3GPP TSG-CN Meeting #22 Maui, Hawaii, 10-12 December, 2003

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æ	24.229	CR 53	0 × rev	- ^米 3 2	Current version:	5.6.0	æ

For <u>**HELP**</u> on using this form, see bottom of this page or look at the pop-up text over the \mathbb{H} symbols.

Proposed change affects: UICC apps#

ME Radio Access Network

rk Core Network X

Title:	Corrections on ICID for REGISTER		
Source:	器 NEC Corporation; Lucent Technologies, Nokia		
Work item code.	೫ <mark>IMS-CCR</mark>	Date: ೫	2/12/2003
Category:	æ <mark>F</mark>	Release: ೫	Rel-5
	Use <u>one</u> of the following categories: F (correction)	Use <u>one</u> of 2	the following releases: (GSM Phase 2)
	A (corresponds to a correction in an earlier release)	_	(Release 1996)
	B (addition of feature),	R97	(Release 1997)
	C (functional modification of feature)	R98	(Release 1998)
	D (editorial modification)	R99	(Release 1999)
	Detailed explanations of the above categories can	Rel-4	(Release 4)
	be found in 3GPP TR 21.900.	Rel-5	(Release 5)
		Rel-6	(Release 6)

Reason for change: Reason for change: conditions for generation of ICIDs are not correct.

At the last CN1#31 meeting, this CR was postponed until the more clear sentences could be described by rewording, resulting from the SA5 decision. Finally, SA5 resolved this issue and adopted option A (generate a new ICID both for session and session unrelated methods) for Rel5 and Rel6 at the last SA5#35bis meeting.

This CR is proposed to be alighned with 32.225 by changing to make reference to 32.225 regardless of any option will be adopted in future.

Summary of change: # In 4.5.2, the sentense is changed and made reference to TS32.225.

In 5.2.2, the sentense is changed and made reference to TS32.225.

In 5.2.3, it is changed in such that the SUBSCRIBE also triggers the insertion of icid.

In 5.2.6.3, the sentense is changed and made reference to TS32.225. <u>And the</u> sentence is added in such a way that for the subsequent requests which dialogs are not related to INVITE dialogs, add a P-Charging-Vector header with the icid paramer populated as specified in 3GPP TS 32.225 [17].

In 5.4.1.5, 5.4.1.6, 5.4.1.7, it is changed in such that the NOTIFY also triggers the

		insertion of icid.
		In 5.4.1.7, the h) and i) procedures are related to both initia registration and user initiated reregistration.
		In 5.4.2.1, it is changed in such that the NOTIFY also triggers the insertion of icid.
		In 5.4.3.2, there is no requirement for the optional procedure in rel5 so that this sentence is deleted.
		In 5.7.1.1, it is changed in such that the NOTIFY also triggers the insertion of icid.
		In 5.7.2, a requirement is added concerning subsequent requests in non-INVITE dialogs.
		In 5.7.3, the sentense is changed and made reference to TS32.225. <u>Additionally, a requirement is added concerning subsequent requests in non-INVITE dialogs.</u>
I		In 5.8.2.1, it is added in such that MRFC origination triggers the insertion of icid.
	Consequences if not approved:	Causing forward compatibility problems and resulting in the complex procedures for IMS entities. Correlation of CDRs from <u>different functional entities for the</u> <u>same</u> session unrelated SIP messages is not possible in Rel 5 where SUBSCRIBE, NOTIFY, MESSAGE is included.
	Clauses affected:	# 4.5.2, 5.2.2, 5.2.3, 5.2.6.3, 5.4.1.5, 5.4.1.6, 5.4.1.7, 5.4.2.1.2, 5.4.3.2, 5.7.1.1, <u>5.7.2,</u> 5.7.3, 5.8.2.1
	Other specs affected:	Y N X Other core specifications X CR against 32.225 (S5-034649034726) X Test specifications

Other comments:	æ	This CR supersedes CR: N1-031640. There is still some remaining AS functionality that needs to be addressed. While text has been inserted covering the revised functionality at AS acting as both originating UA and terminating UA, the case for the AS acting as a 3PCC requires substantially more work in the context of the existing text, and that is not included in this CR. Note that 3GPP TS 32.225 or TS 32.260 requires the P-Charging-Vector header to be inserted at the first entity in the IMS. Interworking with IP networks introduces other entities that will therefore need to insert this header in various SIP requests. Changes to support this requirement are not introduced into the release 6 version of this CR, as the architecture for this interworking is not regarded as sufficiently stable.
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How to create CRs using this form:

X

O&M Specifications

Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked 🔀 contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Start of change

4.5.2 IM CN subsystem charging identifier (ICID)

The ICID is the session level data shared among the IM CN subsystem entities including ASs in both the calling and called IM CN subsystems. <u>The ICID is used also for session unrelated messages (e.g. SUBSCRIBE request, NOTIFY</u> request, MESSAGE request) for the correlation with CDRs generated among the IM CN subsystem entities.

The first IM CN subsystem entity involved in a dialog (session) or standalone (non-session) method will generate the ICID and include it in the icid parameter of the P-Charging-Vector header in the SIP request. See 3GPP TS 32.225 [17] for requirements on the format of ICID. The P-CSCF will generate an ICID for mobile-originated calls. The I-CSCF will generate an ICID for mobile-terminated calls if there is no ICID received in the initial request (e.g. the calling party network does not behave as an IM CN subsystem). The AS will generate an ICID when acting as an originating UA. The MGCF will generate an ICID for PSTN/PLMN originated calls. Each entity that processes the SIP request will extract the ICID for possible later use in a CDR. The I-CSCF and S-CSCF are also allowed to generate a new ICID for mobile terminated calls received from another network.

There is also an ICID generated by the P-CSCF with a REGISTER request that is passed in a unique instance of P-Charging-Vector header. This ICID is valid for the duration of the registration and is associated with the signalling PDP context. The valid duration of the ICID is specified in 3GPP TS 32.225 [17].

The icid parameter is included in any requests that include the P-Charging-Vector header. However, the P-Charging-Vector (and ICID) is not passed to the UE.

The ICID is also passed from the P-CSCF/PDF to the GGSN, but the ICID is not passed to the SGSN. The interface supporting this operation is outside the scope of this document.

