**3GPP TSG-CT WG1 Meeting #125-eC1-20wxyz**

**Electronic meeting, 20-28 August 2020 Revision of C1-205125**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **24.501** | **CR** | **2585** | **rev** | **1** | **Current version:** | **16.5.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network | **x** |

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|  |
| ***Title:***  | The suggestion on back-off timer for 5GSM #29 |
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| ***Source to WG:*** | China Mobile |
| ***Source to TSG:*** | C1 |
|  |  |
| ***Work item code:*** | 5GProtoc17 |  | ***Date:*** | 2020-08-25 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)* |
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| ***Reason for change:*** | According to TS 33.501 and TS 23.501:1. The secondary authentication between the UE and an external data network (DN) is per DNN.
2. Secondary authentication indication in the subscription is DNN granularity.
3. The DN-AAA server may authenticate/authorize the PDU Session Establishment.
4. At any time, a DN-AAA server may revoke the authorization for a PDU Session or update DN Authorization Data for a PDU Session. According to the request from DN-AAA server, the SMF may release or update the PDU Session.

If the secondary authentication during the PDU Session Establishment or re-authentication for a PDU Session is failed, or if the authorization for a PDU Session is revoked, it’s meaningless for UE to send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN unlimitedly.It is suggested the network include the back-off timer in the PDU SESSION ESTABLISHMENT REJECT message and the PDU SESSION RELEASE REQUEST message when the 5GSM cause is #29. |
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| ***Summary of change:*** | 1. Specify that the network include the back-off timer in the PDU SESSION ESTABLISHMENT REJECT message and the PDU SESSION RELEASE REQUEST message when the 5GSM cause is #29.
2. Add UE handling for the back-off timer when PDU SESSION RELEASE COMMAND message with the 5GSM #29 and back-off timer IE is received
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| ***Consequences if not approved:*** | UE could send PDU SESSION ESTABLISHMENT REQUEST message unlimitedly for the same DNN cannot be authorized. |
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| ***Clauses affected:*** | 6.3.3.2, 6.3.3.3, 6.4.1.4.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | Add UE handling for the back-off timer when PDU SESSION RELEASE COMMAND message with the 5GSM #29 and back-off timer IE is received |

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#### 6.3.3.2 Network-requested PDU session release procedure initiation

In order to initiate the network-requested PDU session release procedure, the SMF shall create a PDU SESSION RELEASE COMMAND message.

The SMF shall set the 5GSM cause IE of the PDU SESSION RELEASE COMMAND message to indicate the reason for releasing the PDU session.

The 5GSM cause IE typically indicates one of the following 5GSM cause values:

#8 operator determined barring;

#26 insufficient resources;

#29 user authentication or authorization failed;

#36 regular deactivation;

#38 network failure;

#39 reactivation requested;

#46 out of LADN service area;

#67 insufficient resources for specific slice and DNN;

#69 insufficient resources for specific slice.

If the selected SSC mode of the PDU session is "SSC mode 2" and the SMF requests the relocation of SSC mode 2 PDU session anchor with different PDU sessions as specified in 3GPP TS 23.502 [9], the SMF shall include 5GSM cause #39 "reactivation requested".

If the network-requested PDU session release procedure is triggered by a UE-requested PDU session release procedure, the SMF shall set the PTI IE of the PDU SESSION RELEASE COMMAND message to the PTI of the PDU SESSION RELEASE REQUEST message received as part of the UE-requested PDU session release procedure.

If the network-requested PDU session release procedure is not triggered by a UE-requested PDU session release procedure, the SMF shall set the PTI IE of the PDU SESSION RELEASE COMMAND message to "No procedure transaction identity assigned".

Based on the local policy and user's subscription data, if the SMF decides to release the PDU session after determining:

a) the UE has moved between a tracking area in NB-N1 mode and a tracking area in WB-N1 mode;

b) the UE has moved between a tracking area in NB-S1 mode and a tracking area in WB-N1 mode;

c) the UE has moved between a tracking area in WB-S1 mode and a tracking area in NB-N1 mode; or

d) a PDU session is not only for control plane CIoT 5GS optimization any more,

the SMF shall:

a) include the 5GSM cause value #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION RELEASE COMMAND message; or

b) include a 5GSM cause value other than #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION RELEASE COMMAND message.

NOTE: The included 5GSM cause value is up to the network implementation.

If the SMF receives UE presence in LADN service area from the AMF indicating that the UE is out of the LADN service area and the SMF decides to release the PDU session, the SMF shall include the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION RELEASE COMMAND message. Upon receipt of the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION RELEASE COMMAND message, the UE shall release the PDU session.

