the back-off timer with the default value of 12 minutes as back-off timer value for the PDU session establishment procedure and the [SNPN, DNN] or [SNPN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current SNPN, until the back-off timer expires, the UE is switched off, or the entry in the "list of subscriber data" for the current SNPN is updated.

c) For 5GSM cause values different from #8 "operator determined barring", #27 "missing or unknown DNN", #32 "service option not supported", #33 "requested service option not subscribed" and #70 "missing or unknown DNN in a slice", the UE behaviour regarding the start of a back-off timer is unspecified.

The UE shall not stop any back-off timer:

a) upon a PLMN change;

b) upon an inter-system change; or

c) upon registration over another access type.

If the network indicates that a back-off timer for the PDU session establishment procedure is deactivated, then it remains deactivated;

a) upon a PLMN change;

b) upon an inter-system change; or

c) upon registration over another access type.

NOTE 3: This means the back-off timer can still be running or be deactivated for the given 5GSM procedure when the UE returns to the PLMN or when it performs inter-system change back from S1 mode to N1 mode. Thus, the UE can still be prevented from sending another PDU SESSION ESTABLISHMENT REQUEST message for the combination of [PLMN, DNN, (mapped) HPLMN S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI], [PLMN, no DNN, no S-NSSAI] , [PLMN, DNN], or [PLMN, no DNN] in the PLMN.

If the back-off timer is started upon receipt of a PDU SESSION ESTABLISHMENT REJECT (i.e. the timer value was provided by the network, a configured value is available or the default value is used as explained above) or the back-off timer is deactivated, the UE behaves as follows:

a) after a PLMN change:

1) the UE may send a PDU SESSION ESTABLISHMENT REQUEST message for the combination of [new PLMN, DNN, (mapped) HPLMN S-NSSAI], [new PLMN, DNN, no S-NSSAI], [new PLMN, no DNN, (mapped) HPLMN S-NSSAI], or [new PLMN, no DNN, no S-NSSAI] in the new PLMN, if the back-off timer is not running and is not deactivated for the PDU session establishment procedure and the combination of [new PLMN, DNN, (mapped) HPLMN S-NSSAI], [new PLMN, DNN, no S-NSSAI], [new PLMN, no DNN, (mapped) HPLMN S-NSSAI], or [new PLMN, no DNN, no S-NSSAI];

2) as an implementation option, for the 5GSM cause value #8 "operator determined barring", #32 "service option not supported", #33 "requested service option not subscribed" and #70 "missing or unknown DNN in a slice", if the network does not include a Re-attempt indicator IE, the UE may decide not to automatically send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN, (mapped) HPLMN S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, (mapped) HPLMN S-NSSAI], or [PLMN, no DNN, no S-NSSAI] using the same PDU session type if the UE is registered to a new PLMN which is in the list of equivalent PLMNs; and

3) as an implementation option, for the 5GSM cause value #27 "missing or unknown DNN", if the network does not include a Re-attempt indicator IE, the UE may decide not to automatically send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN] or [PLMN, no DNN] using the same PDU session type if the UE is registered to a new PLMN which is in the list of equivalent PLMNs;

b) if the network does not include the Re-attempt indicator IE to indicate whether re-attempt in S1 mode is allowed, or the UE ignores the Re-attempt indicator IE, e.g. because the Back-off timer value IE is not included, then:

1) if the UE is registered in its HPLMN or in a PLMN that is within the EHPLMN list and the back-off timer is running for the combination of [PLMN, DNN, (mapped) HPLMN S-NSSAI] or [PLMN, DNN, no S-NSSAI], the UE shall apply the configured value SM\_RetryAtRATChange value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, to determine whether the UE may attempt a PDN connectivity procedure for the same [PLMN, DNN] combination in S1 mode. If the back-off timer is running for the combination of [PLMN, no DNN, (mapped) HPLMN S-NSSAI] or [PLMN, no DNN, no S-NSSAI], the same applies for the PDN connectivity procedure for the [PLMN, no DNN] combination in S1 mode accordingly; and

2) if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the NAS configuration MO as specified in 3GPP TS 24.368 [17] is not available and the value for inter-system change is not configured in the USIM file NASCONFIG, then the UE behaviour regarding a PDN connectivity procedure for the same [PLMN, DNN] or [PLMN, no DNN] combination in S1 mode is unspecified; and

c) if the network includes the Re-attempt indicator IE indicating that re-attempt in an equivalent PLMN is not allowed, then depending on the timer value received in the Back-off timer value IE, for each combination of a PLMN from the equivalent PLMN list and the respective [DNN, (mapped) HPLMN S-NSSAI], [DNN, no S-NSSAI], [no DNN, (mapped) HPLMN S-NSSAI], or [no DNN, no S-NSSAI] combination, the UE shall start a back-off timer for the PDU session establishment procedure with the value provided by the network, or deactivate the respective back-off timer as follows:

1) if the Re-attempt indicator IE additionally indicates that re-attempt in S1 mode is allowed, the UE shall start or deactivate the back-off timer for N1 mode only; and

2) otherwise, the UE shall start or deactivate the back-off timer for S1 and N1 mode.

