

**3GPP TSG CN Plenary Meeting #14
Kyoto, JAPAN, 12th-14th December 2001**

NP-010696

Source: Lucent Technologies
Title: CR on R99 GTP Enhancement
Agenda item: 7.13
Document for: APPROVAL

Introduction:

This document contains 1 mirror (Rel-4) CR on R99 Work Item "GTP Enhancement". R99 CR 29.060-275r1 is included in NP-010610.

Spec	CR	Rev	Doc-2nd-Level	Phase	Subject	Cat	Ver_C
29.060	283		-	Rel-4	Clarification on the handling of protocol configuration options IE	A	4.2.0

CHANGE REQUEST

⌘ **29.060 CR 283** ⌘ rev **-** ⌘ Current version: **4.2.0** ⌘

For **HELP** on using this form, see bottom of this page or look at the pop-up text over the ⌘ symbols.

Proposed change affects: ⌘ (U)SIM ME/UE Radio Access Network Core Network

Title:	⌘ Clarification on the handling of protocol configuration options IE		
Source:	⌘ Lucent Technologies		
Work item code:	⌘ GTP enhancements	Date:	⌘ 12 th Dec 2001
Category:	⌘ A	Release:	⌘ R4
Use <u>one</u> of the following categories: F (correction) A (corresponds to a correction in an earlier release) B (Addition of feature), C (Functional modification of feature) D (Editorial modification) Detailed explanations of the above categories can be found in 3GPP TR 21.900.		Use <u>one</u> of the following releases: 2 (GSM Phase 2) R96 (Release 1996) R97 (Release 1997) R98 (Release 1998) R99 (Release 1999) REL-4 (Release 4) REL-5 (Release 5)	

Reason for change:	⌘ The protocol configuration options IE (PCO IE) is currently unclearly specified		
Summary of change:	⌘ It is clarified what is the condition under which it has to be included in the create PDP context Request. Besides, It is clarifies that the GTP level and PCO carried information shall not conflict, since otherwise there is no safe way to determine whether the contradictory information is due to error or deliberate choice.		
Consequences if not approved:	⌘ There would be interoperability problems and reasons of ambiguity in GTP signalling handling.		

Clauses affected:	⌘ 2; 7.3.1; 7.3.2		
Other specs affected:	⌘ <input type="checkbox"/> Other core specifications <input type="checkbox"/> Test specifications <input type="checkbox"/> O&M Specifications	⌘	
Other comments:	⌘ Mirror of R99 CR 29.060-275r1 approved in 3GPP TSG CN WG4#11		

How to create CRs using this form:

Comprehensive information and tips about how to create CRs can be found at: http://www.3gpp.org/3G_Specs/CRs.htm. Below is a brief summary:

- 1) Fill out the above form. The symbols above marked ⌘ contain pop-up help information about the field that they are closest to.
- 2) Obtain the latest version for the release of the specification to which the change is proposed. Use the MS Word "revision marks" feature (also known as "track changes") when making the changes. All 3GPP specifications can be downloaded from the 3GPP server under <ftp://www.3gpp.org/specs/> For the latest version, look for the directory name with the latest date e.g. 2000-09 contains the specifications resulting from the September 2000 TSG meetings.
- 3) With "track changes" disabled, paste the entire CR form (use CTRL-A to select it) into the specification just in front of the clause containing the first piece of changed text. Delete those parts of the specification which are not relevant to the change request.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "3G Vocabulary".
- [2] 3GPP TS 23.003: "Numbering, addressing and identification".
- [3] 3GPP TS 23.007: "Restoration Procedures".
- [4] 3GPP TS 23.060: "General Packet Radio Service (GPRS); Service Description; Stage 2".
- [5] 3GPP TS 24.008: "Mobile Radio Interface Layer 3 specification; Core Network Protocols-Stage 3".
- [6] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".
- [7] 3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".
- [8] 3GPP TS 33.102: "Security Architecture".
- [9] GSM 03.20: "Digital cellular telecommunications system (Phase 2+); Security related network functions".
- [10] GSM 03.64: "Digital cellular telecommunications system (Phase 2+); General Packet Radio Service (GPRS); Overall description of the GPRS Radio Interface; Stage 2".
- [11] GSM 04.64: "Digital cellular telecommunications system (Phase 2+); Mobile Station - Serving GPRS Support Node (MS-SGSN) Logical Link Control (LLC) Layer Specification".
- [12] STD 0005: "Internet Protocol", J. Postel.
- [13] STD 0006: "User Datagram Protocol", J. Postel.
- [14] RFC 1700: "Assigned Numbers", J. Reynolds and J. Postel.
- [15] RFC 2181: "Clarifications to the DNS Specification", R. Elz and R. Bush.
- [16] 3GPP TS 23.007: "Restoration Procedures".
- [17] 3GPP TS 23.121: "Architectural Requirements for Release 1999".

