**3GPP TSG-CT WG1 Meeting #125-eC1-205434**

**Electronic meeting, 20-28 August 2020**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **24.301** | **CR** | **3432** | **rev** | **1** | **Current version:** | **16.5.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Reordering of EMM cause #31 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5GProtoc17 | | | | |  | ***Date:*** | | | 2020-07-30 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **D** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | EMM cause value #31 was added out of order in the (combined) attach and (combined) TAU procedure.  To keep the whole spec consistency, it is better to list the EMM cause value in the ascending order to avoid unnecessary mis-reading. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | It proposes to move the EMM cause value #31 in the ascending order in the (combined) attach and (combined) TAU procedure. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The UE behaviour for casue values is out of order which may cause unnecessary mis-reading. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.5.1.2.5, 5.5.1.3.5, 5.5.3.2.5, 5.5.3.3.5 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\* \* \* First Change \* \* \* \*

##### 5.5.1.2.5 Attach not accepted by the network

If the attach request cannot be accepted by the network, the MME shall send an ATTACH REJECT message to the UE including an appropriate EMM cause value.

If EMM-REGISTERED without PDN connection is not supported by the UE or the MME, the attach request included a PDN CONNECTIVITY REQUEST message, the attach procedure fails due to:

- a default EPS bearer setup failure;

- an ESM procedure failure; or

- operator determined barring is applied on default EPS bearer context activation during attach procedure,

the MME shall:

- combine the ATTACH REJECT message with a PDN CONNECTIVITY REJECT message contained in the ESM message container information element. In this case the EMM cause value in the ATTACH REJECT message shall be set to #19 "ESM failure"; or

- send the ATTACH REJECT message with the EMM cause set to #15 "No suitable cells in tracking area", if the PDN connectivity reject is due to ESM cause #29 subject to operator policies (see 3GPP TS 29.274 [16D] for further details). In this case, the network may additionally include the Extended EMM cause IE with value "E-UTRAN not allowed".

If the attach request is rejected due to NAS level mobility management congestion control, the network shall set the EMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

In NB-S1 mode, if the attach request is rejected due to operator determined barring (see 3GPP TS 29.272 [16C]), the network shall set the EMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

If the attach request is rejected due to service gap control as specified in subclause 5.3.17 i.e. the T3447 timer is running, the network shall set the EMM cause value to #22 "congestion" and may assign a back-off timer T3346 with the remaining time of the running T3447 timer.

If the attach request is rejected due to incompatibility between the CIoT EPS optimizations supported by the UE and what the network supports and the network sets the EMM cause value to #15 "no suitable cells in tracking area", the network may additionally include the Extended EMM cause IE with value "requested EPS optimization not supported".

NOTE 1: How the UE uses the Extended EMM cause IE with value "requested EPS optimization not supported" is implementation specific. The UE still behaves according to the EMM cause value #15.

Based on operator policy, if the attach request is rejected due to core network redirection for CIoT optimizations, the network shall set the EMM cause value to #31 "Redirection to 5GCN required".

NOTE 2: The network can take into account the UE’s N1 mode capability, the 5GS CIoT network behaviour supported by the UE or the 5GS CIoT network behaviour supported by the 5GCN to determine the rejection with the EMM cause value #31 "Redirection to 5GCN required".

Upon receiving the ATTACH REJECT message, if the message is integrity protected or contains a reject cause other than EMM cause value #25, the UE shall stop timer T3410.

If the ATTACH REJECT message with EMM cause #25 was received without integrity protection, then the UE shall discard the message.

The UE shall take the following actions depending on the EMM cause value received in the ATTACH REJECT message.

#3 (Illegal UE);

#6 (Illegal ME); or

#8 (EPS services and non-EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall consider the USIM as invalid for EPS services and non-EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. Additionally, the UE shall delete the list of equivalent PLMNs and enter state EMM-DEREGISTERED.NO-IMSI. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI and ciphering key sequence number, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

For the EMM cause value #3 or #6, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

For the EMM cause value #8, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

NOTE 3: The possibility to configure a UE so that the radio transceiver for a specific RAT is not active, although it is implemented in the UE, is out of scope of the present specification.

#7 (EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall consider the USIM as invalid for EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. Additionally, the UE shall enter state EMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#11 (PLMN not allowed); or

#35 (Requested service option not authorized in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall delete the list of equivalent PLMNs and reset the attach attempt counter.

In S1 mode, the UE shall store the PLMN identity in the "forbidden PLMN list" and enter state EMM-DEREGISTERED.PLMN-SEARCH and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. The UE shall perform a PLMN selection according to 3GPP TS 23.122 [6]. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

In S101 mode, the UE shall store the PLMN identity provided with the indication from the lower layers to prepare for an S101 mode to S1 mode handover in the list of "forbidden PLMNs for attach in S101 mode" and enter the state EMM-DEREGISTERED.NO-CELL-AVAILABLE and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause value #11and no RR connection exists.

For the EMM cause value #11, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

For the EMM cause value #35, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#12 (Tracking area not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the attach attempt counter.

In S1 mode, the UE shall store the current TAI in the list of "forbidden tracking areas for regional provision of service" and enter the state EMM-DEREGISTERED.LIMITED-SERVICE. If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message.

In S101 mode, the UE shall store the PLMN identity provided with the indication from the lower layers to prepare for an S101 mode to S1 mode handover in the list of "forbidden PLMNs for attach in S101 mode" and enter the state EMM-DEREGISTERED.NO-CELL-AVAILABLE and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#13 (Roaming not allowed in this tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall delete the list of equivalent PLMNs and reset the attach attempt counter.

