

**Source:** TSG CN WG4  
**Title:** Corrections on IP-based Multimedia services Cx-/Dx-interface  
**Agenda item:** 8.1  
**Document for:** APPROVAL

Spec	CR	Rev	Doc-2nd-Level	Phase	Subject	Cat	Ver_C
29.229	021	1	N4-031220	Rel-5	The S-CSCF name needs to be checked always in MAR and SAR	F	5.5.0
29.228	054	3	N4-031280	Rel-5	The S-CSCF name needs to be checked always in MAR	F	5.5.0
29.228	061	1	N4-031281	Rel-6	The S-CSCF name needs to be checked always in MAR	A	6.0.0
29.228	062		N4-031222	Rel-5	Conditional AVPs in answer commands	F	5.5.0
29.228	063		N4-031223	Rel-6	Conditional AVPs in answer commands	A	6.0.0
29.229	027		N4-031241	Rel-5	User-Authorization-Type	F	5.5.0
29.228	066		N4-031237	Rel-5	Determination of User-Authorization-Type AVP based on registration expiration	F	5.5.0
29.228	067		N4-031238	Rel-6	Determination of User-Authorization-Type AVP based on registration expiration	A	6.0.0
29.228	064	1	N4-031283	Rel-5	Server-Assignment-Request	F	5.5.0
29.228	065	1	N4-031284	Rel-6	Server-Assignment-Request	A	6.0.0
29.228	068	2	N4-031304	Rel-5	Not registered state after deregistration with S-CSCF deleted at the HSS	F	5.5.0
29.228	069	2	N4-031305	Rel-6	Not registered state after deregistration with S-CSCF deleted at the HSS	A	6.0.0
29.228	059	1	N4-031310	Rel-5	MAR in synchronisation failure case	F	5.5.0
29.228	060	1	N4-031311	Rel-6	MAR in synchronisation failure case	A	6.0.0
29.228	070		N4-031357	Rel-5	The extensibility of the XML schema	F	5.5.0
29.228	071		N4-031358	Rel-6	The extensibility of the XML schema	A	6.0.0

## CHANGE REQUEST

⌘ **29.228 CR 054** ⌘ rev **3** ⌘ Current version: **5.5.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ The S-CSCF name needs to be checked always in MAR		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 23/10/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ <b>This is an essential correction.</b> The HSS needs to verify that the MAR command comes from the S-CSCF that is assigned for the user.
<b>Summary of change:</b>	⌘ The HSS checks the S-CSCF name also in the resynchronisation case of the MAR command.
<b>Consequences if not approved:</b>	⌘ In the resynchronisation case the MAR command could come from a different S-CSCF than what is assigned for the user, so the RAND parameter sent from the S-CSCF to the HSS will be different from the RAND sent previously to the user, which is against approved S3-030616/N4-031249.

<b>Clauses affected:</b>	⌘ 6.3.1 and 8.1										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">X</td> <td style="text-align: center;"></td> </tr> <tr> <td style="text-align: center;"></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;"></td> <td style="text-align: center;">X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ 29.229 (CR 021)
Y	N										
X											
	X										
	X										
		Test specifications									
		O&M Specifications									
<b>Other comments:</b>	⌘										

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

**Table 6.3.3: Authentication Data content – request, synchronization failure**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce and auts binary encoded.

**Table 6.3.4: Authentication answer**

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	M	User public identity
Private User Identity (See 7.3)	User-Name	M	User private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	Number of authentication vectors delivered in the Authentication Data information element
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

**Table 6.3.5: Authentication Data content – response**

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

### 6.3.1 Detailed behaviour

The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check that the private and public identities belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITYES\_DONT\_MATCH.
3. Check that the authentication scheme indicated in the request is supported. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_AUTH\_SCHEME\_UNSUPPORTED.
4. ~~4.~~ If the request indicates there is a synchronization failure, [the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:](#)
  - [If they are identical](#) the HSS shall process AUTS as described in 3GPP TS 33.203 [3] and return the requested authentication information. The Result-Code shall be set to DIAMETER\_SUCCESS.
5. Check the registration status of the public identity received in the request:
  - If it is registered, the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The Result-Code shall be set to DIAMETER\_SUCCESS.
  - If it is unregistered (i.e. registered as a consequence of a terminating call to unregistered user or there is an S-CSCF keeping the user profile stored), the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this

public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.

- If it is not registered, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.

Exceptions to the cases specified here shall be treated by HSS as error situations, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY. No authentication information shall be returned.

## 8.1 Registration error cases

This section describes the handling of error cases, which can occur during the registration process. If the new and previously assigned S-CSCF names sent in the Multimedia-Auth-Request command are different [and the Multimedia-Auth-Request is not indicating synchronisation failure \(i.e.the request does not contain auts parameter\)](#), then the HSS shall overwrite the S-CSCF name.

If the new and previously assigned S-CSCF names sent in a command other than the Multimedia-Auth-Request command are different, then the HSS shall not overwrite the S-CSCF name; instead it shall send a response to the S-CSCF indicating an error.

## CHANGE REQUEST

⌘ **29.228 CR 059** ⌘ rev **1** ⌘ Current version: **5.5.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ MAR in synchronisation failure case		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 17/10/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Essential correction: The LS from SA3 (S3-030616/N4-031249) asks the CN4 to ensure that in the synchronisation failure case the S-CSCF shall send the nonce, which was sent to UE and stored in the S-CSCF, to the HSS.
<b>Summary of change:</b>	⌘ The usage of SIP-Authorization AVP is proposed to be specified more accurate.
<b>Consequences if not approved:</b>	⌘ Incorrect behaviour of S-CSCF may cause a replay of synchronisation failure.

<b>Clauses affected:</b>	⌘ 6.3						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<input checked="" type="checkbox"/>	Test specifications					
	<input checked="" type="checkbox"/>	O&M Specifications					
<b>Other comments:</b>	⌘ Tdoc N4-031211 contains the mirror CR 060 for Rel-6						

### How to create CRs using this form:

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Table 6.3.3: Authentication Data content – request, synchronization failure

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce, <u>as sent to the terminal</u> , and auts, <u>as received from the terminal</u> . <u>Nonce and auts shall both be</u> binary encoded.

Table 6.3.4: Authentication answer

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	M	User public identity
Private User Identity (See 7.3)	User-Name	M	User private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	Number of authentication vectors delivered in the Authentication Data information element
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

Table 6.3.5: Authentication Data content – response

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

CR-Form-v7

## CHANGE REQUEST

⌘ **29.228 CR 060** ⌘ rev **1** ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ MAR in synchronisation failure case		
<b>Source:</b>	⌘ Nokia		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 17/10/2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-6
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ The LS from SA3 (S3-030616/N4-031249) asks the CN4 to ensure that in the synchronisation failure case the S-CSCF shall send the nonce, which was sent to UE and stored in the S-CSCF, to the HSS.
<b>Summary of change:</b>	⌘ The usage of SIP-Authorization AVP is proposed to be specified more accurate.
<b>Consequences if not approved:</b>	⌘ Incorrect behaviour of S-CSCF may cause a replay of synchronisation failure.

<b>Clauses affected:</b>	⌘ 6.3						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
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Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
<b>Other comments:</b>	⌘ Tdoc N4-031210 contains the corresponding CR 059 for Rel-5						

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Table 6.3.3: Authentication Data content – request, synchronization failure

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce, <u>as sent to the terminal</u> , and auts, <u>as received from the terminal</u> . <u>Nonce and auts shall both be</u> binary encoded.

Table 6.3.4: Authentication answer

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	M	User public identity
Private User Identity (See 7.3)	User-Name	M	User private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	Number of authentication vectors delivered in the Authentication Data information element
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

Table 6.3.5: Authentication Data content – response

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.



Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

## CHANGE REQUEST

⌘ **29.228 CR 061** ⌘ rev **1** ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ The S-CSCF name needs to be checked always in MAR		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 23/10/2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-6
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ The HSS needs to verify that the MAR command comes from the S-CSCF that is assigned for the user.		
<b>Summary of change:</b>	⌘ The HSS checks the S-CSCF name also in the resynchronisation case of the MAR command.		
<b>Consequences if not approved:</b>	⌘ In the resynchronisation case the MAR command could come from a different S-CSCF than what is assigned for the user, so the RAND parameter sent from the S-CSCF to the HSS will be different from the RAND sent previously to the user, which is against approved S3-030616/N4-031249.		

<b>Clauses affected:</b>	⌘ 6.3.1 and 8.1										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">Y</td> <td style="width: 20px;">N</td> </tr> <tr> <td>X</td> <td></td> </tr> <tr> <td></td> <td>X</td> </tr> <tr> <td></td> <td>X</td> </tr> </table> Other core specifications Test specifications O&M Specifications	Y	N	X			X		X	⌘ 29.229	
Y	N										
X											
	X										
	X										
<b>Other comments:</b>	⌘ This is the rel-6 mirror of the CR 054.										

**How to create CRs using this form:**

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

**Table 6.3.3: Authentication Data content – request, synchronization failure**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce and auts binary encoded.

**Table 6.3.4: Authentication answer**

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	M	User public identity
Private User Identity (See 7.3)	User-Name	M	User private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	Number of authentication vectors delivered in the Authentication Data information element
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

**Table 6.3.5: Authentication Data content – response**

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

### 6.3.1 Detailed behaviour

The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check that the private and public identities belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITYES\_DONT\_MATCH.
3. Check that the authentication scheme indicated in the request is supported. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_AUTH\_SCHEME\_UNSUPPORTED.
4. ~~4.~~ If the request indicates there is a synchronization failure, [the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:](#)
  - [If they are identical](#) the HSS shall process AUTS as described in 3GPP TS 33.203 [3] and return the requested authentication information. The Result-Code shall be set to DIAMETER\_SUCCESS.
5. Check the registration status of the public identity received in the request:
  - If it is registered, the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity which was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The Result-Code shall be set to DIAMETER\_SUCCESS.
  - If it is unregistered (i.e. registered as a consequence of a terminating call to unregistered user or there is an S-CSCF keeping the user profile stored), the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity which was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the

public identity's authentication pending flag which is specific to the private identity that was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.

- If it is not registered, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity that was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.

Exceptions to the cases specified here shall be treated by HSS as error situations, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY. No authentication information shall be returned.

## 8.1 Registration error cases

This section describes the handling of error cases, which can occur during the registration process. If the new and previously assigned S-CSCF names sent in the Multimedia-Auth-Request command are different [and the Multimedia-Auth-Request is not indicating synchronisation failure \(i.e.the request does not contain auts parameter\)](#), then the HSS shall overwrite the S-CSCF name.

If the new and previously assigned S-CSCF names sent in a command other than the Multimedia-Auth-Request command are different, then the HSS shall not overwrite the S-CSCF name; instead it shall send a response to the S-CSCF indicating an error.

## CHANGE REQUEST

⌘ **TS 29.228**    **CR 062**    ⌘ rev **-** ⌘    Current version: **5.5.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:**    UICC apps ⌘     ME  Radio Access Network     Core Network

<b>Title:</b>	⌘ Conditional AVPs in answer commands		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 17/10/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ <b>This is an essential correction.</b> In the TS 29.228 the Public-Identity, User-Name and SIP-Number-Auth-Items AVPs are mandatory in MAA command. It is not aligned with the TS 29.229 where the AVPs are optional in the ABNF of MAA command.
<b>Summary of change:</b>	⌘ It is proposed to change the Public-Identity, User-Name and SIP-Number-Auth-Items AVPs conditional in MAA command. They shall be present if the Result-Code is DIAMETER_SUCCESS.
<b>Consequences if not approved:</b>	⌘ Misalignment between TS 29.228 and 29.229.

