

**Source:** TSG CN WG 1  
**Title:** CRs to Rel-5 on Work Item IMS-CCR towards 24.229,- pack 4  
**Agenda item:** 8.1  
**Document for:** APPROVAL

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**Introduction:**

This document contains 9 CRs, **Rel-5 to Work Item "IMS-CCR"**, that have been agreed by **TSG CN WG1**, and are forwarded to TSG CN Plenary meeting #20 for approval.

Spec	CR	Rev	Cat	Phase	Subject	Version-Current	Version-New	Meeting-2nd-Level	Doc-2nd-Level
24.229	381	1	F	Rel-5	PCSCF setting of Integrity protection indicator and checking of Security Verify header	5.4.0	5.5.0	N1-30	N1-030882
24.229	383	1	F	Rel-5	Consistent treatment of register and de-register	5.4.0	5.5.0	N1-30	N1-030884
24.229	384	1	F	Rel-5	Optionality of sending CK is removed	5.4.0	5.5.0	N1-30	N1-030885
24.229	385	1	F	Rel-5	Addition of note and Correction of References regarding security associations and registration	5.4.0	5.5.0	N1-30	N1-030886
24.229	387	1	F	Rel-5	Subscription/Registration refresh time	5.4.0	5.5.0	N1-30	N1-030887
24.229	388	1	F	Rel-5	Corrections to use of IK	5.4.0	5.5.0	N1-30	N1-030863
24.229	390		F	Rel-5	Mobile-originating case at UE	5.4.0	5.5.0	N1-30	N1-030647
24.229	394	2	F	Rel-5	Re-authentication procedure.	5.4.0	5.5.0	N1-30	N1-030917
24.229	395		F	Rel-5	Replacement of SIP URL with SIP URI	5.4.0	5.5.0	N1-30	N1-030652

## CHANGE REQUEST

⌘ **24.229 CR 381** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ PCSCF setting of Integrity protection indicator and checking of Security Verify header		
<b>Source:</b>	⌘ 3		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 08/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Rev 1 ----- The proposed reference to 33.203 is removed and detailed text describing behaviour added in it's place in bullet 4.  Only the editorial change to bullet point 6 is retained.  Rev 0 (N1-030636) ----- The description of PCSCF setting of the integrity protected 'yes' parameter is more open than defined in 33.203, and the checking of Security-verify header is described in different terms to 33.203
<b>Summary of change:</b>	⌘ PCSCF beahviour description is modified to refer to 33.203 for detailed conditions to remove any possibiity of inconsistency between the two specifications, and to use the terminology used in 33.203 where describing checking of Security-Verify header.
<b>Consequences if not approved:</b>	⌘ Inconsistency with 33.203, and possible ambiguous behaviour resulting in different implemntations.

<b>Clauses affected:</b>	⌘ 5.2.2						
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;">Y</td> <td style="text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Other core specifications	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘	
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	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table> Test specifications	<input type="checkbox"/>	<input checked="" type="checkbox"/>	⌘			
<input type="checkbox"/>	<input checked="" type="checkbox"/>						
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<input type="checkbox"/>	<input checked="" type="checkbox"/>						

**Other comments:** ☹

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ☹ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
  - the SIP URL identifying the P-CSCF;
  - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URL, a character string in the user part of the URL, or be a port number in the URL;
- 2) insert a Require header containing the option tag "path";
- 3) for the initial REGISTER request for a public user identity create a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header;
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was either received integrity protected with the security association created during an ongoing authentication procedure and includes an authentication response, or it was received on the security association created during the last successful authentication procedure and with no authentication response, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'sec-agree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:
  - check the security association which protected the request. If that has a temporary lifetime, then the request shall contain a Security-Verify header. If there is no such header, then the P-CSCF shall return a suitable 4xx error code. If there is such header, then compare the content of the Security-Verify header with the local static list. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
  - if the security association the REGISTER request ~~came~~ was received on is an established one, then a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header; and
  - check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
- 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- 1) remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security association. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert the Security-Server header in the response, containing the P-CSCF static security list. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the security association with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set the SIP level lifetime of the security association to be long enough to permit the UE to finalize the registration procedure (bigger than 64\*T1). The P-CSCF shall set the IPsec level lifetime of the security association to the maximum.

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

- 1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routing information in the requests originated by the UE. If this registration is a reregistration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;
- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;

NOTE 1: There may be more than one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.

- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) update the SIP level lifetime of the security association with the value found in the Expires header;
- 7) protect the response within the same security association to that in which the associated request was protected;
- 8) delete all earlier security associations and related keys it may have towards the UE, when a message protected within the newly set up security association is received; and
- 9) delete the new security associations that it was trying to establish with the UE, in case the P-CSCF receives a message from the UE protected with the old security association.

NOTE 2: The P-CSCF will maintain two Route header lists. The first Route header list - created during the registration procedure - is used only to validate the routing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list - constructed from the Record Route headers in the initial INVITE and associated response - is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPSec database when their SIP level lifetime expires. If there are still active dialogs associated with the user after the security associations were deleted, the P-CSCF shall discard all information pertaining to these dialogs without performing any further SIP transactions with the peer entities of the P-CSCF.

NOTE 3: At the same time, the P-CSCF will also indicate via the Go interface that all resources associated with these dialogs should be released.

## CHANGE REQUEST

⌘ **24.229 CR 383** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Consistent treatment of register and de-register		
<b>Source:</b>	⌘ 3		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 08/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Rev 1 ----- The bullet remains deleted but an additional note is placed at the start of the section indicating that REGISTER with expires=0 is not normal, but that in the case that it occurs then the procedures in this clause apply.  Rev 0 ----- In 5.4.1.2.1 the first bullet 3 diverts the treatment of a de-register message received with no integrity protection to 5.4.1.4. The first bullet in 5.4.1.4 then effectively stops the process as it requires integrity protection.
<b>Summary of change:</b>	⌘ The treatment of an unprotected register and an unprotected de-register should be the same, the difference is essentially only in the expiry time. Hence first bullet 3 in 5.4.1.2.1 is deleted.
<b>Consequences if not approved:</b>	⌘ It is a security risk if a de-register is allowed without checking if it is protected by the appropriate security association.

<b>Clauses affected:</b>	⌘ 5.4.1.2.1										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"> </td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;"> </td> <td style="text-align: center;">X</td> </tr> <tr> <td style="text-align: center;"> </td> <td style="text-align: center;">X</td> </tr> </table>	Y	N		X		X		X	Other core specifications Test specifications O&M Specifications	⌘
Y	N										
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<b>Other comments:</b>	⌘										

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## 5.4.1.2.1 Unprotected REGISTER

NOTE 1: Any REGISTER request sent unprotected by the UE is considered to be an initial registration. A 200 (OK) final response to such a request will only be sent back after the S-CSCF receives a correct RES in a REGISTER request that is sent integrity protected.

NOTE 2: A REGISTER with Expires header value equal to zero should always be received protected. However, it is possible that in error conditions a REGISTER with Expires header value equal to zero may be received unprotected. In that instance the procedures below will be applied.

Upon receipt of a REGISTER request with the integrity-protection parameter set to 'no', the S-CSCF shall:

- 1) identify the user by the public user identity as received in the To header and the private user identity as received in the username field in the Authorization header of the REGISTER request;
- 2) check if the P-Visited-Network header is included in the REGISTER request, and if it is included identify the visited network by the value of this header;

~~3) check the value of the Expires header. The S-CSCF shall only proceed with the following procedures if the Expires header is set to a value greater than zero; if the Expires header is set to a value zero, then S-CSCF shall proceed according to subclause 5.4.1.4;~~

4) check how many authentications are ongoing for this user. The S-CSCF may – based on local policy – reject the request by sending a 403 (Forbidden) response, if there are a number of ongoing authentications. The response may include a Warning header containing the warn-code 399. If the S-CSCF decides to challenge the user, then proceed as follows;

5) select an authentication vector for the user. If no authentication vector for this user is available, after the S-CSCF has performed the Cx Multimedia Authentication procedure with the HSS, as described in 3GPP TS 29.229 [15], the S-CSCF shall select an authentication vector as described in 3GPP TS 33.203 [19].

Prior to performing Cx Multimedia Authentication procedure with the HSS, the S-CSCF decides which HSS to query, possibly as a result of a query to the Subscription Locator Functional (SLF) entity as specified in 3GPP TS 29.228 [14];

NOTE 23: At this point the S-CSCF informs the HSS, that the user currently registering will be served by the S-CSCF by passing its SIP URL to the HSS. This will be indicated by the HSS for all further incoming requests to this user, in order to direct all these requests directly to this S-CSCF.

6) store the icid parameter received in the P-Charging-Vector header;

7) challenge the user by generating a 401 (Unauthorized) response for the received REGISTER request, including a WWW-Authenticate header which transports:

- the home network identification in the realm field;
- the RAND and AUTN parameters and optional server specific data for the UE in the nonce field;
- the security mechanism, which is AKAv1-MD5, in the algorithm field;
- the IK (Integrity Key) parameter for the P-CSCF in the ik field (see subclause 7.2A.4); and
- optionally the CK (Cipher Key) parameter for the P-CSCF in the ck field (see subclause 7.2A.4);

8) send the so generated 401 (Unauthorized) response towards the UE; and,

9) start timer reg-await-auth which guards the receipt of the next REGISTER request.



**CHANGE REQUEST**

⌘ **24.229 CR 384** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Optionality of sending CK is removed		
<b>Source:</b>	⌘ 3		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 08/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)	

<b>Reason for change:</b>	⌘ Rev 1
	----- Cover sheet change to indicate no other specifications are affected.  Rev 0 (N1-030639) ----- Sending of CK in an authentication challenge is currently defined as optional for the SCSCF. This is inconsistent with 33.203 which states that it is always sent, and it is also not forward compatible to future releases where this feature will be used.
<b>Summary of change:</b>	⌘ Remove the optionality of sending CK
	References to section 7.2A are also incorrect – corrected to refer to 7.2A.1
<b>Consequences if not approved:</b>	⌘ Inconsistent specification (when compared to 33.203), and lack of future – proofing of the design.

<b>Clauses affected:</b>	⌘ 5.4.1.2.1										
<b>Other specs affected:</b>	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
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<b>Other comments:</b>	⌘										

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## 5.4.1.2.1 Unprotected REGISTER

NOTE 1: Any REGISTER request sent unprotected by the UE is considered to be an initial registration. A 200 (OK) final response to such a request will only be sent back after the S-CSCF receives a correct RES in a REGISTER request that is sent integrity protected.

Upon receipt of a REGISTER request with the integrity-protection parameter set to 'no', the S-CSCF shall:

- 1) identify the user by the public user identity as received in the To header and the private user identity as received in the username field in the Authorization header of the REGISTER request;
- 2) check if the P-Visited-Network header is included in the REGISTER request, and if it is included identify the visited network by the value of this header;
- 3) check the value of the Expires header. The S-CSCF shall only proceed with the following procedures if the Expires header is set to a value greater than zero; if the Expires header is set to a value zero, then S-CSCF shall proceed according to subclause 5.4.1.4;
- 4) check how many authentications are ongoing for this user. The S-CSCF may – based on local policy – reject the request by sending a 403 (Forbidden) response, if there are a number of ongoing authentications. The response may include a Warning header containing the warn-code 399. If the S-CSCF decides to challenge the user, then proceed as follows;
- 5) select an authentication vector for the user. If no authentication vector for this user is available, after the S-CSCF has performed the Cx Multimedia Authentication procedure with the HSS, as described in 3GPP TS 29.229 [15], the S-CSCF shall select an authentication vector as described in 3GPP TS 33.203 [19].

Prior to performing Cx Multimedia Authentication procedure with the HSS, the S-CSCF decides which HSS to query, possibly as a result of a query to the Subscription Locator Functional (SLF) entity as specified in 3GPP TS 29.228 [14];

NOTE 2: At this point the S-CSCF informs the HSS, that the user currently registering will be served by the S-CSCF by passing its SIP URL to the HSS. This will be indicated by the HSS for all further incoming requests to this user, in order to direct all these requests directly to this S-CSCF.

- 6) store the icid parameter received in the P-Charging-Vector header;
- 7) challenge the user by generating a 401 (Unauthorized) response for the received REGISTER request, including a WWW-Authenticate header which transports:
  - the home network identification in the realm field;
  - the RAND and AUTN parameters and optional server specific data for the UE in the nonce field;
  - the security mechanism, which is AKAv1-MD5, in the algorithm field;
  - the IK (Integrity Key) parameter for the P-CSCF in the ik field (see subclause 7.2A.41); and
  - ~~optionally~~ the CK (Cipher Key) parameter for the P-CSCF in the ck field (see subclause 7.2A.41);
- 8) send the so generated 401 (Unauthorized) response towards the UE; and,
- 9) start timer reg-await-auth which guards the receipt of the next REGISTER request.