Next change

5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
 - the SIP URI identifying the P-CSCF;
 - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URI, a character string in the user part of the URI, or be a port number in the URI;
- 2) insert a Require header containing the option tag "path";
- for the initial REGISTER request for a public user identity create insert a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17];
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was either received integrity protected with the security association created during an ongoing authentication procedure and includes an authentication response, or it was received on the security association created during the last successful authentication procedure and with no authentication response, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'secagree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:

- check the security association which protected the request. If that has a temporary lifetime, and the REGISTER request was received protected with the new security association, then the request shall contain a Security-Verify header in addition to a Security-Client header. If there are no such headers, then the P-CSCF shall return a suitable 4xx response. If there are such headers, then the P-CSCF shall compare the content of the Security-Verify header with the content of the Security-Server header sent earlier and the content of the Security-Client header received in the challenged REGISTER. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify and the Security-Client header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
- if the security association the REGISTER request was received on, is an already established one, then:
 - a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header;
 - a Security-Client header containing new parameter values is expected. If this header or any required parameter is missing, then the P-CSCF shall return a suitable 4xx response;
 - the P-CSCF shall remove the Security-Client header before forwarding the request to the S-CSCF; and
- check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
- 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security associations which will be setup as a result of this challenge. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert a Security-Server header in the response, containing the P-CSCF static security list and the parameters needed for the security association setup, as specified in Annex H of 3GPP TS 33.203 [19]. The P-CSCF shall support the "ipsec-3gpp" security mechanism, as specified in RFC 3329 [48]. The P-CSCF shall support the HMAC-MD5-96 (RFC 2403 [20C]) and HMAC-SHA-1-96 (RFC 2404 [20D]) IPsec layer algorithms. The P-CSCF shall support the setup of two pairs of security associations. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the new security associations with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set a temporary SIP level lifetime for the security association which has to be long enough to permit the UE to finalize the registration procedure (bigger than 64*T1).
- 4) send the 401 (Unauthorized) response to the UE using the security association with which the associated REGISTER request was protected, or unprotected in case the REGISTER request was received unprotected.
- NOTE 1: The challenge in the 401 (Unauthorized) response sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up two pairs of security associations with the UE during the same registration procedure. For further details see 3GPP TS 33.203 [19].

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routeing information in the requests originated by the UE. If this registration is a reregistration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;

- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity header. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;
- NOTE 2: There may be more then one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.
- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) set the security association lifetime to the longest of either the previously existing security association lifetime, or the lifetime of the just completed registration plus 30 seconds; and
- 7) protect the 200 (OK) response to the REGISTER request within the same security association to that in which the request was protected.

The P-CSCF shall:

- if new security associations are established and there are no old security associations, start using the new security associations towards the UE after the 200 (OK) has been sent out;
- if a request protected within the newly established security associations is received from a UE which has old security associations, delete the old security associations and related keys when all SIP transactions that use the old security associations are completed;
- if the newly established security associations has not been taken into use by the UE and the UE sends request protected on the old security associations, delete the new security associations; and
- if the newly established security associations are a result of an unprotected REGISTER request being received from the UE, then delete the old security associations which may exist towards the UE.
- NOTE 3: The P-CSCF will maintain two Route header lists. The first Route header list created during the registration procedure is used only to validate the routeing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list constructed from the Record Route headers in the initial INVITE and associated response is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPsec database when their SIP level lifetime expires.

Next change

5.2.3 Subscription to the user's registration-state event package

Upon receipt of a 200 (OK) response to the initial REGISTER request of an user, the P-CSCF shall subscribe to the reg event package at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43]. The P-CSCF shall:

- 1) generate a SUBSCRIBE request with the following elements:
 - a Request-URI set to the resource to which the P-CSCF wants to be subscribed to, i.e. to a SIP URI that contains the default public user identity of the user;
 - a From header set to the P-CSCF's SIP URI;
 - a To header, set to a SIP URI that contains the default public user identity of the user;
 - an Event header set to the "reg" event package;
 - an Expires header set to a value higher then the Expires header indicated in the 200 (OK) response to the REGISTER request; and

- a P-Asserted-Identity header containing the SIP URI of the P-CSCF, which was inserted into the Path header during the registration of the user to whose registration state the P-CSCF subscribes to; and
- a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17]; and
- 2) determine the I-CSCF of the home network (e.g., by using DNS services);

before sending the SUBSCRIBE request to that I-CSCF, according to the procedures of RFC 3261 [26].

Upon receipt of a 2xx response to the SUBSCRIBE request, the P-CSCF shall store the information for the so established dialog and the expiration time as indicated in the Expires header of the received response.

If continued subscription is required the P-CSCF shall automatically refresh the subscription by the reg event package 600 seconds before the expiration time for a previously registered public user identity, either 600 seconds before the expiration time if the initial subscription was for greater than 1200 seconds, or when half of the time has expired if the initial subscription was for less.

Next change

5.2.6.3 Requests initiated by the UE

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains a P-Preferred-Identity header that matches one of the registered public user identities, the P-CSCF shall identify the initiator of the request by that public user identity.

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains as P-Preferred-Identity header that does not match one of the registered public user identities, or does not contain a P-Preferred-Identity header, the P-CSCF shall identify the initiator of the request by a default public user identity. If there is more then one default public user identity available, the P-CSCF shall randomly select one of them.

NOTE 1: The contents of the From header do not form any part of this decision process.

When the P-CSCF receives from the UE an initial request for a dialog, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCFshall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
 - b) replace the preloaded Route header value in the request with the value of the Service-Route header received during the last 200 (OK) response for a registration or reregistration;
- 2) add its own address to the Via header. The P-CSCF Via header entry is built in a format that contains the port number of the P-CSCF in accordance with the procedures of RFC3261 [26], and either:
 - a) the P-CSCF FQDN that resolves to the IP address, or
 - b) the P-CSCF IP address;
- 3) add its own SIP URI to the top of the Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the P-CSCF where it awaits subsequent requests from the called party, and either:
 - a) the P-CSCF FQDN that resolves to the IP address; or
 - b) the P-CSCF IP address;
- 4) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request;
- 5) create <u>add</u> a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17]; and

