

Source: TSG CN WG 1

Title: CRs to R99 (with mirror CRs) on Work Item GSM / UMTS interworking towards 24.008 and 23.122

Agenda item: 7.14

Document for: APPROVAL

Introduction:

This document contains 4 CRs on R99 (with mirror CRs) on Work Item "GSM / UMTS interworking", that have been agreed by TSG CN WG1, and are forwarded to TSG CN Plenary meeting #12 for approval.

Spec	CR	Rev	Doc-2nd-Level	Phase	Subject	Cat	Version-Current	Workitem
24.008	399		N1-010615	R99	Stored list of equivalent PLMNs and error/abnormal cases	F	3.7.0	GSM/UMTS interworking
24.008	400		N1-010616	Rel-4	Stored list of equivalent PLMNs and error/abnormal cases	A	4.2.0	GSM/UMTS interworking
23.122	023	1	N1-010668	R99	Stored list of equivalent PLMNs and error/abnormal cases	F	3.6.0	GSM/UMTS interworking
23.122	024	1	N1-010669	Rel-4	Stored list of equivalent PLMNs and error/abnormal cases	A	4.0.0	GSM/UMTS interworking

CHANGE REQUEST

⌘ **23.122 CR 023** ⌘ rev **1** ⌘ Current version: **3.6.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘ Stored list of equivalent PLMNs and error/abnormal cases		
Source:	⌘ Telia AB		
Work item code:	⌘ GSM-UMTS INTERWORKING	Date:	⌘ 30 April 2001
Category:	⌘ F	Release:	⌘ R99
Use <u>one</u> of the following categories: F (essential correction) A (corresponds to a correction in an earlier release) B (Addition of feature), C (Functional modification of feature) D (Editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)	

Reason for change:	⌘ At TSG #11 the mechanism of equivalent PLMNs was introduced. In some situations the UE/MS shall delete the RPLMN. It is not explicitly stated that the stored list of equivalent PLMNs shall then also be deleted.
Summary of change:	⌘ Explicit statement that the stored list of equivalent PLMNs shall be deleted when the RPLMN is deleted.
Consequences if not approved:	⌘ Uncertainty whether to delete the list or not.

Clauses affected:	⌘
Other specs affected:	⌘ <input type="checkbox"/> Other core specifications ⌘ <input type="checkbox"/> <input type="checkbox"/> Test specifications <input type="checkbox"/> O&M Specifications
Other comments:	⌘ Mirror CR is required for REL-4.

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://www.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5 Tables and Figures

Table 1: Effect of LR Outcomes on PLMN Registration

Location Registration Task State	Registration Status	Registered PLMN is
Updated	Successful	Indicated in the stored registration area identity
Idle, No IMSI	Unsuccessful	No registered PLMN (3) (4)
Roaming not allowed:		
a) PLMN not allowed	Unsuccessful	No registered PLMN (4)
b) LA not allowed	Indeterminate(1)	No registered PLMN (4)
c) Roaming not allowed in this LA	Indeterminate (2)	No registered PLMN (4)
Not updated	Unsuccessful	No registered PLMN (4)

1) The MS will eventually either enter a different state when the registration status will be determined, or fail to be able to camp on a cell, when registration will be unsuccessful.

2) The MS will select the HPLMN if in automatic mode and will enter Automatic Network Selection Mode Procedure of subclause 4.4.3.1. If in manual mode, the MS will display the list of available PLMNs and follow the Manual Network Selection Mode Procedure of subclause 4.4.3.1.2 If the appropriate process does not result in registration, the MS will eventually enter the limited service state.

3) A MS may have different update states for GPRS and non-GPRS. A PLMN is registered when at least one of both update states is updated.

4) If there is no registered PLMN, the stored list of equivalent PLMNs is invalid.

NOTE 1: MSs capable of GPRS and non-GPRS services may have different registration status for GPRS and for non-GPRS.

NOTE 2: The registered PLMN is determined by looking at the stored registration area identity and stored location registration status.

CHANGE REQUEST

⌘ **23.122 CR 024** ⌘ rev **1** ⌘ Current version: **4.0.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘ Stored list of equivalent PLMNs and error/abnormal cases		
Source:	⌘ Telia AB		
Work item code:	⌘ GSM-UMTS INTERWORKING	Date:	⌘ 30 April 2001
Category:	⌘ A	Release:	⌘ Rel-4
<p>Use <u>one</u> of the following categories:</p> <p>F (essential correction) A (corresponds to a correction in an earlier release) B (Addition of feature), C (Functional modification of feature) D (Editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>		<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)</p>	

Reason for change:	⌘ At TSG #11 the mechanism of equivalent PLMNs was introduced. In some situations the UE/MS shall delete the RPLMN. It is not explicitly stated that the stored list of equivalent PLMNs shall then also be deleted.
Summary of change:	⌘ Explicit statement that the stored list of equivalent PLMNs shall be deleted when the RPLMN is deleted.
Consequences if not approved:	⌘ Uncertainty whether to delete the list or not.