**CHANGE REQUEST**

⌘ **24.229 CR 385** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Addition of note and Correction of References regarding security associations and registration	
<b>Source:</b>	⌘ 3	
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b> ⌘ 08/05/2003
<b>Category:</b>	⌘ <b>F</b> Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	<b>Release:</b> ⌘ REL-5 Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Rev 1 ----- It was noted that the duplicated note is in fact in the wrong place in the original instance as it refers to PCSCF behaviour. The note is removed from it's original place ( 5.1.1.4 ) and moved to equivalent PCSCF section (5.2.2 ). The existing notes are renumbered accordingly in that sections.  The proposed change to 5.1.1.2 is no longer included.  The change to 5.4.1.2.2 is unaffected  Rev 0 (N1-030640) ----- Missing note in initial registration section – text for re-register applies equally to initial register.  References directs to subclause 5.4.1.2 which is incorrect and 5.4.1.2.2 which is circular.
<b>Summary of change:</b>	⌘ Section 5.1.1.2 : Added note in initial registration which is a copy of the same note in re-register as it applies in both cases.  Section 5.4.1.2.2 : Modified text to refer forward to bullet in this subclause.
<b>Consequences if not approved:</b>	⌘ Incorrect reference and missing note could lead to misinterpretation and incorrect implementations.

**Clauses affected:** ⌘ 5.1.1.4, 5.2.2, 5.4.1.2.2

<b>Other specs affected:</b>		<b>Y</b>	<b>N</b>		
	⌘		<b>X</b>	Other core specifications	⌘
			<b>X</b>	Test specifications	
			<b>X</b>	O&M Specifications	
<b>Other comments:</b>	⌘				

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>.

Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

#### 5.1.1.4 User-initiated re-registration

The UE can reregister a previously registered public user identity at any time.

The UE shall reregister the public user identity 600 seconds before the expiration time of a previous registration, unless either the user or the application within the UE has determined that a continued registration is not required. If the registration period indicated from the S-CSCF is less than 600 seconds, the UE shall reregister when half of the registration period has expired.

The UE shall integrity protect the REGISTER request using IK, see 3GPP TS 33.203 [19], derived as a result of an earlier registration, if IK is available.

On sending a REGISTER request, the UE shall populate the header fields as follows:

- a) an Authorization header, with the username field set to the value of the private user identity;
- b) a From header set to the SIP URI that contains the public user identity to be registered;
- c) a To header set to the SIP URI that contains the public user identity to be registered;
- d) a Contact header set to include SIP URI(s) that contain(s) in the hostport parameter the IP address of the UE or FQDN and protected port value bound to the security association;

NOTE 1: If the UE specifies its FQDN in the host parameter in the Contact header, then it has to ensure that the given FQDN will resolve (e.g., by reverse DNS lookup) to the IP address that is bound to the security association.

- e) an Expires header, or an expires parameter within the Contact header, set to 600 000 seconds as the value desired for the duration of the registration;

NOTE 2: The registrar (S-CSCF) might decrease the duration of the registration in accordance with network policy. Registration attempts with a registration period of less than a predefined minimum value defined in the registrar will be rejected with a 423 (Interval Too Brief) response.

- f) a Request-URI set to the SIP URI of the domain name of the home network;
- g) a Security-Client header field, set to specify the security mechanism it supports, the IPSec layer algorithms it supports and the parameters needed for the security association setup. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48];

~~NOTE 3: The 401 (Unauthorized) challenge sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up the security association with the UE during the same registration procedure.~~

- h) the Supported header containing the option tag "path"; and
- i) the P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall extract or derive from the UICC a public user identity, the private user identity, and the domain name to be used in the Request-URI in the registration, according to the procedures described in subclause 5.1.1.1A.

On receiving the 200 (OK) response to the REGISTER request, the UE shall store the new expiration time of the registration for this public user identity found in the To header value. The UE shall also store the list of URIs contained in the P-Associated-URI header value. This list contains the URIs that are associated to the registered public user identity.

When a 401 (Unauthorized) response to a REGISTER is received the UE shall behave as described in subclause 5.1.1.5.1.

On receiving a 423 (Interval Too Brief) response to the REGISTER request, the UE shall:

- send another REGISTER request populating the Expires header or the expires parameter with an expiration timer of at least the value received in the Min-Expires header of the 423 (Interval Too Brief) response.

## 5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
  - the SIP URL identifying the P-CSCF;
  - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URL, a character string in the user part of the URL, or be a port number in the URL;
- 2) insert a Require header containing the option tag "path";
- 3) for the initial REGISTER request for a public user identity create a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header;
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was received integrity protected, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'sec-agree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:
  - check the security association which protected the request. If that has a temporary lifetime, then the request shall contain a Security-Verify header. If there is no such header, then the P-CSCF shall return a suitable 4xx error code. If there is such header, then compare the content of the Security-Verify header with the local static list. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
  - if the security association the REGISTER request came is an established one, then a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header; and
  - check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
- 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- 1) remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security association. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert the Security-Server header in the response, containing the P-CSCF static security list. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the security association with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set the SIP level lifetime of the security association to be long enough to permit the UE to finalize the registration procedure (bigger than 64\*T1). The P-CSCF shall set the IPsec level lifetime of the security association to the maximum.

NOTE 1: The 401 (Unauthorized) challenge sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up the security association with the UE during the same registration procedure.

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

- 1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routing information in the requests originated by the UE. If this registration is a reregistration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;
- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;

NOTE 2: There may be more than one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.

- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) update the SIP level lifetime of the security association with the value found in the Expires header;
- 7) protect the response within the same security association to that in which the associated request was protected;
- 8) delete all earlier security associations and related keys it may have towards the UE, when a message protected within the newly set up security association is received; and
- 9) delete the new security associations that it was trying to establish with the UE, in case the P-CSCF receives a message from the UE protected with the old security association.

NOTE 3: The P-CSCF will maintain two Route header lists. The first Route header list - created during the registration procedure - is used only to validate the routing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list - constructed from the Record Route headers in the initial INVITE and associated response - is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPSec database when their SIP level lifetime expires. If there are still active dialogs associated with the user after the security associations were deleted, the P-CSCF shall discard all information pertaining to these dialogs without performing any further SIP transactions with the peer entities of the P-CSCF.

NOTE 4: At the same time, the P-CSCF will also indicate via the Go interface that all resources associated with these dialogs should be released.



## 5.4.1.2.2 Protected REGISTER

Upon receipt of a REGISTER request with the integrity-protection parameter in the Authorization header set to 'yes', the S-CSCF shall identify the user by the public user identity as received in the To header and the private user identity as received in the Authorization header of the REGISTER request, and:

In the case that there is no authentication currently ongoing for this user (i.e. no timer reg-await-auth is running):

- 1) check if the user needs to be reauthenticated.

The S-CSCF may require authentication of the user for any REGISTER request, and shall always require authentication for registration requests received without integrity protection by the P-CSCF. The information that a REGISTER request was received integrity protected at the P-CSCF may be used as part of the decision to challenge the user.

If the user needs to be reauthenticated, the S-CSCF shall proceed with the procedures as described for the initial REGISTER in subclause 5.4.1.2.1, beginning with step 4). If the user does not need to be reauthenticated, the S-CSCF shall proceed with the following steps in this paragraph; and

- 2) check whether an Expires timer is included in the REGISTER request and its value. If the Expires header indicates a zero value, the S-CSCF shall perform the deregistration procedures as described in subclause 5.4.1.4. If the Expires header does not indicate zero, the S-CSCF shall check whether the public user identity received in the To header is already registered. If it is not registered, the S-CSCF shall proceed ~~with the procedures as described for the second REGISTER request in subclause 5.4.1.2.2,~~ beginning with step 5 [below](#). Otherwise, the S-CSCF shall proceed ~~with the procedures as described for the second REGISTER request in subclause 5.4.1.2,~~ beginning with step 6) [below](#).

## CHANGE REQUEST

⌘ **24.229 CR 387** ⌘ rev **1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Subscription/Registration refresh time		
<b>Source:</b>	⌘ 3		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 08/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ REL-5
	Use <u>one</u> of the following categories: <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) Rel-4 (Release 4) Rel-5 (Release 5) Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ Rev 1 ----- The text was modified to be clearer by stating that the behaviour is either/or, affected clauses on cover sheet corrected.  Rev 0 (N1-030642) ----- In a number of places it is stated that the user should refresh subscriptions(or registrations) 600 seconds before they expire, or after half of the subscription time if the subscription was initially for less than 600 seconds. This results in non-ideal behaviour e.g. if the initial subscription was for 11 minutes it will be refreshed after 1 minute, whereas an initial subscription of 9 minutes would not be refreshed for 4.5 minutes.
<b>Summary of change:</b>	⌘ The text is modified so that the subscription is refreshed 600 seconds before it expires provided the initial subscription was greater than 1200 seconds, and when half of the time has passed if the initial subscription is equal to 1200 seconds or less.
<b>Consequences if not approved:</b>	⌘ Refresh time requirements will not be consistent and could result in abuse of the system.

<b>Clauses affected:</b>	⌘ 5.1.1.3, 5.1.1.4, 5.2.3										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="padding: 2px;">Y</td> <td style="padding: 2px;">N</td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="padding: 2px;"><input type="checkbox"/></td> <td style="padding: 2px;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
Y	N										
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**Other comments:** ☹

**How to create CRs using this form:**

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- 1) Fill out the above form. The symbols above marked ☹ contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 5.1.1.3 Initial subscription to the registration-state event package

Upon receipt of a 2xx response to the initial registration, the UE shall subscribe to the reg event package for the public user identity registered at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43].

On sending a SUBSCRIBE request, the UE shall populate the header fields as follows:

- a) a Request URI set to the resource to which the UE wants to be subscribed to, i.e. to a SIP URI that contains the public user identity;
- b) a From header set to a SIP URI that contains the public user identity;
- c) a To header set to a SIP URI that contains the public user identity;
- d) an Event header set to the "reg" event package;
- e) an Expires header, or an expires parameter within the Contact header, set to 600 000 seconds as the value desired for the duration of the subscription; and
- f) a P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

Upon receipt of a 2xx response to the SUBSCRIBE request, the UE shall store the information for the established dialog and the expiration time as indicated in the Expires header of the received response.

~~If continued subscription is required~~ the UE shall automatically refresh the subscription by the reg event package, ~~600 seconds before the expiration time~~ for a previously registered public user identity, either 600 seconds before the expiration time if the initial subscription was for greater than 1200 seconds, or when half of the time has expired if the initial subscription was for 1200 seconds or less, ~~unless continued subscription is not required. If the expiration time, as indicated in the Expires header of the 2xx response to the SUBSCRIBE request is less than 600 seconds, the UE shall refresh the subscription when half of the expiration time has elapsed and continued subscription of the public user identity is still required.~~

### 5.1.1.4 User-initiated re-registration

The UE can reregister a previously registered public user identity at any time.

~~The UE shall reregister the public user identity 600 seconds before the expiration time of a previous registration,~~ Unless either the user or the application within the UE has determined that a continued registration is not required the UE shall reregister the public user identity either 600 seconds before the expiration time if the initial registration was for greater than 1200 seconds, or when half of the time has expired if the initial registration was for 1200 seconds or less. ~~If the registration period indicated from the S-CSCF is less than 600 seconds, the UE shall reregister when half of the registration period has expired.~~

The UE shall integrity protect the REGISTER request using IK, see 3GPP TS 33.203 [19], derived as a result of an earlier registration, if IK is available.

On sending a REGISTER request, the UE shall populate the header fields as follows:

- a) an Authorization header, with the username field set to the value of the private user identity;
- b) a From header set to the SIP URI that contains the public user identity to be registered;
- c) a To header set to the SIP URI that contains the public user identity to be registered;
- d) a Contact header set to include SIP URI(s) that contain(s) in the hostport parameter the IP address of the UE or FQDN and protected port value bound to the security association;

NOTE 1: If the UE specifies its FQDN in the host parameter in the Contact header, then it has to ensure that the given FQDN will resolve (e.g., by reverse DNS lookup) to the IP address that is bound to the security association.