6) if the request is an INVITE request, save the Contact, CSeq and Record-Route header field values received in the request such that the P-CSCF is able to release the session if needed;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the values received in the P-Charging-Function-Addresses header;
- 2) store the list of Record-Route headers from the received response;
- 3) store the dialog ID and associate it with the private user identity and public user identity involved in the session;
- 4) rewrite the port number of its own Record Route entry to its own protected server port number negotiated with the calling UE, and append the comp parameter in accordance with the procedures of RFC 3486 [55]; and
- NOTE 2: The P-CSCF associates two ports, a protected client port and a protected server port, with each pair of security associations. For details on the selection of the protected port values see 3GPP TS 33.203 [19].
- 5) if the response corresponds to an INVITE request, save the Contact and Record-Route header field values received in the response such that the P-CSCF is able to release the session if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE a target refresh request for a dialog, the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
 - a) if the request does not relates to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
 - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps;
- 2) verify that the list of Route headers in the request is included, in the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header;
- 3) add its own address to the Via header. The P-CSCF Via header entry is built in a format that contains the port number of the P-CSCF where it awaits the responses to come, and either:
 - a) the P-CSCF FQDN that resolves to the IP address, or
 - b) the P-CSCF IP address;
- 4) add its own SIP URI to the top of Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the P-CSCF where it awaits subsequent requests from the called party, and either:
 - a) the P-CSCF FQDN that resolves to the IP address; or
 - b) the P-CSCF IP address; and
- 5) if the request is an INVITE request, save the Contact, Cseq and Record-Route header field values received in the request such that the P-CSCF is able to release the session if needed;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the list of Record-Route headers from the received response;
- 2) rewrite the port number of its own Record Route entry to its own protected server port number negotiated with the calling UE, and append the comp parameter in accordance with the procedures of RFC 3486 [55]; and
- NOTE 3: The P-CSCF associates two ports, a portected client port and a protected server port, with each pair of security associations. For details on the selection of the protected port value see 3GPP TS 33.203 [19].
- 3) if the response corresponds to an INVITE request, save the Contact and Record-Route header field values received in the response such that the P-CSCF is able to release the session if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for a standalone transaction, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCFshall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the preloaded Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response;
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request; and
- 3) create <u>add</u> a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17];

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

1) store the values received in the P-Charging-Function-Addresses header;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE subsequent requests other than a target refresh request (including requests relating to an existing dialog where the method is unknown), the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
 - a) if the request does not relates to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
 - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps; and
- 2) verify that the list of Route headers in the request matches the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header; and
- 3) for dialogs that are not INVITE dialogs, add a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17];

before forwarding the request, (based on the topmost Route header,) in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for an unknown method (that does not relate to an existing dialog), and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) is included, preserving the same order, as a subset of the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
 - b) replace the Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response; and
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

Next change

5.4.1.5 Network-initiated deregistration

Prior to initiating the network-initiated deregistration for the only public user identity currently registered with its associated set of implicitly registered public user identities (i.e. no other is registered) while there are still active multimedia sessions belonging to this user, the S-CSCF shall release all multimedia sessions belonging to this user as described in subclause 5.4.5.1.

When a network-initiated deregistration event occurs for one or more public user identity, the S-CSCF shall send a NOTIFY request to the UE on the dialog which was generated by the UE subscribing to the reg event package. When the S-CSCF receives a final response to the NOTIFY request or upon a timeout, the S-CSCF shall release all remaining dialogs related to the public user identity being deregistered and shall generate a NOTIFY request on all remaining dialogs which have been established due to subscription to the reg event package of that user. For each NOTIFY request, the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value;
- 3) in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns;
- 4) set the aor attribute within each <registration> element to one public user identity:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE;
 - b) if the public user identity:
 - i) has been deregistered then:
 - set the state attribute within the <registration> element to "terminated";
 - set the state attribute within the <contact> element to "terminated"; and
 - set the event attribute within the <contact> element to "deactivated" if the S-CSCF expects the UE to reregister or "rejected" if the S-CSCF does not expect the UE to reregister; or
 - ii) has been kept registered then:
 - set the state attribute within the <registration> element to "active"; and
 - set the state attribute within the <contact> element to "active"; and-

5) add a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

Based on operators' policy the S-CSCF can request of the HSS to either be kept or cleared as the S-CSCF allocated to this subscriber.

Also, the S-CSCF shall send a third-party REGISTER request, as described in subclause 5.4.1.7, to each AS that matches the Filter Criteria from the HSS for the REGISTER event.

5.4.1.6 Network-initiated reauthentication

The S-CSCF may request a subscriber to reauthenticate at any time, based on a number of possible operator settable triggers as described in subclause 5.4.1.2.

If the S-CSCF is informed that a private user identity needs to be re-authenticated, the S-CSCF shall generate a NOTIFY request on all dialogs which have been established due to subscription to the reg event package of that user. For each NOTIFY request the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value; and
- 3) in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE;
 - b) set the aor attribute within each <registration> element to one public user identity;
 - c) set the state attribute within each <registration> element to "active";
 - d) set the state attribute within each <contact> element to "active";
 - e) set the event attribute within each <contact> element to "shortened"; and
 - f) set the expiry attribute within each <contact> element to an operator defined value; and-
- 4) set a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

Afterwards the S-CSCF shall wait for the user to reauthenticate (see subclause 5.4.1.2).

NOTE: Network initiated re-authentication may occur due to internal processing within the S-CSCF.

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

When generating the NOTIFY request, the S-CSCF shall shorten the validity of subscriber's registration timer to an operator defined value that will allow the user to be re-authenticated. If, for any reason, the reauthentication procedure is not successfully completed, the S-CSCF shall deregister all public user identities associated with the private user identity, as described in subclause 5.4.1.5, and terminate the ongoing sessions of that user.

5.4.1.7 Notification of Application Servers about registration status

During registration, the S-CSCF shall include a P-Access-Network-Info header (as received in the REGISTER request from the UE) in the 3rd-party REGISTER sent towards the ASs, if the AS is part of the trust domain. If the AS is not part of the trust domain, the S-CSCF shall not include any P-Access-Network-Info header. The S-CSCF shall not include a P-Access-Network-Info header in any responses to the REGISTER request.