<b>Clauses affected:</b>	⌘ 6.3						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications    ⌘	Y	N	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Y	N						
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications    ⌘	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications    ⌘	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>				
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>						
<b>Other comments:</b>	⌘						

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Table 6.3.3: Authentication Data content – request, synchronization failure

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce and auts binary encoded.

Table 6.3.4: Authentication answer

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	<b>MC</b>	User public identity. <u>It shall be present when the result is DIAMETER SUCCESS.</u>
Private User Identity (See 7.3)	User-Name	<b>MC</b>	User private identity. <u>It shall be present when the result is DIAMETER SUCCESS.</u>
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	<b>MC</b>	<u>This AVP indicates the <b>Number-number</b> of authentication vectors delivered in the Authentication Data information element. It shall be present when the result is DIAMETER SUCCESS.</u>
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero <u>or it is not present</u> , then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

Table 6.3.5: Authentication Data content – response

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.

Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

### 6.3.1 Detailed behaviour

The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check that the private and public identities belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITYES\_DONT\_MATCH.
3. Check that the authentication scheme indicated in the request is supported. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_AUTH\_SCHEME\_UNSUPPORTED.
4. If the request indicates there is a synchronization failure, the HSS shall process AUTS as described in 3GPP TS 33.203 [3] and return the requested authentication information. The Result-Code shall be set to DIAMETER\_SUCCESS.
5. Check the registration status of the public identity received in the request:
  - If it is registered, the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The Result-Code shall be set to DIAMETER\_SUCCESS.
  - If it is unregistered (i.e. registered as a consequence of a terminating call to unregistered user or there is an S-CSCF keeping the user profile stored), the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.

- If it is not registered, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. It shall also set for this public identity the flag that indicates the identity is pending of the confirmation of the authentication. The Result-Code shall be set to DIAMETER\_SUCCESS.

Exceptions to the cases specified here shall be treated by HSS as error situations, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY. No authentication information shall be returned.

CR-Form-v7

## CHANGE REQUEST

⌘ **TS 29.228**    **CR 063**    ⌘ rev **-**    ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:**    UICC apps     ME  Radio Access Network     Core Network

<b>Title:</b>	⌘ Conditional AVPs in answer commands		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 17/10/2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)	2	(GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)	R96	(Release 1996)
	<b>B</b> (addition of feature),	R97	(Release 1997)
	<b>C</b> (functional modification of feature)	R98	(Release 1998)
	<b>D</b> (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	Rel-4	(Release 4)
		Rel-5	(Release 5)
		Rel-6	(Release 6)

<b>Reason for change:</b>	⌘ In the TS 29.228 the Public-Identity, User-Name and SIP-Number-Auth-Items AVPs are mandatory in MAA command. It is not aligned with the TS 29.229 where the AVPs are optional in the ABNF of MAA command.
<b>Summary of change:</b>	⌘ It is proposed to change the Public-Identity, User-Name and SIP-Number-Auth-Items AVPs conditional in MAA command. They shall be present if the Result-Code is DIAMETER_SUCCESS.
<b>Consequences if not approved:</b>	⌘ Misalignment between TS 29.228 and 29.229.

<b>Clauses affected:</b>	⌘ 6.3						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<input checked="" type="checkbox"/>	Test specifications					
	<input checked="" type="checkbox"/>	O&M Specifications					
<b>Other comments:</b>	⌘						

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 6.3 Authentication procedures

This procedure is used between the S-CSCF and the HSS to exchange information to support the authentication between the end user and the home IMS network. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-AV-Req and Cx-Put (see 3GPP TS 33.203 [3]) and is used:

- To retrieve authentication vectors from the HSS.
- To resolve synchronization failures between the sequence numbers in the UE and the HSS.

This procedure is mapped to the commands Multimedia-Auth-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.3.1 – 6.3.5 detail the involved information elements.

**Table 6.3.1: Authentication request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	This information element contains the public identity of the user
Private User Identity (See 7.3)	User-Name	M	This information element contains the user private identity
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	M	This information element indicates the number of authentication vectors requested
Authentication Data (See 7.9)	SIP-Auth-Data-Item	M	See Tables 6.3.2 and 6.3.3 for the contents of this information element. The content shown in table 6.3.2 shall be used for a normal authentication request; the content shown in table 6.3.3 shall be used for an authentication request after synchronization failure.
S-CSCF Name (See 7.4)	Server-Name	M	This information element contains the name (SIP URL) of the S-CSCF.
Routing Information (See 7.13)	Destination-Host	C	If the S-CSCF knows the HSS name this AVP shall be present.  This information is available if the MAR belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.  This information may not be available if the command is sent in case of the initial registration. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the client.

**Table 6.3.2: Authentication Data content – request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	This information element indicates the authentication scheme. For 3GPP R5 it shall contain “Digest-AKAv1-MD5”.



Table 6.3.3: Authentication Data content – request, synchronization failure

Information element name	Mapping to Diameter AVP	Cat.	Description
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKA <sub>v1</sub> -MD5”.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain the concatenation of nonce and auts binary encoded.

Table 6.3.4: Authentication answer

Information element name	Mapping to Diameter AVP	Cat.	Description
User Identity (See 7.2)	Public-Identity	<b>MC</b>	User public identity. <a href="#">It shall be present when the result is DIAMETER SUCCESS.</a>
Private User Identity (See 7.3)	User-Name	<b>MC</b>	User private identity. <a href="#">It shall be present when the result is DIAMETER SUCCESS.</a>
Number Authentication Items (See 7.10)	SIP-Number-Auth-Items	<b>MC</b>	<a href="#">This AVP indicates the Number-number</a> of authentication vectors delivered in the Authentication Data information element. <a href="#">It shall be present when the result is DIAMETER SUCCESS.</a>
Authentication Data (See 7.9)	SIP-Auth-Data-Item	C	If the SIP-Number-Auth-Items AVP is equal to zero <a href="#">or it is not present</a> , then this AVP shall not be present. See Table 6.3.5 for the contents of this information element.
Result (See 7.6)	Result-Code / Experimental-Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.

Table 6.3.5: Authentication Data content – response

Information element name	Mapping to Diameter AVP	Cat.	Description
Item Number (See 7.9.1)	SIP-Item-Number	C	This information element shall be present in a SIP-Auth-Data-Item grouped AVP in circumstances where there are multiple occurrences of SIP-Auth-Data-Item AVPs, and the order in which they should be processed is significant. In this scenario, SIP-Auth-Data-Item AVPs with a low SIP-Item-Number value should be processed before SIP-Auth-Data-Items AVPs with a high SIP-Item-Number value.
Authentication Scheme (See 7.9.2)	SIP-Authentication-Scheme	M	Authentication scheme. For 3GPP R5 it shall contain “Digest-AKA <sub>v1</sub> -MD5”.

Authentication Information (See 7.9.3)	SIP-Authenticate	M	It shall contain, binary encoded, the concatenation of the authentication challenge RAND and the token AUTN. See 3GPP TS 33.203 [3] for further details about RAND and AUTN.
Authorization Information (See 7.9.4)	SIP-Authorization	M	It shall contain binary encoded, the expected response XRES. See 3GPP TS 33.203 [3] for further details about XRES.
Confidentiality Key (See 7.9.5)	Confidentiality-Key	O	- This information element, if present, shall contain the confidentiality key. It shall be binary encoded.
Integrity Key (See 7.9.6)	Integrity-Key	M	- This information element shall contain the integrity key. It shall be binary encoded.

### 6.3.1 Detailed behaviour

The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check that the private and public identities belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITYES\_DONT\_MATCH.
3. Check that the authentication scheme indicated in the request is supported. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_AUTH\_SCHEME\_UNSUPPORTED.
4. If the request indicates there is a synchronization failure, the HSS shall process AUTS as described in 3GPP TS 33.203 [3] and return the requested authentication information. The Result-Code shall be set to DIAMETER\_SUCCESS.
5. Check the registration status of the public identity received in the request:
  - If it is registered, the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity which was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The Result-Code shall be set to DIAMETER\_SUCCESS.
  - If it is unregistered (i.e. registered as a consequence of a terminating call to unregistered user or there is an S-CSCF keeping the user profile stored), the HSS shall compare the S-CSCF name received in the request to the S-CSCF name stored in the HSS:
    - If they are different, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity which was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.
    - If they are identical, the HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity that was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.

- If it is not registered, the HSS shall store the S-CSCF name. The HSS shall download Authentication-Data-Item stored up to a maximum specified in SIP-Number-Auth-Items received in the command Multimedia-Auth-Request. The HSS shall set the public identity's authentication pending flag which is specific to the private identity that was received in the request. The Result-Code shall be set to DIAMETER\_SUCCESS.

Exceptions to the cases specified here shall be treated by HSS as error situations, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY. No authentication information shall be returned.

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031283

CR-Form-v7
<b>CHANGE REQUEST</b>
⌘ <b>29.228 CR 064</b> ⌘ rev <b>1</b> ⌘ Current version: <b>5.5.0</b> ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Server-Assignment-Request		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 19/09/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ <u>Essential correction:</u>
	In case of REGISTRATION or RE_REGISTRATION, the registration state should be set to registered for the public user identity and associated identities in case of implicit registration.
<b>Summary of change:</b>	⌘ After successful registration or re-registration, the registration state shall be set to registered (if not already registered).
<b>Consequences if not approved:</b>	⌘ The registration state will be unchanged although it must change from not registered to registered after a first registration. If the registration state of the public identity is not changed and has no services related no unregistered state, the interrogation at the HSS will fail with a DIAMETER_ERROR_IDENTITY_NOT_REGISTERED response for an incoming session.

<b>Clauses affected:</b>	⌘ 6.1.2
	<input type="checkbox"/> Y <input type="checkbox"/> N

<b>Other specs affected:</b>	⌘	<input checked="" type="checkbox"/>	Other core specifications	⌘	TS 24.229 (§ 5.4.1.4)
		<input checked="" type="checkbox"/>	Test specifications		
		<input checked="" type="checkbox"/>	O&M Specifications		
<b>Other comments:</b>	⌘				

### How to create CRs using this form:

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Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.2 S-CSCF registration/deregistration notification

This procedure is used between the S-CSCF and the HSS. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-Put and Cx-Pull (see 3GPP TS 23.228 [1]) and is used:

- To assign an S-CSCF to a public identity, or to clear the name of the S-CSCF assigned to one or more public identities.
- To download from HSS the relevant user profile information that the S-CSCF needs to serve the user.

This procedure is mapped to the commands Server-Assignment-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.2.1 and 6.1.2.2 describe the involved information elements.

**Table 6.1.2.1: S-CSCF registration/deregistration notification request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	C	User public identity or list of user public identities. At least one public identity shall be present if User-Name is not present in the request.
S-CSCF Name (See 7.4)	Server-Name	M	Name of the S-CSCF.
Private User Identity (See 7.3)	User-Name	C	User private identity. It shall be present if it is available when the S-CSCF issues the request.  It may be absent during the initiation of a session to an unregistered user. In such situation, Server-Assignment-Type shall contain the value UNREGISTERED_USER.  In case of de-registration, Server-Assignment-Type equal to TIMEOUT_DEREGISTRATION, USER_DEREGISTRATION or ADMINISTRATIVE_DEREGISTRATION, if no Public-Identity AVPs are present then User-Name AVP shall be present.
Server Assignment Type (See 7.8)	Server-Assignment-Type	M	Type of update the S-CSCF requests in the HSS (e.g: de-registration). See 3GPP TS 29.229 [5] for all the possible values.
User Data Request Type (See 7.15)	User-Data-Request-Type	M	Part of the user profile the S-CSCF requests from the HSS (e.g: complete profile). See 3GPP TS 29.229 [5] for all the possible values.
User Data Already Available (See 7.16)	User-Data-Already-Available	M	This indicates if the user profile is already available in the S-CSCF.

