**3GPP TSG-CT WG1 Meeting #125-eC1-204554**

**Electronic meeting, 20-28 August 2020 offline\_rev01**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **24.501** | **CR** | **2415** | **rev** | **-** | **Current version:** | **16.5.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  |
| ***Title:***  | Avoiding repeated failed redirection but balancing getting intended CIoT services |
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| ***Source to WG:*** | OPPO, Mediatek |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5G\_CIoT |  | ***Date:*** | 2020-08-25 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | In subclause 4.9.2, there is the following description for UE in NB-N1 mode redirected to EPC:*3) if lower layers cannot find a suitable NB-IoT cell connected to EPC or there is no suitable NB-IoT cell connected to EPC which supports CIoT EPS optimizations that are supported by the UE, the UE may re-enable the N1 mode capability for 3GPP access, and indicate to lower layers to remain camped in E-UTRA connected to 5GCN of the previously registered PLMN and proceed with the appropriate 5GMM procedure.*This requirement abides by the stage 2 requirement in 23.501.However, when UE registers back to it previously registered PLMN, if UE continue to indicate its S1 UE network capability and its preferred EPS CIoT optimization, the AMF may just repeat the rejection with EPS redirection. Then the above procedure will repeat again and again and goes into a loop.The result of this loop is the UE cannot get the service from the network for a very long time and that a lot of the NAS signalling is wasted, especially considering that CIoT UEs are likely to be static or of low mobility.In CT1#123E and CT1#124E, CRs in C1-202326, C1-203299 were proposed to solve this ping-pong, but while CT1 acknowledges this ping-pong problem needs to be solved, none of the solution were agreeable and CT1#124E, conlcuded with an Editor's note to capture this issue for further work to be done.Editor's Note [WI: 5G\_CIoT, CR#2106]: To be further studied on how to avoid ping-pong effect due to the redirection between 5GC and EPC, namely using 5GMM cause value #31.Note: the previous attempted solutions were based on an existing mechanism where the UE does not indicate its N1 mode capability to EPC to avoid repeated handover or cell reselection out of EPC.Our understanding of why the reluctance to agreed the principle of the changes given in C1-202326, C1-203299, is that some companies considerthe non-indication of the UE's CIoT (or S1-mode)capabilities was an ON/OFF, binary action – once taken not reverted. It was suggested that that imples the UE could no longer after that indication to the 5GC that it does not support EPS CIoT (or it does not support S1 mode) get those services even though it is capable.To progress towards resolving the Editor's note, C1-204553 discuss the different possible (non-exhaustive) ways to either handle the repeated redirection triggered by the 5GC or allow for the UE to at some later time revert to its 5GC capability for EPC CIoT (or capability to support S1-mode). This CR has taken the proposal resolution in that discusion paper and proposes the changes to allow the UE to revert its indication to the 5GC at periodic registration update time. |
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| ***Summary of change:*** | When UE re-tries the registration to the previously registered PLMN, the UE indicates non-support of CIoT.At next periodic registration update, the UE will revert back its indications of support for CIoT should those indication be turned off to avoid repeated redirection failures. |
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| ***Consequences if not approved:*** | Repeated re-direction failures will continue resulting in continued waste of resources and signalling. |
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| ***Clauses affected:*** | 4.9.2, 5.5.1.2.2, 5.5.1.3.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\* \* \* First Change \* \* \* \*

### 4.9.2 Disabling and re-enabling of UE's N1 mode capability for 3GPP access

The UE shall only disable the N1 mode capability for 3GPP access when in 5GMM-IDLE mode.

When the UE is disabling the N1 mode capability for 3GPP access for a PLMN, it should proceed as follows:

a) select an E-UTRA cell connected to EPC of the registered PLMN or a PLMN from the list of equivalent PLMNs, if the UE supports S1 mode and the UE has not disabled its E-UTRA capability as specified in 3GPP TS 24.301 [15];

b) if an E-UTRA cell connected to EPC of the registered PLMN or a PLMN from the list of equivalent PLMNs cannot be found, the UE does not support S1 mode or the UE has disabled its E-UTRA capability as specified in 3GPP TS 24.301 [15], the UE may select another RAT of the registered PLMN or a PLMN from the list of equivalent PLMNs that the UE supports;

c) if another RAT of the registered PLMN or a PLMN from the list of equivalent PLMNs cannot be found, or the UE does not have a registered PLMN, then enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and perform PLMN selection as specified in 3GPP TS 23.122 [5]. If disabling of the N1 mode capability for 3GPP access was not due to a UE-initiated de-registration procedure for 5GS services over 3GPP access not due to switch-off, the UE may re-enable the N1 capability for this PLMN selection. As an implementation option, if the UE does not have a registered PLMN, instead of performing PLMN selection, the UE may select another RAT of the selected PLMN if the UE has chosen a PLMN and the RAT is supported by the UE; or

d) if no other allowed PLMN and RAT combinations are available, then the UE may re-enable the N1 mode capability for 3GPP access and indicate to lower layers to remain camped in NG-RAN of the registered PLMN, and may periodically scan for another PLMN and RAT combination which can provide EPS services or non-EPS services (if the UE supports EPS services or non-EPS services). How this periodic scanning is done, is UE implementation dependent.

When the UE is disabling the N1 mode capability for 3GPP access for an SNPN, it should proceed as follows:

a) enter the state 5GMM-DEREGISTERED.PLMN-SEARCH and perform SNPN selection as specified in 3GPP TS 23.122 [5]. If disabling of the N1 mode capability for 3GPP access was not due to a UE-initiated de-registration procedure for 5GS services over 3GPP access not due to switch-off, the UE may re-enable the N1 capability for this SNPN selection; or

b) if no other SNPN is available, then the UE may re-enable the N1 mode capability for 3GPP access and indicate to lower layers to remain camped in NG-RAN of the registered SNPN.