If the registration procedure described in subclauses 5.4.1.2, 5.4.1.4 or 5.4.1.5 (as appropriate) was successful, the S-CSCF shall send a third-party REGISTER request to each AS with the following information:

- a) the Request-URI, which shall contain the AS's SIP URI;
- b) the From header, which shall contain the S-CSCF's SIP URI;

- c) the To header, which shall contain either the public user identity as contained in the REGISTER request received from the UE or one of the implicitly registered public user identities, as configured by the operator;
- d) the Contact header, which shall contain the S-CSCF's SIP URI;
- e) for initial registration and user-initiated reregistration (subclause 5.4.1.2), the Expires header, which shall contain the same value that the S-CSCF returned in the 200 (OK) response for the REGISTER request received form the UE;
- f) for user-initiated deregistration (subclause 5.4.1.4) and network-initiated deregistration (subclause 5.4.1.5), the Expires header, which shall contain the value zero;
- g) for initial registration and user-initiated reregistration (subclause 5.4.1.2), a message body, if there is Filter Criteria indicating the need to include HSS provided data for the REGISTER event (e.g. HSS may provide AS specific data to be included in the third-party REGISTER, such as IMSI to be delivered to IM SSF). If there is a service information XML element provided in the HSS Filter Criteria for an AS (see 3GPP TS 29.228 [14]), then the S-CSCF shall include it in the message body of the REGISTER request within the <service-info> XML element as described in subclause 7.6. For the messages including the 3GPP IMS XML body, the S-CSCF shall set the value of the Content-Type header to include the MIME type specified in subclause 7.6;
- h) for initial registration and user-initiated reregistration, the P-Charging-Vector header, which shall contain the same icid parameter that the S-CSCF received in the original REGISTER request from the UE;

i) for initial registration<u>and user-initiated reregistration</u>, a P-Charging-Function-Addresses header, which shall contain the values received from the HSS if the message is forwarded within the S-CSCF home

Next change

5.4.2.1.2 Notification about registration state

For each NOTIFY request on all dialogs which have been established due to subscription to the reg event package of that user, the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value;
- 3) in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns; and
- 4) set the aor attribute within each <registration> element to one public user identity:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE; and
 - b) if the public user identity:
 - I) has been deregistered then:
 - set the state attribute within the <registration> element to "terminated";
 - set the state attribute within the <contact> element to "terminated"; and
 - set the event attribute within the <contact> element to "deactivated", "expired", "unregistered" or "probation" according draft-ietf-sipping-reg-event-00 [43]; or
 - II) has been registered then:
 - set the state attribute within the <registration> element to "active";
 - set the state attribute within the <contact> element to "active"; and
 - set the event attribute within the <contact> element to "registered"; or

III) has been automatically registered:

- set the state attribute within the <registration> element to "active";
- set the state attribute within the <contact> element to "active"; and
- set the event attribute within the <contact> element to "created"; and

5) set the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

EXAMPLE: If sip:user1_public1@home1.net is registered, the public user identity sip:user1_public2@home1.net can automatically be registered. Therefore the entries in the body of the NOTIFY request look like:

When sending a final NOTIFY request with all <registration> element(s) having their state attribute set to "terminated" (i.e. all public user identities have been deregistered or expired), the S-CSCF shall also terminate the subscription to the registration event package by setting the Subscription-State header to the value of "terminated".

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

<u>Next change</u>

5.7.1.1 Notification about registration status

The AS may support the REGISTER method in order to discover the registration status of the user. If a REGISTER request arrives containing information about the user's registration status and the AS supports the REGISTER method, the AS shall store the Expires parameter from the request and generate a 200 (OK) response or an appropriate failure response. For the success case, the 200 (OK) response shall contain Expires value equal to the value received in the REGISTER request. The AS shall store the values received in P-Charging-Function-Addresses header. Also, the AS shall store the values of the icid parameter in the P-Charging-Vector header from the REGISTER request.

Upon receipt of a third-party REGISTER request, the AS may subscribe to the reg event package for the public user identity registered at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43].

On sending a SUBSCRIBE request, the AS shall populate the header fields as follows:

- a) a Request URI set to the resource to which the AS wants to be subscribed to, i.e. to a SIP URI that contains the public user identity of the user that was received in the To header field of the third-party REGISTER request;
- b) a From header field set to the AS's SIP URI;
- c) a To header field, set to a SIP URI that contains the public user identity of the user that was received in the To header field of the third-party REGISTER request;
- d) an Event header set to the "reg" event package; and
- e) P-Asserted-Identity header field containing the SIP URI of the AS, which identifies this AS in the initial filter criteria of the user to whose registration state the AS subscribes to: and.
- f) a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

Upon receipt of a 2xx response to the SUBSCRIBE request, the AS shall store the information for the so established dialog and the expiration time as indicated in the Expires header of the received response.

NOTE 1: Upon receipt of a NOTIFY request with all <registration> element(s) having their state attribute set to "terminated" (i.e. all public user identities are deregistered) and the Subscription-State header set to "terminated", the AS considers the subscription to the reg event package terminated, i.e. as if the AS had sent a SUBSCRIBE request with an Expires header containing a value of zero.

Next change

5.7.2 Application Server (AS) acting as terminating UA, or redirect server

When acting as a terminating UA the AS shall behave as defined for a UE in subclause 5.1.4, with the exceptions identified in this subclause.

The AS, although acting as a UA, does not initiate any registration of its associated addresses. These are assumed to be known by peer-to-peer arrangements within the IM CN subsystem.

An AS acting as redirect server shall propagate any received 3GPP message body in the redirected message.

When an AS acting as a terminating UA generates a subsequent request that does not relate to an INVITE dialog, the AS shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

5.7.3 Application Server (AS) acting as originating UA

When acting as an originating UA the AS shall behave as defined for a UE in subclause 5.1.3, with the exceptions identified in this subclause.

The AS, although acting as a UA, does not initiate any registration of its associated addresses. These are assumed to be known by peer-to-peer arrangements within the IM CN subsystem.

When an AS acting as an originating UA generates an initial request for a dialog or a request for a standalone transaction, the AS shall <u>create-insert</u> a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17]. The AS may retrieve CCF and/or ECF adresses from HSS on Sh interface.

When an AS acting as an originating UA generates a subsequent request that does not relate to an INVITE dialog, the AS shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].

The AS shall extract charging function addresses from any P-Charging-Function-Addresses header that is received in any 1xx or 2xx responses to the requests.

Furthermore the AS shall insert a Route header pointing to the S-CSCF of the UE on whose behalf the request is generated.

NOTE: The address of the S-CSCF may be obtained either from a previous request terminated by the AS, by querying the HSS on the Sh interface or from static configuration.

<u>Next change</u>

5.8.2.1.2 MRFC-originating case

The MRFC shall provide a P-Asserted-Identity header in an initial request for a dialog, or any request for a standalone transaction. It is a matter of network policy whether the MRFC expresses privacy according to RFC 3323 [33] with such requests. <u>When an MRFC generates an initial request for a dialog or a request for a standalone transaction, the MRFC shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.225 [17].</u>

End of change

3GPP TSG-CN Meeting #22 Maui, Hawaii, 10-12 December, 2003

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For <u>**HELP**</u> on using this form, see bottom of this page or look at the pop-up text over the \mathbb{H} symbols.

