**3GPP TSG-CT WG1 Meeting #130-eC1-213350**

**Electronic meeting, 20 – 28 May 2021**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **23.122** | **CR** | **0724** | **rev** | **-** | **Current version:** | **17.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | Send REGISTRATION COMPLETE message only if the SOR information is received |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5GProtoc17 |  | ***Date:*** | 2021-05-13 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)...Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | UE is mandated to send the REGISTRATION COMPLETE message without including an SOR transparent container to VAMF as approved in C1-191696, which intended to resolve the problem in the following scenarios (quoted from the associated discussion paper C1-191289):*As specified in TS 23.122 Annex C.2 (copied in Annex A of this paper), in following two cases the UE can directly perform SoR without sending the REGISTRATION COMPLETE message to the AMF to complete the ongoing registration procedure to speed up the SOR:*1. *SoR information is received, the security check is successful, the UDM has not requested an acknowledgement, and the UE decides to locally release the NAS signalling connection to perform SoR.*
2. *SoR information is received, the security check is not successful and the UE decides to perform SoR*

Note that, the target scenarios is “SOR information is received”, however the “SOR information is not received” scenario was also involved unintentionally. Please see the following change text quoted from clause C.2 of TS 23.122.*8) If the UE's USIM is configured with indication that the UE is to receive the steering of roaming information due to initial registration in a VPLMN, but neither the list of preferred PLMN/access technology combinations nor the secured packet nor the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided' is received in the REGISTRATION ACCEPT message, when the UE performs initial registration in a VPLMN or if the steering of roaming information is received but the security check is not successful, then the UE shall:**a) send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container;* “SOR information is not received” which means the VAMF does not send the SOR information to the UE, so the VAMF will not start T3550 and expect the REGISTRATION COMPLETE message either. Hence the UE no need to send the REGISTRATION COMPLETE message for this case. |
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| ***Summary of change:*** | Clarify UE will send REGISTRATION COMPLETE message only if the SOR information is received |
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| ***Consequences if not approved:*** | Unnecessary Signaling message |
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| ***Clauses affected:*** | C.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 24.501 CR 3303  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\*\*\* start of 1st change \*\*\*\*\*

# C.2 Stage-2 flow for steering of UE in VPLMN during registration

The stage-2 flow for the case when the UE registers with VPLMN AMF is described below in figure C.2.1. The selected PLMN is the VPLMN. The AMF is located in the selected VPLMN.

Figure C.2.1: Procedure for providing list of preferred PLMN/access technology combinations and the SOR-CMCI, if any

For the steps below, security protection is described in 3GPP TS 33.501 [24].

1) The UE to the VPLMN AMF: The UE initiates initial registration, emergency registration or mobility registration update procedure to the VPLMN AMF by sending REGISTRATION REQUEST message with the 5GS registration type IE indicating "initial registration", "emergency registration" or "mobility registration updating";

2) Upon receiving REGISTRATION REQUEST message, the VPLMN AMF executes the registration procedure as defined in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]. As part of the registration procedure:

a) if the VPLMN AMF does not have subscription data for the UE, the VPLMN AMF invokes Nudm\_SDM\_Get service operation to the HPLMN UDM to get amongst other information the Access and Mobility Subscription data for the UE (see step 14b in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]); or

b) if the VPLMN AMF already has subscription data for the UE and:

i) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "initial registration" and the "SoR Update Indicator for Initial Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "initial registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]); or

ii) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "emergency registration" and the "SoR Update Indicator for Emergency Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "emergency registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]);

 then the VPLMN AMF invokes Nudm\_SDM\_Get service operation message to the HPLMN UDM to retrieve the steering of roaming information (see step 14b in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

 otherwise the VPLMN AMF sends a REGISTRATION ACCEPT message without the steering of roaming information to the UE and steps 3a, 3b, 3c, 3d, 4, 5, 6 are skipped;

3a) If the user subscription information indicates to send the steering of roaming information due to initial registration in a VPLMN, then the HPLMN UDM shall provide the steering of roaming information to the UE when the UE performs initial registration in a VPLMN, otherwise the HPLMN UDM may provide the steering of roaming information to the UE, based on operator policy. If the UE is performing initial registration or emergency registration, the HPLMN UDM shall delete the stored "ME support of SOR-CMCI" indicator, if any.