When the UE is disabling the N1 mode capability upon receiving reject cause #31 "Redirection to EPC required" as specified in subclauses 5.5.1.2.5, 5.5.1.3.5 and 5.6.1.5, it should proceed as follows:

a) If the UE is in NB-N1 mode:

1) if lower layers do not provide an indication that the current E-UTRA cell is connected to EPC or lower layers do not provide an indication that the current E-UTRA cell supports CIoT EPS optimizations that are supported by the UE, search for a suitable NB-IoT cell connected to EPC according to 3GPP TS 36.304 [25C];

2) if lower layers provide an indication that the current E-UTRA cell is connected to EPC and the current E-UTRA cell supports CIoT EPS optimizations that are supported by the UE, perform a core network selection to select EPC as specified in subclause 4.8.4A.1; or

3) if lower layers cannot find a suitable NB-IoT cell connected to EPC or there is no suitable NB-IoT cell connected to EPC which supports CIoT EPS optimizations that are supported by the UE, the UE may proceed as follows:

i) if a suitable E-UTRA cell connected to 5GCN in a PLMN where reject cause #31 was received is still available, camp on that cell;

ii) if no suitable E-UTRA cell connected to 5GCN in a PLMN where reject cause #31 was received is available, initiate PLMN selection procedures as specified in 3GPP TS 23.122 [5], and if a suitable E-UTRA cell connected to 5GCN is found in another PLMN, re-enable the N1 mode capability for 3GPP access and proceed with the appropriate 5GMM procedure; and

iii) otherwise, start an implementation specific timer and at expiry of the timer, or after switching off or USIM removal, re-enable the N1 mode capability for 3GPP access and proceed with the appropriate 5GMM procedure.

b) If the UE is in WB-N1 mode:

1) if lower layers do not provide an indication that the current E-UTRA cell is connected to EPC or lower layers do not provide an indication that the current E-UTRA cell supports CIoT EPS optimizations that are supported by the UE, search for a suitable E-UTRA cell connected to EPC according to 3GPP TS 36.304 [25C];

2) if lower layers provide an indication that the current E-UTRA cell is connected to EPC and the current E-UTRA cell supports CIoT EPS optimizations that are supported by the UE, then perform a core network selection to select EPC as specified in subclause 4.8.4A.1; or

3) if lower layers cannot find a suitable E-UTRA cell connected to EPC or there is no suitable E-UTRA cell connected to EPC which supports CIoT EPS optimizations that are supported by the UE, the UE may re-enable the N1 mode capability for 3GPP access, and indicate to lower layers to remain camped in E-UTRA connected to 5GCN of the previously registered PLMN and proceed with the appropriate 5GMM procedure. As part of that initial registration procdure, to avoid the unnecessary redirection failures, the UE may set both Control plane CIoT EPS optimization bit and User plane CIoT EPS optimization bit in the S1 UE network capability IE to "Control plane CIoT EPS optimization not supported" and "User plane CIoT EPS optimization not supported" respectively and further set the EPS Preferred CIoT network behaviour bit in the 5GS update type IE to "no additional information" in the REGISTRATION REQUEST message.

When the UE supporting both N1 mode and S1 mode needs to stay in E-UTRA connected to EPC (e.g. due to the domain selection for UE originating sessions as specified in subclause 4.3.2), in order to prevent unintentional handover or cell reselection from E-UTRA connected to EPC to NG-RAN connected to 5GCN, the UE operating in single-registration mode shall disable the N1 mode capability for 3GPP access and:

a) shall set the N1mode bit to "N1 mode not supported" in the UE network capability IE (see 3GPP TS 24.301 [15]) of the ATTACH REQUEST message and the TRACKING AREA UPDATE REQUEST message in EPC; and

b) the UE NAS layer shall indicate the access stratum layer(s) of disabling of the N1 mode capability for 3GPP access.

If the UE is required to disable the N1 mode capability for 3GPP access and select E-UTRA or another RAT, and the UE is in the 5GMM-CONNECTED mode,

- if the UE has a persistent PDU session, then the UE waits until the radio bearer associated with the persistent PDU session has been released;

- otherwise the UE shall locally release the established NAS signalling connection;

and enter the 5GMM-IDLE mode before selecting E-UTRA or another RAT.

If the UE is disabling its N1 mode capability for 3GPP access before selecting E-UTRA or another RAT, the UE shall not perform the UE-initiated de-registration procedure of subclause 5.5.2.2.

The UE shall re-enable the N1 mode capability for 3GPP access when the UE performs PLMN or SNPN selection over 3GPP access, unless

- disabling of the N1 mode capability for 3GPP access was due to a UE-initiated de-registration procedure for 5GS services over 3GPP access not due to switch-off; or

- the UE has already re-enabled the N1 mode capability for 3GPP access when performing items c) or d) above.

If the disabling of N1 mode capability for 3GPP access was due to IMS voice is not available over 3GPP access and the UE's usage setting is "voice centric", the UE shall re-enable the N1 mode capability for 3GPP access when the UE's usage setting is changed from "voice centric" to "data centric", as specified in subclauses 4.3.3.

The UE should memorize the identity of the PLMN or SNPN where N1 mode capability for 3GPP access was disabled and should use that stored information in subsequent PLMN or SNPN selections as specified in 3GPP TS 23.122 [5].

If the disabling of N1 mode capability for 3GPP access was due to successful completion of an emergency services fallback, the criteria to enable the N1 mode capability again are UE implementation specific.

If the N1 mode capability for 3GPP access was disabled due to the UE initiated de-registration procedure for 3GPP access or for 3GPP access and non-3GPP access and the UE is operating in single-registration mode (see subclause 5.5.2.2.3), upon request of the upper layers to re-register for 5GS services over 3GPP access the UE shall enable the N1 mode capability for 3GPP access again.

As an implementation option, the UE may start a timer for enabling the N1 mode capability for 3GPP access when the UE's registration attempt counter reaches 5 and the UE disables the N1 mode capability for 3GPP access for cases described in subclauses 5.5.1.2.7 and 5.5.1.3.7. The UE should memorize the identity of the PLMNs where N1 mode capability for 3GPP access was disabled. On expiry of this timer:

- if the UE is in Iu mode or A/Gb mode and is in idle mode as specified in 3GPP TS 24.008 [13] on expiry of the timer, the UE should enable the N1 mode capability for 3GPP access;

- if the UE is in Iu mode or A/Gb mode and an RR connection exists, the UE shall delay enabling the N1 mode capability for 3GPP access until the RR connection is released;

- if the UE is in Iu mode and a PS signalling connection exists, but no RR connection exists, the UE may abort the PS signalling connection before enabling the N1 mode capability for 3GPP access;

- if the UE is in S1 mode and is in EMM-IDLE mode as specified in 3GPP TS 24.301 [15], on expiry of the timer, the UE should enable the N1 mode capability for 3GPP access; and

- if the UE is in S1 mode and is in EMM-CONNECTED mode as specified in 3GPP TS 24.301 [15], on expiry of the timer, the UE shall delay enabling the N1 mode capability for 3GPP access until the NAS signalling connection in S1 mode is released.

