**3GPP TSG-CT WG1 Meeting #126-eC1-20xxxx was6020**

**Electronic meeting, 15-23 October 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **24.501** | **CR** | **2666** | **rev** | **1** | **Current version:** | **16.6.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | Clarification on 2nd Leg PDU SESSION ESTABLISHMENT ACCEPT handling for MA PDU Sessions |
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| ***Source to WG:*** | MediaTek Inc. |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | ATSSS |  | ***Date:*** | 2020-10-05 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)* |
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| ***Reason for change:*** | It is possible that the UE receives more than one PDU SESSION ESTABLISHMENT ACCEPT during the life time of a MA PDU session, considering the example:- a MA PDU is established with only one leg, the UE receives the 2nd PDU SESSION ESTABLISHMENT ACCEPT for the same PDU session ID to establish the second leg; and- after a while, the UE receives PDU SESSION RELEASE COMMAND with Access type IE indicating "3GPP access" or "Non-3GPP access", and the UE receives the 3rd PDU SESSION ESTABLISHMENT ACCEPT to establish the second leg again.The PDU SESSION ESTABLISHMENT ACCEPT handling in the UE can be categorized into 3 different types, 1) PDU SESSION ESTABLISHMENT ACCEPT for SA (single access) PDU2) 1st PDU SESSION ESTABLISHMENT ACCEPT for MA (multiple access) PDU3) Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT for MA (multiple access) PDUThe type 1) and type 2) can share the same hanlding, however type 3) shall be treated separately. For example, it is not defined how the UE handle the scenario: * the PDU address is 1.1.1.1 in 1st PDU SESSION ESTABLISHMENT ACCEPT
* but the PDU address is 2.2.2.2 in 2nd PDU SESSION ESTABLISHMENT ACCEPT

This scenario is IMPOSSIBLE for SA PDU sessions because the NW cannot include new PDU address in PDU SESSION MODIFICATION COMMAND. However the scenario, though seems more likely a network abnormal from UE’s point of view, is POSSIBLE to happen for MA PDU. Thus it is necessary to define the UE hehavior on how to handle different IEs (mandatory, optional) received in the Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT.**IE group 1)**For mandatory IE: authorized QoS rules, and optional IEs: authorized QoS flow descriptions and Mapped EPS bearer contexts:- …*"The SMF shall set the Authorized QoS rules IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the authorized QoS rules of the PDU session and may include the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message set to the authorized QoS flow descriptions of the PDU session"…*- …*"SMF shall set the Authorized QoS flow descriptions IE to the authorized QoS flow descriptions of the PDU session"…*- …"*If interworking with EPS is supported for the PDU session, the SMF shall set in the PDU SESSION ESTABLISHMENT ACCEPT message:**a) the Mapped EPS bearer contexts IE to the EPS bearer contexts mapped from one or more QoS flows of the PDU session;" …*It is known from the SMF’s behavior specified in 24.501 that: these IEs in Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT for MA (multiple access) PDU are full-set-confugurations based on latest SMF’s PDU session context, thus it is possible to handle same configuration twice (or more than twice) and cause problems, for example:- In 1st PDU SESSION ESTABLISHMENT ACCEPT:QoS\_Rule\_ID: 1, Operation: Create\_new\_QoS\_rule, DQR\_bit: 1EPS\_bearer\_identity: 5, Operation: Create\_new\_EPS\_bearer - In 2nd PDU SESSION ESTABLISHMENT ACCEPT:QoS\_Rule\_ID: 1, Operation: Create\_new\_QoS\_rule, DQR\_bit: 1EPS\_bearer\_identity: 5, Operation: Create\_new\_EPS\_bearer According to 6.4.1.3, the UE handles 2nd PDU SESSION ESTABLISHMENT ACCEPT message will - diagnose a "Semantic errors in QoS operations" because the rule operation is "Create new QoS rule", and the DQR bit is set to "the QoS rule is the default QoS rule" when there's already a default QoS rule.- diagnose a "Semantic error in the mapped EPS bearer operation" because the operation code is "Create new EPS bearer" and there is already an existing mapped EPS bearer context with the same EPS bearer identity associated with any PDU sessionBecause of the errors mentioned above, handling of mandatory IE authorized QoS rules and optional IE Mapped EPS bearer contexts need to be changed, otherwise the UE will treat as " Semantic errors in QoS operations/mapped EPS bearer operation". Also, considering the mandatory IE authorized QoS flow descriptions are strongly related to optional IE authorized QoS rules, it is proposed to consider authorized QoS flow descriptions together. For **IE group 1)** We propose to delete the stored value and replace the value with the new received ones.**IE group 2)**For * selected PDU session type (Mandatory IE in ACCEPT, not an IE in NW🡪 UE MODIFICATION COMMAND),
* selected SSC mode (Mandatory IE in ACCEPT, not an IE in NW🡪 UE MODIFICATION COMMAND),
* 5GSM cause (Optional IE in ACCEPT, an IE in NW🡪 UE MODIFICATION COMMAND but whose value can be #39 "reactivation requested", #39 is not a valid cause vaule in ESTABLISHMENT ACCEPT),
* PDU address (Optional IE in ACCEPT, not an IE in MODIFICATION COMMAND),
* S-NSSAI (Optional IE in ACCEPT, not an IE in MODIFICATION COMMAND),
* DNN (Optional IE in ACCEPT, not an IE in MODIFICATION COMMAND),

