3GPP TSG CN Plenary Meeting #17 **NP-020411**
4th - 6th September 2002. Biarritz, France.

Source: **TSG CN WG 3**

Title: **CRs to Rel-5 Work Item "E2EQoS"**

Agenda item: **8.5**

Document for: **APPROVAL**

Introduction:

This document contains **11** CRs to **Rel-5** Work Item **"E2EQoS"**, that have been agreed by **TSG CN WG3**, and are forwarded to TSG CN Plenary meeting #17 for approval.

Doc-2nd-	Spec	CR	Rev	Subject	Cat	Phase	Version-	Workitem
N3-020677	29.207	005	1	Clean-up of the PIB	F	Rel-5	5.0.0	E2E QoS
N3-020715	29.207	007	2	Editorial improvements in the specification	F	Rel-5	5.0.0	E2E QoS
N3-020696	29.207	010	1	SBLP Gate Decision	F	Rel-5	5.0.0	E2E QoS
N3-020702	29.207	014	1	User Plane Operation	F	Rel-5	5.0.0	E2E QoS
N3-020727	29.207	017	2	Message Descriptions	F	Rel-5	5.0.0	E2E QoS
N3-020690	29.207	018	1	Derivation of flow identifiers from SDP	F	Rel-5	5.0.0	E2E QoS
N3-020622	29.207	019	-	Revoke Authorization Procedure	F	Rel-5	5.0.0	E2E QoS
N3-020679	29.207	020	1	Go related error codes to UE	F	Rel-5	5.0.0	E2E QoS
N3-020686	29.207	025	1	Initialisation and maintenance / Security considerations	F	Rel-5	5.0.0	E2E QoS
N3-020726	29.207	030	-	Remove incomplete RSVP function	F	Rel-5	5.0.0	E2E QoS
N3-020708	29.207	032	-	R-Type and M-Type for Authorization_Failure event	F	Rel-5	5.0.0	E2E QoS

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 29th July – 2nd August

Tdoc N3-020622

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **019** ⌘ **rev** **-** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	Revoke Authorization Procedure
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	19/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	The mechanism specified for a removal of a media component is also proposed for the session termination. There are a lot of benefits to have a similar behavior for the revoke QoS authorization procedure in case of session termination and removal of a media component: - The vast majority of PDP context deactivations stays UE initiated (except for UEs which are out of coverage or misbehaving). A PDP context deactivation initiated in parallel from the UE and GGSN side can be prevented. - Timers concentrated at one point (only at the PCF) are easier to configure. - The revoke QoS authorization procedure is identical in both cases (session termination and removal of a media component), i.e. the GGSN performs the same actions. Operators can configure the PCF to immediately initiate the closing of the related gates to prevent any further usage of the PDP context.
Summary of change:	⌘	The mechanism specified for a removal of a media component shall be also applied for the session termination. That means, upon session release the PCF sends the revoke QoS authorization decision to the GGSN within an operator specific time. The GGSN initiates the PDP context deactivation without any further delay.
Consequences if not approved:	⌘	Different mechanisms have to be implemented for the revoke QoS authorization procedure (with and without timer at the GGSN). Furthermore, the GGSN does not know if the revoke QoS authorization procedure was triggered by a session termination or by a removal of a media component. Hence, the GGSN does not know if a timer has to be set up or not.

Clauses affected:	⌘	5.1.3, 5.2.1.2

		Y	N			
Other specs affected:	⌘		X	Other core specifications	⌘	
			X	Test specifications		
			X	O&M Specifications		
Other comments:	⌘					

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Start of modified section

5.1.3 PDP context deactivation

The GGSN is responsible for notifying the PCF when a procedure of a PDP context deactivation is performed. In case of a PDP context deactivation, the GGSN shall inform the PCF of the bearer release related to the SIP session.

When a revoke authorisation for the set of media components on that PDP context is performed, the GGSN receives a decision message from the PCF for disabling the use of the "Authorised QoS" resources and deactivation of the PDP context associated with the binding information. The GGSN shall disable the use of the "Authorized QoS" resources. The GGSN shall initiate deactivation of the PDP context used for carrying these media components, in case that the UE has not performed it ~~within an operator specific time~~yet.

End of modified section

Start of modified section

5.2.1.2 SBLP revoke decision

Upon SIP session release ~~T~~the PCF shall send a revoke authorisation decision to the GGSN ~~upon SIP session release~~after an operator specific time. The revoke authorisation decision shall be sent for each handle (PDP context) related to the session as a separate decision to the GGSN corresponding to the previous SBLP authorisation decision.

The timer for a pending session release shall be terminated if the PCF receives an indication on the termination of all PDP context(s) related to the released session.

Additionally, when a media component which is bound to a PDP context is removed from a SIP session and the UE has not performed the corresponding modification or deactivation of the PDP context within an operator specific time the PCF shall revoke the authorisation for the set of media components on that PDP context.

The timer for a pending media component removal shall be terminated if the PCF receives either a new authorisation request with the same handle where that media component has been removed, or an indication of the ~~by~~ termination of the PDP context.

NOTE: The values of the timers for session termination and media component removal might be different, e.g. to allow for some more time for the required modification of the PDP context.

End of modified section

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 28th July - 2nd Aug 2002.

Tdoc ⌘N3-020677

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **005** ⌘ **rev** **1** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network **X**

Title:	⌘	Revision to the 3GPP Go PIB
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQOS-IW
Date:	⌘	24/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

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F *(correction)*
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B *(addition of feature),*
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Use one of the following releases:
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R96 (Release 1996)
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R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	The PIB was incomplete in some aspects: - sections missing - descriptions missing - small syntax errors.
Summary of change:	⌘	This Change Request provides the necessary changes to annex B of 29.207. A reference to the framework PIB IETF RFC is also added since this is required by the PIB conformance section. A reference to the DiffServ MIB is also added since this is required by the PIB to import the DSCP definition.
Consequences if not approved:	⌘	Annex B is incomplete. Missing references.

Clauses affected:	⌘	2, Annex B.

Other specs affected: ⌘	Y	N	
		X	Other core specifications
		X	Test specifications
		X	O&M Specifications

⌘

Other comments: ⌘

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downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

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First amended section

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
[2] 3GPP TS 23.002: "Network architecture".
[3] 3GPP TS 23.207: "End to end quality of service concept and architecture".
[4] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".
[5] IETF RFC 2475: "An Architecture for Differentiated Services".
[6] IETF RFC 2753: "A Framework for Policy-based Admission Control".
[7] IETF RFC 2748: "The COPS (Common Open Policy Service) Protocol".
[8] IETF RFC 3084: "COPS Usage for Policy Provisioning (COPS-PR)".
[9] IETF RFC 3159: "Structure of Policy Provisioning Information (SPPI)".
[10] IETF RFC 2205: "Resource ReSerVation Protocol (RSVP) – Version 1 Functional Specification".
[11] IETF RFC tbd: "Session Authorisation for RSVP" (draft-ietf-rap-rsvp-authsession-02.txt).
[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core network protocols; Stage 3".
[13] 3GPP TS 27.060: "Mobile Station (MS) supporting Packet Switched Services".
[X] IETF RFC 3318: "Framework Policy Information Base".
[Y] IETF RFC 3289: "Management Information Base for the Differentiated Services Architecture".