If the UE attempts to establish an emergency PDU session in a PLMN where N1 mode capability was disabled due to the UE's registration attempt counter have reached 5, the UE may enable N1 mode capability for that PLMN memorized by the UE.

NOTE: If N1 mode capability is disabled due to the UE's registration attempt counter reaches 5, the value of the timer for re-enabling N1 mode capability is recommended to be the same as the value of T3502 which follows the handling specified in subclause 5.3.8.

\* \* \* Next Change \* \* \*

##### 5.5.1.2.2 Initial registration initiation

The UE in state 5GMM-DEREGISTERED shall initiate the registration procedure for initial registration by sending a REGISTRATION REQUEST message to the AMF,

a) when the UE performs initial registration for 5GS services;

b) when the UE performs initial registration for emergency services;

c) when the UE performs initial registration for SMS over NAS; and

d) when the UE moves from GERAN to NG-RAN coverage or the UE moves from a UTRAN to NG-RAN coverage and the following applies:

1) the UE initiated a GPRS attach or routing area updating procedure while in A/Gb mode or Iu mode; or

2) the UE has performed 5G-SRVCC from NG-RAN to UTRAN as specified in 3GPP TS 23.216 [6A],

 and since then the UE did not perform a successful EPS attach or tracking area updating procedure in S1 mode or registration procedure in N1 mode,

with the following clarifications to initial registration for emergency services:

a) the UE shall not initiate an initial registration for emergency services over the current access, if the UE is already registered for emergency services over the non-current access, unless the initial registration has to be initiated to perform handover of an existing emergency PDU session from the non-current access to the current access; and

NOTE 1: Transfer of an existing emergency PDU session between 3GPP access and non-3GPP access is needed e.g. if the UE determines that the current access is no longer available.

b) the UE can only initiate an initial registration for emergency services over non-3GPP access if it cannot register for emergency services over 3GPP access.

The UE initiates the registration procedure for initial registration by sending a REGISTRATION REQUEST message to the AMF, starting timer T3510. If timer T3502 is currently running, the UE shall stop timer T3502. If timer T3511 is currently running, the UE shall stop timer T3511.

During initial registration the UE handles the 5GS mobile identity IE in the following order:

a) if:

1) the UE:

i) was previously registered in S1 mode before entering state EMM-DEREGISTERED; and

ii) has received an "interworking without N26 interface not supported" indication from the network; and

2) EPS security context and a valid 4G-GUTI are available;

 then the UE shall create a 5G-GUTI mapped from the valid 4G-GUTI and indicate the mapped 5G-GUTI in the 5GS mobile identity IE. The UE shall include the UE status IE with the EMM registration status set to "UE is not in EMM-REGISTERED state" and shall include an ATTACH REQUEST message as specified in 3GPP TS 24.301 [15] in the EPS NAS message container IE.

 Additionally, if the UE holds a valid 5G‑GUTI, the UE shall include the 5G-GUTI in the Additional GUTI IE in the REGISTRATION REQUEST message in the following order:

1) a valid 5G-GUTI that was previously assigned by the same PLMN with which the UE is performing the registration, if available;

2) a valid 5G-GUTI that was previously assigned by an equivalent PLMN, if available; and

3) a valid 5G-GUTI that was previously assigned by any other PLMN, if available;

b) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP access, by the same PLMN with which the UE is performing the registration, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

c) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP access, by an equivalent PLMN, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

d) if the UE holds a valid 5G-GUTI that was previously assigned, over 3GPP access or non-3GPP, by any other PLMN, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE;

e) if a SUCI is available the UE shall include the SUCI in the 5GS mobile identity IE; and

f) if the UE does not hold a valid 5G-GUTI or SUCI, and is initiating the registration procedure for emergency services, the PEI shall be included in the 5GS mobile identity IE.

If the SUCI is included in the 5GS mobile identity IE and the timer T3519 is not running, the UE shall start timer T3519 and store the value of the SUCI sent in the REGISTRATION REQUEST message. The UE shall include the stored SUCI in the REGISTRATION REQUEST message while timer T3519 is running.

If the UE is operating in the dual-registration mode and it is in EMM state EMM-REGISTERED, the UE shall include the UE status IE with the EMM registration status set to "UE is in EMM-REGISTERED state".

NOTE 2: Inclusion of the UE status IE with this setting corresponds to the indication that the UE is "moving from EPC" as specified in 3GPP TS 23.502 [9].

NOTE 3: The value of the 5GMM registration status included by the UE in the UE status IE is not used by the AMF.

If the last visited registered TAI is available, the UE shall include the last visited registered TAI in the REGISTRATION REQUEST message.

If the UE requests the use of SMS over NAS, the UE shall include the 5GS update type IE in the REGISTRATION REQUEST message with the SMS requested bit set to "SMS over NAS supported". When the 5GS update type IE is included in the REGISTRATION REQUEST for reasons other than requesting the use of SMS over NAS, and the UE does not need to register for SMS over NAS, the UE shall set the SMS requested bit of the 5GS update type IE to "SMS over NAS not supported" in the REGISTRATION REQUEST message.

If the UE supports MICO mode and requests the use of MICO mode, then the UE shall include the MICO indication IE in the REGISTRATION REQUEST message. If the UE requests to use an active time value, it shall include the active time value in the T3324 IE in the REGISTRATION REQUEST message. Additionally, if the UE supports strictly periodic registration timer, the UE shall set the Strictly Periodic Registration Timer Indication bit of the MICO indication IE in the REGISTRATION REQUEST message to "strictly periodic registration timer supported".

If the UE needs to use the UE specific DRX parameters, the UE shall include the Requested DRX parameters IE in the REGISTRATION REQUEST message.

If the UE is in NB-N1 mode and if the UE needs to use the UE specific DRX parameters for NB-N1 mode, the UE shall include the Requested NB-N1 mode DRX parameters IE in the REGISTRATION REQUEST message.

If the UE supports eDRX and requests the use of eDRX, the UE shall include the Requested extended DRX parameters IE in the REGISTRATION REQUEST message.

If the UE needs to request LADN information for specific LADN DNN(s) or indicates a request for LADN information as specified in 3GPP TS 23.501 [8], the UE shall include the LADN indication IE in the REGISTRATION REQUEST message and:

- request specific LADN DNNs by including a LADN DNN value in the LADN indication IE for each LADN DNN for which the UE requests LADN information; or

- to indicate a request for LADN information by not including any LADN DNN value in the LADN indication IE.

