**3GPP TSG-RAN WG3 Meeting #122 *R3-237975***

**,**

**Agenda item: 13.2**

**Source: Nokia, Nokia Shanghai Bell**

**Title: (TP for Mobile IAB TS38.423 BL CR) Add Mobile IAB Authorized Status IE in the XnAP Retrieve UE Context procedure**

**Document for: Discussion and Decision**

# 1 Discussion

This TP is to capture following agreements:

**Add mIAB authorization status indicator in mIAB-MT’s Xn Context Retrieve Response message analogue to Xn HO Request message. It needs to be further discussed whether to include the indicator in the IAB Transport Management Response message.**

**Use the content of the TP to 38.423 in R3-237431 as a baseline for stage 3 for previous agreement.**

Annex A – TP for TS38.423 BL CR

<<<<<<<<<<<<<<<<<<<< Start of Changes >>>>>>>>>>>>>>>>>>>>

### 8.2.4 Retrieve UE Context

#### 8.2.4.1 General

The purpose of the Retrieve UE Context procedure is to either retrieve the UE context from the old NG-RAN node and transfer it to the NG-RAN node where the UE RRC Connection has been requested to be established, or to enable the old NG-RAN node to forward an RRC message to the UE via the new NG-RAN node without context transfer, or to request for small data transmission.

The procedure uses UE-associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: Retrieve UE Context, successful operation

The new NG-RAN node initiates the procedure by sending the RETRIEVE UE CONTEXT REQUEST message to the old NG-RAN node.

If the old NG-RAN node is able to identify the UE context by means of the UE Context ID, and to successfully verify the UE by means of the integrity protection contained in the RETRIEVE UE CONTEXT REQUEST message, and decides to provide the UE context to the new NG-RAN node, it shall respond to the new NG-RAN node with the RETRIEVE UE CONTEXT RESPONSE message.

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, initiate the requested trace function as specified in TS 32.422 [23].

If the *Index to RAT/Frequency Selection Priority* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall store this information and use it as defined in TS 23.501 [7].

If the *Location Reporting Information* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, then the new NG-RAN node should initiate the requested location reporting functionality as defined in TS 38.413 [5].

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the new NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the new NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the new NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the new NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the new NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the new NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* and if the new NG-RAN node is a gNB receiving a *MDT Configuration-EUTRA* IE, or the target NG-RAN node is a ng-eNB receiving a *MDT Configuration-NR* IE, the new NG-RAN node shall store it as part of the UE context, and use it as described in TS 37.320 [43].

If the *Area Scope* IE is not present in the *MDT Configuration* IE, the new NG-RAN node shall consider that the MDT Configuration is applied to all PLMNs indicated in the MDT PLMN List, as described in TS 32.422 [23].

For each QoS flow in the RETRIEVE UE CONTEXT RESPONSE message, if the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and shall, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and shall, if supported, use it for RAN part delay reporting.

If the *5GC Mobility Restriction List Container* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store this information in the UE context and use it as specified in TS 38.300 [9].

V2X:

- If the *NR V2X Services Authorized* IE is included in the RETRIEVE UE CONTEXT RESPONSE message and it contains one or more IEs set to "authorized", the new NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

- If the *LTE V2X Services Authorized* IE is included in the RETRIEVE UE CONTEXT RESPONSE message and it contains one or more IEs set to "authorized", the new NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

- If the *NR UE Sidelink Aggregate Maximum Bit Rate* IE is included in the *UE Context Information Retrieve UE Context Response* IE in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR V2X services.

- If the *LTE UE Sidelink Aggregate Maximum Bit Rate* IE is included in the *UE Context Information Retrieve UE Context Response* IE in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for LTE V2X services.

5G ProSe:

- If the *5G ProSe Authorized* IE is included in the RETRIEVE UE CONTEXT RESPONSE message and it contains one or more IEs set to "authorized", the new NG-RAN node shall, if supported, consider that the UE is authorized for the relevant service(s).

- If the *5G ProSe UE PC5 Aggregate Maximum Bit Rate* IE is included in the *UE Context Information - Retrieve UE Context Response* IE in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use the received value for the concerned UE’s sidelink communication in network scheduled mode for 5G ProSe services.

- If the 5G ProSe PC5 QoS Parameters IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use it as defined in TS 23.304 [48].

If the *PC5 QoS Parameters* IE is included in theRETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use it as defined in TS 23.287[38].

