**3GPP TSG-CT WG1 Meeting #125-eC1-20xxxx**

**Electronic meeting, 20-28 August 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **2463** | **rev** | **1** | **Current version:** | **16.5.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Clarification on the scope of a UE radio capability ID in 5GS | | | | | | | | | |
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| ***Source to WG:*** | MediaTek Inc. | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | RACS | | | | |  | ***Date:*** | | | 2020-08-25 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
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| ***Reason for change:*** | | In C.1 Storage of 5GMM information for UEs not operating in SNPN access mode  *Each network-assigned UE radio capability ID is stored together with a PLMN identity of the PLMN that provided it as well as a mapping to the* ***corresponding*** *UE radio configuration, and is valid in that PLMN…*  In 4.16 UE radio capability signalling optimisation  *d) upon receiving a network-assigned UE radio capability ID in the REGISTRATION ACCEPT message or the CONFIGURATION UPDATE COMMAND message, the UE shall store the network-assigned UE radio capability ID … along with a mapping to the* ***current*** *UE radio configuration in its non-volatile memory as specified in annex C. …*  The ***current*** *UE radio configuration* is ambuigious:  When the network queries the capability of an UE, it is possible (and commonly seen) that the network only query partial UE ***current*** capability, e.g., by using a filter.  Thus It is impossible for the network to **be able to always** map the ID to full ***current*** *UE* side *radio configuration.*  Besides, TR 37.873 states “*the ID is associated to* ***whatever*** *capabilities it has* ***transferred earlier***”, TS23.401 and TS23.501 state “*an identifier to represent* ***a set of*** *UE radio capabilities*”, this needs to be reflected in the stage3 SPEC in a more precise way. | | | | | | | | |
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| ***Summary of change:*** | | UE radio capability ID refers to whatever capabilities it has transferred earlier | | | | | | | | |
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| ***Consequences if not approved:*** | | Wrong interpretation of the meaning of an UE radio capability ID. | | | | | | | | |
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| ***Clauses affected:*** | | 4.16, C.1, C.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\*\*\* change \*\*\*

## 4.16 UE radio capability signalling optimisation

UE radio capability signalling optimisation (RACS) is a feature that is optional at both the UE and the network and which aims to optimise the transmission of UE radio capability over the radio interface (see 3GPP TS 23.501 [8]). RACS works by assigning an identifier to represent a set of UE radio capabilities. This identifier is called the UE radio capability ID. A UE radio capability ID can be either manufacturer-assigned or network-assigned. The UE radio capability ID is an alternative to the signalling of the radio capabilities container over the radio interface.

In this release of the specification, RACS is applicable to neither NB-N1 mode nor non-3GPP access.

If the UE supports RACS:

a) the UE shall indicate support for RACS by setting the RACS bit to "RACS supported" in the 5GMM capability IE of the REGISTRATION REQUEST message;

b) if the UE performs a registration procedure for initial registration and the UE has an applicable UE radio capability ID for the current UE radio configuration in the selected network, the UE shall include the UE radio capability ID in the UE radio capability ID IE as a non-cleartext IE in the REGISTRATION REQUEST message. If both a network-assigned UE radio capability ID and a manufacturer-assigned UE Radio Capability ID are applicable, the UE shall include the network-assigned UE radio capability ID in the REGISTRATION REQUEST message;

c) if the radio configuration at the UE changes (for instance because the UE has disabled a specific radio capability) then:

1) if the UE has an applicable UE radio capability ID for the new UE radio configuration, the UE shall initiate a registration procedure for mobility and periodic registration update. The UE shall include the applicable UE radio capability ID in the UE radio capability ID IE of the REGISTRATION REQUEST message and shall include the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to "UE radio capability update needed". If both a network-assigned UE radio capability ID and a manufacturer-assigned UE Radio Capability ID are applicable, the UE shall include the network-assigned UE radio capability ID in the REGISTRATION REQUEST message; and

2) if the UE does not have an applicable UE radio capability ID for the new UE radio configuration, the UE shall initiate a registration procedure for mobility and periodic registration update and include the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to "UE radio capability update needed";

NOTE: Performing the registration procedure for mobility and periodic registration update and including the 5GS update type IE in the REGISTRATION REQUEST message with the NG-RAN-RCU bit set to "UE radio capability update needed" without a UE radio capability ID included in the REGISTRATION REQUEST message can trigger the network to assign a new UE radio capability ID to the UE.