The UE shall include the requested NSSAI containing the S-NSSAI(s) corresponding to the slice(s) to which the UE intends to register with and shall include the mapped S-NSSAI(s) for the requested NSSAI, if available, in the REGISTRATION REQUEST message. If the UE has allowed NSSAI or configured NSSAI for the current PLMN, the requested NSSAI shall be either:

a) the configured NSSAI for the current PLMN, or a subset thereof as described below, if the UE has no allowed NSSAI for the current PLMN;

b) the allowed NSSAI for the current PLMN, or a subset thereof as described below, if the UE has an allowed NSSAI for the current PLMN; or

c) the allowed NSSAI for the current PLMN, or a subset thereof as described below, plus one or more S-NSSAIs from the configured NSSAI for which no corresponding S-NSSAI is present in the allowed NSSAI and those are neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA.

If the UE has neither allowed NSSAI for the current PLMN nor configured NSSAI for the current PLMN and has a default configured NSSAI, the UE shall:

a) include the S-NSSAI(s) in the Requested NSSAI IE of the REGISTRATION REQUEST message using the default configured NSSAI; and

b) include the Network slicing indication IE with the Default configured NSSAI indication bit set to "Requested NSSAI created from default configured NSSAI" in the REGISTRATION REQUEST message.

If the UE has no allowed NSSAI for the current PLMN, no configured NSSAI for the current PLMN, and no default configured NSSAI, the UE shall not include a requested NSSAI in the REGISTRATION message.

The subset of configured NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the configured NSSAI applicable to the current PLMN, if the S-NSSAI is neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA.

The subset of allowed NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the allowed NSSAI for the current PLMN.

NOTE 4: How the UE selects the subset of configured NSSAI or allowed NSSAI to be provided in the requested NSSAI is implementation specific. The UE can take preferences indicated by the upper layers (e.g. policies like URSP, applications) into account.

NOTE 5: The number of S-NSSAI(s) included in the requested NSSAI cannot exceed eight.

If the UE initiates an initial registration for emergency services or needs to prolong the established NAS signalling connection after the completion of the initial registration procedure (e.g. due to uplink signalling pending), the UE shall set the Follow-on request indicator to "Follow-on request pending".

NOTE 6: The UE does not have to set the Follow-on request indicator to 1, even if the UE has to request resources for V2X communication over PC5 reference point.

If the UE supports S1 mode, the UE shall:

- set the S1 mode bit to "S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

- include the S1 UE network capability IE in the REGISTRATION REQUEST message; and

- if the UE supports sending an ATTACH REQUEST message containing a PDN CONNECTIVITY REQUEST message with request type set to "handover" to transfer a PDU session from N1 mode to S1 mode, set the HO attach bit to "attach request message containing PDN connectivity request with request type set to handover to transfer PDU session from N1 mode to S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the LTE positioning protocol (LPP) in N1 mode as specified in 3GPP TS 36.355 [26], the UE shall set the LPP bit to "LPP in N1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the Location Services (LCS) notification mechanisms in N1 mode as specified in 3GPP TS 23.273 [6B], the UE shall set the 5G-LCS bit to "LCS notification mechanisms supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE is in NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE is capable of NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" and if user plane CIoT EPS optimization is supported by the UE, may as an option also set the User plane CIoT EPS optimization bit to "User plane CIoT EPS optimization supported" in the S1 UE network capability IE of the REGISTRATION REQUEST message, unless this initial registration procedure is initiated due to the UE returning to the previously registered PLMN after a redirection failure following receipt of reject casue #31 "Redirection to EPC required", see subclause 4.9.2. The UE shall set the EPS Preferred CIoT network behaviour bit according to its preference, other than the execptions in subclause 4.9.2.

If the UE supports N3 data transfer and multiple user-plane resources in NB-N1 mode (see 3GPP TS 36.306 [25D], 3GPP TS 36.331 [25A]), then the UE shall set the Multiple user-plane resources support bit to "Multiple user-plane resources supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports 5G-SRVCC from NG-RAN to UTRAN as specified in 3GPP TS 23.216 [6A], the UE shall:

- set the 5G-SRVCC from NG-RAN to UTRAN capability bit to "5G-SRVCC from NG-RAN to UTRAN supported" in the 5GMM capability IE of the REGISTRATION REQUEST message; and

- include the Mobile station classmark 2 IE and the Supported codecs IE in the REGISTRATION REQUEST message.

If the UE supports service gap control, then the UE shall set the SGC bit to "service gap control supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the restriction on use of enhanced coverage, the UE shall set the RestrictEC bit to "Restriction on use of enhanced coverage supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports network slice-specific authentication and authorization, the UE shall set the NSSAA bit to "network slice-specific authentication and authorization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports CAG feature, the UE shall set the CAG bit to "CAG Supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

When the UE is not in NB-N1 mode, if the UE supports RACS, the UE shall:

a) set the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

b) if the UE has an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN, include the applicable network-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

c) if the UE:

1) does not have an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN; and

2) has an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration,

 include the applicable manufacturer-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message.

If the UE has one or more stored UE policy sections identified by a UPSI with the PLMN ID part indicating the HPLMN or the selected PLMN, the UE shall set the Payload container type IE to "UE policy container" and include the UE STATE INDICATION message (see annex D) in the Payload container IE of the REGISTRATION REQUEST message.

NOTE 7: In this version of the protocol, the UE can only include the Payload container IE in the REGISTRATION REQUEST message to carry a payload of type "UE policy container".

If the UE does not have a valid 5G NAS security context, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE. The UE shall include the entire REGISTRATION REQUEST message (i.e. containing cleartext IEs and non-cleartext IEs, if any) in the NAS message container IE that is sent as part of the SECURITY MODE COMPLETE message as described in subclauses 4.4.6 and 5.4.2.3.

If the UE has a valid 5G NAS security context and the UE needs to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6. If the UE does not need to send non-cleartext IEs, the UE shall send a REGISTRATION REQUEST message without including the NAS message container IE.

If the UE supports ciphered broadcast assistance data and needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

The UE shall set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE if the UE supports WUS assistance information. The UE may include its UE paging probability information in the Requested WUS assistance information IE if the UE has set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE and the UE is not performing the initial registration for emergency services.

If the REGISTRATION REQUEST message includes a NAS message container IE, the AMF shall process the REGISTRATION REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE supports V2X as specified in 3GPP TS 24.587 [19B], the UE shall set the V2X bit to "V2X supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over E-UTRA-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCEPC5 bit to "V2X communication over E-UTRA-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE supports V2X communication over NR-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCNPC5 bit to "V2X communication over NR-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the W-AGF acting on behalf of an N5GC device initiates an initial registration as specified in 3GPP TS 23.316 [6D], the W-AGF acting on behalf of the N5GC device shall include the N5GC indication IE with the N5GC device indication bit set to "N5GC device registration is requested" in the REGISTRATION REQUEST message.