These values in 1st PDU SESSION ESTABLISHMENT ACCEPT shall be the same as the values in Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT because the UE is not able to handle the change of these values even if pretending they are recevied from PDU SESSION MODIFICATION COMMAND. Beside, if any of these value changes, that implies that there is MA-PDU-context-value unsync between UE and the SMF. For **IE group 2)** We propose, if the received value is different from the current stored value of the MA PDU session, handle as abnormal scenario**IE group 3)**For CIoT 5GS optimization related IEs, e.g., * Serving PLMN rate control,
* Control plane only indication,
* IP header compression configuration, and
* Ethernet header compression configuration,

For **IE group 3)** We propose these are not applicable for MA PDU session(s) in this release of specification.**IE group 4)**For Always-on PDU session, and session-AMBR: they can be included in PDU SESSION MODIFICATION COMMAND, which means the UE can handle the change during the lifetime of a PDU session, thus it is okay to handle the these IEs in the Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT message as if received in PDU SESSION MODIFICATION COMMAND. However it is also okay to keep original handling specified in 6.4.1.3, thus we propose to keep original handling. |
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| ***Summary of change:*** | When handling IEs Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT :1. For authorized QoS rules, authorized QoS flow descriptions, and Mapped EPS bearer contexts 🡺 delete the stored value and replace the value with the new received ones2. For selected PDU session type, selected SSC mode, 5GSM cause, PDU address, S-NSSAI, DNN 🡺 if the received value is different from the current stored value of the MA PDU session, handle as abnormal scenario3. For CIoT 5GS optimization related IEs 🡺 propose these are not applicable for MA PDU session(s) in this release of specification |
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| ***Consequences if not approved:*** | UE behavior not defiend when receiving Non 1st (2nd, 3rd, 4th …) PDU SESSION ESTABLISHMENT ACCEPT |
|  |  |
| ***Clauses affected:*** | 4.12, 6.4.1.3, 6.4.1.6 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\* change \*\*\*

## 4.12 Access traffic steering, switching and splitting (ATSSS)

The ATSSS feature is an optional feature that may be supported by the UE and the 5GCN.

The ATSSS feature enables a multi-access PDU connectivity service, which can exchange PDUs between the UE and a data network by simultaneously using one 3GPP access network and one non-3GPP access network. The multi-access PDU connectivity service is realized by establishing a multi-access PDU session, i.e. a PDU session that can have user-plane resources on two access networks.

NOTE: MA PDU session is not applicable for CIoT 5GS optimization in this release of specification.

The UE can request an MA PDU session when the UE is registered via both 3GPP and non-3GPP accesses, or when the UE is registered via one access only. The MA PDU session management is performed based on the PDU session management procedures.

The detailed description of the procedures for ATSSS between the UE and the network across one 3GPP access network and one non-3GPP access network are specified in 3GPP TS 24.193 [13B].

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#### 6.4.1.3 UE-requested PDU session establishment procedure accepted by the network

If the connectivity with the requested DN is accepted by the network, the SMF shall create a PDU SESSION ESTABLISHMENT ACCEPT message.

If the UE requests establishing an emergency PDU session, the network shall not check for service area restrictions or subscription restrictions when processing the PDU SESSION ESTABLISHMENT REQUEST message.

The SMF shall set the authorized QoS rules IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the authorized QoS rules of the PDU session and may include the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message set to the authorized QoS flow descriptions of the PDU session. The SMF shall ensure that the number of the packet filters used in the authorized QoS rules of the PDU Session does not exceed the maximum number of packet filters supported by the UE for the PDU session. If the received request type is "initial emergency request", the SMF shall set the authorized QoS flow descriptions IE according to the initial QoS parameters used for establishing emergency services configured in the SMF emergency configuration data.