In S1 mode, the UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message. Additionally, the UE shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE or optionally EMM-DEREGISTERED.PLMN-SEARCH. If the UE is registered in N1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in 3GPP TS 24.501 [54] subclause 4.8.3. Otherwise the UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

In S101 mode, the UE shall store the PLMN identity provided with the indication from the lower layers to prepare for an S101 mode to S1 mode handover in the list of "forbidden PLMNs for attach in S101 mode" and enter the state EMM-DEREGISTERED.NO-CELL-AVAILABLE and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#14 (EPS services not allowed in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall delete the list of equivalent PLMNs and reset the attach attempt counter.

In S1 mode, the UE shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list. Additionally, the UE shall enter state EMM-DEREGISTERED.PLMN-SEARCH and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. The UE shall perform a PLMN selection according to 3GPP TS 23.122 [6]. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific PS-attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

In S101 mode, the UE shall store the PLMN identity provided with the indication from the lower layers to prepare for an S101 mode to S1 mode handover in the list of "forbidden PLMNs for attach in S101 mode" and enter the state EMM-DEREGISTERED.NO-CELL-AVAILABLE and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#15 (No suitable cells in tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally, the UE shall reset the attach attempt counter.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message. Additionally, the UE shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE and:

- if the UE is in WB-S1 mode and the Extended EMM cause IE with value "E-UTRAN not allowed" is included in the ATTACH REJECT message, the UE supports "E-UTRA Disabling for EMM cause #15", and the "E-UTRA Disabling Allowed for EMM cause #15" parameter as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] is present and set to enabled; then the UE shall disable the E-UTRA capability as specified in subclause 4.5 and search for a suitable cell in GERAN, UTRAN or NG-RAN radio access technology;

- if the UE is in NB-S1 mode and the Extended EMM cause IE with value "NB-IoT not allowed" is included in the ATTACH REJECT message, then the UE may disable the NB-IoT capability as specified in subclause 4.9 and search for a suitable cell in E-UTRAN radio access technology;

- otherwise, the UE shall search for a suitable cell in another tracking area or in another location area according to 3GPP TS 36.304 [21].

In S101 mode, the UE shall store the PLMN identity provided with the indication from the lower layers to prepare for an S101 mode to S1 mode handover in the list of "forbidden PLMNs for attach in S101 mode" and enter the state EMM-DEREGISTERED.NO-CELL-AVAILABLE and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#22 (Congestion);

If the T3346 value IE is present in the ATTACH REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below; otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.2.6.

The UE shall abort the attach procedure, reset the attach attempt counter, set the EPS update status to EU2 NOT UPDATED and enter state EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH.

The UE shall stop timer T3346 if it is running.

If the ATTACH REJECT message is integrity protected, the UE shall start timer T3346 with the value provided in the T3346 value IE.

If the ATTACH REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [13].

The UE stays in the current serving cell and applies the normal cell reselection process. The attach procedure is started if still needed when timer T3346 expires or is stopped.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status and registration attempt counter as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#25 (Not authorized for this CSG);

EMM cause #25 is only applicable when received from a CSG cell. EMM cause #25 received from a non-CSG cell is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.2.6.

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and store it according to subclause 5.1.3.3). Additionally, the UE shall reset the attach attempt counter and shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the ATTACH REQUEST message are contained in the Allowed CSG list, the UE shall remove the entry corresponding to this CSG ID and associated PLMN identity from the Allowed CSG list.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the ATTACH REQUEST message are contained in the Operator CSG list, the UE shall apply the procedures defined in 3GPP TS 23.122 [6] subclause 3.1A.

The UE shall search for a suitable cell according to 3GPP TS 36.304 [21].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED and set the 5GS update status to 5U3 ROAMING NOT ALLOWED.

#42 (Severe network failure);

The UE shall set the EPS update status to EU2 NOT UPDATED, and shall delete any GUTI, last visited registered TAI, TAI list, eKSI, and list of equivalent PLMNs, and set the attach attempt counter to 5. The UE shall start an implementation specific timer setting its value to 2 times the value of T as defined in 3GPP TS 23.122 [6]. While this timer is running, the UE shall not consider the PLMN + RAT combination that provided this reject cause a candidate for PLMN selection. The UE then enters state EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition set the GMM state to GMM-DEREGISTERED, GPRS update status to GU2 NOT UPDATED, and shall delete the P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U2 NOT UPDATED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

Other values are considered as abnormal cases. The behaviour of the UE in those cases is specified in subclause 5.5.1.2.6.

\* \* \* Next Change \* \* \* \*

##### 5.5.1.3.5 Combined attach not accepted by the network

If the attach request can neither be accepted by the network for EPS nor for non-EPS services, the MME shall send an ATTACH REJECT message to the UE including an appropriate EMM cause value. If EMM-REGISTERED without PDN connection is not supported by the UE or the MME, the attach request included a PDN CONNECTIVITY REQUEST message, and the attach procedure fails due to a default EPS bearer setup failure, an ESM procedure failure or operator determined barring, the MME shall:

- combine the ATTACH REJECT message with a PDN CONNECTIVITY REJECT message contained in the ESM message container information element. In this case the EMM cause value in the ATTACH REJECT message shall be set to #19, "ESM failure"; or

- send the ATTACH REJECT message with the EMM cause set to #15 "No suitable cells in tracking area" ,if the PDN connectivity reject is due to ESM cause #29 subject to operator policies (see 3GPP TS 29.274 [16D] for further details). In this case, the network may additionally include the Extended EMM cause IE with value "E-UTRAN not allowed".