Next amended section

Annex B (normative): 3GPP Go PIB

```
GO3GPP-PIB  PIB-DEFINITIONS ::= BEGIN

IMPORTS
    Unsigned32, Integer32, MODULE-IDENTITY,
    MODULE-COMPLIANCE, OBJECT-TYPE, OBJECT-GROUP, pib
            FROM COPS-PR-SPPI
    InstanceId, Prid
            FROM COPS-PR-SPPI-TC

    InetAddress, InetAddressType,
    InetAddressPrefixLength, InetPortNumber
            FROM INET-ADDRESS-MIB

   DscpOrAny
          FROM DIFFSERV-DSCP-TC
     ;

go3gppPib  MODULE-IDENTITY
    SUBJECT-CATEGORIES   { go3gpp (xx) } -- Go 3GPP COPS Client Type
                                         -- xx to be assigned by IANA
    LAST-UPDATED "200208012200Z"
    ORGANIZATION "3GPP TSG CN WG3"
    CONTACT-INFO
```

```
                  "Kwok Ho Chan
                   Nortel Networks
                   600 Technology Park Drive
                   Billerica, MA 01821 USA
                   Phone: +1 978 288 8175
                   Email: khchan@nortelnetworks.com

                   Louis-Nicolas Hamer
                   Nortel Networks
                   PO Box 3511 Station C
                   Ottawa, Ontario
                   Canada, K1Y 4H7
                   Phone: +1 613 768 3409
                   Email: nhamer@nortelnetworks.com"
    DESCRIPTION
            "A PIB module containing the set of provisioning
            classes that are required for support of policies for
            3GPP's GO interface, Release 5."
    REVISION "Release 5, v.1 "
    DESCRIPTION
            "This is version 1 of the 3GPP Go PIB for release 5."

    ::= { pib xxx } -- xxx to be assigned by IANA


--
-- The root OID for PRCs in the 3GPP GO PIB
--

go3gppCapabilityClasses      OBJECT IDENTIFIER ::= { go3gppPib 1 }
go3gppEventHandlerClasses    OBJECT IDENTIFIER ::= { go3gppPib 2 }
go3gppEventClasses           OBJECT IDENTIFIER ::= { go3gppPib 3 }
go3gppEventInfoClasses       OBJECT IDENTIFIER ::= { go3gppPib 4 }
go3gppReqInfoClasses         OBJECT IDENTIFIER ::= { go3gppEventInfoClasses 1 }
go3gppDecInfoClasses         OBJECT IDENTIFIER ::= { go3gppEventInfoClasses 2 }
go3gppReportClasses          OBJECT IDENTIFIER ::= { go3gppPib 5 }
go3gppConformance            OBJECT IDENTIFIER ::= { go3gppPib 6 }



-- ------------------------------------------------------------
--
-- Capability and Limitation Policy Rule Classes
--

--
-- 3GPP GO Capability Table
--

go3gppAuthReqCapTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppAuthReqCapEntry
    PIB-ACCESS     notify
    STATUS         current
    DESCRIPTION
        "The 3GPP Go Authorization Request Capability PRC."
    ::= { go3gppCapabilityClasses 1 }


go3gppAuthReqCapEntry OBJECT-TYPE
    SYNTAX         Go3gppAuthReqCapEntry
    STATUS         current
    DESCRIPTION
        "An instance of the go3gppAuthReqCap class identifies a
        specific PRC and associated attributes as supported
        by the device."

    PIB-INDEX { go3gppAuthReqCapPrid }
    UNIQUENESS { }
    ::= { go3gppAuthReqCapTable 1 }


Go3gppAuthReqCapEntry ::= SEQUENCE {
        go3gppAuthReqCapPrid          InstanceId,
        go3gppAuthReqCapBindingInfos  Unsigned32,
        go3gppAuthReqCapFlowIds       Unsigned32
}
```

```
   go3gppAuthReqCapPrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gppAuthReqCap class."
       ::= { go3gppAuthReqCapEntry 1 }


   go3gppAuthReqCapBindingInfos OBJECT-TYPE
       SYNTAX         Unsigned32
       STATUS         current
       DESCRIPTION
           "Indication of the maximum number of Binding Information
           the PEP can send with each Authorization Request.
           The value of zero indicates limit is not specified."
       DEFVAL  { 0 }
       ::= { go3gppAuthReqCapEntry 2 }


   go3gppAuthReqCapFlowIds OBJECT-TYPE
       SYNTAX         Unsigned32
       STATUS         current
       DESCRIPTION
           "Indication of the maximum number of Flow IDs the PEP can
           send with each Authorization Request.
           The value of zero indicates limit is not specified."
       DEFVAL  { 0 }
       ::= { go3gppAuthReqCapEntry 3 }


--
-- Go 3GPP Authorization Request Decision Capabilities
--

   go3gppAuthReqDecCapTable OBJECT-TYPE
       SYNTAX         SEQUENCE OF Go3gppAuthReqDecCapEntry
       PIB-ACCESS     notify
       STATUS         current
       DESCRIPTION
           "The 3GPP Go Authorization Request Decision Capability PRC."
       ::= { go3gppCapabilityClasses 2 }


   go3gppAuthReqDecCapEntry OBJECT-TYPE
       SYNTAX         Go3gppAuthReqDecCapEntry
       STATUS         current
       DESCRIPTION
           "An instance of the go3gppAuthReqDecCap class identifies a
           specific PRC and associated attributes as supported
           by the device."

       PIB-INDEX { go3gppAuthReqDecCapPrid }
       UNIQUENESS { }
       ::= { go3gppAuthReqDecCapTable 1 }


   Go3gppAuthReqDecCapEntry ::= SEQUENCE {
           go3gppAuthReqDecCapPrid           InstanceId,
           go3gppAuthReqDecCapIcids          Unsigned32
   }

   go3gppAuthReqDecCapPrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gppAuthReqDecCap class."
       ::= { go3gppAuthReqDecCapEntry 1 }

   go3gppAuthReqDecCapIcids OBJECT-TYPE
       SYNTAX         Unsigned32
       STATUS         current
       DESCRIPTION
           "Indication of the maximum number of Icid possible
           in a single Authorization Request Decision.
           The value of zero indicates limit is not specified."
       DEFVAL  { 0 }
```

```
    ::= { go3gppAuthReqDecCapEntry 2 }



--
-- Component Limitations Table
--
-- This table supports the ability to export information
-- detailing provisioning class/attribute implementation limitations
-- to the policy control function. This Component Limitiations Table
-- shall be implementation dependant and does not need to be standardized.


-- ------------------------------------------------------------
--
-- 3GPP GO Event Handler Provisioning Classes
--
-- PRCs sent from PCF to PEP for indicating how to handle each
-- kind of event that require actions by the GO interface.
--
-- For 3GPP Release 5, PRCs for Event Handling of Authorization
-- Request containing Binding Information, Flow IDs, and QoS is
-- specified.
--

--
-- 3GPP GO Authorization Request Event Handler Provisioning Table
--

go3gppAuthReqHandlerTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppAuthReqHandlerEntry
    PIB-ACCESS     install
    STATUS         current
    DESCRIPTION
        "PRC from PCF to PEP carried by COPS DEC messages
        indicating GO actions to take at the GGSN when an Authorization
        Request Event is detected by the GGSN.  An example of an
        Authorization Request Event is the receive of a PDP Context message."
    ::= { go3gppEventHandlerClasses 1 }


go3gppAuthReqHandlerEntry OBJECT-TYPE
    SYNTAX         Go3gppAuthReqHandlerEntry
    STATUS         current
    DESCRIPTION
        "An instance of the go3gppAuthReqHandler class sent by the PCF to
        the PEP what the PEP should send upon detection of an Authorization
        Request Event."
    PIB-INDEX { go3gppAuthReqHandlerPrid }
    UNIQUENESS { go3gppAuthReqHandlerEnable,
                 go3gppAuthReqHandlerBindingInfo
               }
    ::= { go3gppAuthReqHandlerTable 1 }


Go3gppAuthReqHandlerEntry ::= SEQUENCE {
        go3gppAuthReqHandlerPrid         InstanceId,
        go3gppAuthReqHandlerEnable       INTEGER,
        go3gppAuthReqHandlerBindingInfo  Unsigned32
}


go3gppAuthReqHandlerPrid OBJECT-TYPE
    SYNTAX         InstanceId
    STATUS         current
    DESCRIPTION
        "An arbitrary integer index that uniquely identifies an
        instance of this class."
    ::= { go3gppAuthReqHandlerEntry 1 }


go3gppAuthReqHandlerEnable OBJECT-TYPE
    SYNTAX         INTEGER {
                       enable(1),
                       disable(2)
                   }
    STATUS         current
```

```
    DESCRIPTION
        "Controls the usage of 3GPP Authorization Request Events
        to trigger COPS requests to PCF on the go interface."
    DEFVAL { enable }
    ::= { go3gppAuthReqHandlerEntry 2 }


go3gppAuthReqHandlerBindingInfo OBJECT-TYPE
    SYNTAX         Unsigned32
    STATUS         current
    DESCRIPTION
        "Indication of the maximum number of Binding Information
        be associated with a each Authorizating Request.
        The value of zero indicates policy control does not impose
        any limit."
    DEFVAL  { 0 }
    ::= { go3gppAuthReqHandlerEntry 3 }



-- ------------------------------------------------------------
--
-- 3GPP GO Event Classes
--
-- PRCs from PEP to PCF carried by COPS REQ messages
-- indicating the detection of specific events in the GGSN.
-- Information required for PCF to make decision on behave
-- of GGSN is also defined here to be carried by REQ messages.
--

--
-- 3GPP GO Authorization Request Event Table
--
go3gppAuthReqEventTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppAuthReqEventEntry
    PIB-ACCESS     notify
    STATUS         current
    DESCRIPTION
        "PRC for indication of Authorization Request Event
        and its relevant information.
        Sent by PEP to PCF upon receive of an Authorization
        Request.  Using COPS REQ message."
    ::= { go3gppEventClasses 1 }


go3gppAuthReqEventEntry OBJECT-TYPE
    SYNTAX         Go3gppAuthReqEventEntry
    STATUS         current
    DESCRIPTION
        "An entry in the Authorization Request Event Table
        describe a single Event sent by the PEP to the PCF."
    PIB-INDEX { go3gppAuthReqEventPrid }
    UNIQUENESS { }
    ::= { go3gppAuthReqEventTable 1 }


Go3gppAuthReqEventEntry ::= SEQUENCE {
        go3gppAuthReqEventPrid         InstanceId,
        go3gppAuthReqEventBindingInfos Prid
}


go3gppAuthReqEventPrid OBJECT-TYPE
    SYNTAX         InstanceId
    STATUS         current
    DESCRIPTION
        "An arbitrary integer index that uniquely identifies an
        instance of the go3gppAuthReqEvent class."
    ::= { go3gppAuthReqEventEntry 1 }


go3gppAuthReqEventBindingInfos OBJECT-TYPE
    SYNTAX         Prid
    STATUS         current
    DESCRIPTION
        "References the first of a list of go3gppBindingInfo
        class instances that are associated with this
        Authorization Request Event.
```

```
        A value of zeroDotZero indicates there are no
        go3gppBindingInfo class instance associated with
        this Authorization Event."
    ::= { go3gppAuthReqEventEntry 2 }


--
-- 3GPP Go Event Request Info Classes
--

--
-- 3GPP GO Binding Information Table
--
go3gppBindingInfoTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppBindingInfoEntry
    PIB-ACCESS     notify
    STATUS         current
    DESCRIPTION
        "PRC representing Binding Information.
        Sent by PEP to PCF as part of an Authorization
        Request.  In a COPS REQ message."
    ::= { go3gppReqInfoClasses 1 }


go3gppBindingInfoEntry OBJECT-TYPE
    SYNTAX         Go3gppBindingInfoEntry
    STATUS         current
    DESCRIPTION
        "An entry in the Binding Information Table
        describing a single Binding Info.
        Each entry is referenced by go3gppAuthReqEventBindingInfos
        or go3gppBindingInfoNext."
    PIB-INDEX { go3gppBindingInfoPrid }
    UNIQUENESS { }
    ::= { go3gppBindingInfoTable 1 }


Go3gppBindingInfoEntry ::= SEQUENCE {
        go3gppBindingInfoPrid          InstanceId,
        go3gppBindingInfoToken         OCTET STRING,
        go3gppBindingInfoFlowIds       Prid,
        go3gppBindingInfoNext          Prid
}


go3gppBindingInfoPrid OBJECT-TYPE
    SYNTAX         InstanceId
    STATUS         current
    DESCRIPTION
        "An arbitrary integer index that uniquely identifies an
        instance of the go3gppBindingInfo class."
    ::= { go3gppBindingInfoEntry 1 }


go3gppBindingInfoToken OBJECT-TYPE
    SYNTAX         OCTET STRING
    STATUS         current
    DESCRIPTION
        "The Authorization Token associated with this
        instance of the go3gppBindingInfo class.
        Each Binding Information must have a Token."
    ::= { go3gppBindingInfoEntry 2 }


go3gppBindingInfoFlowIds OBJECT-TYPE
    SYNTAX         Prid
    STATUS         current
    DESCRIPTION
        "References the first of a list of FlowIds associated
        with this instance of go3gppBindingInfo class.
        This is the anchor of a list of go3gppFlowIdEntry
        Instances.
        A value of zeroDotZero indicates an empty list which
        is an error condition."
    DEFVAL  { zeroDotZero }
    ::= { go3gppBindingInfoEntry 3 }
```

```
go3gppBindingInfoNext OBJECT-TYPE
    SYNTAX         Prid
    STATUS         current
    DESCRIPTION
        "References the next of a list of go3gppBindingInfo
        instances associated with an Authorization Request.
        A value of zeroDotZero indicates this is the last of
        a list of go3gppBindingInfo instances associated with
        an Authorization Request."
    DEFVAL  { zeroDotZero }
    ::= { go3gppBindingInfoEntry 4 }


--
-- 3GPP Go Authorization Request FlowID Table
--
go3gppFlowIdTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppFlowIdEntry
    PIB-ACCESS     notify
    STATUS         current
    DESCRIPTION
        "Represents the collection of FlowIDs."
    ::= { go3gppReqInfoClasses 2 }


go3gppFlowIdEntry OBJECT-TYPE
    SYNTAX         Go3gppFlowIdEntry
    STATUS         current
    DESCRIPTION
        "Each entry describes a single FlowID."
    PIB-INDEX { go3gppFlowIdPrid }
    UNIQUENESS { }
    ::= { go3gppFlowIdTable 1 }


Go3gppFlowIdEntry ::= SEQUENCE {
        go3gppFlowIdPrid         InstanceId,
        go3gppFlowIdFlowId       Unsigned32,
        go3gppFlowIdNext         Prid
}


go3gppFlowIdPrid OBJECT-TYPE
    SYNTAX         InstanceId
    STATUS         current
    DESCRIPTION
        "An arbitrary integer index that uniquely identifies an
        instance of the go3gppFlowId class."
    ::= { go3gppFlowIdEntry 1 }


go3gppFlowIdFlowId OBJECT-TYPE
    SYNTAX         Unsigned32
    STATUS         current
    DESCRIPTION
        "The FlowId itself."
    ::= { go3gppFlowIdEntry 2 }


go3gppFlowIdNext OBJECT-TYPE
    SYNTAX         Prid
    STATUS         current
    DESCRIPTION
        "References the next FlowId in the list associated with the
        same Binding Information of an Authorization Request.
        This points to a list of go3gppFlowIdEntry Instances.
        A value of zeroDotZero indicates end of the list."
    DEFVAL  { zeroDotZero }
    ::= { go3gppFlowIdEntry 3 }



-- ------------------------------------------------------------
--
-- 3GPP Go Authorization Request Decisions
--
-- PRCs for carrying the Event Decision send from PCF to PEP,
-- carried by the COPS DEC message.
```

```
   -- These PRCs include support for Gates/Filters, QoS, ICIDs.
   --


   -- We can define Failure Decisions by use of COPS-PR DEC message
   -- containing first an install decision (with objects indicating
   -- what failed and some indication to the GGSN how to react to this
   -- Error Decision), and second a remove decision (for cleanup of
   -- the installed Error Decision Object).
   --

-- Failures indicated by PCF to GGSN
--   Authorization Failure
--
   --
   -- Authorization Request Failure Decision Table
   --
   go3gppAuthReqFailDecTable OBJECT-TYPE
       SYNTAX         SEQUENCE OF Go3gppAuthReqFailDecEntry
       PIB-ACCESS     install
       STATUS         current
       DESCRIPTION
           "The Authorization failure Table. Indicates failures decisions to the PEP."
       ::= { go3gppDecInfoClasses 1 }


   go3gppAuthReqFailDecEntry OBJECT-TYPE
       SYNTAX         Go3gppAuthReqFailDecEntry
       STATUS         current
       DESCRIPTION
           "Each go3gppAuthReqFailDecEntry is per request."
       PIB-INDEX { go3gppAuthReqFailDecPrid }
       UNIQUENESS { }
       ::= { go3gppAuthReqFailDecTable 1 }


   Go3gppAuthReqFailDecEntry ::= SEQUENCE {
           go3gppAuthReqFailDecPrid        InstanceId,

           go3gppAuthReqFailDecReason      INTEGER
   }

   go3gppAuthReqFailDecPrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gppAuthReqFailDec class."
       ::= { go3gppAuthReqFailDecEntry 1 }



   go3gppAuthReqFailDecReason OBJECT-TYPE
       SYNTAX         INTEGER {
                                       noCorrespondingImsSession (1),
                                       invalidBundling (2)
                                  }
       STATUS         current
       DESCRIPTION
           "Reason for Auth Request Failure Decision given by PCF:

            noCorrespondingImsSession:    No corresponding IMS Session was found
                                          by the PCF

            invalidBundling:             In case the UE violates the IMS level indication, and
attempts to set up multiple IMS media components in a single PDP context despite of an indication
that mandated separate PDP contexts."
       ::= { go3gppAuthReqFailDecEntry 2 }



   --
   -- Authorization Request Decision Table
   --
   go3gppAuthReqDecTable OBJECT-TYPE
       SYNTAX         SEQUENCE OF Go3gppAuthReqDecEntry
       PIB-ACCESS     install
       STATUS         current
```

```
        DESCRIPTION
            "The Authorization Request Decision Table. "
        ::= { go3gppDecInfoClasses 2 }


    go3gppAuthReqDecEntry OBJECT-TYPE
        SYNTAX         Go3gppAuthReqDecEntry
        STATUS         current
        DESCRIPTION
            "Each go3gppAuthReqDecEntry is per Authorization Request."
        PIB-INDEX { go3gppAuthReqDecPrid }
        UNIQUENESS { }
        ::= { go3gppAuthReqDecTable 1 }


    Go3gppAuthReqDecEntry ::= SEQUENCE {
            go3gppAuthReqDecPrid       InstanceId,
            go3gppAuthReqDecIcids      Prid,
            go3gppAuthReqDecDirDecs    Prid
    }


    go3gppAuthReqDecPrid OBJECT-TYPE
        SYNTAX         InstanceId
        STATUS         current
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gppAuthReqDec class."
        ::= { go3gppAuthReqDecEntry 1 }


    go3gppAuthReqDecIcids OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            "References the first of a list of IcIDs associated
            with this instance of go3gppAuthReqDec class.
            There should be one IcID on this list for each Binding
            Information in the corresponding Authorization Request.
            A value of zeroDotZero indicates an empty list and there
            is no IcID change associated with this Authorization Request
            Decision."
        DEFVAL  { zeroDotZero }
        ::= { go3gppAuthReqDecEntry 2 }


    go3gppAuthReqDecDirDecs OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            "References the first of a list of Directional Decisions
            associated with this instance of go3gppAuthReqDec class.
            There should be at least one and at most two Directional
            Decisions per Authorization Request Decision.
            Hence a value of zeroDotZero is illegal."
        ::= { go3gppAuthReqDecEntry 3 }


--
-- 3GPP Go ICID Table
--
    go3gppIcidTable OBJECT-TYPE
        SYNTAX         SEQUENCE OF Go3gppIcidEntry
        PIB-ACCESS     install
        STATUS         current
        DESCRIPTION
            "Represents the collection of ICID entries"
        ::= { go3gppDecInfoClasses 3 }


    go3gppIcidEntry OBJECT-TYPE
        SYNTAX         Go3gppIcidEntry
        STATUS         current
        DESCRIPTION
            "Represents the ICID Entry"
        PIB-INDEX { go3gppIcidPrid }
        UNIQUENESS { go3gppIcidValue }
        ::= { go3gppIcidTable 1 }
```

```
   Go3gppIcidEntry ::= SEQUENCE {
           go3gppIcidPrid            InstanceId,
           go3gppIcidValue           OCTET STRING,
           go3gppIcidNext            Prid
   }


   go3gppIcidPrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gppIcid class."
       ::= { go3gppIcidEntry 1 }


   go3gppIcidValue OBJECT-TYPE
       SYNTAX         OCTET STRING
       STATUS         current
       DESCRIPTION
           "The ICID itself. The syntax of this OBJECT-TYPE needs to be confirmed. "
       ::= { go3gppIcidEntry 2 }


   go3gppIcidNext OBJECT-TYPE
       SYNTAX         Prid
       STATUS         current
       DESCRIPTION
           "References the next go3gppIcidEntry of a list of IcIDs
           associated with this instance of go3gppAuthReqDec class.
           There should be one IcID on this list for each Binding
           Information in the corresponding Authorization Request.
           A value of zeroDotZero indicates the end of the list of
           IcIDs associated with an Authorization Request Decision."
       DEFVAL  { zeroDotZero }
       ::= { go3gppIcidEntry 3 }


--
-- 3GPP Go Authorization Request Directional Decision Table
--
   go3gppAuthReqDirDecTable OBJECT-TYPE
       SYNTAX         SEQUENCE OF Go3gppAuthReqDirDecEntry
       PIB-ACCESS     install
       STATUS         current
       DESCRIPTION
           "This table represents the authorization request decision for a  unique direction (e.g.
uplink and downlink)."
       ::= { go3gppDecInfoClasses 4 }


   go3gppAuthReqDirDecEntry OBJECT-TYPE
       SYNTAX         Go3gppAuthReqDirDecEntry
       STATUS         current
       DESCRIPTION
           "There should be one of these per direction per AuthReqDec."
       PIB-INDEX { go3gppAuthReqDirDecPrid }
       UNIQUENESS { }
       ::= { go3gppAuthReqDirDecTable 1 }


   Go3gppAuthReqDirDecEntry ::= SEQUENCE {
           go3gppAuthReqDirDecPrid      InstanceId,
           go3gppAuthReqDirDecDirection INTEGER,
           go3gppAuthReqDirDecQos       Prid,
           go3gppAuthReqDirDecGates     Prid,
           go3gppAuthReqDirDecNext      Prid
   }


   go3gppAuthReqDirDecPrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gppAuthReqDirDec class."
```

```
        ::= { go3gppAuthReqDirDecEntry 1 }


    go3gppAuthReqDirDecDirection OBJECT-TYPE
        SYNTAX         INTEGER {
                            uplink   (1),
                            downlink (2)
                       }
        STATUS         current
        DESCRIPTION
            "Indicates the direction this decision applies to."
        ::= { go3gppAuthReqDirDecEntry 2 }


    go3gppAuthReqDirDecQos OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            " The Authorized QoS. References the go3gppQos class."
        ::= { go3gppAuthReqDirDecEntry 3 }


    go3gppAuthReqDirDecGates OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            "References the first instance of a list of the go3gppGate class."
        ::= { go3gppAuthReqDirDecEntry 4 }


    go3gppAuthReqDirDecNext OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            "References the next instance of a list of
            go3gppAuthReqDirDec class."
        ::= { go3gppAuthReqDirDecEntry 5 }


--
-- 3GPP Go QoS Table
--
    go3gppQosTable OBJECT-TYPE
        SYNTAX         SEQUENCE OF Go3gppQosEntry
        PIB-ACCESS     install
        STATUS         current
        DESCRIPTION
            "This table represents the Authorised QoS. It is referenced by the go3gppAuthReqDirDecQos
entry of the go3gppAuthReqDirDecEntry  class."
        ::= { go3gppDecInfoClasses 5 }


    go3gppQosEntry OBJECT-TYPE
        SYNTAX         Go3gppQosEntry
        STATUS         current
        DESCRIPTION
            "There should be one of these per direction per AuthReqDec."
        PIB-INDEX { go3gppQosPrid }
        UNIQUENESS { }
        ::= { go3gppQosTable 1 }



    Go3gppQosEntry ::= SEQUENCE {
            go3gppQosPrid               InstanceId,
            go3gppQosServiceClass       DscpOrAny,
            go3gppQosDataRateUnit       INTEGER,
            go3gppQosDataRate           Unsigned32
    }


    go3gppQosPrid OBJECT-TYPE
        SYNTAX         InstanceId
        STATUS         current
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gppQos class."
```

```
        ::= { go3gppQosEntry 1 }


    go3gppQosServiceClass OBJECT-TYPE
        SYNTAX         DscpOrAny
        STATUS         current
        DESCRIPTION
            "A Service Class Indication using DSCP Encoding."
        ::= { go3gppQosEntry 2 }


    go3gppQosDataRateUnit OBJECT-TYPE
        SYNTAX         INTEGER {
                         bps     (1),