Routing Information (See 7.13)	Destination-Host	C	<p>If the S-CSCF knows HSS name Destination-Host AVP shall be present in the command.</p> <p>This information is available if the request belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.</p> <p>This information may not be available if the command is sent as a consequence of a session termination for an unregistered user. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the S-CSCF.</p>
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Table 6.1.2.2: S-CSCF registration/deregistration notification response

Information element name	Mapping to Diameter AVP	Cat.	Description
Private User Identity (See 7.3)	User-Name	C	<p>User private identity.</p> <p>It shall be present if it is available when the HSS sends the response.</p> <p>It may be absent in the following error case: when the Server-Assignment-Type of the request is UNREGISTERED_USER and the received public user identity is not known by the HSS.</p>
Registration result (See 7.6)	Result-Code / Experimental-Result	M	<p>Result of registration.</p> <p>Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.</p> <p>Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.</p>
User Profile (See 7.7)	User-Data	C	<p>Relevant user profile.</p> <p>It shall be present when Server-Assignment-Type in the request is equal to NO_ASSIGNMENT. If the Server-Assignment-Type in the request is equal to REGISTRATION, RE_REGISTRATION or UNREGISTERED_USER the User-Data AVP shall be present according to the rules defined in the section 6.6.</p> <p>If the S-CSCF receives more data than it is prepared to accept, it shall perform the de-registration of the user with User-Authorization-Type set to DEREGISTRATION_TOO_MUCH_DATA and send back a SIP 3xx or 480 (Temporarily Unavailable) response, which shall trigger the selection of a new S-CSCF by the I-CSCF, as specified in 3GPP TS 24.229 [8].</p>
Charging Information (See 7.12)	Charging-Information	O	Addresses of the charging functions.

### 6.1.2.1 Detailed behaviour

On registering/deregistering a public identity the S-CSCF shall inform the HSS. The same procedure is used by the S-CSCF to get the user profile. The relevant user profile downloaded is described in more detailed in the section 6.6. The HSS holds information about the state of registration of all the identities of the user. The S-CSCF uses this procedure to update such state. The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user is known. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check whether the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check the Server Assignment Type value received in the request:

- If it indicates REGISTRATION or RE\_REGISTRATION, the HSS shall download the relevant user public identity information. If set, the flag that indicates that the identity is pending of the confirmation of the authentication shall be cleared. The Result-Code shall be set to DIAMETER\_SUCCESS and the HSS shall set the registration state of the public user identity and associated public user identities as registered (if not already registered).

Only one public identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates UNREGISTERED\_USER, the HSS shall store the S-CSCF name, set the registration state of the public identity as unregistered, i.e. registered as a consequence of a terminating call and download the relevant user public identity information. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one public identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

- If it indicates TIMEOUT\_DEREGISTRATION, USER\_DEREGISTRATION, DEREGISTRATION\_TOO\_MUCH\_DATA or ADMINISTRATIVE\_DEREGISTRATION, the HSS shall clear the S-CSCF name for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as not registered. If no public identity is present in the request, the private identity shall be present; the HSS shall clear the S-CSCF name for all the identities of the user and set their registration state to not registered. The Result-Code shall be set to DIAMETER\_SUCCESS.
- If it indicates TIMEOUT\_DEREGISTRATION\_STORE\_SERVER\_NAME or USER\_DEREGISTRATION\_STORE\_SERVER\_NAME the HSS decides whether to keep the S-CSCF name stored or not for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as unregistered. If no public identity is present in the request, the private identity shall be present. If the HSS decided to keep the S-CSCF name stored the HSS keeps the S-CSCF name stored for all the identities of the user and set their registration state to unregistered.

If the HSS decides to keep the S-CSCF name the Result-Code shall be set to DIAMETER\_SUCCESS.

If the HSS decides not to keep the S-CSCF name the Experimental-Result-Code shall be set to DIAMETER\_SUCCESS\_SERVER\_NAME\_NOT\_STORED.

- If it indicates NO\_ASSIGNMENT, the HSS checks whether the user is assigned for the S-CSCF requesting the data and download the user public identity information requested in the User-Data-Request-Type AVP. The Result-Code shall be set to DIAMETER\_SUCCESS. If the requesting S-CSCF is not the same as the assigned S-CSCF, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY.

Only one public identity shall be present in the request. If more than one public identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates AUTHENTICATION\_FAILURE or AUTHENTICATION\_TIMEOUT, the HSS shall clear the S-CSCF name for the public identity that the S-CSCF indicated in the request and set the registration state of the identity as not registered. The flag that indicates that the identity is pending of the confirmation of the authentication shall be cleared. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one public identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.



See chapter 8.1.2 and 8.1.3 for the description of the handling of the error situations: reception of an S-CSCF name different from the one stored in the HSS and reception of a Server-Assignment-Type value not compatible with the registration state of the user.

\*\*\* END OF MODIFICATION \*\*\*

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031284

CR-Form-v7	
<b>CHANGE REQUEST</b>	
# 29.228 CR 065 # rev 1 #	Current version: 6.0.0 #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	# Server-Assignment-Request		
<b>Source:</b>	# CN4		
<b>Work item code:</b>	# IMS-CCR	<b>Date:</b>	# 19/09/2003
<b>Category:</b>	# A	<b>Release:</b>	# REL-6
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	F (correction)		2 (GSM Phase 2)
	A (corresponds to a correction in an earlier release)		R96 (Release 1996)
	B (addition of feature),		R97 (Release 1997)
	C (functional modification of feature)		R98 (Release 1998)
	D (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	# In case of REGISTRATION or RE_REGISTRATION, the registration state should be set to registered for the public user identity and associated identities in case of implicit registration.
<b>Summary of change:</b>	# After successful registration or re-registration, the registration state shall be set to registered (if not already registered).
<b>Consequences if not approved:</b>	# The registration state will be unchanged although it must change from not registered to registered after a first registration. If the registration state of the public identity is not changed and has no services related no unregistered state, the interrogation at the HSS will fail with a DIAMETER_ERROR_IDENTITY_NOT_REGISTERED response for an incoming session.

<b>Clauses affected:</b>	# 6.1.2		
<b>Other specs affected:</b>	#	Y N	
	#	X X	Other core specifications # TS 24.229 (§ 5.4.1.4)
	#	X	Test specifications

<input checked="" type="checkbox"/>	O&M Specifications
<i>Other comments:</i>	⌘

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.2 S-CSCF registration/deregistration notification

This procedure is used between the S-CSCF and the HSS. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-Put and Cx-Pull (see 3GPP TS 23.228 [1]) and is used:

- To assign an S-CSCF to a public identity, or to clear the name of the S-CSCF assigned to one or more public identities.
- To download from HSS the relevant user profile information that the S-CSCF needs to serve the user.

This procedure is mapped to the commands Server-Assignment-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.2.1 and 6.1.2.2 describe the involved information elements.

**Table 6.1.2.1: S-CSCF registration/deregistration notification request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	C	User public identity or list of user public identities. At least one public identity shall be present if User-Name is not present in the request.
S-CSCF Name (See 7.4)	Server-Name	M	Name of the S-CSCF.
Private User Identity (See 7.3)	User-Name	C	User private identity.  It shall be present if it is available when the S-CSCF issues the request.  It may be absent during the initiation of a session to an unregistered user. In such situation, Server-Assignment-Type shall contain the value UNREGISTERED_USER.  In case of de-registration, Server-Assignment-Type equal to TIMEOUT_DEREGISTRATION, USER_DEREGISTRATION or ADMINISTRATIVE_DEREGISTRATION, if no Public-Identity AVPs are present then User-Name AVP shall be present.
Server Assignment Type (See 7.8)	Server-Assignment-Type	M	Type of update the S-CSCF requests in the HSS (e.g: de-registration). See 3GPP TS 29.229 [5] for all the possible values.
User Data Request Type (See 7.15)	User-Data-Request-Type	M	Part of the user profile the S-CSCF requests from the HSS (e.g: complete profile). See 3GPP TS 29.229 [5] for all the possible values.
User Data Already Available (See 7.16)	User-Data-Already-Available	M	This indicates if the user profile is already available in the S-CSCF.

Routing Information (See 7.13)	Destination-Host	C	<p>If the S-CSCF knows HSS name Destination-Host AVP shall be present in the command.</p> <p>This information is available if the request belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.</p> <p>This information may not be available if the command is sent as a consequence of a session termination for an unregistered user. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the S-CSCF.</p>
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Table 6.1.2.2: S-CSCF registration/deregistration notification response

Information element name	Mapping to Diameter AVP	Cat.	Description
Private User Identity (See 7.3)	User-Name	C	<p>User private identity.</p> <p>It shall be present if it is available when the HSS sends the response.</p> <p>It may be absent in the following error case: when the Server-Assignment-Type of the request is UNREGISTERED_USER and the received public user identity is not known by the HSS.</p>
Registration result (See 7.6)	Result-Code / Experimental-Result	M	<p>Result of registration.</p> <p>Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.</p> <p>Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.</p>
User Profile (See 7.7)	User-Data	C	<p>Relevant user profile.</p> <p>It shall be present when Server-Assignment-Type in the request is equal to NO_ASSIGNMENT. If the Server-Assignment-Type in the request is equal to REGISTRATION, RE_REGISTRATION or UNREGISTERED_USER the User-Data AVP shall be present according to the rules defined in the section 6.6.</p> <p>If the S-CSCF receives more data than it is prepared to accept, it shall perform the de-registration of the user with User-Authorization-Type set to DEREGISTRATION_TOO_MUCH_DATA and send back a SIP 3xx or 480 (Temporarily Unavailable) response, which shall trigger the selection of a new S-CSCF by the I-CSCF, as specified in 3GPP TS 24.229 [8].</p>
Charging Information (See 7.12)	Charging-Information	O	Addresses of the charging functions.

### 6.1.2.1 Detailed behaviour

On registering/deregistering a public identity the S-CSCF shall inform the HSS. The same procedure is used by the S-CSCF to get the user profile. The relevant user profile downloaded is described in more detailed in the section 6.6. The HSS holds information about the state of registration of all the identities of the user. The S-CSCF uses this procedure to update such state. The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user is known. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check whether the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check the Server Assignment Type value received in the request:

- If it indicates REGISTRATION or RE\_REGISTRATION, the HSS shall download the relevant user public identity information. . If the public identity's authentication pending flag which is specific for the private identity is set, the HSS shall clear it. The Result-Code shall be set to DIAMETER\_SUCCESS and the HSS shall set the registration state of the public identity and associated public identities as registered (if not already registered).

Only one public identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates UNREGISTERED\_USER, the HSS shall store the S-CSCF name, set the registration state of the public identity as unregistered, i.e. registered as a consequence of a terminating call and download the relevant user public identity information. If there are multiple private identities associated to the public identity in the HSS, the HSS shall arbitrarily select one of the private identities and put it into the response message. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one public identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

- If it indicates TIMEOUT\_DEREGISTRATION, USER\_DEREGISTRATION, DEREGISTRATION\_TOO\_MUCH\_DATA or ADMINISTRATIVE\_DEREGISTRATION, the HSS shall clear the S-CSCF name associated to the private identity for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as not registered. If no public identity is present in the request, the private identity shall be present; the HSS shall clear the S-CSCF name for all the public identities associated to the private identity and set their registration state to not registered. The Result-Code shall be set to DIAMETER\_SUCCESS.
- If it indicates TIMEOUT\_DEREGISTRATION\_STORE\_SERVER\_NAME or USER\_DEREGISTRATION\_STORE\_SERVER\_NAME the HSS decides whether to keep the S-CSCF name associated to the private identity stored or not for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as unregistered. If no public identity is present in the request, the private identity shall be present. If the HSS decides to keep the S-CSCF name stored the HSS shall keep the S-CSCF name stored for all the public identities associated to the private identity and set their registration state to unregistered.