Figure 5.5.1.2.2.1: Registration procedure for initial registration

\* \* \* Next Change \* \* \*

##### 5.5.1.3.2 Mobility and periodic registration update initiation

The UE in state 5GMM-REGISTERED shall initiate the registration procedure for mobility and periodic registration update by sending a REGISTRATION REQUEST message to the AMF,

a) when the UE detects entering a tracking area that is not in the list of tracking areas that the UE previously registered in the AMF;

b) when the periodic registration updating timer T3512 expires in 5GMM-IDLE mode;

c) when the UE receives a CONFIGURATION UPDATE COMMAND message indicating "registration requested" in the Registration requested bit of the Configuration update indication IE as specified in subclauses 5.4.4.3;

d) when the UE in state 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE either receives a paging or the UE receives a NOTIFICATION message with access type indicating 3GPP access over the non-3GPP access for PDU sessions associated with 3GPP access;

e) upon inter-system change from S1 mode to N1 mode and if the UE previously had initiated an attach procedure or a tracking area updating procedure when in S1 mode;

f) when the UE receives an indication of "RRC Connection failure" from the lower layers and does not have signalling pending (i.e. when the lower layer requests NAS signalling connection recovery) except for the case specified in subclause 5.3.1.4;

g) when the UE changes the 5GMM capability or the S1 UE network capability or both;

h) when the UE's usage setting changes;

i) when the UE needs to change the slice(s) it is currently registered to;

j) when the UE changes the UE specific DRX parameters;

k) when the UE in state 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE receives a request from the upper layers to establish an emergency PDU session or perform emergency services fallback;

l) when the UE needs to register for SMS over NAS, indicate a change in the requirements to use SMS over NAS, or de-register from SMS over NAS;

m) when the UE needs to indicate PDU session status to the network after performing a local release of PDU session(s) as specified in subclauses 6.4.1.5 and 6.4.3.5;

n) when the UE in 5GMM-IDLE mode changes the radio capability for NG-RAN or E-UTRAN;

o) when the UE receives a fallback indication from the lower layers and does not have signalling pending (i.e. when the lower layer requests NAS signalling connection recovery, see subclauses 5.3.1.4 and 5.3.1.2);

p) void;

q) when the UE needs to request new LADN information;

r) when the UE needs to request the use of MICO mode or needs to stop the use of MICO mode or to request the use of new T3324 value;

s) when the UE in 5GMM-CONNECTED mode with RRC inactive indication enters a cell in the current registration area belonging to an equivalent PLMN of the registered PLMN and not belonging to the registered PLMN;

t) when the UE receives over 3GPP access a SERVICE REJECT message or a DL NAS TRANSPORT message, with the 5GMM cause value set to #28 "Restricted service area";

u) when the UE needs to request the use of eDRX, when a change in the eDRX usage conditions at the UE requires different extended DRX parameters, or needs to stop the use of eDRX;

NOTE 1: A change in the eDRX usage conditions at the UE can include e.g. a change in the UE configuration, a change in requirements from upper layers or the battery running low at the UE.

v) when the UE supporting 5G-SRVCC from NG-RAN to UTRAN changes the mobile station classmark 2 or the supported codecs;

w) when the UE in state 5GMM-REGISTERED.ATTEMPTING-REGISTRATION-UPDATE decides to request new network slices after being rejected due to no allowed network slices requested;

x) when the UE is not in NB-N1 mode and the applicable UE radio capability ID for the current UE radio configuration changes due to a revocation of the network-assigned UE radio capability IDs by the serving PLMN or SNPN;

Editor's note [RACS, CR#2241]: Handling of a change of applicable UE radio capability ID in case of inter PLMN mobility under the same AMF needs to be clarified in SA2.

y) when the UE receives a REGISTRATION REJECT message with 5GMM cause values #3, #6 or #7 without integrity protection over another access;

z) when the UE needs to request new ciphering keys for ciphered broadcast assistance data;

za) when due to manual CAG selection the UE has selected a CAG-ID which is not included in the "allowed CAG list" for the selected PLMN or a CAG-ID in a PLMN for which the entry in the "CAG information list" does not exist or when the UE has selected, without selecting a CAG-ID, a PLMN for which the entry in the "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells"; or

zb) when the UE needs to start, stop or change the conditions for using the WUS assistance information.

zc) when the UE changes the UE specific DRX parameters in NB-N1 mode.

If case b) is the only reason for initiating the registration procedure for mobility and periodic registration update, the UE shall indicate "periodic registration updating" in the 5GS registration type IE; otherwise the UE shall indicate "mobility registration updating".

If the UE indicates "mobility registration updating" in the 5GS registration type IE and the UE supports S1 mode, the UE shall:

- set the S1 mode bit to "S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

- include the S1 UE network capability IE in the REGISTRATION REQUEST message; and

- if the UE supports sending an ATTACH REQUEST message containing a PDN CONNECTIVITY REQUEST message with request type set to "handover" to transfer a PDU session from N1 mode to S1 mode, set the HO attach bit to "attach request message containing PDN connectivity request with request type set to handover to transfer PDU session from N1 mode to S1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the LTE positioning protocol (LPP) in N1 mode as specified in 3GPP TS 36.355 [26], the UE shall set the LPP bit to "LPP in N1 mode supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports the Location Services (LCS) notification mechanisms in N1 mode as specified in 3GPP TS 23.273 [6B], the UE shall set the 5G-LCS bit to " LCS notification mechanisms supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

For all cases except case b), when the UE is not in NB-N1 mode and the UE supports RACS, the UE shall set the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports 5G-SRVCC from NG-RAN to UTRAN as specified in 3GPP TS 23.216 [6A], the UE shall set:

- the 5G-SRVCC from NG-RAN to UTRAN capability bit to "5G-SRVCC from NG-RAN to UTRAN supported" in the 5GMM capability IE of the REGISTRATION REQUEST message for all cases except case b; and

- include the Mobile station classmark 2 IE and the Supported codecs IE in the REGISTRATION REQUEST message for all cases except case b.

If the UE supports the restriction on use of enhanced coverage, the UE shall set the RestrictEC bit to "Restriction on use of enhanced coverage supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE supports network slice-specific authentication and authorization, the UE shall set the NSSAA bit to "network slice-specific authentication and authorization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message for all cases except case b.