                         kbps    (2),
                         Mbps    (3)
                       }
        STATUS         current
        DESCRIPTION
            "Indication of the unit of measure for go3gppQosDataRate."
        ::= { go3gppQosEntry 3 }


    go3gppQosDataRate OBJECT-TYPE
        SYNTAX         Unsigned32
        STATUS         current
        DESCRIPTION
            "The Data Rate with unit of measure indicated by
            go3gppQosDataRateUnit."
        ::= { go3gppQosEntry 4 }



--
-- 3GPP Go Gate Decision Table
--
--
-- There could be one of these per direction per GateDec.
--
-- This is for changing Gating Status only when used alone
-- (not as part of Direction Decision).

-- go3gppGateDec is sent in a different COPS DEC message
-- from the DEC message carrying go3gppAuthReqDec.  PCF must
-- have sent a go3gppAuthReqDec before using go3gppGateDec.

    go3gppGateDecTable OBJECT-TYPE
        SYNTAX         SEQUENCE OF Go3gppGateDecEntry
        PIB-ACCESS     install
        STATUS         current
        DESCRIPTION
            "This table represents an updated gating decision."
        ::= { go3gppDecInfoClasses 6 }


    go3gppGateDecEntry OBJECT-TYPE
        SYNTAX         Go3gppGateDecEntry
        STATUS         current
        DESCRIPTION
            "There should be one of these per direction per AuthReqDec."
        PIB-INDEX { go3gppGateDecPrid }
        UNIQUENESS { }
        ::= { go3gppGateDecTable 1 }



    Go3gppGateDecEntry ::= SEQUENCE {
            go3gppGateDecPrid           InstanceId,
            go3gppGateDecDirection      INTEGER,
            go3gppGateDecGates          Prid,
            go3gppGateDecNext           Prid
    }


    go3gppGateDecPrid OBJECT-TYPE
        SYNTAX         InstanceId
        STATUS         current
```

```
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gppGateDec class."
        ::= { go3gppGateDecEntry 1 }



    go3gppGateDecDirection OBJECT-TYPE
        SYNTAX          INTEGER {
                             uplink   (1),
                             downlink (2)
                        }
        STATUS          current
        DESCRIPTION
            "References the gate direction."
        ::= { go3gppGateDecEntry 2 }

     go3gppGateDecGates OBJECT-TYPE
        SYNTAX          Prid
        STATUS          current
        DESCRIPTION
            "References the first instance of a list of go3gppGate class."
        ::= { go3gppGateDecEntry 3 }


    go3gppGateDecNext OBJECT-TYPE
        SYNTAX          Prid
        STATUS          current
        DESCRIPTION
            "References the next instance of a list of go3gppGateDec class."
        ::= { go3gppGateDecEntry 4 }




--
-- 3GPP Go Gate Table
--
    go3gppGateTable OBJECT-TYPE
        SYNTAX          SEQUENCE OF Go3gppGateEntry
        PIB-ACCESS      install
        STATUS          current
        DESCRIPTION
            "PRC representing a Gate."
        ::= { go3gppDecInfoClasses 7 }


    go3gppGateEntry OBJECT-TYPE
        SYNTAX          Go3gppGateEntry
        STATUS          current
        DESCRIPTION
            "Each instance represents one Gate."
        PIB-INDEX { go3gppGatePrid }
        UNIQUENESS { }
        ::= { go3gppGateTable 1 }


    Go3gppGateEntry ::= SEQUENCE {
            go3gppGatePrid              InstanceId,
            go3gppGateFilter            Prid,
            go3gppGateStatus            INTEGER,
            go3gppGateNext              Prid
    }


    go3gppGatePrid OBJECT-TYPE
        SYNTAX          InstanceId
        STATUS          current
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gppGate class."
        ::= { go3gppGateEntry 1 }



    go3gppGateFilter OBJECT-TYPE
        SYNTAX          Prid
        STATUS          current
```

```
    DESCRIPTION
        "References an instance of the go3gppIpFilter class.
        A value of zeroDotZero indicates no go3gppIpFilter is
        used with this go3gppGate."
    ::= { go3gppGateEntry 2 }


go3gppGateStatus OBJECT-TYPE

    SYNTAX         INTEGER {
                        close  (1),
                        open   (2)
                   }
    STATUS         current
    DESCRIPTION
        "Indicates if this gate will allow traffic to flow."
 DEFVAL  { close }
    ::= { go3gppGateEntry 3 }



go3gppGateNext OBJECT-TYPE
    SYNTAX         Prid
    STATUS         current
    DESCRIPTION
        "Reference the next Gate on a list of go3gppGate instances.
        A value of zeroDotZero indicates this is the last Gate
        on the list."
    ::= { go3gppGateEntry 4 }




--
-- The Base Filter Table
--

go3gppBaseFilterTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppBaseFilterEntry
    PIB-ACCESS     install
    STATUS         current
    DESCRIPTION

        "The Base Filter class.  A packet has to match all
        fields in an Filter.  Wildcards may be specified for those
        fields that are not relevant."

    ::= { go3gppDecInfoClasses 8 }


Go3gppBaseFilterEntry OBJECT-TYPE
    SYNTAX         go3gppBaseFilterEntry
    STATUS         current
    DESCRIPTION
        "An instance of the go3gppBaseFilter class."

    PIB-INDEX { go3gppBaseFilterPrid }
    UNIQUENESS { }       ::= { go3gppBaseFilterTable 1 }

go3gppBaseFilterEntry ::= SEQUENCE {
        go3gppBaseFilterPrid         InstanceId
}


go3gppBaseFilterPrid OBJECT-TYPE
    SYNTAX         InstanceId
    STATUS         current
    DESCRIPTION
        "An integer index to uniquely identify this Filter among all
        the Filters."

    ::= { go3gppBaseFilterEntry 1 }


--
-- The Go 3GPP IP Filter Table
--
```

```
go3gppIpFilterTable OBJECT-TYPE
    SYNTAX         SEQUENCE OF Go3gppIpFilterEntry
    PIB-ACCESS     install
    STATUS         current
    DESCRIPTION
        "Filter definitions.  A packet has to match all fields in a
        filter.  Wildcards may be specified for those fields that
        are not relevant."

    ::= { go3gppDecInfoClasses 9 }

go3gppIpFilterEntry OBJECT-TYPE
    SYNTAX         Go3gppIpFilterEntry
    STATUS         current
    DESCRIPTION
        "An instance of the go3gppIpFilter class."

    EXTENDS { go3gppBaseFilterEntry }
    UNIQUENESS {
                go3gppIpFilterAddrType,
                go3gppIpFilterDstAddr,
                go3gppIpFilterDstPrefixLength,
                go3gppIpFilterSrcAddr,
                go3gppIpFilterSrcPrefixLength,
                go3gppIpFilterProtocol,
                go3gppIpFilterDstL4PortMin,
                go3gppIpFilterDstL4PortMax,
                go3gppIpFilterSrcL4PortMin,
                go3gppIpFilterSrcL4PortMax }

    ::= { go3gppIpFilterTable 1 }



Go3gppIpFilterEntry ::= SEQUENCE {
        go3gppIpFilterAddrType         InetAddressType,
        go3gppIpFilterDstAddr          InetAddress,
        go3gppIpFilterDstPrefixLength  InetAddressPrefixLength,
        go3gppIpFilterSrcAddr          InetAddress,
        go3gppIpFilterSrcPrefixLength  InetAddressPrefixLength,
        go3gppIpFilterProtocol         Integer32,
        go3gppIpFilterDstL4PortMin     InetPortNumber,
        go3gppIpFilterDstL4PortMax     InetPortNumber,
        go3gppIpFilterSrcL4PortMin     InetPortNumber,
        go3gppIpFilterSrcL4PortMax     InetPortNumber
}



go3gppIpFilterAddrType OBJECT-TYPE

    SYNTAX         InetAddressType
    STATUS         current
    DESCRIPTION
        "The address type enumeration value [INETADDR] to specify
         the type of the packet's IP address."

    ::= { go3gppIpFilterEntry 1 }

go3gppIpFilterDstAddr OBJECT-TYPE

    SYNTAX         InetAddress
    STATUS         current
    DESCRIPTION
        "The IP address [INETADDR] to match against the packet's
         destination IP address. go3gppIpFilterDstPrefixLength
         indicates the number of bits that are relevant. "

    ::= { go3gppIpFilterEntry 2 }


go3gppIpFilterDstPrefixLength OBJECT-TYPE
    SYNTAX         InetAddressPrefixLength
    STATUS         current
    DESCRIPTION
        "The length of a mask for the matching of the destination
```

```
          IP address.  Masks are constructed by setting bits in
          sequence from the most-significant bit downwards for
          go3gppIpFilterDstPrefixLength bits length. All other bits in
          the mask, up to the  number needed to fill the length of
          the address go3gppIpFilterDstAddr are cleared to zero. A zero
          bit in the mask then means that the corresponding bit in
          the address always matches."

    ::= { go3gppIpFilterEntry 3 }



go3gppIpFilterSrcAddr OBJECT-TYPE
    SYNTAX         InetAddress
    STATUS         current
    DESCRIPTION
        "The IP address to match against the packet's source IP
        address. go3gppIpFilterSrcPrefixLength indicates the
       number of bits that are relevant. "

    ::= { go3gppIpFilterEntry 4 }

go3gppIpFilterSrcPrefixLength OBJECT-TYPE
    SYNTAX         InetAddressPrefixLength
    UNITS          "bits"
    STATUS         current


    DESCRIPTION
        "The length of a mask for the matching of the source IP
         address. Masks are constructed by setting bits in sequence
         from the most-significant bit downwards for
         go3gppIpFilterSrcPrefixLength bits length. All other bits in
         the mask, up to the  number needed to fill the length of
         the address go3gppIpFilterSrcAddr are cleared to zero.  A
         zero bit in the mask then means that the corresponding bit
         in the address always matches."

    ::= { go3gppIpFilterEntry 5 }

go3gppIpFilterProtocol OBJECT-TYPE
    SYNTAX         Integer32 (-1 | 0..255)
    STATUS         current
    DESCRIPTION
        "The IP protocol to match against the packet's protocol.
        A value of -1 means match all."

    ::= { go3gppIpFilterEntry 6 }

go3gppIpFilterDstL4PortMin OBJECT-TYPE
    SYNTAX         InetPortNumber
    STATUS         current
    DESCRIPTION
        "The minimum value that the packet's layer 4 destination
        port number can have and match this filter. This value must
        be equal to or lesser that the value specified for this
        filter in go3gppIpFilterDstL4PortMax."

    ::= { go3gppIpFilterEntry 7 }


go3gppIpFilterDstL4PortMax OBJECT-TYPE
    SYNTAX         InetPortNumber


    STATUS         current
    DESCRIPTION
        "The maximum value that the packet's layer 4 destination
        port number can have and match this filter. This value must
        be equal to or greater that the value specified for this
        filter in go3gppIpFilterDstL4PortMin."

    ::= { go3gppIpFilterEntry 8 }


go3gppIpFilterSrcL4PortMin OBJECT-TYPE
    SYNTAX         InetPortNumber
    STATUS         current
```

```
        DESCRIPTION
            "The minimum value that the packet's layer 4 source port
            number can have and match this filter. This value must
            be equal to or lesser that the value specified for this
            filter in go3gppIpFilterSrcL4PortMax."

        ::= { go3gppIpFilterEntry 9 }


    go3gppIpFilterSrcL4PortMax OBJECT-TYPE
        SYNTAX         InetPortNumber
        STATUS         current
        DESCRIPTION
            "The maximum value that the packet's layer 4 source port
            number can have and match this filter.  This value must be
            equal to or greater that the value specified for this filter
            in go3gppIpFilterSrcL4PortMin."

        ::= { go3gppIpFilterEntry 10 }









    -- ------------------------------------------------------------
    --
    -- 3GPP Go Reports
    --
    -- PRCs for carrying the Decision enforcement result sent from PEP to PCF,
    -- carried using the COPS REPORT message.
    -- These PRCs include support for the success or failure of the PEP in
    -- carrying out the PCF's decision or -change of the state in the GGSN.
    --

    go3gppReportTable OBJECT-TYPE
        SYNTAX         SEQUENCE OF Go3gppReportEntry
        PIB-ACCESS     notify
        STATUS         current
        DESCRIPTION
            "This table represents the success or failure of the decision enforcement and
            state changes in the PEP."
        ::= { go3gppReportClasses 1 }


    go3gppReportEntry OBJECT-TYPE
        SYNTAX         go3gppReportEntry
        STATUS         current
        DESCRIPTION
            ""
        PIB-INDEX { go3gppReportPrid }
        UNIQUENESS { }
        ::= { go3gppReportTable 1 }


    go3gppReportEntry ::= SEQUENCE {
            go3gppReportPrid       InstanceId,
            go3gppReportStatus     INTEGER,
            go3gppReportDetails    Prid }


    go3gppReportPrid OBJECT-TYPE
        SYNTAX         InstanceId
        STATUS         current
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gpgReport class."
            ::= { go3gppReportEntry 1 }


    go3gppReportStatus OBJECT-TYPE
        SYNTAX         INTEGER {
                                 success (1),
                                 failure (2),
                                 usage   (3) }
        STATUS         current
```

```
        DESCRIPTION
            "When Status is:
               success: Indicates the successful implementation of the
                        decision.
                        go3gppReportDetails:
                          Reference an instance of go3gppRprtGPRSChrgInfo
                          for initial authorization request decision;
                          References nothing otherwise (contains the value
                          zeroDotZero).

               Failure: Indicates the failure of implementing the decision.

                        go3gppReportDetails may references an Error object,
or may have the value zeroDotZero when no error
                        object is needed, in which case COPS and COPS-PR
                        error codes and error objects are sufficient.
               Usage:   go3gppReportDetails references an instance of
                        go3gppRprtUsage class."

        ::= { go3gppReportEntry 2 }


    go3gppReportDetails OBJECT-TYPE
        SYNTAX         Prid
        STATUS         current
        DESCRIPTION
            "May reference an instance of go3gppRprtGPRSChrgInfo,
            go3gppRprtError(not defined), or go3gppRprtUsage class,
            or may have the value of zeroDotZero depending on the value of
            go3gppReportStatus."
        ::= { go3gppReportEntry 3 }


    go3gppRprtGPRSChrgInfoTable OBJECT-TYPE
        SYNTAX         SEQUENCE OF Go3gppRprtGPRSChrgInfoEntry
        PIB-ACCESS     notify
        STATUS         current
        DESCRIPTION
            "This table represents the GPRS Charging information"
        ::= { go3gppReportClasses 2 }


    go3gppRprtGPRSChrgInfoEntry OBJECT-TYPE
        SYNTAX         go3gppRprtGPRSChrgInfoEntry
        STATUS         current
        DESCRIPTION
            "This entry represents the GPRS Charging Identifier and GGSN address."
        PIB-INDEX { go3gppRprtGPRSChrgInfoPrid }
        UNIQUENESS { go3gppRprtGPRSChrgInfoGGSNAddr,
                     go3gppRprtGPRSChrgInfoGCID }
        ::= { go3gppRprtGPRSChrgInfoTable 1 }


    go3gppRprtGPRSChrgInfoEntry ::= SEQUENCE {
            go3gppRprtGPRSChrgInfoPrid       InstanceId,

            go3gppRprtGPRSChrgInfoGGSNAddr   InetAddress,
            go3gppRprtGPRSChrgInfoGCID       OCTET STRING }


    go3gppRprtGPRSChrgInfoPrid OBJECT-TYPE
        SYNTAX         InstanceId
        STATUS         current
        DESCRIPTION
            "An arbitrary integer index that uniquely identifies an
            instance of the go3gpgRprtGPRSChrgInfo class."
            ::= { go3gppRprtGPRSChrgInfoEntry 1 }


    go3gppRprtGPRSChrgInfoGGSNAddr OBJECT-TYPE
        SYNTAX         InetAddress
        STATUS         current
        DESCRIPTION
            "Contains the IP Address of the GGSN providing the GCID
            upon successful handling of an Authorization Request."
            ::= { go3gppRprtGPRSChrgInfoEntry 2 }
```

```
   go3gppRprtGPRSChrgInfoGCID OBJECT-TYPE
       SYNTAX         OCTET STRING
       STATUS         current
       DESCRIPTION
           "The GPRS Charging ID related to this Authorization Request."
       ::= { go3gppRprtGPRSChrgInfoEntry 3 }


  --
  -- Notice go3gppRprtError PRC is currently not defined because all
  -- error condition handling is satisfactorily covered by using the
  -- standard COPS-PR error handling mechanism and error objects.
  -- go3gppRprtError PRC should only be used for 3GPP GO Application
  -- error indications if necessary.
  --

   go3gppRprtUsageTable OBJECT-TYPE
       SYNTAX         SEQUENCE OF Go3gppRprtUsageEntry
       PIB-ACCESS     notify
       STATUS         current
       DESCRIPTION
           ""
       ::= { go3gppReportClasses 3 }


   go3gppRprtUsageEntry OBJECT-TYPE
       SYNTAX         go3gppRprtUsageEntry
       STATUS         current
       DESCRIPTION
           "This entry represents the PEP state changes."
       PIB-INDEX { go3gppRprtUsagePrid }
       UNIQUENESS { go3gppRprtUsageIndication }
       ::= { go3gppRprtUsageTable 1 }


   go3gppRprtUsageEntry ::= SEQUENCE {
           go3gppRprtUsagePrid        InstanceId,
           go3gppRprtUsageIndication  INTEGER }



   go3gppRprtUsagePrid OBJECT-TYPE
       SYNTAX         InstanceId
       STATUS         current
       DESCRIPTION
           "An arbitrary integer index that uniquely identifies an
           instance of the go3gpgRprtUsage class."
           ::= { go3gppRprtUsageEntry 1 }


   go3gppRprtUsageIndication OBJECT-TYPE
       SYNTAX         INTEGER {
                        chngdTo0kbs   (1),
                        chngdFrom0kbs (2) }
       STATUS         current
       DESCRIPTION
           "Indication of GPRS Usage change.
           chngdTo0kbs indicates changing to 0kbs,
           chngdFrom0kbs indicates changing from 0kbs."
           ::= { go3gppRprtUsageEntry 2 }


   -- ---------------------------------------------------------
   --
   -- Conformance Section
   --

   go3gppCompliances         OBJECT IDENTIFIER ::= { go3gppConformance 1 }
   go3gppGroups                  OBJECT IDENTIFIER ::= { go3gppConformance 2 }


go3gppCompliance MODULE-COMPLIANCE
       STATUS  current
       DESCRIPTION
               "Describes the requirements for conformance to the
               3GPP GO PIB."
```

```
       MODULE FRAMEWORK-PIB
           MANDATORY-GROUPS {
               frwkPrcSupportGroup,
               frwkDeviceIdGroup }


       MODULE GO3GPP-PIB -- this module
           MANDATORY-GROUPS {
               go3gppAuthReqCapGroup,
               go3gppAuthReqDecCapGroup,
               go3gppAuthReqHandlerGroup,
               go3gppAuthReqEventGroup,
               go3gppBindingInfoGroup,
               go3gppFlowIdGroup,
               go3gppAuthReqFailDecGroup,
               go3gppAuthReqDecGroup,
               go3gppIcidGroup,
               go3gppAuthReqDirDecGroup,
               go3gppQosGroup,
               go3gppGateDecGroup,
               go3gppGateGroup,
--SPPI does not allow the OBJECTS clause to be empty. Since there
--are no objects to report in the Base Filter group, it is commented out.
--             go3gppBaseFilterGroup,
               go3gppIpFilterGroup,
               go3gppReportGroup,
               go3gppRprtGPRSChrgInfoGroup,
               go3gppRprtUsageGroup }
       ::= { go3gppCompliances 1 }



   go3gppAuthReqCapGroup OBJECT-GROUP
       OBJECTS {
       go3gppAuthReqCapBindingInfos,
       go3gppAuthReqCapFlowIds
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB Objects that describe the
           Authorisation Request capabilities."
       ::= { go3gppGroups 1 }

go3gppAuthReqDecCapGroup OBJECT-GROUP
       OBJECTS {
     go3gppAuthReqDecCapIcids
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Authorisation Decision capabilities."
       ::= { go3gppGroups 2 }

go3gppAuthReqHandlerGroup OBJECT-GROUP
       OBJECTS {
     go3gppAuthReqHandlerEnable,
     go3gppAuthReqHandlerBindingInfo
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Authorisation request event handler."
       ::= { go3gppGroups 3 }

go3gppAuthReqEventGroup OBJECT-GROUP
       OBJECTS {
     go3gppAuthReqEventBindingInfos
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Authorisation request events."
       ::= { go3gppGroups 4 }

go3gppBindingInfoGroup OBJECT-GROUP
       OBJECTS {
     go3gppBindingInfoToken,
```

```
     go3gppBindingInfoFlowIds,
     go3gppBindingInfoNext
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the binding information."
       ::= { go3gppGroups 5 }

go3gppFlowIdGroup OBJECT-GROUP
       OBJECTS {
     go3gppFlowIdFlowId,
     go3gppFlowIdNext
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the flow ID."
       ::= { go3gppGroups 6 }

go3gppAuthReqFailDecGroup OBJECT-GROUP
       OBJECTS {

     go3gppAuthReqFailDecReason
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Authorisation failure decisions."
       ::= { go3gppGroups 7 }

go3gppAuthReqDecGroup OBJECT-GROUP
       OBJECTS {
     go3gppAuthReqDecIcids,
     go3gppAuthReqDecDirDecs
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Authorisation decisions."
       ::= { go3gppGroups 8 }

go3gppIcidGroup OBJECT-GROUP
       OBJECTS {
     go3gppIcidValue,
     go3gppIcidNext
       }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the ICID."
       ::= { go3gppGroups 9 }

go3gppAuthReqDirDecGroup OBJECT-GROUP
       OBJECTS {
     go3gppAuthReqDirDecDirection,
     go3gppAuthReqDirDecQos,
     go3gppAuthReqDirDecGates,
     go3gppAuthReqDirDecNext
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the authorisation decision direction."
       ::= { go3gppGroups 10 }

go3gppQosGroup OBJECT-GROUP
       OBJECTS {
     go3gppQosServiceClass,
     go3gppQosDataRateUnit,
     go3gppQosDataRate
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the QoS information."
       ::= { go3gppGroups 11 }
```

```
go3gppGateDecGroup OBJECT-GROUP
       OBJECTS {
     go3gppGateDecDirection,
     go3gppGateDecGates,
     go3gppGateDecNext
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the Gate decision."
       ::= { go3gppGroups 12 }

go3gppGateGroup OBJECT-GROUP
       OBJECTS {
     go3gppGateFilter,
     go3gppGateStatus,
     go3gppGateNext
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the gate."
       ::= { go3gppGroups 13 }

--SPPI does not allow the OBJECTS clause to be empty. Since there
--are no objects to report in this group, it is commented out.
--go3gppBaseFilterGroup OBJECT-GROUP
--       OBJECTS { }
--       STATUS current
--       DESCRIPTION
--          "This Group defines the PIB Objects that describe the base filter."
--       ::= { go3gppGroups 14 }

go3gppIpFilterGroup OBJECT-GROUP
       OBJECTS {
           go3gppIpFilterAddrType,
           go3gppIpFilterDstAddr,
           go3gppIpFilterDstPrefixLength,
           go3gppIpFilterSrcAddr,
           go3gppIpFilterSrcPrefixLength,
           go3gppIpFilterProtocol,
           go3gppIpFilterDstL4PortMin,
           go3gppIpFilterDstL4PortMax,
           go3gppIpFilterSrcL4PortMin,
           go3gppIpFilterSrcL4PortMax
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB Objects that describe the IP Filter."
       ::= { go3gppGroups 14 }

go3gppReportGroup OBJECT-GROUP
       OBJECTS {
     go3gppReportStatus,
     go3gppReportDetails
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the PEP reports."
       ::= { go3gppGroups 15 }

go3gppRprtGPRSChrgInfoGroup OBJECT-GROUP
       OBJECTS {
     go3gppRprtGPRSChrgInfoGGSNAddr,
     go3gppRprtGPRSChrgInfoGCID
        }
       STATUS current
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the charging information."
       ::= { go3gppGroups 16 }

go3gppRprtUsageGroup OBJECT-GROUP
       OBJECTS {
           go3gppRprtUsageIndication
       }
       STATUS current
```

```
       DESCRIPTION
          "This Group defines the PIB
          Objects that describe the report usage."
       ::= { go3gppGroups 17 }


   -- Security considerations
-- The security mechanisms described in COPS [7] and COPS-PR [8] are
-- re-used in 3GPP. No security concerns have been identified beyond
-- those that the COPS base protocol security have already addressed
-- and provide the necessary protection against security threats.

   END
```