Clauses affected:	⌘		
Other specs affected:	<input type="checkbox"/> Other core specifications <input type="checkbox"/> Test specifications <input type="checkbox"/> O&M Specifications	⌘	
Other comments:	⌘		

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5 Tables and Figures

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Location Registration Task State	Registration Status	Registered PLMN is
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Roaming not allowed:		
a) PLMN not allowed	Unsuccessful	No registered PLMN (4)
b) LA not allowed	Indeterminate(1)	No registered PLMN (4)
c) Roaming not allowed in this LA	Indeterminate (2)	No registered PLMN (4)
Not updated	Unsuccessful	No registered PLMN (4)

1) The MS will eventually either enter a different state when the registration status will be determined, or fail to be able to camp on a cell, when registration will be unsuccessful.

2) The MS will select the HPLMN if in automatic mode and will enter Automatic Network Selection Mode Procedure of subclause 4.4.3.1. If in manual mode, the MS will display the list of available PLMNs and follow the Manual Network Selection Mode Procedure of subclause 4.4.3.1.2 If the appropriate process does not result in registration, the MS will eventually enter the limited service state.

3) A MS may have different update states for GPRS and non-GPRS. A PLMN is registered when at least one of both update states is updated.

4) If there is no registered PLMN, the stored list of equivalent PLMNs is invalid.

NOTE 1: MSs capable of GPRS and non-GPRS services may have different registration status for GPRS and for non-GPRS.

NOTE 2: The registered PLMN is determined by looking at the stored registration area identity and stored location registration status.

CHANGE REQUEST

⌘ **24.008 CR 399** ⌘ rev ⌘ Current version: **3.7.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘	Stored list of equivalent PLMNs and error/abnormal cases	
Source:	⌘	Telia AB	
Work item code:	⌘	GSM-UMTS INTERWORKING	Date: ⌘ 30 April 2001
Category:	⌘	F	Release: ⌘ R99
		<p>Use <u>one</u> of the following categories:</p> <p>F (essential correction)</p> <p>A (corresponds to a correction in an earlier release)</p> <p>B (Addition of feature),</p> <p>C (Functional modification of feature)</p> <p>D (Editorial modification)</p> <p>Detailed explanations of the above categories can be found in 3GPP TR 21.900.</p>	<p>Use <u>one</u> of the following releases:</p> <p>2 (GSM Phase 2)</p> <p>R96 (Release 1996)</p> <p>R97 (Release 1997)</p> <p>R98 (Release 1998)</p> <p>R99 (Release 1999)</p> <p>REL-4 (Release 4)</p> <p>REL-5 (Release 5)</p>

Reason for change:	⌘	In CR 390r1 (TDoc NP-010209) the list of equivalent PLMNs was introduced. Unfortunately, in a couple of error cases, where this list is to be deleted, it was forgotten to state explicitly that the list should be deleted.
Summary of change:	⌘	Explicit statement that the stored list of equivalent PLMNs shall be deleted.
Consequences if not approved:	⌘	Uncertainty whether to delete the list or not.

Clauses affected:	⌘	
Other specs affected:	⌘	<input checked="" type="checkbox"/> Other core specifications ⌘ <input type="checkbox"/> Test specifications ⌘ <input type="checkbox"/> O&M Specifications ⌘
Other comments:	⌘	Mirror CR is required for REL-4.

How to create CRs using this form:

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4.4.4.9 Abnormal cases on the mobile station side

The different abnormal cases that can be identified are the following:

- a) Access barred because of access class control

The location updating procedure is not started. The mobile station stays in the current serving cell and applies normal cell reselection process. The procedure is started as soon as possible and if still necessary (when the barred state is ended or because of a cell change)

- b) The answer to random access is an IMMEDIATE ASSIGNMENT REJECT message (A/Gb mode only)

The location updating is not started. The mobile station stays in the chosen cell and applies normal cell selection process. The waiting timer T3122 is reset when a cell change occurs. The procedure is started as soon as possible after T3122 timeout if still necessary.

- c) Random access failure (A/Gb mode only)

Timer T3213 is started. When it expires the procedure is attempted again if still necessary.

NOTE: As specified in GSM 05.08, a cell reselection then takes place, with return to the cell inhibited for 5 seconds if there is at least one other suitable cell. Typically the selection process will take the mobile station back to the cell where the random access failed after 5 seconds.

If at the expiry of timer T3213 a new cell has not been selected due to the lack of valid information (see GSM 05.08), the mobile station may as an option delay the repeated attempt for up to 8 seconds to allow cell reselection to take place. In this case the procedure is attempted as soon as a new cell has been selected or the mobile station has concluded that no other cell can be selected.

If random access failure occurs for two successive random access attempts for location updating the mobile station proceeds as specified below.