The SMF may include a Back-off timer value IE in the PDU SESSION RELEASE COMMAND message when the 5GSM cause value #26 "insufficient resources" is included in the PDU SESSION RELEASE COMMAND message. If the 5GSM cause value is #26 "insufficient resources" and the PDU SESSION RELEASE COMMAND message is sent to a UE configured for high priority access in selected PLMN or the request type was set to "initial emergency request" or "existing emergency PDU session" for the establishment of the PDU session, the network shall not include a Back-off timer value IE.

The SMF may include a Back-off timer value IE in the PDU SESSION RELEASE COMMAND message when the 5GSM cause value #67 "insufficient resources for specific slice and DNN" is included in the PDU SESSION RELEASE COMMAND message. If the 5GSM cause value is #67 "insufficient resources for specific slice and DNN" and the PDU SESSION RELEASE COMMAND message is sent to a UE configured for high priority access in selected PLMN or the request type was set to "initial emergency request" or "existing emergency PDU session" for the establishment of the PDU session, the network shall not include a Back-off timer value IE.

The SMF may include a Back-off timer value IE in the PDU SESSION RELEASE COMMAND message when the 5GSM cause #69 "insufficient resources for specific slice" is included in the PDU SESSION RELEASE COMMAND message. If the 5GSM cause value is #69 "insufficient resources for specific slice" and the PDU SESSION RELEASE COMMAND message is sent to a UE configured for high priority access in selected PLMN or the request type was set to "initial emergency request" or "existing emergency PDU session" for the establishment of the PDU session, the network shall not include a Back-off timer value IE.

The SMF should include a Back-off timer value IE in the PDU SESSION RELEASE COMMAND message when the 5GSM cause value #29 "user authentication or authorization failed" is included in the PDU SESSION RELEASE COMMAND message.

The SMF shall send:

a) the PDU SESSION RELEASE COMMAND message; and

b) the N1 SM delivery skip allowed indication:

1) if the SMF allows the AMF to skip sending the N1 SM container to the UE and the 5GSM cause IE is not set to #39 "reactivation requested"; or

2) if the SMF allows the AMF to skip sending the N1 SM container to the UE and the Access type IE is not set to "3GPP access" or "non-3GPP access"

towards the AMF, and the SMF shall start timer T3592 (see example in figure 6.3.3.2.1).



Figure 6.3.3.2.1: Network-requested PDU session release procedure

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#### 6.3.3.3 Network-requested PDU session release procedure accepted by the UE

Upon receipt of a PDU SESSION RELEASE COMMAND message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE considers the PDU session as released and the UE shall create a PDU SESSION RELEASE COMPLETE message.

If the PDU SESSION RELEASE COMMAND message contains the PTI value allocated in the UE-requested PDU session release procedure, the UE shall stop the timer T3582. The UE should ensure that the PTI value assigned to this procedure is not released immediately.

NOTE 1: The way to achieve this is implementation dependent. For example, the UE can ensure that the PTI value assigned to this procedure is not released during the time equal to or greater than the default value of timer T3592.

While the PTI value is not released, the UE regards any received PDU SESSION RELEASE COMMAND message with the same PTI value as a network retransmission (see subclause 7.3.1).

If the PDU SESSION RELEASE COMMAND message includes 5GSM cause #39 "reactivation requested", then after completion of the network-requested PDU session release procedure, the UE should re-initiate the UE-requested PDU session establishment procedure as specified in subclause 6.4.1 for:

a) the PDU session type associated with the released PDU session;

b) the SSC mode associated with the released PDU session;

c) the DNN associated with the released PDU session; and

d) the S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI if provided in the UE-requested PDU session establishment procedure of the released PDU session.

NOTE 2: User interaction is necessary in some cases when the UE cannot re-initiate the UE-requested PDU session establishment procedure automatically.

If the PDU SESSION RELEASE COMMAND message is received without the Back-off timer value IE or includes 5GSM cause #39 "reactivation requested", and the UE provided an S-NSSAI during the PDU session establishment, the UE shall stop timer T3585 if it is running for the S-NSSAI provided by the UE. If the UE did not provide an S-NSSAI during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop the timer T3585 associated with no S-NSSAI if it is running. If the PDU SESSION RELEASE COMMAND message was received for an emergency PDU session, the UE shall not stop the timer T3585 associated with no S-NSSAI if it is running.

