**3GPP TSG-CT WG1 Meeting #126-eC1-20xxx2**

**Electronic meeting, 15-23 Oct 2020 (revision of C1-206208, C1-205491, C1-205395, C1-204995)**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **23.122** | **CR** | **0578** | **rev** | **4** | **Current version:** | **16.7.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | In SoR error cases, UE to always send Registration Complete at the end of Registration procedure if UE is either in Manual mode of operation or camped in UPLMN | | | | | | | | | |
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| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
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| ***Work item code:*** | 5GProtoc16 | | | | |  | ***Date:*** | | | 2020-10-07 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
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| ***Reason for change:*** | | Currently, as per TS 23.122 Annex C.2, at step 8), when REGISTRATION ACCEPT message is received on VPLMN with erroneous SOR information or security check fails, then there are two conditions mentioned: a) SOR error check and b) check if current VPLMN is already in the list of “PLMNs where registration was aborted due to SOR” before adding the current VPLMN to list of “PLMNs where registration was aborted due to SOR”. If both conditions are met, then:  [1] the UE shall send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container, release the current N1 NAS signalling connection locally; and  [2] store the PLMN identity in the list of "PLMNs where registration was aborted due to SOR" and attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired, with an exception that the current PLMN is considered as lowest priority  At step 8), UE should not rely on condition b) to send REGISTRATION COMPLETE message when UE is in manual mode or camped in UPLMN.  The UE shall add the VPLMN to the list of "PLMNs where registration was aborted due to SOR" unconditional in case of erroneous SOR information or security check fails. | | | | | | | | |
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| ***Summary of change:*** | | Step 8) is restructured such that sending of the REGISTRATION COMPLETE and the insertion of VPLMN in "PLMNs where registration was aborted due to SOR" is done unconditional. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE might miss sending REGISTRATION COMPLETE message if SoR error is met and UE is in manual mode or camped in UPLMN | | | | | | | | |
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| ***Clauses affected:*** | | C.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\*\*\* First change \*\*\*\*\*

# C.2 Stage-2 flow for steering of UE in VPLMN during registration

The stage-2 flow for the case when the UE registers with VPLMN AMF is described below in figure C.2.1. The selected PLMN is the VPLMN. The AMF is located in the selected VPLMN.



Figure C.2.1: Procedure for providing list of preferred PLMN/access technology combinations

For the steps below, security protection is described in 3GPP TS 33.501 [24].

1) The UE to the VPLMN AMF: The UE initiates initial registration, emergency registration or mobility registration update procedure to the VPLMN AMF by sending REGISTRATION REQUEST message with the 5GS registration type IE indicating "initial registration", "emergency registration" or "mobility registration updating";

2) Upon receiving REGISTRATION REQUEST message, the VPLMN AMF executes the registration procedure as defined in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]. As part of the registration procedure;

a) if the VPLMN AMF does not have subscription data for the UE, the VPLMN AMF invokes Nudm\_SDM\_Get service operation to the HPLMN UDM to get amongst other information the Access and Mobility Subscription data for the UE (see step 14b in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]); or

b) if the VPLMN AMF already has subscription data for the UE and

i) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "initial registration" and the "SoR Update Indicator for Initial Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "initial registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]); or

ii) the 5GS registration type IE in the received REGISTRATION REQUEST message indicates "emergency registration" and the "SoR Update Indicator for Emergency Registration" field in the UE context is set to 'the UDM requests the AMF to retrieve SoR information when the UE performs NAS registration type "emergency registration"' as specified in table 5.2.2.2.2-1 of 3GPP TS 23.502 [63]);

then the VPLMN AMF invokes Nudm\_SDM\_Get service operation message to the HPLMN UDM to retrieve the steering of roaming information (see step 14b in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

otherwise the VPLMN AMF sends a REGISTRATION ACCEPT message without the steering of roaming information to the UE and steps 3a, 3b, 3c, 3d, 4, 5, 5a, 6 are skipped;

3a) If the user subscription information indicates to send the steering of roaming information due to initial registration in a VPLMN, then the HPLMN UDM shall provide the steering of roaming information to the UE when the UE performs initial registration in a VPLMN, otherwise the HPLMN UDM may provide the steering of roaming information to the UE, based on operator policy.