END

3GPP TSG-CN WG3 Meeting #24 ***Tdoc ⌘N3-020679***
Helsinki, Finland, 28th July - 2nd Aug 2002.

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **020** ⌘ **rev** **1-** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [ ] Core Network [X]

Title:	⌘	Go related error codes to UE
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	29/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	Go related error indication from GGSN to UE is carried in the Protocol Configuration Options information element. The actual error codes and their usage need to be specified. It is proposed that the actual error codes are specified in the 29.207 and the 24.008 specifies how these error codes are included in the PCO.
Summary of change:	⌘	Go related error codes and their usage are specified.
Consequences if not approved:	⌘	It would not be possible to indicate Go interface specific errors to the UE.

Clauses affected:	⌘	4.3.1.1.1, 4.3.1.5, 5.1.1, annex C, annex D

		Y	N			
Other specs affected:	⌘	X		Other core specifications	⌘	24.008
			X	Test specifications		
			X	O&M Specifications		

Other comments:	⌘	

How to create CRs using this form:
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Below is a brief summary:

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

4.3.1.1.1 QoS Information processing

The GGSN is responsible for the policy based admission control, i.e. to ensure that the requested QoS is in-line with the "Authorized QoS".

The GGSN needs the "Authorised QoS" information of the PDP context for the uplink as well as for the downlink direction. Therefore, the "Authorized QoS" information for the combination of all IP flows of each direction associated with the media component as determined by the PCF is used.

In case of an aggregation of multiple media components within one PDP context, the "Authorised QoS" for the bearer is provided by the PCF as the combination of the "Authorised QoS" information of the individual media components.

The GGSN shall perform the proper mapping between the IP QoS information and the UMTS QoS information. This mapping is performed by the Translation/mapping function which maps the "Authorised QoS" information for the PDP context into authorised UMTS QoS information.

It is recommended, the GGSN to derive the highest allowed UMTS Traffic class for the PDP context from the Diffserv PHB in the "Authorized QoS" according to table 4.3.1.1.1.

Table 4.3.1.1.1

Diffserv PHB	Traffic Class	Traffic Handling Priority
EF	Conversational	N/A
$AF4_1$	Streaming	N/A
$AF3_1$	Interactive	1
$AF2_1$		2
$AF1_1$		3
BE	Background	N/A

The Data rate within the "Authorized QoS" information for the bearer is the combination of the data rate values of the "Authorised QoS" of the individual media components and shall be used by the GGSN as the maximum bandwidth value for the PDP context. This bandwidth value shall include all the overhead coming from the IP-layer and the layers above. If RTP is used, then all the overhead coming from the UDP, RTP and RTCP layers shall be included.

In the case of real-time UMTS bearers (conversational and streaming traffic classes), the Data rate value of the "Authorized QoS" information shall be considered as the maximum value of the 'Guaranteed bitrate' UMTS QoS parameter. In the case of non-real-time bearers (interactive and background traffic classes), the Data rate value shall be considered as the maximum value of the 'Maximum bitrate' UMTS QoS parameter.

Editor's note: Mapping the Data rate value for the real time into 'Guaranteed bitrate' or 'Maximum bitrate' parameter is for FFS.

The UMTS BS Manager receives the authorised UMTS QoS information for the PDP context from the Translation/mapping function. If the requested QoS exceeds the authorised QoS it may either reject the activation/modification of the PDP context or downgrade the requested UMTS QoS information to the authorised UMTS QoS information. In case of rejection of the activation/modification, the authorization failure is indicated to the UE with the error code value 'Authorization failure of the request'. The error code is transferred to the UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

The GGSN may store the authorized QoS for the binding information of an active PDP context in order to be able to make local decisions, when the UE requests for a PDP context modification.

4.3.1.5 Binding mechanism handling

The binding information is used by the GGSN to identify the correct PCF and subsequently request service-based local policy information from the PCF. The binding information associates a PDP context with one or more media components of an IMS session. The GGSN may receive one or more sets of the binding information during an activation or modification of a PDP context. Each binding information consists of an authorisation token and the flow identifier(s) related to the IP flows of the actual media component. If there is more than one media component to be transported within the PDP context the binding information includes the flow identifier(s) for the IP flows of each of the media components.

The GGSN shall store the binding information and apply it to correlate events and actions between the PDP context and the service-based local policy.

The GGSN shall determine the IP address of the PCF from the PCF identifier received as part of the Authorization Token. This identifier shall be in the format of a fully qualified domain name.

The GGSN shall forward the binding information received from the UE to the PCF. If multiple binding information are received by the G~~S~~GSN, it shall forward them to the PCF. If none of the tokens included in the binding information are of type AUTH_SESSION, or they do not contain an AUTH_ENT_ID attribute to resolve the PCF address, then the GGSN shall reject the PDP context activation request. The reason for the rejection is indicated to the UE with the error code value "Invalid binding information". The error code is transferred to the UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

When the GGSN receives a PDP context activation/modification to the IMS APN without the binding information the GGSN shall reject the PDP context activation/modification request. The ~~authorization failure~~ reason for the rejection is indicated to the UE with the error code value "Missing binding information". The error code is transferred to the UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

5.1.1 Initial authorization at PDP context activation

The GGSN receives binding information during the activation of a PDP context by the UE. To perform initial authorization at the PDP context activation the GGSN shall send an authorisation request to the PCF including the binding information received from the UE.

The GGSN identifies the required PCF from the binding information. The binding information is formatted according to the structure of the policy element defined in [11] and shall include the AUTH_ENT_ID and the SESSION_ID attributes. The GGSN checks for a Policy Element of type AUTH_SESSION ([11]) and retrieves the AUTH_ENT_ID attribute from this. If this is in the form of a Fully Qualified Domain Name, then this is used to identity the correct PCF.

The GGSN authorisation request message to the PCF shall allow the GGSN to request policy information for authorisation of the media components carried by a PDP context identified by binding information.

When the GGSN receives the PCF decision regarding authorisation of the media components, the GGSN shall enforce the policy decision.

The PCF shall verify the binding information by checking if the authorization token is associated with an ongoing SIP session at IMS level and by checking if the media components are allowed to be grouped.

If the PCF decision information indicates that the binding information provided by the GGSN is associated with an ongoing SIP session at IMS level, the GGSN shall proceed with activation of the PDP context. The GGSN shall map the authorized QoS resources into authorized resources for the bearer admission control.

To ensure charging correlation, the GGSN shall send the GPRS charging identifier and GGSN address information to the PCF after the successful establishment of the PDP context, i.e. with the report following the initial authorization decision.

When the PCF detects that the binding information provided by the GGSN is not associated with an ongoing SIP session at application layer, or is otherwise unable to authorise the binding information, the GGSN will receive a COPS decision message from the PCF carrying both an INSTALL and REMOVE decision. The GGSN shall reject the PDP context activation~~, using any received decision information from the PCF to identify the error reason~~ with the error code value 'Authorization failure of the request'. The error code is transferred to the UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12]. The GGSN shall subsequently remove this state according to the REMOVE decision. For an initial authorisation request, the GGSN shall then send a COPS Delete Request State (DRQ) message to the PCF to remove the state in the GGSN and the PCF. ~~The authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].~~

~~Upon receiving a Remove decision from the PCF for the PDP context authorisation, the GGSN shall reject the PDP context and shall delete the Request state that has been established in the PCF and the GGSN by sending the COPS Delete Request State (DRQ) message to the PCF. The authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].~~

When the GGSN sends an authorization request to the PCF but the PCF doesn't respond with the decision message, the GGSN's action is according to the local policy in the GGSN. The local policy may be configured by the operator.

If the GGSN supports a local policy decision point (LPDP) configuration it may make local policy decisions in the absence of the PCF. The local policy decisions may be used to accept new PDP context activations while the connection to the PCF is lost. The synchronization behaviour between the GGSN and the PCF is based on the local policy configured by operators.

Annex C (normative): Go interface related error code values for the PDP context handling

The following error codes are used to indicate Go interface related errors from the GGSN to the UE. The error codes are transferred to the UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008:

Error code No. 1 "Authorization failure of the request"
This error code indicates that the PDP context activation/modification request is rejected because the authorizing entity is unable to provide an authorization decision for the binding information.

Error code No. 2 "Missing binding information"

This error code indicates that the PDP context activation/modification request is rejected because the binding information was not included in the request although required.

Error code No. 3 "Invalid binding information"

This error code indicates that the PDP context activation/modification request is rejected because the authorizing entity could not be resolved from the binding information.

Annex ~~C~~D (informative): Change history

3GPP TSG-CN3 Meeting #24
Helsinki, Finland, 29 July – 2 August

Tdoc ⌘ N3-020686

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **025** ⌘ **rev** **1** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	Initialisation and maintenance / Security Considerations
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2E QoS - IW
Date:	⌘	09/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	Section 4.3.1.2 and 4.3.2.2 are empty. Currently, only the following editor's note is present: "This describes the initialisation and maintenance of the COPS protocol over Go interface. It may be simplified by referring to IETF RFC." The description of the initialisation and maintenance of the Go interface is needed in order for 29.207 to be complete. Furthermore, the security considerations section is misplaced and may be overlooked. It is proposed to create a new section 6.5. Security Considerations
Summary of change:	⌘	Text is added to the above effect.
Consequences if not approved:	⌘	The specification is incomplete.

Clauses affected:	⌘	4.3.1.2, 4.3.2.2, 6.5, annex B

Other specs affected: ⌘	Y	N		⌘
		X	Other core specifications	
		X	Test specifications	
		X	O&M Specifications	

Other comments: ⌘

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

First amended section

4.3.1.2 Initialisation and maintenance

~~Editor's note: This describes the initialisation and maintenance of the COPS protocol over Go interface. It may be simplified by referring to IETF RFC.~~

The GGSN shall comply to the procedures described in [7] for the initialisation and maintenance of the COPS protocol over the Go interface.

4.3.1.3 Gate Function

Next amended section

4.3.2.2 Initialisation and maintenance

~~Editor's note: This describes the initialisation and maintenance of the COPS protocol over Go interface. It may be simplified by referring to IETF RFC.~~

The PCF shall comply to the procedures described in [7] for the initialisation and maintenance of the COPS protocol over the Go interface.

4.3.2.3 Binding mechanism handling

Next amended section

6.4 Go data

The detailed data description is provided in annex B.

6.5 Security Considerations

The security mechanisms described in COPS [7] and COPS-PR [8] should be re-used in 3GPP.

Next amended section

```
   -- ------------------------------------------------------------
   --
   -- Conformance Section
   --

   go3gppCompliances         OBJECT IDENTIFIER ::= { go3gppConformance 1 }
   go3gppGroups              OBJECT IDENTIFIER ::= { go3gppConformance 2 }

   MODULE -- this module
    MANDATORY-GROUPS {
    -- Include here a list of PRCs in Framework PIB used by 3GPP GO PIB.
    -- These PRC include ones used to indicate which 3GPP GO PIB PRCs are
    -- Supported by a particular PEP/GGSN. The complete set of PRCs defined in the Go 3GPP PIB are
to be included in this section since they are all deemed part of the minimum functionality for the
PEP.
    }

   MODULE -- this module
    MANDATORY-GROUPS {
    -- Include here the Group and PRC Conformance definitions for 3GPP GO PIB
    -- PRCs.
    }

-- Security considerations
-- The security mechanisms described in COPS [7] and COPS-PR [8] are
-- re-used in 3GPP. No security concerns have been identified beyond
-- those that the COPS base protocol security have already addressed
-- and provide the necessary protection against security threats.

   END
```

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 28th July - 2nd Aug 2002.

Tdoc ⌘N3-020690

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **018** ⌘ **rev** **1** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network **X**

Title:	⌘	Derivation of flow identifiers from SDP
Source:	⌘	TSG_CN WG3
Work item code:	⌘	e2eQoS
Date:	⌘	10/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	Clarification for supporting a range of port numbers
Summary of change:	⌘	It is shown how the flow identifiers shall be derived from SDP in the general case where a series of port numbers are specified for a media component.
Consequences if not approved:	⌘	Possibly ambiguous implementations

Clauses affected:	⌘				
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Start of modified section

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.002: "Network architecture".

[3] 3GPP TS 23.207: "End to end quality of service concept and architecture".

[4] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".

[5] IETF RFC 2475: "An Architecture for Differentiated Services".

[6] IETF RFC 2753: "A Framework for Policy-based Admission Control".

[7] IETF RFC 2748: "The COPS (Common Open Policy Service) Protocol".

[8] IETF RFC 3084: "COPS Usage for Policy Provisioning (COPS-PR)".

[9] IETF RFC 3159: "Structure of Policy Provisioning Information (SPPI)".

[10] IETF RFC 2205: "Resource ReSerVation Protocol (RSVP) – Version 1 Functional Specification".

[11] IETF RFC tbd: "Session Authorisation for RSVP" (draft-ietf-rap-rsvp-authsession-02.txt).

[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core network protocols; Stage 3".

[13] 3GPP TS 27.060: "Mobile Station (MS) supporting Packet Switched Services".

[xx] IETF RFC 2327: “SDP: Session Description Protocol”

End of modified section

…..

Start of next modified section

3 Definitions and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply:

Common Open Policy Service (COPS) protocol: is a simple query and response protocol that can be used to exchange policy information between a policy server (Policy Decision Point) and its clients (Policy Enforcement Points)

Differentiated Services (DiffServ): Diffserv networks classify packets into one of a small number of aggregated flows or "classes", based on the DiffServ codepoint (DSCP) in the packet's IP header
This is known as behaviour aggregate (BA) classification. At each DiffServ router, packets are subjected to a "per-hop behaviour" (PHB), which is invoked by the DSCP.

Flow identifier: used for the identification of an IP flow within a media component associated with a SIP session
For example, a single, unidirectional media component may contain one IP flow, or two IP flows in the case of an RTP media stream. In case of a bidirectional flow, the same flow identifier is used for both directions. A flow identifier consists of two parts: 1) Media component number defined in increasing order according to the sequence of the "m=" lines in the SDP, ref. [xx], session description and 2) IP flow number defined in the order of increasing port numbers within each media component, ref. Annex X.

Go Interface: interface between PCF and GGSN [2]

IP Bearer Service Manager: uses standard IP mechanisms to manage the IP Bearer Service. It resides in the GGSN and optionally in the UE

Media component: is a part of an SDP session description conveying information about one media stream (e.g. type, format, IP address, port, transport protocol, bandwidth, direction)
The media stream described by a media component can be either bi- or unidirectional. A media stream containing an RTP flow may also contain an associated RTCP flow. An SDP session description can consist of more than one media components. A media component shall not be deleted nor its position changed within the SDP session description.

Policy Control Function (PCF): is a logical policy decision element that uses standard IP mechanisms to implement policy in the IP media layer
The PCF makes decisions in regard to network based IP policy using policy rules, and communicates these decisions to the PEP in the GGSN.

Proxy Call Session Control Function (P-CSCF): is a network element providing session management services (e.g. telephony call control)

Policy Enforcement Point (PEP): is a logical entity that enforces policy decisions made by the PCF. It resides in the IP BS Manager of the GGSN

Policy Information Base (PIB): data carried by COPS-PR is a set of policy data
The protocol assumes a named data structure, known as a Policy Information Base (PIB), to identify the type and purpose of solicited and unsolicited policy information that is sent from the Policy Decision Point to the Policy Enforcement Point for provisioning policy or sent from the Policy Enforcement Point to the Policy Decision Point as a notification.

Provisioning Instance Identifier (PRID): uniquely identifies an instance of a PRC

Resource ReSerVation Protocol (RSVP): is used by a host to request specific qualities of service from the network for particular application data streams or flows
The network responds by explicitly admitting or rejecting RSVP requests.

Translation/mapping function: provides the inter-working between the mechanisms and parameters used within the UMTS Bearer Service and those used within the IP Bearer Service

UMTS Bearer Service Manager: handles resource reservation requests from the UE. It resides in the GGSN and the UE

3.2 Abbreviations

For the purposes of the present document, the abbreviations as specified in 3GPP TR 21.905 [1] and the following abbreviations apply:

COPS	Common Open Policy Service protocol
COPS-PR	COPS for policy PRovisioning
DEC	COPS DECision message
DiffServ	Differentiated Services
DRQ	COPS Delete ReQuest state message
DSCP	DiffServ Code Point
GCID	GPRS Charging IDentifier
ICID	IMS Charging IDentifier
IMS	IP Multimedia core network Subsystem
PCF	Policy Control Function
P-CSCF	Proxy Call Session Control Function
PEP	Policy Enforcement Point
PHB	Per Hop Behaviour
PIB	Policy Information Base
PRC	PRovisioning Class (a type of policy data)
PRI	PRovisioning Instance (an instance of a PRC)
PRID	PRovisioning Instance iDentifier
QoS	Quality of Service
REQ	COPS REQuest message
RPT	COPS RePorT state message
RSVP	resource ReSerVation Protocol
RTCP	RTP Control Protocol
SDP	Session Description Protocol

End of modified text

…

Start of next modified section

5.2 PCF

5.2.1 SBLP decisions

5.2.1.1 SBLP authorisation decision

The information needed for the PCF to perform media authorization is passed by the P-CSCF upon receiving a SIP message that contains SDP. The SDP contains sufficient information about the session, such as the end-points' IP address and port numbers and bandwidth requirements.

All media components in the SDP are authorised. The media components contain one or more IP flows each represented by a flow identifier. Cf. the definition of flow identifier in clause 3.1. ~~A flow identifier is expressed as a 2-tuple as follows:~~

~~<Media component no, IP flow no.>~~

~~where both are numbered starting from 1.~~

~~0~~ ~~3~~

~~Media component no.~~	~~IP flow no.~~

~~As an example, if the second "m=" line in the SDP information contains one RTP media specification, the following flow identifiers would be assigned:~~

~~IP flow~~	~~Flow id.~~
~~RTP~~	~~<2,1>~~
~~Associated RTCP~~	~~<2,2>~~

The P-CSCF shall send policy setup information to the PCF upon every SIP message that includes an SDP payload. This ensures that the PCF passes proper information to perform media authorization for all possible IMS session setup scenarios. The policy setup information provided by the P-CSCF to the PCF for each media component shall contain the following:

- Destination IP address;
- Destination port number;
- Transport Protocol id;
- Media direction information;
- Direction of the source (originating or terminating side);
- Indication of the group that the media component belongs to;

Editor's note: The format of this group indication in SIP/SDP is subject to CN1's decision.