- d) RR connection failure

The procedure is aborted and the mobile station proceeds as specified below.

- e) T3210 timeout

The procedure is aborted, the RR connection is aborted and the MS proceeds as specified below.

- f) RR release before the normal end of procedure

The procedure is aborted and the mobile station proceeds as specified below.

- g) Location updating reject, other causes than those treated in section 4.4.4.7

The MS waits for release of the RR connection as specified in section 4.4.4.8, and then proceeds as specified below.

- h) RR connection establishment failure (Iu mode only)

The procedure is aborted and the mobile station proceeds as specified below.

NOTE: Case h) covers all cases when the signalling connection cannot be established, including random access failure and access reject. As the RRC protocol has error specific retransmission mechanisms (see 3GPP TS 25.331), there is no need to distinguish between the different error cases within MM.

In cases d) to h) above and for repeated failures as defined in c) above the mobile station proceeds as follows. Timer T3210 is stopped if still running. The RR Connection is aborted in case of timer T3210 timeout. The attempt counter is incremented. The next actions depend on the Location Area Identities (stored and received from the BCCH of the current serving cell) and the value of the attempt counter.

- the update status is UPDATED, and the stored LAI is equal to the one received on the BCCH from the current serving cell and the attempt counter is smaller than 4:

The mobile station shall keep the update status to UPDATED, the MM IDLE sub-state after the RR connection release is NORMAL SERVICE. The mobile station shall memorize the location updating type used in the location updating procedure. It shall start timer T3211 when the RR connection is released. When timer T3211 expires the location updating procedure is triggered again with the memorized location updating type;

- either the update status is different from UPDATED, or the stored LAI is different from the one received on the BCCH from the current serving cell, or the attempt counter is greater or equal to 4:

The mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM, and list of equivalent PLMNs, set the update status to NOT UPDATED and enter the MM IDLE sub-state ATTEMPTING TO UPDATE when the RR connection is released (See section 4.2.2.2 for the subsequent actions). If the attempt counter is smaller than 4, the mobile station shall memorize that timer T3211 is to be started when the RR connection is released, otherwise it shall memorize that timer T3212 is to be started when the RR connection is released.

***** NEXT MODIFIED SECTION *****

4.7.3.1.5 Abnormal cases in the MS

The following abnormal cases can be identified:

- a) Access barred because of access class control

The GPRS attach procedure shall not be started. The MS stays in the current serving cell and applies normal cell reselection process. The GPRS attach procedure is started as soon as possible, i.e. when access is granted or because of a cell change.

- b) Lower layer failure before the ATTACH ACCEPT or ATTACH REJECT message is received

The procedure shall be aborted. The MS shall proceed as described below.

- c) T3310 time-out

On the first expiry of the timer, the MS reset and restart timer T3310 and shall retransmit the ATTACH REQUEST message. This retransmission is repeated four times, i.e. on the fifth expiry of timer T3310, the GPRS attach procedure shall be aborted and the MS shall proceed as described below.

- d) ATTACH REJECT, other causes than those treated in section 4.7.3.1.4
The MS shall proceed as described below.

- e) Change of cell within the same RA (GSM only)

If a cell change occurs within the same RA when the MS is in state GMM-REGISTERED-INITIATED, then the cell update procedure shall be performed before completion of the attach procedure.

- f) Change of cell into a new routing area

If a cell change into a new routing area occurs before an ATTACH ACCEPT or ATTACH REJECT message has been received, the GPRS attach procedure shall be aborted and re-initiated immediately. If a routing area border is crossed when the ATTACH ACCEPT message is received but before an ATTACH COMPLETE message is sent, the GPRS attach procedure shall be aborted and the routing area updating procedure shall be initiated. If a P-TMSI was allocated during the GPRS attach procedure, this P-TMSI shall be used in the routing area updating procedure. If a P-TMSI signature was allocated together with the P-TMSI during the GPRS attach procedure, this P-TMSI signature shall be used in the routing area updating procedure.

- g) Mobile originated detach required

If the MS is in state GMM-REGISTERED-INITIATED, the GPRS attach procedure shall be aborted and the GPRS detach procedure shall be performed (see 4.7.4.1).

h) Procedure collision

If the MS receives a DETACH REQUEST message from the network in state GMM-REGISTERED-INITIATED with type of detach re-attach not required, the GPRS detach procedure shall be progressed and the GPRS attach procedure shall be aborted. Otherwise the GPRS attach procedure shall be progressed and the DETACH REQUEST message shall be ignored.

In cases b, c and d the MS shall proceed as follows. Timer T3310 shall be stopped if still running. The GPRS attach attempt counter shall be incremented.

If the GPRS attach attempt counter is less than 5:

- timer T3311 is started and the state is changed to GMM-DEREGISTERED.ATTEMPTING-TO-ATTACH.