NOTE 1: Based on operator deployment and policy, if the UDM receives the list of preferred PLMN/access technology combinations from the UDR, and the UDM supports communication with the SP-AF, the UDM can send this list to the SP-AF requesting it to provide this information in a secured packet as defined in 3GPP TS 29.544 [71].

If the HPLMN UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a VPLMN, and the HPLMN policy for the SOR-AF invocation is absent then steps 3b and 3c are not performed.

If the HPLMN UDM is to provide the steering of roaming information to the UE when the UE performs the registration in a VPLMN, and the HPLMN policy for the SOR-AF invocation is present, then the HPLMN UDM obtains the list of preferred PLMN/access technology combinations or the secured packet from the SOR-AF using steps 3b and 3c.

3b) The HPLMN UDM to the SOR-AF: Nsoraf\_SoR\_Obtain request (VPLMN ID, SUPI of the UE, access type (see 3GPP TS 29.571 [72]). The VPLMN ID and the access type parameters, indicating where the UE is registering, are stored in the HPLMN UDM.

3c) The SOR-AF to the HPLMN UDM: Nsoraf\_SoR\_Obtain response (the list of preferred PLMN/access technology combinations, or the secured packet, or neither of them).

Based on the information received in step 3b and any operator specific criteria, the SOR-AF may include the list of preferred PLMN/access technology combinations or the secured packet in the Nsoraf\_SoR\_Obtain response or may provide the Nsoraf\_SoR\_Obtain response with neither a list of preferred PLMN/access technology combinations nor a secured packet.

NOTE 2: In this version of the specification, when the access type where the UE is registering indicates 3GPP access, then the UE is registering over the NG-RAN access technology.

NOTE 3: Based on operator deployment and policy, if the UDM receives the list of preferred PLMN/access technology combinations in the Nsoraf\_SoR\_Obtain response from the SOR-AF, and the UDM supports communication with SP-AF, it can send this list to SP-AF requesting it to provide this information in a secured packet as defined in 3GPP TS 29.544 [71].

NOTE 4: The SOR-AF can include a different list of preferred PLMN/access technology combinations or a different secured packet for each Nsoraf\_SoR\_Obtain request even if the same VPLMN ID, the SUPI of the UE, and the access type are provided to the SOR-AF.

NOTE 5: The SOR-AF can subscribe to the HPLMN UDM to be notified about the changes of the roaming status of the UE identified by SUPI.

3d) The HPLMN UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the list of preferred PLMN/access technology combinations or the secured packet obtained in step 3a or the list of preferred PLMN/access technology combinations or the secured packet, obtained in step 3c. If:

- neither the list of preferred PLMN/access technology combinations nor the secured packet was obtained in steps 3a or 3c; or

- the SOR-AF has not sent to the HPLMN UDM an Nsoraf\_SoR\_Obtain response (step 3c) within an operator defined time after the HPLMN UDM sending to the SOR-AF an Nsoraf\_SoR\_Obtain request (step 3b);

NOTE 6: Stage 3 to define the timer needed for the SOR-AF to respond to the HPLMN UDM. The max time needs to be defined considering that this procedure is part of the Registration procedure.

and the UE is performing initial registration in a VPLMN and the user subscription information indicates to send the steering of roaming information due to initial registration in a VPLMN, then the HPLMN UDM forms the steering of roaming information as specified in 3GPP TS 33.501 [66] from the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided'.