If the attach request is rejected due to NAS level mobility management congestion control, the network shall set the EMM cause value to #22 "congestion" and assign a back-off timer T3346.

If the attach request is rejected due to service gap control as specified in subclause 5.3.17 i.e. the T3447 timer is running, the network shall set the EMM cause value to #22 "congestion" and may assign a back-off timer T3346 with the remaining time of the running T3447 timer.

Based on operator policy, if the attach request is rejected due to core network redirection for CIoT optimizations, the network shall set the EMM cause value to #31 "Redirection to 5GCN required".

NOTE 1: The network can take into account the UE’s N1 mode capability, the 5GS CIoT network behaviour supported by the UE or the 5GS CIoT network behaviour supported by the 5GCN to determine the rejection with the EMM cause value #31 "Redirection to 5GCN required".

Upon receiving the ATTACH REJECT message, if the message is integrity protected or contains a reject cause other than EMM cause value #25, the UE shall stop timer T3410 and enter MM state MM IDLE.

If the ATTACH REJECT message with EMM cause #25 was received without integrity protection, then the UE shall discard the message.

The UE shall take the following actions depending on the EMM cause value received in the ATTACH REJECT message.

#3 (Illegal UE);

#6 (Illegal ME); or

#8 (EPS services and non-EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI.

The UE shall consider the USIM as invalid for EPS and non-EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. Additionally, the UE shall delete the list of equivalent PLMNs and shall enter the state EMM-DEREGISTERED.NO-IMSI. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI and ciphering key sequence number, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

For the EMM cause value #3 or #6, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

For the EMM cause value #8, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#7 (EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall consider the USIM as invalid for EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. Additionally, the UE shall enter the state EMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

A UE which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services and shall set the update status to U2 NOT UPDATED.

The UE shall attempt to select GERAN or UTRAN radio access technology and shall proceed with the appropriate MM specific procedure according to the MM service state. The UE shall not reselect E-UTRAN radio access technology until switching off or the UICC containing the USIM is removed.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#11 (PLMN not allowed); or

#35 (Requested service option not authorized in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI, and reset the attach attempt counter. The UE shall delete the list of equivalent PLMNs and enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMN list" and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

The UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause value #11 and no RR connection exists.

For the EMM cause value #11, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

For the EMM cause value #35, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#12 (Tracking area not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for regional provision of service". If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#13 (Roaming not allowed in this tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall delete the list of equivalent PLMNs and reset the attach attempt counter. Additionally the UE enter the state EMM-DEREGISTERED.LIMITED-SERVICE or optionally EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message.

If the UE is registered in N1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in 3GPP TS 24.501 [54] subclause 4.8.3. Otherwise the UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#14 (EPS services not allowed in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally the UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific PS-attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

A UE operating in CS/PS mode 1 or CS/PS mode 2 of operation which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services and shall set the update status to U2 NOT UPDATED.

A UE operating in CS/PS mode 1 of operation and supporting A/Gb or Iu mode may select GERAN or UTRAN radio access technology and proceed with the appropriate MM specific procedure according to the MM service state. In this case, the UE shall disable the E-UTRA capability (see subclause 4.5).

A UE operating in CS/PS mode 1 of operation and supporting A/Gb or Iu mode may perform a PLMN selection according to 3GPP TS 23.122 [6].

A UE operating in CS/PS mode 1 of operation and supporting S1 mode only, or operating in CS/PS mode 2 of operation shall delete the list of equivalent PLMNs and shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#15 (No suitable cells in tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. Additionally the UE shall reset the attach attempt counter and enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the ATTACH REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message. Additionally, the UE shall proceed as follows:

- if the UE is in WB-S1 mode and the Extended EMM cause IE with value "E-UTRAN not allowed" is included in the ATTACH REJECT message, the UE supports "E-UTRA Disabling for EMM cause #15", and the "E-UTRA Disabling Allowed for EMM cause #15" parameter as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] is present and set to enabled, then the UE shall disable the E-UTRA capability as specified in subclause 4.5 and search for a suitable cell in another location area or 5GS tracking area;

- if the UE is in NB-S1 mode and the Extended EMM cause IE with value "NB-IoT not allowed" is included in the ATTACH REJECT message, then the UE may disable the NB-IoT capability as specified in subclause 4.9 and search for a suitable cell in E-UTRAN radio access technology;

- otherwise, the UE shall search for a suitable cell in another tracking area or in another location area according to 3GPP TS 36.304 [21].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#22 (Congestion);

If the T3346 value IE is present in the ATTACH REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below; otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.1.3.6.

The UE shall abort the attach procedure, reset the attach attempt counter, set the EPS update status to EU2 NOT UPDATED and enter state EMM-DEREGISTERED.ATTEMPTING-TO-ATTACH.

The UE shall stop timer T3346 if it is running.

If the ATTACH REJECT message is integrity protected, the UE shall start timer T3346 with the value provided in the T3346 value IE.

If the ATTACH REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [13].

The UE stays in the current serving cell and applies the normal cell reselection process. The attach procedure is started if still needed when timer T3346 expires or is stopped.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status and registration attempt counter as specified in 3GPP TS 24.501 [54] for the case when the initial registration procedure performed over 3GPP access is rejected with the 5GMM cause with the same value.

#25 (Not authorized for this CSG);

EMM cause #25 is only applicable when received from a CSG cell. EMM cause #25 received from a non-CSG cell is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.1.3.6.

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and store it according to subclause 5.1.3.3). Additionally, the UE shall reset the attach attempt counter and shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the ATTACH REQUEST message are contained in the Allowed CSG list, the UE shall remove the entry corresponding to this CSG ID and associated PLMN identity from the Allowed CSG list.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the ATTACH REQUEST message are contained in the Operator CSG list, the UE shall apply the procedures defined in 3GPP TS 23.122 [6] subclause 3.1A.