If the GPRS attach attempt counter is greater than or equal to 5:

- the MS shall delete any RAI, P-TMSI, P-TMSI signature, list of equivalent PLMNs, and GPRS ciphering key sequence number, shall set the GPRS update status to GU2 NOT UPDATED, shall start timer T3302. The state is changed to GMM-DEREGISTERED..ATTEMPTING-TO-ATTACH or optionally to GMM-DEREGISTERED.PLMN-SEARCH (see 4.2.4.1.2).
- In UMTS, in case c the MS shall release the PS signaling connection and in case d the network shall release the PS signaling connection for this MS (see 3GPP TS 25.331).

***** NEXT MODIFIED SECTION *****

4.7.3.2.4 Combined GPRS attach not accepted by the network

If the attach request can neither be accepted by the network for GPRS nor for non-GPRS services, an ATTACH REJECT message is transferred to the MS. The MS receiving the ATTACH REJECT message stops timer T3310, deletes the list of equivalent PLMNs, and takes one of the following actions depending upon the reject cause:

- # 3 (Illegal MS);
- # 6 (Illegal ME); or
- # 7 (GPRS services not allowed)

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The SIM shall be considered as invalid for GPRS services until switching off or the SIM is removed. The new GMM state is GMM-DEREGISTERED; the MM state is MM IDLE. A GPRS MS operating in MS operation mode A shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure; a GPRS MS operating in MS operation mode B shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure.

- # 8 (GPRS services and non-GPRS services not allowed)

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The new GMM state is GMM-DEREGISTERED. The new MM state is MM IDLE.

The MS shall set the update status to U3 ROAMING NOT ALLOWED, shall delete any TMSI, LAI and ciphering key sequence number. The SIM shall be considered as invalid for GPRS and non-GPRS services until switching off or the SIM is removed.

- # 11 (PLMN not allowed);
- # 12 (Location area not allowed); or

13 (Roaming not allowed in this location area).

The MS shall delete any RAI, P-TMSI, P-TMSI signature and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2), shall reset the routing area updating attempt counter and reset the GPRS attach attempt counter and changes to state GMM-DEREGISTERED. The MS shall set the update status to U3 ROAMING NOT ALLOWED, reset the location update attempt counter and shall delete any TMSI, LAI and ciphering key sequence number. The new MM state is MM IDLE.

The MS shall store the LAI or the PLMN identity in the appropriate forbidden list, i.e. in the "forbidden PLMN list" for cause #11, in the list of "forbidden location areas for regional provision of service" for cause #12 or in the list of "forbidden location areas for roaming" for cause #13. If cause #11 or #13 was received, the MS shall perform a PLMN selection instead of a cell selection.

14 (GPRS services not allowed in this PLMN)

The MS shall delete any RAI, P-TMSI, P-TMSI signature, and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall change to state GMM-DEREGISTERED.

The MS shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list.

A GPRS MS operating in MS operation mode A or B shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure.

Other values are considered as abnormal cases. The specification of the MS behaviour in those cases is specified in section 4.7.3.2.5.

4.7.3.2.5 Abnormal cases in the MS

The abnormal cases specified in section 4.7.3.1.5 apply with the following modification:

If the GPRS attach attempt counter is less than 5, the MM state remains MM LOCATION UPDATING PENDING.

If the GPRS attach attempt counter is incremented according to section 4.7.3.1.5 the next actions depend on the Location Area Identities (stored on SIM and the one of the current serving cell) and the value of the attach attempt counter:

- if the update status is U1 UPDATED, and the stored LAI is equal to the one of the current serving cell and the attach attempt counter is smaller than 5, then the mobile station shall keep the update status to U1 UPDATED, the new MM state is MM IDLE substate NORMAL SERVICE; or
- if the update status is different from U1 UPDATED, or the stored LAI is different from the one of the current serving cell, or the attach attempt counter is greater or equal to 5, then the mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM and list of equivalent PLMNs and set the update status to U2 NOT UPDATED. The new MM state is MM IDLE substate ATTEMPTING TO UPDATE.

***** NEXT MODIFIED SECTION *****

4.7.5.2.4 Combined routing area updating not accepted by the network

If the combined routing area updating cannot be accepted, the network sends a ROUTING AREA UPDATE REJECT message to the MS. An MS that receives a ROUTING AREA UPDATE REJECT message stops timer T3330, deletes the list of equivalent PLMNs, and enters state MM IDLE. The MS shall then take different actions depending on the received reject cause:

3 (Illegal MS);

6 (Illegal ME);

7 (GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The SIM shall be considered as invalid for GPRS services until switching off or the SIM is removed. The new state is GMM-DEREGISTERED. If in the MS the timer T3212 is not already running, the timer shall be set to its initial value and restarted.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network and shall then proceed with the appropriate MM specific procedure according to the MM service state.