- Media type information;
- Bandwidth parameter.

Additionally, upon the P-CSCF receives the ICID in SIP signalling, it shall send the ICID to the PCF.

The PCF stores the authorised policy information, and generates an Authorisation Token to identify this decision. The Authorisation Token is passed back to the P-CSCF for inclusion in the SIP signalling back to the UE.

The Authorisation Token is in the form of a Session Authorisation Data Policy Element as described in [11]. The PCF shall include an AUTH_ENT_ID attribute containing the Fully Qualified Domain Name of the PCF and the SESSION_ID attribute.

Upon receiving the bearer authorization request from the GGSN, the PCF shall authorize the request according to the stored service based local policy information for the session identified by the binding information in the request.

- Decision on the binding information:

  The authorisation shall contain the decision on verifying the binding information. The PCF shall identify whether the binding information indeed corresponds to an initiated SIP session.

  The authorization shall also contain decision on the list of flow_IDs contained in the bearer authorisation request sent by the GGSN representing the list of media components intended to be carried in the same PDP Context. This decision shall verify that these media components are indeed allowed to be carried in the same PDP Context. The PCF shall make this decision by comparing the list of flow_IDs contained in the bearer authorization request received from the GGSN to the media component grouping indication information received from the P-CSCF.

  In case the UE violates the IMS level indication, and attempts to set up multiple IMS media components in a single PDP context despite of an indication that mandated separate PDP contexts, the PCF shall enforce the rejection of this PDP context request by sending the an INSTALL and REMOVE decision to the GGSN.

  If the binding information and the list of flow_IDs are successfully authorised (verified) as per the means described above, the PCF shall also communicate the authorisation details for each media component to the GGSN.

The authorisation details contain the "Authorised QoS" and the packet classifier(s) of the associated IP flows. In case of an aggregation of multiple media components within one PDP context, the combination of the "Authorised QoS" information of the individual media components is provided as the "Authorised QoS".

Based on the media direction information and the direction of the source provided by the P-CSCF, the PCF shall define the direction (upstream or downstream) of the "Authorised QoS" and the packet classifier(s).

- Packet classifier(s):

  The PCF shall use the destination IP address(s), destination port number(s) and transport protocol id(s) to formulate a packet classifier(s).

  - The source IP address and source port number, which are part of the standard 5-tuple for packet classifying, are not provided by the P-CSCF. Therefore, the source IP address and source port number are wildcarded by the PCF in the packet classifier.

  Editor's note: The wildcarding of the source IP address maybe updated depending on the SA2's decision.

  - The PCF shall send the destination address and the destination port number for each IP flow associated with the media component.

- "Authorized QoS":

  The "Authorised QoS" information (consisting of maximum DiffServ Class and Data Rate) for a media component is extracted from the media type information and bandwidth parameter of the SDP. The PCF shall map the media type information into a DiffServ Class which is the highest class that can be used for the media. As an example, the audio media type shall be mapped into Expedited Forwarding PHB.

  The PCF shall extract the Data Rate value from the "b=AS" SDP parameter. The "b=AS" parameter in the SDP shall contain all the overhead coming from the IP-layer and the layers above, e.g. UDP, RTP. The Data Rate shall also include the overhead coming from the possible usage of RTCP.

NOTE: The overhead coming from the IP-layer and the layers above is also included in the UMTS QoS bitrate parameters and the IP QoS parameters (e.g. RSVP flowSpec).

When the GGSN uses IP QoS parameters for resource reservation, the Data rate value shall be considered as the maximum value of the 'Token Bucket Rate' IP QoS parameter. When the GGSN uses UMTS QoS parameters, the Data rate value shall be considered as the maximum value of the 'Guaranteed bitrate' parameter for real-time bearers.

Editor's note: Mapping the Data rate value for the real time into 'Guaranteed bitrate' or 'Maximum bitrate' parameter is for FFS.

For non-real-time bearers the Data rate value shall be considered as the maximum value of the 'Maximum bitrate' parameter.

In case of an aggregation of multiple media components within one PDP context, the PCF shall provide the "Authorised QoS" for the bearer as the combination of the "Authorised QoS" information of the individual media components. The DiffServ Class in the "Authorised QoS" for the bearer shall contain the highest PHB amongst the ones applied for the individual media components and indicates the highest UMTS traffic class that can be applied to the PDP context.

Editor's note: It shall be possible the group identifiers to restrict the individual media components carried by the same PDP context to have the same PHBs.

The Data Rate of the "Authorised QoS" for the bearer shall be the sum of the Data Rate values of the individual media components and it is used as the maximum Data Rate value for the PDP context.

The PCF may include the gate enabling command as part of the authorisation decision. Alternatively, the PCF may provide a separate decision for opening the gate.

The PCF shall send the IMS charging identifier provided by the P-CSCF as part of the authorisation decision to the GGSN.

Upon receiving the modified SDP information from the P-CSCF, the PCF shall update the media authorization information for the session. The PCF may push this updated authorisation information to the GGSN. Under certain condition e.g. revoke of authorization, the PCF shall push the updated policy decision to the GGSN.

End of modified section

…

Start of new , added section

Annex X (Normative):

Flow identifiers: Format definition and examples

X.1 Format of a flow identifier

A flow identifier is expressed as a 2-tuple as follows:

<Media component no, IP flow no.>

where both are numbered starting from 1.

0 3

Media component no.	IP flow no.

X.2 Example 1

The second "m=" - line in the SDP information contains one RTP media specification, as follows:

m=video 49160 RTP/AVP 31

Two -flow identifiers are assigned as shown in the table below:

IP flow	Port number	Flow id.
RTP	49160	<2,1>
Associated RTCP	49161	<2,2>

X.3 Example 2

In the general case, multiple ports may be specified with a “number of ports” qualifier as follows, ref. [xx]:

m=<media> <port>/<number of ports> <transport> <fmt list>

If the third “m=”-line indicates a series of port numbers as follows:

m=video 49170/2 RTP/AVP 31

Four flow identifiers are assigned as shown in the table below:

IP flow	Port number	Flow id.
First RTP	49170	<3,1>
First associated RTCP	49171	<3,2>
Second RTP	49172	<3,3>
Second associated RTCP	49173	<3,4>

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 29th July – 2nd August

Tdoc N3-020696

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **010** ⌘ **rev** **1** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	SBLP Gate Decision
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	19/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	The usage of the gate decision message is not described in detail.
Summary of change:	⌘	A new section "5.1.2.3 SBLP gate decision" is added to describe the usage of this decision message.
Consequences if not approved:	⌘	As the usage of the gate decision message is not clearly described, different implementations might lead to interworking problems or inefficiencies.

Clauses affected:	⌘				
Other specs affected:	⌘	**Y**	**N**		⌘
			X	Other core specifications	
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.2.1.2 SBLP revoke decision

The PCF shall send a revoke authorisation decision to the GGSN upon SIP session release. The revoke authorisation decision shall be sent as a separate decision to the GGSN corresponding to the previous SBLP authorisation decision.

Additionally, when a media component which is bound to a PDP context is removed from a SIP session and the UE has not performed the corresponding modification of the PDP context within an operator specific time the PCF shall revoke the authorisation for the set of media components on that PDP context.

The timer shall be terminated if the PCF receives a new authorisation request with the same handle where that media component has been removed, or by termination of the PDP context.

5.2.1.3 SBLP gate decision

The PCF may send a gate decision during the session set-up or whenever the status of a media component changes during the session (e.g. a media component is put on hold, resumed or removed). The PCF shall not send a gate decision to the GGSN before it has sent the initial authorisation decision. If the initial authorisation decision has already been sent, the PCF may send a gate decision to the GGSN to modify the status of one or several gate(s) on the user plane. The gate decision shall only contain the gate(s) for which the status was changed compared to the last authorisation or gate decision sent to the GGSN. The gate decision contains for each gate either the “Approval of QoS Commit” command to open the gate or the “Removal of QoS Commit” command to close the gate.

6 Go protocol

6.1 Protocol support

6.1.1 TCP connection for COPS protocol

The GGSN receives the PCF identifier received as part of the Authorization Token, during the PDP context activation procedure. The GGSN resolves the PCF IP address from the PCF identifier, which is in the form of a fully qualified domain name.

If there is no existing TCP connection to the PCF, the GGSN shall establish a TCP connection for COPS interactions to the PCF. The GGSN shall use an existing TCP connection to the PCF, whenever present.

The TCP connection between the GGSN and the PCF may be pre-established by configuring the PCF addresses on the GGSN.

All communication between the GGSN and the PCFs shall use a standardised Client-Type with a corresponding standardised PIB, as defined in annex B.

The validity of the PCF may be ensured either by using a private DNS for resolving the PCF IP address or by configuring a list of allowed PCF IP addresses on the GGSN.

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 29th July – 2nd August

Tdoc N3-020702

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **014** ⌘ **rev** **1** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	User Plane Operation
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	19/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	Section 5.1 does not contain a description for the GGSN actions upon a receipt of a gate decision.
Summary of change:	⌘	A new section "5.1.4 Gate control operation" is inserted to section 5.1. The actions performed by the GGSN are described upon a receipt of a gate decision from the PCF namely the opening or closing of the idenified gates.
Consequences if not approved:	⌘	The specification would be incomplete.

Clauses affected:	⌘	5.1.4

	Y	N		
Other specs affected: ⌘		X	Other core specifications	⌘
		X	Test specifications	
		X	O&M Specifications	

Other comments:	⌘	The number of the original section 5.1.4 User plane operation is modified to 5.1.5.

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2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be

downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

5.1.3 PDP context deactivation

The GGSN is responsible for notifying the PCF when a procedure of a PDP context deactivation is performed. In case of a PDP context deactivation, the GGSN shall inform the PCF of the bearer release related to the SIP session.

When a revoke authorisation for the set of media components on that PDP context is performed, the GGSN receives a decision message from the PCF for disabling the use of the "Authorised QoS" resources and deactivation of the PDP context associated with the binding information. The GGSN shall disable the use of the "Authorized QoS" resources. The GGSN shall initiate deactivation of the PDP context used for carrying these media components, in case that the UE has not performed it within an operator specific time.

5.1.4 Gate control operation

Upon receiving a gate decision from the PCF, the GGSN shall enforce this decision on the user plane. For each gate contained in the gate decision the GGSN shall perform the specified command. In case of an “Approval of QoS Commit” command the GGSN shall open the corresponding gate. In case of a “Removal of QoS Commit” command the GGSN shall close the corresponding gate.

5.1.45 User plane operation

The GGSN shall enforce the configuration of the policy based "gating" functionality according to additional authorisation information received from the PCF.

Editor's note: the exact GGSN action if the "gating" parameters provided by the PCF are not identical with the parameters from the TFT in the PDP context request is for further study.

3GPP TSG-CN WG3 Meeting #24 **Tdoc ⌘N3-020708**
Helsinki, Finland, 28th July - 2nd Aug 2002.

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **032** ⌘ **rev** **-** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network **X**

Title:	⌘	R-Type and M-Type for Authorization_Failure event
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQOS
Date:	⌘	01/08/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
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Use one of the following releases:

2	*(GSM Phase 2)*
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R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	R-Type and M-Type for Authorization_Failure event have not been specified.
Summary of change:	⌘	R-Type and M-Type for Authorization_Failure event are specified.
Consequences if not approved:	⌘	Rel-5 specification is incomplete and may lead to non-compliant implementations.

Clauses affected:	⌘	6.3.2			
		Y	**N**		
Other specs affected:	⌘		**X**	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

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3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

Start of amended section

6.3.2 Message description

The Go interface uses the COPS-PR protocol. The following messages shall be supported:

The following events are available on the Go interface:

- Authorisation_Request (GGSN→PCF):

  This event allows the GGSN to request authorisation details from the PCF. It contains the following information:

  - Client Handle;
  - Binding Information.

  The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

- Authorisation_Decision (PCF→GGSN):

  This event provides the GGSN with the authorisation status, and relevant authorisation decision data if applicable. The event contains the following information:

  - Client Handle;
  - ICID(s);
  - Unidirectional set (this parameter shall appear once for each direction (uplink and downlink)):
    - Direction indicator;
    - "Authorised QoS";
    - Packet classifiers /gate status (this parameter shall appear once for each required filter)
      A gate status (opened/closed) is included with each packet classifier element.

Editor's note: The ICID issue should still be discussed in SA5.

  The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

  - Filter Specification - The information about the authorised IP end points addresses and ports is detailed below. The Filter Specification contains packet classifiers made of packet filters that have the following data structure. The packet classifier parameters are:
    - Source IP address;
    - Destination IP address;
    - Source ports;
    - Destination ports;
    - Protocol ID.

    The Source and Destination ports are described with a range consisting of a minimum and maximum value. If only one port is authorised, the minimum value and maximum value of the range are identical.

- Authorisation_Failure (PCF→GGSN):

  This event provides the GGSN with an indication of an authorisation failure, and may carry additional reason details. The event contains the following information:

- Client Handle;
- Authorisation failure (including any provided reason information).

~~Editor's note: The R-type and M-type shall be specified.~~

The R-type = 0x08 for configuration request is used here and M-type = 0x04 terminate event state is used here.

- Approval decision / Removal decision (PCF→GGSN):

  The approval decision indicates to the GGSN that the gate(s) for a media component(s) shall be opened. The removal decision indicates to the GGSN that the gate(s) for a media component(s) shall be closed. The events contain the following information:

  - Client Handle;
  - Unidirectional set (this parameter shall appear once for each direction for which gates are being updated (uplink and/or downlink)):
    - Direction indicator;
    - Packet classifiers /gate status (this parameter shall appear once for each gate to be modified for this direction)
      A gate status (opened/closed) is included with each packet classifier element.

NOTE 1: The opening of the gate may occur at the same time / be part of the authorisation decision event.

NOTE 2: The closing of the gate may occur at the same time as the revoke authorisation decision event.

The R-type = 0x08 for configuration request is used here and M-type = 0x03 update event state is used here.

- Report (RPT)s (GGSN→PCF):
  - Authorisation_report; Approval_report; Removal_report:

    The GGSN sends a COPS RPT message back to the PCF reporting that it enforced or not the authorisation decision, or the approval of QoS commit decision or removal of QoS commit decision.

    The events contain the following information:

    - Client Handle;
    - Success / Failure.
  - The report of the initial authorisation decision includes:
    - GCID;
    - GGSN address.
  - Report of state changes:

    The GGSN sends the report of state change message to the PCF reporting that the maximum bit rate for the PDP context is modified to 0 kbit/s or that the maximum bit rate for the PDP context is changed from 0 kbit/s.

    - Client Handle;
    - Maximum bit rate (set to 0kbps / changed from 0 kbps).
- Delete request state (GGSN→PCF):

  The GGSN informs the PCF via the delete request state message, that the PDP context is deactivated and the request state identified by the client handle is no longer available/relevant at the GGSN, so the corresponding state shall also be removed at the PCF.

  The DRQ message includes the reason why the request state was deleted.

The events contain the following information:

- Client Handle;
- Reason code: "Tear", Sub-code: deactivation of the PDP context.

- Remove_Decision (PCF→GGSN):

  The PCF uses the Remove_Decision to inform the GGSN that the SIP session is terminated and the PCF revokes the authorized resources.

  The events contain the following information:

- Client Handle.

End of amended section

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 28th July - 2nd Aug 2002.

Tdoc ⌘N3-020715

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **007** ⌘ **rev** **2** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	Editorial improvements in the specification
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	22/07/2002
Category:	⌘	**F**
Release:	⌘	REL-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	General improvements in wording and details.
Summary of change:	⌘	Various editorial improvements throughout (wording changes, grammar)
Consequences if not approved:	⌘	Specification will contain minor inaccuracies and poorly phrased statements

Clauses affected:	⌘	1, 2, 3.1, 3.2, 4.1, 4.2, 4.3.1.1.1, 4.3.1.3, 4.3.1.5, 4.3.2.1, 4.3.2.3, 5.1.1, 5.2.1.1, 6.2, 6.3.1, 6.3.1.2, 6.3.1.3, 6.3.1.4, 6.3.2

	Y	N		
Other specs affected: ⌘		X	Other core specifications	⌘
		X	Test specifications	
		X	O&M Specifications	

Other comments: ⌘

How to create CRs using this form:
Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm.
Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

First amended section

1 Scope

The present document provides the stage 3 specification of the Go interface. The functional requirements and the stage 2 specifications of the Go interface are contained in 3GPP TS 23.002 [2] and 3GPP TS 23.207 [3]. The Go interface is the interface between the GGSN and the Policy Control Function (PCF).

The present document defines:

- the protocol to be used between PCF and GGSN over the Go interface

- the signalling interactions to be performed between PCF and GGSN over the Go interface

- the information to be exchanged between PCF and GGSN over the Go interface.

Next amended section

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication and/or edition number or version number) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document.*

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.002: "Network architecture".

[3] 3GPP TS 23.207: "End to end quality of service concept and architecture".

[4] 3GPP TS 23.228: "IP Multimedia Subsystem (IMS); Stage 2".

[5] IETF RFC 2475: "An Architecture for Differentiated Services".

[6] IETF RFC 2753: "A Framework for Policy-based Admission Control".

[7] IETF RFC 2748: "The COPS (Common Open Policy Service) Protocol".

[8] IETF RFC 3084: "COPS Usage for Policy Provisioning (COPS-PR)".

[9] IETF RFC 3159: "Structure of Policy Provisioning Information (SPPI)".

[10] IETF RFC 2205: "Resource ReSerVation Protocol (RSVP) – Version 1 Functional Specification".

[11] IETF RFC tbd: "Session Authorisation for RSVP" (draft-ietf-rap-rsvp-authsession-03~~2~~.txt).

[12] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core network protocols; Stage 3".

[13] 3GPP TS 27.060: "Mobile Station (MS) supporting Packet Switched Services".

Next amended section

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply:

Common Open Policy Service (COPS) protocol: is a simple query and response protocol that can be used to exchange policy information between a policy server (Policy Decision Point) and its clients (Policy Enforcement Points)

Differentiated Services (DiffServ): Diffserv networks classify packets into one of a small number of aggregated flows or "classes", based on the DiffServ codepoint (DSCP) in the packet's IP header
This is known as behaviour aggregate (BA) classification. At each DiffServ router, packets are subjected to a "per-hop behaviour" (PHB), which is invoked by the DSCP.

