### Presentation of Specification to TSG or WG

Presentation to: TSG CN Meeting #6

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Presented for: Information & Approval

#### **Abstract of document:**

This Technical Specification specifies the stage 2 description of the Super-Charger that provides a mechanism to reduce the signalling traffic associated with mobility.

Change Requests have been prepared and approved (in TSG-CN2):

- TS 23.008
- TS 23.012
- TS 23.016
- TS 23.018
- TS 29.002

#### Changes since last presentation to CN WG 2 Meeting #12:

- Removal of two sections that were considered by TSG-CN2 B to describe existing GSM/UMTS behaviour.
- Corrections to the Message Contents following comments at the last TSG-CN2 B meeting.

<b>Outstanding Issues:</b>		
None		

#### **Contentious Issues:**

None

3GPP TSG-CN WG2 Phoenix, Arizona 15 -19 November, 1999

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## 3G TS 23.116 V1.0.0 (1999-11)

Technical Specification

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#### **Foreword**

This Technical Specification has been produced by the 3GPP.

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of this TS, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version 3.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 Indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the specification.

## 1 Scope

This Technical Specification specifies the stage 2 description of the Super-Charger that provides a mechanism to reduce the signalling traffic associated with mobility.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies.

A non-specific reference to an ETS shall also be taken to refer to later versions published as an EN with the same number.

[1]	GSM 01.04: "Digital cellular telecommunications system (Phase 2+); Abbreviations and acronyms".
[2]	TR ab.cde: "Technical Report on Super-Charger"
[3]	TS 23.007: "Restoration Procedures"
[4]	TS 23.008: "Organisation of Subscriber Data"
[5]	TS 23.012: "Location Management Procedures"
[6]	TS 23.016: "Subscriber Data Management: Stage 2"
[7]	TS 23.040: "Technical Realisation of the Short Message Service (SMS); Point-To-Point (PP)"
[8]	TS 23.060: "General Packet Radio Service; Stage 2"
[9]	TS 29.002: "Mobile Application Part (MAP)"

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of this specification the following definition apply:

Procedure Calls to stage 2.

**Super-Charged Network:** A UMTS network in which the Super-Charger mechanism is being

used to optimise mobility management signalling.

**Network Entity:** Either an MSC/VLR, SGSN or UMSC.

**Serving Network Entity:** The network entity to which the mobile station is attached.

**Previous Network Entity:** The network entity to which the mobile station was attached prior

to the serving network entity.

#### 3.2 Abbreviations

Abbreviations used in this specification are listed in GSM 01.04.

For the purposes of this specification the following abbreviations apply:

## 4 Information flows

In a Super-Charged network subscription data is retained by the previous network entity when the subscriber roams to a new network entity.

When a subscriber performs location updating in a Super-Charged network the HLR shall only cancel the subscription information at the previous network entity if it does not support the Super-Charger functionality. If the network entity to which the subscriber has roamed has retained subscription data from a previous visit then the HLR shall only send subscription data to the network entity if the retained subscription data is not consistent with the data stored by the HLR. If the HLR does not send subscription data to the serving network entity it shall treat the retained subscription data as valid.

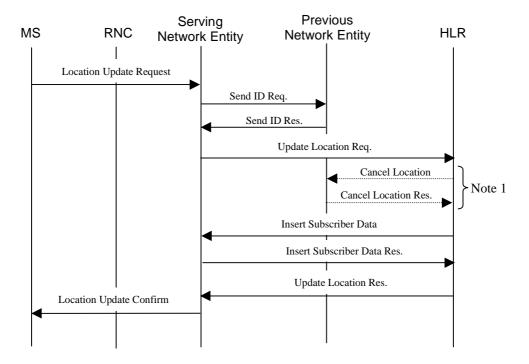
## 4.1 Location Updating in a Super-Charged Network

## 4.1.1 CS-domain Location Updating in a serving network entity that does not have subscription data

When a Super-Charged network entity receives a request to perform a location update for a subscriber for whom there is no record, the network entity shall initiate the location updating procedures as described in TS 23.012.

The Super-Charged HLR shall determine whether the previous network entity supports the Super-Charger functionality:

- if the previous network entity does not support the Super-Charger functionality then the HLR shall respond to the location updating request as described in TS 23.012.
- if the previous network entity supports the Super-Charger functionality then the HLR shall respond to the location updating request as described in TS 23.012 but shall not cancel the location information at the previous network entity.



Note 1: If the previous network entity supports the Super-Charger features the HLR shall not send the cancel location message to the previous network entity.