8 (GPRS services and non GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED and the update status to U3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and enter the state GMM-DEREGISTERED. Furthermore, it shall delete any P-TMSI, P-TMSI signature, TMSI, RAI, LAI, ciphering key sequence number and GPRS ciphering key sequence number and shall consider the SIM as invalid for GPRS and non GPRS services until switching off or the SIM is removed.

9 (MS identity cannot be derived by the network);

The MS shall set the GPRS update status to GU2 NOT UPDATED (and shall store it according to section 4.1.3.2), enter the state GMM-DEREGISTERED, and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. Subsequently, the MS may automatically initiate the GPRS attach procedure.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network.

10 (Implicitly detached);

A GPRS MS operating in MS operation mode A or B in network operation mode I, is IMSI detached for both GPRS and CS services in the network.

The MS shall change to state GMM-DEREGISTERED.NORMAL-SERVICE. The MS shall then perform a new attach procedure. The MS should also activate PDP context(s) to replace any previously active PDP contexts.

NOTE: In some cases, user interaction may be required and then the MS cannot activate the PDP context(s) automatically.

11 (PLMN not allowed);

12 (Location area not allowed);

13 (Roaming not allowed in this location area); or

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED and the update status to U3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and enter the state GMM-DEREGISTERED. Furthermore, it shall delete any P-TMSI, P-TMSI signature, TMSI, RAI, LAI, ciphering key sequence number GPRS ciphering key sequence number, and reset the location update attempt counter.

The MS shall store the LAI or the PLMN identity in the appropriate forbidden list, i.e. in the "forbidden PLMN list" for cause #11, in the list of "forbidden location areas for regional provision of service" for cause #12 or in the list of "forbidden location areas for roaming" for cause #13. If #11 or #13 was received, the MS shall then perform a PLMN selection instead of a cell selection.

14 (GPRS services not allowed in this PLMN)

The MS shall delete any RAI, P-TMSI, P-TMSI signature, and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall change to state GMM-DEREGISTERED. If in the MS the timer T3212 is not already running, the timer shall be set to its initial value and restarted.

The MS shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network and shall then proceed with the appropriate MM specific procedure according to the MM service state.

Other values are considered as abnormal cases. The specification of the MS behaviour in those cases is described in section 4.7.5.2.5.

4.7.5.2.5 Abnormal cases in the MS

The abnormal cases specified in section 4.7.5.1.5 apply with the following modification:

If the GPRS routing area updating counter is less than 5, the MM state remains MM LOCATION UPDATING PENDING.

If the GPRS routing area updating attempt counter is incremented according to section 4.7.5.1.5 the next actions depend on the Location Area Identities (stored on SIM and the one of the current serving cell) and the value of the routing area updating attempt counter.

- if the update status is U1 UPDATED, and the stored LAI is equal to the one of the current serving cell and the routing area updating attempt counter is smaller than 5, then the mobile station shall keep the update status to U1 UPDATED, the new MM state is MM IDLE substate NORMAL SERVICE, or
- if the update status is different from U1 UPDATED, or the stored LAI is different from the one of the current serving cell, or the routing area updating attempt counter is greater or equal to 5, the mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM and list of equivalent PLMNs and set the update status to U2 NOT UPDATED. The new MM state is MM IDLE substate ATTEMPTING TO UPDATE.

CHANGE REQUEST

⌘ **24.008 CR 400** ⌘ rev ⌘ Current version: **4.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘	Stored list of equivalent PLMNs and error/abnormal cases	
Source:	⌘	Telia AB	
Work item code:	⌘	GSM-UMTS INTERWORKING	Date: ⌘ 30 April 2001
Category:	⌘	A	Release: ⌘ Rel-4
		<i>Use <u>one</u> of the following categories:</i> F (essential correction) A (corresponds to a correction in an earlier release) B (Addition of feature), C (Functional modification of feature) D (Editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.	<i>Use <u>one</u> of the following releases:</i> 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)

Reason for change:	⌘	In CR 391r1 (TDoc NP-010210) the list of equivalent PLMNs was introduced. Unfortunately, in a couple of error cases, where this list is to be deleted, it was forgotten to state explicitly that the list should be deleted.
Summary of change:	⌘	Explicit statement that the stored list of equivalent PLMNs shall be deleted.
Consequences if not approved:	⌘	Uncertainty whether to delete the list or not.

Clauses affected:	⌘		
Other specs affected:	⌘	<input checked="" type="checkbox"/> Other core specifications	⌘
	⌘	<input type="checkbox"/> Test specifications	⌘
	⌘	<input type="checkbox"/> O&M Specifications	⌘
Other comments:	⌘		

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://www.3gpp.org/specs/>. For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.4.4.9 Abnormal cases on the mobile station side

The different abnormal cases that can be identified are the following:

- a) Access barred because of access class control

The location updating procedure is not started. The mobile station stays in the current serving cell and applies normal cell reselection process. The procedure is started as soon as possible and if still necessary (when the barred state is ended or because of a cell change)

- b) The answer to random access is an IMMEDIATE ASSIGNMENT REJECT message (A/Gb mode only)

The location updating is not started. The mobile station stays in the chosen cell and applies normal cell selection process. The waiting timer T3122 is reset when a cell change occurs. The procedure is started as soon as possible after T3122 timeout if still necessary.