If the HSS decides to keep the S-CSCF name the Result-Code shall be set to DIAMETER\_SUCCESS.

If the HSS decides not to keep the S-CSCF name the Experimental-Result-Code shall be set to DIAMETER\_SUCCESS\_SERVER\_NAME\_NOT\_STORED.

- If it indicates NO\_ASSIGNMENT, the HSS checks whether the user is assigned for the S-CSCF requesting the data and download the user public identity information requested in the User-Data-Request-Type AVP. The Result-Code shall be set to DIAMETER\_SUCCESS. If the requesting S-CSCF is not the same as the assigned S-CSCF, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY.

Only one public user identity shall be present in the request. If more than one public identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates AUTHENTICATION\_FAILURE or AUTHENTICATION\_TIMEOUT, the HSS shall clear the S-CSCF name for the public identity associated to the private identity that the S-CSCF indicated in the request and set the registration state of the identity as not registered. If the public identity's authentication pending flag which is specific for the private identity is set, the HSS shall clear it. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one [public](#) identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

See chapter 8.1.2 and 8.1.3 for the description of the handling of the error situations: reception of an S-CSCF name different from the one stored in the HSS and reception of a Server-Assignment-Type value not compatible with the registration state of the user.

\*\*\* END OF MODIFICATION \*\*\*

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031237

CR-Form-v7	CHANGE REQUEST
⌘ <b>29.228 CR 066</b> ⌘ rev <b>-</b> ⌘ Current version: <b>5.5.0</b> ⌘	

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘	Determination of User-Authorization-Type AVP based on registration expiration	
<b>Source:</b>	⌘	CN4	
<b>Work item code:</b>	⌘	IMS	<b>Date:</b> ⌘ 19/09/2003
<b>Category:</b>	⌘	<b>F</b>	<b>Release:</b> ⌘ REL-5
		Use <u>one</u> of the following categories:	Use <u>one</u> of the following releases:
		<b>F</b> (correction)	2 (GSM Phase 2)
		<b>A</b> (corresponds to a correction in an earlier release)	R96 (Release 1996)
		<b>B</b> (addition of feature),	R97 (Release 1997)
		<b>C</b> (functional modification of feature)	R98 (Release 1998)
		<b>D</b> (editorial modification)	R99 (Release 1999)
		Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘	<u>Essential correction:</u>  The determination of the User-Authorization-Type is based on the value of Expires header in REGISTER although it could be based on expires parameter in Contact too.
<b>Summary of change:</b>	⌘	Expires field is replaced by Expires field or expires parameter in Contact field.
<b>Consequences if not approved:</b>	⌘	Impossible registration or deregistration when the registration contains an expires parameter in Contact header instead of an Expires header.

<b>Clauses affected:</b>	⌘	6.1.1									
<b>Other specs affected:</b>	⌘	<table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr><td>Y</td><td>N</td></tr> <tr><td>X</td><td></td></tr> <tr><td></td><td>X</td></tr> <tr><td></td><td>X</td></tr> </table> Other core specifications	Y	N	X			X		X	⌘ TS 29.229-027
		Y	N								
		X									
			X								
	X										
Test specifications											
O&M Specifications											
<b>Other comments:</b>	⌘										



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\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.1 User registration status query

This procedure is used between the I-CSCF and the HSS during SIP registrations. The procedure is invoked by the I-CSCF, corresponds to the combination of the functional level operations Cx-Query and Cx-Select-Pull (see 3GPP TS 23.228 [1]) and is used:

- To authorize the registration of the user, checking multimedia subsystem access permissions and roaming agreements.
- To perform a first security check, determining whether the public and private identities sent in the message belong to the same user.
- To obtain either the S-CSCF where the user is registered or unregistered (i.e. registered as a consequence of a terminating call or there is a S-CSCF keeping the user profile stored), or the list of capabilities that the S-CSCF has to support.

This procedure is mapped to the commands User-Authorization-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.1.1 and 6.1.1.2 detail the involved information elements.

**Table 6.1.1.1 : User registration status query**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	User public identity to be registered
Visited Network Identifier (See 7.1)	Visited-Network-Identifier	M	Identifier that allows the home network to identify the visited network
Type of Authorization (See 7.14)	User-Authorization-Type	C	<p>Type of authorization requested by the I-CSCF.</p> <p>If the request corresponds to a de-registration, i.e. Expires field <a href="#">or expires parameter in Contact field</a> in the REGISTER method is equal to zero, this AVP shall be present in the command and the value shall be set to DE-REGISTRATION.</p> <p>If the request corresponds to an initial registration or a re-registration, i.e. Expires field <a href="#">or expires parameter in Contact field</a> in the REGISTER method is not equal to zero then this AVP may be absent from the command. If present its value shall be set to REGISTRATION.</p> <p>If the request corresponds to an initial registration or a re-registration, and the I-CSCF explicitly queries the S-CSCF capabilities, then this AVP shall be present in the command and the value shall be set to REGISTRATION_AND_CAPABILITIES. The I-CSCF shall use this value when the user's current S-CSCF, which is stored in the HSS, cannot be contacted and a new S-CSCF needs to be selected.</p>
Private User Identity (See 7.3)	User-Name	M	User private identity
Routing Information (See 7.13)	Destination-Host, Destination-Realm	C	If the I-CSCF knows HSS name Destination-Host AVP shall be present in the command. Otherwise, only Destination-Realm AVP shall be present and the command shall be routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the I-CSCF.

Table 6.1.1.2 : User registration status response

Information element name	Mapping to Diameter AVP	Cat.	Description
Result (See 7.6)	Result-Code / Experimental- Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.
S-CSCF capabilities (See 7.5)	Server- Capabilities	O	Required capabilities of the S-CSCF to be assigned to the user.
S-CSCF Name (See 7.4)	Server-Name	C	Name of the assigned S-CSCF.

### 6.1.1.1 Detailed behaviour

The HSS shall, in the following order (if there is an error in any of the following steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. Check that the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check whether the public identity received in the request is barred for the establishment of multimedia sessions.
  - If it is, the HSS shall check whether there are other non-barred public identities to be implicitly registered with that one.
  - If so, continue to step 4.
  - If not, Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED.
4. Check the User-Authorization-Type received in the request:
  - If it is REGISTRATION or if User-Authorization-Type is absent from the request, the HSS shall check that the user is allowed to roam in the visited network (if not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_ROAMING\_NOT\_ALLOWED) and authorized to register (if not Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED). Continue to step 5.
  - If it is DE\_REGISTRATION, the HSS may not perform any check regarding roaming. Continue to step 5.
  - If it is REGISTRATION\_AND\_CAPABILITIES, the HSS shall check that the user is allowed to roam in the visited network (if not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_ROAMING\_NOT\_ALLOWED) and authorized to register (if not Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED). The HSS shall return the list of S-CSCF capabilities, which enables the I-CSCF to select an S-CSCF. The returned capabilities must satisfy the most restrictive service profile of the user. The list of capabilities may be empty, to indicate to the I-CSCF that it can select any available S-CSCF. Result-Code shall be set to DIAMETER\_SUCCESS. The HSS shall not return any S-CSCF name. Stop processing.
5. Check the state of the public identity received in the request:
  - If it is registered, the HSS shall return the stored S-CSCF name. No S-CSCF capabilities shall be present in the response. If User-Authorization-Type is equal to REGISTRATION, Experimental-Result-Code shall be set to DIAMETER\_SUBSEQUENT\_REGISTRATION. If User-Authorization-Type is equal to DE-REGISTRATION, Result-Code shall be set to DIAMETER\_SUCCESS.

- If it is unregistered (i.e registered as a consequence of a terminating call or there is a S-CSCF keeping the user profile stored) and User-Authorization-Type is equal to DE-REGISTRATION, Result-Code shall be set to DIAMETER\_SUCCESS. If the User-Authorization-Type is equal to REGISTRATION, then:
  - If the selection of a new S-CSCF is not necessary, the HSS shall return the stored S-CSCF name and the Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
  - Otherwise, the HSS shall return the name of the S-CSCF assigned to the unregistered user, the S-CSCF capabilities and the Experimental-Result-Code set to DIAMETER\_SERVER\_SELECTION. Considering the information received from the HSS, the I-CSCF shall determine whether or not it has to select a new S-CSCF.
- If it is not registered yet, the HSS shall check the value of User-Authorization-Type received in the request:
  - If the value of User-Authorization-Type is DE\_REGISTRATION, then the HSS shall not return any S-CSCF name or S-CSCF capabilities. The HSS shall set the Experimental-Result-Code to DIAMETER\_ERROR\_IDENTITY\_NOT\_REGISTERED in the response.
  - If the value of User-Authorization-Type is REGISTRATION, then the HSS shall check if there is at least one identity of the user with an S-CSCF name assigned.
    - If there is at least one identity of the user that is registered the HSS shall return the S-CSCF name assigned for the user and Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
    - If there is at least one identity of the user that is unregistered (i.e registered as a consequence of a terminating call or there is an S-CSCF keeping the user profile stored), then:
      - If the selection of a new S-CSCF is not necessary, the HSS shall return the stored S-CSCF name and the Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
      - Otherwise, the HSS shall return the name of the S-CSCF assigned to the unregistered user, the S-CSCF capabilities and the Experimental-Result-Code set to DIAMETER\_SERVER\_SELECTION. Considering the information received from the HSS, the I-CSCF shall determine whether or not it has to select a new S-CSCF.
    - If there is not any identity of the user with an S-CSCF name assigned, then the HSS shall return the list of S-CSCF capabilities, which enables the I-CSCF to select an S-CSCF. The returned capabilities shall satisfy the most restrictive service profile of the user. The list of S-CSCF capabilities may be empty, to indicate to the I-CSCF that it may select any available S-CSCF. Experimental-Result-Code shall be set to DIAMETER\_FIRST\_REGISTRATION. The HSS shall not return any S-CSCF name.

If the HSS cannot fulfil received request, e.g. due to database error, it shall set Result-Code to DIAMETER\_UNABLE\_TO\_COMPLY. No S-CSCF name or S-CSCF capabilities shall be present in the response.

\*\*\* END OF MODIFICATION \*\*\*

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031238

CR-Form-v7
<b>CHANGE REQUEST</b>
⌘ <b>29.228 CR 067</b> ⌘ rev <b>-</b> ⌘ Current version: <b>6.0.0</b> ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘	Determination of User-Authorization-Type AVP based on registration expiration	
<b>Source:</b>	⌘	CN4	
<b>Work item code:</b>	⌘	IMS	<b>Date:</b> ⌘ 19/09/2003
<b>Category:</b>	⌘	<b>A</b>	<b>Release:</b> ⌘ REL-6
		Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	Use <u>one</u> of the following releases: <b>2</b> (GSM Phase 2) <b>R96</b> (Release 1996) <b>R97</b> (Release 1997) <b>R98</b> (Release 1998) <b>R99</b> (Release 1999) <b>Rel-4</b> (Release 4) <b>Rel-5</b> (Release 5) <b>Rel-6</b> (Release 6)

<b>Reason for change:</b>	⌘	The determination of the User-Authorization-Type is based on the value of Expires header in REGISTER although it could be based on expires parameter in Contact too.
<b>Summary of change:</b>	⌘	Expires field is replaced by Expires field or expires parameter in Contact field.
<b>Consequences if not approved:</b>	⌘	Impossible registration or deregistration when the registration contains an expires parameter in Contact header instead of an Expires header.