SMF shall set the authorized QoS flow descriptions IE to the authorized QoS flow descriptions of the PDU session, if:

a) the authorized QoS rules IE contains at least one GBR QoS flow;

b) the QFI is not the same as the 5QI of the QoS flow identified by the QFI; or

c) the QoS flow can be mapped to an EPS bearer as specified in subclause 4.11.1 of 3GPP TS 23.502 [9].

If interworking with EPS is supported for the PDU session, the SMF shall set in the PDU SESSION ESTABLISHMENT ACCEPT message:

a) the Mapped EPS bearer contexts IE to the EPS bearer contexts mapped from one or more QoS flows of the PDU session; and

b) the EPS bearer identity parameter in the authorized QoS flow descriptions IE to the EPS bearer identity corresponding to the QoS flow, for each QoS flow which can be transferred to EPS.

If the "Create new EPS bearer" operation code in the mapped EPS bearer contexts IE was received, and there is no corresponding authorized QoS flow descriptions IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall send a PDU SESSION MODIFICATION REQUEST message including a mapped EPS bearer contexts IE to delete the mapped EPS bearer context.

Furthermore, the SMF shall store the association between the QoS flow and the mapped EPS bearer context, for each QoS flow which can be transferred to EPS.

The SMF shall set the selected SSC mode IE of the PDU SESSION ESTABLISHMENT ACCEPT message to:

a) the received SSC mode in the SSC mode IE included in the PDU SESSION ESTABLISHMENT REQUEST message based on one or more of the PDU session type, the subscription and the SMF configuration;

b) either the default SSC mode for the data network listed in the subscription or the SSC mode associated with the SMF configuration, if the SSC mode IE is not included in the PDU SESSION ESTABLISHMENT REQUEST message.

If the PDU session is an emergency PDU session, the SMF shall set the Selected SSC mode IE of the PDU SESSION ESTABLISHMENT ACCEPT message to "SSC mode 1". If the PDU session is a non-emergency PDU session of "Ethernet" or "Unstructured" PDU session type, the SMF shall set the Selected SSC mode IE to "SSC mode 1" or "SSC mode 2". If the PDU session is a non-emergency PDU session of "IPv4", "IPv6" or "IPv4v6" PDU session type, the SMF shall set the selected SSC mode IE to "SSC mode 1", "SSC mode 2", or "SSC mode 3".

If the PDU session is a non-emergency PDU session, the SMF shall set the S-NSSAI IE of the PDU SESSION ESTABLISHMENT ACCEPT message to:

a) the S-NSSAI of the PDU session; and

b) the mapped S-NSSAI (if available in roaming scenarios).

The SMF shall set the selected PDU session type IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the PDU session type of the PDU session.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", the SMF shall select "IPv4", "IPv6" or "IPv4v6" as the selected PDU session type IE of the PDU session. If the subscription, the SMF configuration, or both, are limited to IPv4 only or IPv6 only for the DNN selected by the network, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed", or #51 "PDU session type IPv6 only allowed", respectively, in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT ACCEPT message.

If the selected PDU session type is "IPv4", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an IPv4 address is allocated to the UE in the PDU session.

If the selected PDU session type is "IPv6", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an interface identifier for the IPv6 link local address allocated to the UE in the PDU session.

If the selected PDU session type is "IPv4v6", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an IPv4 address and an interface identifier for the IPv6 link local address, allocated to the UE in the PDU session.

If the selected PDU session type of a PDU session established by the W-AGF acting on behalf of the FN-RG is "IPv4v6" or "IPv6", the SMF shall also indicate the SMF's IPv6 link local address in the PDU address IE of the PDU SESSION ESTABLISHMENT ACCEPT message.

If the PDU session is a non-emergency PDU session, the SMF shall set the DNN IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the DNN determined by the AMF of the PDU session.

The SMF shall set the Session-AMBR IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the Session-AMBR of the PDU session.

If the selected PDU session type is "IPv4", "IPv6", "IPv4v6" or "Ethernet" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the RQoS bit set to "Reflective QoS supported", the SMF shall consider that reflective QoS is supported for QoS flows belonging to this PDU session and may include the RQ timer IE set to an RQ timer value in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the selected PDU session type is "IPv4", "IPv6", "IPv4v6" or "Ethernet" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a Maximum number of supported packet filters IE, the SMF shall consider this number as the maximum number of packet filters that can be supported by the UE for this PDU session. Otherwise the SMF considers that the UE supports 16 packet filters for this PDU session.