- c) Random access failure (A/Gb mode only)

Timer T3213 is started. When it expires the procedure is attempted again if still necessary.

NOTE: As specified in 3GPP TS 05.08, a cell reselection then takes place, with return to the cell inhibited for 5 seconds if there is at least one other suitable cell. Typically the selection process will take the mobile station back to the cell where the random access failed after 5 seconds.

If at the expiry of timer T3213 a new cell has not been selected due to the lack of valid information (see 3GPP TS 05.08), the mobile station may as an option delay the repeated attempt for up to 8 seconds to allow cell reselection to take place. In this case the procedure is attempted as soon as a new cell has been selected or the mobile station has concluded that no other cell can be selected.

If random access failure occurs for two successive random access attempts for location updating the mobile station proceeds as specified below.

- d) RR connection failure

The procedure is aborted and the mobile station proceeds as specified below.

- e) T3210 timeout

The procedure is aborted, the RR connection is aborted and the MS proceeds as specified below.

- f) RR release before the normal end of procedure

The procedure is aborted and the mobile station proceeds as specified below.

- g) Location updating reject, other causes than those treated in section 4.4.4.7

The MS waits for release of the RR connection as specified in section 4.4.4.8, and then proceeds as specified below.

- h) RR connection establishment failure (Iu mode only)

The procedure is aborted and the mobile station proceeds as specified below.

NOTE: Case h) covers all cases when the signalling connection cannot be established, including random access failure and access reject. As the RRC protocol has error specific retransmission mechanisms (see 3GPP TS 25.331), there is no need to distinguish between the different error cases within MM.

In cases d) to h) above and for repeated failures as defined in c) above the mobile station proceeds as follows. Timer T3210 is stopped if still running. The RR Connection is aborted in case of timer T3210 timeout. The attempt counter is incremented. The next actions depend on the Location Area Identities (stored and received from the BCCH of the current serving cell) and the value of the attempt counter.

- the update status is UPDATED, and the stored LAI is equal to the one received on the BCCH from the current serving cell and the attempt counter is smaller than 4:

The mobile station shall keep the update status to UPDATED, the MM IDLE sub-state after the RR connection release is NORMAL SERVICE. The mobile station shall memorize the location updating type used in the location updating procedure. It shall start timer T3211 when the RR connection is released. When timer T3211 expires the location updating procedure is triggered again with the memorized location updating type;

- either the update status is different from UPDATED, or the stored LAI is different from the one received on the BCCH from the current serving cell, or the attempt counter is greater or equal to 4:

The mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM, and list of equivalent PLMNs, set the update status to NOT UPDATED and enter the MM IDLE sub-state ATTEMPTING TO UPDATE when the RR connection is released (See section 4.2.2.2 for the subsequent actions). If the attempt counter is smaller than 4, the mobile station shall memorize that timer T3211 is to be started when the RR connection is released, otherwise it shall memorize that timer T3212 is to be started when the RR connection is released.

***** NEXT MODIFIED SECTION *****

4.7.3.1.5 Abnormal cases in the MS

The following abnormal cases can be identified:

- a) Access barred because of access class control

The GPRS attach procedure shall not be started. The MS stays in the current serving cell and applies normal cell reselection process. The GPRS attach procedure is started as soon as possible, i.e. when access is granted or because of a cell change.

- b) Lower layer failure before the ATTACH ACCEPT or ATTACH REJECT message is received

The procedure shall be aborted. The MS shall proceed as described below.

- c) T3310 time-out

On the first expiry of the timer, the MS reset and restart timer T3310 and shall retransmit the ATTACH REQUEST message. This retransmission is repeated four times, i.e. on the fifth expiry of timer T3310, the GPRS attach procedure shall be aborted and the MS shall proceed as described below.

- d) ATTACH REJECT, other causes than those treated in section 4.7.3.1.4
The MS shall proceed as described below.

- e) Change of cell within the same RA (GSM only)

If a cell change occurs within the same RA when the MS is in state GMM-REGISTERED-INITIATED, then the cell update procedure shall be performed before completion of the attach procedure.

- f) Change of cell into a new routing area

If a cell change into a new routing area occurs before an ATTACH ACCEPT or ATTACH REJECT message has been received, the GPRS attach procedure shall be aborted and re-initiated immediately. If a routing area border is crossed when the ATTACH ACCEPT message is received but before an ATTACH COMPLETE message is sent, the GPRS attach procedure shall be aborted and the routing area updating procedure shall be initiated. If a P-TMSI was allocated during the GPRS attach procedure, this P-TMSI shall be used in the routing area updating procedure. If a P-TMSI signature was allocated together with the P-TMSI during the GPRS attach procedure, this P-TMSI signature shall be used in the routing area updating procedure.