In case of RRC Re-establishment, the old NG-RAN may include the *UE History Information* IE or the *UE History Information from the UE* IE in the RETRIEVE UE CONTEXT RESPONSE message. Upon reception of the *UE History Information* IE or the *UE History Information from the UE* IE in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store the collected information and use it for future handover preparations.

If the *UE Radio Capability ID* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG- RAN node shall, if supported store this information in the UE context and use it as defined in TS 23.501 [7] and TS 23.502 [13].

If the *Management Based MDT PLMN List* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store it in the UE context, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [23].

If the *MBS Session Information List* IE is included in the *UE Context Information – Retrieve UE Context Response* IE contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, use this information to establish an NG-RAN MBS session resources context, if applicable.

If the RETRIEVE UE CONTEXT RESPONSE message includes the *MBS Area Session ID* IE, the new NG-RAN node shall, if supported, use this information as an indication in which MBS Area Session ID the UE has been suspended. For each MBS session for which the *Active MBS Session Information* IE is included in the *MBS Session Information Item* IE, the new NG-RAN node shall, if supported, use this information to setup respective MBS session resources. The new NG-RAN node shall, if supported, consider that the MBS sessions for which the *Active MBS Session Information* IE is not included are inactive.

If the *IAB Node Indication* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, consider that the procedure is performed for an IAB-node. In addition:

- If the *No PDU Session Indication* IE is contained in the *UE Context Information – Retrieve UE Context Response* IE of the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, consider the UE as an IAB-node which does not have any PDU sessions activated, and ignore the *PDU Session Resources To Be Setup List* IE in the *UE Context Information – Retrieve UE Context Response* IE, and shall not take any action with respect to PDU session setup.

If the *Time Synchronisation Assistance Information* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store this information in the UE context and use it as defined in TS 23.501 [7].

If the *QMC Configuration Information* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, take it into account for QoE measurements handling, as described in TS 38.300 [9].

If the *SDT Support Request* IE is included in the RETRIEVE UE CONTEXT REQUEST message, the old NG-RAN node shall, if supported, consider that the UE has requested for SDT as defined in TS 38.300 [9].

If the *UE Slice-Maximum Bit Rate List* IE is contained in RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store the received UE Slice Maximum Bit Rate List in the UE context, and use the received UE Slice Maximum Bit Rate value for each S-NSSAI for the concerned UE as specified in TS 23.501 [7].

If the *Positioning Information* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, take it into account to allocate proper SRS resources and make corresponding response to LMF when positioning a UE.

If the UE is a mobile IAB node, the old NG-RAN node shall include the *Mobile* *IAB Authorization Status* IE in the RETRIEVE UE CONTEXT RESPONSE message. If the *Mobile* *IAB Authorization Status* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store the received Mobile IAB Authorization status information in the UE context and consider that the UE RRC Connection has been requested to be established is for a mobile IAB node.

**Interaction with the Retrieve UE Context Confirm procedure**

If the *UE Context Reference at the S-NG-RAN* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node may use it to establish dual connectivity with the S-NG-RAN node and shall trigger the Retrieve UE Context Confirm procedure to the old NG-RAN node when the UE successfully resumes on the new NG-RAN node.

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

#### 9.1.1.9 RETRIEVE UE CONTEXT RESPONSE

This message is sent by the old NG-RAN node to transfer the UE context to the new NG-RAN node.