Flow identifier: used for the identification of an IP flow within a media component associated with a SIP session
For example, a single, unidirectional media component may contain one IP flow, or two IP flows in the case of an RTP media stream. In case of a bidirectional flow, the same flow identifier is used for both directions. A flow identifier consists of two parts: 1) Media component number defined in increasing order according to the sequence of the "m=" lines in the SDP session description and 2) IP flow number defined in the order of increasing port numbers within each media component.

Go Interface: interface between PCF and GGSN [2]

IP Bearer Service Manager: uses standard IP mechanisms to manage the IP Bearer Service. It resides in the GGSN and optionally in the UE

Media component: is a part of an SDP session description conveying information about one media stream (e.g. type, format, IP address, port, transport protocol, bandwidth, direction)
The media stream described by a media component can be either bi- or unidirectional. A media stream containing an RTP flow may also contain an associated RTCP flow. An SDP session description can consist of more than one media component~~s~~. A media component shall not be deleted nor its position changed within the SDP session description. A media component line where the port number has previously been set to 0 may be reused for a new media component.

Policy Control Function (PCF): is a logical policy decision element that uses standard IP mechanisms to implement policy in the IP media layer
The PCF makes decisions in regard to network based IP policy using policy rules, and communicates these decisions to the PEP in the GGSN.

Proxy Call Session Control Function (P-CSCF): is a network element providing session management services (e.g. telephony call control)

Policy Enforcement Point (PEP): is a logical entity that enforces policy decisions made by the PCF. It resides in the IP BS Manager of the GGSN

Policy Information Base (PIB): data carried by COPS-PR is a set of policy data
The protocol assumes a named data structure, known as a Policy Information Base (PIB), to identify the type and purpose of solicited and unsolicited policy information that is sent from the Policy Decision Point to the Policy Enforcement Point for provisioning policy or sent from the Policy Enforcement Point to the Policy Decision Point as a notification.

Provisioning Instance Identifier (PRID): uniquely identifies an instance of a PRC

Resource ReSerVation Protocol (RSVP): is used by a host to request specific qualities of service from the network for particular application data streams or flows
The network responds by explicitly admitting or rejecting RSVP requests.

Translation/mapping function: provides the inter-working between the mechanisms and parameters used within the UMTS Bearer Service and those used within the IP Bearer Service

UMTS Bearer Service Manager: handles resource reservation requests from the UE. It resides in the GGSN and the UE

Next amended section

3.2 Abbreviations

For the purposes of the present document, the abbreviations as specified in 3GPP TR 21.905 [1] and the following abbreviations apply:

COPS	Common Open Policy Service protocol
COPS-PR	COPS for policy PRovisioning
DEC	COPS DECision message
DiffServ	Differentiated Services
DRQ	COPS Delete ReQuest state message
DSCP	DiffServ Code Point
GCID	GPRS Charging IDentifier
ICID	IMS Charging IDentifier
IMS	IP Multimedia core network Subsystem
MIB	Management Information Base
PCF	Policy Control Function
P-CSCF	Proxy Call Session Control Function
PEP	Policy Enforcement Point
PHB	Per Hop Behaviour
PIB	Policy Information Base
PRC	PRovisioning Class (a type of policy data)
PRI	PRovisioning Instance (an instance of a PRC)
PRID	PRovisioning Instance iDentifier
QoS	Quality of Service
REQ	COPS REQuest message
RPT	COPS RePorT state message
RSVP	resource ReSerVation Protocol
RTCP	RTP Control Protocol
SBLP	Service Based Local Policy

Next amended section

4.1 Overview

The Go interface allows service-based local policy ~~and QoS inter-working~~ information to be "pushed" to or requested by the Policy Enforcement Point (PEP) in the GGSN from a Policy Control Function (PCF). As defined in the stage 2 specifications [3], this information is used by the GGSN for:

- GPRS bearer authorisation;
- Charging correlation;
- Policy based "gating" function in GGSN;
- Control of DiffServ inter-working;
- Control of RSVP admission control and inter-working.

The Go interface uses IP flow based policies.

The Common Open Policy Service (COPS) protocol has been developed as a protocol for use between a policy server and a network device, as described in [7].

In addition, COPS for Provisioning extensions have been developed as described in [8] with [9] describing a structure for specifying policy information that can then be transmitted to a network device for the purpose of configuring policy at that device. The model underlying this structure is one of well-defined provisioning classes and instances of these classes residing in a virtual information store called the Policy Information Base (PIB).

The Go interface shall conform to the IETF COPS [7] and the extensions of COPS-PR [8]. For the purpose of exchanging the required specific Go~~UMTS~~ information, a 3GPP Go COPS-PR Policy Information Base~~d~~ (PIB) is defined in the present document.

COPS Usage for Policy Provisioning (COPS-PR) is independent of the type of policy being provisioned (QoS, Security, etc.). In the present document, COPS-PR is used to communicate service-based local policy information between PCF and GGSN. COPS-PR can be extended to provide per-flow policy control along with a 3GPP Go Policy Information Base (PIB). The 3GPP Go PIB may inherit part of the data object definitions from other PIBs and MIBs~~the framework PIB and the DiffServ PIB~~ defined in the IETF.

The minimum functionalities that the Go interface shall cover are introduced below.

1. Media Authorisation request from GGSN:

   The GGSN receives the binding information during the activation of a (Secondary) PDP context or during the modification of an existing PDP context that has been previously authorized by the PCF. To authorise the PDP context activation, the GGSN shall send a media authorisation request to the PCF. To authorise the PDP context modification, the GGSN shall send a media authorisation request to the PCF when the requested QoS exceeds the authorised QoS or new binding information is received.

   This authorisation request shall include the following information:

   - Binding information:

     The binding information is used by the GGSN to identify the correct PCF and subsequently request service-based local policy information from the PCF. The GGSN may receive one or more sets of the binding information during an activation or modification of a PDP context. Each binding information consists of:

     - One Authorisation token;

     - One or more Flow id(s) within the session.

   It is assumed that only one set of binding information is carried within a PDP context in this Release.

2. Media authorisation decision from PCF:

   The media authorisation information sent by the PCF to the GGSN, contains at a minimum the following information:

   - Decision on the binding information.

   The PCF shall respond with an authorisation decision for the binding information. The authorisation decision shall identify that the binding information is validated with an ongoing SIP session. Additionally, the PCF shall verify if the multiple media components are correctly assigned to the PDP Context. If validated, the PCF shall also communicate the following media authorisation details to the GGSN:

   - "Authorised QoS".

     This information is used by the GGSN to authorise the media resources according to the service-based local policy and the requested bearer QoS.

     The "Authorised QoS" for media components signalled over the Go interface is based on the SDP requirements signalled and agreed previously within SIP signalling for this session.

     The "Authorised QoS" specifies the maximum QoS that is authorised for a PDP context for that specific binding information. In case of an aggregation of multiple media components within one PDP context, the combination of the "Authorised QoS" information of the individual media components is provided as the "Authorised QoS" for the bearer.

     The "Authorised QoS" contains the following information:

     - DiffServ class:

       The DiffServ class determines the highest ~~PHB~~ Qos class that can be used for the media component. It is derived from the media type information of the SDP media description.

- Data rate:

  The Data rate information is extracted from the SDP bandwidth parameter, more specifically the bandwidth value indicated by the "b=AS:" parameter. The Data rate shall include all the overhead coming from the IP-layer and the layers above, e.g. UDP, RTP. The Data rate shall also include the overhead coming from the possible usage of RTCP. The Data rate within the "Authorized QoS" information for the bearer is determined from~~the combination of~~ the data rate values ~~of the authorised QoS~~ of the individual media components identified in the binding information.

- Packet Classifier.

  The packet classifier for media components is based on the IP-address and port number information in the SDP and shall allow for all IP flows associated with the SDP media component description.

3. Charging correlation:

   The PCF shall send the ICID provided by the P-CSCF as part of the authorisation decision. The GGSN shall send the GCID of the PDP Context and the GGSN address to the PCF as part of the authorisation report.

4. Approval of QoS Commit / Removal of QoS Commit / Revoke Authorisation for GPRS and IP resources:

   The PCF controls media components and may revoke resources at any time. Approval of QoS Commit / Removal of QoS Commit / Revoke Authorisation for GPRS and IP resources is communicated by the PCF to the GGSN.

5. Indication of PDP Context Release / Modification to/from 0 kbit/s:

   The GGSN informs the PCF of bearer changes related to the authorised resources for the IMS session in the following cases:

   - Loss of radio contact (modification to/from 0 kbit/s for conversational and streaming class);

   - Deactivation of PDP context.

Next amended section

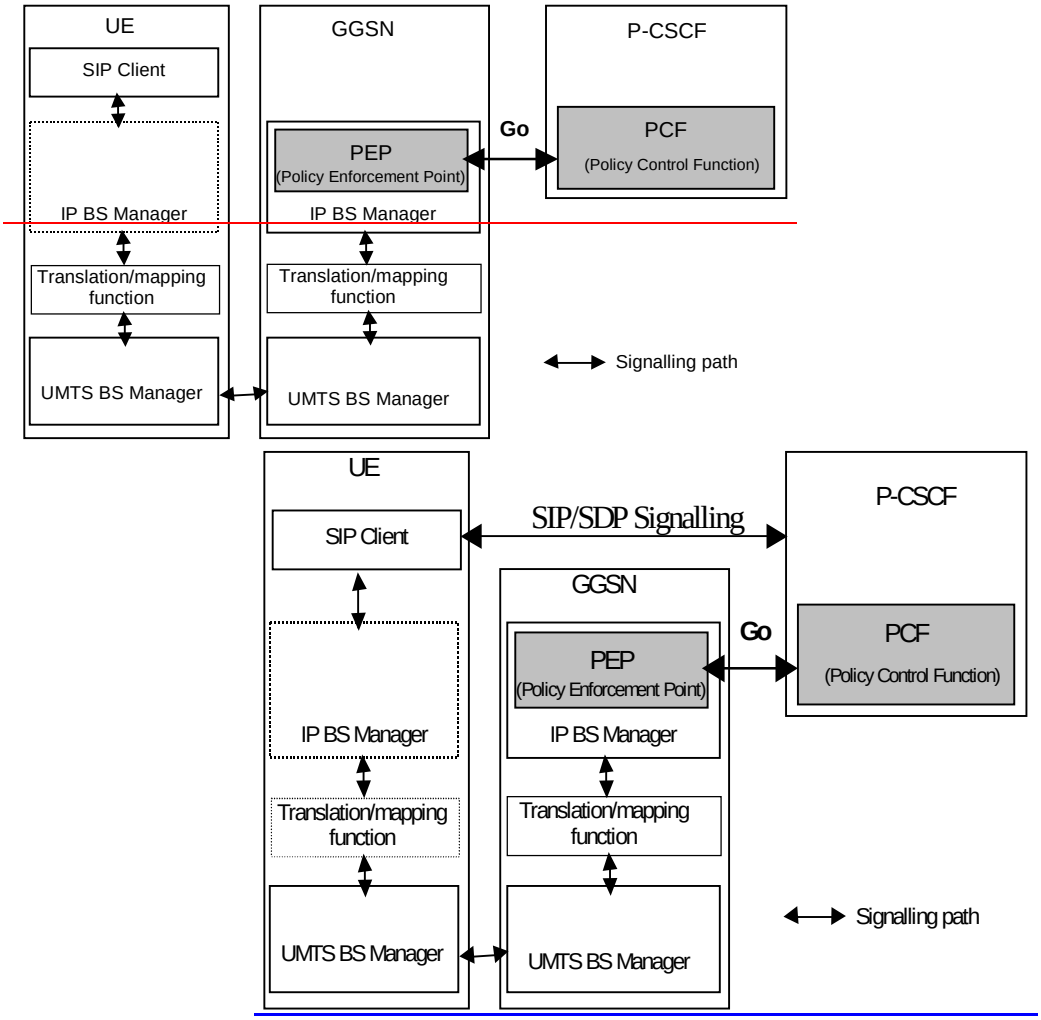
4.2 Go reference model

The Go interface is defined between the PCF and the GGSN [2].

The PCF is a logical entity of the P-CSCF (if the PCF is implemented in a separate physical node, the interface between the PCF and P-CSCF is not standardised).

The P-CSCF(PCF) is in the same PLMN as the GGSN.

The relationships between the different functional entities involved are depicted in figure 4.2.



NOTE: For clarity in the diagram, network elements that are not involved in service-based local policy are not presented here (e.g. radio network elements, SGSN, etc).

Figure 4.2: Go interface architecture model

Next amended section

4.3.1.1 Service-based local policy enforcement point

The ~~Service-based Local~~ Policy Enforcement Point (PEP) is a logical entity which resides in the GGSN and communicates with the PCF regarding Service-based local policy (SBLP) control. Hereafter in the present document, the GGSN is assumed to contain the PEP implicitly unless otherwise stated. The GGSN sends requests to and receives decisions from the PCF. The GGSN may cache the policy decision data of the PCF decisions. This cached information may be used later for a local policy decision allowing the GGSN to make policy control decision about the QoS authorization for PDP context modifications without requiring additional interaction with the PCF.

The following ~~service-based local~~ policy enforcement point functionalities for SBLP in the GGSN are identified:

- Policy based Authorisation ~~request~~:

  The GGSN requests authorisation information from PCF for the media components carried by a PDP context. The GGSN enforces the PCF decisions related to the media components carried by a PDP context.

  Additionally, policy-based authorisation ensures that the resources, which can be used by each particular media component, are within the "Authorised QoS" specified by the PCF. This information is mapped by the Translation/mapping function in the GGSN to give the authorised resources for GPRS bearer admission control.

~~- Authorisation report:~~

The GGSN shall also report to the PCF its success or failure in carrying out the PCF decision.

~~- Policy based admission control:~~

~~- The GGSN includes policy based admission control that is applied to the bearers associated with the media components, and configures the policy based "gating" functionality in the user plane.~~

~~- Policy based admission control ensures that the GPRS bearer carrying media components, which is activated in the GGSN, is authorised by the PCF decision.~~

~~- Additionally, policy based admission control ensures that the resources, which can be used by each particular media component, are within the "Authorised QoS" specified by the PCF. This information is mapped by the Translation/mapping function in the GGSN to give the authorised resources for GPRS bearer admission control.~~

~~- To ensure charging correlation, the PEP shall send the GPRS charging identifier and the GGSN address to the PCF.~~

- Policy based gating functionality:

  Policy based gating functionality represent the control of the GGSN over the Gate Function in the user plane, i.e. the forwarding of IP packets associated with a media component. In the user plane, a "gate" is defined for each direction of a media component. The PCF provides the gate description and the commands to open or close the gate. The gate description is received from the PCF in the authorisation decision. The command to open or close the gate shall be sent either in the authorisation decision or in subsequent decisions from the PCF.

- Indication of bearer release/modification to/from 0 kb/s

  The GGSN shall inform the PCF when the bearer changes to or from a data rate of 0 kb/s (an indication of bearer loss/recovery), and at bearer release.

- Charging Correlation

  To ensure charging correlation, the PEP shall send the GCID and the GGSN address to the PCF. The PCF shall also send the IMS charging identifier to the GGSN.

Next amended section

4.3.1.1.1 QoS Information processing

The GGSN is responsible for the policy based ~~admission control~~authorisation, i.e. to ensure that the requested QoS is in-line with the "Authorized QoS".

The GGSN needs the "Authorised QoS" information of the PDP context for the uplink as well as for the downlink direction. Therefore, the "Authorized QoS" information for the combination of all IP flows of each direction associated with the media component as determined by the PCF is used.

In case of an aggregation of multiple media components within one PDP context, the "Authorised QoS" for the bearer is provided by the PCF as the combination of the "Authorised QoS" information of the individual media components.

The GGSN shall perform the proper mapping between the IP QoS information and the UMTS QoS information. This mapping is performed by the Translation/mapping function which maps the "Authorised QoS" information for the PDP context into authorised UMTS QoS information.

It is recommended that~~,~~ the GGSN ~~to~~ derives the highest allowed UMTS Traffic class for the PDP context from the Diffserv PHB in the "Authorized QoS" according to table 4.3.1.1.1.

Table 4.3.1.1.1

Diffserv PHB	Traffic Class	Traffic Handling Priority
EF	Conversational	N/A
$AF4_1$	Streaming	N/A
$AF3_1$	Interactive	1
$AF2_1$		2
$AF1_1$		3
BE	Background	N/A

The Data rate within the "Authorized QoS" information for the bearer is the combination of the data rate values of the "Authorised QoS" of the individual media components and shall be used by the GGSN as the maximum bandwidth value for the PDP context. ~~This bandwidth value shall include all the overhead coming from the IP layer and the layers above. If RTP is used, then all the overhead coming from the UDP, RTP and RTCP layers shall be included.~~

In the case of real-time UMTS bearers (conversational and streaming traffic classes), the Data rate value of the "Authorized QoS" information shall be considered as the maximum value of the 'Guaranteed bitrate' UMTS QoS parameter. In the case of non-real-time bearers (interactive and background traffic classes), the Data rate value shall be considered as the maximum value of the 'Maximum bitrate' UMTS QoS parameter.

Editor's note: Mapping the Data rate value for the real time into 'Guaranteed bitrate' or 'Maximum bitrate' parameter is for FFS.

The UMTS BS Manager receives the authorised UMTS QoS information for the PDP context from the Translation/mapping function. If the requested QoS exceeds the authorised QoS it may either reject the activation/modification of the PDP context or downgrade the requested UMTS QoS information to the authorised UMTS QoS information. In case of rejection of the activation/modification, the authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

The GGSN may store the authorized QoS for the binding information of an active PDP context in order to be able to make local decisions, when the UE requests for a PDP context modification.

Next amended section

4.3.1.3 Gate function

The Gate Function represents a user plane function enabling or disabling the forwarding of IP packets. A gate is described by a set of packet classifiers that identify IP flows associated to the gate. The packet classifier includes the standard 5-tuple (source IP address, destination IP address, source port, destination port, protocol) explicitly describing a unidirectional IP flow.

The packet classifier is received from the PCF in an authorisation decision. In the packet classifier the source IP address and the source port number are wildcarded by the PCF.

Editor's note: The wildcarding of the source IP address maybe updated depending on the SA2's decision.

The GGSN installs the packet filter ~~applying~~ corresponding to the packet classifier. The packet classifier includes the status that the gate shall be set to.~~After installation of the packet filter the gate shall be closed until the GGSN receives a command to open the gate.~~

The commands to open or close the gate lead to the enabling or disabling of the passage for IP packets. If the gate is closed all packets of the related IP flows are dropped. If the gate is opened the packets of the related IP flows are allowed to be forwarded. The opening of the gate may be part of the authorisation decision event. The closing of the gate may be part of the revoke authorisation decision event.

~~IP Packets of a PDP context not matching any packet classifier associated with this PDP context shall be dropped.~~

If the packet classifier is included as an additional IE in the authorisation information, the GGSN shall check for validity of the TFT in the Create PDP Context Request or Update PDP Context Request. If the TFT proposed will result in packets from the media component being unable to pass through, the PDP context will be rejected with cause value indicating a semantic error in the TFT.