If the PDU SESSION RELEASE COMMAND message is received without the Back-off timer value IE or includes 5GSM cause #39 "reactivation requested", and the UE provided a DNN during the PDU session establishment, the UE shall stop timer T3396 if it is running for the DNN provided by the UE. If the UE did not provide a DNN during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop the timer T3396 associated with no DNN if it is running. If the PDU SESSION RELEASE COMMAND message was received for an emergency PDU session, the UE shall not stop the timer T3396 associated with no DNN if it is running.

If the PDU SESSION RELEASE COMMAND message is received without the Back-off timer value IE or includes 5GSM cause #39 "reactivation requested", and the UE provided an S-NSSAI and a DNN during the PDU session establishment, the UE shall stop timer T3584 if it is running for the [S-NSSAI of the PDU session, DNN] combination provided by the UE. If the UE did not provide an S-NSSAI during the PDU session establishment, the UE shall stop the timer T3584 associated with [no S-NSSAI, DNN] if it is running. If the UE did not provide a DNN during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop the timer T3584 associated with [S-NSSAI of the PDU session, no DNN] combination, if it is running. If the PDU SESSION RELEASE COMMAND message was received for an emergency PDU session, the UE shall not stop the timer T3584 associated with [S-NSSAI of the PDU session, no DNN] if it is running. If the UE provided neither a DNN nor an S-NSSAI during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop the timer T3584 associated with [no S-NSSAI, no DNN] if it is running. If the PDU SESSION RELEASE COMMAND message was received for an emergency PDU session, the UE shall not stop the timer T3584 associated with [no S-NSSAI, no DNN] if it is running.

NOTE 3: If the PDU SESSION RELEASE COMMAND message is received without the Back-off timer value IE or includes 5GSM cause #39 "reactivation requested" for a PDU session, the UE provided a DNN (or no DNN) and an S-NSSAI (or no S-NSSAI) when the PDU session is established, timer T3396 associated with the DNN (or no DNN, if no DNN was provided by the UE) is running, and timer T3584 associated with the DNN (or no DNN, if no DNN was provided by the UE) and the S-NSSAI of the PDU session (or no S-NSSAI, if no S-NSSAI was provided by the UE) is running, then the UE stops both the timer T3396 and the timer T3584.

NOTE 4: If the PDU SESSION RELEASE COMMAND message is received without the Back-off timer value IE or includes 5GSM cause #39 "reactivation requested" for a PDU session, the UE provided a DNN (or no DNN) and an S-NSSAI of the PDU session (or no S-NSSAI) when the PDU session is established, timer T3585 associated with the S-NSSAI (or no S-NSSAI, if no S-NSSAI was provided by the UE) is running, and timer T3584 associated with the DNN (or no DNN, if no DNN was provided by the UE) and the S-NSSAI of the PDU session (or no S-NSSAI, if no S-NSSAI was provided by the UE) is running, then the UE stops both the timer T3585 and the timer T3584.

If the PDU SESSION RELEASE COMMAND message includes 5GSM cause #26 "insufficient resources" and the Back-off timer value IE, the UE shall ignore the 5GSM congestion re-attempt indicator IE provided by the network, if any, and the UE shall take different actions depending on the timer value received for timer T3396 in the Back-off timer value:

a) If the timer value indicates neither zero nor deactivated and a DNN was provided during the PDU session establishment, the UE shall stop timer T3396 associated with the corresponding DNN, if it is running. If the timer value indicates neither zero nor deactivated and no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3396 associated with no DNN if it is running. The UE shall then start timer T3396 with the value provided in the Back-off timer value IE and:

1) shall not send a PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the same DNN that was sent by the UE, until timer T3396 expires or timer T3396 is stopped; and

2) shall not send a PDU SESSION ESTABLISHMENT REQUEST message without an DNN and with request type different from "initial emergency request" and different from "existing emergency PDU session", or a PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for a non-emergency PDU session established without an DNN provided by the UE, if no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", until timer T3396 expires or timer T3396 is stopped.

The UE shall not stop timer T3396 upon a PLMN change or inter-system change;

b) if the timer value indicates that this timer is deactivated and a DNN was provided during the PDU session establishment, the UE shall stop timer T3396 associated with the corresponding DNN, if it is running. If the timer value indicates that this timer is deactivated and no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3396 associated with no DNN if it is running. The UE:

1) shall not send a PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the same DNN until the UE is switched off or the USIM is removed, or the UE receives a PDU SESSION MODIFICATION COMMAND message for the same DNN from the network, or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for the same DNN from the network; and

2) shall not send a PDU SESSION ESTABLISHMENT REQUEST message without an DNN and with request type different from "initial emergency request" and different from "existing emergency PDU session", or a PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for a non-emergency PDU session established without an DNN provided by the UE, if no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", until the UE is switched off or the USIM is removed, or the UE receives a PDU SESSION MODIFICATION COMMAND message for a non-emergency PDU session established without an DNN provided by the UE, or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for a non-emergency PDU session established without an DNN provided by the UE.