If the UE supports CAG feature, the UE shall set the CAG bit to "CAG Supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

If the UE operating in the single-registration mode performs inter-system change from S1 mode to N1 mode and has one or more stored UE policy sections identified by a UPSI with the PLMN ID part indicating the HPLMN or the selected PLMN, the UE shall set the Payload container type IE to "UE policy container" and include the UE STATE INDICATION message (see annex D) in the Payload container IE of the REGISTRATION REQUEST message.

NOTE 2: In this version of the protocol, the UE can only include the Payload container IE in the REGISTRATION REQUEST message to carry a payload of type "UE policy container".

The UE in state 5GMM-REGISTERED shall initiate the registration procedure for mobility and periodic update by sending a REGISTRATION REQUEST message to the AMF when the UE needs to request the use of SMS over NAS transport or the current requirements to use SMS over NAS transport change in the UE. The UE shall set the SMS requested bit of the 5GS update type IE in the REGISTRATION REQUEST message as specified in subclause 5.5.1.2.2.

When initiating a registration procedure for mobility and periodic registration update and the UE needs to send the 5GS update type IE for a reason different than indicating a change in requirement to use SMS over NAS, the UE shall set the SMS requested bit of the 5GS update type IE in the REGISTRATION REQUEST message to the same value as indicated by the UE in the last REGISTRATION REQUEST message.

If the UE no longer requires the use of SMS over NAS, then the UE shall include the 5GS update type IE in the REGISTRATION REQUEST message with the SMS requested bit set to "SMS over NAS not supported".

After sending the REGISTRATION REQUEST message to the AMF the UE shall start timer T3510. If timer T3502 is currently running, the UE shall stop timer T3502. If timer T3511 is currently running, the UE shall stop timer T3511.

If the last visited registered TAI is available, the UE shall include the last visited registered TAI in the REGISTRATION REQUEST message.

The UE shall handle the 5GS mobile identity IE in the REGISTRATION REQUEST message as follows:

a) if the UE is operating in the single-registration mode, performs inter-system change from S1 mode to N1 mode, and the UE holds a valid 4G-GUTI, the UE shall include the 5G-GUTI mapped from the 4G-GUTI as specified in 3GPP TS 23.003 [4] in the 5GS mobile identity IE. Additionally, if the UE holds a valid 5G‑GUTI, the UE shall include the 5G-GUTI in the Additional GUTI IE in the REGISTRATION REQUEST message in the following order:

1) a valid 5G-GUTI that was previously assigned by the same PLMN with which the UE is performing the registration, if available;

2) a valid 5G-GUTI that was previously assigned by an equivalent PLMN, if available; and

3) a valid 5G-GUTI that was previously assigned by any other PLMN, if available; and

NOTE 3: The 5G-GUTI included in the Additional GUTI IE is a native 5G-GUTI.

b) for all other cases, if the UE holds a valid 5G-GUTI, the UE shall indicate the 5G-GUTI in the 5GS mobile identity IE.

If the UE supports MICO mode and requests the use of MICO mode, then the UE shall include the MICO indication IE in the REGISTRATION REQUEST message. If the UE requests to use an active time value, it shall include the active time value in the T3324 IE in the REGISTRATION REQUEST message. Additionally, if the UE supports strictly periodic registration timer, the UE shall set the Strictly Periodic Registration Timer Indication bit of the MICO indication IE in the REGISTRATION REQUEST message to "strictly periodic registration timer supported". If the UE needs to stop the use of MICO mode, then the UE shall not include the MICO indication IE in the REGISTRATION REQUEST message.

If the UE needs to use or change the UE specific DRX parameters, the UE shall include the Requested DRX parameters IE in the REGISTRATION REQUEST message.

If the UE is in NB-N1 mode and if the UE needs to use or change the UE specific DRX parameters for NB-N1 mode, the UE shall include the Requested NB-N1 mode DRX parameters IE in the REGISTRATION REQUEST message.

If the UE supports eDRX and requests the use of eDRX, the UE shall include the Requested extended DRX parameters IE in the REGISTRATION REQUEST message.

If the UE needs to request LADN information for specific LADN DNN(s) or indicates a request for LADN information as specified in 3GPP TS 23.501 [8], the UE shall include the LADN indication IE in the REGISTRATION REQUEST message and:

- request specific LADN DNNs by including a LADN DNN value in the LADN indication IE for each LADN DNN for which the UE requests LADN information; or

- to indicate a request for LADN information by not including any LADN DNN value in the LADN indication IE.

If the UE is initiating the registration procedure for mobility and periodic registration update, the UE may include the Uplink data status IE to indicate which PDU session(s) that is:

- not associated with control plane only indication;

- associated with the access type the REGISTRATION REQUEST message is sent over; and

- have pending user data to be sent over user plane.

If the UE has one or more active always-on PDU sessions associated with the access type over which the REGISTRATION REQUEST message is sent and the user-plane resources for these PDU sessions are not established, the UE shall include the Uplink data status IE and indicate that the UE has pending user data to be sent for those PDU sessions. If the UE is located outside the LADN service area, the UE shall not include the PDU session for LADN in the Uplink data status IE. If the UE is in a non-allowed area or is not in an allowed area as specified in subclause 5.3.5, the UE shall not include the Uplink data status IE except for emergency services or for high priority access.

If the UE has one or more active PDU sessions which are not accepted by the network as always-on PDU sessions and no uplink user data pending to be sent for those PDU sessions, the UE shall not include those PDU sessions in the Uplink data status IE in the REGISTRATION REQUEST message.

When the registration procedure for mobility and periodic registration update is initiated in 5GMM-IDLE mode, the UE may include a PDU session status IE in the REGISTRATION REQUEST message, indicating which PDU sessions associated with the access type the REGISTRATION REQUEST message is sent over are active in the UE.

If the UE received a paging message with the access type indicating non-3GPP access, the UE shall include the Allowed PDU session status IE in the REGISTRATION REQUEST message indicating the PDU session(s) for which the UE allows to re-establish the user-plane resources over 3GPP access.

When the Allowed PDU session status IE is included in the REGISTRATION REQUEST message, the UE shall indicate that a PDU session is not allowed to be transferred to the 3GPP access if the 3GPP PS data off UE status is "activated" for the corresponding PDU session and the UE is not using the PDU session to send uplink IP packets for any of the 3GPP PS data off exempt services (see subclause 6.2.10).