The SMF shall consider that the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink and the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink are valid for the lifetime of the PDU session.

If the value of the RQ timer is set to "deactivated" or has a value of zero, the UE considers that RQoS is not applied for this PDU session.

NOTE 1: If the 5G core network determines that reflective QoS is to be used for a QoS flow, the SMF sends reflective QoS indication (RQI) to UPF to activate reflective QoS. If the QoS flow is established over 3GPP access, the SMF also includes reflective QoS Attribute (RQA) in QoS profile of the QoS flow during QoS flow establishment.

If the selected PDU session type is "IPv6" or "IPv4v6" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the MH6-PDU bit set to "Multi-homed IPv6 PDU session supported", the SMF shall consider that this PDU session is supported to use multiple IPv6 prefixes.

If the selected PDU session type is "Ethernet", the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the EPT-S1 bit set to "Ethernet PDN type in S1 mode supported" and the network supports Ethernet PDN type in S1 mode, the SMF shall set the EPT-S1 bit of the 5GSM network feature support IE of the PDU SESSION ESTABLISHMENT ACCEPT message to "Ethernet PDN type in S1 mode supported".

If the DN authentication of the UE was performed and completed successfully, the SMF shall set the EAP message IE of the PDU SESSION ESTABLISHMENT ACCEPT message to an EAP-success message as specified in IETF RFC 3748 [34], provided by the DN.

Based on local policies or configurations in the SMF and the Always-on PDU session requested IE in the PDU SESSION ESTABLISHMENT REQUEST message (if available), if the SMF determines that either:

a) the requested PDU session needs to be established as an always-on PDU session (e.g. because the PDU session is for TSC, for URLLC, or for both), the SMF shall include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the value to "Always-on PDU session required"; or

b) the requested PDU session shall not be established as an always-on PDU session and:

i) if the UE included the Always-on PDU session requested IE, the SMF shall include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the value to "Always-on PDU session not allowed"; or

ii) if the UE did not include the Always-on PDU session requested IE, the SMF shall not include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the PDU session is an MA PDU session, the SMF shall include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message. The SMF shall set the content of the ATSSS container IE as specified in 3GPP TS 24.193 [13B].

If the PDU session is a single access PDU session containing the MA PDU session information IE with the value set to "MA PDU session network upgrade is allowed" and:

a) if the SMF decides to establish a single access PDU session, the SMF shall not include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message; or

b) if the SMF decides to establish an MA PDU session, the SMF shall include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message, which indicates to the UE that the requested single access PDU session was established as an MA PDU Session.

If the network decides that the PDU session is only for control plane CIoT 5GS optimization, the SMF shall include the control plane only indication in the PDU SESSION ESTABLISHMENT ACCEPT message.

If:

a) the UE provided the IP header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message; and

b) the SMF supports IP header compression for control plane CIoT 5GS optimization;

the SMF shall include the IP header compression configuration IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

If:

a) the UE provided the Ethernet header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message; and

b) the SMF supports Ethernet header compression for control plane CIoT 5GS optimization;

the SMF shall include the Ethernet header compression configuration IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

The SMF shall send the PDU SESSION ESTABLISHMENT ACCEPT message.

Upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580, shall release the allocated PTI value and shall consider that the PDU session was established.

For an MA PDU session which has user plane resources been established on one access, upon receipt of PDU SESSION ESTABLISHMENT ACCEPT message over the other access:

- the UE shall delete the stored authorized QoS rules;

- if the authorized QoS flow descriptions are included in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall delete the stored authorized QoS flow descriptions; and

- if the mapped EPS bearer contexts are included in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall delete the stored mapped EPS bearer contexts.

The UE shall store the authorized QoS rules, and the session-AMBR received in the PDU SESSION ESTABLISHMENT ACCEPT message for the PDU session. The UE shall also store the authorized QoS flow descriptions if it is included in the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message for the PDU session.

If the number of the authorized QoS rules, the number of the packet filters, or the number of the authorized QoS flow descriptions associated with the PDU session have reached the maximum number supported by the UE upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message, then the UE may initiate the PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #26 "insufficient resources".

For a PDU session that is being established with the request type set to "initial request", "initial emergency request" or "MA PDU request", or a PDU session that is being transferred from EPS to 5GS and established with the request type set to "existing PDU session" or "existing emergency PDU session" or a PDU session that is being handed over between non-3GPP access and 3GPP access and established with the request type set to "existing PDU session" or "existing emergency PDU session ", the UE shall verify the authorized QoS rules and the authorized QoS flow descriptions provided in the PDU SESSION ESTABLISHMENT ACCEPT message for different types of errors as follows:

a) Semantic errors in QoS operations:

1) When the rule operation is "Create new QoS rule", and the DQR bit is set to "the QoS rule is the default QoS rule" when there's already a default QoS rule.