Proposed change affects: UICC apps

ME Radio Access Network Core Network X

Title:	Ж	Со	rrections on ICID for REGISTER			
Source:	Ħ	NE	C Corporation, Lucent Technolo	gies, Nokia		
Work item code:	Ħ	IMS	S-CCR		Date: ೫	2/12/2003
Category:		Α			Release: #	1
			one of the following categories: <i>F</i> (correction)		Use <u>one</u> of 2	the following releases: (GSM Phase 2)
			A (corresponds to a correction in a	n earlier release) R96	(Release 1996)
			B (addition of feature),		R97	(Release 1997)
			C (functional modification of feature	e)	R98	(Release 1998)
			D (editorial modification)		R99	(Release 1999)
		Deta	iled explanations of the above categ	ories can	Rel-4	(Release 4)
			ound in 3GPP TR 21.900.		Rel-5	(Release 5)
					Rel-6	(Release 6)

Reason for change: If the validity of the ICIDs for session unrelated cases are not clearly described and conditions for generation of ICIDs are not correct.

At the last CN1#31 meeting, this CR was postponed until the more clear sentences could be described by rewording, resulting from the SA5 decision. Finally, SA5 resolved this issue and adopted option A (generate a new ICID both for session and session unrelated methods) for Rel5 and Rel6 at the last SA5#35bis meeting.

This CR is proposed to be alighned with 32.260 by changing to make reference to 32.260 regardless of any option will be adopted in future.

Summary of change: # In 4.5.2, the sentense is changed and made reference to TS32.260.

In 5.2.2, the sentense is changed and made reference to TS32.260.

In 5.2.3, it is changed in such that the SUBSCRIBE also triggers the insertion of icid.

In 5.2.6.3, the sentense is changed and made reference to TS32.260. And the sentence is added in such a way that for the subsequent requests which dialogs are not related to INVITE dialogs, add a P-Charging-Vector header with the icid paramer populated as specified in 3GPP TS 32.260 [17].

		In 5.4.1.5, 5.4.1.6, 5.4.1.7, it is changed in such that the NOTIFY also triggers the insertion of icid.
		In 5.4.1.7, the h) and i) procedures are related to both initla registration and user initiated reregistration.
		In 5.4.2.1, it is changed in such that the NOTIFY also triggers the insertion of icid.
		In 5.4.3.2, there is no requirement for the optional procedure in rel5 so that this sentence is deleted.
		In 5.7.1.1, it is changed in such that the NOTIFY also triggers the insertion of icid.
		In 5.7.2, a requirement is added concerning subsequent requests in non-INVITE dialogs.
		In 5.7.3, the sentense is changed and made reference to TS32.260. Additionally, a requirement is added concerning subsequent requests in non-INVITE dialogs.
I		In 5.8.2.1, it is added in such that MRFC origination triggers the insertion of icid.
	Consequences if 🛛 🛱 not approved:	Causing forward compatibility problems and resulting in the complex procedures for IMS entities. Correlation of CDRs from <u>different functional entities for the same</u> session unrelated SIP messages is not possible in Rel 5 where SUBSCRIBE, NOTIFY, MESSAGE is included.
	Clauses affected: #	4.5.2, 5.2.2, 5.2.3, 5.2.6.3, 5.4.1.5, 5.4.1.6, 5.4.1.7, 5.4.2.1 <u>.2</u> , 5.4.3.2, 5.7.1.1, 5.7.2, 5.7.3, 5.8.2.1

	<u>5.7.2,</u> 5.7.3, 5.8.2.1					
Other specs affected:	Y N X Other core specifications X Test specifications X X O&M Specifications					
Other comments:	 This CR for Rel 6 supersedes CR: N1-031641. There is still some remaining AS functionality that needs to be addressed. While text has been inserted covering the revised functionality at AS acting as both originating UA and terminating UA, the case for the AS acting as a 3PCC requires substantially more work in the context of the existing text, and that is no included in this CR. Note that 3GPP TS 32.225 or TS 32.260 requires the P-Charging-Vector header to be inserted at the first entity in the IMS. Interworking with IP networks introduces other entities that will therefore need to insert this header in various SIP requests. Changes to support this requirement are not introduced into the release 6 version of this CR, as the architecture for this interworking is not regarded as sufficiently stable. 					

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at <u>http://www.3gpp.org/specs/CR.htm</u>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked 🔀 contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <u>ftp://ftp.3gpp.org/specs/</u> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Start of change

4.5.2 IM CN subsystem charging identifier (ICID)

The ICID is the session level data shared among the IM CN subsystem entities including ASs in both the calling and called IM CN subsystems. The ICID is used also for session unrelated messages (e.g. SUBSCRIBE request, NOTIFY request, MESSAGE request) for the correlation with CDRs generated among the IM CN subsystem entities.

The first IM CN subsystem entity involved in a dialog (session) or standalone (non-session) method will generate the ICID and include it in the icid parameter of the P-Charging-Vector header in the SIP request. See 3GPP TS 32.225 [17] for requirements on the format of ICID. The P-CSCF will generate an ICID for mobile-originated calls. The I-CSCF will generate an ICID for mobile-terminated calls if there is no ICID received in the initial request (e.g. the calling party network does not behave as an IM CN subsystem). The AS will generate an ICID when acting as an originating UA. The MGCF will generate an ICID for PSTN/PLMN originated calls. Each entity that processes the SIP request will extract the ICID for possible later use in a CDR. The I-CSCF and S-CSCF are also allowed to generate a new ICID for mobile terminated calls received from another network.

There is also an ICID generated by the P-CSCF with a REGISTER request that is passed in a unique instance of P-Charging-Vector header. This ICID is valid for the duration of the registration and is associated with the signalling PDP context. The valid duration of the ICID is specified in 3GPP TS 32.260 [17].

The icid parameter is included in any requests that include the P-Charging-Vector header. However, the P-Charging-Vector (and ICID) is not passed to the UE.

The ICID is also passed from the P-CSCF/PDF to the GGSN, but the ICID is not passed to the SGSN. The interface supporting this operation is outside the scope of this document.