Figure 1: Information flow for an inter-node location update in a Super-Charged network for the case when the serving network entity does not have subscription data for the requesting mobile station.

# 4.1.2 CS-domain Location Updating in a network entity that has retained subscription data

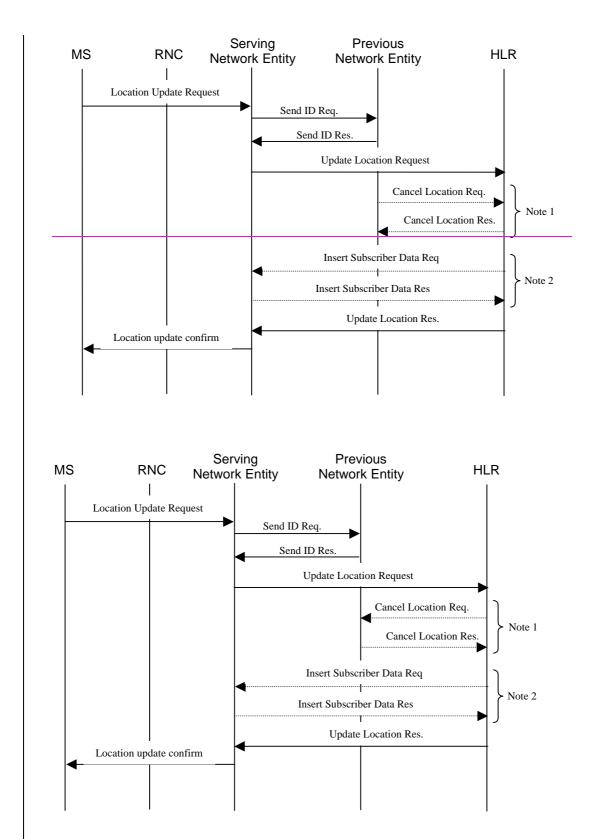
When a Super-Charged network entity receives a request to perform a location update for a subscriber for whom subscription data has been retained, the network entity shall initiate the location updating procedures as described in TS 23.012.

The Super-Charged HLR shall determine whether the previous network entity supports the Super-Charger functionality:

- if the previous network entity does not support the Super-Charger functionality then the HLR shall cancel the location information at the previous network entity as described in TS 23.012.
- if the previous network entity supports the Super-Charger functionality then the HLR shall not cancel the location information at the previous network entity.

The Super-Charged HLR shall determine whether the subscription data retained by the serving network entity is consistent with the subscription data stored in the HLR:

- if the subscription data is consistent then the HLR shall not send subscription data to the serving network entity as part of the location updating.
- if the subscription data is not consistent then the HLR shall respond to the location updating request as described in TS 23.012.



Note 1: If the previous network entity supports the Super-Charger features the HLR shall not send the cancel location message to the previous network entity.

Note 2: If the subscription data retained by serving network entity is consistent with the subscription data stored by the HLR, the HLR shall not send subscription data.

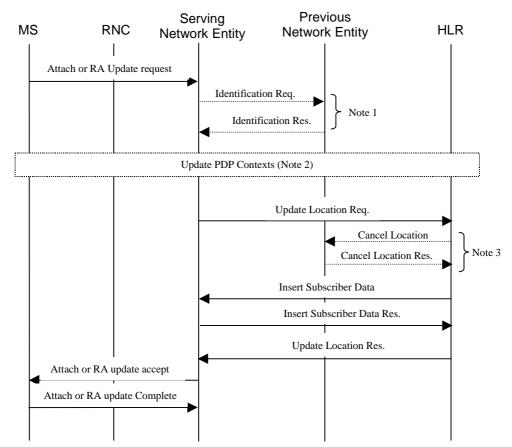
Figure 2: Information flow for an inter-node location update in a Super-Charged network for the case when the serving network entity has retained subscription data for the requesting mobile station.

## 4.1.3 PS-domain Location Updating in a network entity that does not have subscription data

When a Super-Charged network entity -receives a request to perform a routeing area update or attach for a subscriber for whom there is -no record, the network entity shall initiate the location updating procedures as described in TS 23.012.

The Super-Charged HLR shall determine whether the previous network entity supports the Super-Charger functionality:

- if the previous network entity does not support the Super-Charger functionality then the HLR shall respond to the location updating request as described in TS 23.012.
- if the previous network entity supports the Super-Charger functionality then the HLR shall respond to the location updating request as described in TS 23.012 but shall not cancel the location information at the previous network entity.



Note 1: This procedure is optionally performed as part of the Attach procedures, see TS 23.060.