2) When the rule operation is "Create new QoS rule", and there is no rule with the DQR bit set to "the QoS rule is the default QoS rule".

3) When the rule operation is "Create new QoS rule" and two or more QoS rules associated with this PDU session would have identical precedence values.

4) When the rule operation is an operation other than "Create new QoS rule", and the request type is "initial request" or "initial emergency request".

5) When the rule operation is "Create new QoS rule", the DQR bit is set to "the QoS rule is not the default QoS rule", the request type is "initial request" and the UE is in NB-N1 mode.

6) When the rule operation is "Create new QoS rule" and two or more QoS rules associated with this PDU session would have identical QoS rule identifier values.

7) When the flow description operation is an operation other than "Create new QoS flow description", and the request type is "initial request" or "initial emergency request".

8) When the flow description operation is "Create new QoS flow description", the request type is "initial request", the QFI associated with the QoS flow description is not the same as the QFI of the default QoS rule and the UE is NB-N1 mode.

 In case 4 and case 5, if the rule operation is for a non-default QoS rule, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #83 "semantic error in the QoS operation".

 In case 7 and case 8, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS flow description with 5GSM cause #83 "semantic error in the QoS operation".

 Otherwise for all the cases above, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #83 "semantic error in the QoS operation".

b) Syntactical errors in QoS operations:

1) When the rule operation is "Create new QoS rule", the QoS rule is a QoS rule of a PDU session of IPv4, IPv6, IPv4v6 or Ethernet PDU session type, and the packet filter list in the QoS rule is empty.

2) When there are other types of syntactical errors in the coding of the QoS rules IE, such as a mismatch between the number of packet filters subfield, and the number of packet filters in the packet filter list.

3) When, the

A) rule operation is "Create new QoS rule", the UE determines that there is a resulting QoS rule for a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1), and there is no QoS flow description with a QFI corresponding to the QFI of the resulting QoS rule.

B) request type is "existing PDU session" or "existing emergency PDU session", the flow description operation is "Delete existing QoS flow description", and the UE determines that there is a resulting QoS rule for a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1) with a QFI corresponding to the QFI of the QoS flow description that is deleted (i.e. there is no associated QoS flow description with the same QFI).

4) When the flow description operation is "Create new QoS flow description", and the UE determines that there is a QoS flow description of a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1) which lacks at least one of the mandatory parameters (i.e., GFBR uplink, GFBR downlink, MFBR uplink and MFBR downlink).

 In case 1, case 2 or case 3, if the QoS rule is not the default QoS rule, the UE shall send a PDU SESSION MODIFICATION REQUEST message including a requested QoS rule IE to delete the QoS rule with 5GSM cause #84 "syntactical error in the QoS operation". Otherwise, if the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #84 "syntactical error in the QoS operation".

 In case 4, if the default QoS rule is associated with the QoS flow description which lacks at least one of the mandatory parameters, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #84 "syntactical error in the QoS operation". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS flow description which lacks at least one of the mandatory parameters and the associated QoS rule(s), if any, with 5GSM cause #84 "syntactical error in the QoS operation".

c) Semantic errors in packet filters:

1) When a packet filter consists of conflicting packet filter components which would render the packet filter ineffective, i.e. no IP packet will ever fit this packet filter. How the UE determines a semantic error in a packet filter is outside the scope of the present document.

 If the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #44 "semantic error in packet filter(s)". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #44 "semantic error in packet filter(s)".

d) Syntactical errors in packet filters:

1) When the rule operation is "Create new QoS rule" and two or more packet filters in the resultant QoS rule would have identical packet filter identifiers.

2) When there are other types of syntactical errors in the coding of packet filters, such as the use of a reserved value for a packet filter component identifier.

 If the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #45 "syntactical errors in packet filter(s)". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #45 "syntactical errors in packet filter(s)".

If the Always-on PDU session indication IE is included in the PDU SESSION ESTABLISHMENT ACCEPT message and:

a) the value of the IE is set to "Always-on PDU session required", the UE shall consider the established PDU session as an always-on PDU session; or

b) the value of the IE is set to "Always-on PDU session not allowed", the UE shall not consider the established PDU session as an always-on PDU session.