- e) an Expires header, or an expires parameter within the Contact header, set to 600 000 seconds as the value desired for the duration of the registration;

NOTE 2: The registrar (S-CSCF) might decrease the duration of the registration in accordance with network policy. Registration attempts with a registration period of less than a predefined minimum value defined in the registrar will be rejected with a 423 (Interval Too Brief) response.

- f) a Request-URI set to the SIP URI of the domain name of the home network;
- g) a Security-Client header field, set to specify the security mechanism it supports, the IPSec layer algorithms it supports and the parameters needed for the security association setup. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48];

NOTE 3: The 401 (Unauthorized) challenge sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up the security association with the UE during the same registration procedure.

- h) the Supported header containing the option tag "path"; and
- i) the P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall extract or derive from the UICC a public user identity, the private user identity, and the domain name to be used in the Request-URI in the registration, according to the procedures described in subclause 5.1.1.1A.

On receiving the 200 (OK) response to the REGISTER request, the UE shall store the new expiration time of the registration for this public user identity found in the To header value. The UE shall also store the list of URIs contained in the P-Associated-URI header value. This list contains the URIs that are associated to the registered public user identity.

When a 401 (Unauthorized) response to a REGISTER is received the UE shall behave as described in subclause 5.1.1.5.1.

On receiving a 423 (Interval Too Brief) response to the REGISTER request, the UE shall:

- send another REGISTER request populating the Expires header or the expires parameter with an expiration timer of at least the value received in the Min-Expires header of the 423 (Interval Too Brief) response.

### 5.2.3 Subscription to the user's registration-state event package

Upon receipt of a 200 (OK) response to the initial REGISTER request of an user, the P-CSCF shall subscribe to the reg event package at the users registrar (S-CSCF) as described in draft-ietf-sipping-reg-event-00 [43]. The P-CSCF shall:

- 1) generate a SUBSCRIBE request with the following elements:
  - a Request-URI set to the resource to which the P-CSCF wants to be subscribed to, i.e. to a SIP URI that contains the public user identity;
  - a From header set to the P-CSCF's SIP URI;
  - a To header, set to a SIP URI that contains the public user identity that was previously registered;
  - an Event header set to the "reg" event package; and
  - an Expires header set to a value higher then the Expires header indicated in the 200 (OK) response to the REGISTER request; and
- 2) determine the I-CSCF of the home network (e.g., by using DNS services);

before sending the SUBSCRIBE request to that I-CSCF, according to the procedures of RFC 3261 [26].

Upon receipt of a 2xx response to the SUBSCRIBE request, the P-CSCF shall store the information for the so established dialog and the expiration time as indicated in the Expires header of the received response.

If continued subscription is required ¶the P-CSCF shall automatically refresh the subscription by the reg event package ~~600 seconds before the expiration time~~ for a previously registered public user identity, ~~unless continued subscription is not required, either 600 seconds before the expiration time if the initial subscription was for greater than 1200 seconds, or when half of the time has expired if the initial subscription was for 1200 seconds or less. If the expiration time, as indicated in the Expires header of the 2xx response to the SUBSCRIBE request is less than 600 seconds, the P-CSCF shall refresh the subscription when half of the expiration time has elapsed and continued subscription of the public user identity is still required.~~

CR-Form-v7

## CHANGE REQUEST

⌘ **24.229 CR 388** ⌘ rev **-1** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Corrections to use of IK		
<b>Source:</b>	⌘ 3, Ericsson		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 20/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	<i>Use one of the following categories:</i> <b>F</b> (correction) <b>A</b> (corresponds to a correction in an earlier release) <b>B</b> (addition of feature), <b>C</b> (functional modification of feature) <b>D</b> (editorial modification) Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		<i>Use one of the following releases:</i> <b>2</b> (GSM Phase 2) <b>R96</b> (Release 1996) <b>R97</b> (Release 1997) <b>R98</b> (Release 1998) <b>R99</b> (Release 1999) <b>Rel-4</b> (Release 4) <b>Rel-5</b> (Release 5) <b>Rel-6</b> (Release 6)

<b>Reason for change:</b>	⌘ Correction of description of security association and integrity key.		
<b>Summary of change:</b>	⌘ Rev 1 ----- Changed the term derived to agreed in number of places to make the text consistent with new proposal  Changes to IPsec statements removed  Also included changes from CR406 to avoid clash  Rev 0 ----- Made changes to clarify that message are protected using a security association rather than a key. Changed reference to IPsec algorithm just algorithms		
<b>Consequences if not approved:</b>	⌘ Ambiguity may lead to implementation errors or interoperability problems.		

<b>Clauses affected:</b>	⌘ 5.1.1.2, 5.1.1.4, 5.1.1.5.1, 5.1.1.5.3, 5.1.1.6										
<b>Other specs affected:</b>	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; text-align: center;">Y</td> <td style="width: 20px; text-align: center;">N</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td style="text-align: center;"><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications Test specifications O&M Specifications	⌘
Y	N										
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<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>										
<b>Other comments:</b>	⌘										

### **How to create CRs using this form:**

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- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.



### 5.1.1.2 Initial registration

The UE can register a public user identity at any time that a valid PDP context exists. However, the UE shall only initiate a new registration procedure when it has received a final response from the registrar for the ongoing registration, or the previous REGISTER request has timed out.

A REGISTER request may be **integrity** protected using [a security association](#)~~K~~, see 3GPP TS 33.203 [19], **derived established** as a result of an earlier registration.

The public user identity to be registered can be extracted either from the ISIM application, if present, on the UICC or derived from the USIM, according to the procedures described in subclause 5.1.1.1A. A public user identity may be input by the end user.

On sending a REGISTER request, the UE shall populate the header fields as follows:

- a) the Authorization header, with the username field, set to the value of the private user identity;
- b) the From header set to the SIP URI that contains the public user identity to be registered;
- c) the To header set to the SIP URI that contains the public user identity to be registered;
- d) the Contact header set to include SIP URI(s) containing the IP address of the UE in the hostport parameter or FQDN. If the protected port value that is bound to the security association is known by the UE, that shall be also included in the hostport parameter;

NOTE 1: If the UE specifies its FQDN in the host parameter in the Contact header, then it has to ensure that the given FQDN will resolve (e.g., by reverse DNS lookup) to the IP address that is bound to the security association.

- e) the Expires header, or the expires parameter within the Contact header, set to the value of 600 000 seconds as the value desired for the duration of the registration;

NOTE 2: The registrar (S-CSCF) might decrease the duration of the registration in accordance with network policy. Registration attempts with a registration period of less than a predefined minimum value defined in the registrar will be rejected with a 423 (Interval Too Brief) response.

- f) a Request-URI set to the SIP URI of the domain name of the home network;
- g) the Security-Client header field set to specify the security mechanism the UE supports, the IPSec layer algorithms the UE supports and the parameters needed for the security association setup. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48];
- h) the Supported header containing the option tag "path"; and
- i) if a security association exists, a P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall extract or derive from the UICC a public user identity, the private user identity, and the domain name to be used in the Request-URI in the registration, according to the procedures described in subclause 5.1.1.1A.

On receiving the 200 (OK) response to the REGISTER request, the UE shall store the expiration time of the registration for the public user identities found in the To header value. The UE shall also store the list of URIs contained in the P-Associated-URI header value. This list contains the URIs that are associated to the registered public user identity. The list contains also the identity under registration, unless this identity is barred. In order to build a proper preloaded Route header value for new dialogs, the UE shall also store the list of Service Route headers contained in the Service-Route header.

When a 401 (Unauthorized) response to a REGISTER is received the UE shall behave as described in subclause 5.1.1.5.1.

On receiving a 423 (Interval Too Brief) too brief response to the REGISTER request, the UE shall:

- send another REGISTER request populating the Expires header or the expires parameter with an expiration timer of at least the value received in the Min-Expires header of the 423 (Interval Too Brief) response.

### 5.1.1.4 User-initiated re-registration

The UE can reregister a previously registered public user identity at any time.

The UE shall reregister the public user identity 600 seconds before the expiration time of a previous registration, unless either the user or the application within the UE has determined that a continued registration is not required. If the registration period indicated from the S-CSCF is less than 600 seconds, the UE shall reregister when half of the registration period has expired.

The UE shall ~~integrity~~ protect the REGISTER request using [a security association](#)~~IK~~, see 3GPP TS 33.203 [19], ~~derived established~~ as a result of an earlier registration, if IK is available.

On sending a REGISTER request, the UE shall populate the header fields as follows:

- a) an Authorization header, with the username field set to the value of the private user identity;
- b) a From header set to the SIP URI that contains the public user identity to be registered;
- c) a To header set to the SIP URI that contains the public user identity to be registered;
- d) a Contact header set to include SIP URI(s) that contain(s) in the hostport parameter the IP address of the UE or FQDN and protected port value bound to the security association;

NOTE 1: If the UE specifies its FQDN in the host parameter in the Contact header, then it has to ensure that the given FQDN will resolve (e.g., by reverse DNS lookup) to the IP address that is bound to the security association.

- e) an Expires header, or an expires parameter within the Contact header, set to 600 000 seconds as the value desired for the duration of the registration;

NOTE 2: The registrar (S-CSCF) might decrease the duration of the registration in accordance with network policy. Registration attempts with a registration period of less than a predefined minimum value defined in the registrar will be rejected with a 423 (Interval Too Brief) response.

- f) a Request-URI set to the SIP URI of the domain name of the home network;
- g) a Security-Client header field, set to specify the security mechanism it supports, the IPSec layer algorithms it supports and the parameters needed for the security association setup. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48];

NOTE 3: The 401 (Unauthorized) challenge sent back by the S-CSCF to the UE as a response to the REGISTER request is piggybacked by the P-CSCF to insert the Security-Server header field in it. The S-CSCF authenticates the UE, while the P-CSCF negotiates and sets up the security association with the UE during the same registration procedure.

- h) the Supported header containing the option tag "path"; and
- i) the P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall extract or derive from the UICC a public user identity, the private user identity, and the domain name to be used in the Request-URI in the registration, according to the procedures described in subclause 5.1.1.1A.

On receiving the 200 (OK) response to the REGISTER request, the UE shall store the new expiration time of the registration for this public user identity found in the To header value. The UE shall also store the list of URIs contained in the P-Associated-URI header value. This list contains the URIs that are associated to the registered public user identity.

When a 401 (Unauthorized) response to a REGISTER is received the UE shall behave as described in subclause 5.1.1.5.1.

On receiving a 423 (Interval Too Brief) response to the REGISTER request, the UE shall:

- send another REGISTER request populating the Expires header or the expires parameter with an expiration timer of at least the value received in the Min-Expires header of the 423 (Interval Too Brief) response.

## 5.1.1.5 Authentication

### 5.1.1.5.1 General

Authentication is achieved via the registration and re-registration procedures. When the network requires authentication or re-authentication of the UE, the UE will receive a 401 (Unauthorized) response to the REGISTER request.

On receiving a 401 (Unauthorized) response to the REGISTER request, the UE shall:

- extract the RAND and AUTN parameters;
- check the validity of a received authentication challenge, as described in 3GPP TS 33.203 [19] i.e. the locally calculated XMAC must match the MAC parameter derived from the AUTN part of the challenge; and the SQN parameter derived from the AUTN part of the challenge must be within the correct range; and
- check the existence of the Security-Server header as described in RFC 3329 [48]. If the header is not present, the UE shall send a new REGISTER request.

In the case that the 401 (Unauthorized) response to the REGISTER request is deemed to be valid the UE shall:

- calculate the RES parameter and derive the keys CK and IK from RAND as described in 3GPP TS 33.203 [19];
- set up the security association based on the static list it received in the 401 (Unauthorized) and its capabilities sent in the Security-Client header in the REGISTER request. The UE shall set up the security association using the most preferred mechanism and algorithm returned by the P-CSCF and supported by the UE and using ~~CK~~ and IK as the shared keys; and
- send another REGISTER request using the new security association ~~derived IK~~ to ~~integrity~~-protect the message. The header fields are populated as defined for the initial request, with the addition that the UE shall include an Authorization header containing the private user identity and the authentication challenge response (RES parameter), as described in RFC 3310 [49]. Instead of the Security-Client header the UE shall insert the Security-Verify header into the request, by mirroring in it the content of the Security-Server header received in the 401 (Unauthorized) response. The Call-ID of the integrity protected REGISTER request which carries RES must be the same as the Call-ID of the 401 (Unauthorized) response which carried the challenge.

On receiving the 200 (OK) for the integrity protected REGISTER request, the UE shall start using the security association the 200 (OK) was protected with.