- g) Mobile originated detach required

If the MS is in state GMM-REGISTERED-INITIATED, the GPRS attach procedure shall be aborted and the GPRS detach procedure shall be performed (see 4.7.4.1).

h) Procedure collision

If the MS receives a DETACH REQUEST message from the network in state GMM-REGISTERED-INITIATED with type of detach re-attach not required, the GPRS detach procedure shall be progressed and the GPRS attach procedure shall be aborted. Otherwise the GPRS attach procedure shall be progressed and the DETACH REQUEST message shall be ignored.

In cases b, c and d the MS shall proceed as follows. Timer T3310 shall be stopped if still running. The GPRS attach attempt counter shall be incremented.

If the GPRS attach attempt counter is less than 5:

- timer T3311 is started and the state is changed to GMM-DEREGISTERED.ATTEMPTING-TO-ATTACH.

If the GPRS attach attempt counter is greater than or equal to 5:

- the MS shall delete any RAI, P-TMSI, P-TMSI signature, list of equivalent PLMNs, and GPRS ciphering key sequence number, shall set the GPRS update status to GU2 NOT UPDATED, shall start timer T3302. The state is changed to GMM-DEREGISTERED..ATTEMPTING-TO-ATTACH or optionally to GMM-DEREGISTERED.PLMN-SEARCH (see 4.2.4.1.2).
- In UMTS, in case c the MS shall release the PS signaling connection and in case d the network shall release the PS signaling connection for this MS (see 3GPP TS 25.331).

***** NEXT MODIFIED SECTION *****

4.7.3.2.4 Combined GPRS attach not accepted by the network

If the attach request can neither be accepted by the network for GPRS nor for non-GPRS services, an ATTACH REJECT message is transferred to the MS. The MS receiving the ATTACH REJECT message stops timer T3310, deletes the list of equivalent PLMNs, and takes one of the following actions depending upon the reject cause:

- # 3 (Illegal MS);
- # 6 (Illegal ME);
- # 7 (GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The SIM shall be considered as invalid for GPRS services until switching off or the SIM is removed. The new GMM state is GMM-DEREGISTERED; the MM state is MM IDLE. A GPRS MS operating in MS operation mode A shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure; a GPRS MS operating in MS operation mode B shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure.

- # 8 (GPRS services and non-GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The new GMM state is GMM-DEREGISTERED. The new MM state is MM IDLE.

The MS shall set the update status to U3 ROAMING NOT ALLOWED, shall delete any TMSI, LAI and ciphering key sequence number. The SIM shall be considered as invalid for GPRS and non-GPRS services until switching off or the SIM is removed.

- # 11 (PLMN not allowed);
- # 12 (Location area not allowed);

13 (Roaming not allowed in this location area); or

The MS shall delete any RAI, P-TMSI, P-TMSI signature and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2), shall reset the routing area updating attempt counter and reset the GPRS attach attempt counter and changes to state GMM-DEREGISTERED. The MS shall set the update status to U3 ROAMING NOT ALLOWED, reset the location update attempt counter and shall delete any TMSI, LAI and ciphering key sequence number. The new MM state is MM IDLE.

The MS shall store the LAI or the PLMN identity in the appropriate forbidden list, i.e. in the "forbidden PLMN list" for cause #11, in the list of "forbidden location areas for regional provision of service" for cause #12 or in the list of "forbidden location areas for roaming" for cause #13. If cause #11 or #13 was received, the MS shall perform a PLMN selection instead of a cell selection.

14 (GPRS services not allowed in this PLMN)

The MS shall delete any RAI, P-TMSI, P-TMSI signature, and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall change to state GMM-DEREGISTERED.

The MS shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list.

A GPRS MS operating in MS operation mode A or B shall then perform an IMSI attach for non-GPRS services by use of the MM IMSI attach procedure.

Other values are considered as abnormal cases. The specification of the MS behaviour in those cases is specified in section 4.7.3.2.5.

4.7.3.2.5 Abnormal cases in the MS

The abnormal cases specified in section 4.7.3.1.5 apply with the following modification:

If the GPRS attach attempt counter is less than 5, the MM state remains MM LOCATION UPDATING PENDING.

If the GPRS attach attempt counter is incremented according to section 4.7.3.1.5 the next actions depend on the Location Area Identities (stored on SIM and the one of the current serving cell) and the value of the attach attempt counter:

- if the update status is U1 UPDATED, and the stored LAI is equal to the one of the current serving cell and the attach attempt counter is smaller than 5, then the mobile station shall keep the update status to U1 UPDATED, the new MM state is MM IDLE substate NORMAL SERVICE; or
- if the update status is different from U1 UPDATED, or the stored LAI is different from the one of the current serving cell, or the attach attempt counter is greater or equal to 5, then the mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM and list of equivalent PLMNs and set the update status to U2 NOT UPDATED. The new MM state is MM IDLE substate ATTEMPTING TO UPDATE.