Note 2: This procedure is used to update PDP contexts during a routeing area update when there is a one or more PDP Contexts established, see TS 23.060.

Note 3: If the previous network entity supports the Super-Charger features the HLR shall not send the cancel location message.

Figure 3: Information flow for an inter-node routeing area update or attach in a Super-Charged network for the case when the serving network entity does not have subscription data for the requesting mobile station.

## 4.1.4 PS-domain Location Updating in a network entity that has retained subscription data

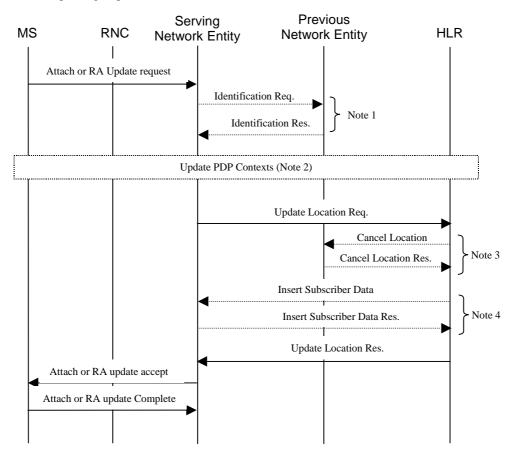
When a Super-Charged network entity receives a request to perform a routeing area update or attach for a subscriber for whom -subscription data has been retained, the network entity- shall initiate the location updating procedures as described in TS 23.012.

The Super-Charged HLR shall determine whether the previous network entity supports the Super-Charger functionality:

- if the previous network entity does not support the Super-Charger functionality then the HLR shall cancel the location information as described in TS 23.012.
- if the previous network entity supports the Super-Charger functionality then the HLR shall not cancel the location information.

The Super-Charged HLR shall determine whether the subscription data retained by the serving network entity is consistent with the subscription data stored in the HLR:

- if the subscription data is consistent then the HLR shall not send subscription data to the serving network entity as part of the location updating procedures.
- if the subscription data is not consistent then the HLR shall respond to the location updating request as described in TS 23.012.



Note 1: This procedure is optionally performed as part of the Attach procedures, see TS 23.060.

Note 2: This procedure is used to update PDP contexts during a routeing area update when there is a one or more PDP Contexts established, see TS 23.060.

Note 3: If the previous network entity supports the Super-Charger features the HLR shall not send the cancel location message.

Note 4: If the subscription data retained by serving network entity is consistent with the subscription data stored by the HLR, the HLR shall not send subscription data.

Figure 4: Information flow for an inter-node routeing area update or attach in a Super-Charged network for the case when the serving network entity has retained subscription data for the requesting mobile station.

## 5 Functional behaviour

This clause describes the implementation of Super-Charger feature. This mechanism can reduce the signalling traffic associated with mobility, provided the HLR and serving network entity (i.e. MSC/VLR, SGSN, UMSC) support the Super-Charger functionality.

## 5.1 Subscriber Settings

The Super-Charger feature requires a mechanism using which the HLR can determine whether the subscription data retained by the serving network entity is valid. In this specification this parameter shall be referred to as the age indicator. The format of the age indicator is implementation specific since it shall be defined and used by the HPLMN. The HLR shall store the age indicator and an indication as to whether the previous network entity supported the Super-Charger functionality on a per subscriber basis.

The serving network entity shall store the age indicator provided by the HLR and an indication as to whether the HLR supports the Super-Charger functionality on a per subscriber basis.

## 5.2 Data Consistency

In a Super-Charged Network, subscription data may be retained by a number of network entities. Each Super-Charged network entity provided with subscription data will retain this information when the subscriber roams to a different network entity.

#### 5.2.1 Modification of subscription data

If the subscription data is changed in the HLR the copy of the data in each of the network entities will become outdated. To ensure data consistency:

- the HLR shall update the age indicator to reflect the change in the subscription data.
- the subscription data in the serving network entity shall be updated as described in TS 23.012.
   The subscription data provided to the serving network entity shall include the new age indicator.

## 5.2.2 Location Updating

The location updating procedures shall be initiated according to TS 23.012.

#### 5.2.2.1 Detailed Procedure in the serving network entity

If the serving network entity has retained subscription data, it shall provide the age indicator as part of the location updating procedure.

If the serving entity has retained subscription data and does not receive subscription data as part of a successful location updating procedure, the serving entity shall treat the retained subscription data as valid and indicate successful completion of the location updating procedure towards the mobile station.