d) upon receiving a network-assigned UE radio capability ID in the REGISTRATION ACCEPT message or the CONFIGURATION UPDATE COMMAND message, the UE shall store the network-assigned UE radio capability ID and the PLMN ID or SNPN identity of the serving network along with a mapping to the UE reported radio configuration in its non-volatile memory as specified in annex C. The UE shall be able to store at least the last 16 received network-assigned UE radio capability IDs with the associated PLMN ID or SNPN identity and the mapping to the corresponding UE radio configuration;

e) the UE shall not use a network-assigned UE radio capability ID assigned by a PLMN in PLMNs equivalent to the PLMN which assigned it;

f) upon receiving a UE radio capability ID deletion indication IE set to "delete network-assigned UE radio capability IDs" in the REGISTRATION ACCEPT message or the CONFIGURATION UPDATE COMMAND message, the UE shall delete all network-assigned UE radio capability IDs stored at the UE for the serving network, initiate a registration procedure for mobility and periodic registration update and include an applicable manufacturer-assigned UE radio capability ID for the current UE radio configuration, if available at the UE, in the UE radio capability ID IE of the REGISTRATION REQUEST message; and

g) if the UE performs a registration procedure for mobility and periodic registration update due to entering a tracking area that is not in the list of tracking areas that the UE previously registered in the AMF and the UE has an applicable UE radio capability ID for the current UE radio configuration in the selected network, the UE shall include the UE radio capability ID in the UE radio capability ID IE as a non-cleartext IE in the REGISTRATION REQUEST message. If both a network-assigned UE radio capability ID and a manufacturer-assigned UE Radio Capability ID are applicable, the UE shall include the network-assigned UE radio capability ID in the REGISTRATION REQUEST message.

If the network supports RACS:

a) the network may assign a network-assigned UE radio capability ID to a UE which supports RACS by including a UE radio capability ID IE in the REGISTRATION ACCEPT message or in the CONFIGURATION UPDATE COMMAND message;

b) the network may trigger the UE to delete all network-assigned UE radio capability IDs stored at the UE for the serving network by including a UE radio capability ID deletion indication IE set to "delete network-assigned UE radio capability IDs" in the REGISTRATION ACCEPT message or in the CONFIGURATION UPDATE COMMAND message; and

c) the network may send an IDENTITY REQUEST message to the UE that supports RACS to retrieve the PEI, if not available in the network.

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## C.1 Storage of 5GMM information for UEs not operating in SNPN access mode

The following 5GMM parameters shall be stored on the USIM if the corresponding file is present:

a) 5G-GUTI;

b) last visited registered TAI;

c) 5GS update status;

d) 5G NAS security context parameters from a full native 5G NAS security context (see 3GPP TS 33.501 [24]);

e) SOR counter (see subclause 9.11.3.51); and

f) UE parameter update counter (see subclause 9.11.3.53A).

The presence and format of corresponding files on the USIM is specified in 3GPP TS 31.102 [22].

If the corresponding file is not present on the USIM, these 5GMM parameters are stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

The following 5GMM parameters shall be stored in a non-volatile memory in the ME together with the SUPI from the USIM:

- configured NSSAI(s);

- NSSAI inclusion mode(s);

- MPS indicator;

- MCS indicator;

- operator-defined access category definitions;

- network-assigned UE radio capability IDs; and

- "CAG information list", if the UE supports CAG.

Each configured NSSAI consists of S-NSSAI(s) stored together with a PLMN identity, if it is associated with a PLMN. The UE shall store the S-NSSAI(s) of the HPLMN. If the UE is in the VPLMN, the UE shall also store the configured NSSAI for the current PLMN and any necessary mapped S-NSSAI(s). The configured NSSAI(s) can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME; else the UE shall delete the configured NSSAI(s).

Each NSSAI inclusion mode is associated with a PLMN identity and access type. The NSSAI inclusion mode(s) can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME; else the UE shall delete the NSSAI inclusion mode(s).

The MPS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MPS indicator.

The MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MCS indicator.

Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN. The operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions. The maximum number of stored operator-defined access category definitions is UE implementation dependent.