7.3.1 Create PDP Context Request

A Create PDP Context Request shall be sent from a SGSN node to a GGSN node as a part of the GPRS PDP Context Activation procedure. After sending the Create PDP Context Request message, the SGSN marks the PDP context as 'waiting for response'. In this state the SGSN shall accept G-PDUs from the GGSN but shall not send these G-PDUs to the MS. A valid request initiates the creation of a tunnel between a PDP Context in a SGSN and a PDP Context in a GGSN. If the procedure is not successfully completed, the SGSN repeats the Create PDP Context Request message to the next GGSN address in the list of IP addresses, if there is one. If the list is exhausted the activation procedure fails.

The Tunnel Endpoint Identifier Data I field specifies a downlink Tunnel Endpoint Identifier for G-PDUs which is chosen by the SGSN. The GGSN shall include this Tunnel Endpoint Identifier in the GTP header of all subsequent downlink G-PDUs which are related to the requested PDP context.

The Tunnel Endpoint Identifier Control Plane field specifies a downlink Tunnel Endpoint Identifier for control plane messages which is chosen by the SGSN. The GGSN shall include this Tunnel Endpoint Identifier in the GTP header of all subsequent downlink control plane messages which are related to the requested PDP context. If the SGSN has already confirmed successful assignment of its Tunnel Endpoint Identifier Control Plane to the peer GGSN, this field shall not be present. The SGSN confirms successful assignment of its Tunnel Endpoint Identifier Control Plane to the GGSN when it receives any message with its assigned Tunnel Endpoint Identifier Control Plane in the GTP header from the GGSN.

The MSISDN of the MS is passed to the GGSN inside the Create PDP Context Request; This additional information can be used when a secure access to a remote application residing on a server is needed. The GGSN would be in fact able to provide the user identity (i. e. the MSISDN) to the remote application server, providing it with the level of trust granted to users through successfully performing the GPRS authentication procedures, without having to re-authenticate the user at the application level.

If the MS requests a dynamic PDP address and a dynamic PDP address is allowed, then the PDP Address field in the End User Address information element shall be empty. If the MS requests a static PDP Address then the PDP Address field in the End User Address information element shall contain the static PDP Address. In case the PDP addresses carried in the End User Address and optionally in the Protocol Configuration Option information element contain contradicting information, the PDP address carried in the End User Address information element takes the higher precedence. The Quality of Service Profile information element shall be the QoS values to be negotiated between the MS and the SGSN at PDP Context activation.

The SGSN shall include an SGSN Address for control plane and an SGSN address for user traffic, which may differ from that provided by the underlying network service (e.g. IP). The GGSN shall store these SGSN Addresses and use them when sending control plane on this GTP tunnel or G-PDUs to the SGSN for the MS.

The SGSN shall include a Recovery information element into the Create PDP Context Request if the SGSN is in contact with the GGSN for the very first time or if the SGSN has restarted recently and the new Restart Counter value has not yet been indicated to the GGSN. The GGSN that receives a Recovery information element in the Create PDP Context Request message element shall handle it in the same way as when receiving an Echo Response message. The Create PDP Context Request message shall be considered as a valid activation request for the PDP context included in the message.

The SGSN shall include either the MS provided APN, a subscribed APN or an SGSN selected APN in the message; the Access Point Name may be used by the GGSN to differentiate accesses to different external networks. The Selection Mode information element shall indicate the origin of the APN in the message.

For contexts created by the Secondary PDP Context Activation Procedure the SGSN shall include the linked NSAPI. Linked NSAPI indicates the NSAPI assigned to any one of the already activated PDP contexts for this PDP address and APN.

The Secondary PDP Context Activation Procedure may be executed without providing a Traffic Flow Template (TFT) to the newly activated PDP context if all other active PDP contexts for this PDP address and APN already have an associated TFT, otherwise a TFT shall be provided. TFT is used for packet filtering in the GGSN.

When using the Secondary PDP Context Activation Procedure, the Selection mode, IMSI, MSISDN, End User Address, Access Point Name and Protocol Configuration Options information elements shall not be included in the message.