The UE shall not consider the established PDU session as an always-on PDU session if the UE does not receive the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

The UE shall store the mapped EPS bearer contexts, if received in the PDU SESSION ESTABLISHMENT ACCEPT message. Furthermore, the UE shall also store the association between the QoS flow and the mapped EPS bearer context, for each QoS flow which can be transferred to EPS, based on the received EPS bearer identity parameter in authorized QoS flow descriptions IE and the mapped EPS bearer contexts. The UE shall check each mapped EPS bearer context for different types of errors as follows:

NOTE 2: An error detected in a mapped EPS bearer context does not cause the UE to discard the Authorized QoS rules IE and Authorized QoS flow descriptions IE included in the PDU SESSION ESTABLISHMENT ACCEPT, if any.

a) Semantic error in the mapped EPS bearer operation:

1) When the operation code is an operation code other than "Create new EPS bearer" and the PDU session is being established with the request type set to "initial request" or "initial emergency request".

2) When the operation code is "Create new EPS bearer" and there is already an existing mapped EPS bearer context with the same EPS bearer identity associated with any PDU session.

3) When the operation code is "Create new EPS bearer" or "Modify existing EPS bearer" and the resulting mapped EPS bearer context has invalid or missing mandatory parameters (e.g., mapped EPS QoS parameters or traffic flow template for a dedicated EPS bearer context).

 In case 2, if the existing mapped EPS bearer context is associated with the PDU session that is being established, the UE shall not diagnose an error, further process the create request and, if it was process successfully, delete the old EPS bearer context.

 Otherwise, the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

b) if the mapped EPS bearer context includes a traffic flow template, the UE shall check the traffic flow template for different types of TFT IE errors as follows:

1) Semantic errors in TFT operations:

i) When the TFT operation is an operation other than "Create a new TFT"

 The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #41 "semantic error in the TFT operation".

2) Syntactical errors in TFT operations:

i) When the TFT operation = "Create a new TFT" and the packet filter list in the TFT IE is empty.

ii) When there are other types of syntactical errors in the coding of the TFT IE, such as a mismatch between the number of packet filters subfield, and the number of packet filters in the packet filter list.

 The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message with to delete the mapped EPS bearer context 5GSM cause #42 "syntactical error in the TFT operation".

3) Semantic errors in packet filters:

i) When a packet filter consists of conflicting packet filter components which would render the packet filter ineffective, i.e. no IP packet will ever fit this packet filter. How the UE determines a semantic error in a packet filter is outside the scope of the present document.

ii) When the resulting TFT does not contain any packet filter which applicable for the uplink direction.

 The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #44 "semantic errors in packet filter(s)".

4) Syntactical errors in packet filters:

i) When the TFT operation = "Create a new TFT" and two or more packet filters in the resultant TFT would have identical packet filter identifiers.

ii) When the TFT operation = "Create a new TFT" and two or more packet filters in all TFTs associated with this PDN connection would have identical packet filter precedence values.

iii) When there are other types of syntactical errors in the coding of packet filters, such as the use of a reserved value for a packet filter component identifier.

 In case ii, if the old packet filters do not belong to the default EPS bearer context, the UE shall not diagnose an error and shall delete the old packet filters which have identical filter precedence values.

 In case ii, if one or more old packet filters belong to the default EPS bearer context, the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #45 "syntactical errors in packet filter(s)".

 In cases i and iii the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #45 "syntactical error in packet filter(s)".

If the UE detects different errors in the mapped EPS bearer contexts, QoS rules or QoS flow descriptions, the UE may send a single PDU SESSION MODIFICATION REQUEST message to delete the erroneous mapped EPS bearer contexts, QoS rules or QoS flow descriptions. In that case, the UE shall include a single 5GSM cause in the PDU SESSION MODIFICATION REQUEST message.

NOTE 3: The 5GSM cause to use cannot be different from: #41 "semantic error in the TFT operation", #42 "syntactical error in the TFT operation", #44 "semantic error in packet filter(s)", #45 "syntactical errors in packet filter(s)", #83 "semantic error in the QoS operation", #84 "syntactical error in the QoS operation", and #85 "Invalid mapped EPS bearer identity". The selection of a 5GSM cause is up to the UE implementation.

The UE shall only use the Control plane CIoT 5GS optimization for this PDU session if the Control plane only indication is included in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the UE requests the PDU session type "IPv4v6" and:

a) the UE receives the selected PDU session type set to "IPv4" and does not receive the 5GSM cause value #50 "PDU session type IPv4 only allowed"; or

b) the UE receives the selected PDU session type set to "IPv6" and does not receive the 5GSM cause value #51 "PDU session type IPv6 only allowed";

the UE may subsequently request another PDU session for the other IP version using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) with a single address PDN type (IPv4 or IPv6) other than the one already activated.