If the serving entity has retained subscription data then subscription data received as part of a successful location updating procedure shall be treated as described in TS 23.012.

The procedure Check\_User\_Error\_In\_Serving\_Network\_Entity is defined for the case when the serving entity has retained subscription data but receives a negative response to the location update procedure. In this case, the serving entity shall not treat the retained subscription data as valid. If the negative response indicates "Unknown Subscriber" or "Roaming Not Allowed" the serving network entity shall delete the retained subscription data.

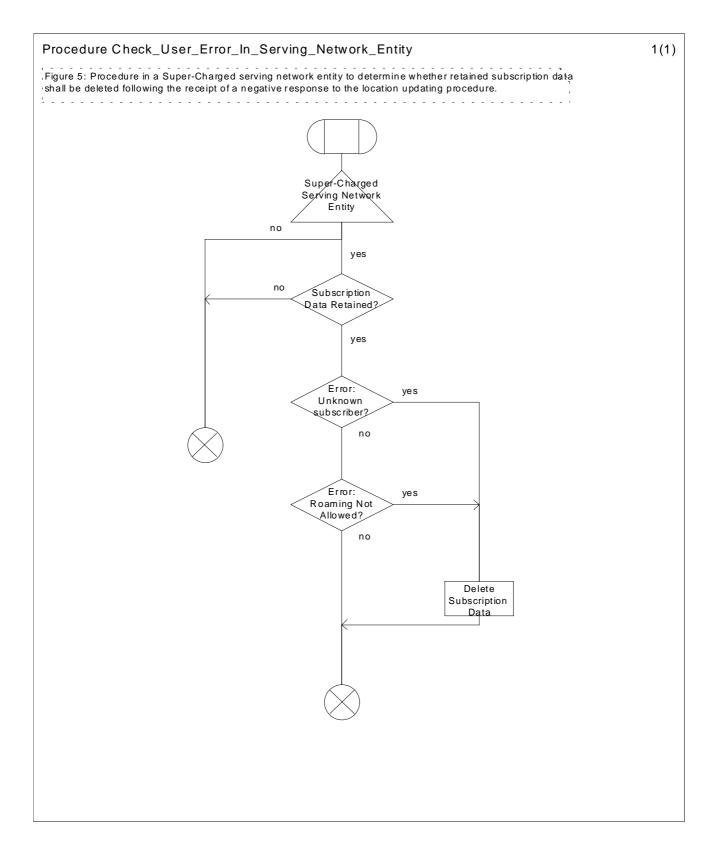


Figure 5: Procedure Check\_User\_Error\_In\_Serving\_Network\_Entity

#### 5.2.2.2 Detailed Procedure in the HLR

The procedure Super\_Charged\_Location\_Updating\_HLR determines when a Super-Charged HLR shall send subscription data to the requesting network entity.

If the serving network entity indicates support for Super-Charger and includes the age indicator, the HLR shall use the age indicator to determine whether the subscription data retained by the serving network entity is valid i.e. the same as the subscription data stored in the HLR:

- If the subscription data retained by serving network entity is invalid, then the HLR shall send subscriber data to the requesting entity including an indication of support for the Super-Charger functionality and the age indicator.
- If the subscription data retained by the serving network entity is valid, then the HLR shall not send subscription data to the requesting entity.

If the serving network entity indicates support for Super-Charger but includes a request for subscription data, the HLR shall send subscriber data to the requesting entity including an indication of support for the Super-Charger functionality and the age indicator.

If the serving network entity does not indicate support for Super-Charger the HLR shall send subscriber data to the requesting entity.