Next change

5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
 - the SIP URI identifying the P-CSCF;
 - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URI, a character string in the user part of the URI, or be a port number in the URI;
- 2) insert a Require header containing the option tag "path";
- for the initial REGISTER request for a public user identity create<u>insert</u> a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17];
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was either received integrity protected with the security association created during an ongoing authentication procedure and includes an authentication response, or it was received on the security association created during the last successful authentication procedure and with no authentication response, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'secagree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:

- check the security association which protected the request. If that has a temporary lifetime, and the REGISTER request was received protected with the new security association, then the request shall contain a Security-Verify header in addition to a Security-Client header. If there are no such headers, then the P-CSCF shall return a suitable 4xx response. If there are such headers, then the P-CSCF shall compare the content of the Security-Verify header with the content of the Security-Server header sent earlier and the content of the Security-Client header with the content of the Security-Client header received in the challenged REGISTER. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify and the Security-Client header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
- if the security association the REGISTER request was received on, is an already established one, then:
 - a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header;
 - a Security-Client header containing new parameter values is expected. If this header or any required parameter is missing, then the P-CSCF shall return a suitable 4xx response;
 - the P-CSCF shall remove the Security-Client header before forwarding the request to the S-CSCF; and
- check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
- 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security associations which will be setup as a result of this challenge. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert a Security-Server header in the response, containing the P-CSCF static security list and the parameters needed for the security association setup, as specified in Annex H of 3GPP TS 33.203 [19]. The P-CSCF shall support the "ipsec-3gpp" security mechanism, as specified in RFC 3329 [48]. The P-CSCF shall support the HMAC-MD5-96 (RFC 2403 [20C]) and HMAC-SHA-1-96 (RFC 2404 [20D]) IPsec layer algorithms. The P-CSCF shall support the setup of two pairs of security associations. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the new security associations with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set a temporary SIP level lifetime for the security association which has to be long enough to permit the UE to finalize the registration procedure (bigger than 64*T1).
- 4) send the 401 (Unauthorized) response to the UE using the security association with which the associated REGISTER request was protected, or unprotected in case the REGISTER request was received unprotected.
- NOTE 1: The challenge in the 401 (Unauthorized) response sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up two pairs of security associations with the UE during the same registration procedure. For further details see 3GPP TS 33.203 [19].

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routeing information in the requests originated by the UE. If this registration is a reregistration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;

- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity header. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;
- NOTE 2: There may be more then one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.
- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) set the security association lifetime to the longest of either the previously existing security association lifetime, or the lifetime of the just completed registration plus 30 seconds; and
- 7) protect the 200 (OK) response to the REGISTER request within the same security association to that in which the request was protected.

The P-CSCF shall:

- if new security associations are established and there are no old security associations, start using the new security associations towards the UE after the 200 (OK) has been sent out;
- if a request protected within the newly established security associations is received from a UE which has old security associations, delete the old security associations and related keys when all SIP transactions that use the old security associations are completed;
- if the newly established security associations has not been taken into use by the UE and the UE sends request protected on the old security associations, delete the new security associations; and
- if the newly established security associations are a result of an unprotected REGISTER request being received from the UE, then delete the old security associations which may exist towards the UE.
- NOTE 3: The P-CSCF will maintain two Route header lists. The first Route header list created during the registration procedure is used only to validate the routeing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list constructed from the Record Route headers in the initial INVITE and associated response is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPsec database when their SIP level lifetime expires.

Next change

5.2.3 Subscription to the user's registration-state event package

Upon receipt of a 200 (OK) response to the initial REGISTER request of an user, the P-CSCF shall subscribe to the reg event package at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43]. The P-CSCF shall:

- 1) generate a SUBSCRIBE request with the following elements:
 - a Request-URI set to the resource to which the P-CSCF wants to be subscribed to, i.e. to a SIP URI that contains the default public user identity of the user;
 - a From header set to the P-CSCF's SIP URI;
 - a To header, set to a SIP URI that contains the default public user identity of the user;
 - an Event header set to the "reg" event package;
 - an Expires header set to a value higher then the Expires header indicated in the 200 (OK) response to the REGISTER request; and

- a P-Asserted-Identity header containing the SIP URI of the P-CSCF, which was inserted into the Path header during the registration of the user to whose registration state the P-CSCF subscribes to; and
- a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17] and
- 2) determine the I-CSCF of the home network (e.g., by using DNS services);

before sending the SUBSCRIBE request to that I-CSCF, according to the procedures of RFC 3261 [26].

Upon receipt of a 2xx response to the SUBSCRIBE request, the P-CSCF shall store the information for the so established dialog and the expiration time as indicated in the Expires header of the received response.

If continued subscription is required the P-CSCF shall automatically refresh the subscription by the reg event package 600 seconds before the expiration time for a previously registered public user identity, either 600 seconds before the expiration time if the initial subscription was for greater than 1200 seconds, or when half of the time has expired if the initial subscription was for less.

Next change

5.2.6.3 Requests initiated by the UE

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains a P-Preferred-Identity header that matches one of the registered public user identities, the P-CSCF shall identify the initiator of the request by that public user identity.

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains as P-Preferred-Identity header that does not match one of the registered public user identities, or does not contain a P-Preferred-Identity header, the P-CSCF shall identify the initiator of the request by a default public user identity. If there is more then one default public user identity available, the P-CSCF shall randomly select one of them.

NOTE 1: The contents of the From header do not form any part of this decision process.

When the P-CSCF receives from the UE an initial request for a dialog, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCFshall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
 - b) replace the preloaded Route header value in the request with the value of the Service-Route header received during the last 200 (OK) response for a registration or reregistration;
- 2) add its own address to the Via header. The P-CSCF Via header entry is built in a format that contains the port number of the P-CSCF in accordance with the procedures of RFC3261 [26], and either:
 - a) the P-CSCF FQDN that resolves to the IP address, or
 - b) the P-CSCF IP address;
- 3) add its own SIP URI to the top of the Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the P-CSCF where it awaits subsequent requests from the called party, and either:
 - a) the P-CSCF FQDN that resolves to the IP address; or
 - b) the P-CSCF IP address;
- 4) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request;
- 5) create <u>add</u> a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17]; and