If the UE requests the PDU session type "IPv4v6", receives the selected PDU session type set to "IPv4" and the 5GSM cause value #50 "PDU session type IPv4 only allowed", the UE shall not subsequently request another PDU session for "IPv6" using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) and the PDU session type "IPv6" until:

- the UE is registered to a new PLMN which is not in the list of equivalent PLMNs;

- the PDU session type which is used to access the DNN (or no DNN, if no DNN was indicated by the UE) and the S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) is changed;

- the UE is switched off, or

- the USIM is removed.

If the UE requests the PDU session type "IPv4v6", receives the selected PDU session type set to "IPv6" and the 5GSM cause value #51 "PDU session type IPv6 only allowed", the UE shall not subsequently request another PDU session for "IPv4" using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) and the PDU session type "IPv4" until:

- the UE is registered to a new PLMN which is not in the list of equivalent PLMNs;

- the PDU session type which is used to access the DNN (or no DNN, if no DNN was indicated by the UE) and the S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) is changed;

- the UE is switched off, or

- the USIM is removed.

If the selected PDU session type of the PDU session is "Unstructured" or "Ethernet", the UE supports inter-system change from N1 mode to S1 mode, the UE does not support establishment of a PDN connection for the PDN type set to "non-IP" in S1 mode, and the parameters list field of one or more authorized QoS flow descriptions received in the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message contains an EPS bearer identity (EBI), then the UE shall locally remove the EPS bearer identity (EBI) from the parameters list field of such one or more authorized QoS flow descriptions. Additionally the UE shall also initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

If the selected PDU session type of the PDU session is "Ethernet", the UE supports inter-system change from N1 mode to S1 mode, the UE does not support establishment of a PDN connection for the PDN type set to "non-IP" in S1 mode, the UE, the network or both of them do not support Ethernet PDN type in S1 mode, and the parameters list field of one or more authorized QoS flow descriptions received in the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message contains an EPS bearer identity (EBI), then the UE shall locally remove the EPS bearer identity (EBI) from the parameters list field of such one or more authorized QoS flow descriptions. Additionally, the UE shall also initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

If the UE receives an IPv4 Link MTU parameter, an Ethernet Frame Payload MTU parameter, or an Unstructured Link MTU parameter in the Extended protocol configuration options IE of the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall pass to the upper layer the received IPv4 link MTU size, the received Ethernet frame payload MTU size, or the unstructured link MTU size.

NOTE 4: The IPv4 link MTU size corresponds to the maximum length of user data packet that can be sent via N3 interface for a PDU session of the "IPv4" PDU session type.

NOTE 5: The Ethernet frame payload MTU size corresponds to the maximum length of a payload of an Ethernet frame that can be sent via N3 interface for a PDU session of the "Ethernet" PDU session type.

NOTE 6: The unstructured link MTU size correspond to the maximum length of user data packet that can be sent either via the control plane or via N3 interface for a PDU session of the "Unstructured" PDU session type.

If the 5G-RG receives an ACS information parameter in the Extended protocol configuration options IE of the PDU SESSION ESTABLISHMENT ACCEPT message, the 5G-RG shall pass the ACS URL in the received ACS information parameter to the upper layer.

If the UE has indicated support for CIoT 5GS optimizations and receives a small data rate control parameters container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the small data rate control parameters value and use the stored small data rate control parameters value as the maximum allowed limit of uplink user data for the PDU session in accordance with 3GPP TS 23.501 [8].

If the UE has indicated support for CIoT 5GS optimizations and receives an additional small data rate control parameters for exception data container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the additional small data rate control parameters for exception data value and use the stored additional small data rate control parameters for exception data value as the maximum allowed limit of uplink exception data for the PDU session in accordance with 3GPP TS 23.501 [8].

If the UE has indicated support for CIoT 5GS optimizations and receives an initial small data rate control parameters container or an initial additional small data rate control parameters for exception data container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall use these parameters for the newly established PDU Session. When the validity period of the initial parameters expire, the parameters received in a small data rate control parameters container or an additional small data rate control parameters for exception data container shall be used.

If the UE receives a Serving PLMN rate control IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the Serving PLMN rate control IE value and use the stored serving PLMN rate control value as the maximum allowed limit of uplink control plane user data for the corresponding PDU session in accordance with 3GPP TS 23.501 [8].