<b>Clauses affected:</b>	⌘	6.1.1									
<b>Other specs affected:</b>	⌘	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Y</td> <td style="padding: 2px;">N</td> </tr> <tr> <td style="padding: 2px;">X</td> <td style="padding: 2px;"></td> </tr> <tr> <td style="padding: 2px;"></td> <td style="padding: 2px;">X</td> </tr> <tr> <td style="padding: 2px;"></td> <td style="padding: 2px;">X</td> </tr> </table> Other core specifications	Y	N	X			X		X	⌘ TS 29.229
		Y	N								
		X									
	X										
	X										
Test specifications											
O&M Specifications											
<b>Other comments:</b>	⌘										

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.1 User registration status query

This procedure is used between the I-CSCF and the HSS during SIP registrations. The procedure is invoked by the I-CSCF, corresponds to the combination of the functional level operations Cx-Query and Cx-Select-Pull (see 3GPP TS 23.228 [1]) and is used:

- To authorize the registration of the user, checking multimedia subsystem access permissions and roaming agreements.
- To perform a first security check, determining whether the public and private identities sent in the message belong to the same user.
- To obtain either the S-CSCF where the user is registered or unregistered (i.e. registered as a consequence of a terminating call or there is a S-CSCF keeping the user profile stored), or the list of capabilities that the S-CSCF has to support.

This procedure is mapped to the commands User-Authorization-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.1.1 and 6.1.1.2 detail the involved information elements.

**Table 6.1.1.1 : User registration status query**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	M	User public identity to be registered
Visited Network Identifier (See 7.1)	Visited-Network-Identifier	M	Identifier that allows the home network to identify the visited network
Type of Authorization (See 7.14)	User-Authorization-Type	C	<p>Type of authorization requested by the I-CSCF.</p> <p>If the request corresponds to a de-registration, i.e. Expires field <a href="#">or expires parameter in Contact field</a> in the REGISTER method is equal to zero, this AVP shall be present in the command and the value shall be set to DE-REGISTRATION.</p> <p>If the request corresponds to an initial registration or a re-registration, i.e. Expires field <a href="#">or expires parameter in Contact field</a> in the REGISTER method is not equal to zero then this AVP may be absent from the command. If present its value shall be set to REGISTRATION.</p> <p>If the request corresponds to an initial registration or a re-registration, and the I-CSCF explicitly queries the S-CSCF capabilities, then this AVP shall be present in the command and the value shall be set to REGISTRATION_AND_CAPABILITIES. The I-CSCF shall use this value when the user's current S-CSCF, which is stored in the HSS, cannot be contacted and a new S-CSCF needs to be selected.</p>
Private User Identity (See 7.3)	User-Name	M	User private identity
Routing Information (See 7.13)	Destination-Host, Destination-Realm	C	If the I-CSCF knows HSS name Destination-Host AVP shall be present in the command. Otherwise, only Destination-Realm AVP shall be present and the command shall be routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the I-CSCF.

Table 6.1.1.2 : User registration status response

Information element name	Mapping to Diameter AVP	Cat.	Description
Result (See 7.6)	Result-Code / Experimental- Result	M	Result of the operation. Result-Code AVP shall be used for errors defined in the Diameter Base Protocol. Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.
S-CSCF capabilities (See 7.5)	Server- Capabilities	O	Required capabilities of the S-CSCF to be assigned to the user.
S-CSCF Name (See 7.4)	Server-Name	C	Name of the assigned S-CSCF.

### 6.1.1.1 Detailed behaviour

The HSS shall, in the following order (if there is an error in any of the following steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user exists in the HSS. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. Check that the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check whether the public identity received in the request is barred for the establishment of multimedia sessions.
  - If it is, the HSS shall check whether there are other non-barred public identities to be implicitly registered with that one.
  - If so, continue to step 4.
  - If not, Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED.
4. Check the User-Authorization-Type received in the request:
  - If it is REGISTRATION or if User-Authorization-Type is absent from the request, the HSS shall check that the user is allowed to roam in the visited network (if not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_ROAMING\_NOT\_ALLOWED) and authorized to register (if not Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED). Continue to step 5.
  - If it is DE\_REGISTRATION, the HSS may not perform any check regarding roaming. Continue to step 5.
  - If it is REGISTRATION\_AND\_CAPABILITIES, the HSS shall check that the user is allowed to roam in the visited network (if not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_ROAMING\_NOT\_ALLOWED) and authorized to register (if not Result-Code shall be set to DIAMETER\_AUTHORIZATION\_REJECTED). The HSS shall return the list of S-CSCF capabilities, which enables the I-CSCF to select an S-CSCF. The returned capabilities must satisfy the most restrictive service profile of the user. The list of capabilities may be empty, to indicate to the I-CSCF that it can select any available S-CSCF. Result-Code shall be set to DIAMETER\_SUCCESS. The HSS shall not return any S-CSCF name. Stop processing.
5. Check the state of the public identity received in the request:
  - If it is registered, the HSS shall return the stored S-CSCF name. No S-CSCF capabilities shall be present in the response. If User-Authorization-Type is equal to REGISTRATION, Experimental-Result-Code shall be set to DIAMETER\_SUBSEQUENT\_REGISTRATION. If User-Authorization-Type is equal to DE-REGISTRATION, Result-Code shall be set to DIAMETER\_SUCCESS.



- If it is unregistered (i.e registered as a consequence of a terminating call or there is a S-CSCF keeping the user profile stored) and User-Authorization-Type is equal to DE-REGISTRATION, Result-Code shall be set to DIAMETER\_SUCCESS. If the User-Authorization-Type is equal to REGISTRATION, then:
  - If the selection of a new S-CSCF is not necessary, the HSS shall return the stored S-CSCF name and the Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
  - Otherwise, the HSS shall return the name of the S-CSCF assigned to the unregistered user, the S-CSCF capabilities and the Experimental-Result-Code set to DIAMETER\_SERVER\_SELECTION. Considering the information received from the HSS, the I-CSCF shall determine whether or not it has to select a new S-CSCF.
- If it is not registered yet, the HSS shall check the value of User-Authorization-Type received in the request:
  - If the value of User-Authorization-Type is DE\_REGISTRATION, then the HSS shall not return any S-CSCF name or S-CSCF capabilities. The HSS shall set the Experimental-Result-Code to DIAMETER\_ERROR\_IDENTITY\_NOT\_REGISTERED in the response.
  - If the value of User-Authorization-Type is REGISTRATION, then the HSS shall check if there is at least one identity of the user with an S-CSCF name assigned.
    - If there is at least one identity of the user that is registered the HSS shall return the S-CSCF name assigned for the user and Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
    - If there is at least one identity of the user that is unregistered (i.e registered as a consequence of a terminating call or there is an S-CSCF keeping the user profile stored), then:
      - If the selection of a new S-CSCF is not necessary, the HSS shall return the stored S-CSCF name and the Experimental-Result-Code set to DIAMETER\_SUBSEQUENT\_REGISTRATION. The HSS shall not return any S-CSCF capabilities.
      - Otherwise, the HSS shall return the name of the S-CSCF assigned to the unregistered user, the S-CSCF capabilities and the Experimental-Result-Code set to DIAMETER\_SERVER\_SELECTION. Considering the information received from the HSS, the I-CSCF shall determine whether or not it has to select a new S-CSCF.
  - If there is not any identity of the user with an S-CSCF name assigned, then the HSS shall return the list of S-CSCF capabilities, which enables the I-CSCF to select an S-CSCF. The returned capabilities shall satisfy the most restrictive service profile of the user. The list of S-CSCF capabilities may be empty, to indicate to the I-CSCF that it may select any available S-CSCF. Experimental-Result-Code shall be set to DIAMETER\_FIRST\_REGISTRATION. The HSS shall not return any S-CSCF name.

If the HSS cannot fulfil received request, e.g. due to database error, it shall set Result-Code to DIAMETER\_UNABLE\_TO\_COMPLY. No S-CSCF name or S-CSCF capabilities shall be present in the response.

\*\*\* END OF MODIFICATION \*\*\*

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031305

CR-Form-v7
<b>CHANGE REQUEST</b>
# 29.228 CR 068 # rev 2 # Current version: 5.5.0 #

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the # symbols.

Proposed change affects: UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	# Not registered state after deregistration with S-CSCF deleted at the HSS		
<b>Source:</b>	# CN4		
<b>Work item code:</b>	# IMS	<b>Date:</b>	# 15/10/2003
<b>Category:</b>	# F	<b>Release:</b>	# REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	# Essential correction: The registration status should be set to "not registered" after a Server-Assignment-Request for all kinds of deregistrations where the HSS decides to clear the S-CSCF address.
<b>Summary of change:</b>	# The registration status is changed to "not registered" for these cases
<b>Consequences if not approved:</b>	# At session establishment, the I-CSCF will request the user location to the HSS and the HSS will not be able to provide the S-CSCF name as specified in § 6.1.4.1 for an "unregistered state".

<b>Clauses affected:</b>	# 6.1.2.1										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Y</td> <td style="padding: 2px;">N</td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications #	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
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<input type="checkbox"/>	<input checked="" type="checkbox"/>										
<b>Other comments:</b>	#										

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\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.2 S-CSCF registration/deregistration notification

This procedure is used between the S-CSCF and the HSS. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-Put and Cx-Pull (see 3GPP TS 23.228 [1]) and is used:

- To assign an S-CSCF to a public identity, or to clear the name of the S-CSCF assigned to one or more public identities.
- To download from HSS the relevant user profile information that the S-CSCF needs to serve the user.

This procedure is mapped to the commands Server-Assignment-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.2.1 and 6.1.2.2 describe the involved information elements.

**Table 6.1.2.1: S-CSCF registration/deregistration notification request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	C	User public identity or list of user public identities. At least one public identity shall be present if User-Name is not present in the request.
S-CSCF Name (See 7.4)	Server-Name	M	Name of the S-CSCF.
Private User Identity (See 7.3)	User-Name	C	User private identity.  It shall be present if it is available when the S-CSCF issues the request.  It may be absent during the initiation of a session to an unregistered user. In such situation, Server-Assignment-Type shall contain the value UNREGISTERED_USER.  In case of de-registration, Server-Assignment-Type equal to TIMEOUT_DEREGISTRATION, USER_DEREGISTRATION or ADMINISTRATIVE_DEREGISTRATION, if no Public-Identity AVPs are present then User-Name AVP shall be present.
Server Assignment Type (See 7.8)	Server-Assignment-Type	M	Type of update the S-CSCF requests in the HSS (e.g: de-registration). See 3GPP TS 29.229 [5] for all the possible values.
User Data Request Type (See 7.15)	User-Data-Request-Type	M	Part of the user profile the S-CSCF requests from the HSS (e.g: complete profile). See 3GPP TS 29.229 [5] for all the possible values.
User Data Already Available (See 7.16)	User-Data-Already-Available	M	This indicates if the user profile is already available in the S-CSCF.