The optional-Protocol Configuration Options information element is applicable for the end-user protocol PDP type 'IP' only. The SGSN includes this IE in the Create PDP Context Request if the associated Activate PDP Context Request from the MS includes protocol configuration options. The SGSN shall copy the content of this IE transparently from the content of the PCO IE in the Activate PDP Context Request message.

The SGSN shall select one GGSN based on the user provided or SGSN selected APN. The GGSN may have a logical name that is converted to an address. The conversion may be performed with any name-to-address function. The converted address shall be stored in the "GGSN Address in Use" field in the PDP context and be used during the entire lifetime of the PDP context.

NOTE: A DNS query may be used as the name-to-IP address mapping of the GGSN. The IP address returned in the DNS response is then stored in the "GGSN Address in Use" field in the PDP context.

The IMSI information element together with the NSAPI information element uniquely identifies the PDP context to be created.

The SGSN may send a Create PDP Context Request even if the PDP context is already active.

The GGSN shall check if the PDP context already exists for the MS. The existing parameters in the PDP context shall then be replaced with the parameters in the Create PDP Context Request message. If a dynamic PDP address has already been allocated for the existing context, this address should be used and copied to the Create PDP Context Response message.

If the GGSN uses the MNRG flag and the flag is set, the GGSN should treat the Create PDP Context Request as a Note MS Present Request and clear the MNRG flag.

The SGSN shall determine Charging Characteristics from the Subscribed Charging Characteristics and/or PDP Context Charging Characteristics depending on the presence of the information in the Packet Domain Subscription Data as defined in 3G TS 23.060 [4].

The SGSN shall include Trace Reference, Trace Type, Trigger Id, and OMC Identity in the message if GGSN trace is activated. The SGSN shall copy Trace Reference, Trace Type, and OMC Identity from the trace request received from the HLR or OMC.

The optional Private Extension contains vendor or operator specific information.

Table 5: Information Elements in a Create PDP Context Request

Information element	Presence requirement	Reference
IMSI	Conditional	7.7.2
Recovery	Optional	7.7.11
Selection mode	Conditional	7.7.12
Tunnel Endpoint Identifier Data I	Mandatory	7.7.13
Tunnel Endpoint Identifier Control Plane	Conditional	7.7.14
NSAPI	Mandatory	7.7.17
Linked NSAPI	Conditional	7.7.17
Charging Characteristics	Optional	7.7.23
Trace Reference	Optional	7.7.24
Trace Type	Optional	7.7.25
End User Address	Conditional	7.7.27
Access Point Name	Conditional	7.7.30
Protocol Configuration Options	Conditional	7.7.31
SGSN Address for signalling	Mandatory	GSN Address 7.7.32
SGSN Address for user traffic	Mandatory	GSN Address 7.7.32
MSISDN	Conditional	7.7.33
Quality of Service Profile	Mandatory	7.7.34
TFT	Conditional	7.7.36
Trigger Id	Optional	7.7.41
OMC Identity	Optional	7.7.42
Private Extension	Optional	7.7.44

7.3.2 Create PDP Context Response

The message shall be sent from a GGSN node to a SGSN node as a response of a Create PDP Context Request. When the SGSN receives a Create PDP Context Response with the Cause value indicating 'Request Accepted', the SGSN activates the PDP context and may start to forward T-PDUs to/from the MS from/to the external data network.

The Cause value indicates if a PDP context has been created in the GGSN or not. A PDP context has not been created in the GGSN if the Cause differs from 'Request accepted'. Possible Cause values are:

- "Request Accepted".
- "No resources available".
- "All dynamic PDP addresses are occupied".
- "No memory is available".
- "Missing or unknown APN".

- "Unknown PDP address or PDP type".
- "User authentication failed".
- "System failure".
- "Semantic error in the TFT operation".
- "Syntactic error in the TFT operation".
- "Semantic errors in packet filter(s)".
- "Syntactic errors in packet filters(s)".
- "Mandatory IE incorrect".
- "Mandatory IE missing".
- "Optional IE incorrect".
- "Invalid message format".

'No resources available' indicates e.g. that all dynamic PDP addresses are occupied or no memory is available. 'Missing or unknown APN' indicates e.g. when the GGSN does not support the Access Point Name. 'Unknown PDP address or PDP type' indicates e.g. when the GGSN does not support the PDP type or the PDP address. 'User authentication failed' indicates that the external packet network has rejected the service requested by the user.

Only the Cause information element, optionally Protocol Configuration Options and optionally the Recovery information element shall be included in the response if the Cause contains another value than 'Request accepted'.