Editor's note: This issue should still be discussed in SA2.

Next amended section

4.3.1.5 Binding mechanism handling

The binding information is used by the GGSN to identify the correct PCF and subsequently request service-based local policy information from the PCF. The binding information associates a PDP context with one or more media components of an IMS session. The GGSN may receive one or more sets of the binding information during an activation or modification of a PDP context. Each binding information consists of an authorisation token and the flow identifier(s) related to the IP flows of the actual media component. If there is more than one media component to be transported within the PDP context the binding information includes the flow identifier(s) for the IP flows of each of the media components.

The GGSN shall store the binding information and apply it to correlate events and actions between the PDP context and the service-based local policy.

The GGSN shall determine the IP address of the PCF from the PCF identifier received as part of the Authorization Token. This identifier shall be in the format of a fully qualified domain name.

The GGSN shall forward the binding information received from the UE to the PCF. If multiple binding information are received by the GGSN, it shall forward them to the PCF. If none of the tokens included in the binding information are of type AUTH_SESSION, or they do not contain an AUTH_ENT_ID attribute to resolve the PCF address, then the GGSN shall reject the PDP context activation request.

When the GGSN receives a PDP context activation/modification to an ~~the IMS~~ APN for which ~~without the~~ binding information is required, the GGSN shall reject the PDP context activation/modification request if binding information is not received. The authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

Next amended section

4.3.2.1 Service-based local policy decision point

The PCF functions as a Policy Decision Point for the service-based local policy control. The PCF makes policy decisions based on session and media related information obtained from the P-CSCF. The PCF shall exchange the decision information with the GGSN via the Go interface.

The following ~~service based local~~ policy decision point functionalities for SBLP are identified:

- Authorisation function:

  The PCF shall be able to provide an authorisation decision upon receiving a bearer authorisation request from the GGSN. The PCF shall authorise the request according to the stored session and media related information received from the P-CSCF.

  The PCF shall use the binding information to determine the IMS session and the set of media components. Based on the media components, the PCF shall determine the authorised QoS, packet filters, and gate status to be applied. The authorised QoS specifies the maximum allowed QoS class, and the data rate for the set of media components identified in the binding information.

  Editor's Note: a potential for theft of service scenario has been identified with the current mechanism for authorisation. Extensions to the authorisation mechanisms to close potential theft of service scenarios are currently under investigation, and will be specified when determined.

- Revoke function:

  The PCF may revoke the authorisation of resources at any time. Revoke Authorisation for GPRS and IP resources is communicated by the PCF to the GGSN.

- Approval of QoS Commit / Removal of QoS Commit:

  The PCF may allow or deny for the media component(s) the usage of the PDP context by controlling the correlated gate(s).

  The "Approval of QoS Commit" command may either be part of the authorisation decision, or the PCF may provide a separate decision with the "Approval of QoS Commit" command to open the gate.

  The "Removal of QoS Commit" command may either be part of the revoke authorisation decision, or the PCF may provide a separate decision with the "Removal of QoS Commit" command to close the gate.

- Actions due to Indication of bearer release:

  When the GGSN informs the PCF of bearer deactivation, the PCF shall remove the corresponding authorisation request state. Additionally, the PCF shall inform the P-CSCF about this deletion event.

- Actions due to Indication of bearer modification:

  When the PCF receives an indication of bearer modification of the maximum bitrate to or from 0 kbits/s, the PCF shall inform the P-CSCF about this modification event.

- Generation of authorisation token:

  During the session set-up the PCF generates an authorisation token for the IMS session.

- Mapping SDP parameters to "Authorized QoS" parameters:

  To perform proper authorisation, the PCF shall map the necessary SDP parameters containing session and media related information to "Authorized QoS" parameters.

- Charging identifiers exchange:

  The PCF shall send the ICID provided by the P-CSCF as part of the initial authorisation decision of all the bearer authorization requests that correspond to the respective SIP session.

  When the PCF receives the GCID together with the GGSN address from the GGSN, it shall forward thisese information to the P-CSCF to ensure charging correlation.

Next amended section

4.3.2.3 Binding mechanism handling

The binding information is used by the GGSN to identify the correct PCF and subsequently request service-based local policy information from the PCF. Each set of binding information consists of an authorisation token and one orf more flow identifier(s).

During the session set-up the PCF generates an Authorisation Token for the IMS session. The Authorisation token shall be sent to the P-CSCF which is forwardedforwards it to the UE in the by SIP signalling. The PCF shall allocate its PCF identifier as part of the Authorization Token. This identifier shall be in the format of a fully qualified domain name.

The PCF receives the binding information and a Client Handle as part of a REQ from the GGSN. The PCF shall store the Client Handle for each media component identified by the binding information for subsequent message exchanges.

The authorisation token is applied by the PCF to identify the IMS session. If no IMS session can be found for an authorisation token, or if the PCF is otherwise unable to authorise the binding information, the PCF shall send a COPS decision message carrying both an INSTALL and REMOVE decision. The INSTALL decision shall identify an authorisation failure to the GGSN, and may include further details identifying the cause. The REMOVE decision shall subsequently remove this state from the GGSN. For an initial authorisation, the PCF shall then initiate a remove for the authorisation request.

For a valid authorisation token the flow identifier(s) is used to select the available information on the media component(s) of this IMS session. The PCF sends the available authorisation information on the media component(s) back to the GGSN.

If the binding information consists of more than one flow identifier, the PCF shall also verify that the media components identified by the flow identifiers are allowed to be transferred in the same PDP context. If any of these media components was mandated to be carried in a separate PDP Context, the PCF shall send a COPS decision message carrying both an INSTALL and REMOVE decision. The INSTALL decision shall identify an authorisation failure to the GGSN, and may include further details identifying the cause. The REMOVE decision shall subsequently remove this state from the GGSN. For an initial authorisation, the PCF shall then initiate a remove for the authorisation request.

For a valid binding information consisting of more than one flow identifier, the information sent back to the GGSN shall include the aggregated QoS for all the flows and a packet filter for each flow. The flow identifiers within the binding information can span one or more media components.

Next amended section

5.1.1 Initial authorization at PDP context activation

The GGSN receives binding information during the activation of a PDP context by the UE. To perform initial authorization at the PDP context activation the GGSN shall send an authorisation request to the PCF including the binding information received from the UE.

The GGSN identifies the required PCF from the binding information. The binding information is formatted according to the structure of the policy element defined in [11] and shall include the AUTH_ENT_ID and the SESSION_ID attributes. The GGSN checks for a Policy Element of type AUTH_SESSION ([11]) and retrieves the AUTH_ENT_ID attribute from this. If this is in the form of a Fully Qualified Domain Name, then this is used to identify the correct PCF.

The GGSN authorisation request message to the PCF shall allow the GGSN to request policy information for authorisation of the media components carried by a PDP context identified by binding information.

When the GGSN receives the PCF decision regarding authorisation of the media components, the GGSN shall enforce the policy decision.

~~The PCF shall verify the binding information by checking if the authorization token is associated with an ongoing SIP session at IMS level and by checking if the media components are allowed to be grouped.~~

If the PCF decision information indicates that the binding information provided by the GGSN is authorised~~associated with an ongoing SIP session at IMS level~~, the GGSN shall proceed with activation of the PDP context. The GGSN shall map the authorized QoS resources into authorized resources for the bearer admission control.

To ensure charging correlation, the GGSN shall send the GCID~~PRS charging identifier~~ and GGSN address information to the PCF after the successful establishment of the PDP context, i.e. with the report following the initial authorization decision.

When the PCF detects that the binding information provided by the GGSN is not associated with an ongoing SIP session at application layer, or is otherwise unable to authorise the binding information, the GGSN will receive a COPS decision message from the PCF carrying both an INSTALL and REMOVE decision. The GGSN shall reject the PDP context activation, using any received decision information from the PCF to identify the error reason. The GGSN shall subsequently remove this state according to the REMOVE decision. For an initial authorisation request, the GGSN shall then send a COPS Delete Request State (DRQ) message to the PCF to remove the state in the GGSN and the PCF. The authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

Upon receiving a Remove decision from the PCF for the PDP context authorisation, the GGSN shall reject the PDP context and shall delete the Request-state that has been established in the PCF and the GGSN by sending the COPS Delete Request State (DRQ) message to the PCF. The authorization failure is indicated to UE in the Protocol Configuration Options information element as defined in 3GPP TS 24.008 [12].

When the GGSN sends an authorization request to the PCF but the PCF doesn't respond with the decision message, the GGSN's action is according to the local policy in the GGSN. The local policy may be configured by the operator.

If the GGSN supports a local policy decision point (LPDP) configuration it may make local policy decisions in the absence of the PCF. The local policy decisions may be used to accept new PDP context activations while the connection

to the PCF is lost. The synchronization behaviour between the GGSN and the PCF is based on the local policy configured by operators.

Next amended section

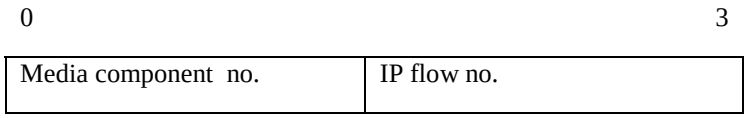
5.2.1.1 SBLP authorisation decision

The information needed for the PCF to perform media authorization is passed by the P-CSCF upon receiving a SIP message that contains SDP. The SDP contains sufficient information about the session, such as the end-points' IP address and port numbers and bandwidth requirements.

All media components in the SDP are authorised. The media components contain one or more IP flows each represented by a flow identifier. Cf. the definition of flow identifier in clause 3.1. A flow identifier is expressed as a 2-tuple as follows:

<Media component no, IP flow no.>

where both are numbered starting from 1.



As an example, if the second "m=" - line in the SDP information contains one RTP media specification, the following flow identifiers would be assigned:

IP flow	Flow id.
RTP	<2,1>
Associated RTCP	<2,2>

The P-CSCF shall send policy setup information to the PCF upon every SIP message that includes an SDP payload. This ensures that the PCF passes proper information to perform media authorization for all possible IMS session setup scenarios. The policy setup information provided by the P-CSCF to the PCF for each media component shall contain the following:

- Destination IP address;
- Destination port number;
- Transport Protocol id;
- Media direction information;
- Direction of the source (originating or terminating side);
- Indication of the group that the media component belongs to;

Editor's note: The format of this group indication in SIP/SDP is subject to CN1's decision.

- Media type information;
- Bandwidth parameter.

Additionally, upon the P-CSCF receives the ICID in SIP signalling, it shall send the ICID to the PCF.

The PCF stores the authorised policy information, and generates an Authorisation Token to identify this decision. The Authorisation Token is passed back to the P-CSCF for inclusion in the SIP signalling back to the UE.

The Authorisation Token is in the form of a Session Authorisation Data Policy Element as described in [11]. The PCF shall include an AUTH_ENT_ID attribute containing the Fully Qualified Domain Name of the PCF and the SESSION_ID attribute.

Upon receiving the bearer authorization request from the GGSN, the PCF shall authorize the request according to the stored service based local policy information for the session identified by the binding information in the request.

- Decision on the binding information:

  The authorisation shall contain the decision on verifying the binding information. The PCF shall identify whether the binding information indeed corresponds to an initiated SIP session.

  The authorization shall also contain decision on the list of flow_IDs contained in the bearer authorisation request sent by the GGSN representing the list of media components intended to be carried in the same PDP Context. This decision shall verify that these media components are indeed allowed to be carried in the same PDP Context. The PCF shall make this decision by comparing the list of flow_IDs contained in the bearer authorization request received from the GGSN to the media component grouping indication information received from the P-CSCF.

  In case the UE violates the IMS level indication, and attempts to set up multiple IMS media components in a single PDP context despite of an indication that mandated separate PDP contexts, the PCF shall enforce the rejection of this PDP context request by sending ~~the~~ an INSTALL and REMOVE decision to the GGSN.

  If the binding information and the list of flow_IDs are successfully authorised (verified) as per the means described above, the PCF shall also communicate the authorisation details for each media component to the GGSN.

  The authorisation details contain the "Authorised QoS" and the packet classifier(s) of the associated IP flows. In case of an aggregation of multiple media components within one PDP context, the combination of the "Authorised QoS" information of the individual media components is provided as the "Authorised QoS".

  Based on the media direction information and the direction of the source provided by the P-CSCF, the PCF shall define the direction (upstream or downstream) of the "Authorised QoS" and the packet classifier(s).

- Packet classifier(s):

  The PCF shall use the destination IP address(s), destination port number(s) and transport protocol id(s) to formulate a packet classifier(s).

  - The source IP address and source port number, which are part of the standard 5-tuple for packet classifying, are not provided by the P-CSCF. Therefore, the source IP address and source port number are wildcarded by the PCF in the packet classifier.

  Editor's note: The wildcarding of the source IP address maybe updated depending on the SA2's decision.

  - The PCF shall send the destination address and the destination port number for each IP flow associated with the media component.

- "Authorized QoS":

  The "Authorised QoS" information (consisting of maximum DiffServ Class and Data Rate) for a media component is extracted from the media type information and bandwidth parameter of the SDP. The PCF shall map the media type information into a DiffServ Class which is the highest class that can be used for the media. As an example, the audio media type shall be mapped into Expedited Forwarding PHB.

  The PCF shall extract the Data Rate value from the "b=AS" SDP parameter. The "b=AS" parameter in the SDP shall contain all the overhead coming from the IP-layer and the layers above, e.g. UDP, RTP. The Data Rate ~~shall also~~ includes the overhead coming from the possible usage of RTCP. The PCF shall use this value when determining the data rate value applicable for the media component.

~~NOTE: The overhead coming from the IP layer and the layers above is also included in the UMTS QoS bitrate parameters and the IP QoS parameters (e.g. RSVP flowSpec).~~

~~When the GGSN uses IP QoS parameters for resource reservation, the Data rate value shall be considered as the maximum value of the 'Token Bucket Rate' IP QoS parameter. When the GGSN uses UMTS QoS parameters, the Data rate value shall be considered as the maximum value of the 'Guaranteed bitrate' parameter for real-time bearers.~~

Editor's note: Mapping the Data rate value for the real time into 'Guaranteed bitrate' or 'Maximum bitrate' parameter is for FFS.

For non-real-time bearers the Data rate value shall be considered as the maximum value of the 'Maximum bitrate' parameter.

In case of an aggregation of multiple media components within one PDP context, the PCF shall provide the "Authorised QoS" for the bearer as the combination of the "Authorised QoS" information of the individual media components. The DiffServ Class in the "Authorised QoS" for the bearer shall contain the highest PHB amongst the ones applied for the individual media components and indicates the highest UMTS traffic class that can be applied to the PDP context.

Editor's note: It shall be possible the group identifiers to restrict the individual media components carried by the same PDP context to have the same PHBs.

The Data Rate of the "Authorised QoS" for the bearer shall be the sum of the Data Rate values of the individual media components/IP flows and it is used as the maximum Data Rate value for the PDP context.

The PCF may include the gate enabling command as part of the authorisation decision. Alternatively, the PCF may provide a separate decision for opening the gate.

The PCF shall send the IMS charging identifier provided by the P-CSCF as part of the authorisation decision to the GGSN.

Upon receiving the modified SDP information from the P-CSCF, the PCF shall update the media authorization information for the session. The PCF may push this updated authorisation information to the GGSN. Under certain condition e.g. revoke of authorization, the PCF shall push the updated policy decision to the GGSN.

Next amended section

6.2 Basic COPS events/messages

The Go interface supports event triggered information transfer~~passed~~ between the GGSN and PCF~~. In order to allow effective communication between PCF and GGSN, all events associated with control functions are required:~~

~~— Coordination of events between the application layer and resource management in the IP bearer layer,~~

~~The specific events to the UMTS or IP bearer service are required in order to trigger the request from GGSN to PCF.~~

Next amended section

6.3.1 Event descriptions

The Go Interface uses COPS-PR [8] schematics and the ~~UMTS~~ 3GPP Go PIB. For COPS-PR to support the Outsourcing Model it is required to add a new ~~UMTS~~ 3GPP Go PIB with objects to:

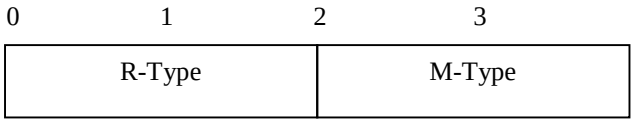
- Describe the Triggering Event Handling.
- Describe the Outsourcing Event.
- Describe the Decision for the Outsourced Event.
- Describe the Termination of the Outsourced Event.
- Describe the resource used for the Outsourced Event.

Next amended section

6.3.1.2 Context Object

The COPS Context Object is sent in the REQ and DEC messages. This object is used to indicate the triggering event.

C-Num = 2, C-Type = 1



R-Type (Request Type Flag)

0x08 for configuration request

M-Type (Message Type)

0x01 initial capability negotiation

0x02 create event state

0x03 update event state

0x04 terminate event state

Next amended section

6.3.1.3 Client Specific Information (ClientSI) for outsourcing Operation

The binding information consisting of the Authorization Token and flow identifier(s) received by the GGSN are encapsulated inside the Client Specific Information object of the COPS request message sent from the GGSN to the PCF. The PCF identifier is extracted from the token and used inside the GGSN to resolve the address of the actual PCF. However, from the Go message~~s~~ perspective, the token is~~can shall be considered~~treated as an opaque entity.

Next amended section

6.3.1.4 Reporting of Device Capabilities and Device Limitations

The functionality of reporting of device capabilities and device limitations is as described in RFC 3084 [8]. In addition, the following shall apply.

The configuration request message serves as a request from the GGSN to the PCF and include provisioning client information to provide the PCF with client-specific configuration or capability information about the GGSN. The capability information to be exchanged shall include the PIB objects supported by the GGSN. This information from the client assists the server in deciding what types of policy the GGSN can install and enforce.

The following GGSN capabilities may be provided in the configuration request message:

- Bearer authorisation capabilities:

  The GGSN notifies the PCF that it supports bearer authorisation capabilities. The GGSN will provide the token(s) and media identifier(s) in the REQ for verifying the binding information and the grouping of the media components by the PCF.

- "Authorised QoS" capabilities:

  The GGSN notifies the PCF that it's capable to enforce the combined "Authorised QoS" for the bearer.

- Packet classifier capabilities:

  The GGSN notifies the PCF that it's capable to enforce the packet classifier for each media component direction. ~~Similar to the classification capabilities of DiffServ PIB.~~

- Open /close the gate capabilities:

  The GGSN informs the PCF that it's capable to enforce a separate decision on opening the gate for the authorised media component and it' s capable to enforce a separate~~d~~ decision from the PCF regarding disabling of the gate.

- Revoke media authorisation capabilities:

  The GGSN notifies the PCF that it's capable to enforce the revoke authorisation for GPRS and IP resources decision from the PCF.