NOTE 1: Based on operator deployment and policy, if the UDM receives the list of preferred PLMN/access technology combinations from the UDR, and the UDM supports communication with the SP-AF, the UDM can send this list to the SP-AF requesting it to provide this information in a secured packet as defined in 3GPP TS 29.544 [71].

 If the HPLMN UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a VPLMN, and the HPLMN policy for the SOR-AF invocation is absent then steps 3b and 3c are not performed and the HPLMN UDM obtains the available list of preferred PLMN/access technology combinations or the available secured packet (i.e. all retrieved from the UDR). In addition, if the HPLMN UDM obtains the list of preferred PLMN/access technology combinations and the "ME support of SOR-CMCI" indicator is stored for the UE, then the HPLMN UDM shall obtain the SOR-CMCI, if available, otherwise the HPLMN UDM shall not obtain the SOR-CMCI.

NOTE 1a: The secured packet obtained by the UDM can include SOR-CMCI only if the "ME support of SOR-CMCI" indicator is stored for the UE.

 If the HPLMN UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a VPLMN, and the HPLMN policy for the SOR-AF invocation is present, then the HPLMN UDM obtains the list of preferred PLMN/access technology combinations or the secured packet from the SOR-AF using steps 3b and 3c;

3b) The HPLMN UDM to the SOR-AF: Nsoraf\_SoR\_Get request (VPLMN ID, SUPI of the UE, access type (see 3GPP TS 29.571 [72] )). The VPLMN ID and the access type parameters, indicating where the UE is registering, are stored in the HPLMN UDM;

3c) The SOR-AF to the HPLMN UDM: Nsoraf\_SoR\_Get response (the list of preferred PLMN/access technology combinations and the SOR-CMCI, if any, or the secured packet, or neither of them);

 Based on the information received in step 3b and any operator specific criteria, the SOR-AF may include the list of preferred PLMN/access technology combinations, and the SOR-CMCI, if any, or the secured packet in the Nsoraf\_SoR\_Get response or may provide the Nsoraf\_SoR\_Get response with neither a list of preferred PLMN/access technology combinations nor SOR-CMCI nor a secured packet; If the SOR-AF includes the list of preferred PLMN/access technology combinations and the ME of the UE supports the SOR-CMCI, the SOR-AF may provide the SOR-CMCI, otherwise the SOR-AF shall not provide the SOR-CMCI.

NOTE 2: In this version of the specification, when the access type where the UE is registering indicates 3GPP access, then the UE is registering over the NG-RAN access technology.

NOTE 3: Based on operator deployment and policy, if the UDM receives the list of preferred PLMN/access technology combinations, and the SOR-CMCI, if any, in the Nsoraf\_SoR\_Get response from the SOR-AF, and the UDM supports communication with SP-AF, it can send this list, and the SOR-CMCI, if any, to SP-AF requesting it to provide this information in a secured packet as defined in 3GPP TS 29.544 [71].

NOTE 4: The SOR-AF can include a different list of preferred PLMN/access technology combinations, and different SOR-CMCI, if any, or a different secured packet for each Nsoraf\_SoR\_Get request even if the same VPLMN ID, the SUPI of the UE, and the access type are provided to the SOR-AF.

NOTE 5: The SOR-AF can subscribe to the HPLMN UDM to be notified about the changes of the roaming status of the UE identified by SUPI.

NOTE 5a: The SOR-AF can determine that the ME of the UE supports the SOR-CMCI if the Nsoraf\_SoR\_Info service operation has returned the "ME support of SOR-CMCI" indicator.

NOTE 5b: The secured packet provided by the SOR-AF can include SOR-CMCI only if the SOR-AF has determined that the ME of the UE supports the SOR-CMCI.