The UE shall search for a suitable cell according to 3GPP TS 36.304 [21].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the MM parameters update status and location update attempt counter, and GMM parameters GMM state, GPRS update status and GPRS attach attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined attach procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED and set the 5GS update status to 5U3 ROAMING NOT ALLOWED.

#42 (Severe network failure);

The UE shall set the EPS update status to EU2 NOT UPDATED, and shall delete any GUTI, last visited registered TAI, TAI list, eKSI, and list of equivalent PLMNs, and set the attach attempt counter to 5. The UE shall start an implementation specific timer, setting its value to 2 times the value of T as defined in 3GPP TS 23.122 [6]. While this timer is running, the UE shall not consider the PLMN + RAT combination that provided this reject cause as a candidate for PLMN selection. The UE then enters state EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition set the GMM state to GMM-DEREGISTERED, GPRS update status to GU2 NOT UPDATED signature, RAI and GPRS ciphering key sequence number TMSI and ciphering key sequence number.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U2 NOT UPDATED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

Other values are considered as abnormal cases. The behaviour of the UE in those cases is specified in subclause 5.5.1.3.6.

\* \* \* Next Change \* \* \* \*

##### 5.5.3.2.5 Normal and periodic tracking area updating procedure not accepted by the network

If the tracking area updating cannot be accepted by the network, the MME sends a TRACKING AREA UPDATE REJECT message to the UE including an appropriate EMM cause value.

If a tracking area update request from a UE with a LIPA PDN connection is not accepted due to the reasons specified in subclause 5.5.3.2.4, the MME shall send the TRACKING AREA UPDATE REJECT message with EMM cause value #10 "Implicitly detached".

If the tracking area update request is rejected due to general NAS level mobility management congestion control, the network shall set the EMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

In NB-S1 mode, if the tracking area update request is rejected due to operator determined barring (see 3GPP TS 29.272 [16C]), the network shall set the EMM cause value to #22 "congestion" and assign a value for back-off timer T3346.

If the tracking area request is rejected due to service gap control as specified in subclause 5.3.17 i.e. the T3447 timer is running, the network shall set the EMM cause value to #22 "congestion" and may assign a back-off timer T3346 with the remaining time of the running T3447 timer.

If the tracking area update request is rejected due to incompatibility between the CIoT EPS optimizations supported by the UE and what the network supports and the network sets the EMM cause value to #15 "no suitable cells in tracking area", the network may additionally include the Extended EMM cause IE with value "requested EPS optimization not supported".

NOTE 1: How the UE uses the Extended EMM cause IE with value "requested EPS optimization not supported" is implementation specific. The UE still behaves according to the EMM cause value #15.

Based on operator policy, if the tracking area update request is rejected due to core network redirection for CIoT optimizations, the network shall set the EMM cause value to #31 "Redirection to 5GCN required".

NOTE 2: The network can take into account the UE’s N1 mode capability, the 5GS CIoT network behaviour supported by the UE or the 5GS CIoT network behaviour supported by the 5GCN to determine the rejection with the EMM cause value #31 "Redirection to 5GCN required".

If the UE initiated the tracking area updating procedure due to inter-system change from N1 mode to S1 mode, and the MME does not support N26 interface, the MME shall send a TRACKING AREA UPDATE REJECT message with EMM cause value #9 "UE identity cannot be derived by the network".

Upon receiving the TRACKING AREA UPDATE REJECT message, if the message is integrity protected or contains a reject cause other than EMM cause value #25, the UE shall stop timer T3430 and stop any transmission of user data.

If the TRACKING AREA UPDATE REJECT message with EMM cause #25 was received without integrity protection, then the UE shall discard the message.

The UE shall take the following actions depending on the EMM cause value received in the TRACKING AREA UPDATE REJECT message.

#3 (Illegal UE);

#6 (Illegal ME); or

#8 (EPS services and non-EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall consider the USIM as invalid for EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. The UE shall delete the list of equivalent PLMNs and shall enter the state EMM-DEREGISTERED.NO-IMSI. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number and the MM parameters update status, TMSI, LAI and ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value. The USIM shall be considered as invalid also for non-EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

For the EMM cause value #3 or #6, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

For the EMM cause value #8, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

NOTE 3: The possibility to configure a UE so that the radio transceiver for a specific radio access technology is not active, although it is implemented in the UE, is out of scope of the present specification.

#7 (EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall consider the USIM as invalid for EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. The UE shall enter the state EMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If the EPS update type is "periodic updating", a UE operating in CS/PS mode 1 or CS/PS mode 2 of operation, which is IMSI attached for non-EPS services, is still IMSI attached for non-EPS services. The UE operating in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED, shall attempt to select GERAN or UTRAN radio access technology and shall proceed with appropriate MM specific procedure according to the MM service state. The UE shall not reselect E‑UTRAN radio access technology until switching off or the UICC containing the USIM is removed.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#9 (UE identity cannot be derived by the network);

The UE shall set the EPS update status to EU2 NOT UPDATED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE.

If the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall subsequently, automatically initiate the attach procedure.

NOTE 4: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in the single-registration mode, the UE shall handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#10 (Implicitly detached);

If the EPS update type is "periodic updating", a UE in CS/PS mode 1 or CS/PS mode 2 of operation is IMSI detached for both EPS services and non-EPS services.

The UE shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE. The UE shall delete any mapped EPS security context or partial native EPS security context. If the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall then perform a new attach procedure.