The timer T3396 remains deactivated upon a PLMN change or inter-system change; and

c) if the timer value indicates zero, the UE:

1) shall stop timer T3396 associated with the corresponding DNN, if running, and may send a PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the same DNN; and

2) if no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3396 associated with no DNN, if running, and may send a PDU SESSION ESTABLISHMENT REQUEST message without a DNN, or a PDU SESSION MODIFICATION REQUEST message without an DNN provided by the UE.

If the PDU SESSION RELEASE COMMAND message includes 5GSM cause #26 "insufficient resources" and the Back-off timer value IE is not included, then the UE may send a PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the same DNN or without a DNN.

When the timer T3396 is running or the timer is deactivated, the UE is allowed to initiate a PDU session establishment procedure for emergency services.

If the timer T3396 is running when the UE enters state 5GMM-DEREGISTERED, the UE remains switched on, and the USIM in the UE remains the same, then timer T3396 is kept running until it expires or it is stopped.

If the UE is switched off when the timer T3396 is running, and if the USIM in the UE remains the same when the UE is switched on, the UE shall behave as follows:

- let t1 be the time remaining for T3396 timeout at switch off and let t be the time elapsed between switch off and switch on. If t1 is greater than t, then the timer shall be restarted with the value t1 – t. If t1 is equal to or less than t, then the timer need not be restarted. If the UE is not capable of determining t, then the UE shall restart the timer with the value t1.

If the 5GSM cause value is #39 "reactivation requested", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any.

If the 5GSM cause value is #67 "insufficient resources for specific slice and DNN" and the Back-off timer value IE is included, the UE shall take different actions depending on the timer value received for timer T3584 in the Back-off timer value:

a) If the timer value indicates neither zero nor deactivated, and both an S-NSSAI and a DNN were provided by the UE during the PDU session establishment the UE shall stop timer T3584 associated with the [S-NSSAI of the PDU session, DNN] combination, if it is running. If the timer value indicates neither zero nor deactivated, an S-NSSAI and no DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3584 associated with [S-NSSAI of the PDU session, no DNN] combination, if it is running. If the timer value indicates neither zero nor deactivated, no S-NSSAI and a DNN was provided during the PDU session establishment, the UE shall stop timer T3584 associated with the [no S-NSSAI, DNN] combination, if it is running. If the timer value indicates neither zero nor deactivated and neither S-NSSAI nor DNN was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3584 associated with the [no S-NSSAI, no DNN] combination, if it is running. The UE shall then start timer T3584 with the value provided in the Back-off timer value IE.

1) The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or PDU SESSION MODIFICATION REQUEST message with the exception of those identified in subclause 6.4.2.1, for the [S-NSSAI of the PDU session, DNN] combination, until timer T3584 expires or timer T3584 is stopped;

2) shall not send another PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or another PDU SESSION MODIFICATION REQUEST message with the exception of those identified in subclause 6.4.2.1, for the [S-NSSAI of the PDU session, no DNN] combination, if no DNN was provided during the PDU session establishment, until timer T3584 expires or timer T3584 is stopped;

3) shall not send another PDU SESSION ESTABLISHMENT REQUEST message, or another PDU SESSION MODIFICATION REQUEST message with the exception of those identified in subclause 6.4.2.1, for the same [no S-NSSAI, DNN] combination, if no S-NSSAI was provided during the PDU session establishment, until timer T3584 expires or timer T3584 is stopped; and

4) shall not send another PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or another PDU SESSION MODIFICATION REQUEST message with the exception of those identified in subclause 6.4.2.1, for the same [no S-NSSAI, no DNN] combination, if neither S-NSSAI nor DNN was provided during the PDU session establishment, until timer T3584 expires or timer T3584 is stopped.

The UE shall not stop timer T3584 upon a PLMN change or inter-system change;

b) if the timer value indicates that this timer is deactivated:

1) if both S-NSSAI and DNN were provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [S-NSSAI of the PDU session, DNN] combination, if it is running. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the [S-NSSAI of the PDU session, DNN] combination that was sent by the UE, until the UE is switched off or the USIM is removed, or the UE receives a PDU SESSION MODIFICATION REQUEST message for the [S-NSSAI of the PDU session, DNN] combination from the network or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for the [S-NSSAI of the PDU session, DNN] combination from the network;