4) The HPLMN UDM to the VPLMN AMF: The HPLMN UDM sends a response to the Nudm\_SDM\_Get service operation to the VPLMN AMF, which includes the steering of roaming information within the Access and Mobility Subscription data. The Access and Mobility Subscription data type is defined in subclause 5.2.3.3.1 of 3GPP TS 23.502 [63]). The HPLMN may also request the UE to acknowledge the successful security check of the received steering of roaming information, by providing the indication as part of the steering of roaming information in the Nudm\_SDM\_Get response service operation;

5) The VPLMN AMF to the HPLMN UDM: As part of the registration procedure, the VPLMN AMF also invokes Nudm\_SDM\_Subscribe service operation to the HPLMN UDM to subscribe to notification of changes of the subscription data received in step 4) including notification of updates of the steering of roaming information included in the Access and Mobility Subscription data (see step 14c in subclause 4.2.2.2.2 of 3GPP TS 23.502 [63]);

6) The VPLMN AMF to the UE: The VPLMN AMF shall transparently send the received steering of roaming information to the UE in the REGISTRATION ACCEPT message;

7) If the steering of roaming information is received and the security check is successful, then:

a) if the steering of roaming information contains a secured packet (see 3GPP TS 31.115 [67]):

- if the UDM has not requested an acknowledgement from the UE the UE shall send the REGISTRATION COMPLETE message without including an SOR transparent container;

- the ME shall upload the secured packet to the USIM using procedures in 3GPP TS 31.111 [41].

NOTE 7: How the ME handles UICC responses and failures in communication between the ME and UICC is implementation specific and out of scope of this release of the specification.

- if the UDM has not requested an acknowledgement from the UE and the ME receives a USAT REFRESH command qualifier (3GPP TS 31.111 [41]) of type "Steering of Roaming" it shall perform items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6 and if the UE has a list of available and allowable PLMNs in the area and based on this list the UE determines that there is a higher priority PLMN than the selected VPLMN and the UE is in automatic network selection mode, then the UE shall either:

i) release the current N1 NAS signalling connection locally and then attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired. In this case, steps 8 to 11 are skipped. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the receipt of the steering of roaming information shall not trigger the release of the N1 NAS signalling connection. The UE shall release the current N1 NAS signalling connection locally subsequently after the emergency PDU session is released; or

ii) not release the current N1 NAS signalling connection locally and skip steps 8 to 10;

b) if the steering of roaming information contains the list of preferred PLMN/access technology combinations, the ME shall replace the highest priority entries in the "Operator Controlled PLMN Selector with Access Technology" list stored in the ME with the received list of preferred PLMN/access technology combinations, and delete the PLMNs identified by the list of preferred PLMN/access technology combinations from the Forbidden PLMN list and from the Forbidden PLMNs for GPRS service list, if they are present in these lists. Additionally, if the UDM has not requested an acknowledgement from the UE and if the UE has a list of available and allowable PLMNs in the area and based on this list the UE determines that there is a higher priority PLMN than the selected VPLMN and the UE is in automatic network selection mode, then the UE shall send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container and then either:

i) release the current N1 NAS signalling connection locally and then attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired. In this case, steps 8 to 11 are skipped. If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the receipt of the steering of roaming information shall not trigger the release of the N1 NAS signalling connection. The UE shall release the current N1 NAS signalling connection locally subsequently after the emergency PDU session is released; or

ii) not release the current N1 NAS signalling connection locally and skip steps 8 and 10;

8) If the UE's USIM is configured with indication that the UE is to receive the steering of roaming information due to initial registration in a VPLMN, but neither the list of preferred PLMN/access technology combinations nor the secured packet nor the HPLMN indication that 'no change of the "Operator Controlled PLMN Selector with Access Technology" list stored in the UE is needed and thus no list of preferred PLMN/access technology combinations is provided' is received in the REGISTRATION ACCEPT message, when the UE performs initial registration in a VPLMN or if the steering of roaming information is received but the security check is not successful, then the UE shall:

a) send the REGISTRATION COMPLETE message to the serving AMF without including an SOR transparent container;

b) if the current chosen VPLMN is not contained in the list of "PLMNs where registration was aborted due to SOR", and is not part of "User Controlled PLMN Selector with Access Technology" list and the UE is not in manual mode of operation, release the current N1 NAS signalling connection locally and attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired, with an exception that the current PLMN is considered as lowest priority, and skip steps 9 to 12.   
If the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]), the UE shall release the current N1 NAS signalling connection locally after the release of the emergency PDU session; and

c) store the PLMN identity in the list of "PLMNs where registration was aborted due to SOR".