NOTE 5: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM state as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM state as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#11 (PLMN not allowed); or

#35 (Requested service option not authorized in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall reset the tracking area updating attempt counter, delete the list of equivalent PLMNs and enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMN list" and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

The UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter and the MM parameters update status, TMSI, LAI, ciphering key sequence number and the location update attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause value #11 and no RR connection exists.

For the EMM cause value #11, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

For the EMM cause value #35, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#12 (Tracking area not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for regional provision of service". If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#13 (Roaming not allowed in this tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete the list of equivalent PLMNs. The UE shall reset the tracking area updating attempt counter and shall change to state EMM-REGISTERED.PLMN-SEARCH.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming" and shall remove the current TAI from the stored TAI list if present. If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message.

If the UE is registered in N1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in 3GPP TS 24.501 [54] subclause 4.8.3. Otherwise the UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#14 (EPS services not allowed in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3). Furthermore, the UE shall delete any GUTI, last visited registered TAI, TAI list and eKSI. The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific PS-attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

If the EPS update type is "TA updating", or the EPS update type is "periodic updating" and the UE is in PS mode 1 or PS mode 2 of operation, the UE shall perform a PLMN selection according to 3GPP TS 23.122 [6]. In this case, the UE supporting S1 mode only shall delete the list of equivalent PLMNs before performing the procedure.

If the EPS update type is "periodic updating", a UE operating in CS/PS mode 1 or CS/PS mode 2 of operation, which is IMSI attached for non-EPS services, is still IMSI attached for non-EPS services and shall proceed as follows:

- a UE operating in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED;

- a UE operating in CS/PS mode 1 of operation and supporting A/Gb mode or Iu mode may select GERAN or UTRAN radio access technology and proceed with the appropriate MM specific procedure according to the MM service state. In this case, the UE shall disable the E-UTRA capability (see subclause 4.5);

- a UE operating in CS/PS mode 1 of operation and supporting A/Gb mode or Iu mode may perform a PLMN selection according to 3GPP TS 23.122 [6];

- a UE operating in CS/PS mode 1 of operation and supporting S1 mode only, or operating in CS/PS mode 2 of operation shall delete the list of equivalent PLMNs and shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#15 (No suitable cells in tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3). The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-REGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message. Additionally, the UE shall remove the current TAI from the stored TAI list if present and:

- if the UE is in WB-S1 mode and the Extended EMM cause IE with value "E-UTRAN not allowed" is included in the TRACKING AREA UPDATE REJECT message, the UE supports "E-UTRA Disabling for EMM cause #15", and the "E-UTRA Disabling Allowed for EMM cause #15" parameter as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] is present and set to enabled; then the UE shall disable the E-UTRA capability as specified in subclause 4.5 and search for a suitable cell in another location area or 5GS tracking area;

- if the UE is in NB-S1 mode and the Extended EMM cause IE with value "NB-IoT not allowed" is included in the TRACKING AREA UPDATE REJECT message, then the UE may disable the NB-IoT capability as specified in subclause 4.9 and search for a suitable cell in E-UTRAN radio access technology;

- otherwise, the UE shall search for a suitable cell in another tracking area or in another location area according to 3GPP TS 36.304 [21].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#22 (Congestion);

If the T3346 value IE is present in the TRACKING AREA UPDATE REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below, otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.3.2.6.

The UE shall abort the tracking area updating procedure, reset the tracking area updating attempt counter and set the EPS update status to EU2 NOT UPDATED. If the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall change to state EMM-REGISTERED.ATTEMPTING-TO-UPDATE.

The UE shall stop timer T3346 if it is running.

If the TRACKING AREA UPDATE REJECT message is integrity protected, the UE shall start timer with the value provided in the T3346 value IE.

If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [13].

The UE stays in the current serving cell and applies the normal cell reselection process. The tracking area updating procedure is started, if still necessary, when timer T3346 expires or is stopped.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the tracking area updating procedure was initiated for and MO MMTEL voice call is started, then a notification that the request was not accepted due to network congestion shall be provided to upper layers.

NOTE 6: This can result in the upper layers requesting establishment of the originating voice call on an alternative manner e.g. requesting establishment of a CS voice call (see 3GPP TS 24.173 [13E]).

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status and registration attempt counter as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#25 (Not authorized for this CSG);

EMM cause #25 is only applicable when received from a CSG cell. EMM cause #25 received from a non-CSG cell is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.3.2.6.

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and store it according to subclause 5.1.3.3). The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-REGISTERED.LIMITED-SERVICE.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message are contained in the Allowed CSG list, the UE shall remove the entry corresponding to this CSG ID and associated PLMN identity from the Allowed CSG list.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message are contained in the Operator CSG list, the UE shall apply the procedures defined in 3GPP TS 23.122 [6] subclause 3.1A.

The UE shall search for a suitable cell according to 3GPP TS 36.304 [21].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-REGISTERED and set the 5GS update status to 5U3 ROAMING NOT ALLOWED.

#40 (No EPS bearer context activated);

The UE shall deactivate all the EPS bearer contexts locally, if any, and shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE. The UE shall perform a new attach procedure.

NOTE 7: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM state as specified in 3GPP TS 24.008 [13] for the case when the normal routing area updating procedure is rejected with the GMM cause value #10 "Implicitly detached".

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED.