Routing Information (See 7.13)	Destination-Host	C	<p>If the S-CSCF knows HSS name Destination-Host AVP shall be present in the command.</p> <p>This information is available if the request belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.</p> <p>This information may not be available if the command is sent as a consequence of a session termination for an unregistered user. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the S-CSCF.</p>
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Table 6.1.2.2: S-CSCF registration/deregistration notification response

Information element name	Mapping to Diameter AVP	Cat.	Description
Private User Identity (See 7.3)	User-Name	C	<p>User private identity.</p> <p>It shall be present if it is available when the HSS sends the response.</p> <p>It may be absent in the following error case: when the Server-Assignment-Type of the request is UNREGISTERED_USER and the received public user identity is not known by the HSS.</p>
Registration result (See 7.6)	Result-Code / Experimental-Result	M	<p>Result of registration.</p> <p>Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.</p> <p>Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.</p>
User Profile (See 7.7)	User-Data	C	<p>Relevant user profile.</p> <p>It shall be present when Server-Assignment-Type in the request is equal to NO_ASSIGNMENT. If the Server-Assignment-Type in the request is equal to REGISTRATION, RE_REGISTRATION or UNREGISTERED_USER the User-Data AVP shall be present according to the rules defined in the section 6.6.</p> <p>If the S-CSCF receives more data than it is prepared to accept, it shall perform the de-registration of the user with User-Authorization-Type set to DEREGISTRATION_TOO_MUCH_DATA and send back a SIP 3xx or 480 (Temporarily Unavailable) response, which shall trigger the selection of a new S-CSCF by the I-CSCF, as specified in 3GPP TS 24.229 [8].</p>
Charging Information (See 7.12)	Charging-Information	O	Addresses of the charging functions.

### 6.1.2.1 Detailed behaviour

On registering/deregistering a public identity the S-CSCF shall inform the HSS. The same procedure is used by the S-CSCF to get the user profile. The relevant user profile downloaded is described in more detailed in the section 6.6. The HSS holds information about the state of registration of all the identities of the user. The S-CSCF uses this procedure to update such state. The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user is known. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check whether the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check the Server Assignment Type value received in the request:

- If it indicates REGISTRATION or RE\_REGISTRATION, the HSS shall download the relevant user public identity information. If set, the flag that indicates that the identity is pending of the confirmation of the authentication shall be cleared. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates UNREGISTERED\_USER, the HSS shall store the S-CSCF name, set the registration state of the public identity as unregistered, i.e. registered as a consequence of a terminating call and download the relevant user public identity information. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

- If it indicates TIMEOUT\_DEREGISTRATION, USER\_DEREGISTRATION, DEREGISTRATION\_TOO\_MUCH\_DATA or ADMINISTRATIVE\_DEREGISTRATION, the HSS shall clear the S-CSCF name for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as not registered. If no public identity is present in the request, the private identity shall be present; the HSS shall clear the S-CSCF name for all the identities of the user and set their registration state to not registered. The Result-Code shall be set to DIAMETER\_SUCCESS.

- If it indicates TIMEOUT\_DEREGISTRATION\_STORE\_SERVER\_NAME or USER\_DEREGISTRATION\_STORE\_SERVER\_NAME the HSS decides whether to keep the S-CSCF name stored or not for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as unregistered. If no public identity is present in the request, the private identity shall be present. If the HSS decided to keep the S-CSCF name stored the HSS keeps the S-CSCF name stored for all the identities of the user and set their registration state to unregistered.

If the HSS decides to keep the S-CSCF name the Result-Code shall be set to DIAMETER\_SUCCESS.

If the HSS decides not to keep the S-CSCF name the Experimental-Result-Code shall be set to DIAMETER\_SUCCESS\_SERVER\_NAME\_NOT\_STORED. [If the HSS received public identities in the request, the HSS shall set the registration state to not registered for the public identity\(ies\) that the S-CSCF indicated in the request. If the HSS received a private identity in the request, the HSS shall set the registration state of all public identities related to the private identity to not registered.](#)

- If it indicates NO\_ASSIGNMENT, the HSS checks whether the user is assigned for the S-CSCF requesting the data and download the user public identity information requested in the User-Data-Request-Type AVP. The Result-Code shall be set to DIAMETER\_SUCCESS. If the requesting S-CSCF is not the same as the assigned S-CSCF, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY.

Only one public identity shall be present in the request. If more than one public identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates AUTHENTICATION\_FAILURE or AUTHENTICATION\_TIMEOUT, the HSS shall clear the S-CSCF name for the public identity that the S-CSCF indicated in the request and set the registration state of the identity as not registered. The flag that indicates that the identity is pending of the confirmation of the authentication shall be cleared. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

See chapter 8.1.2 and 8.1.3 for the description of the handling of the error situations: reception of an S-CSCF name different from the one stored in the HSS and reception of a Server-Assignment-Type value not compatible with the registration state of the user.

*** END OF MODIFICATION ***
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3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031305

CR-Form-v7
<b>CHANGE REQUEST</b>
⌘ <b>29.228 CR 069</b> ⌘ rev <b>2</b> ⌘ Current version: <b>6.0.0</b> ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘	Not registered state after deregistration with S-CSCF deleted at the HSS
<b>Source:</b>	⌘	CN4
<b>Work item code:</b>	⌘	IMS
		<b>Date:</b> ⌘ 15/10/2003
<b>Category:</b>	⌘	<b>A</b>
		Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .
		<b>Release:</b> ⌘ <b>REL-6</b> Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘	The registration status should be set to "not registered" after a Server-Assignment-Request for all kinds of deregistrations where the HSS decides to clear the S-CSCF address.
<b>Summary of change:</b>	⌘	The registration status is changed to "not registered" for these cases
<b>Consequences if not approved:</b>	⌘	At session establishment, the I-CSCF will request the user location to the HSS and the HSS will not be able to provide the S-CSCF name as specified in § 6.1.4.1 for an "unregistered state".

<b>Clauses affected:</b>	⌘	6.1.2.1								
<b>Other specs affected:</b>	⌘	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr> <td style="padding: 2px;">Y</td> <td style="padding: 2px;">N</td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications ⌘ Test specifications ⌘ O&M Specifications ⌘	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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<b>Other comments:</b>	⌘									

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

\*\*\* FIRST MODIFICATION \*\*\*

## 6.1.2 S-CSCF registration/deregistration notification

This procedure is used between the S-CSCF and the HSS. The procedure is invoked by the S-CSCF, corresponds to the combination of the operations Cx-Put and Cx-Pull (see 3GPP TS 23.228 [1]) and is used:

- To assign an S-CSCF to a public identity, or to clear the name of the S-CSCF assigned to one or more public identities.
- To download from HSS the relevant user profile information that the S-CSCF needs to serve the user.

This procedure is mapped to the commands Server-Assignment-Request/Answer in the Diameter application specified in 3GPP TS 29.229 [5]. Tables 6.1.2.1 and 6.1.2.2 describe the involved information elements.

**Table 6.1.2.1: S-CSCF registration/deregistration notification request**

Information element name	Mapping to Diameter AVP	Cat.	Description
Public User Identity (See 7.2)	Public-Identity	C	User public identity or list of user public identities. At least one public identity shall be present if User-Name is not present in the request.
S-CSCF Name (See 7.4)	Server-Name	M	Name of the S-CSCF.
Private User Identity (See 7.3)	User-Name	C	User private identity.  It shall be present if it is available when the S-CSCF issues the request.  It may be absent during the initiation of a session to an unregistered user. In such situation, Server-Assignment-Type shall contain the value UNREGISTERED_USER.  In case of de-registration, Server-Assignment-Type equal to TIMEOUT_DEREGISTRATION, USER_DEREGISTRATION or ADMINISTRATIVE_DEREGISTRATION, if no Public-Identity AVPs are present then User-Name AVP shall be present.
Server Assignment Type (See 7.8)	Server-Assignment-Type	M	Type of update the S-CSCF requests in the HSS (e.g: de-registration). See 3GPP TS 29.229 [5] for all the possible values.
User Data Request Type (See 7.15)	User-Data-Request-Type	M	Part of the user profile the S-CSCF requests from the HSS (e.g: complete profile). See 3GPP TS 29.229 [5] for all the possible values.
User Data Already Available (See 7.16)	User-Data-Already-Available	M	This indicates if the user profile is already available in the S-CSCF.

Routing Information (See 7.13)	Destination-Host	C	<p>If the S-CSCF knows HSS name Destination-Host AVP shall be present in the command.</p> <p>This information is available if the request belongs to an already existing registration, e.g. in case of the re-registration, where the HSS name is stored in the S-CSCF. The HSS name is obtained from the Origin-Host AVP, which is received from the HSS, e.g. included in the MAA command.</p> <p>This information may not be available if the command is sent as a consequence of a session termination for an unregistered user. In this case the Destination-Host AVP is not present and the command is routed to the next Diameter node, e.g. SLF, based on the Diameter routing table in the S-CSCF.</p>
-----------------------------------	------------------	---	---

Table 6.1.2.2: S-CSCF registration/deregistration notification response

Information element name	Mapping to Diameter AVP	Cat.	Description
Private User Identity (See 7.3)	User-Name	C	<p>User private identity.</p> <p>It shall be present if it is available when the HSS sends the response.</p> <p>It may be absent in the following error case: when the Server-Assignment-Type of the request is UNREGISTERED_USER and the received public user identity is not known by the HSS.</p>
Registration result (See 7.6)	Result-Code / Experimental-Result	M	<p>Result of registration.</p> <p>Result-Code AVP shall be used for errors defined in the Diameter Base Protocol.</p> <p>Experimental-Result AVP shall be used for Cx/Dx errors. This is a grouped AVP which contains the 3GPP Vendor ID in the Vendor-Id AVP, and the error code in the Experimental-Result-Code AVP.</p>
User Profile (See 7.7)	User-Data	C	<p>Relevant user profile.</p> <p>It shall be present when Server-Assignment-Type in the request is equal to NO_ASSIGNMENT. If the Server-Assignment-Type in the request is equal to REGISTRATION, RE_REGISTRATION or UNREGISTERED_USER the User-Data AVP shall be present according to the rules defined in the section 6.6.</p> <p>If the S-CSCF receives more data than it is prepared to accept, it shall perform the de-registration of the user with User-Authorization-Type set to DEREGISTRATION_TOO_MUCH_DATA and send back a SIP 3xx or 480 (Temporarily Unavailable) response, which shall trigger the selection of a new S-CSCF by the I-CSCF, as specified in 3GPP TS 24.229 [8].</p>
Charging Information (See 7.12)	Charging-Information	O	Addresses of the charging functions.

### 6.1.2.1 Detailed behaviour

On registering/deregistering a public identity the S-CSCF shall inform the HSS. The same procedure is used by the S-CSCF to get the user profile. The relevant user profile downloaded is described in more detailed in the section 6.6. The HSS holds information about the state of registration of all the identities of the user. The S-CSCF uses this procedure to update such state. The HSS shall, in the following order (in case of an error in any of the steps the HSS shall stop processing and return the corresponding error code, see 3GPP TS 29.229 [5]):

1. Check that the user is known. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_USER\_UNKNOWN.
2. The HSS may check whether the private and public identities received in the request belong to the same user. If not Experimental-Result-Code shall be set to DIAMETER\_ERROR\_IDENTITIES\_DONT\_MATCH.
3. Check the Server Assignment Type value received in the request:
  - If it indicates REGISTRATION or RE\_REGISTRATION, the HSS shall download the relevant user public identity information. . If the public identity's authentication pending flag which is specific for the private identity is set, the HSS shall clear it. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates UNREGISTERED\_USER, the HSS shall store the S-CSCF name, set the registration state of the public identity as unregistered, i.e. registered as a consequence of a terminating call and download the relevant user public identity information. If there are multiple private identities associated to the public identity in the HSS, the HSS shall arbitrarily select one of the private identities and put it into the response message. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

- If it indicates TIMEOUT\_DEREGISTRATION, USER\_DEREGISTRATION, DEREGISTRATION\_TOO\_MUCH\_DATA or ADMINISTRATIVE\_DEREGISTRATION, the HSS shall clear the S-CSCF name associated to the private identity for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as not registered. If no public identity is present in the request, the private identity shall be present; the HSS shall clear the S-CSCF name for all the public identities associated to the private identity and set their registration state to not registered. The Result-Code shall be set to DIAMETER\_SUCCESS.
- If it indicates TIMEOUT\_DEREGISTRATION\_STORE\_SERVER\_NAME or USER\_DEREGISTRATION\_STORE\_SERVER\_NAME the HSS decides whether to keep the S-CSCF name associated to the private identity stored or not for all the public identities that the S-CSCF indicated in the request and set the registration state of the identities as unregistered. If no public identity is present in the request, the private identity shall be present. If the HSS decides to keep the S-CSCF name stored the HSS shall keep the S-CSCF name stored for all the public identities associated to the private identity and set their registration state to unregistered.