If the UE receives an APN rate control parameters container or an additional APN rate control for exception data parameters container in the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store these parameters and use them to limit the rate at which it generates uplink user data messages for the PDN connection corresponding to the PDU session if the PDU session is transferred to EPS upon inter-system change from N1 mode to S1 mode in accordance with 3GPP TS 24.301 [15]. The received APN rate control parameters and additional APN rate control for exception data parameters shall replace any previously stored APN rate control parameters and additional APN rate control for exception data parameters, respectively, for this PDN connection.

If the UE receives an initial APN rate control parameters container or an initial additional APN rate control for exception data parameters container in the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store these parameters in the APN rate control status and use them them to limit the rate at which it generates exception data messages for the PDN connection corresponding to the PDU session if the PDU session is transferred to EPS upon inter-system change from N1 mode to S1 mode in accordance with 3GPP TS 24.301 [15]. The received APN rate control status shall replace any previously stored APN rate control status for this PDN connection.

NOTE 7: In the PDU SESSION ESTABLISHMENT ACCEPT message, the SMF provides either APN rate control parameters container, or initial APN rate control parameters container, in the extended protocol configuration options IE, but not both.

NOTE 8: In the PDU SESSION ESTABLISHMENT ACCEPT message, the SMF provides either additional APN rate control for exception data parameters container, or initial additional APN rate control for exception data parameters container, in the extended protocol configuration options IE, but not both.

If the network accepts the use of Reliable Data Service to transfer data for the PDU session, the network shall include the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message and include the Reliable Data Service accepted indicator. The UE behaves as described in subclause 6.2.15.

If the UE indicates support of DNS over (D)TLS by providing DNS server security information indicator to the network and the network wants to enforce the use of DNS over (D)TLS, the network may include the extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message and include the DNS server security information with length of two octets. Upon receiving the DNS server security information, the UE shall pass it to the upper layer. The UE shall use this information to send the DNS over (D)TLS (See 3GPP TS 33.501 [24]).

NOTE 9: Support of DNS over (D)TLS is based on the informative requirements as specified in 3GPP TS 33.501 [24] and it is implemented based on the operator requirement.

\*\*\* change \*\*\*

#### 6.4.1.6 Abnormal cases in the UE

The following abnormal cases can be identified:

a) Expiry of timer T3580

 The UE shall, on the first expiry of the timer T3580:

- if the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session", then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE: This can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) de-register locally, if not de-registered already, attempt initial registration for emergency services.

- otherwise, retransmit the PDU SESSION ESTABLISHMENT REQUEST message and the PDU session information which was transported together with the initial transmission of the PDU SESSION ESTABLISHMENT REQUEST message and shall reset and start timer T3580, if still needed. This retransmission can be repeated up to four times, i.e. on the fifth expiry of timer T3580, the UE shall abort the procedure, release the allocated PTI and enter the state PROCEDURE TRANSACTION INACTIVE.

b) Upon receiving an indication that the 5GSM message was not forwarded due to routing failure along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure. If the UE sent the PDU SESSION ESTABLISHMENT REQUEST message in order for the handover of an existing non-emergency PDU session between 3GPP access and non-3GPP access, the UE shall consider that the PDU session is associated with the source access type.

b1) Upon receiving an indication that the 5GSM message was not forwarded due to service area restrictions along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the same value as the PDU session ID that was sent by the UE, the UE shall stop timer T3580 and shall abort the procedure.

c) Collision of UE-requested PDU session establishment procedure and network-requested PDU session release procedure.

 If the UE receives a PDU SESSION RELEASE COMMAND message after sending a PDU SESSION ESTABLISHMENT REQUEST message to the network, and the PDU session ID in the PDU SESSION RELEASE COMMAND message is the same as the PDU session ID in the PDU SESSION ESTABLISHMENT REQUEST message, the UE shall ignore the PDU SESSION RELEASE COMMAND message and proceed with the UE-requested PDU session establishment procedure.

xx) For a MA PDU session which has user plane resources been established on one access and receives PDU SESSION ESTABLISHMENT ACCEPT message over the other access, if any value of the selected PDU session type, selected SSC mode, 5GSM cause, PDU address, S-NSSAI, DNN in the PDU SESSION ESTABLISHMENT ACCEPT message is different from the stored value, the UE shall perform a local release of the MA PDU session, and perform the registration procedure for mobility and periodic registration update with a REGISTRATION REQUEST message including the PDU session status IE over both accesses.

\*\*\* end of change \*\*\*