#42 (Severe network failure);

The UE shall set the EPS update status to EU2 NOT UPDATED, and shall delete any GUTI, last visited registered TAI, TAI list, eKSI, and list of equivalent PLMNs, and set the tracking area update counter to 5. The UE shall start an implementation specific timer, setting its value to 2 times the value of T as defined in 3GPP TS 23.122 [6]. While this timer is running, the UE shall not consider the PLMN + RAT combination that provided this reject cause as a candidate for PLMN selection. The UE then enters state EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition set the GMM state to GMM-DEREGISTERED, GPRS update status to GU2 NOT UPDATED, and shall delete the P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U2 NOT UPDATED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

Other values are considered as abnormal cases. The specification of the UE behaviour in those cases is described in subclause 5.5.3.2.6.

\* \* \* Next Change \* \* \* \*

##### 5.5.3.3.5 Combined tracking area updating procedure not accepted by the network

If the combined tracking area updating cannot be accepted by the network, the MME shall send a TRACKING AREA UPDATE REJECT message to the UE including an appropriate EMM cause value.

If the MME locally deactivates EPS bearer contexts for the UE (see subclause 5.5.3.2.4) and no active EPS bearer contexts remain for the UE, the MME shall send the TRACKING AREA UPDATE REJECT message including the EMM cause value #10 "implicitly detached".

If the tracking area update request is rejected due to general NAS level mobility management congestion control, the network shall set the EMM cause value to #22 "congestion" and assign a back-off timer T3346.

If the UE initiated the tracking area updating procedure due to inter-system change from N1 mode to S1 mode, and the MME does not support N26 interface, the MME shall send a TRACKING AREA UPDATE REJECT message with EMM cause value #9 "UE identity cannot be derived by the network".

If the tracking area request is rejected due to service gap control as specified in subclause 5.3.17 i.e. the T3447 timer is running, the network shall set the EMM cause value to #22 "congestion" and may assign a back-off timer T3346 with the remaining time of the running T3447 timer.

Based on operator policy, if the tracking area update request is rejected due to core network redirection for CIoT optimizations, the network shall set the EMM cause value to #31 "Redirection to 5GCN required".

NOTE 1: The network can take into account the UE’s N1 mode capability, the 5GS CIoT network behaviour supported by the UE or the 5GS CIoT network behaviour supported by the 5GCN to determine the rejection with the EMM cause value #31 "Redirection to 5GCN required".

Upon receiving the TRACKING AREA UPDATE REJECT message, if the message is integrity protected or contains a reject cause other than EMM cause value #25, the UE shall stop timer T3430, stop any transmission of user data and enter state MM IDLE.

If the TRACKING AREA UPDATE REJECT message with EMM cause #25 was received without integrity protection, then the UE shall discard the message.

The UE shall take the following actions depending on the EMM cause value received in the TRACKING AREA UPDATE REJECT message.

#3 (Illegal UE);

#6 (Illegal ME); or

#8 (EPS services and non-EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI List and eKSI.

The UE shall consider the USIM as invalid for EPS and non-EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. Additionally, the UE shall delete the list of equivalent PLMNs and shall enter the state EMM-DEREGISTERED.NO-IMSI. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for non-GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the MM parameters update status, TMSI, LAI and ciphering key sequence number, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

For the EMM cause value #3 or #6, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

For the EMM cause value #8, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.#7 (EPS services not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI List and eKSI. The UE shall consider then USIM as invalid for EPS services until switching off or the UICC containing the USIM is removed or the timer T3245 expires as described in subclause 5.3.7a. The UE shall enter the state EMM-DEREGISTERED. If the message has been successfully integrity checked by the NAS and the UE maintains a counter for "SIM/USIM considered invalid for GPRS services", then the UE shall set this counter to UE implementation-specific maximum value.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED, shall attempt to select GERAN or UTRAN radio access technology and proceed with appropriate MM specific procedure according to the MM service state. The UE shall not reselect E-UTRAN radio access technology until switching off or the UICC containing the USIM is removed.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure performed over 3GPP access and for mobility and periodic registration update indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#9 (UE identity cannot be derived by the network);

The UE shall set the EPS update status to EU2 NOT UPDATED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI List and eKSI. The UE shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE.

If there is a CS fallback emergency call pending or CS fallback call pending, or a paging for CS fallback, the UE shall attempt to select GERAN or UTRAN radio access technology. If the UE finds a suitable GERAN or UTRAN cell, it then proceeds with the appropriate MM and CC specific procedures; otherwise, if there is a CS fallback emergency call or CS fallback call pending, the EMM sublayer shall indicate the abort of the EMM procedure to the MM sublayer.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, the UE shall select cdma2000® 1x radio access technology. The UE then proceeds with appropriate cdma2000® 1x CS procedures.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, and the UE has dual Rx/Tx configuration and supports enhanced 1xCS fallback, the UE shall perform a new attach procedure.

If there is no CS fallback emergency call pending, CS fallback call pending, 1xCS fallback emergency call pending, 1xCS fallback call pending, paging for CS fallback, or paging for 1xCS fallback and the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall subsequently, automatically initiate the attach procedure.

NOTE 2: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED.

If the UE is operating in the single-registration mode, the UE shall handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#10 (Implicitly detached);

A UE in CS/PS mode 1 or CS/PS mode 2 of operation is IMSI detached for both EPS services and non-EPS services.

The UE shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE. The UE shall delete any mapped EPS security context or partial native EPS security context.

If there is a CS fallback emergency call pending or CS fallback call pending, or a paging for CS fallback, the UE shall attempt to select GERAN or UTRAN radio access technology. If the UE finds a suitable GERAN or UTRAN cell, it then proceeds with the appropriate MM and CC specific procedures; otherwise, if there is a CS fallback emergency call or CS fallback call pending, the EMM sublayer shall indicate the abort of the EMM procedure to the MM sublayer.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, the UE shall select cdma2000® 1x radio access technology. The UE then proceeds with appropriate cdma2000® 1x CS procedures.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, and the UE has dual Rx/Tx configuration and supports enhanced 1xCS fallback, the UE shall perform a new attach procedure.