If the HSS decides to keep the S-CSCF name the Result-Code shall be set to DIAMETER\_SUCCESS.

If the HSS decides not to keep the S-CSCF name the Experimental-Result-Code shall be set to DIAMETER\_SUCCESS\_SERVER\_NAME\_NOT\_STORED. If the HSS received public identities in the request, the HSS shall set the registration state to not registered for the public identity(ies) that the S-CSCF indicated in the request. If the HSS received a private identity in the request, the HSS shall set the registration state of all public identities related to the private identity to not registered.

- If it indicates NO\_ASSIGNMENT, the HSS checks whether the user is assigned for the S-CSCF requesting the data and download the user public identity information requested in the User-Data-Request-Type AVP. The Result-Code shall be set to DIAMETER\_SUCCESS. If the requesting S-CSCF is not the same as the assigned S-CSCF, the Result-Code shall be set to DIAMETER\_UNABLE\_TO\_COMPLY.

Only one public identity shall be present in the request. If more than one public identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and no user information shall be returned.

- If it indicates AUTHENTICATION\_FAILURE or AUTHENTICATION\_TIMEOUT, the HSS shall clear the S-CSCF name for the public identity associated to the private identity that the S-CSCF indicated in the request and set the registration state of the identity as not registered. If the public identity's authentication pending flag which is specific for the private identity is set, the HSS shall clear it. The Result-Code shall be set to DIAMETER\_SUCCESS.

Only one identity shall be present in the request. If more than one identity is present the Result-Code shall be set to DIAMETER\_AVP\_OCCURS\_TOO\_MANY\_TIMES and the modifications specified in the previous paragraph shall not be performed.

See chapter 8.1.2 and 8.1.3 for the description of the handling of the error situations: reception of an S-CSCF name different from the one stored in the HSS and reception of a Server-Assignment-Type value not compatible with the registration state of the user.

*** END OF MODIFICATION ***
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## CHANGE REQUEST

⌘ **29.228 CR 070** ⌘ rev **-** ⌘ Current version: **5.5.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ The extensibility of the XML schema		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 30/10/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Essential correction. The current Cx user profile XML schema defines several complex types to be extensible. However the extensibility is defined in the form of <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/> The value "##other" of the attribute <i>namespace</i> means that the validator shall check that the extensions are from a different namespace than the default namespace of the schema. To avoid the definition of separate namespaces for the future extensions (e.g. Rel-6) the <i>namespace</i> attribute shall have the value "##any".
<b>Summary of change:</b>	⌘ It is proposed to remove the attribute <i>namespace</i> from the <i>any</i> elements of complex types in XML schema. This causes the default value "##any" to be used for the <i>namespace</i> attribute.
<b>Consequences if not approved:</b>	⌘ Interoperability problems.

<b>Clauses affected:</b>	⌘ CxDataType.xsd file						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<input checked="" type="checkbox"/>	Test specifications					
	<input checked="" type="checkbox"/>	O&M Specifications					
<b>Other comments:</b>	⌘ Similar correction is proposed to Sh XML schema too.						

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" elementFormDefault="qualified"
attributeFormDefault="unqualified">
  <xs:simpleType name="tPriority" final="list restriction">
    <xs:restriction base="xs:int">
      <xs:minInclusive value="0"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="tGroupID" final="list restriction">
    <xs:restriction base="xs:int">
      <xs:minInclusive value="0"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="tDefaultHandling" final="list restriction">
    <xs:restriction base="xs:unsignedByte">
      <xs:maxInclusive value="1"/>
      <xs:enumeration value="0">
        <xs:annotation>
          <xs:documentation>
            <label xml:lang="en">SESSION_CONTINUED</label>
            <definition xml:lang="en">Session Continued</definition>
          </xs:documentation>
        </xs:annotation>
      </xs:enumeration>
      <xs:enumeration value="1">
        <xs:annotation>
          <xs:documentation>
            <label xml:lang="en">SESSION_TERMINATED</label>
            <definition xml:lang="en">Session Terminated</definition>
          </xs:documentation>
        </xs:annotation>
      </xs:enumeration>
    </xs:restriction>
  </xs:simpleType>
```



```

<xs:simpleType name="tDirectionOfRequest" final="list restriction">
  <xs:restriction base="xs:unsignedByte">
    <xs:maxInclusive value="3"/>
    <xs:enumeration value="0">
      <xs:annotation>
        <xs:documentation>
          <label xml:lang="en">ORIGINATING_SESSION</label>
          <definition xml:lang="en">Originating Session</definition>
        </xs:documentation>
      </xs:annotation>
    </xs:enumeration>
    <xs:enumeration value="1">
      <xs:annotation>
        <xs:documentation>
          <label xml:lang="en">TERMINATING_SESSION</label>
          <definition xml:lang="en">Terminating Session</definition>
        </xs:documentation>
      </xs:annotation>
    </xs:enumeration>
    <xs:enumeration value="2">
      <xs:annotation>
        <xs:documentation>
          <label xml:lang="en">TERMINATING_UNREGISTERED</label>
          <definition xml:lang="en">Terminating Session for unregistered user</definition>
        </xs:documentation>
      </xs:annotation>
    </xs:enumeration>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="tPrivateID" final="list restriction">
  <xs:restriction base="xs:anyURI"/>
</xs:simpleType>
<xs:simpleType name="tSIP_URL" final="list restriction">
  <xs:restriction base="xs:anyURI"/>
</xs:simpleType>

```

```

<xs:simpleType name="tTEL_URL" final="list restriction">
  <xs:restriction base="xs:anyURI"/>
</xs:simpleType>
<xs:simpleType name="tIdentity" final="list restriction">
  <xs:union memberTypes="tSIP_URL tTEL_URL"/>
</xs:simpleType>
<xs:simpleType name="tServiceInfo" final="list restriction">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="tString" final="list restriction">
  <xs:restriction base="xs:string">
    <xs:minLength value="0"/>
  </xs:restriction>
</xs:simpleType>
<xs:simpleType name="tBool">
  <xs:restriction base="xs:boolean"/>
</xs:simpleType>
<xs:simpleType name="tSubscribedMediaProfileId" final="list restriction">
  <xs:restriction base="xs:int">
    <xs:minInclusive value="0"/>
  </xs:restriction>
</xs:simpleType>
<xs:complexType name="tIMSSubscription">
  <xs:sequence>
    <xs:element name="PrivateID" type="tPrivateID"/>
    <xs:element name="ServiceProfile" type="tServiceProfile" maxOccurs="unbounded"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tServiceProfile">
  <xs:sequence>
    <xs:element name="PublicIdentity" type="tPublicIdentity" maxOccurs="unbounded"/>

```

```
<xs:element name="CoreNetworkServicesAuthorization" type="tCoreNetworkServicesAuthorization"
minOccurs="0"/>
```

```
<xs:element name="InitialFilterCriteria" type="tInitialFilterCriteria" minOccurs="0"
maxOccurs="unbounded"/>
```

```
<xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
<xs:complexType name="tCoreNetworkServicesAuthorization">
```

```
<xs:sequence>
```

```
<xs:element name="SubscribedMediaProfileId" type="tSubscribedMediaProfileId" minOccurs="0"/>
```

```
<xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
<xs:complexType name="tInitialFilterCriteria">
```

```
<xs:sequence>
```

```
<xs:element name="Priority" type="tPriority"/>
```

```
<xs:element name="TriggerPoint" type="tTrigger" minOccurs="0"/>
```

```
<xs:element name="ApplicationServer" type="tApplicationServer"/>
```

```
<xs:any namespace="##Other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
<xs:complexType name="tTrigger">
```

```
<xs:sequence>
```

```
<xs:element name="ConditionTypeCNF" type="tBool"/>
```

```
<xs:element name="SPT" type="tSePoTri" maxOccurs="unbounded"/>
```

```
<xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
```

```
</xs:sequence>
```

```
</xs:complexType>
```

```
<xs:complexType name="tSePoTri">
```

```
<xs:sequence>
```

```
<xs:element name="ConditionNegated" type="tBool" default="0" minOccurs="0"/>
```

```
<xs:element name="Group" type="tGroupID" maxOccurs="unbounded"/>
```

```
<xs:choice>
```

```
<xs:element name="RequestURI" type="tString"/>
```

```
<xs:element name="Method" type="tString"/>
```

```
<xs:element name="SIPHeader" type="tHeader"/>
```

```

    <xs:element name="SessionCase" type="tDirectionOfRequest"/>
    <xs:element name="SessionDescription" type="tSessionDescription"/>
  </xs:choice>
  <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
</xs:sequence>
</xs:complexType>
<xs:complexType name="tHeader">
  <xs:sequence>
    <xs:element name="Header" type="tString"/>
    <xs:element name="Content" type="tString" minOccurs="0"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tSessionDescription">
  <xs:sequence>
    <xs:element name="Line" type="tString"/>
    <xs:element name="Content" type="tString" minOccurs="0"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tApplicationServer">
  <xs:sequence>
    <xs:element name="ServerName" type="tSIP_URL"/>
    <xs:element name="DefaultHandling" type="tDefaultHandling" minOccurs="0"/>
    <xs:element name="ServiceInfo" type="tServiceInfo" minOccurs="0"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tPublicIdentity">
  <xs:sequence>
    <xs:element name="BarringIndication" type="tBool" default="0" minOccurs="0"/>
    <xs:element name="Identity" type="tIdentity"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:element name="IMSSubscription" type="tIMSSubscription"/>
</xs:schema>

```

## CHANGE REQUEST

⌘ **29.228 CR 071** ⌘ rev **-** ⌘ Current version: **6.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ The extensibility of the XML schema		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 30/10/2003
<b>Category:</b>	⌘ <b>A</b>	<b>Release:</b>	⌘ Rel-6
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Essential correction. The current Cx user profile XML schema defines several complex types to be extensible. However the extensibility is defined in the form of <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/> The value "##other" of the attribute <i>namespace</i> means that the validator shall check that the extensions are from a different namespace than the default namespace of the schema. To avoid the definition of separate namespaces for the future extensions (e.g. Rel-6) the <i>namespace</i> attribute shall have the value "##any".
<b>Summary of change:</b>	⌘ It is proposed to remove the attribute <i>namespace</i> from the <i>any</i> elements of complex types in XML schema. This causes the default value "##any" to be used for the <i>namespace</i> attribute.
<b>Consequences if not approved:</b>	⌘ Interoperability problems.

<b>Clauses affected:</b>	⌘ CxDataType.xsd file						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> O&M Specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
Y	N						
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
<b>Other comments:</b>	⌘ Similar correction is proposed to Sh XML schema too.						

**How to create CRs using this form:**

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- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

```

<?xml version="1.0" encoding="UTF-8"?>
<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema" elementFormDefault="qualified"
attributeFormDefault="unqualified">
  <xs:simpleType name="tPriority" final="list restriction">
    <xs:restriction base="xs:int">
      <xs:minInclusive value="0"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="tGroupID" final="list restriction">
    <xs:restriction base="xs:int">
      <xs:minInclusive value="0"/>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="tDefaultHandling" final="list restriction">
    <xs:restriction base="xs:unsignedByte">
      <xs:maxInclusive value="1"/>
      <xs:enumeration value="0">
        <xs:annotation>
          <xs:documentation>
            <label xml:lang="en">SESSION_CONTINUED</label>
            <definition xml:lang="en">Session Continued</definition>
          </xs:documentation>
        </xs:annotation>
      </xs:enumeration>
      <xs:enumeration value="1">
        <xs:annotation>
          <xs:documentation>
            <label xml:lang="en">SESSION_TERMINATED</label>
            <definition xml:lang="en">Session Terminated</definition>
          </xs:documentation>
        </xs:annotation>
      </xs:enumeration>
    </xs:restriction>
  </xs:simpleType>
  <xs:simpleType name="tDirectionOfRequest" final="list restriction">

```