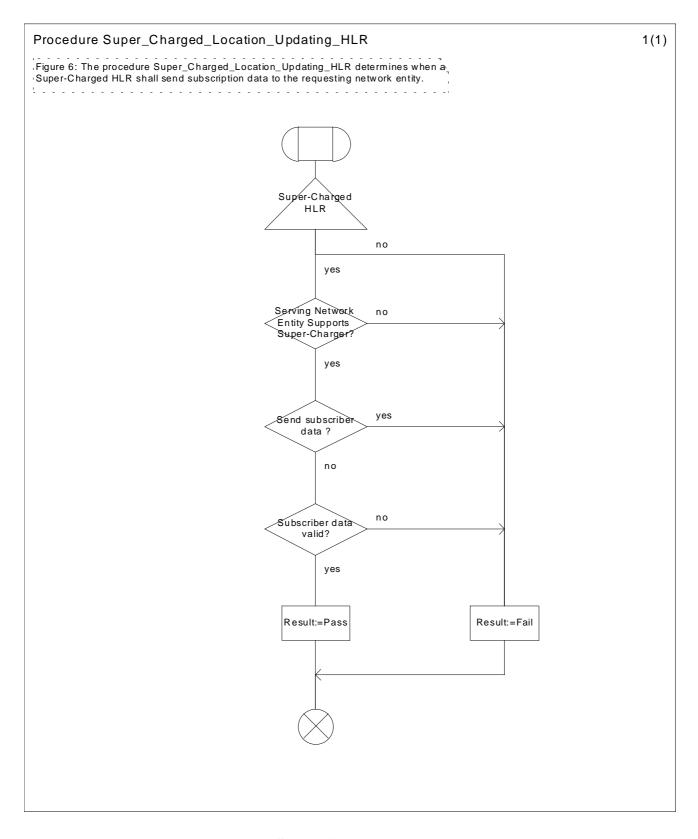


Figure 6: Procedure Super\_Charger\_Location\_Updating\_HLR

#### 5.2.3 Cancel Location

The cancel location procedures are not used within and between Super-Charged networks as part of the location update procedure, as described TS 23.012. However, Super-Charged networks shall support the cancel location procedure to provide interworking for location update procedures with GSM & UMTS networks that do not support the Super-Charger functionality. The mechanism used to determine which network entities the cancel location message shall be sent to is outside the scope of this specification. However, it is recommended that the HLR store an indication if the serving network entity supports the Super-Charger functionality.

#### 5.2.3.1 Detailed procedures in the serving network entity.

A Super-Charged serving network entity shall be capable of receiving a cancel location message as described in TS 23.012.

#### 5.2.3.2 Detailed procedures in the HLR

The procedure Super\_Charged\_Cancel\_Location\_HLR determines to whether a Super-Charged HLR shall send the cancel location message to the previous network entity during location updating as described in TS 23.012.

If the previous network entity does support the Super-Charger functionality, the HLR shall not send the cancel location message during location updating. This is indicated by the "Result:= Pass" exit in figure 7.

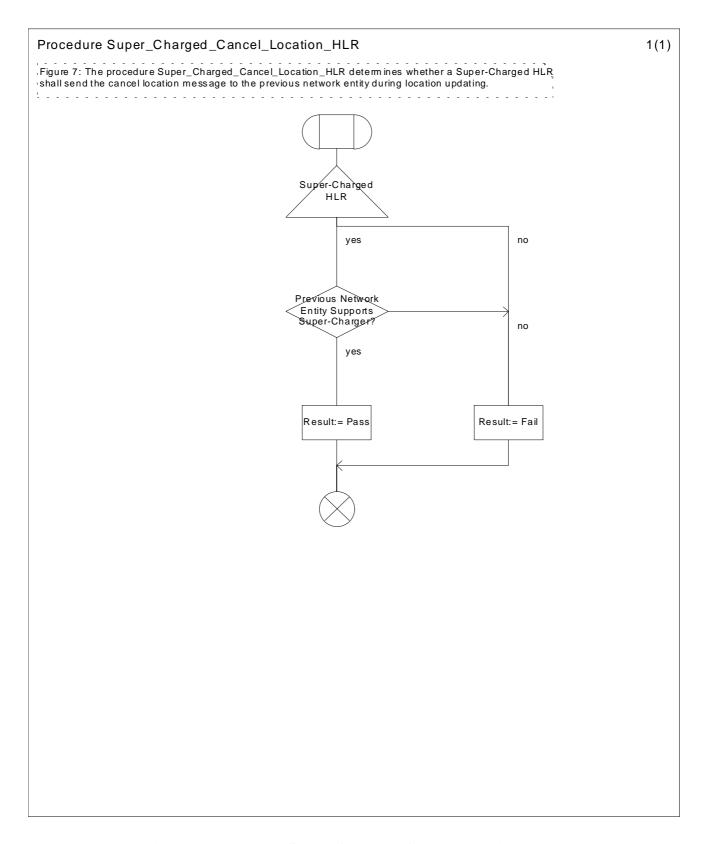


Figure 7: Procedure Super\_Charged\_Cancel\_Location\_HLR

### 5.2.4 Purge MS

In a Super-Charged network, the subscriber record for an MS may be deleted by database management procedure to free up capacity in the network entity to accept new subscribers as described in section 5.5.

The procedure Purge\_MS\_in\_Serving\_Network\_Entity determines whether a Super-Charged Serving Network Entity shall send the purge MS message to the HLR when deleting inactive subscription data

If the HLR does not support the Super-Charger functionality, the deletion of inactive subscription data shall initiate the purge MS procedure as described in TS 23.012. This is indicated by the "Result:= Fail" exit in Figure 8.