6) if the request is an INVITE request, save the Contact, CSeq and Record-Route header field values received in the request such that the P-CSCF is able to release the session if needed;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the values received in the P-Charging-Function-Addresses header;
- 2) store the list of Record-Route headers from the received response;
- 3) store the dialog ID and associate it with the private user identity and public user identity involved in the session;
- 4) rewrite the port number of its own Record Route entry to its own protected server port number negotiated with the calling UE, and append the comp parameter in accordance with the procedures of RFC 3486 [55]; and
- NOTE 2: The P-CSCF associates two ports, a protected client port and a protected server port, with each pair of security associations. For details on the selection of the protected port values see 3GPP TS 33.203 [19].
- 5) if the response corresponds to an INVITE request, save the Contact and Record-Route header field values received in the response such that the P-CSCF is able to release the session if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE a target refresh request for a dialog, the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
 - a) if the request does not relates to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
 - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps;
- 2) verify that the list of Route headers in the request is included, in the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header;
- 3) add its own address to the Via header. The P-CSCF Via header entry is built in a format that contains the port number of the P-CSCF where it awaits the responses to come, and either:
 - a) the P-CSCF FQDN that resolves to the IP address, or
 - b) the P-CSCF IP address;
- 4) add its own SIP URI to the top of Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the P-CSCF where it awaits subsequent requests from the called party, and either:
 - a) the P-CSCF FQDN that resolves to the IP address; or
 - b) the P-CSCF IP address; and
- 5) if the request is an INVITE request, save the Contact, Cseq and Record-Route header field values received in the request such that the P-CSCF is able to release the session if needed;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the list of Record-Route headers from the received response;
- 2) rewrite the port number of its own Record Route entry to its own protected server port number negotiated with the calling UE, and append the comp parameter in accordance with the procedures of RFC 3486 [55]; and
- NOTE 3: The P-CSCF associates two ports, a portected client port and a protected server port, with each pair of security associations. For details on the selection of the protected port value see 3GPP TS 33.203 [19].
- 3) if the response corresponds to an INVITE request, save the Contact and Record-Route header field values received in the response such that the P-CSCF is able to release the session if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for a standalone transaction, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCFshall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the preloaded Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response;
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request; and
- 3) create add a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17];

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

1) store the values received in the P-Charging-Function-Addresses header;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE subsequent requests other than a target refresh request (including requests relating to an existing dialog where the method is unknown), the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
 - a) if the request does not relates to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
 - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps; and
- 2) verify that the list of Route headers in the request matches the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
 - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header; and
- 3) for dialogs that are not INVITE dialogs, add a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17];

before forwarding the request, (based on the topmost Route header,) in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for an unknown method (that does not relate to an existing dialog), and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- verify that the list of URIs received in the Service-Route header (during the last successful registration or reregistration) is included, preserving the same order, as a subset of the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
 - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
 - b) replace the Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response; and
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

Next change

5.4.1.5 Network-initiated deregistration

Prior to initiating the network-initiated deregistration for the only public user identity currently registered with its associated set of implicitly registered public user identities (i.e. no other is registered) while there are still active multimedia sessions belonging to this user, the S-CSCF shall release all multimedia sessions belonging to this user as described in subclause 5.4.5.1.

When a network-initiated deregistration event occurs for one or more public user identity, the S-CSCF shall send a NOTIFY request to the UE on the dialog which was generated by the UE subscribing to the reg event package. When the S-CSCF receives a final response to the NOTIFY request or upon a timeout, the S-CSCF shall release all remaining dialogs related to the public user identity being deregistered and shall generate a NOTIFY request on all remaining dialogs which have been established due to subscription to the reg event package of that user. For each NOTIFY request, the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value;
- in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns;
- 4) set the aor attribute within each <registration> element to one public user identity:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE;
 - b) if the public user identity:
 - i) has been deregistered then:
 - set the state attribute within the <registration> element to "terminated";
 - set the state attribute within the <contact> element to "terminated"; and
 - set the event attribute within the <contact> element to "deactivated" if the S-CSCF expects the UE to reregister or "rejected" if the S-CSCF does not expect the UE to reregister; or
 - ii) has been kept registered then:
 - set the state attribute within the <registration> element to "active"; and
 - set the state attribute within the <contact> element to "active"; and-

5) add a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

Based on operators' policy the S-CSCF can request of the HSS to either be kept or cleared as the S-CSCF allocated to this subscriber.

Also, the S-CSCF shall send a third-party REGISTER request, as described in subclause 5.4.1.7, to each AS that matches the Filter Criteria from the HSS for the REGISTER event.

5.4.1.6 Network-initiated reauthentication

The S-CSCF may request a subscriber to reauthenticate at any time, based on a number of possible operator settable triggers as described in subclause 5.4.1.2.

If the S-CSCF is informed that a private user identity needs to be re-authenticated, the S-CSCF shall generate a NOTIFY request on all dialogs which have been established due to subscription to the reg event package of that user. For each NOTIFY request the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value; and
- 3) in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE;
 - b) set the aor attribute within each <registration> element to one public user identity;
 - c) set the state attribute within each <registration> element to "active";
 - d) set the state attribute within each <contact> element to "active";
 - e) set the event attribute within each <contact> element to "shortened"; and
 - f) set the expiry attribute within each <contact> element to an operator defined value; and-

4) set a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

Afterwards the S-CSCF shall wait for the user to reauthenticate (see subclause 5.4.1.2).

NOTE: Network initiated re-authentication may occur due to internal processing within the S-CSCF.

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

When generating the NOTIFY request, the S-CSCF shall shorten the validity of subscriber's registration timer to an operator defined value that will allow the user to be re-authenticated. If, for any reason, the reauthentication procedure is not successfully completed, the S-CSCF shall deregister all public user identities associated with the private user identity, as described in subclause 5.4.1.5, and terminate the ongoing sessions of that user.

5.4.1.7 Notification of Application Servers about registration status

During registration, the S-CSCF shall include a P-Access-Network-Info header (as received in the REGISTER request from the UE) in the 3rd-party REGISTER sent towards the ASs, if the AS is part of the trust domain. If the AS is not part of the trust domain, the S-CSCF shall not include any P-Access-Network-Info header. The S-CSCF shall not include a P-Access-Network-Info header in any responses to the REGISTER request.

If the registration procedure described in subclauses 5.4.1.2, 5.4.1.4 or 5.4.1.5 (as appropriate) was successful, the S-CSCF shall send a third-party REGISTER request to each AS with the following information:

- a) the Request-URI, which shall contain the AS's SIP URI;
- b) the From header, which shall contain the S-CSCF's SIP URI;