Whenever the 200 (OK) response is not received after a time-out, the UE shall consider the registration to have failed. The UE shall delete the new security associations it was trying to establish, and use the old security association.

In the case that the 401 (Unauthorized) response is deemed to be invalid then the UE shall behave as defined in subclause 5.1.1.5.3.

### 5.1.1.5.3 Abnormal cases

If, in a 401 (Unauthorized) response, either the MAC or SQN is incorrect the UE shall respond with a further REGISTER indicating to the S-CSCF that the challenge has been deemed invalid as follows:

- in the case where the UE deems the MAC parameter to be invalid the subsequent REGISTER request shall contain no response parameter (e.g. no RES or AUTS);
- in the case where the UE deems the SQN to be out of range, the subsequent REGISTER request shall contain the AUTS parameter (see 3GPP TS 33.102 [18]).

A UE shall only respond to two consecutive invalid challenges. The UE may attempt to register with the network again after an implementation specific time. ~~The UE shall send the~~ REGISTER request ~~shall be protected with~~ using the an existing ~~keys (CK and HK)~~ security association if available, see 3GPP TS 33.203 [19].

### 5.1.1.6 Mobile-initiated deregistration

The UE can deregister a previously registered public user identity at any time.

The UE shall integrity protect the REGISTER request using a [security association](#)~~HK~~, see 3GPP TS 33.203 [19], ~~derived~~ [established](#) as a result of an earlier registration, if ~~HK~~[one](#) is available.

On sending a REGISTER request, the UE shall populate the header fields as follows:

- a) the Authorization header, with the username field, set to the value of the private user identity;
- b) the From header set to the SIP URI that contains the public user identity to be deregistered;
- c) the To header set to the SIP URI that contains the public user identity to be deregistered;
- d) the Contact header set to either the value of "\*" or SIP URI(s) that contain(s) in the hostport parameter the IP address of the UE or FQDN and protected port value bound to the security association;
- e) the Expires header, or the expires parameter of the Contact header, set to the value of zero, appropriate to the deregistration requirements of the user;
- f) a Request-URI set to the SIP URI of the domain name of the home network; and
- g) a P-Access-Network-Info header that contains information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall extract or derive from the UICC a public user identity, the private user identity, and the domain name to be used in the Request-URI in the registration, according to the procedures described in subclause 5.1.1.1A.

On receiving the 200 (OK) response to the REGISTER request, the UE shall remove all registration details relating to this public user identity.

The UE shall release all dialogs prior to deregistering the last registered public user identity.

If there are no more public user identities registered, the UE shall delete the security associations and related keys it may have towards the P-CSCF.

If all public user identities are deregistered and the security association is removed, then the UE shall consider subscription to the reg event package cancelled (i.e. as if the UE had sent a SUBSCRIBE request with an Expires header containing a value of zero).

**NOTE:** When the UE has received the 200 (OK) for the REGISTER request of the last registered public user identity, the UE removes the security association established between the P-CSCF and the UE. Therefore further SIP signalling (e.g. the NOTIFY containing the deregistration event) will not reach the UE.

**CHANGE REQUEST**

⌘ **24.229 CR CR 390** ⌘ rev **-** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Mobile-originating case at UE		
<b>Source:</b>	⌘ Lucent Technologies		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 12/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)	<b>2</b> (GSM Phase 2)	
	<b>A</b> (corresponds to a correction in an earlier release)	<b>R96</b> (Release 1996)	
	<b>B</b> (addition of feature),	<b>R97</b> (Release 1997)	
	<b>C</b> (functional modification of feature)	<b>R98</b> (Release 1998)	
	<b>D</b> (editorial modification)	<b>R99</b> (Release 1999)	
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		<b>Rel-4</b> (Release 4)
			<b>Rel-5</b> (Release 5)
			<b>Rel-6</b> (Release 6)

<b>Reason for change:</b>	⌘ During the P-CSCF discovery procedures, UE learns the IP address of the P-CSCF. However, the protected port at the P-CSCF is conveyed to the UE during the registration procedure.
<b>Summary of change:</b>	⌘ Relevant text is added.
<b>Consequences if not approved:</b>	⌘ Inaccurate specification

<b>Clauses affected:</b>	⌘ 5.1.2A.1											
<b>Other specs affected:</b>	⌘	<table border="1"><tr><td>Y</td><td>N</td></tr><tr><td></td><td>X</td></tr><tr><td></td><td>X</td></tr><tr><td></td><td>X</td></tr></table>	Y	N		X		X		X	Other core specifications	⌘
	Y	N										
		X										
	X											
	X											
		Test specifications										
		O&M Specifications										
<b>Other comments:</b>	⌘											

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 5.1.2A.1 Mobile-originating case

The procedures of this subclause are general to all requests and responses, except those for the REGISTER method.

In accordance with RFC 3325 [34] the UE may insert a P-Preferred-Identity header in any initial request for a dialog or request for a standalone transaction as a hint for creation of an asserted identity within the IM CN subsystem. The UE may include any of the following in the P-Preferred-Identity header:

- a public user identity stored in the USIM which has been registered by the user;
- a public user identity returned in a registration-state event package of a NOTIFY request as a result of an implicit registration that was not subsequently deregistered or has expired; or
- any other public user identity which the user has assumed by mechanisms outside the scope of this specification to have a current registration.

NOTE 1: The temporary public user identity specified in subclause 5.1.1.1 is not a public user identity suitable for use in the P-Preferred-Identity header.

Where privacy is required, in any initial request for a dialog or request for a standalone transaction, the UE shall set the From header to "Anonymous".

NOTE 2: The contents of the From header should not be relied upon to be modified by the network based on any privacy specified by the user either within the UE indication of privacy or by network subscription or network policy. Therefore the user should include the value "Anonymous" whenever privacy is explicitly required. As the user may well have privacy requirements, terminal manufacturers should not automatically derive and include values in this header from the public user identity or other values stored in the USIM. Where the user has not expressed a preference in the configuration of the terminal implementation, the implementation should assume that privacy is required. Users that require to identify themselves, and are making calls to SIP destinations beyond the IM CN subsystem, where the destination does not implement RFC 3325 [34], will need to include a value in the From header other than Anonymous.

The UE can indicate privacy of the P-Asserted-Identity that will be generated by the P-CSCF in accordance with RFC 3323 [33], and the additional requirements contained within RFC 3325 [34].

The UE shall insert a P-Access-Network-Info header into any request for a dialog, any subsequent request (except ACK requests and CANCEL requests) or response (except CANCEL responses) within a dialog or any request for a standalone method. This header shall contain information concerning the access network technology and, if applicable, the cell ID (see subclause 7.2A.4).

The UE shall build a proper preloaded Route header value for all new dialogs and standalone transactions. The UE shall build a list of Route header values made out of, in this order, the P-CSCF URI ([containing the IP address or the FQDN learnt through the P-CSCF discovery procedures, and the protected port learnt during the registration procedure](#)), and the values received in the Service-Route header saved from the 200 (OK) response to the last registration or re-registration.



**CHANGE REQUEST**

⌘ **24.229 CR CR 394** ⌘ rev **2-** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Re-authentication procedure.		
<b>Source:</b>	⌘ Lucent Technologies		
<b>Work item code:</b>	⌘ <b>IMS-CCR</b>	<b>Date:</b>	⌘ 12/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)		2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)		R96 (Release 1996)
	<b>B</b> (addition of feature),		R97 (Release 1997)
	<b>C</b> (functional modification of feature)		R98 (Release 1998)
	<b>D</b> (editorial modification)		R99 (Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .		Rel-4 (Release 4)
			Rel-5 (Release 5)
			Rel-6 (Release 6)

<b>Reason for change:</b>	⌘ The document 24.229 does not clearly specify the transition from the old SA to new SA upon UE being re-authenticated.
<b>Summary of change:</b>	⌘ Added text to specify the transition from the old SA to new SA in UE and P-CSCF upon re-authentication.
<b>Consequences if not approved:</b>	⌘ Incomplete specification.

<b>Clauses affected:</b>	⌘ 5.1.1.5.1 and 5.2.2.										
<b>Other specs affected:</b>	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N										
<input type="checkbox"/>	<input checked="" type="checkbox"/>										
<input type="checkbox"/>	<input checked="" type="checkbox"/>										
<input type="checkbox"/>	<input checked="" type="checkbox"/>										
		Test specifications									
		O&M Specifications									
<b>Other comments:</b>	⌘										

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- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

### 5.1.1.5.1 General

Authentication is achieved via the registration and re-registration procedures. When the network requires authentication or re-authentication of the UE, the UE will receive a 401 (Unauthorized) response to the REGISTER request.

On receiving a 401 (Unauthorized) response to the REGISTER request, the UE shall:

- extract the RAND and AUTN parameters;
- check the validity of a received authentication challenge, as described in 3GPP TS 33.203 [19] i.e. the locally calculated XMAC must match the MAC parameter derived from the AUTN part of the challenge; and the SQN parameter derived from the AUTN part of the challenge must be within the correct range; and
- check the existence of the Security-Server header as described in RFC 3329 [48]. If the header is not present, the UE shall send a new REGISTER request.

In the case that the 401 (Unauthorized) response to the REGISTER request is deemed to be valid the UE shall:

- calculate the RES parameter and derive the keys CK and IK from RAND as described in 3GPP TS 33.203 [19];
- set up the security association based on the static list it received in the 401 (Unauthorized) and its capabilities sent in the Security-Client header in the REGISTER request. The UE shall set up the security association using the most preferred mechanism and algorithm returned by the P-CSCF and supported by the UE and using CK and IK as shared keys; and
- send another REGISTER request using the derived IK to integrity protect the message. The header fields are populated as defined for the initial request, with the addition that the UE shall include an Authorization header containing the private user identity and the authentication challenge response (RES parameter), as described in RFC 3310 [49]. Instead of the Security-Client header the UE shall insert the Security-Verify header into the request, by mirroring in it the content of the Security-Server header received in the 401 (Unauthorized) response. The Call-ID of the integrity protected REGISTER request which carries RES must be the same as the Call-ID of the 401 (Unauthorized) response which carried the challenge.

On receiving the 200 (OK) for the integrity protected REGISTER request, the UE shall [send subsequent messages towards the P-CSCF](#)~~start~~ using the security association the 200 (OK) was protected with. [When the first message protected with the newly set up security association is received from the P-CSCF, the UE shall delete the earlier security association and related keys it may have with the P-CSCF.](#)

Whenever the 200 (OK) response is not received after a time-out, the UE shall consider the registration to have failed. The UE shall delete the new security associations it was trying to establish, and use the old security association.

In the case that the 401 (Unauthorized) response is deemed to be invalid then the UE shall behave as defined in subclause 5.1.1.5.3.

## 5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
  - the SIP URL identifying the P-CSCF;
  - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URL, a character string in the user part of the URL, or be a port number in the URL;
- 2) insert a Require header containing the option tag "path";

- 3) for the initial REGISTER request for a public user identity create a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header;
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was received integrity protected, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'sec-agree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:
  - check the security association which protected the request. If that has a temporary lifetime, then the request shall contain a Security-Verify header. If there is no such header, then the P-CSCF shall return a suitable 4xx error code. If there is such header, then compare the content of the Security-Verify header with the local static list. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
  - if the security association the REGISTER request came is an established one, then a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header; and
  - check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
- 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- 1) remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security association. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert the Security-Server header in the response, containing the P-CSCF static security list. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the security association with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set the SIP level lifetime of the security association to be long enough to permit the UE to finalize the registration procedure (bigger than 64\*T1). The P-CSCF shall set the IPsec level lifetime of the security association to the maximum.

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

- 1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routing information in the requests originated by the UE. If this registration is a re-registration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;
- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;

NOTE 1: There may be more than one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.

- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) update the SIP level lifetime of the security association with the value found in the Expires header;
- 7) protect the response within the same security association to that in which the associated request was protected;
- 8) delete all earlier security associations and related keys it may have towards the UE, when a message protected within the newly set up security association is received. When sending messages toward the UE, prior to receiving the first message protected within the newly set up security association, P-CSCF shall use the earlier security association and related keys it may have towards the UE; and
- 9) delete the new security associations that it was trying to establish with the UE, in case the P-CSCF receives a message from the UE protected with the old security association.

NOTE 2: The P-CSCF will maintain two Route header lists. The first Route header list - created during the registration procedure - is used only to validate the routing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list - constructed from the Record Route headers in the initial INVITE and associated response - is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPsec database when their SIP level lifetime expires. If there are still active dialogs associated with the user after the security associations were deleted, the P-CSCF shall discard all information pertaining to these dialogs without performing any further SIP transactions with the peer entities of the P-CSCF.