For the CS-domain, the restoration procedures for Super-Charged network entities shall be modified to minimize the number of unnecessary mobile terminated call and short message attempts.

For the PS-domain, any attempt to establish a network-requested PDP context to a network entity that has no record for the subscriber shall proceed as described in TS 23.060.

In addition, the Mobile Terminating Short Message Service is modified to minimize the number of unnecessary mobile terminated call and short message attempts.

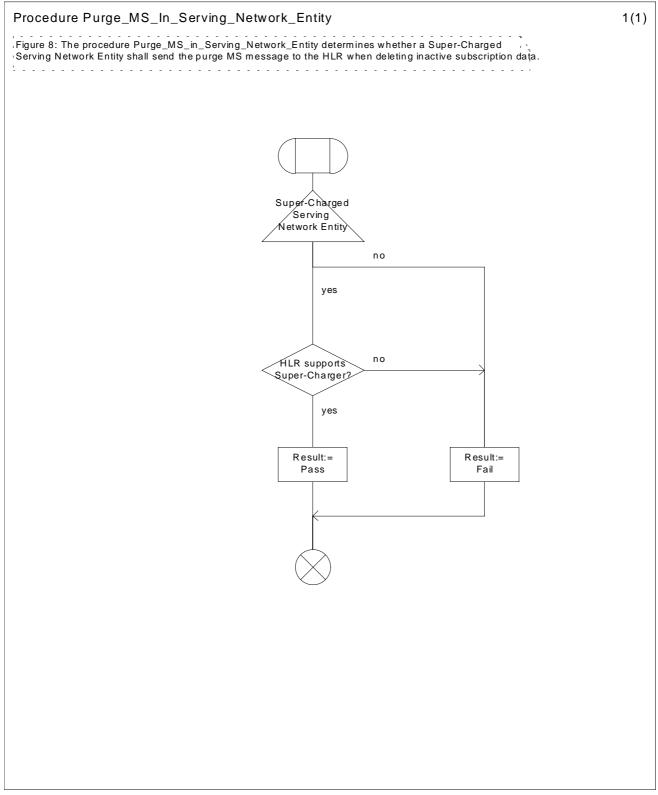


Figure 8: Procedure Purge\_MS\_In\_Serving\_Network\_Entity

#### 5.2.4.1 CS-domain restoration procedures

Upon receipt of a request for a roaming number, if there is no subscriber data record in the recipient network entity the network entity shall distinguish two cases:

- the subscriber data record was lost due to network entity restart,
- the subscriber data record was removed by the Super-Charger database management function described in section 5.5.

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If the subscriber data record was lost due to a network entity restart the restoration procedure as described in TS 29.002 shall be initiated.

The procedure Check\_Reason\_In\_Serving\_Network\_Entity determines whether the subscriber data was removed by the Super-Charger database management function and whether the network entity shall return the Purged MS diagnostic information to the HLR.

The procedure Super\_Charged\_SRI\_Error\_HLR determines whether the HLR shall mark its data for the MS as purged and -maps the Purged\_MS diagnostic information to indicate that the IMSI is detached, in the send routeing information response. Consequently any request for routeing information for a mobile terminated call or a mobile terminated short message shall be treated as if the MS is not reachable

The means by which the network entity distinguishes the two cases is implementation dependent and therefore outside the scope of this specification.