- Charging coordination:

  The GGSN informs the PCF that it's capable to send GCID(s) and GGSN address to the PCF.

  The GGSN informs the PCF that it's capable to receive ICID(s) from the PCF.

- Indication of QoS modifications to 0 kbit/s and from 0 kbit/s:

  The GGSN informs the PCF that it is able to notify when the maximum bit rate for the PDP context is modified to 0 kbit/s or that the maximum bit rate for the PDP context is changed from 0 kbit/s.

- Indication of the maximum number of media authorisation sessions:

  The GGSN may notify~~ies~~ the PCF how many parallel media authorisation sessions can be supported.

The PCF responds to the configuration request with an initial DEC message.

The R-type = 0x08 for configuration request is used here and M-type = 0x01 initial capability negotiation is used here.

The device capabilities information exchanged by the initial messages shall be stored in the PCF.

Next amended section

6.3.2 Message description

The Go interface uses the COPS-PR protocol. ~~The following messages shall be supported:~~

The following messages and events are available on the Go interface:

- Authorisation_Request (GGSN→PCF)

  This event allows the GGSN to request authorisation details from the PCF. It contains the following information:

  - Client Handle
  - Binding Information

  The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

- Authorisation_Decision (PCF→GGSN)

  This event provides the GGSN with the authorisation status, and relevant authorisation decision data if applicable. The event contains the following information:

  - Client Handle
  - ICID(s)
  - Unidirectional set (this parameter shall appear once for each direction (uplink and downlink))

- Direction indicator
- "Authorised QoS"
- Packet classifiers /gate status (this parameter shall appear once for each required filter)
  A gate status (opened/closed) is included with each packet classifier element.

Editor's note: The ICID issue should still be discussed in SA5.

The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

- Filter Specification – The information about the authorised IP end points addresses and ports is detailed below. The Filter Specification contains packet classifiers made of packet filters that have the following data structure. The packet classifier parameters are:
  - Source IP address
  - Destination IP address
  - Source ports
  - Destination ports
  - Protocol ID

  The Source and Destination ports are described with a range consisting of a minimum and maximum value. If only one port is authorised, the minimum value and maximum value of the range are identical.

- Authorisation_Failure (PCF→GGSN)

This event provides the GGSN with an indication of an authorisation failure, and may carry additional reason details. The event contains the following information:

- Client Handle
- Authorisation failure (including any provided reason information)

Editor's note: The R-type and M-type shall be specified.

- Approval decision / Removal decision (PCF→GGSN)

The approval decision indicates to the GGSN that the gate(s) for a media component(s) shall be opened. The removal decision indicates to the GGSN that the gate(s) for a media component(s) shall be closed. The events contain the following information:

- Client Handle
- Unidirectional set (this parameter shall appear once for each direction for which gates are being updated (uplink and/or downlink))
  - Direction indicator
  - Packet classifiers /gate status (this parameter shall appear once for each gate to be modified for this direction)
    A gate status (opened/closed) is included with each packet classifier element.

Note: The opening of the gate may occur at the same time / be part of the authorisation decision event.

Note: The closing of the gate may occur at the same time as the revoke authorisation decision event.

The R-type = 0x08 for configuration request is used here and M-type = 0x03 update event state is used here.

- Report (RPT)s (GGSN→PCF)
  - Authorisation_report; Approval_report; Removal_report

  The GGSN sends a COPS RPT message back to the PCF reporting that it enforced or not the authorisation decision, or the approval of QoS commit decision or removal of QoS commit decision.

The events contain the following information:

- Client Handle
- Success / Failure

- The report of the initial authorisation decision includes:
  - GCID
  - GGSN address
- Report of state changes

The GGSN sends the report of state change message to the PCF reporting that the maximum bit rate for the PDP context is modified to 0 kbit/s or that the maximum bit rate for the PDP context is changed from 0 kbit/s.

- Client Handle
- Maximum bit rate (set to 0kbps / changed from 0 kbps)

- Delete request state (GGSN→PCF)

The GGSN informs the PCF via the delete request state message, that the PDP context is deactivated and the request state identified by the client handle is no longer available/relevant at the GGSN, so the corresponding state shall also be removed at the PCF.

The DRQ message includes the reason why the request state was deleted.

The events contain the following information:

- Client Handle
- Reason code: “Tear”, Sub-code: deactivation of the PDP context

- Remove_Decision (PCF→GGSN)

The PCF uses the Remove_Decision to inform the GGSN that the SIP session is terminated and the PCF revokes the authorized resources.

The events contain the following information:

- Client Handle

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 28th July - 2nd Aug 2002.

Tdoc ⌘N3-020726

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **030** ⌘ **rev** **-** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ [ ] ME [X] Radio Access Network [ ] Core Network [X]

Title:	⌘	Remove incomplete RSVP function
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	22/07/2002
Category:	⌘	**F**
Release:	⌘	REL-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:

2	*(GSM Phase 2)*
R96	*(Release 1996)*
R97	*(Release 1997)*
R98	*(Release 1998)*
R99	*(Release 1999)*
Rel-4	*(Release 4)*
Rel-5	*(Release 5)*
Rel-6	*(Release 6)*

Reason for change:	⌘	The specification contains information related to RSVP control over Go interface which has not been completed in release 5. Such incomplete functions should be held over to the next release, and hence removed from the specification for the current release.
Summary of change:	⌘	Remove chapters on incomplete function for Go control of RSVP.
Consequences if not approved:	⌘	Specification contains incomplete functions

Clauses affected:	⌘	4.1, A.1.3			
		Y	**N**		
Other specs Affected:	⌘		X	Other core specifications	⌘
			X	Test specifications	
			X	O&M Specifications	
Other comments:	⌘				

How to create CRs using this form:
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Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.

2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.

3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

First amended section

4.1 Overview

The Go interface allows service-based local policy and QoS inter-working information to be "pushed" to or requested by the Policy Enforcement Point (PEP) in the GGSN from a Policy Control Function (PCF). As defined in the stage 2 specifications [3], this information is used by the GGSN for:

- GPRS bearer authorisation;
- Charging correlation;
- Policy based "gating" function in GGSN;
- Control of DiffServ inter-working;
- ~~Control of RSVP admission control and inter-working.~~

The Go interface uses IP flow based policies.

The Common Open Policy Service (COPS) protocol has been developed as a protocol for use between a policy server and a network device, as described in [7].

In addition, COPS for Provisioning extensions have been developed as described in [8] with [9] describing a structure for specifying policy information that can then be transmitted to a network device for the purpose of configuring policy at that device. The model underlying this structure is one of well-defined provisioning classes and instances of these classes residing in a virtual information store called the Policy Information Base (PIB).

The Go interface shall conform to the IETF COPS [7] and the extensions of COPS-PR [8]. For the purpose of exchanging the required specific UMTS information, a COPS-PR Policy Information Based (PIB) is defined in the present document.

COPS Usage for Policy Provisioning (COPS-PR) is independent of the type of policy being provisioned (QoS, Security, etc.). In the present document, COPS-PR is used to communicate service-based local policy information between PCF and GGSN. COPS-PR can be extended to provide per-flow policy control along with a 3GPP Go Policy Information Base (PIB). The 3GPP Go PIB may inherit part of the data object definitions from the framework PIB and the DiffServ PIB defined in the IETF.

The minimum functionalities that the Go interface shall cover are introduced below.

1. Media Authorisation request from GGSN:

   The GGSN receives the binding information during the activation of a (Secondary) PDP context or during the modification of an existing PDP context that has been previously authorized by the PCF. To authorise the PDP context activation, the GGSN shall send a media authorisation request to the PCF. To authorise the PDP context modification, the GGSN shall send a media authorisation request to the PCF when the requested QoS exceeds the authorised QoS or new binding information is received.

   This authorisation request shall include the following information:

   - Binding information:

     The binding information is used by the GGSN to identify the correct PCF and subsequently request service-based local policy information from the PCF. The GGSN may receive one or more sets of the binding information during an activation or modification of a PDP context. Each binding information consists of:

     - One Authorisation token;
     - One or more Flow id(s) within the session.

   It is assumed that only one set of binding information is carried within a PDP context in this Release.

2. Media authorisation decision from PCF:

   The media authorisation information sent by the PCF to the GGSN, contains at a minimum the following information:

   - Decision on the binding information.

   The PCF shall respond with an authorisation decision for the binding information. The authorisation decision shall identify that the binding information is validated with an ongoing SIP session. Additionally, the PCF shall verify if the multiple media components are correctly assigned to the PDP Context. If validated, the PCF shall also communicate the following media authorisation details to the GGSN:

   - "Authorised QoS".

     This information is used by the GGSN to authorise the media resources according to the service-based local policy and the requested bearer QoS.

     The "Authorised QoS" for media components signalled over the Go interface is based on the SDP requirements signalled and agreed previously within SIP signalling for this session.

     The "Authorised QoS" specifies the maximum QoS that is authorised for a PDP context for that specific binding information. In case of an aggregation of multiple media components within one PDP context, the combination of the "Authorised QoS" information of the individual media components is provided as the "Authorised QoS" for the bearer.

     The "Authorised QoS" contains the following information:

     - DiffServ class:

       The DiffServ class determines the highest PHB that can be used for the media component. It is derived from the media type information of the SDP media description.

     - Data rate:

       The Data rate information is extracted from the SDP bandwidth parameter, more specifically the bandwidth value indicated by the "b=AS:" parameter. The Data rate shall include all the overhead coming from the IP-layer and the layers above, e.g. UDP, RTP. The Data rate shall also include the overhead coming from the possible usage of RTCP. The Data rate within the "Authorized QoS" information for the bearer is the combination of the data rate values of the authorised QoS of the individual media components.

   - Packet Classifier.

     The packet classifier for media components is based on the IP-address and port number information in the SDP and shall allow for all IP flows associated with the SDP media component description.

3. Charging correlation:

   The PCF shall send the ICID provided by the P-CSCF as part of the authorisation decision. The GGSN shall send the GCID of the PDP Context and the GGSN address to the PCF as part of the authorisation report.

4. Approval of QoS Commit / Removal of QoS Commit / Revoke Authorisation for GPRS and IP resources:

   The PCF controls media components and may revoke resources at any time. Approval of QoS Commit / Removal of QoS Commit / Revoke Authorisation for GPRS and IP resources is communicated by the PCF to the GGSN.

5. Indication of PDP Context Release / Modification to/from 0 kbit/s:

   The GGSN informs the PCF of bearer changes related to the authorised resources for the IMS session in the following cases:

   - Loss of radio contact (modification to/from 0 kbit/s for conversational and streaming class);

   - Deactivation of PDP context.

Next amended section

~~A.1.3 RSVP/IntServ function~~

~~Editor's Note: This clause describes the functionality of "RSVP/IntServ Function" in UE.~~

3GPP TSG-CN WG3 Meeting #24
Helsinki, Finland, 29th July – 2nd August

Tdoc N3-020727

CR-Form-v7

CHANGE REQUEST

⌘ **29.207** **CR** **017** ⌘ **rev** **2** ⌘ Current version: **5.0.0** ⌘

*For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.*

Proposed change affects: UICC apps⌘ ☐ ME ☐ Radio Access Network ☐ Core Network ☒

Title:	⌘	Message Descriptions
Source:	⌘	TSG_CN WG3
Work item code:	⌘	E2EQoS
Date:	⌘	19/07/2002
Category:	⌘	**F**
Release:	⌘	Rel-5

Use one of the following categories:
F *(correction)*
A *(corresponds to a correction in an earlier release)*
B *(addition of feature),*
C *(functional modification of feature)*
D *(editorial modification)*
Detailed explanations of the above categories can be found in 3GPP TR 21.900.

Use one of the following releases:
2 (GSM Phase 2)
R96 (Release 1996)
R97 (Release 1997)
R98 (Release 1998)
R99 (Release 1999)
Rel-4 (Release 4)
Rel-5 (Release 5)
Rel-6 (Release 6)

Reason for change:	⌘	The description of the messages for the Go interface is updated to reflect the current status of the 3GPP Go PIB.
Summary of change:	⌘	The following changes are proposed: - Only the initial Authorization_Decision includes the ICID. - Approval and removal decision was changed to Gate_Decision as the 3GPP Go PIB describes only one decision message for the gate control. - The Filter Specification description was slightly modified and moved to the Authorization_Decision and Gate_Decision paragraphs. - The term “packet classifier” was deleted from the description of the Filter Specification because there is only the need to transfer a filter specification. - Approval and removal report was changed to Gate_report corresponding to the Gate_Decision. - The description of the Remove_Decision was modified because a removal of an authorization for a client handle does not always mean a termination of the complete session. - Some editorial modifications of the text.
Consequences if not approved:	⌘	Inconsistencies between the specification and the 3GPP Go PIB.

Clauses affected:	⌘	6.3.2

Other specs affected: ⌘	Y	N	
		X	Other core specifications ⌘
		X	Test specifications
		X	O&M Specifications

Other comments: ⌘	

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at http://www.3gpp.org/specs/CR.htm. Below is a brief summary:

1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under ftp://ftp.3gpp.org/specs/ For the latest version, look for the directory name with the latest date e.g. 2001-03 contains the specifications resulting from the March 2001 TSG meetings.
3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

6.3.2 Message description

The Go interface uses the COPS-PR protocol. The following messages shall be supported:

The following events are available on the Go interface:

- Authorisation_Request (GGSN→PCF):

  This event allows the GGSN to request authorisation details from the PCF. It contains the following information:

  - Client Handle;
  - Binding Information.

  The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

- Authorisation_Decision (PCF→GGSN):

  This event provides the GGSN with the authorisation status, and relevant authorisation decision data if applicable. The event contains the following information:

  - Client Handle;
  - ICID(s) (only in the initial Authorisation_Decision);
  - Unidirectional set (this parameter shall appear once for each direction (uplink and downlink)):
    - Direction indicator;
    - "Authorised QoS";
    - Gate description ~~Packet classifiers /gate status~~ (this parameter shall appear once for each required ~~filter~~gate for this direction):
      - Filter Specification - The information about the authorised IP end points addresses and ports is detailed below. The Filter Specification parameters are:
        - Source IP address;
        - Destination IP address;
        - Source ports;
        - Destination ports;
        - Protocol ID.

        The Source and Destination ports are described with a range consisting of a minimum and maximum value. If only one port is authorised, the minimum value and maximum value of the range are identical.

        A filter specification describing more than one IP flow shall be only used in case of identical Protocol IDs, IP addresses and successive port numbers (e.g. RTP and RTCP flow of a media component). Furthermore, the gate status of all IP flows described by this filter specification shall be identical, too.
      - ~~A g~~Gate status (opened/closed) ~~is included with each packet classifier element~~.

Editor's note: The ICID issue should still be discussed in SA5.

The R-type = 0x08 for configuration request is used here and M-type = 0x02 create event state is used here.

~~- Filter Specification - The information about the authorised IP end points addresses and ports is detailed below. The Filter Specification contains packet classifiers made of packet filters that have the following data structure. The packet classifier parameters are:~~

~~- Source IP address;~~

~~- Destination IP address;~~

~~- Source ports;~~

~~- Destination ports;~~

~~- Protocol ID.~~

~~The Source and Destination ports are described with a range consisting of a minimum and maximum value. If only one port is authorised, the minimum value and maximum value of the range are identical.~~

- Authorisation_Failure (PCF→GGSN):

  This event provides the GGSN with an indication of an authorisation failure, and may carry additional reason details. The event contains the following information:

  - Client Handle;
  - Authorisation failure (including any provided reason information).

Editor's note: The R-type and M-type shall be specified.

- Gate ~~Approval d~~Decision ~~/ Removal decision~~ (PCF→GGSN):

  The Gate ~~approval d~~Decision indicates to the GGSN ~~that~~ the new status of the gate(s) established for a ~~media component(s) shall be opened~~ client handle (PDP context). The gate status ~~removal decision~~ indicates to the GGSN that the gate~~(s) for a media component(s) shall be~~ shall be opened or closed. Only the gate(s) for which the status is changed are indicated by this event. The event~~s~~ contains the following information:

  - Client Handle;
  - Unidirectional set (this parameter shall appear once for each direction for which gates are being updated (uplink and/or downlink)):
    - Direction indicator;
    - Gate description ~~Packet classifiers /gate status~~ (this parameter shall appear once for each gate to be modified for this direction):
      - Filter Specification - The information about the authorised IP end points addresses and ports is detailed below. The Filter Specification parameters are:
        - Source IP address;
        - Destination IP address;
        - Source ports;
        - Destination ports;
        - Protocol ID.

        The Source and Destination ports are described with a range consisting of a minimum and maximum value. If only one port is authorised, the minimum value and maximum value of the range are identical.

        A filter specification describing more than one IP flow shall be only used in case of identical Protocol IDs, IP addresses and successive port numbers (e.g. RTP and RTCP flow of a media component). Furthermore, the gate status of all IP flows described by this filter specification shall be identical, too.

      - ~~A g~~Gate status (opened/closed) ~~is included with each packet classifier element~~.

NOTE ~~1~~: The opening of the gate may occur at the same time / be part of the authorisation decision event.

~~NOTE 2: The closing of the gate may occur at the same time as the revoke authorisation decision event.~~

The R-type = 0x08 for configuration request is used here and M-type = 0x03 update event state is used here.

- Report (RPT)s (GGSN→PCF):
  - Authorisation_report; ~~Approval~~Gate_report~~; Removal_report~~:

    The GGSN sends a COPS RPT message back to the PCF reporting that it enforced or not the ~~a~~Authorisation_~~d~~Decision, or the ~~approval of QoS commit~~ Gate_~~d~~Decision ~~or removal of QoS commit decision~~.

    The events contain the following information:
    - Client Handle;
    - Success / Failure.
    - The Authorization_report of the initial ~~a~~Authorisation_ ~~d~~Decision includes:
      - GCID;
      - GGSN address.
  - Report of state changes:

    The GGSN sends the report of state change message to the PCF reporting that the maximum bit rate for the PDP context is modified to 0 kbit/s or that the maximum bit rate for the PDP context is changed from 0 kbit/s.

    The event contains the following information:
    - Client Handle;
    - Maximum bit rate (set to 0kbps / changed from 0 kbps).
- Delete request state (GGSN→PCF):

  The GGSN informs the PCF via the delete request state message, that the PDP context is deactivated and the request state identified by the client handle is no longer available/relevant at the GGSN, so the corresponding state shall also be removed at the PCF.

  The DRQ message includes the reason why the request state was deleted.

  The event~~s~~ contain~~s~~ the following information:
  - Client Handle;
  - Reason code: "Tear", Sub-code: deactivation of the PDP context.
- Remove_Decision (PCF→GGSN):

  The PCF uses the Remove_Decision to inform the GGSN that ~~the SIP session is terminated and~~ the PCF revokes the authorized resources for the client handle (PDP context).

  The event~~s~~ contain~~s~~ the following information:
  - Client Handle.