All information elements, except Recovery, Protocol Configuration Options, Charging Gateway Address and Private Extension, are mandatory if the Cause contains the value 'Request accepted'.

The Tunnel Endpoint Identifier for Data (I) field specifies an uplink Tunnel Endpoint Identifier for G-PDUs that is chosen by the GGSN. The SGSN shall include this Tunnel Endpoint Identifier in the GTP header of all subsequent uplink G-PDUs which are related to the requested PDP context.

The Tunnel Endpoint Identifier Control Plane field specifies an uplink Tunnel Endpoint Identifier for control plane messages, which is chosen by the GGSN. The SGSN shall include this Tunnel Endpoint Identifier in the GTP header of all subsequent uplink-control plane messages, which are related to the requested PDP context. If the GGSN has already confirmed successful assignment of its Tunnel Endpoint Identifier Control Plane to the peer SGSN, this field shall not be present. The GGSN confirms successful assignment of its Tunnel Endpoint Identifier Control Plane to the SGSN when it receives any message with its assigned Tunnel Endpoint Identifier Control Plane in the GTP header from the SGSN.

The GGSN shall include a GGSN Address for control plane and a GGSN address for user traffic, which may differ from that provided by the underlying network service (e.g. IP). The SGSN shall store these GGSN Addresses and use them when sending control plane on this GTP tunnel or G-PDUs to the GGSN for the MS.

If the MS requests a dynamic PDP address with the PDP Type IPv4 or IPv6 and a dynamic PDP address is allowed, then the End User Address information element shall be included and the PDP Address field in the End User Address information element shall contain the dynamic PDP Address allocated by the GGSN. If the MS requests a static PDP address with the PDP Type IPv4 or IPv6, or a PDP address is specified with PDP Type PPP, then the End User Address information element shall not be included. ~~In case the PDP addresses carried in the End User Address and optionally in the Protocol Configuration Option information element contain contradicting information, the PDP address carried in the End User Address information element takes the higher precedence. The PDP address in End User Address IE and in the Protocol configuration options IE shall be the same, if both IEs are present in the create PDP context response.~~

The QoS values supplied in the Create PDP Context Request may be negotiated downwards by the GGSN. The negotiated values or the original values from SGSN are inserted in the Quality of Service Profile information element of the Create PDP Context Response message.

The GGSN may start to forward T-PDUs after the Create PDP Context Response has been sent. The SGSN may start to forward T-PDUs when the Create PDP Context Response has been received. In this case the SGSN shall also be prepared to receive T-PDUs from the GGSN after it has sent a Create PDP Context Request but before a Create PDP Context Response has been received.

The Reordering Required value supplied in the Create PDP Context Response indicates whether the end user protocol benefits from packet in sequence delivery and whether the SGSN and the GGSN therefore shall perform reordering or not. In other words, if reordering is required by the GGSN, the SGSN and the GGSN shall perform reordering of incoming T-PDUs on this path. When the Quality of Service (QoS) Profile is Release 99 the receiving entity shall ignore the Reordering Required.

The GGSN shall include the Recovery information element into the Create PDP Context Response if the GGSN is in contact with the SGSN for the first time or the GGSN has restarted recently and the new Restart Counter value has not yet been indicated to the SGSN. The SGSN receiving the Recovery information element shall handle it as when an Echo Response message is received but shall consider the PDP context being created as active if the response indicates successful context activation at the GGSN.

The Charging ID is used to identify all charging records produced in SGSN(s) and the GGSN for this PDP context. The Charging ID is generated by the GGSN and shall be unique within the GGSN.

The Charging Gateway Address is the IP address of the recommended Charging Gateway Functionality to which the SGSN should transfer the Charging Detail Records (CDR) for this PDP Context.

The optional Private Extension contains vendor or operator specific information.

Table 6: Information Elements in a Create PDP Context Response

Information element	Presence requirement	Reference
Cause	Mandatory	7.7.1
Reordering required	Conditional	7.7.6
Recovery	Optional	7.7.11
Tunnel Endpoint Identifier Data I	Conditional	7.7.13
Tunnel Endpoint Identifier Control Plane	Conditional	7.7.14
Charging ID	Conditional	7.7.26
End User Address	Conditional	7.7.27
Protocol Configuration Options	Optional	7.7.31
GGSN Address for Control Plane	Conditional	GSN Address 7.7.32
GGSN Address for user traffic	Conditional	GSN Address 7.7.32
Quality of Service Profile	Conditional	7.7.34
Charging Gateway Address	Optional	7.7.43
Private Extension	Optional	7.7.44