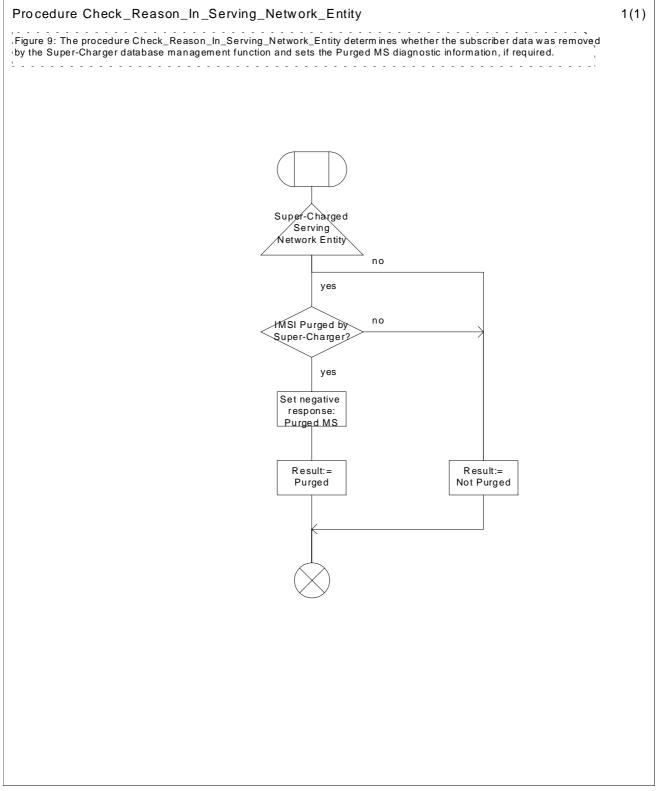


Figure 9: Procedure Check\_Reason\_In\_Serving\_Network\_Entity

Figure 10: Procedure Super\_Charged\_SRI\_Error\_HLR

### 5.2.4.2 Mobile Terminating Short Message Service

The procedure Check\_Absent\_SubscriberSM\_In\_HLR determines whether the HLR shall mark its data for the MS as purged based on the content of the absent subscriber SM diagnostic information (See TS 23.040), if received from the SMS-GMSC, following a Mobile Terminating Short Message delivery attempt.

Figure 11: Procedure Check\_Absent\_SubscriberSM\_In\_HLR

#### 5.3 Subscriber Deactivation

When a subscriber is deactivated, the cancel location message is sent to the serving network entity and the subscription data shall be deleted in the HLR.

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Subsequently, when the subscriber attempts to register in a network entity that has data for the subscriber, the HLR will return an error of 'Unknown Subscriber' and the subscription data in the network entity shall be deleted as described in section 5.2.2.1.

## 5.4 TMSI Management

In a Super-Charged network, if the cancel location or purge MS procedures are not performed the TMSI and P-TMSI values shall be de-allocated by another mechanism to avoid double allocation of temporary identifiers.

If the send identification procedure as described in TS 29.002 is used, the previous network entity may use this to explicitly de-allocate the temporary identifier assigned to that subscriber.

In the event that the send identification procedure is not perform or not used as an explicit de-allocation trigger, it is recommended that an alternative mechanism is available to de-allocate temporary identifiers. The chosen mechanism is an implementation detail. De-allocation of TMSI and P-TMSI values may be achieved by a system of supervision timers or as part of the database management function, see subclause 5.5. However, other mechanisms may be used to avoid double allocation.

#### 5.4 Restart

In a Super-Charged network, in the event of an HLR restart the age indicator for each subscriber may be lost. It is an implementation option how the HLR shall restore data consistency between the HLR and the serving network entity. Examples of how this may be achieved are by updating the age indicator as part of the restart procedure or at the next location updating request. The network entities shall follow the restoration procedures as described in TS 23.007.

## 5.5 Database Management

In a Super-Charged network subscription data shall not be deleted in the previous network entity. The subscription data shall be retained using spare capacity available in the network entity. This results in a continuous growth of the database size. If not managed properly, the network entity will eventually become full and unable to handle newly arrived MSs. A strategy to manage the capacity of Super-Charged network entities is required.

The database management scheme shall be an implementation option and so outside the scope of this specification. Example mechanisms are:

- Utilisation of a larger database,
- Periodic audit scheme,
- Implementation of a strategy to make room for new users by removing old subscriber information from the associated database.

### 5.5.1 Utilisation of a larger database

The implementation of larger databases may be used to limit the need for other mechanisms to ensure that sufficient database capacity is available to service new subscribers. However, the need for an alternative mechanism such as Periodic Audits and Dynamic Subscription Data Deletion is not removed.

Implementing larger databases such that subscription data is retained for an increased number of subscribers can increase the benefit from a Super-Charged network. However, the optimal database capacity will be network specific.

The implementation of a larger database is not a requirement for this feature.

#### 5.5.2 Periodic Audit

This scheme periodical removes inactive subscription data from the VLR and SGSN databases. The volume of subscription data deleted should be based on an estimation of the growth of the database size during the audit cycle.

The criterion for removal of the subscriber is outside the scope of this specification. Circuit Switched and Packet Service activity of the subscriber should be checked before any deletion.

#### 5.5.3 Dynamic Subscription Data Deletion

This scheme allows the run-time removal of subscription if the associated database is full. In this case, the oldest subscription data is deleted to make room for the newly arrived subscriber. This mechanism may be used in conjunction with the other scheme detailed above or as the only method by which to create available capacity within a database.