If there is no CS fallback emergency call pending, CS fallback call pending, 1xCS fallback emergency call pending, 1xCS fallback call pending, paging for CS fallback, or paging for 1xCS fallback and the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall then perform a new attach procedure.

NOTE 3: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM state as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM state as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#11 (PLMN not allowed); or

#35 (Requested service option not authorized in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI List and eKSI, and reset the tracking area updating attempt counter. The UE shall delete the list of equivalent PLMNs and enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMN list" and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

The UE shall then perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle and the MM parameters update status, TMSI, LAI, ciphering key sequence number and the location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause value #11 and no RR connection exists.

For the EMM cause value #11, if the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

For the EMM cause value #35, if the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#12 (Tracking area not allowed);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete any GUTI, last visited registered TAI, TAI List and eKSI. The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-DEREGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for regional provision of service". If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for regional provision of service" for non-integrity protected NAS reject message.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the MM parameters update status, TMSI, LAI, ciphering key sequence number and the location update attempt counter, and the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#13 (Roaming not allowed in this tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3) and shall delete the list of equivalent PLMNs. The UE shall reset the tracking area updating attempt counter and shall change to state EMM-REGISTERED.PLMN-SEARCH.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming" and shall remove the current TAI from the stored TAI list if present. If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message.

If the UE is registered in N1 mode and operating in dual-registration mode, the PLMN that the UE chooses to register in is specified in 3GPP TS 24.501 [54] subclause 4.8.3. Otherwise the UE shall perform a PLMN selection according to 3GPP TS 23.122 [6].

The UE shall indicate the Update type IE "combined TA/LA updating with IMSI attach" when performing the tracking area updating procedure following the PLMN selection.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the MM parameters update status and the location update attempt counter, and the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#14 (EPS services not allowed in this PLMN);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3). Furthermore, the UE shall delete any GUTI, last visited registered TAI, TAI List and eKSI. The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-DEREGISTERED.PLMN-SEARCH.

The UE shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list and if the UE is configured to use timer T3245 (see 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17]) then the UE shall start timer T3245 and proceed as described in subclause 5.3.7a. If the message has been successfully integrity checked by the NAS and the UE maintains a PLMN-specific PS-attempt counter for that PLMN, then the UE shall set this counter to the UE implementation-specific maximum value.

The UE operating in CS/PS mode 1 or CS/PS mode 2 of operation which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services.

The UE operating in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED.

A UE operating in CS/PS mode 1 of operation and supporting A/Gb mode or Iu mode may select GERAN or UTRAN radio access technology and proceed with the appropriate MM specific procedure according to the MM service state. In this case, the UE shall disable the E-UTRA capability (see subclause 4.5).

A UE operating in CS/PS mode 1 of operation and supporting A/Gb mode or Iu mode may perform a PLMN selection according to 3GPP TS 23.122 [6].

A UE operating in CS/PS mode 1 of operation and supporting S1 mode only, or operating in CS/PS mode 2 of operation shall delete the list of equivalent PLMNs and shall perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status, P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U3 ROAMING NOT ALLOWED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

#15 (No suitable cells in tracking area);

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and shall store it according to subclause 5.1.3.3). The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-REGISTERED.LIMITED-SERVICE.

The UE shall store the current TAI in the list of "forbidden tracking areas for roaming". If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall memorize the current TAI was stored in the list of "forbidden tracking areas for roaming" for non-integrity protected NAS reject message. Additionally, the UE shall remove the current TAI from the stored TAI list if present and:

- if the UE is in WB-S1 mode and the Extended EMM cause IE with value "E-UTRAN not allowed" is included in the TRACKING AREA UPDATE REJECT message, the UE supports "E-UTRA Disabling for EMM cause #15", and the "E-UTRA Disabling Allowed for EMM cause #15" parameter as specified in 3GPP TS 24.368 [15A] or 3GPP TS 31.102 [17] is present and set to enabled; then the UE shall disable the E-UTRA capability as specified in subclause 4.5 and search for a suitable cell in another location area or 5GS tracking area;

- if the UE is in NB-S1 mode and the Extended EMM cause IE with value "NB-IoT not allowed" is included in the TRACKING AREA UPDATE REJECT message, then the UE may disable the NB-IoT capability as specified in subclause 4.9 and search for a suitable cell in E-UTRAN radio access technology;

- otherwise, the UE shall search for a suitable cell in another tracking area or in another location area according to 3GPP TS 36.304 [21].

The UE shall indicate the Update type IE "combined TA/LA updating with IMSI attach" when performing the tracking area updating procedure.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the MM parameters update status and the location update attempt counter, and the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status, 5G-GUTI, last visited registered TAI, TAI list and ngKSI as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#22 (Congestion);

If the T3346 value IE is present in the TRACKING AREA UPDATE REJECT message and the value indicates that this timer is neither zero nor deactivated, the UE shall proceed as described below, otherwise it shall be considered as an abnormal case and the behaviour of the UE for this case is specified in subclause 5.5.3.3.6.

The UE shall abort the tracking area updating procedure, reset the tracking area updating attempt counter and set the EPS update status to EU2 NOT UPDATED. If the rejected request was not for initiating a PDN connection for emergency bearer services, the UE shall change to state EMM-REGISTERED.ATTEMPTING-TO-UPDATE.

The UE shall stop timer T3346 if it is running.

If the TRACKING AREA UPDATE REJECT message is integrity protected, the UE shall start timer with the value provided in the T3346 value IE.