NOTE 8: When the UE is in the manual mode of operation or the current chosen VPLMN is part of the "User Controlled PLMN Selector with Access Technology" list, the UE stays on the VPLMN.

9) The UE to the VPLMN AMF: If the UDM has requested an acknowledgement from the UE:

the UE verified that the steering of roaming information has been provided by the HPLMN in step 7, the UE sends the REGISTRATION COMPLETE message to the serving AMF with an SOR transparent container including the UE acknowledgement; and

if the steering of roaming information contained a secured packet and the security check was successful, then when the UE receives the USAT REFRESH command qualifier of type "Steering of Roaming", it performs items a), b) and c) of the procedure for steering of roaming in subclause 4.4.6.

10) The VPLMN AMF to the HPLMN UDM: If an SOR transparent container is received in the REGISTRATION COMPLETE message, the AMF uses the Nudm\_SDM\_Info service operation to provide the received SOR transparent container to the UDM. If the HPLMN decided that the UE is to acknowledge the successful security check of the received steering of roaming information in step 4, the UDM verifies that the acknowledgement is provided by the UE as specified in 3GPP TS 33.501 [66];

10a) The HPLMN UDM to the SOR-AF: Nsoraf\_SoR\_Info (SUPI of the UE, successful delivery). If the HPLMN policy for the SOR-AF invocation is present and the HPLMN UDM received and verified the UE acknowledgement in step 10, then the HPLMN UDM informs the SOR-AF about successful delivery of the list of preferred PLMN/access technology combinations, or of the secured packet to the UE;

11) If the UE has a list of available PLMNs in the area and based on this list the UE determines that there is a higher priority PLMN than the selected VPLMN and the UE is in automatic network selection mode, then the UE shall attempt to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3 by acting as if timer T that controls periodic attempts has expired after the release of the N1 NAS signalling connection. If the N1 NAS signaling connection is not released after implementation dependent time, the UE may locally release the N1 signaling connection except when the UE has an established emergency PDU session (see 3GPP TS 24.501 [64]); and

12) The UE deletes the list of "PLMNs where registration was aborted due to SOR".

The list of "PLMNs where registration was aborted due to SOR" is deleted when the UE is switched off or the USIM is removed.

When the UE performs initial registration for emergency services (see 3GPP TS 24.501 [64] and 3GPP TS 23.502 [63]) while the UE has a valid USIM and the AMF performs the authentication procedure, then based on HPLMN policy, the SOR procedure described in this subclause may apply.

If:

- the UE in manual mode of operation encounters scenario mentioned in subclause 8 above; and

- upon switching to automatic network selection mode the UE remembers that it is still registered on the PLMN where the missing or security check failure of SOR information was encountered as described in subclause 8;

the UE shall wait until it moves to idle mode or 5GMM-CONNECTED mode with RRC inactive indication (see 3GPP TS 24.501 [64]) before attempting to obtain service on a higher priority PLMN as specified in subclause 4.4.3.3, by acting as if timer T that controls periodic attempts has expired, with an exception that the current registered PLMN is considered as lowest priority. If the UE has an established emergency PDU session then the UE shall attempt to perform the PLMN selection subsequently after the emergency PDU session is released.

NOTE 9: The receipt of the steering of roaming information by itself does not trigger the release of the emergency PDU session.

\*\*\*\* End of changes \*\*\*\*\*