The criterion for removal of the subscriber is outside the scope of this specification. Circuit Switched and Packet Service activity of the subscriber should be checked before any deletion.

## <del>5.6 IMSI Retrieval (Optional)</del>

For the CS-domain, when a subscriber returns to a previously visited network entity the authentication vectors may already be available. Consequently, communication of the authentication vectors from the previous network entity may not be required.

In this case, the serving network entity may retrieve the IMSI from the mobile station. To minimise the security risk associated with requests for the IMSI over the air interface, it is recommended that the IMSI should be communicated in a ciphered format.

Alternatively, the previous network entity may return the IMSI value and no authentication vectors in response to a send identification request. In this case, if no authentication vectors are available in the serving network entity the send authentication information procedure shall be initiated (See TS 29.002).

For the PS domain, this additional optimisation is not possible because authentication vectors are not exchanged between SGSNs.

## 5.67 Exception procedure

A Super-Charged network entity shall inform the HLR about the support of the Super-Charger functionality whenever a subscriber roams on to that network entity. This information shall be included in the update location message sent to the HLR. The HLR shall use this information to determine the most appropriate action.

If the HLR does not support the Super-Charger functionality the HLR shall ignore this information and proceed according to TS 29.002.

If the serving network entity does not support the Super-Charger functionality the serving network entity shall ignore information relating to the Super-Charger feature and proceed according to TS 29.002.

## 6 Contents of the messages

This clause contains detailed description of the messages shown in Section 5 of the specification.

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## 6.1 Update Location

The contents of this message are specified in TS  $\underline{29.002}\underline{23.012}$ . In the case when the originating entity supports the Super-Charger functionality, the following Super-Charger specific information is defined:

Information element name	Required	Description
Super-Charger Supported In Serving Network Entity	С	When included, this parameter indicates that the originating entity supports the Super-Charger functionality. In addition, this parameter shall indicate either that subscription data is required
		or the date and time of the last know subscriber data modification.

## 6.2 Update Location ack

The contents of this message are specified in TS 23.01229.002.

## 6.3 Update GPRS Location

The contents of this message are specified in TS <u>23.01229.002</u>. In the case when the originating entity supports the Super-Charger functionality, the following Super-Charger specific information is defined:

Information element name	Required	Description
Super-Charger Supported In Serving Network Entity	O	When included, this parameter indicates that the originating entity supports the Super-Charger functionality. In addition, this parameter shall indicate either that subscription data is required or the date and time of the last know subscriber data modification.

## 6.4 Update GPRS Location ack

The contents of this message are specified in TS 23.01229.002.

#### 6.5 Insert Subscriber Data

The contents of this message are specified in TS 29.002. In the case when the originating entity supports the Super-Charger functionality, the following Super-Charger specific information is defined:

Information element name	Required	<u>Description</u>
Super-Charger Supported In HLR	<u>C</u>	When included, this parameter indicates that the originating
		entity supports the Super-Charger functionality. In addition, this
		parameter is used by the HLR to indicate the age of the
		subscription data stored in the HLR.

The contents of this message are specified in TS 23.012

6.6

Insert Subscriber Data ack

The contents of this message are specified in TS <u>23.01229.002</u>. In the case when the originating entity supports the Super Charger functionality, the following Super Charger specific information is defined:

Information element name	Required	<del>Description</del>
Super-Charger Supported In HLR	C	When included, this parameter indicates that the originating
		entity supports the Super-Charger functionality. In addition, this
		parameter is used by the HLR to indicate the age of the
		subscription data stored in the HLR.

## Annex A (Informative): Status of Technical Specification ab.cde

Status  of  Technical Specification oh ode (Symon Charges stage 2)						
Technical Specification ab.cde (Super-Charger stage 2)  Date Version Comments						
August 1999	0.0.1	First draft prepared by the rapporteur				
September 1999	0.0.2	Addition of SDLs, clarify use of Purge MS and Cancel Location, make the date/time stamp semantically neutral, clarify the value of the date/time stamp in the event of HLR restart and make information flow diagrams more generic.				
October 1999	0.0.3	Clarify purpose of each SDLs in the dashed box and replace decision boxes with option boxes where appropriate, add message tables, add SMS changes to correctly report Purge MS, include IMSI retrieval options.				
November 1999	0.0.4	Remove section 5.4 & 5.6 since they describe standard GSM/UMTS behaviour, correct direction of CL arrows, add TMSI management text, correct ISD message content and references in section 6.				
November 1999	0.1.0	TS Number Assigned (TS 23.116)				
		Text and figures:				
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## History

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