If the TRACKING AREA UPDATE REJECT message is not integrity protected, the UE shall start timer T3346 with a random value from the default range specified in 3GPP TS 24.008 [13].

The UE stays in the current serving cell and applies the normal cell reselection process. The tracking area updating procedure is started, if still necessary, when timer T3346 expires or is stopped.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the tracking area updating procedure was initiated for an MO MMTEL voice call is started, then a notification that the request was not accepted due to network congestion shall be provided to upper layers.

NOTE 4: This can result in the upper layers requesting establishment of the originating voice call on an alternative manner e.g. requesting establishment of a CS voice call (see 3GPP TS 24.173 [13E]).

If the UE is operating in single-registration mode, the UE shall in addition handle the 5GMM parameters 5GMM state, 5GS update status and registration attempt counter as specified in 3GPP TS 24.501 [54] for the case when the registration procedure for mobility and periodic registration update performed over 3GPP access and indicating "mobility registration updating" in the 5GS registration type IE of the REGISTRATION REQUEST message is rejected with the 5GMM cause with the same value.

#25 (Not authorized for this CSG);

EMM cause #25 is only applicable when received from a CSG cell. EMM cause #25 received from a non-CSG cell is considered as an abnormal case and the behaviour of the UE is specified in subclause 5.5.3.3.6.

The UE shall set the EPS update status to EU3 ROAMING NOT ALLOWED (and store it according to subclause 5.1.3.3). The UE shall reset the tracking area updating attempt counter and shall enter the state EMM-REGISTERED.LIMITED-SERVICE.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message are contained in the Allowed CSG list, the UE shall remove the entry corresponding to this CSG ID and associated PLMN identity from the Allowed CSG list.

If the CSG ID and associated PLMN identity of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message are contained in the Operator CSG list, the UE shall apply the procedures defined in 3GPP TS 23.122 [6] subclause 3.1A.

The UE shall search for a suitable cell according to 3GPP TS 36.304 [21].

The UE shall indicate the Update type IE "combined TA/LA updating with IMSI attach" when performing the tracking area updating procedure.

If A/Gb mode or Iu mode is supported by the UE, the UE shall handle the MM parameters update status and the location update attempt counter, and the GMM parameters GMM state, GPRS update status and routing area updating attempt counter as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause with the same value.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-REGISTERED and set the 5GS update status to 5U3 ROAMING NOT ALLOWED.

#40 (No EPS bearer context activated);

The UE shall deactivate all the EPS bearer contexts locally, if any, and shall enter the state EMM-DEREGISTERED.NORMAL-SERVICE.

If there is a CS fallback emergency call pending or CS fallback call pending, or a paging for CS fallback, the UE shall attempt to select GERAN or UTRAN radio access technology. If the UE finds a suitable GERAN or UTRAN cell, it then proceeds with the appropriate MM and CC specific procedures; otherwise, if there is a CS fallback emergency call or CS fallback call pending, the EMM sublayer shall indicate the abort of the EMM procedure to the MM sublayer.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, the UE shall select cdma2000® 1x radio access technology. The UE then proceeds with appropriate cdma2000® 1x CS procedures.

If there is a 1xCS fallback emergency call pending or 1xCS fallback call pending, or a paging for 1xCS fallback, and the UE has dual Rx/Tx configuration and supports enhanced 1xCS fallback, the UE shall perform a new attach procedure.

If there is no CS fallback emergency call pending, CS fallback call pending, 1xCS fallback emergency call pending, 1xCS fallback call pending, paging for CS fallback, or paging for 1xCS fallback, the UE shall perform a new attach procedure.

NOTE 5: User interaction is necessary in some cases when the UE cannot re-activate the EPS bearer(s) automatically.

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition handle the GMM state as specified in 3GPP TS 24.008 [13] for the case when the combined routing area updating procedure is rejected with the GMM cause value #10 "Implicitly detached".

A UE in CS/PS mode 1 or CS/PS mode 2 of operation which is already IMSI attached for non-EPS services is still IMSI attached for non-EPS services.

A UE in CS/PS mode 1 or CS/PS mode 2 of operation shall set the update status to U2 NOT UPDATED.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED.

#42 (Severe network failure);

The UE shall set the EPS update status to EU2 NOT UPDATED, and shall delete any GUTI, last visited registered TAI, TAI list, eKSI, and list of equivalent PLMNs, and set the tracking area updating attempt counter to 5. The UE shall start an implementation specific timer, setting its value to 2 times the value of T as defined in 3GPP TS 23.122 [6]. While this timer is running, the UE shall not consider the PLMN + RAT combination that provided this reject cause as a candidate for PLMN selection. The UE then enters state EMM-DEREGISTERED.PLMN-SEARCH in order to perform a PLMN selection according to 3GPP TS 23.122 [6].

If A/Gb mode or Iu mode is supported by the UE, the UE shall in addition set the GMM state to GMM-DEREGISTERED, GPRS update status to GU2 NOT UPDATED, MM update status to U2 NOT UPDATED, and shall delete the P-TMSI, P-TMSI signature, RAI, GPRS ciphering key sequence number, LAI, TMSI and ciphering key sequence number.

If the UE is operating in single-registration mode, the UE shall in addition set the 5GMM state to 5GMM-DEREGISTERED, 5GS update status to 5U2 NOT UPDATED, and shall delete any 5G-GUTI, last visited registered TAI, TAI list and ngKSI.

Other values are considered as abnormal cases. The behaviour of the UE in those cases is specified in subclause 5.5.3.3.6.

\* \* \* End of Change \* \* \* \*