NOTE 3: At the same time, the P-CSCF will also indicate via the Go interface that all resources associated with these dialogs should be released.

**CHANGE REQUEST**

⌘ **24.229** CR **395** ⌘ rev **-** ⌘ Current version: **5.4.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

**Proposed change affects:** UICC apps  ME  Radio Access Network  Core Network

<b>Title:</b>	⌘ Replacement of SIP URL with SIP URI		
<b>Source:</b>	⌘ Lucent Technologies		
<b>Work item code:</b>	⌘ IMS-CCR	<b>Date:</b>	⌘ 12/05/2003
<b>Category:</b>	⌘ <b>F</b>	<b>Release:</b>	⌘ Rel-5
	Use <u>one</u> of the following categories:		Use <u>one</u> of the following releases:
	<b>F</b> (correction)	<b>R96</b>	2 (GSM Phase 2)
	<b>A</b> (corresponds to a correction in an earlier release)	<b>R97</b>	(Release 1996)
	<b>B</b> (addition of feature),	<b>R98</b>	(Release 1997)
	<b>C</b> (functional modification of feature)	<b>R99</b>	(Release 1998)
	<b>D</b> (editorial modification)	<b>Rel-4</b>	(Release 1999)
	Detailed explanations of the above categories can be found in 3GPP <a href="#">TR 21.900</a> .	<b>Rel-5</b>	(Release 4)
		<b>Rel-6</b>	(Release 5)
			(Release 6)

<b>Reason for change:</b>	⌘ Document the TS 24. 229 uses the term "SIP URL" and "SIP URI" arbitrarily. Since the RFC 3261 uses only the term "SIP URI", the document TS 24. 229 should not use the term "SIP URL."
<b>Summary of change:</b>	⌘ Global replacement of term "SIP URL" with "SIP URI", and URL with URI when appropriate.
<b>Consequences if not approved:</b>	⌘ Confusing terminology

<b>Clauses affected:</b>	⌘ 4.2, 5.2.2, 5.2.6.3, 5.2.6.4, 5.2.7.3, 5.2.10, 5.3.1.2, 5.3.2.1, 5.3.3.1, 5.4.1.2.1, 5.4.1.2.2, 5.4.1.7, 5.4.3.2, 5.4.3.3, 5.4.3.4, 5.7.4, and 5.7.5.2.1										
<b>Other specs affected:</b>	<table border="1"> <tr> <td>Y</td> <td>N</td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> <tr> <td><input type="checkbox"/></td> <td><input checked="" type="checkbox"/></td> </tr> </table>	Y	N	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Other core specifications	⌘
Y	N										
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<input type="checkbox"/>	<input checked="" type="checkbox"/>										
		Test specifications									
		O&M Specifications									
<b>Other comments:</b>	⌘										

**How to create CRs using this form:**

Comprehensive information and tips about how to create CRs can be found at <http://www.3gpp.org/specs/CR.htm>. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under <ftp://ftp.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

## 4.2 URIs and address assignments

In order for SIP and SDP to operate, the following preconditions apply:

- 1) I-CSCFs used in registration are allocated SIP URIs. Other IM CN subsystem entities may be allocated SIP URIs. For example sip:pcscf.home1.net and sip:<impl-specific-info>@pcscf.home1.net are valid SIP URIs. If the user part exists, it is an essential part of the address and shall not be omitted when copying or moving the address. How these addresses are assigned to the logical entities is up to the network operator. For example, a single SIP URI may be assigned to all I-CSCFs, and the load shared between various physical boxes by underlying IP capabilities, or separate SIP URIs may be assigned to each I-CSCF, and the load shared between various physical boxes using DNS SRV capabilities.
- 2) All IM CN subsystem entities are allocated IPv6 addresses in accordance with the constraints specified in 3GPP TS 23.221 [6] subclause 5.1.
- 3) The subscriber is allocated a private user identity by the home network operator, and this is contained within the ISIM application, if present, on the UICC. Where no ISIM application is present, the private user identity is derived from the IMSI, which is contained on the USIM (see 3GPP TS 23.003 [3]). This private user identity is available to the SIP application within the UE.

NOTE: The SIP URIs may be resolved by using any of public DNSs, private DNSs, or peer-to-peer agreements.

- 4) The subscriber is allocated one or more public user identities by the home network operator. At least one of these is contained within the ISIM application, if present, on the UICC. Where no ISIM application is present, the UE shall derive a temporary public user identity from the IMSI contained on the USIM (see 3GPP TS 23.003 [3]). All registered public user identities are available to the SIP application within the UE, after registration.
- 5) For the purpose of access to the IM CN subsystem, UEs are assigned IPv6 prefixes in accordance with the constraints specified in 3GPP TS 23.221 [6] subclause 5.1 (see subclause 9.2.1 for the assignment procedures).

\*\*\*\*\* NEXT CHANGE \*\*\*\*\*

### 5.2.2 Registration

When the P-CSCF receives a REGISTER request from the UE, the P-CSCF shall:

- 1) insert a Path header in the request including an entry containing:
  - the SIP URI identifying the P-CSCF;
  - an indication that requests routed in this direction of the path (i.e. from the S-CSCF to the P-CSCF) are expected to be treated as for the mobile-terminating case. This indication may e.g. be in a parameter in the URI, a character string in the user part of the URI, or be a port number in the URI;
- 2) insert a Require header containing the option tag "path";
- 3) for the initial REGISTER request for a public user identity create a new, globally unique value for icid, save it locally and insert it into the icid parameter of the P-Charging-Vector header;
- 4) insert the parameter "integrity-protected" (described in subclause 7.2A.2) with a value "yes" into the Authorization header field in case the REGISTER request was received integrity protected, otherwise insert the parameter with the value "no";
- 5) in case the REGISTER request was received without integrity protection, then check the existence of the Security-Client header. If the header is present, then remove and store it. The P-CSCF shall remove the 'sec-agree' item from the Require header, and the header itself if this is the only entry. If the header is not present, then the P-CSCF shall return a suitable 4xx response;
- 6) in case the REGISTER request was received integrity protected, then the P-CSCF shall:



- check the security association which protected the request. If that has a temporary lifetime, then the request shall contain a Security-Verify header. If there is no such header, then the P-CSCF shall return a suitable 4xx error code. If there is such header, then compare the content of the Security-Verify header with the local static list. If those do not match, then there is a potential man-in-the-middle attack. The request should be rejected by sending a suitable 4xx response. If the contents match, the P-CSCF shall remove the Security-Verify header, and the "sec-agree" item from the Require header, and the header itself if this is the only entry;
  - if the security association the REGISTER request came is an established one, then a Security-Verify header is not expected to be included. If the Security-Verify header is present, then the P-CSCF shall remove that header together with the 'Require: sec-agree' header; and
  - check if the private user identity conveyed in the integrity-protected REGISTER request is the same as the private user identity which was previously challenged or authenticated. If the private user identities are different, the P-CSCF shall reject the REGISTER request by returning a 403 (Forbidden) response;
- 7) insert a P-Visited-Network-ID header field, with the value of a pre-provisioned string that identifies the visited network at the home network; and
  - 8) determine the I-CSCF of the home network and forward the request to that I-CSCF.

When the P-CSCF receives a 401 (Unauthorized) response to a REGISTER request, the P-CSCF shall:

- 1) remove the CK and IK values contained in the 401 (Unauthorized) response and bind them to the proper private user identity and security association. The P-CSCF shall forward the 401 (Unauthorized) response to the UE if and only if the CK and IK have been removed;
- 2) insert the Security-Server header in the response, containing the P-CSCF static security list. For further information see 3GPP TS 33.203 [19]; and
- 3) set up the security association with a temporary lifetime between the UE and the P-CSCF for the user identified with the private user identity. For further details see 3GPP TS 33.203 [19] and RFC 3329 [48]. The P-CSCF shall set the SIP level lifetime of the security association to be long enough to permit the UE to finalize the registration procedure (bigger than  $64 * T1$ ). The P-CSCF shall set the IPsec level lifetime of the security association to the maximum.

When the P-CSCF receives a 200 (OK) response to a REGISTER request, the P-CSCF shall check the value of the Expires header field and/or Expires parameter in the Contact header. When the value of the Expires header field and/or expires parameter in the Contact header is different than zero, then the P-CSCF shall:

- 1) save the list of Service-Route headers preserving the order. The P-CSCF shall store this list during the entire registration period of the respective public user identity. The P-CSCF shall use this list to validate the routing information in the requests originated by the UE. If this registration is a reregistration, the P-CSCF shall replace the already existing list of Service-Route headers with the new list;
- 2) associate the Service-Route header list with the registered public user identity;
- 3) store the public user identities found in the P-Associated-URI header value, as those that are authorized to be used by the UE;
- 4) store the default public user identity for use with procedures for the P-Asserted-Identity. The default public user identity is the first on the list of URIs present in the P-Associated-URI header;

NOTE 1: There may be more than one default public user identities stored in the P-CSCF, as the result of the multiple registrations of public user identities.

- 5) store the values received in the P-Charging-Function-Addresses header;
- 6) update the SIP level lifetime of the security association with the value found in the Expires header;
- 7) protect the response within the same security association to that in which the associated request was protected;
- 8) delete all earlier security associations and related keys it may have towards the UE, when a message protected within the newly set up security association is received; and
- 9) delete the new security associations that it was trying to establish with the UE, in case the P-CSCF receives a message from the UE protected with the old security association.

NOTE 2: The P-CSCF will maintain two Route header lists. The first Route header list - created during the registration procedure - is used only to validate the routing information in the initial requests that originate from the UE. This list is valid during the entire registration of the respective public user identity. The second Route list - constructed from the Record Route headers in the initial INVITE and associated response - is used during the duration of the call. Once the call is terminated, the second Route list is discarded.

The P-CSCF shall delete any security association from the IPsec database when their SIP level lifetime expires. If there are still active dialogs associated with the user after the security associations were deleted, the P-CSCF shall discard all information pertaining to these dialogs without performing any further SIP transactions with the peer entities of the P-CSCF.

NOTE 3: At the same time, the P-CSCF will also indicate via the Go interface that all resources associated with these dialogs should be released.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.2.6.3 Requests initiated by the UE

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains a P-Preferred-Identity header that matches one of the registered public user identities, the P-CSCF shall identify the initiator of the request by that public user identity.

When the P-CSCF receives an initial request for a dialog or a request for a standalone transaction, and the request contains a P-Preferred-Identity header that does not match one of the registered public user identities, or does not contain a P-Preferred-Identity header, the P-CSCF shall identify the initiator of the request by a default public user identity. If there is more than one default public user identity available, the P-CSCF shall randomly select one of them.

NOTE: The contents of the From header do not form any part of this decision process.

When the P-CSCF receives from the UE an initial request for a dialog, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or re-registration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
  - b) replace the preloaded Route header value in the request with the value of the Service-Route header received during the last 200 (OK) response for a registration or reregistration;
- 2) add its own SIP URI to the top of the Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF;
- 3) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request; and
- 4) create a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the values received in the P-Charging-Function-Addresses header;
- 2) store the list of Record-Route headers from the received response;

- 3) store the dialog ID and associate it with the private user identity and public user identity involved in the session; and
- 4) save the Contact header received in the response in order to release the dialog if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE a target refresh request for a dialog, the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
  - a) if the request does not relate to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
  - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps;
- 2) verify that the list of Route headers in the request is included, preserving the same order, in the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
  - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header; and
- 3) add its own SIP URI to the Record-Route header. The P-CSCF SIP URI is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) store the list of Record-Route headers from the received response; and
- 2) save the Contact header received in the response in order to release the dialog if needed;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for a standalone transaction, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or re-registration) matches the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
  - b) replace the preloaded Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response;
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request; and
- 3) create a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

- 1) store the values received in the P-Charging-Function-Addresses header;

before forwarding the response to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE subsequent requests other than a target refresh request, the P-CSCF shall:

- 1) verify if the request relates to a dialog in which the originator of the request is involved:
  - a) if the request does not relate to an existing dialog in which the originator is involved, then the P-CSCF shall answer the request by sending a 403 (Forbidden) response back to the originator. The response may include a Warning header containing the warn-code 399. The P-CSCF will not forward the request. No other actions are required;
  - b) if the request relates to an existing dialog in which the originator is involved, then the P-CSCF shall continue with the following steps; and
- 2) verify that the list of Route headers in the request matches the list of Record-Route headers that was received during the last target refresh request for the same dialog. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 3 onwards; or
  - b) replace the Route header value in the request with the one received during the last target refresh request for the same dialog in the Record-Route header;

before forwarding the request to the UE, (based on the topmost Route header,) in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives from the UE the request for an unknown method, and a Service-Route header list exists for the initiator of the request, the P-CSCF shall:

- 1) verify that the list of URIs received in the Service-Route header (during the last successful registration or re-registration) is included, preserving the same order, as a subset of the preloaded Route headers in the received request. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) return a 400 (Bad Request) response that may include a Warning header containing the warn-code 399; the P-CSCF shall not forward the request, and shall not continue with the execution of steps 2 onwards; or
  - b) replace the Route header value in the request with the one received during the last registration in the Service-Route header of the 200 (OK) response; and
- 2) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with a value representing the initiator of the request;

before forwarding the request, based on the topmost Route header, in accordance with the procedures of RFC 3261 [26].