Direction: old NG-RAN node → new NG-RAN node.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.2.3.1 |  | YES | reject |
| New NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the new NG-RAN node | YES | ignore |
| Old NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID 9.2.3.16 | Allocated at the old NG-RAN node | YES | ignore |
| GUAMI | M |  | 9.2.3.24 |  | YES | reject |
| UE Context Information – Retrieve UE Context Response | M |  | 9.2.1.13 |  | YES | reject |
| Trace Activation | O |  | 9.2.3.55 |  | YES | ignore |
| Masked IMEISV | O |  | 9.2.3.32 |  | YES | ignore |
| Location Reporting Information | O |  | 9.2.3.47 | Includes the necessary parameters for location reporting. | YES | ignore |
| Criticality Diagnostics | O |  | 9.2.3.3 |  | YES | ignore |
| NR V2X Services Authorized | O |  | 9.2.3.105 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.2.3.106 |  | YES | ignore |
| PC5 QoS Parameters | O |  | 9.2.3.109 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| UE History Information | O |  | 9.2.3.64 |  | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| ManagementBasedMDT PLMN List | O |  | MDT PLMN List  9.2.3.133 |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| **UE Context Reference at the S-NG-RAN node** | O |  |  |  | YES | ignore |
| >Global NG-RAN Node ID | M |  | 9.2.2.3 |  | – |  |
| >S-NG-RAN node UE XnAP ID | M |  | NG-RAN node UE XnAP ID  9.2.3.16 |  | – |  |
| Time Synchronisation Assistance Information | O |  | 9.2.3.153 |  | YES | ignore |
| QMC Configuration Information | O |  | 9.2.3.156 |  | YES | ignore |
| 5G ProSe Authorized | O |  | 9.2.3.159 |  | YES | ignore |
| 5G ProSe PC5 QoS Parameters | O |  | 9.2.3.160 | This IE applies only if the UE is authorized for 5G ProSe services. | YES | ignore |
| Mobile IAB Authorization Status | O |  | ENUMERATED(authorized, not authorized, ...) | This IE indicates the authorization status of the mobile IAB node. | YES | ignore |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>

### 9.3.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- PDU definitions for XnAP.

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) xnap (2) version1 (1) xnap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

<<<<<<<<<<<<<<<<<<<< Unaffected part is skipped >>>>>>>>>>>>>>>>>>>>

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- RETRIEVE UE CONTEXT RESPONSE

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

RetrieveUEContextResponse ::= SEQUENCE {

protocolIEs ProtocolIE-Container {{ RetrieveUEContextResponse-IEs}},

...

}

RetrieveUEContextResponse-IEs XNAP-PROTOCOL-IES ::= {

{ ID id-newNG-RANnodeUEXnAPID CRITICALITY ignore TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-oldNG-RANnodeUEXnAPID CRITICALITY ignore TYPE NG-RANnodeUEXnAPID PRESENCE mandatory}|

{ ID id-GUAMI CRITICALITY reject TYPE GUAMI PRESENCE mandatory}|

{ ID id-UEContextInfoRetrUECtxtResp CRITICALITY reject TYPE UEContextInfoRetrUECtxtResp PRESENCE mandatory}|

{ ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

{ ID id-MaskedIMEISV CRITICALITY ignore TYPE MaskedIMEISV PRESENCE optional }|

{ ID id-LocationReportingInformation CRITICALITY ignore TYPE LocationReportingInformation PRESENCE optional }|

{ ID id-CriticalityDiagnostics CRITICALITY ignore TYPE CriticalityDiagnostics PRESENCE optional }|

{ ID id-NRV2XServicesAuthorized CRITICALITY ignore TYPE NRV2XServicesAuthorized PRESENCE optional }|

{ ID id-LTEV2XServicesAuthorized CRITICALITY ignore TYPE LTEV2XServicesAuthorized PRESENCE optional }|

{ ID id-PC5QoSParameters CRITICALITY ignore TYPE PC5QoSParameters PRESENCE optional }|

{ ID id-UEHistoryInformation CRITICALITY ignore TYPE UEHistoryInformation PRESENCE optional }|

{ ID id-UEHistoryInformationFromTheUE CRITICALITY ignore TYPE UEHistoryInformationFromTheUE PRESENCE optional }|

{ ID id-MDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional }|

{ ID id-IABNodeIndication CRITICALITY reject TYPE IABNodeIndication PRESENCE optional }|

{ ID id-UEContextRefAtSN-HORequest CRITICALITY ignore TYPE UEContextRefAtSN-HORequest PRESENCE optional }|

{ ID id-TimeSynchronizationAssistanceInformation CRITICALITY ignore TYPE TimeSynchronizationAssistanceInformation PRESENCE optional }|

{ ID id-QMCConfigInfo CRITICALITY ignore TYPE QMCConfigInfo PRESENCE optional }|

{ ID id-FiveGProSeAuthorized CRITICALITY ignore TYPE FiveGProSeAuthorized PRESENCE optional }|

{ ID id-FiveGProSePC5QoSParameters CRITICALITY ignore TYPE FiveGProSePC5QoSParameters PRESENCE optional }|

{ ID id-MobileIAB-AuthorizationStatus CRITICALITY ignore TYPE MobileIAB-AuthorizationStatus PRESENCE optional },

...

}

<<<<<<<<<<<<<<<<<<<< End of Changes >>>>>>>>>>>>>>>>>>>>