If the back-off timer for a [PLMN, DNN] or [PLMN, no DNN] combination, was started or deactivated in S1 mode upon receipt of PDN CONNECTIVITY REJECT message (see 3GPP TS 24.301 [15]) and the network indicated that re-attempt in N1 mode is allowed, then this back-off timer does not prevent the UE from sending a PDU SESSION ESTABLISHMENT REQUEST message in this PLMN for the same DNN, or without DNN, after inter-system change to N1 mode. If the network indicated that re-attempt in N1 mode is not allowed, the UE shall not send any PDU SESSION ESTABLISHMENT REQUEST message in this PLMN for the same DNN in combination with any S-NSSAI or without S-NSSAI, or in this PLMN without DNN in combination with any S-NSSAI or without S-NSSAI, after inter-system change to N1 mode until the timer expires, the UE is switched off or the USIM is removed.

NOTE 4: The back-off timer is used to describe a logical model of the required UE behaviour. This model does not imply any specific implementation, e.g. as a timer or timestamp.

NOTE 5: Reference to back-off timer in this section can either refer to use of timer T3396 or to use of a different packet system specific timer within the UE. Whether the UE uses T3396 as a back-off timer or it uses different packet system specific timers as back-off timers is left up to UE implementation.

When the back-off timer is running or the timer is deactivated, the UE is allowed to initiate a PDU session establishment procedure if the procedure is for emergency services.

If the 5GSM cause value is #28 "unknown PDU session type" and the PDU SESSION ESTABLISHMENT REQUEST message contained a PDU session type IE indicating a PDU session type, the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE may send another PDU SESSION ESTABLISHMENT REQUEST message with the PDU session type IE indicating another PDU session type or without the PDU session type IE, e.g. using another value which can be used for the rejected component in the same route selection descriptor as specified in 3GPP TS 24.526 [19]. The behaviour of the UE for 5GSM cause value #28 also applies if the PDU session is a MA PDU Session.

If the 5GSM cause value is #39 "reactivation requested", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any.

NOTE 6: Further UE behaviour upon receipt of 5GSM cause value #39 is up to the UE implementation.

If the 5GSM cause value is #46 "out of LADN service area", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message or another PDU SESSION MODIFICATION REQUEST message for the LADN DNN provided by the UE during the PDU session establishment procedure until the LADN information for the specific LADN DNN is updated as described in subclause 5.4.4 and subclause 5.5.1. The UE shall not indicate the PDU session(s) for the LADN DNN provided by the UE during the PDU session establishment procedure in the Uplink data status IE included in the SERVICE REQUEST message until the LADN information for the specific LADN DNN is updated as described in subclause 5.4.4 and subclause 5.5.1.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the UE shall ignore the Back-off timer value IE provided by the network, if any. The UE shall evaluate the URSP rules if available as specified in 3GPP TS 24.526 [19]. The UE shall not subsequently send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same (mapped) HPLMN S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) using the same PDU session type until any of the following conditions is fulfilled:

a) the UE is registered to a new PLMN which was not in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received;

b) the UE is registered to a new PLMN which was in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received, and either the network did not include a Re-attempt indicator IE in the PDU SESSION ESTABLISHMENT REJECT message or the Re-attempt indicator IE included in the message indicated that re-attempt in an equivalent PLMN is allowed;

c) void;

d) the UE is switched off; or

e) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

For the 5GSM cause values #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", and #61 "PDU session type Ethernet only allowed", the UE shall ignore the value of the RATC bit in the Re-attempt indicator IE provided by the network, if any.

NOTE 7: For the 5GSM cause values #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", and #61 "PDU session type Ethernet only allowed", re-attempt in S1 mode for the same DNN (or no DNN, if no DNN was indicated by the UE) is only allowed using the PDU session type(s) indicated by the network using the same PDU session type is not allowed.

If the 5GSM cause value is #54 "PDU session does not exist", the UE shall not locally release the context associated with the to be transferred PDN connection or PDU session until or unless the associated media or radio bearers have been released.

If the 5GSM cause value is #68 "not supported SSC mode", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall evaluate the URSP rules if available as specified in 3GPP TS 24.526 [19]. The UE shall not subsequently send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same (mapped) HPLMN S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) using the same SSC mode or an SSC mode which was not included in the Allowed SSC mode IE until any of the following conditions is fulfilled:

a) the UE is registered to a new PLMN which was not in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received;

b) the SSC mode which is used to access to the DNN (or no DNN, if no DNN was indicated by the UE) and the (mapped) HPLMN S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) is changed by the UE which subsequently requests a new SSC mode in the Allowed SSC mode IE or no SSC mode;

c) the UE is switched off; or

d) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

If the UE receives the 5GSM cause value is #33 "requested service option not subscribed" upon sending PDU SESSION ESTABLISHMENT REQUEST to establish an MA PDU session, the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall evaluate URSP rules, if available, as specified in 3GPP TS 24.526 [19] and the UE may send PDU SESSION ESTABLISHMENT REQUEST after evaluating those URSP rules.

Upon receipt of an indication from 5GMM sublayer that the 5GSM message was not forwarded because the DNN is not supported or not subscribed in a slice along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the PDU session ID of the PDU session, the UE:

a) shall stop timer T3580;

b) shall abort the procedure; and

c) shall not send another PDU SESSION ESTABLISHMENT REQUEST message in the PLMN for the same DNN and the same (mapped) HPLMN S-NSSAI that were sent by the UE, or for the same DNN and no S-NSSAI if S-NSSAI that was not sent by the UE, until:

1) the UE is switched off;

2) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated; or

3) the DNN is included in the LADN information and the network provides the LADN information during the registration procedure or the generic UE configuration update procedure.