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

#### 5.2.6.4 Requests terminated by the UE

When the P-CSCF receives, destined for the UE, an initial request for a dialog, prior to forwarding the request, the P-CSCF shall:

- 1) remove its own SIP URI from the topmost Route header;
- 2) save the Record-Route header list;
- 3) convert the list of Record-Route header values into a list of Route header values and save this list of Route headers;
- 4) save a copy of the Contact header received in the request in order to release the dialog if needed;

- 5) add its own SIP URI to the top of the list of Record-Route headers and save the list. The P-CSCF SIP URI is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF;
- 6) add its own address to the top of the received list of Via header and save the list. The P-CSCF Via header entry is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF;
- 7) store the values received in the P-Charging-Function-Addresses header;
- 8) remove and store the icid parameter received in the P-Charging-Vector header; and
- 9) save a copy of the P-Called-Party-ID header;

before forwarding the request to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives a 1xx or 2xx response to the above request, the P-CSCF shall:

- 1) remove the P-Preferred-Identity header, if present, and insert a P-Asserted-Identity header with the value saved from the P-Called-Party-ID header that was received in the request;
- 2) verify that the list of Via headers matches the saved list of Via headers received in the request corresponding to the same dialog, including the P-CSCF via header value. This verification is done on a per Via header value basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request;
- 3) verify that the list of URIs received in the Record-Route header of the request corresponding to the same dialog is included, preserving the same order, as a subset of the Record-Route header list of this response. This verification is done on a per URI basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request;
- 4) store the dialog ID and associate it with the private user identity and public user identity involved in the session;

before forwarding the response in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any other response to the above request, the P-CSCF shall:

- 1) verify that the list of Via headers matches the saved list of Via headers received in the request corresponding to the same dialog, including the P-CSCF via header value. This verification is done on a per Via header value basis, not as a whole string. If these lists do not match, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request;

before forwarding the response in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives, destined for the UE, a target refresh request for a dialog, prior to forwarding the request, the P-CSCF shall:

- 1) remove its own SIP URI from the topmost Route header value;
- 2) save, if present, the received Record-Route headers of the received request;
- 3) save the Contact header received in the request in order to release the dialog if needed; and
- 4) add its own address to the top of the received list of Via header and save the list. The P-CSCF Via header entry is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF;

before forwarding the request to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

- 1) verify that the list of Via headers matches the saved list of Via headers received in the request corresponding to the same dialog, including the P-CSCF via header value. This verification is done on a per Via header value basis, not as a whole string. If the verification fails, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request;

before forwarding the response in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives, destined for the UE, a request for a stand-alone transaction, prior to forwarding the request, the P-CSCF shall:

- 1) add its own address to the top of the received list of Via header and save the list. The P-CSCF Via header entry is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF;
- 2) store the values received in the P-Charging-Function-Addresses header; and
- 3) remove and store the icid parameter received in the P-Charging-Vector header;

before forwarding the request to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

- 1) verify that the list of Via headers matches the saved list of Via headers received in the request corresponding to the same dialog, including the P-CSCF via header value. This verification is done on a per Via header value basis, not as a whole string. If these lists do not match, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request; and
- 2) remove the P-Preferred-Identity header, if present, and insert an P-Asserted-Identity header with the value saved from Request-URI of the request;

before forwarding the response in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives, destined for the UE, a subsequent request for a dialog that is not a target refresh request, prior to forwarding the request, the P-CSCF shall:

- 1) add its own address to the top of the received list of Via header and save the list The P-CSCF Via header entry is built in a format that contains the port number of the security association established from the UE to the P-CSCF and either:
  - a) the P-CSCF FQDN that resolves to the IP address of the security association established from the UE to the P-CSCF; or
  - b) the P-CSCF IP address of the security association established from the UE to the P-CSCF; and
- 2) remove and store the icid parameter from P-Charging-Vector header;

before forwarding the request to the UE in accordance with the procedures of RFC 3261 [26].

When the P-CSCF receives any response to the above request, the P-CSCF shall:

- 1) verify that the list of Via headers matches the saved list of Via headers received in the request corresponding to the same dialog, including the P-CSCF via header value. This verification is done on a per Via header value basis, not as a whole string. If these lists do not match, then the P-CSCF shall either:
  - a) discard the response; or
  - b) replace the Via header values with those received in the request;

before forwarding the response in accordance with the procedures of RFC 3261 [26].

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.2.7.3 Mobile-terminating case

When the P-CSCF receives an initial INVITE request destined for the UE, it will contain the URI of the UE in the Request-URI, and a single preloaded Route header. The received initial INVITE request will also have a list of Record-Route headers. Prior to forwarding the initial INVITE to the URI found in the Request-URI, the P-CSCF shall:

- if a media authorization token is generated by the PDF as specified in RFC 3313 [31] (i.e. when service-based local policy control is applied), insert the P-Media-Authorization header containing that media authorization token.

NOTE: Typically, the initial INVITE request contains an SDP offer including one or more "m=" media descriptions, but it is also possible that the INVITE request does not contain an SDP offer or the SDP does not include at least an "m=" media description. However, the media authorization token is generated independently of the presence or absence of "m=" media descriptions and sent to the UE in the P-Media-Authorization header value. The same media authorization token is used until the session is terminated.

In addition, the P-CSCF shall respond to all INVITE requests with a 100 (Trying) provisional response.

When the P-CSCF sends 180 (Ringing) or 200 (OK) (to INVITE) towards the S-CSCF, the P-CSCF shall also include the access-network-charging-info parameter in the P-Charging-Vector header. See subclause 5.2.7.4 for further information on the access network charging information.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.2.10 Emergency service

The P-CSCF shall store a configurable list of local emergency numbers and emergency URIs, i.e. those used for emergency services by the operator to which the P-CSCF belongs to. In addition to that, the P-CSCF shall store a configurable list of roaming partners' emergency numbers and emergency URIs associated with MCC and MNC codes.

NOTE: Certain SIP URIs may be classified as emergency URIs in all networks.

The P-CSCF shall inspect the Request URI of all INVITE requests from the UE for known emergency numbers and emergency URIs from these configurable lists. If the P-CSCF detects that the Request-URI of the INVITE request matches one of the numbers in any of these lists, the INVITE request shall not be forwarded. The P-CSCF shall answer the INVITE request with a 380 (Alternative Service) response.

In order to determine whether the INVITE request is destined for an emergency centre in the roaming country (i.e. the list of roaming partners' are inspected), the P-CSCF shall compare the MCC and the MNC fields in the received in the P-Access-Network-Info header of the INVITE request against its own MCC and MNC codes.

The 380 (Alternative Service) response shall contain a Content-Type header field with the value set to associated MIME type of the 3GPP IMS XML body as described in subclause 7.6.1.

The 3GPP IMS XML body shall contain an <alternative-service> element that indicates the parameters of the alternative service. The <type> child element shall be set to "emergency" to indicate that it was an emergency call. An operator configurable <reason> child element shall be included with a reason phrase.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.3.1.2 Normal procedures

When I-CSCF receives a REGISTER request, the I-CSCF starts the user registration status query procedure to the HSS as specified in 3GPP TS 29.228 [14].

Prior to performing the user registration query procedure to the HSS, the I-CSCF decides which HSS to query, possibly as a result of a query to the Subscription Locator Functional (SLF) entity as specified in 3GPP TS 29.228 [14].

If the user registration status query response from the HSS includes a valid SIP URI, the I-CSCF shall:

- 1) replace the Request-URI of the received REGISTER request with the SIP URI received from the HSS in the Server-Name AVP;
- 2) apply the procedures as described in subclause 5.3.3 if topology hiding is required; and
- 3) forward the REGISTER request to the indicated S-CSCF.

If the user registration status query response from the HSS includes a list of capabilities, the I-CSCF shall:

- 1) select a S-CSCF that fulfils the indicated mandatory capabilities – if more then one S-CSCFs fulfils the indicated mandatory capabilities the S-CSCF which fulfils most of the possibly additionally indicated optional capabilities;
- 2) replace the Request-URI of the received REGISTER request with the URI of the S-CSCF;
- 3) apply the procedures as described in subclause 5.3.3 if topology hiding is required; and
- 4) forward the REGISTER request to the selected S-CSCF.

When the I-CSCF receives a 2xx response to a REGISTER request, the I-CSCF shall proxy the 2xx response to the P-CSCF.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.3.2.1 Normal procedures

The I-CSCF may behave as a stateful proxy for further initial requests.

When the I-CSCF receives an initial request, that does not contain a Route header, the I-CSCF shall start the user location query procedure to the HSS as specified in 3GPP TS 29.228 [14] for the called user, indicated in the Request-URI. Prior to performing the user location query procedure to the HSS, the I-CSCF decides which HSS to query, possibly as a result of a query to the Subscription Locator Functional (SLF) entity as specified in 3GPP TS 29.228 [14].



Upon successful user location query, when the response contains the URI of the assigned S-CSCF, the I-CSCF shall:

- 1) insert the URI received from the HSS as the topmost Route header;
- 2) store the value of the icid parameter received in the P-Charging-Vector header and retain the icid parameter in the P-Charging-Vector header. If no icid parameter was found, then create a new, globally unique value for the icid parameter and insert it into the P-Charging-Vector header;
- 3) apply the procedures as described in subclause 5.3.3 if topology hiding is required; and
- 4) forward the request based on the topmost Route header.

Upon successful user location query, when the response contains information about the required S-CSCF capabilities, the I-CSCF shall:

- 1) select a S-CSCF according to the method described in 3GPP TS 29.228 [14];
- 2) insert the URI of the selected S-CSCF as the topmost Route header field value;
- 3) execute the procedure described in step 2 and 3 in the above paragraph (upon successful user location query, when the response contains the URI of the assigned S-CSCF); and
- 4) forward the request to the selected S-CSCF.

Upon an unsuccessful user location query when the response from the HSS indicates that the user does not exist, the I-CSCF shall return an appropriate unsuccessful SIP response. This response may be a 404 (Not found) or 604 (Does not exist anywhere) in the case the user is not a user of the home network.

Upon an unsuccessful user location query when the response from the HSS indicates that the user is not registered and no services are provided for such a user, the I-CSCF shall return an appropriate unsuccessful SIP response. This response may be a 480 (Temporarily unavailable) if the user is recognized as a valid user, but is not registered at the moment and it does not have services for unregistered users.

When the I-CSCF receives an initial request, that contains a single Route header pointing to itself, the I-CSCF shall determine from the entry in the Route header whether it needs to do HSS query or hiding. In case HSS query is needed, then the procedures described for the case when there is no Route header present shall be performed. If the I-CSCF determines that hiding must be performed, then the THIG functionality in I-CSCF received an outgoing initial request for which topology hiding has to be applied, and the I-CSCF shall:

- 1) remove its own SIP URI from the topmost Route header;
- 2) perform the procedures described in subclause 5.3.3; and
- 3) route the request based on the Request-URI header field.

When the I-CSCF receives an initial request containing more than one Route header, the I-CSCF shall:

- 1) remove its own SIP URI from the topmost Route header;
- 2) apply the procedures as described in subclause 5.3.3; and
- 3) forward the request based on the topmost Route header.

NOTE: In accordance with SIP the I-CSCF can add its own routeable SIP URI to the top of the Record-Route header to any request, independently of whether it is an initial request, or whether topology hiding is performed. The P-CSCF will ignore any Record-Route header that is not in the initial request of a dialog.

When the I-CSCF receives a response to an initial request (e.g. 183 or 2xx), the I-CSCF shall store the values from the P-Charging-Function-Addresses header, if present. If the next hop is outside of the current network, then the I-CSCF shall remove the P-Charging-Function-Addresses header prior to forwarding the message.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.3.3.1 General

The following procedures shall only be applied if topology hiding is required by the network. The network requiring topology hiding is called the hiding network.