2) if an S-NSSAI was provided but a DNN was not provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [S-NSSAI of the PDU session, no DNN] combination, if it is running. The UE shall not send a PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or a PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the [S-NSSAI of the PDU session, no DNN] combination, if no DNN was provided during the PDU session establishment, until the UE is switched off or the USIM is removed, or the UE receives an PDU SESSION MODIFICATION COMMAND message for a non-emergency PDU session established for the [S-NSSAI of the PDU session, no DNN] combination from the network or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for a non-emergency PDU session established for the [S-NSSAI of the PDU session, no DNN] combination from the network;

3) if an S-NSSAI was not provided but a DNN was provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [no S-NSSAI, DNN], if it is running. The UE shall not send a PDU SESSION ESTABLISHMENT REQUEST message, or a PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the [no S-NSSAI, DNN], if no S-NSSAI was provided during the PDU session establishment, until the UE is switched off or the USIM is removed, or the UE receives an PDU SESSION MODIFICATION COMMAND message for the [no S-NSSAI, DNN] combination from the network or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for the same [no S-NSSAI, DNN] combination from the network; and

4) if neither S-NSSAI nor DNN were provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [no S-NSSAI, no DNN] combination, if it is running. The UE shall not send a PDU SESSION ESTABLISHMENT REQUEST message with request type different from "initial emergency request" and different from "existing emergency PDU session", or a PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the [no S-NSSAI, no DNN] combination, if neither S-NSSAI nor DNN was provided during the PDU session establishment, until the UE is switched off or the USIM is removed, or the UE receives an PDU SESSION MODIFICATION COMMAND message for a non-emergency PDU session established for the [no S-NSSAI, no DNN] combination from the network or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for a non-emergency PDU session established for the [no S-NSSAI, no DNN] combination from the network.

The timer T3584 remains deactivated upon a PLMN change or inter-system change; and

c) if the timer value indicates zero:

1) if both S-NSSAI and DNN were provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [S-NSSAI of the PDU session, DNN] combination, if running, and may send another PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the [S-NSSAI of the PDU session, DNN] combination;

2) if an S-NSSAI was provided but a DNN was not provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [S-NSSAI of the PDU session, no DNN] combination, if it is running. The UE may send another PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the [S-NSSAI of the PDU session, no DNN] combination if the request type was different from "initial emergency request" and different from "existing emergency PDU session";

3) if an S-NSSAI was not provided but a DNN was provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [no S-NSSAI, DNN] combination, if it is running. The UE may send another PDU SESSION ESTABLISHMENT REQUEST message, or PDU SESSION MODIFICATION REQUEST message for the [no S-NSSAI, DNN] combination; and

4) if neither S-NSSAI nor DNN were provided by the UE during the PDU session establishment, the UE shall stop timer T3584 associated with the [no S-NSSAI, no DNN] combination, if it is running. The UE may send another PDU SESSION ESTABLISHMENT REQUEST message, or PDU SESSION MODIFICATION REQUEST message for the [no S-NSSAI, no DNN] combination if the request type was different from "initial emergency request" and different from "existing emergency PDU session".

If the 5GSM congestion re-attempt indicator IE set to "The back-off timer is applied in all PLMNs" is included in the PDU SESSION RELEASE COMMAND message with the 5GSM cause value #67 "insufficient resources for specific slice and DNN", then the UE shall apply the timer T3584 for all the PLMNs. Otherwise, the UE shall apply the timer T3584 for the registered PLMN.

If the 5GSM cause value is #67 "insufficient resources for specific slice and DNN" and the Back-off timer value IE is not included, then the UE may send another PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the same [S-NSSAI, DNN] combination.

When the timer T3584 is running or the timer is deactivated, the UE is allowed to initiate a PDU session establishment procedure for emergency services.

If the timer T3584 is running when the UE enters state 5GMM-DEREGISTERED, the UE remains switched on, and the USIM in the UE remains the same, then timer T3584 is kept running until it expires or it is stopped.

If the UE is switched off when the timer T3584 is running, and if the USIM in the UE remains the same when the UE is switched on, the UE shall behave as follows:

- let t1 be the time remaining for T3584 timeout at switch off and let t be the time elapsed between switch off and switch on. If t1 is greater than t, then the timer shall be restarted with the value t1 – t. If t1 is equal to or less than t, then the timer need not be restarted. If the UE is not capable of determining t, then the UE shall restart the timer with the value t1.