If the UE operating in the single-registration mode performs inter-system change from S1 mode to N1 mode, the UE:

a) shall include the UE status IE with the EMM registration status set to "UE is in EMM-REGISTERED state" in the REGISTRATION REQUEST message;

NOTE 4: Inclusion of the UE status IE with this setting corresponds to the indication that the UE is "moving from EPC" as specified in 3GPP TS 23.502 [9], subclause 4.11.1.3.3 and 4.11.2.3.

NOTE 5: The value of the 5GMM registration status included by the UE in the UE status IE is not used by the AMF.

b) may include the PDU session status IE in the REGISTRATION REQUEST message indicating the status of the PDU session(s) mapped during the inter-system change from S1 mode to N1 mode from the PDN connection(s) for which the EPS indicated that interworking to 5GS is supported, if any (see subclause 6.1.4.1);

c) shall include a TRACKING AREA UPDATE REQUEST message as specified in 3GPP TS 24.301 [15] in the EPS NAS message container IE in the REGISTRATION REQUEST message if the registration procedure is initiated in 5GMM-IDLE mode; and

d) shall include an EPS bearer context status IE in the REGISTRATION REQUEST message indicating which EPS bearer contexts are active in the UE, if the UE has locally deactivated EPS bearer context(s) for which interworking to 5GS is supported while the UE was in S1 mode without notifying the network.

For a REGISTRATION REQUEST message with a 5GS registration type IE indicating "mobility registration updating", if the UE:

a) is in NB-N1 mode and:

1) the UE needs to change the slice(s) it is currently registered to within the same registration area; or

2) the UE has entered a new registration area; or

b) the UE is not in NB-N1 mode;

the UE shall include the Requested NSSAI IE containing the S-NSSAI(s) corresponding to the network slices to which the UE intends to register and associated mapped S-NSSAI(s), if available, in the REGISTRATION REQUEST message as described in this subclause. When the UE is entering a visited PLMN and intends to register to the slices for which the UE has only mapped S-NSSAI(s) available, the UE shall include these S-NSSAI(s) in the Requested mapped NSSAI IE.

NOTE 6: The REGISTRATION REQUEST message can include both the Requested NSSAI and the Requested mapped NSSAI as described below.

If the UE has allowed NSSAI or configured NSSAI for the current PLMN, the Requested NSSAI IE shall include either:

a) the configured NSSAI for the current PLMN, or a subset thereof as described below, if the UE has no allowed NSSAI for the current PLMN;

b) the allowed NSSAI for the current PLMN, or a subset thereof as described below, if the UE has an allowed NSSAI for the current PLMN; or

c) the allowed NSSAI for the current PLMN, or a subset thereof as described below, plus one or more S-NSSAIs from the configured NSSAI for which no corresponding S-NSSAI is present in the allowed NSSAI and those are neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA.

and in addition the Requested NSSAI IE shall include S-NSSAI(s) applicable in the current PLMN, and if available the associated mapped S-NSSAI(s) for:

a) each PDN connection that is established in S1 mode when the UE is operating in the single-registration mode and the UE is performing an inter-system change from S1 mode to N1 mode; or

b) each active PDU session.

The Requested mapped NSSAI IE shall include mapped S-NSSAI(s), if available, when the UE does not have S-NSSAI(s) applicable in the current PLMN for:

a) each PDN connection established in S1 mode when the UE is operating in the single-registration mode and the UE is performing an inter-system change from S1 mode to N1 mode to a visited PLMN; or

b) each active PDU session when the UE is performing mobility from N1 mode to N1 mode to a visited PLMN.

NOTE 7: The Requested NSSAI IE is used instead of Requested mapped NSSAI IE in REGISTRATION REQUEST message when the UE enters (E)HPLMN.

For a REGISTRATION REQUEST message with a 5GS registration type IE indicating "mobility registration updating", if the UE is in NB-N1 mode and the procedure is initiated for all cases except case a), c), e), i), s), t), w), and x), the REGISTRATION REQUEST message shall not include the Requested NSSAI IE.

If the UE has:

- no allowed NSSAI for the current PLMN;

- no configured NSSAI for the current PLMN;

- neither active PDU session(s) nor PDN connection(s) to transfer associated with an S-NSSAI applicable in the current PLMN; and

- neither active PDU session(s) nor PDN connection(s) to transfer associated with mapped S-NSSAI(s);

and has a default configured NSSAI, then the UE shall:

a) include the S-NSSAI(s) in the Requested NSSAI IE of the REGISTRATION REQUEST message using the default configured NSSAI; and

b) include the Network slicing indication IE with the Default configured NSSAI indication bit set to "Requested NSSAI created from default configured NSSAI" in the REGISTRATION REQUEST message.

If the UE has:

- no allowed NSSAI for the current PLMN;

- no configured NSSAI for the current PLMN;

- neither active PDU session(s) nor PDN connection(s) to transfer associated with an S-NSSAI applicable in the current PLMN

- neither active PDU session(s) nor PDN connection(s) to transfer associated with mapped S-NSSAI(s); and

- no default configured NSSAI

the UE shall include neither Requested NSSAI IE nor Requested mapped NSSAI IE in the REGISTRATION REQUEST message.

The subset of configured NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the configured NSSAI applicable to this PLMN, if the S-NSSAI is neither in the rejected NSSAI for the current PLMN nor in the rejected NSSAI for the current registration area nor in the rejected NSSAI for the failed or revoked NSSAA.

The subset of allowed NSSAI provided in the requested NSSAI consists of one or more S-NSSAIs in the allowed NSSAI for this PLMN.

NOTE 8: How the UE selects the subset of configured NSSAI or allowed NSSAI to be provided in the requested NSSAI is implementation specific. The UE can take preferences indicated by the upper layers (e.g. policies, applications) into account.

NOTE 9: The number of S-NSSAI(s) included in the requested NSSAI cannot exceed eight.

The UE shall set the Follow-on request indicator to "Follow-on request pending", if the UE:

a) initiates the mobility and periodic registration updating procedure upon request of the upper layers to establish an emergency PDU session;

b) initiates the mobility and periodic registration updating procedure upon receiving a request from the upper layers to perform emergency service fallback; or

c) needs to prolong the established NAS signalling connection after the completion of the registration procedure for mobility and periodic registration update (e.g. due to uplink signalling pending but no user data pending).

NOTE 10: The UE does not have to set the Follow-on request indicator to 1 even if the UE has to request resources for V2X communication over PC5 reference point.