NOTE 1: Requests and responses are handled independently therefore no state information is needed for that purpose within an I-CSCF(THIG).

All headers which reveal topology information, such as Via, Route, Record-Route, Service-Route, shall be subject to topology hiding.

Upon receiving an incoming REGISTER request for which topology hiding has to be applied and which includes a Path header, the I-CSCF(THIG) shall add the routeable SIP URI of an I-CSCF(THIG) to the top of the Path header. The inserted SIP URI may include an indicator that identifies the direction of subsequent requests received by the I-CSCF i.e., from the S-CSCF towards the P-CSCF, to identify the mobile-terminating case. This indicator may be encoded in different ways, such as, e.g., a unique parameter in the URI, a character string in the username part of the URI, or a dedicated port number in the URI.

NOTE 2: Any subsequent request that includes the direction indicator (in the Route header) or arrives at the dedicated port number, indicates that the request was sent by the S-CSCF towards the P-CSCF.

Upon receiving an incoming initial request for which topology hiding has to be applied and which includes a Record-Route header, the I-CSCF(THIG) shall add its own routeable SIP URI to the top of the Record-Route header.

Upon receiving an outgoing initial request for which topology hiding has to be applied and which includes P-Charging-Function-Addresses header, the I-CSCF(THIG) shall remove the P-Charging-Function-Addresses header prior to forwarding the message.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.4.1.2.1 Unprotected REGISTER

NOTE 1: Any REGISTER request sent unprotected by the UE is considered to be an initial registration. A 200 (OK) final response to such a request will only be sent back after the S-CSCF receives a correct RES in a REGISTER request that is sent integrity protected.

Upon receipt of a REGISTER request with the integrity-protection parameter set to 'no', the S-CSCF shall:

- 1) identify the user by the public user identity as received in the To header and the private user identity as received in the username field in the Authorization header of the REGISTER request;
- 2) check if the P-Visited-Network header is included in the REGISTER request, and if it is included identify the visited network by the value of this header;
- 3) check the value of the Expires header. The S-CSCF shall only proceed with the following procedures if the Expires header is set to a value greater than zero; if the Expires header is set to a value zero, then S-CSCF shall proceed according to subclause 5.4.1.4;
- 4) check how many authentications are ongoing for this user. The S-CSCF may – based on local policy – reject the request by sending a 403 (Forbidden) response, if there are a number of ongoing authentications. The response may include a Warning header containing the warn-code 399. If the S-CSCF decides to challenge the user, then proceed as follows;
- 5) select an authentication vector for the user. If no authentication vector for this user is available, after the S-CSCF has performed the Cx Multimedia Authentication procedure with the HSS, as described in 3GPP TS 29.229 [15], the S-CSCF shall select an authentication vector as described in 3GPP TS 33.203 [19].

Prior to performing Cx Multimedia Authentication procedure with the HSS, the S-CSCF decides which HSS to query, possibly as a result of a query to the Subscription Locator Functional (SLF) entity as specified in 3GPP TS 29.228 [14];

NOTE 2: At this point the S-CSCF informs the HSS, that the user currently registering will be served by the S-CSCF by passing its SIP URI to the HSS. This will be indicated by the HSS for all further incoming requests to this user, in order to direct all these requests directly to this S-CSCF.

- 6) store the icid parameter received in the P-Charging-Vector header;
- 7) challenge the user by generating a 401 (Unauthorized) response for the received REGISTER request, including a WWW-Authenticate header which transports:
  - the home network identification in the realm field;
  - the RAND and AUTN parameters and optional server specific data for the UE in the nonce field;
  - the security mechanism, which is AKAv1-MD5, in the algorithm field;
  - the IK (Integrity Key) parameter for the P-CSCF in the ik field (see subclause 7.2A.4); and
  - optionally the CK (Cipher Key) parameter for the P-CSCF in the ck field (see subclause 7.2A.4);
- 8) send the so generated 401 (Unauthorized) response towards the UE; and,
- 9) start timer reg-await-auth which guards the receipt of the next REGISTER request.

*****NEXT CHANGE*****
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#### 5.4.1.2.2 Protected REGISTER

Upon receipt of a REGISTER request with the integrity-protection parameter in the Authorization header set to 'yes', the S-CSCF shall identify the user by the public user identity as received in the To header and the private user identity as received in the Authorization header of the REGISTER request, and:

In the case that there is no authentication currently ongoing for this user (i.e. no timer reg-await-auth is running):

- 1) check if the user needs to be reauthenticated.

The S-CSCF may require authentication of the user for any REGISTER request, and shall always require authentication for registration requests received without integrity protection by the P-CSCF. The information that a REGISTER request was received integrity protected at the P-CSCF may be used as part of the decision to challenge the user.

If the user needs to be reauthenticated, the S-CSCF shall proceed with the procedures as described for the initial REGISTER in subclause 5.4.1.2.1, beginning with step 4). If the user does not need to be reauthenticated, the S-CSCF shall proceed with the following steps in this paragraph; and

- 2) check whether an Expires timer is included in the REGISTER request and its value. If the Expires header indicates a zero value, the S-CSCF shall perform the deregistration procedures as described in subclause 5.4.1.4. If the Expires header does not indicate zero, the S-CSCF shall check whether the public user identity received in the To header is already registered. If it is not registered, the S-CSCF shall proceed with the procedures as described for the second REGISTER request in subclause 5.4.1.2.2, beginning with step 5. Otherwise, the S-CSCF shall proceed with the procedures as described for the second REGISTER request in subclause 5.4.1.2, beginning with step 6).

In the case that a timer reg-await-auth is running for this user the S-CSCF shall:

- 1) check if the Call-ID of the request matches with the Call-ID of the 401 (Unauthorized) response which carried the last challenge. The S-CSCF shall only proceed further if the Call-IDs match.
- 2) stop timer reg-await-auth;
- 3) check whether an Authorization header is included, containing:
  - a) the private user identity of the user in the username field;
  - b) the algorithm which is AKAv1-MD5 in the algorithm field; and

- c) the RES parameter needed for the authentication procedure in the response field.

The S-CSCF shall only proceed with the following steps in this paragraph if the RES parameter was included;

- 4) check whether the received RES parameter and the XRES parameter match. The XRES parameter was received from the HSS as part of the Authentication Vector. The S-CSCF shall only proceed with the following steps if RES and XRES are matching;
- 5) after performing the Cx Server Assignment procedure with the HSS, as described in 3GPP TS 29.229 [15], store the following information in the local data:
  - a) the list of public user identities associated to the user, including the own public user identity under registration and the implicitly registered due to the received REGISTER request. Each public user identity is identified as either barred or non-barred; and,
  - b) the user profile(s) of the user including initial Filter Criteria;

NOTE 1: There might be more than one set of initial Filter Criteria received because some implicitly registered public user identities that are part of the same user's subscription may belong to different service profiles.

- 6) bind to each non-barred registered public user identity all registered contact information and store the related method tag values from the Contact header for future use;

NOTE 2: There might be more than one contact information available for one public user identity.

NOTE 3: The barred public user identities are not bound to the contact information.

- 7) check whether a Path header was included in the REGISTER request and construct a list of preloaded Route headers from the list of entries in the Path header. The S-CSCF shall preserve the order of the preloaded Route headers and bind them to the contact information that was received in the REGISTER message;

NOTE 4: If this registration is a reregistration, then a list of pre-loaded Route headers will already exist. The new list replaces the old list.

- 8) determine the duration of the registration by checking the value of the Expires header in the received REGISTER request. The S-CSCF may reduce the duration of the registration due to local policy or send back a 423 (Interval Too Brief) response specifying the minimum allowed time for registration;

- 9) store the icid parameter received in the P-Charging-Vector header;

- 10) create a 200 (OK) response for the REGISTER request, including:

- a) the list of received Path headers;
- b) a P-Associated-URI header containing the list of public user identities that the user is authorized to use. The first URI in the list of public user identities supplied by the HSS to the S-CSCF will indicate the default public user identity to be used by the S-CSCF. The S-CSCF shall place the default public user identity as a first entry in the list of URIs present in the P-Associated-URI header. The default public user identity will be used by the P-CSCF in conjunction with the procedures for the P-Asserted-Identity header, as described in subclause 5.2.6.3. The S-CSCF shall not add a barred public user identity to the list of URIs in the P-Associated-URI header;

- c) a Service-Route header containing:

- the SIP URI identifying the S-CSCF containing an indication that requests routed via the service route (i.e. from the P-CSCF to the S-CSCF) are treated as for the mobile-originating case. This indication may e.g. be in a URI parameter, a character string in the user part of the URI or be a port number in the URI; and,
- if network topology hiding is required a SIP URI identifying an I-CSCF (THIG) as the topmost entry;

- 11) send the so created 200 (OK) response to the UE;

- 12) send a third-party REGISTER request, as described in subclause 5.4.1.7, to each Application Server that matches the Filter Criteria from the HSS for the REGISTER event; and,

NOTE 5: If this registration is a reregistration, the Filter Criteria already exists in the local data.

13)handle the user as registered for the duration indicated in the Expires header.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.4.1.7 Notification of Application Servers about registration status

If the registration procedure described in subclauses 5.4.1.2, 5.4.1.4 or 5.4.1.5 (as appropriate) was successful, the S-CSCF shall send a third-party REGISTER request to each Application Server with the following information:

- a) the Request-URI, which shall contain the AS's SIP URI;
- b) the From header, which shall contain the S-CSCF's SIP URI;
- c) the To header, which shall contain either the public user identity as contained in the REGISTER request received from the UE or one of the implicitly registered public user identities, as configured by the operator;
- d) the Contact header, which shall contain the S-CSCF's SIP URI;
- e) for initial registration and user-initiated reregistration (subclause 5.4.1.2), the Expires header, which shall contain the same value that the S-CSCF returned in the 200 (OK) response for the REGISTER request received from the UE;
- f) for user-initiated deregistration (subclause 5.4.1.4) and network-initiated deregistration (subclause 5.4.1.5), the Expires header, which shall contain the value zero;
- g) for initial registration and user-initiated reregistration (subclause 5.4.1.2), a message body, if there is Filter Criteria indicating the need to include HSS provided data for the REGISTER event (e.g. HSS may provide AS specific data to be included in the third-party REGISTER, such as IMSI to be delivered to IM SSF). If there is a service information XML element provided in the HSS Filter Criteria for an AS (see 3GPP TS 29.228 [14]), then the S-CSCF shall include it in the message body of the REGISTER request within the <service-info> XML element as described in subclause 7.6. For the messages including the 3GPP IMS XML body, the S-CSCF shall set the value of the Content-Type header to include the MIME type specified in subclause 7.6;
- h) for initial registration, the P-Charging-Vector header, which shall contain the same icid parameter that the S-CSCF received in the original REGISTER request from the UE;
- i) for initial registration, a P-Charging-Function-Addresses header, which shall contain the values received from the HSS if the message is forwarded within the S-CSCF home network.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

### 5.4.3.2 Requests initiated by the served user

When the S-CSCF receives from the served user an initial request for a dialog or a request for a standalone transaction, prior to forwarding the request, the S-CSCF shall:

- 1) determine whether the request contains a barred public user identity in the P-Asserted-Identity or From header fields of the request or not. In case any of the said header fields contains a barred public user identity for the user, then the S-CSCF shall reject the request by generating a 403 (Forbidden) response. The response may include a Warning header containing the warn-code 399. Otherwise, continue with the rest of the steps;
- 2) remove its own SIP URI from the topmost Route header;
- 3) check if an original dialog identifier that the S-CSCF previously placed in a Route header is present in the topmost Route header of the incoming request. If present, it indicates an association with an existing dialog, the request has been sent from an Application Server in response to a previously sent request;