3d) The HPLMN UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the list of preferred PLMN/access technology combinations and the SOR-CMCI, if any, or the secured packet obtained in step 3a or the list of preferred PLMN/access technology combinations and the SOR-CMCI, if any, or the secured packet, obtained in step 3c. If:

- neither the list of preferred PLMN/access technology combinations nor the secured packet was obtained in steps 3a or 3c; or

- the SOR-AF has not sent to the HPLMN UDM an Nsoraf\_SoR\_Get response (step 3c) within an operator defined time after the HPLMN UDM sending to the SOR-AF an Nsoraf\_SoR\_Get request (step 3b);

NOTE 6: Stage 3 to define the timer needed for the SOR-AF to respond to the HPLMN UDM. The max time needs to be defined considering that this procedure is part of the Registration procedure.

 and the UE is performing initial registration in a VPLMN and the user subscription information indicates to send the steering of roaming information due to initial registration in a VPLMN, then the HPLMN UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided';

4) The HPLMN UDM to the VPLMN AMF: The HPLMN UDM sends a response to the Nudm\_SDM\_Get service operation to the VPLMN AMF, which includes the steering of roaming information within the Access and Mobility Subscription data. The Access and Mobility Subscription data type is defined in subclause 5.2.3.3.1 of 3GPP TS 23.502 [63]).

NOTE 6a: The UDM cannot provide the SOR-CMCI, if any, to the VPLMN AMF compliant to release 15 or release 16.

 If the UE is performing initial registration or emergency registration and the HPLMN UDM supports SOR-CMCI, the HPLMN shall request the UE to acknowledge the successful security check of the received steering of roaming information, by providing the indication as part of the steering of roaming information in the Nudm\_SDM\_Get response service operation. Otherwise, the HPLMN may request the UE to acknowledge the successful security check of the received steering of roaming information, by providing the indication as part of the steering of roaming information in the Nudm\_SDM\_Get response service operation;

5) The VPLMN AMF to the HPLMN UDM: As part of the registration procedure, the VPLMN AMF also invokes Nudm\_SDM\_Subscribe service operation to the HPLMN UDM to subscribe to notification of changes of the subscription data (e.g. received in step 4) including notification of updates of the steering of roaming information included in the Access and Mobility Subscription data (see step 14c in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

6) The VPLMN AMF to the UE: The VPLMN AMF shall transparently send the received steering of roaming information to the UE in the REGISTRATION ACCEPT message;

7) If the steering of roaming information is received and the security check is successful, then:

a) if the steering of roaming information contains a secured packet (see 3GPP TS 31.115 [67]):

- if the UDM has not requested an acknowledgement from the UE the UE shall send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container;

- the ME shall upload the secured packet to the USIM using procedures in 3GPP TS 31.111 [41], if the service "data download via SMS Point-to-point" is allocated and activated in the USIM Service Table (see 3GPP TS 31.102 [40]);

NOTE 7: How the ME handles UICC responses and failures in communication between the ME and UICC is implementation specific and out of scope of this release of the specification.

- if the UDM has not requested an acknowledgement from the UE and:

A) the UE receives SOR-CMCI in the USAT REFRESH with command qualifier of type "Steering of Roaming", the UE shall perform items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6, and if the UE is in automatic network selection mode then it shall apply the actions in subclause C.4.2. In this case steps 8 to 11 are skipped; or

Editor's Note: How the SOR-CMCI is provided to the UE in a REFRESH command needs to be specified by CT6.