If the 5GSM cause value is #69 "insufficient resources for specific slice" and the Back-off timer value IE is included, the UE shall take different actions depending on the timer value received for timer T3585 in the Back-off timer value:

a) If the timer value indicates neither zero nor deactivated and an S-NSSAI was provided during the PDU session establishment, the UE shall stop timer T3585 associated with the S-NSSAI of the PDU session, if it is running. If the timer value indicates neither zero nor deactivated and no S-NSSAI was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3585 associated with no S-NSSAI if it is running. The UE shall then start timer T3585 with the value provided in the Back-off timer value IE and:

1) if an S-NSSAI was provided by the UE during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message, or PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for the S-NSSAI of the PDU session, until timer T3585 expires or timer T3585 is stopped; and

2) if the request type was different from "initial emergency request" and from "existing emergency PDU session", and an S-NSSAI was not provided by the UE during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message without an S-NSSAI and with request type different from "initial emergency request" and different from "existing emergency PDU session", or another PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for a non-emergency PDU session established without an S-NSSAI provided by the UE, until timer T3585 expires or timer T3585 is stopped.

The UE shall not stop timer T3585 upon a PLMN change or inter-system change;

b) if the timer value indicates that this timer is deactivated and an S-NSSAI was provided during the PDU session establishment, the UE shall stop timer T3585 associated with the S-NSSAI of the PDU session, if it is running. If the timer value indicates that this timer is deactivated and no S-NSSAI was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3585 associated with no S-NSSAI if it is running. In addition:

1) if an S-NSSAI was provided by the UE during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST, or PDU SESSION MODIFICATION REQUEST with exception of those identified in subclause 6.4.2.1, for the S-NSSAI of the PDU session until the UE is switched off or the USIM is removed, or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for the S-NSSAI of the PDU session from the network; and

2) if the request type was different from "initial emergency request" and from "existing emergency PDU session", and an S-NSSAI was not provided by the UE during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message without an S-NSSAI and with request type different from "initial emergency request" and different from "existing emergency PDU session", or another PDU SESSION MODIFICATION REQUEST message with exception of those identified in subclause 6.4.2.1, for a non-emergency PDU session established without an S-NSSAI provided by the UE, , until the UE is switched off or the USIM is removed, or a PDU SESSION RELEASE COMMAND message without the Back-off timer value IE or including 5GSM cause #39 "reactivation requested" for a non-emergency PDU session established without an S-NSSAI provided by the UE.

The timer T3585 remains deactivated upon a PLMN change or inter-system change; and

c) if the timer value indicates zero:

1) if an S-NSSAI was provided by the UE during the PDU session establishment, the UE shall stop timer T3585 associated with the S-NSSAI of the PDU session, if running, and may send another PDU SESSION ESTABLISHMENT REQUEST, or PDU SESSION MODIFICATION REQUEST message for the S-NSSAI of the PDU session; and

2) if no S-NSSAI was provided during the PDU session establishment and the request type was different from "initial emergency request" and different from "existing emergency PDU session", the UE shall stop timer T3585 associated with no S-NSSAI, if running, and may send another PDU SESSION ESTABLISHMENT REQUEST message without an S-NSSAI, or another PDU SESSION MODIFICATION REQUEST message without an S-NSSAI provided by the UE.

Editor’s note [CR#2326, WID 5GProtoc]: For all the cases in this subclause, changes to the handling of the timers T3584 and T3585 for the scenario when the UE provided no S-NSSAI during PDU session establishment are FFS.

If the 5GSM congestion re-attempt indicator IE set to "The back-off timer is applied in all PLMNs" is included in the PDU SESSION RELEASE COMMAND message with the 5GSM cause value #69 "insufficient resources for specific slice", then the UE shall apply the timer T3585 for all the PLMNs. Otherwise, the UE shall apply the timer T3585 for the registered PLMN.

If the 5GSM cause value is #69 "insufficient resources for specific slice" and the Back-off timer value IE is not included, then the UE may send another PDU SESSION ESTABLISHMENT REQUEST message or PDU SESSION MODIFICATION REQUEST message for the same S-NSSAI or without an S-NSSAI.

When the timer T3585 is running or the timer is deactivated, the UE is allowed to initiate a PDU session establishment procedure for emergency services.

If the timer T3585 is running when the UE enters state 5GMM-DEREGISTERED, the UE remains switched on, and the USIM in the UE remains the same, then timer T3585 is kept running until it expires or it is stopped.

If the UE is switched off when the timer T3585 is running, and if the USIM in the UE remains the same when the UE is switched on, the UE shall behave as follows:

- let t1 be the time remaining for T3585 timeout at switch off and let t be the time elapsed between switch off and switch on. If t1 is greater than t, then the timer shall be restarted with the value t1 – t. If t1 is equal to or less than t, then the timer need not be restarted. If the UE is not capable of determining t, then the UE shall restart the timer with the value t1.