```
<xs:restriction base="xs:unsignedByte">
  <xs:maxInclusive value="3"/>
  <xs:enumeration value="0">
    <xs:annotation>
      <xs:documentation>
        <label xml:lang="en">ORIGINATING_SESSION</label>
        <definition xml:lang="en">Originating Session</definition>
      </xs:documentation>
    </xs:annotation>
  </xs:enumeration>
  <xs:enumeration value="1">
    <xs:annotation>
      <xs:documentation>
        <label xml:lang="en">TERMINATING_SESSION</label>
        <definition xml:lang="en">Terminating Session</definition>
      </xs:documentation>
    </xs:annotation>
  </xs:enumeration>
  <xs:enumeration value="2">
    <xs:annotation>
      <xs:documentation>
        <label xml:lang="en">TERMINATING_UNREGISTERED</label>
        <definition xml:lang="en">Terminating Session for unregistered user</definition>
      </xs:documentation>
    </xs:annotation>
  </xs:enumeration>
</xs:restriction>
</xs:simpleType>
<xs:simpleType name="tPrivateID" final="list restriction">
  <xs:restriction base="xs:anyURI"/>
</xs:simpleType>
<xs:simpleType name="tSIP_URL" final="list restriction">
  <xs:restriction base="xs:anyURI"/>
</xs:simpleType>
<xs:simpleType name="tTEL_URL" final="list restriction">
```



```

    <xs:restriction base="xs:anyURI"/>
</xs:simpleType>
<xs:simpleType name="tIdentity" final="list restriction">
    <xs:union memberTypes="tSIP_URL tTEL_URL"/>
</xs:simpleType>
<xs:simpleType name="tServiceInfo" final="list restriction">
    <xs:restriction base="xs:string">
        <xs:minLength value="0"/>
    </xs:restriction>
</xs:simpleType>
<xs:simpleType name="tString" final="list restriction">
    <xs:restriction base="xs:string">
        <xs:minLength value="0"/>
    </xs:restriction>
</xs:simpleType>
<xs:simpleType name="tBool">
    <xs:restriction base="xs:boolean"/>
</xs:simpleType>
<xs:simpleType name="tSubscribedMediaProfileId" final="list restriction">
    <xs:restriction base="xs:int">
        <xs:minInclusive value="0"/>
    </xs:restriction>
</xs:simpleType>
<xs:complexType name="tIMSSubscription">
    <xs:sequence>
        <xs:element name="PrivateID" type="tPrivateID"/>
        <xs:element name="ServiceProfile" type="tServiceProfile" maxOccurs="unbounded"/>
        <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
</xs:complexType>
<xs:complexType name="tServiceProfile">
    <xs:sequence>
        <xs:element name="PublicIdentity" type="tPublicIdentity" maxOccurs="unbounded"/>
        <xs:element name="CoreNetworkServicesAuthorization" type="tCoreNetworkServicesAuthorization"
minOccurs="0"/>

```

```

    <xs:element name="InitialFilterCriteria" type="tInitialFilterCriteria" minOccurs="0"
maxOccurs="unbounded"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tCoreNetworkServicesAuthorization">
  <xs:sequence>
    <xs:element name="SubscribedMediaProfileId" type="tSubscribedMediaProfileId" minOccurs="0"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tInitialFilterCriteria">
  <xs:sequence>
    <xs:element name="Priority" type="tPriority"/>
    <xs:element name="TriggerPoint" type="tTrigger" minOccurs="0"/>
    <xs:element name="ApplicationServer" type="tApplicationServer"/>
    <xs:any namespace="##Other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tTrigger">
  <xs:sequence>
    <xs:element name="ConditionTypeCNF" type="tBool"/>
    <xs:element name="SPT" type="tSePoTri" maxOccurs="unbounded"/>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
</xs:complexType>
<xs:complexType name="tSePoTri">
  <xs:sequence>
    <xs:element name="ConditionNegated" type="tBool" default="0" minOccurs="0"/>
    <xs:element name="Group" type="tGroupID" maxOccurs="unbounded"/>
  <xs:choice>
    <xs:element name="RequestURI" type="tString"/>
    <xs:element name="Method" type="tString"/>
    <xs:element name="SIPHeader" type="tHeader"/>
    <xs:element name="SessionCase" type="tDirectionOfRequest"/>
  </xs:choice>

```

```

        <xs:element name="SessionDescription" type="tSessionDescription"/>
    </xs:choice>
    <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
</xs:sequence>
</xs:complexType>
<xs:complexType name="tHeader">
    <xs:sequence>
        <xs:element name="Header" type="tString"/>
        <xs:element name="Content" type="tString" minOccurs="0"/>
    </xs:sequence>
</xs:complexType>
<xs:complexType name="tSessionDescription">
    <xs:sequence>
        <xs:element name="Line" type="tString"/>
        <xs:element name="Content" type="tString" minOccurs="0"/>
    </xs:sequence>
</xs:complexType>
<xs:complexType name="tApplicationServer">
    <xs:sequence>
        <xs:element name="ServerName" type="tSIP_URL"/>
        <xs:element name="DefaultHandling" type="tDefaultHandling" minOccurs="0"/>
        <xs:element name="ServiceInfo" type="tServiceInfo" minOccurs="0"/>
        <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
</xs:complexType>
<xs:complexType name="tPublicIdentity">
    <xs:sequence>
        <xs:element name="BarringIndication" type="tBool" default="0" minOccurs="0"/>
        <xs:element name="Identity" type="tIdentity"/>
        <xs:any namespace="##other" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
    </xs:sequence>
</xs:complexType>
<xs:element name="IMSSubscription" type="tIMSSubscription"/>
</xs:schema>

```

## CHANGE REQUEST

⌘ **29.229 CR 021** ⌘ rev **1** ⌘ Current version: **5.5.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ The S-CSCF name needs to be checked always in MAR and SAR		
<b>Source:</b>	⌘ CN4		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 17/10/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ <b>This is an essential correction.</b> The HSS needs to check the S-CSCF name against the S-CSCF name received in the MAR and SAR commands. Currently the S-CSCF name is mandatory in both commands in 29.228.
<b>Summary of change:</b>	⌘ The S-CSCF name is defined mandatory in MAR and SAR.
<b>Consequences if not approved:</b>	⌘ Misalignment between 29.228 and 29.229.

<b>Clauses affected:</b>	⌘ 6.1.3, 6.1.7										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;">X</td> <td style="text-align: center;"></td> </tr> <tr> <td style="text-align: center;"></td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;"></td> <td style="text-align: center;">X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ 29.228
Y	N										
X											
	X										
	X										
		Test specifications									
		O&M Specifications									
<b>Other comments:</b>	⌘ -										

### How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 6.1.3 Server-Assignment-Request (SAR) Command

The Server-Assignment-Request (SAR) command, indicated by the Command-Code field set to 301 and the 'R' bit set in the Command Flags field, is sent by a Diameter Multimedia client to a Diameter Multimedia server in order to request it to store the name of the server that is currently serving the user.

Message Format

```

<Server-Assignment-Request> ::= < Diameter Header: 301, TBD, REQ, PXY >
    < Session-Id >
    { Vendor-Specific-Application-Id }
    { Auth-Session-State }
    { Origin-Host }
    { Origin-Realm }
    [ Destination-Host ]
    { Destination-Realm }
    [ User-Name ]
    * [ Public-Identity ]
    { Server-Name }
    { Server-Assignment-Type }
    { User-Data-Request-Type }
    { User-Data-Already-Available }
    * [ AVP ]
    * [ Proxy-Info ]
    * [ Route-Record ]

```

### 6.1.7 Multimedia-Auth-Request (MAR) Command

The Multimedia-Auth-Request (MAR) command, indicated by the Command-Code field set to 4 and the 'R' bit set in the Command Flags field, is sent by a Diameter Multimedia client to a Diameter Multimedia server in order to request security information.

Message Format

```

< Multimedia-Auth-Request > ::= < Diameter Header: 303, TBD, REQ >
    < Session-Id >
    { Vendor-Specific-Application-Id }
    { Auth-Session-State }
    { Origin-Host }
    { Origin-Realm }
    { Destination-Realm }
    [ Destination-Host ]
    { User-Name }
    { Public-Identity }
    [ SIP-Auth-Data-Item ]
    [ SIP-Number-Auth-Items ]
    { Server-Name }
    * [ AVP ]
    * [ Proxy-Info ]
    * [ Route-Record ]

```

3GPP TSG-CN4 Meeting #21  
 Bangkok, Thailand, 27<sup>th</sup> to 31<sup>th</sup> October 2003

Tdoc #N4-031241

CR-Form-v7
<b>CHANGE REQUEST</b>
⌘ <b>29.229 CR 027</b> ⌘ rev <b>-</b> ⌘ Current version: <b>5.5.0</b> ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ User-Authorization-Type		
<b>Source:</b>	⌘ Orange		
<b>Work item code:</b>	⌘ IMS	<b>Date:</b>	⌘ 19/09/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ <u>Essential correction:</u>
	The determination of the User-Authorization-Type is based on the value of Expires header in REGISTER although it could be based on expires parameter in Contact too.
<b>Summary of change:</b>	⌘ Expires field is replaced by Expires field or expires parameter in Contact field.
<b>Consequences if not approved:</b>	⌘ Impossible registration or deregistration when the registration contains an expires parameter in Contact header instead of an Expires header.

<b>Clauses affected:</b>	⌘ 6.3.24										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="padding: 2px 5px;">Y</td> <td style="padding: 2px 5px;">N</td> </tr> <tr> <td style="padding: 2px 5px;">X</td> <td style="padding: 2px 5px;"></td> </tr> <tr> <td style="padding: 2px 5px;"></td> <td style="padding: 2px 5px;">X</td> </tr> <tr> <td style="padding: 2px 5px;"></td> <td style="padding: 2px 5px;">X</td> </tr> </table>	Y	N	X			X		X	Other core specifications	⌘ TS 29.228-066
	Y	N									
	X										
	X										
	X										
	Test specifications										
	O&M Specifications										
<b>Other comments:</b>	⌘										

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.



\*\*\* FIRST MODIFICATION \*\*\*

### 6.3.24 User-Authorization-Type AVP

The User-Authorization-Type AVP (AVP code 24) is of type Enumerated, and indicates the type of user authorization being performed in a User Authorization operation, i.e. UAR command. The following values are defined:

#### REGISTRATION (0)

This value is used in case of the initial registration or re-registration. I-CSCF determines this from the Expires field [or expires parameter in Contact field](#) in the SIP REGISTER method if it is not equal to zero.

This is the default value.

#### DE\_REGISTRATION (1)

This value is used in case of the de-registration. I-CSCF determines this from the Expires field [or expires parameter in Contact field](#) in the SIP REGISTER method if it is equal to zero.

#### REGISTRATION\_AND\_CAPABILITIES (2)

This value is used in case of initial registration or re-registration and when the I-CSCF explicitly requests S-CSCF capability information from the HSS. The I-CSCF shall use this value when the user's current S-CSCF, which is stored in the HSS, cannot be contacted and a new S-CSCF needs to be selected

\*\*\* END OF MODIFICATION \*\*\*