B) the ME receives a USAT REFRESH command qualifier (3GPP TS 31.111 [41]) of type "Steering of Roaming" it shall perform items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6 and if:

i) the UE has a list of available and allowable PLMNs in the area and based on this list or any other implementation specific means the UE determines that there is a higher priority PLMN than the selected VPLMN; or

ii) the UE does not have a list of available and allowable PLMNs in the area and is unable to determine whether there is a higher priority PLMN than the selected VPLMN using any other implementation specific means;

 and the UE is in automatic network selection mode, then the UE shall either:

i) release the current N1 NAS signalling connection locally and then attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired. In this case, steps 8 to 11 are skipped. The UE shall suspend the transmission of 5GSM messages until the N1 NAS signalling is released. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the receipt of the steering of roaming information shall not trigger the release of the N1 NAS signalling connection. The UE shall release the current N1 NAS signalling connection locally subsequently after the emergency PDU session is released; or

ii) not release the current N1 NAS signalling connection locally (e.g. if the UE has established PDU session(s)) and skip steps 8 to 10;

b) if the steering of roaming information contains the list of preferred PLMN/access technology combinations, the ME shall replace the highest priority entries in the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME with the received list of preferred PLMN/access technology combinations, and delete the PLMNs identified by the list of preferred PLMN/access technology combinations from the Forbidden PLMN list and from the Forbidden PLMNs for GPRS service list, if they are present in these lists. Additionally, if the UDM has not requested an acknowledgement from the UE, the UE shall send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container, and if:

i) the UE has a list of available and allowable PLMNs in the area and based on this list or any other implementation specific means the UE determines that there is a higher priority PLMN than the selected VPLMN; or

ii) the UE does not have a list of available and allowable PLMNs in the area and is unable to determine whether there is a higher priority PLMN than the selected VPLMN using any other implementation specific means;

 and the UE is in automatic network selection mode:

A) if the UE is configured with the SOR-CMCI or received the SOR-CMCI over N1 NAS signalling, the UE shall apply the actions in subclause C.4.2. In this case steps 8 to 11 are skipped;

B) otherwise, the UE shall:

i) release the current N1 NAS signalling connection locally and then attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired. In this case, steps 8 to 11 are skipped. The UE shall suspend the transmission of 5GSM messages until the N1 NAS signalling is released. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the receipt of the steering of roaming information shall not trigger the release of the N1 NAS signalling connection. The UE shall release the current N1 NAS signalling connection locally subsequently after the emergency PDU session is released. If the UE needs to disable the N1 mode capability (see 3GPP TS 24.501 [64]) and there is no emergency service pending, the UE shall first attempt to obtain service on a higher priority PLMN as described in this step, and if no higher priority PLMN can be selected but the last registered PLMN is selected, then the UE shall disable the N1 mode capability; or

ii) not release the current N1 NAS signalling connection locally (e.g. if the UE has established PDU session(s)) and skip steps 8 to 10;

NOTE 8: When the UE is in the manual mode of operation or the current chosen VPLMN is part of the "User Controlled PLMN Selector with Access Technology" list, the UE stays on the VPLMN.

8) If the UE's USIM is configured with indication that the UE is to receive the steering of roaming information due to initial registration in a VPLMN, but neither the list of preferred PLMN/access technology combinations nor the secured packet nor the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided' is received in the REGISTRATION ACCEPT message, when the UE performs initial registration in a VPLMN or if the steering of roaming information is received but the security check is not successful, then the UE shall:

a) if the SOR transparent container is included in the REGISTRATION ACCEPT message, send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container;

b) if the current chosen VPLMN is not contained in the list of "PLMNs where registration was aborted due to SOR", and is not part of "User Controlled PLMN Selector with Access Technology" list and the UE is not in manual mode of operation, release the current N1 NAS signalling connection locally and attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired, with an exception that the current PLMN is considered as lowest priority, and skip steps 9 to 11. The UE shall suspend the transmission of 5GSM messages until the N1 NAS signalling is released. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the UE shall release the current N1 NAS signalling connection locally after the release of the emergency PDU session. If the UE needs to disable the N1 mode capability (see 3GPP TS 24.501 [64]) and there is no emergency service pending, the UE shall first attempt to obtain service on a higher priority PLMN as described in this step, and if no higher priority PLMN can be selected but the last registered PLMN is selected, then the UE shall disable the N1 mode capability; and

c) store the PLMN identity in the list of "PLMNs where registration was aborted due to SOR";

NOTE 9: When the UE is in the manual mode of operation or the current chosen VPLMN is part of the "User Controlled PLMN Selector with Access Technology" list, the UE stays on the VPLMN.