For case n), the UE shall include the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to " UE radio capability update needed". Additionally, if the UE is not in NB-N1 mode, the UE supports RACS and the UE has an applicable UE radio capability ID for the new UE radio configuration in the serving PLMN or SNPN, the UE shall include the applicable UE radio capability ID in the UE radio capability ID of the REGISTRATION REQUEST message.

If the UE is in the 5GMM-CONNECTED mode and the UE changes the radio capability for NG-RAN or E‑UTRAN, the UE may locally release the established N1 NAS signalling connection and enter the 5GMM-IDLE mode. Then, the UE shall initiate the registration procedure for mobility and periodic updating including the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to " UE radio capability update needed".

For case o), the UE shall include the Uplink data status IE in the REGISTRATION REQUEST message indicating the PDU session(s) without active user-plane resources for which the UE has pending user data to be sent, if any, and the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication, if any. If the UE is in a non-allowed area or if the UE is not in allowed area, the UE shall not include the Uplink data status IE in REGISTRATION REQUEST message, except if the PDU session for which user-plane resources were active prior to receiving the fallback indication is an emergency PDU session, or if the UE is configured for high priority access in the selected PLMN as specified in subclause 5.3.5.

For case f), the UE shall include the Uplink data status IE in the REGISTRATION REQUEST message indicating the PDU session(s) for which user-plane resources were active prior to receiving "RRC Connection failure" indication from the lower layers, if any. If the UE is in non-allowed area or not in allowed area, the UE shall not include the Uplink data status IE in REGISTRATION REQUEST message, except that the PDU session(s) for which user-plane resources were active prior to receiving the fallback indication is emergency PDU session(s), or that the UE is configured for high priority access in selected PLMN, as specified in subclause 5.3.5.

If the UE supports service gap control, then the UE shall set the SGC bit to "service gap control supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

For case a), x) or if the UE operating in the single-registration mode performs inter-system change from S1 mode to N1 mode, the UE shall:

a) if the UE has an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN, include the applicable network-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

b) if the UE:

1) does not have an applicable network-assigned UE radio capability ID for the current UE radio configuration in the selected PLMN or SNPN; and

2) has an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration,

 include the applicable manufacturer-assigned UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message.

For all cases except cases b and z, if the UE supports ciphered broadcast assistance data and the UE needs to obtain new ciphering keys, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case z, the UE shall include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case a, if the UE supports ciphered broadcast assistance data and the UE detects entering a tracking area for which one or more ciphering keys stored at the UE is not applicable, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

For case b, if the UE supports ciphered broadcast assistance data and the remaining validity time for one or more ciphering keys stored at the UE is less than timer T3512, the UE should include the Additional information requested IE with the CipherKey bit set to "ciphering keys for ciphered broadcast assistance data requested" in the REGISTRATION REQUEST message.

The UE shall set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE if the UE supports WUS assistance information. The UE may include its UE paging probability information in the Requested WUS assistance information IE if the UE has set the WUSA bit to "WUS assistance information reception supported" in the 5GMM capability IE.

If the UE does not have a valid 5G NAS security context and the UE is sending the REGISTRATION REQUEST message after an inter-system change from S1 mode to N1 mode in 5GMM-IDLE mode, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE. The UE shall include the entire REGISTRATION REQUEST message (i.e. containing cleartext IEs and non-cleartext IEs, if any) in the NAS message container IE that is sent as part of the SECURITY MODE COMPLETE message as described in subclauses 4.4.6 and 5.4.2.3.

If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X as specified in 3GPP TS 24.587 [19B], the UE shall set the V2X bit to "V2X supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X communication over E-UTRA-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCEPC5 bit to "V2X communication over E-UTRA-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE indicates "mobility registration updating" in the 5GS registration type IE and supports V2X communication over NR-PC5 as specified in 3GPP TS 24.587 [19B], the UE shall set the V2XCNPC5 bit to "V2X communication over NR-PC5 supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.

The UE shall send the REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6:

a) when the UE is sending the message from 5GMM-IDLE mode, the UE has a valid 5G NAS security context, and needs to send non-cleartext IEs; and

b) when the UE is sending the message after an inter-system change from S1 mode to N1 mode in 5GMM-IDLE mode and the UE has a valid 5G NAS security context and needs to send non-cleartext IEs.

The UE with a valid 5G NAS security context shall send the REGISTRATION REQUEST message without including the NAS message container IE when the UE does not need to send non-cleartext IEs and the UE is sending the message:

a) from 5GMM-IDLE mode; and

b) after an inter-system change from S1 mode to N1 mode in 5GMM-IDLE mode.

If the UE is sending the REGISTRATION REQUEST message after an inter-system change from S1 mode to N1 mode in 5GMM-CONNECTED mode and the UE needs to send non-cleartext IEs, the UE shall cipher the NAS message container IE using the mapped 5G NAS security context and send the REGISTRATION REQUEST message including the NAS message container IE as described in subclause 4.4.6. If the UE does not need to send non-cleartext IEs, the UE shall send the REGISTRATION REQUEST message without including the NAS message container IE.

If the REGISTRATION REQUEST message includes a NAS message container IE, the AMF shall process the REGISTRATION REQUEST message that is obtained from the NAS message container IE as described in subclause 4.4.6.

If the UE is in NB-N1 mode, then the UE shall set the Control plane CIoT 5GS optimization bit to "Control plane CIoT 5GS optimization supported" in the 5GMM capability IE of the REGISTRATION REQUEST message. If the UE is capable of NB-S1 mode, then the UE shall set the Control plane CIoT EPS optimization bit to "Control plane CIoT EPS optimization supported" and if user plane CIoT EPS optimization is supported by the UE, may as an option also set the User plane CIoT EPS optimization bit to "User plane CIoT EPS optimization supported" in the S1 UE network capability IE of the REGISTRATION REQUEST message. The UE shall set the EPS Preferred CIoT network behaviour bit according to its preference.

If the registration procedure for mobility and periodic registration update is initiated and there is request from the upper layers to perform "emergency services fallback" pending, the UE shall send a REGISTRATION REQUEST message without an Uplink data status IE.

If the UE supports N3 data transfer and multiple user-plane resources in NB-N1 mode (see 3GPP TS 36.306 [25D], 3GPP TS 36.331 [25A]), then the UE shall set the Multiple user-plane resources support bit to "Multiple user-plane resources supported" in the 5GMM capability IE of the REGISTRATION REQUEST message.



Figure 5.5.1.3.2.1: Registration procedure for mobility and periodic registration update

\* \* \* End of Changes \* \* \*