- c) the To header, which shall contain either the public user identity as contained in the REGISTER request received from the UE or one of the implicitly registered public user identities, as configured by the operator;
- d) the Contact header, which shall contain the S-CSCF's SIP URI;
- e) for initial registration and user-initiated reregistration (subclause 5.4.1.2), the Expires header, which shall contain the same value that the S-CSCF returned in the 200 (OK) response for the REGISTER request received form the UE;
- f) for user-initiated deregistration (subclause 5.4.1.4) and network-initiated deregistration (subclause 5.4.1.5), the Expires header, which shall contain the value zero;
- g) for initial registration and user-initiated reregistration (subclause 5.4.1.2), a message body, if there is Filter Criteria indicating the need to include HSS provided data for the REGISTER event (e.g. HSS may provide AS specific data to be included in the third-party REGISTER, such as IMSI to be delivered to IM SSF). If there is a service information XML element provided in the HSS Filter Criteria for an AS (see 3GPP TS 29.228 [14]), then the S-CSCF shall include it in the message body of the REGISTER request within the <service-info> XML element as described in subclause 7.6. For the messages including the 3GPP IMS XML body, the S-CSCF shall set the value of the Content-Type header to include the MIME type specified in subclause 7.6;
- h) for initial registration and user-initiated reregistration, the P-Charging-Vector header, which shall contain the same icid parameter that the S-CSCF received in the original REGISTER request from the UE;
- i) for initial registration<u>and user-initiated reregistration</u>, a P-Charging-Function-Addresses header, which shall contain the values received from the HSS if the message is forwarded within the S-CSCF home

Next change

5.4.2.1.2 Notification about registration state

For each NOTIFY request on all dialogs which have been established due to subscription to the reg event package of that user, the S-CSCF shall:

- 1) set the Request-URI and Route header to the saved route information during subscription;
- 2) set the Event header to the "reg" value;
- 3) in the body of the NOTIFY request, include as many <registration> elements as many public user identities the S-CSCF is aware of the user owns; and
- 4) set the aor attribute within each <registration> element to one public user identity:
 - a) set the <contact> sub-element of each <registration> element to the contact address provided by the UE; and
 - b) if the public user identity:
 - I) has been deregistered then:
 - set the state attribute within the <registration> element to "terminated";
 - set the state attribute within the <contact> element to "terminated"; and
 - set the event attribute within the <contact> element to "deactivated", "expired", "unregistered" or "probation" according draft-ietf-sipping-reg-event-00 [43]; or
 - II) has been registered then:
 - set the state attribute within the <registration> element to "active";
 - set the state attribute within the <contact> element to "active"; and
 - set the event attribute within the <contact> element to "registered"; or

III) has been automatically registered:

- set the state attribute within the <registration> element to "active";
- set the state attribute within the <contact> element to "active"; and
- set the event attribute within the <contact> element to "created"; and

5) set the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

EXAMPLE: If sip:user1_public1@home1.net is registered, the public user identity sip:user1_public2@home1.net can automatically be registered. Therefore the entries in the body of the NOTIFY request look like:

When sending a final NOTIFY request with all <registration> element(s) having their state attribute set to "terminated" (i.e. all public user identities have been deregistered or expired), the S-CSCF shall also terminate the subscription to the registration event package by setting the Subscription-State header to the value of "terminated".

The S-CSCF shall only include the non-barred public user identities in the NOTIFY request.

<u>Next change</u>

5.7.1.1 Notification about registration status

The AS may support the REGISTER method in order to discover the registration status of the user. If a REGISTER request arrives containing information about the user's registration status and the AS supports the REGISTER method, the AS shall store the Expires parameter from the request and generate a 200 (OK) response or an appropriate failure response. For the success case, the 200 (OK) response shall contain Expires value equal to the value received in the REGISTER request. The AS shall store the values received in P-Charging-Function-Addresses header. Also, the AS shall store the values of the icid parameter in the P-Charging-Vector header from the REGISTER request.

Upon receipt of a third-party REGISTER request, the AS may subscribe to the reg event package for the public user identity registered at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43].

On sending a SUBSCRIBE request, the AS shall populate the header fields as follows:

- a) a Request URI set to the resource to which the AS wants to be subscribed to, i.e. to a SIP URI that contains the public user identity of the user that was received in the To header field of the third-party REGISTER request;
- b) a From header field set to the AS's SIP URI;
- c) a To header field, set to a SIP URI that contains the public user identity of the user that was received in the To header field of the third-party REGISTER request;
- d) an Event header set to the "reg" event package; and
- e) P-Asserted-Identity header field containing the SIP URI of the AS, which identifies this AS in the initial filter criteria of the user to whose registration state the AS subscribes to; and.
- f) a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

Upon receipt of a 2xx response to the SUBSCRIBE request, the AS shall store the information for the so established dialog and the expiration time as indicated in the Expires header of the received response.

NOTE 1: Upon receipt of a NOTIFY request with all <registration> element(s) having their state attribute set to "terminated" (i.e. all public user identities are deregistered) and the Subscription-State header set to "terminated", the AS considers the subscription to the reg event package terminated, i.e. as if the AS had sent a SUBSCRIBE request with an Expires header containing a value of zero.

Next change

5.7.2 Application Server (AS) acting as terminating UA, or redirect server

When acting as a terminating UA the AS shall behave as defined for a UE in subclause 5.1.4, with the exceptions identified in this subclause.

The AS, although acting as a UA, does not initiate any registration of its associated addresses. These are assumed to be known by peer-to-peer arrangements within the IM CN subsystem.

An AS acting as redirect server shall propagate any received 3GPP message body in the redirected message.

When an AS acting as a terminating UA generates a subsequent request that does not relate to an INVITE dialog, the AS shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

5.7.3 Application Server (AS) acting as originating UA

When acting as an originating UA the AS shall behave as defined for a UE in subclause 5.1.3, with the exceptions identified in this subclause.

The AS, although acting as a UA, does not initiate any registration of its associated addresses. These are assumed to be known by peer-to-peer arrangements within the IM CN subsystem.

When an AS acting as an originating UA generates an initial request for a dialog or a request for a standalone transaction, the AS shall <u>create-insert</u> a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17]. The AS may retrieve CCF and/or ECF adresses from HSS on Sh interface.

When an AS acting as an originating UA generates a subsequent request that does not relate to an INVITE dialog, the AS shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

The AS shall extract charging function addresses from any P-Charging-Function-Addresses header that is received in any 1xx or 2xx responses to the requests.

Furthermore the AS shall insert a Route header pointing to the S-CSCF of the UE on whose behalf the request is generated.

NOTE: The address of the S-CSCF may be obtained either from a previous request terminated by the AS, by querying the HSS on the Sh interface or from static configuration.

<u>Next change</u>

5.8.2.1.2 MRFC-originating case

The MRFC shall provide a P-Asserted-Identity header in an initial request for a dialog, or any request for a standalone transaction. It is a matter of network policy whether the MRFC expresses privacy according to RFC 3323 [33] with such requests. When an MRFC generates an initial request for a dialog or a request for a standalone transaction, the MRFC shall insert a P-Charging-Vector header with the icid parameter populated as specified in 3GPP TS 32.260 [17].

End of change