9) The UE to the VPLMN AMF: If the UDM has requested an acknowledgement from the UE and the UE verified that the steering of roaming information has been provided by the HPLMN in step 7, then:

a) the UE sends the REGISTRATION COMPLETE message to the serving AMF with an SOR transparent container including the UE acknowledgement;

b) the UE shall set the "ME support of SOR-CMCI" indicator in the header of the SOR transparent container to "supported"; and

c) if:

- the steering of roaming information contained a secured packet, then when the UE receives the USAT REFRESH command qualifier of type "Steering of Roaming", it performs items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6;

- the steering of roaming information contained a secured packet, then when the UE receives SOR-CMCI in the USAT REFRESH with command qualifier of type "Steering of Roaming", the UE shall perform items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6 and if the UE is in automatic network selection mode then it shall apply the actions in subclause C.4.2, and step 11 is skipped; or

- the steering of roaming information contains the list of preferred PLMN/access technology combinations, the UE is configured with the SOR-CMCI or received the SOR-CMCI over N1 NAS signalling, and the UE is in automatic network selection mode, then the UE shall apply the actions in subclause C.4.2, and step 11 is skipped;

10) The VPLMN AMF to the HPLMN UDM: If an SOR transparent container is received in the REGISTRATION COMPLETE message, the AMF uses the Nudm\_SDM\_Info service operation to provide the received SOR transparent container to the UDM. If the HPLMN decided that the UE is to acknowledge the successful security check of the received steering of roaming information in step 4, the UDM verifies that the acknowledgement is provided by the UE as specified in 3GPP TS 33.501 [66]. If the "ME support of SOR-CMCI" indicator in the header of the SOR transparent container is set to "supported", then the HPLMN UDM shall store the "ME support of SOR-CMCI" indicator, otherwise the HPLMN UDM shall delete the stored "ME support of SOR-CMCI" indicator, if any.

NOTE 9a: The UDM cannot receive the "ME support of SOR-CMCI" indicator from the VPLMN AMF compliant to release 15 or release 16.

10a) The HPLMN UDM to the SOR-AF: Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery, "ME support of SOR-CMCI" indicator, if any). If the HPLMN policy for the SOR-AF invocation is present and the HPLMN UDM received and verified the UE acknowledgement in step 10, then the HPLMN UDM informs the SOR-AF about successful delivery of the list of preferred PLMN/access technology combinations, or of the secured packet to the UE. If the "ME support of SOR-CMCI" indicator is stored for the UE, the HPLMN UDM shall include the "ME support of SOR-CMCI" indicator; and

11) If the UE has a list of available PLMNs in the area and based on this list the UE determines that there is a higher priority PLMN than the selected VPLMN and the UE is in automatic network selection mode, then the UE shall attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired after the release of the N1 NAS signalling connection. If the N1 NAS signalling connection is not released after implementation dependent time, the UE may locally release the N1 signalling connection except when the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]).

When the UE performs initial registration for emergency services (see 3GPP TS 24.501 [64] and 3GPP TS 23.502 [63]) while the UE has a valid USIM and the AMF performs the authentication procedure, then based on HPLMN policy, the SOR procedure described in this subclause may apply.

If:

- the UE in manual mode of operation encounters scenario mentioned in step 8 above; and

- upon switching to automatic network selection mode, the UE remembers that it is still registered on the PLMN where the missing or security check failure of SOR information was encountered as described in subclause 8;

the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3, by acting as if timer T that controls periodic attempts has expired, with an exception that the current registered PLMN is considered as lowest priority. If the UE has an established emergency PDU session, then the UE shall attempt to perform the PLMN selection subsequently after the emergency PDU session is released.

NOTE 10: The receipt of the steering of roaming information by itself does not trigger the release of the emergency PDU session.

NOTE 11: The list of available and allowable PLMNs in the area is implementation specific.

\*\*\*\*\* end of 1st change \*\*\*\*\*