- 4) check whether the initial request matches the initial filter criteria based on a public user identity in the P-Asserted-Identity header, the S-CSCF shall forward this request to that application server, then check for matching of the next following filter criteria of lower priority, and apply the filter criteria on the SIP method received from the previously contacted application server as described in 3GPP TS 23.218 [5] subclause 6.4. Depending on the result of the previous process, the S-CSCF may contact one or more application server(s) before processing the outgoing Request-URI. In case of contacting one or more application server(s) the S-CSCF shall:
  - a) insert the AS URI to be contacted into the Route header as the topmost entry followed by its own URI populated as specified in the subclause 5.4.3.4; and
  - b) if the AS is located outside the trust domain then the S-CSCF shall remove the P-Access-Network-Info header field and its values in the request; if the AS is located within the trust domain, then the S-CSCF shall retain the P-Access-Network-Info header field and its values in the request that is forwarded to the AS;
- 5) store the value of the icid parameter received in the P-Charging-Vector header and retain the icid parameter in the P-Charging-Vector header. Optionally, the S-CSCF may generate a new, globally unique icid and insert the new value in the icid parameter of the P-Charging-Vector header when forwarding the message. If the S-CSCF creates a new icid, then it is responsible for maintaining the two icid values in the subsequent messaging;
- 6) insert an orig-ioi parameter into the P-Charging-Vector header. The S-CSCF shall set the orig-ioi parameter to a value that identifies the sending network. The S-CSCF shall not include the term-ioi parameter;
- 7) insert a P-Charging-Function-Addresses header populated with values received from the HSS if the message is forwarded within the S-CSCF home network, including towards AS;
- 8) in the case where the S-CSCF has knowledge of an associated tel-URI for a SIP URI contained in the received P-Asserted-Identity header, add a second P-Asserted-Identity header containing this tel-URI;
- 9) if the outgoing Request-URI is a TEL URL, the S-CSCF shall translate the E.164 address (see RFC 2806 [22]) to a globally routable SIP URI using an ENUM/DNS translation mechanism with the format specified in RFC 2916 [24]. Databases aspects of ENUM are outside the scope of the present document. If this translation fails, the request may be forwarded to a BGCF or any other appropriate entity (e.g a MRFC to play an announcement) in the originator's home network or the S-CSCF may send an appropriate SIP response to the originator;
- 10) determine the destination address (e.g. DNS access) using the URI placed in the topmost Route header if present, otherwise based on the Request-URI;
- 11) if network hiding is needed due to local policy, put the address of the I-CSCF (THIG) to the topmost route header;
- 12) in case of an initial request for a dialog the S-CSCF shall create a Record-Route header containing its own SIP URI and save the necessary Record-Route header fields and the Contact header from the request in order to release the dialog when needed;
- 13) in case the request is forwarded to the destination network (either via an I-CSCF (THIG) or directly), remove the P-Access-Network-Info header; and
- 14) route the request based on SIP routing procedures.

When the S-CSCF receives any response to the above request, the S-CSCF may:

- 1) apply any privacy required by RFC 3323 [33] to the P-Asserted-Identity header.

NOTE 1: This header would normally only be expected in 1xx or 2xx responses.

NOTE 2: The optional procedure above is in addition to any procedure for the application of privacy at the edge of the trust domain specified by RFC 3323 [33].

When the S-CSCF receives a response to the initial request for a dialog, it shall save the necessary Record-Route header fields and the Contact header from the response in order to release the dialog if needed.

When the S-CSCF receives from the served user a target refresh request for a dialog, prior to forwarding the request the S-CSCF shall:

- 1) remove its own URI from the topmost Route header;
- 2) create a Record-Route header containing its own SIP URI and save the Contact header from the request in order to release the dialog when needed;
- 3) in case the request is forwarded to the destination network or to an AS located outside the trust domain, remove the P-Access-Network-Info header; and
- 4) route the request based on the topmost Route header.

When the S-CSCF receives a response to the target refresh request for a dialog, it shall save the necessary Record-Route header fields and the Contact header from the response in order to release the dialog if needed.

When the S-CSCF receives from the served user a subsequent request other than a target refresh request for a dialog, prior to forwarding the request the S-CSCF shall:

- 1) remove its own URI from the topmost Route header;
- 2) in case the request is forwarded to the destination network or to an AS located outside the trust domain, remove the P-access-network-info header; and
- 3) route the request based on the topmost Route header.

\*\*\*\*\* NEXT CHANGE \*\*\*\*\*

### 5.4.3.3 Requests terminated at the served user

When the S-CSCF receives, destined for a registered served user, an initial request for a dialog or a request for a standalone transaction, prior to forwarding the request, the S-CSCF shall:

- 1) determine whether the request contains a barred public user identity in the Request-URI of the request or not. In case the Request URI contains a barred public user identity for the user, then the S-CSCF shall reject the request by generating a 404 (Not Found) response. Otherwise, continue with the rest of the steps;
- 2) remove its own URI from the topmost Route header;
- 3) check if an original dialog identifier that the S-CSCF previously placed in a Route header is present in the topmost Route header of the incoming request. If present, it indicates an association with an existing dialog, the request has been sent from an Application Server in response to a previously sent request;
- 4) check whether the initial request matches the initial filter criteria based on the public user identity in the Request-URI, the S-CSCF shall forward this request to that application server, then check for matching of the next following filter criteria of lower priority, and apply the filter criteria on the SIP method received from the previously contacted application server as described in 3GPP TS 23.218 [5] subclause 6.5. Depending on the result of the previous process, the S-CSCF may contact one or more application server(s) before processing the outgoing Request-URI. In case of contacting one or more application server(s) the S-CSCF shall:
  - insert the AS URI to be contacted into the Route header as the topmost entry followed by its own URI populated as specified in the subclause 5.4.3.4;
- 5) insert a P-Charging-Function-Addresses header populated with values received from the HSS if the message is forwarded within the S-CSCF home network, including towards AS;
- 6) store the value of the icid parameter received in the P-Charging-Vector header and retain the icid parameter in the P-Charging-Vector header;
- 7) store the value of the orig-voi parameter received in the P-Charging-Vector header, if present. The orig-voi parameter identifies the sending network of the request message. The orig-voi parameter shall only be retained in the P-Charging-Vector header if the next hop is to an AS;
- 8) in case there are no Route headers in the request, then determine, from the destination public user identity, the list of preloaded routes saved during registration or re-registration, as described in subclause 5.4.1.2;

- 9) build the Route header field with the values determined in the previous step;
- 10) determine, from the destination public user identity, the saved Contact URI where the user is reachable saved at registration or reregistration, as described in subclause 5.4.1.2;
- 11) build a Request-URI with the contents of the saved Contact URI determined in the previous step;
- 12) insert a P-Called-Party-ID SIP header field including the Request-URI received in the INVITE;
- 13) in case of an initial request for a dialog create a Record-Route header containing its own SIP URI and save the necessary Record-Route header fields and the Contact header from the request in order to release the dialog when needed; and
- 14) optionally, apply any privacy required by RFC 3323 [33] to the P-Asserted-Identity header; and

NOTE: The optional procedure above is in addition to any procedure for the application of privacy at the edge of the trust domain specified by RFC 3323 [33].

- 15) forward the request based on the topmost Route header.

When the S-CSCF receives, destined for an unregistered user, an initial request for a dialog or a request for a standalone transaction, the S-CSCF shall:

- 1) execute the procedures described in the steps 1, 2 and 3 in the above paragraph (when the S-CSCF receives, destined for the registered served user, an initial request for a dialog or a request for a standalone transaction);
- 2) if the S-CSCF does not have the user profile, then initiate the S-CSCF Registration/deregistration notification with the purpose of downloading the relevant user profile (i.e. for unregistered user) and informing the HSS that the user is unregistered, but this S-CSCF will assess triggering of services for the unregistered user, as described in 3GPP TS 29.228 [14];
- 3) keep the user registration status as unregistered for the duration of the dialog. When the dialog expires, the S-CSCF shall inform appropriately the HSS according to the procedures described in 3GPP TS 29.228 [14];
- 4) execute the procedure described in step 4 and 5 in the above paragraph (when the S-CSCF receives, destined for the registered served user, an initial request for a dialog or a request for a standalone transaction).

In case that no AS needs to be contacted, then S-CSCF shall return an appropriate unsuccessful SIP response. This response may be a 480 (Temporarily unavailable) and terminate these procedures; and

- 5) execute the procedures described in the steps 6, 7, 12, 13, 14 and 15 in the above paragraph (when the S-CSCF receives, destined for the registered served user, an initial request for a dialog or a request for a standalone transaction).

When the S-CSCF receives a response to the initial request for a dialog (whether the user is registered or not), it shall save the necessary Record-Route header fields and the Contact header field from the response in order to release the dialog if needed. In the case where the S-CSCF has knowledge of an associated tel-URI for a SIP URI contained in the received P-Asserted-Identity header, the S-CSCF shall add a second P-Asserted-Identity header containing this tel-URI. In case the response is forwarded to an AS that is located within the trust domain, the S-CSCF shall retain the P-Access-Network-Info header; otherwise, the S-CSCF shall remove the P-Access-Network-Info header.

When the S-CSCF receives a response to a request for a standalone transaction (whether the user is registered or not), in the case where the S-CSCF has knowledge of an associated tel-URI for a SIP URI contained in the received P-Asserted-Identity header, the S-CSCF shall add a second P-Asserted-Identity header containing this tel-URI. In case the response is forwarded to an AS that is located within the trust domain, the S-CSCF shall retain the P-Access-Network-Info header; otherwise, the S-CSCF shall remove the P-Access-Network-Info header.

When the S-CSCF receives the 200 (OK) response for a standalone transaction request, the S-CSCF shall insert a P-Charging-Function-Addresses header populated with values received from the HSS if the message is forwarded within the S-CSCF home network, including towards an AS.

When the S-CSCF receives, destined for a served user, a target refresh request for a dialog, prior to forwarding the request, the S-CSCF shall:

- 1) remove its own URI from the topmost Route header;



- 2) create a Record-Route header containing its own SIP URI and save the Contact header from the target refresh request in order to release the dialog when needed; and
- 3) forward the request based on the topmost Route header.

When the S-CSCF receives a response to the target refresh request for a dialog (whether the user is registered or not), it shall save the necessary Record-Route header fields and the Contact header field from the response in order to release the dialog if needed. In case the response is forwarded to an AS that is located within the trust domain, the S-CSCF shall retain the P-Access-Network-Info header; otherwise, the S-CSCF shall remove the P-Access-Network-Info header.

When the S-CSCF receives, destined for the served user, a subsequent request other than target refresh request for a dialog, prior to forwarding the request, the S-CSCF shall:

- 1) remove its own URI from the topmost Route header; and
- 2) forward the request based on the topmost Route header.

When the S-CSCF receives a response to a subsequent request other than target refresh request for a dialog, in case the response is forwarded to an AS that is located within the trust domain, the S-CSCF shall retain the P-Access-Network-Info header; otherwise, the S-CSCF shall remove the P-Access-Network-Info header.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

#### 5.4.3.4 Original dialog identifier

The original dialog identifier is an implementation specific token that the S-CSCF encodes into the own S-CSCF URI in a Route header, prior to forwarding the request to an application server. This is possible because the S-CSCF is the only entity that creates and consumes the value.

The token identifies the original dialog of the request, so in case an application server acting as a B2BUA changes the dialog, the S-CSCF is able to identify the original dialog when the request returns to the S-CSCF. The token can be encoded in different ways, such as e.g., a character string in the user-part of the S-CSCF URI, a parameter in the S-CSCF URI or port number in the S-CSCF URI.

The S-CSCF shall ensure that the value chosen is unique so that the S-CSCF may recognize the value when received in a subsequent message and make the proper association between related dialogs that pass through an Application Server.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

#### 5.7.4 Application Server (AS) acting as a SIP proxy

When the AS acting as a SIP proxy receives a request from the S-CSCF, prior to forwarding the request it shall:

- remove its own URI from the topmost Route header; and
- after executing the required services, route the request based on the topmost Route header.

The AS may modify the SIP requests based on service logic, prior to forwarding the request back to the S-CSCF.

An Application Server acting as a SIP proxy shall propagate any received 3GPP message body in the forwarded message.

\*\*\*\*\*NEXT CHANGE\*\*\*\*\*

##### 5.7.5.2.1 Initial INVITE

When the AS acting as a Routing B2BUA receives an initial INVITE request from the S-CSCF, the AS shall:

- remove its own SIP URI from the topmost Route header of the received INVITE request;
- perform the Application Server specific functions. See 3GPP TS 23.218 [5];

- if successful, generate and send a new INVITE request to the S-CSCF to establish a new dialog;
- copy the remaining Route header(s) unchanged from the received INVITE request to the new INVITE request;
- route the new INVITE request based on the topmost Route header.

NOTE: The topmost Route header of the received INVITE request will contain the AS's SIP URI. The following Route header will contain the SIP URI of the S-CSCF.

When the AS acting as an Initiating B2BUA the AS shall apply the procedures described in subclause 5.7.3 for both requests. The AS shall either set the icid parameter in the P-Charging-Vector header to be the same as received or different. The AS may retrieve CCF and/or ECF addresses from HSS on Sh interface.