***** NEXT MODIFIED SECTION *****

4.7.5.2.4 Combined routing area updating not accepted by the network

If the combined routing area updating cannot be accepted, the network sends a ROUTING AREA UPDATE REJECT message to the MS. An MS that receives a ROUTING AREA UPDATE REJECT message stops timer T3330, deletes the list of equivalent PLMNs, and enters state MM IDLE. The MS shall then take different actions depending on the received reject cause:

3 (Illegal MS);

6 (Illegal ME);

7 (GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. The SIM shall be considered as invalid for GPRS services until switching off or the SIM is removed. The new state is GMM-DEREGISTERED. If in the MS the timer T3212 is not already running, the timer shall be set to its initial value and restarted.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network. and shall then proceed with the appropriate MM specific procedure according to the MM service state

8 (GPRS services and non GPRS services not allowed);

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED and the update status to U3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and enter the state GMM-DEREGISTERED. Furthermore, it shall delete any P-TMSI, P-TMSI signature, TMSI, RAI, LAI, ciphering key sequence number and GPRS ciphering key sequence number and shall consider the SIM as invalid for GPRS and non GPRS services until switching off or the SIM is removed.

9 (MS identity cannot be derived by the network);

The MS shall set the GPRS update status to GU2 NOT UPDATED (and shall store it according to section 4.1.3.2), enter the state GMM-DEREGISTERED, and shall delete any P-TMSI, P-TMSI signature, RAI and GPRS ciphering key sequence number. Subsequently, the MS may automatically initiate the GPRS attach procedure.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network.

10 (Implicitly detached);

A GPRS MS operating in MS operation mode A or B in network operation mode I, is IMSI detached for both GPRS and CS services in the network.

The MS shall change to state GMM-DEREGISTERED.NORMAL-SERVICE. The MS shall then perform a new attach procedure. The MS should also activate PDP context(s) to replace any previously active PDP contexts.

NOTE: In some cases, user interaction may be required and then the MS cannot activate the PDP context(s) automatically.

11 (PLMN not allowed);

12 (Location area not allowed);

13 (Roaming not allowed in this location area); or

The MS shall set the GPRS update status to GU3 ROAMING NOT ALLOWED and the update status to U3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and enter the state GMM-DEREGISTERED. Furthermore, it shall delete any P-TMSI, P-TMSI signature, TMSI, RAI, LAI, ciphering key sequence number GPRS ciphering key sequence number, and reset the location update attempt counter.

The MS shall store the LAI or the PLMN identity in the appropriate forbidden list, i.e. in the "forbidden PLMN list" for cause #11, in the list of "forbidden location areas for regional provision of service" for cause #12 or in the list of "forbidden location areas for roaming" for cause #13. If #11 or #13 was received, the MS shall then perform a PLMN selection instead of a cell selection.

14 (GPRS services not allowed in this PLMN)

The MS shall delete any RAI, P-TMSI, P-TMSI signature, and GPRS ciphering key sequence number stored, shall set the GPRS update status to GU3 ROAMING NOT ALLOWED (and shall store it according to section 4.1.3.2) and shall change to state GMM-DEREGISTERED. If in the MS the timer T3212 is not already running, the timer shall be set to its initial value and restarted.

The MS shall store the PLMN identity in the "forbidden PLMNs for GPRS service" list.

A GPRS MS operating in MS operation mode A or B in network operation mode I, is still IMSI attached for CS services in the network and shall then proceed with the appropriate MM specific procedure according to the MM service state.

Other values are considered as abnormal cases. The specification of the MS behaviour in those cases is described in section 4.7.5.2.5.

4.7.5.2.5 Abnormal cases in the MS

The abnormal cases specified in section 4.7.5.1.5 apply with the following modification:

If the GPRS routing area updating counter is less than 5, the MM state remains MM LOCATION UPDATING PENDING.

If the GPRS routing area updating attempt counter is incremented according to section 4.7.5.1.5 the next actions depend on the Location Area Identities (stored on SIM and the one of the current serving cell) and the value of the routing area updating attempt counter.

- if the update status is U1 UPDATED, and the stored LAI is equal to the one of the current serving cell and the routing area updating attempt counter is smaller than 5, then the mobile station shall keep the update status to U1 UPDATED, the new MM state is MM IDLE substate NORMAL SERVICE, or
- if the update status is different from U1 UPDATED, or the stored LAI is different from the one of the current serving cell, or the routing area updating attempt counter is greater or equal to 5, the mobile station shall delete any LAI, TMSI, ciphering key sequence number stored in the SIM and list of equivalent PLMNs and set the update status to U2 NOT UPDATED. The new MM state is MM IDLE substate ATTEMPTING TO UPDATE.