Each network-assigned UE radio capability ID is stored together with a PLMN identity of the PLMN that provided it as well as a mapping to the corresponding UE reported radio configuration, and is valid in that PLMN. A network-assigned UE radio capability ID can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the network-assigned UE radio capability ID. The UE shall be able to store at least the last 16 received network-assigned UE radio capability IDs. There shall be only one network-assigned UE radio capability ID stored for a given combination of PLMN identity and UE radio configuration and any existing UE radio capability ID shall be deleted when a new UE radio capability ID is added for the same combination of PLMN identity and UE radio configuration. If the UE receives a network-assigned UE radio capability ID with a Version ID value different from the value included in the network-assigned UE radio capability ID(s) stored at the UE for the serving PLMN, the UE may delete these stored network-assigned UE radio capability ID(s).

The allowed NSSAI(s) can be stored in a non-volatile memory in the ME together with the SUPI from the USIM. Allowed NSSAI consists of S-NSSAI(s) stored together with a PLMN identity, if it is associated with a PLMN. If the allowed NSSAI is stored, then the UE shall store the S-NSSAI(s) of the HPLMN. If the UE is in the VPLMN, the UE shall also store the allowed NSSAI for the serving PLMN and any necessary mapping of the allowed NSSAI for the serving PLMN to the S-NSSAI(s) of the HPLMN. The allowed NSSAI(s) can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME; else the UE shall delete the allowed NSSAI(s).

If the UE is registered for emergency services, the UE shall not store the 5GMM parameters described in this annex on the USIM or in non-volatile memory. Instead the UE shall temporarily store these parameters locally in the ME and the UE shall delete these parameters when the UE is deregistered.

If the UE is configured for eCall only mode as specified in 3GPP TS 31.102 [22], the UE shall not store the 5GMM parameters described in this annex on the USIM or in non-volatile memory. Instead the UE shall temporarily store these parameters locally in the ME and the UE shall delete these parameters when the UE enters 5GMM-DEREGISTERED.eCALL-INACTIVE state, the UE is switched-off or the USIM is removed.

The "CAG information list" can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME; else the UE shall delete the "CAG information list".

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## C.2 Storage of 5GMM information for UEs operating in SNPN access mode

The following 5GMM parameters shall be stored per SNPN in a non-volatile memory in the ME together with the subscriber identifier associated with the SNPN identity of the SNPN in the "list of subscriber data" configured in the ME (see 3GPP TS 23.122 [5]):

a) 5G-GUTI;

b) last visited registered TAI;

c) 5GS update status;

d) 5G NAS security context parameters from a full native 5G NAS security context (see 3GPP TS 33.501 [24]);

e) configured NSSAI(s);

f) NSSAI inclusion mode(s);

g) MPS indicator;

h) MCS indicator;

i) operator-defined access category definitions; and

j) network-assigned UE radio capability IDs.

Each configured NSSAI consists of S-NSSAI(s) stored together with an SNPN identity, if it is associated with an SNPN.

Each NSSAI inclusion mode is associated with an SNPN identity and access type.

The MPS indicator is stored together with an SNPN identity of the SNPN that provided it, and is valid in that registered SNPN.

The MCS indicator is stored together with an SNPN identity of the SNPN that provided it, and is valid in that registered SNPN.

Operator-defined access category definitions are stored together with an SNPN identity of the SNPN that provided them, and are valid in that SNPN. The maximum number of stored operator-defined access category definitions is UE implementation dependent.

Each network-assigned UE radio capability ID is stored together with an SNPN identity of the SNPN that provided it as well as a mapping to the corresponding UE reported radio configuration, and is valid in that SNPN. The UE shall be able to store at least the last 16 received network-assigned UE radio capability IDs. There shall be only one network-assigned UE radio capability ID stored for a given combination of SNPN identity and UE radio configuration and any existing UE radio capability ID shall be deleted when a new UE radio capability ID is added for the same combination of SNPN identity and UE radio configuration. If the UE receives a network-assigned UE radio capability ID with a Version ID value different from the value included in the network-assigned UE radio capability ID(s) stored at the UE for the serving SNPN, the UE may delete these stored network-assigned UE radio capability ID(s).

The allowed NSSAI(s) can be stored in a non-volatile memory in the ME. Allowed NSSAI consists of S-NSSAI(s) stored together with an SNPN identity, if it is associated with an SNPN.

\*\*\* end of change \*\*\*