NOTE 5: As described in this subclause, upon PLMN change or inter-system change, the UE does not stop the timer T3584 or T3585. This means the timer T3584 or T3585 can still be running or be deactivated for the given 5GSM procedure, the PLMN, the S-NSSAI and optionally the DNN combination when the UE returns to the PLMN or when it performs inter-system change back from S1 mode to N1 mode. Thus the UE can still be prevented from sending another PDU SESSION ESTABLISHMENT REQUEST or PDU SESSION MODIFICATION REQUEST message in the PLMN for the same S-NSSAI and optionally the same DNN.

Upon PLMN change, if T3584 is running or is deactivated for an S-NSSAI, a DNN, and old PLMN, but T3584 is not running and is not deactivated for the S-NSSAI, the DNN, and new PLMN, then the UE is allowed to send a PDU SESSION ESTABLISHMENT REQUEST message for the same S-NSSAI and the same DNN in the new PLMN.

Upon PLMN change, if T3585 is running or is deactivated for an S-NSSAI and old PLMN, but T3585 is not running and is not deactivated for the S-NSSAI and new PLMN, then the UE is allowed to send a PDU SESSION ESTABLISHMENT REQUEST message for the same S-NSSAI in the new PLMN.

If the PDU SESSION RELEASE COMMAND message includes 5GSM cause #29 "user authentication or authorization failed "and the Back-off timer value IE, the UE shall behave as follows:

a) if the timer value indicates neither zero nor deactivated and a DNN was provided during the PDU session establishment, the UE shall start the back-off timer with the value provided in the Back-off timer value IE for the PDU session establishment procedure and [PLMN, DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; and

b) if the timer value indicates that this timer is deactivated and a DNN was provided during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

c) if the timer value indicates zero, the UE may send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN] in the current PLMN.

The UE shall not stop any back-off timer:

a) upon a PLMN change;

b) upon an inter-system change; or

c) upon registration over another access type.

Upon receipt of the PDU SESSION RELEASE COMMAND with the Access type IE, the UE shall behave as follows:

a) if the PDU session is an MA PDU session established and has user-plane resources established on both 3GPP access and non-3GPP access, the UE shall consider the user-plane resources on the access indicated in the Access type IE as released and shall create a PDU SESSION RELEASE COMPLETE message;

b) if the PDU session is an MA PDU session and has user-plane resources established on only the access indicated in the Access type IE, the UE shall consider the MA PDU session as released and shall create a PDU SESSION RELEASE COMPLETE message.

The UE shall transport the PDU SESSION RELEASE COMPLETE message and the PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5.

Upon receipt of a PDU SESSION RELEASE COMPLETE message, the SMF shall stop timer T3592 and shall consider the PDU session as released.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* NEXT CHANGE \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

##### 6.4.1.4.1 General

If the connectivity with the requested DN is rejected by the network, the SMF shall create a PDU SESSION ESTABLISHMENT REJECT message.

The SMF shall set the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message to indicate the reason for rejecting the PDU session establishment.

The 5GSM cause IE typically indicates one of the following SM cause values:

#8 operator determined barring;

#26 insufficient resources;

#27 missing or unknown DNN;

#28 unknown PDU session type;

#29 user authentication or authorization failed;

#31 request rejected, unspecified;

#32 service option not supported;

#33 requested service option not subscribed;

#35 PTI already in use;

#38 network failure;

#39 reactivation requested;

#46 out of LADN service area;

#50 PDU session type IPv4 only allowed;

#51 PDU session type IPv6 only allowed;

#54 PDU session does not exist;

#57: PDU session type IPv4v6 only allowed;

#58: PDU session type Unstructured only allowed;

#61: PDU session type Ethernet only allowed;

#67 insufficient resources for specific slice and DNN;

#68 not supported SSC mode;

#69 insufficient resources for specific slice;

#70 missing or unknown DNN in a slice;

#82 maximum data rate per UE for user-plane integrity protection is too low; or

#95 – 111 protocol errors.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, are limited to IPv4 only for the requested DNN, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv6", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, are limited to IPv6 only for the requested DNN, the SMF shall include the 5GSM cause value #51 "PDU session type IPv6 only allowed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4", and the subscription, the SMF configuration, or both, support none of "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", and the subscription, the SMF configuration, or both, support none of "IPv4v6", "IPv4" and "IPv6" PDU session types for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "Unstructured" or "Ethernet", and the subscription, the SMF configuration, or both, do not support the PDU session type for the requested DNN, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and includes a PDU session type IE set to "Unstructured", and the SMF configuration does not support the PDU session type, the SMF shall include the 5GSM cause value #28 "unknown PDU session type" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message contains the SSC mode IE indicating an SSC mode not supported by the subscription, the SMF configuration, or both of them, and the SMF decides to rejects the PDU session establishment, the SMF shall include the 5GSM cause value #68 "not supported SSC mode" in the 5GSM cause IE and the SSC modes allowed by SMF in the Allowed SSC mode IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the PDU SESSION ESTABLISHMENT REQUEST message is to establish an MA PDU session and MA PDU session is not allowed due to operator policy and subscription, and the SMF decides to reject the PDU session establishment, the SMF shall include the 5GSM cause value #33 "requested service option not subscribed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

In 3GPP access, if the operator's configuration requires user-plane integrity protection for the PDU session and, the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink or the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink, or both, are lower than required by the operator's configuration, the SMF shall include the 5GSM cause value #82 "maximum data rate per UE for user-plane integrity protection is too low" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the UE requests a PDU session establishment for an LADN when the UE is located outside of the LADN service area, the SMF shall include the 5GSM cause value #46 "out of LADN service area" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

If the DN authentication of the UE was performed and completed unsuccessfully, the SMF shall include the 5GSM cause value #29 "user authentication or authorization failed" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message and shall set the EAP message IE of the PDU SESSION ESTABLISHMENT REJECT message to an EAP-failure message as specified in IETF RFC 3748 [34], provided by the DN.

Based on the local policy and user's subscription data, if a PDU session is being established with the request type set to "existing PDU session" and the SMF determines the UE has:

a) moved between a tracking area in NB-N1 mode and a tracking area in WB-N1 mode;

b) moved between a tracking area in NB-S1 mode and a tracking area in WB-N1 mode; or

c) moved between a tracking area in WB-S1 mode and a tracking area in NB-N1 mode,

the SMF may reject the PDU SESSION ESTABLISHMENT REQUEST message and:

a) include the 5GSM cause value #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message; or

b) include a 5GSM cause value other than #39 "reactivation requested" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

NOTE 1: The included 5GSM cause value is up to the network implementation.

If the PDU session cannot be established due to resource unavailability in the UPF, the SMF shall include the 5GSM cause value #26 "insufficient resources" in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT REJECT message.

The network may include a Back-off timer value IE in the PDU SESSION ESTABLISHMENT REJECT message.

If the 5GSM cause value is #26 "insufficient resources", #67 "insufficient resources for specific slice and DNN", or #69 "insufficient resources for specific slice" and the PDU SESSION ESTABLISHMENT REQUEST message was received from a UE configured for high priority access in selected PLMN or the request type provided during the PDU session establishment is set to "initial emergency request" or "existing emergency PDU session", the network shall not include a Back-off timer value IE.

If the 5GSM cause value is #29 "user authentication or authorization failed ", the network should include a Back-off timer value IE.

If the Back-off timer value IE is included and the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #46 "out of LADN service area", "#50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", the network may include the Re-attempt indicator IE to indicate whether the UE is allowed to attempt a PDN connectivity procedure in the PLMN for the same DNN in S1 mode, and whether another attempt in S1 mode or in N1 mode is allowed in an equivalent PLMN.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the network may include the Re-attempt indicator IE without Back-off timer value IE to indicate whether the UE is allowed to attempt a PDU session establishment procedure in an equivalent PLMN in N1 mode using the same PDU session type for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE).

The SMF shall send the SM PDU SESSION ESTABLISHMENT REJECT message.

Upon receipt of a PDU SESSION ESTABLISHMENT REJECT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580 shall release the allocated PTI value and shall consider that the PDU session was not established.

If the PDU SESSION ESTABLISHMENT REQUEST message was sent with request type set to "initial emergency request" or "existing emergency PDU session" and the UE receives a PDU SESSION ESTABLISHMENT REJECT message, then the UE may:

a) inform the upper layers of the failure of the procedure; or

NOTE 2: This can result in the upper layers requesting another emergency call attempt using domain selection as specified in 3GPP TS 23.167 [6].

b) de-register locally, if not de-registered already, attempt initial registration for emergency services.

If the PDU SESSION ESTABLISHMENT REJECT message includes 5GSM cause #39 "reactivation requested" and the PDU session is being transferred from EPS to 5GS and established with the request type set to "existing PDU session", the UE should re-initiate the UE-requested PDU session establishment procedure as specified in subclause 6.4.1 for:

a) the PDU session type associated with the transferred PDU session;

b) the SSC mode associated with the transferred PDU session;

c) the DNN associated with the transferred PDU session; and

d) the S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI if provided in the UE-requested PDU session establishment procedure of the transferred PDU session.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* END of CHANGE \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*