**3GPP TSG-RAN3 Meeting #120R3-233427**

**Incheon, KR, 22nd – 26th May, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.473** | **CR** | **1175** | **rev** | **1** | **Current version:** | **17.4.1** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction on IAB bar configuration | | | | | | | | | |
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| ***Source to WG:*** | Huawei, Nokia, Nokia Shanghai Bell, Lenovo, Xiaomi, CATT, Ericsson, Qualcomm, Samsung | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IAB-Core | | | | |  | ***Date:*** | | | 2023-05-22 |
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| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | The IAB Barred IE is lacking a clear correspondance to information provided in the *SIB1* message. In case of RAN sharing scenarios, the corresponding *iab-Support* as defined in TS 38.331 may correspond to more than one PLMN/NPN as it may be associated to a *CellIdentifier* associated with multiple PLMNs/NPNs.  See the defintion of the *iab-Support* and how it is encoded in RRC below:  *This field combines both the support of IAB and the cell status for IAB. If the field is present, the cell supports IAB and the cell is also considered as a candidate for cell (re)selection for IAB-nodes; if the field is absent, the cell does not support IAB and/or the cell is barred for IAB-node.*  And the iab-Support in SIB1 is provided per PLMN/NPN according to TS38.331:  ***PLMN-IdentityInfoList* information element**  -- ASN1START  -- TAG-PLMN-IDENTITYINFOLIST-START  PLMN-IdentityInfoList ::= SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-IdentityInfo  PLMN-IdentityInfo ::= SEQUENCE {  plmn-IdentityList SEQUENCE (SIZE (1..maxPLMN)) OF PLMN-Identity,  trackingAreaCode TrackingAreaCode OPTIONAL, -- Need R  ranac RAN-AreaCode OPTIONAL, -- Need R  cellIdentity CellIdentity,  cellReservedForOperatorUse ENUMERATED {reserved, notReserved},  ...,  [[  iab-Support-r16 ENUMERATED {true} OPTIONAL -- Need S  ]],  [[  trackingAreaList-r17 SEQUENCE (SIZE (1..maxTAC-r17)) OF TrackingAreaCode OPTIONAL, -- Need R  gNB-ID-Length-r17 INTEGER (22..32) OPTIONAL -- Need R  ]]  }  -- TAG-PLMN-IDENTITYINFOLIST-STOP  -- ASN1STOP    In addition, the IAB Barred IE in F1AP is configured per NR CGI, so this IE should be applied to all the PLMN/NPNs associated with the NR CGI, rather than the “whole cell” if the cell has multiple NR CGIs. | | | | | | | | |
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| ***Summary of change:*** | | Include semantics description for the *IAB Barred* IE in the tabular specification of the GNB-CU CONFIGURATION UPDATE message, and update the procedure text related to the *IAB Barred* IE to indicate that the gNB-DU should consider the IAB Barred IE is applicable for all the PLMN/NPNs in the cell related to the NR CGI.  **Impact analysis**  Impact assessment towards the previous version of the specification (same release):  This CR has isolated impact with the previous version of the specification (same release).  This CR has impact on the functional specification of the IAB Barred IE provided from CU to DU.  The impact can be considered isolated because the change affects only the configuration of a cell with IAB Barred information. | | | | | | | | |
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| ***Consequences if not approved:*** | | If a cell supports multiple PLMN/NPNs, it is unclear how the information provided in the F1AP IAB Barred IE corresponds to information provided in the SIB1 of gNB-DU’s cell. | | | | | | | | |
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| ***Clauses affected:*** | | 8.2.5.2, 9.2.1.10 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | | R17 mirror is against the TS38.473 in CR1174 | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev 1: Add semantics description for the *IAB Barred* IE and rewording the previous change in the procedure description. | | | | | | | | |

*Start of Change*

#### 8.2.5.2 Successful Operation



Figure 8.2.5.2-1: gNB-CU Configuration Update procedure: Successful Operation

The gNB-CU initiates the procedure by sending a GNB-CU CONFIGURATION UPDATE message including the appropriate updated configuration data to the gNB-DU. The gNB-DU responds with a GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message to acknowledge that it successfully updated the configuration data. If an information element is not included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall interpret that the corresponding configuration data is not changed and shall continue to operate the F1-C interface with the existing related configuration data.

The updated configuration data shall be stored in the respective node and used as long as there is an operational TNL association or until any further update is performed.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall activate the cell indicated by *NR CGI* IE and reconfigure the physical cell identity for which the *NR PCI* IE is included.

If *Cells to be Deactivated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall deactivate the cell indicated by *NR CGI* IE.

If *Cells to be Activated List Item* IE is contained in the GNB-CU CONFIGURATION UPDATE message and the indicated cells are already activated, the gNB-DU shall update the cell information received in *Cells to be Activated List Item* IE.

If *Cells to be Activated List Item* IE is included in the GNB-CU CONFIGURATION UPDATE message, and the information for the cell indicated by the *NR CGI* IE includes the *IAB Info IAB-donor-CU* IE, the gNB-DU shall, if supported, apply the *IAB STC Info* IE therein to the indicated cell.

If the *gNB-CU System Information* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall include the *Dedicated SI Delivery Needed UE List* IE in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message for UEs that are unable to receive system information from broadcast.

If *Dedicated SI Delivery Needed UE List* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU should take it into account when informing the UE of the updated system information via the dedicated RRC message.

If the *gNB-CU TNL Association To Add List* IE is contained in the gNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, use it to establish the TNL association(s) with the gNB-CU. The gNB-DU shall report to the gNB-CU, in the gNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the successful establishment of the TNL association(s) with the gNB-CU as follows:

- A list of TNL address(es) with which the gNB-DU successfully established the TNL association shall be included in the gNB-CU *TNL Association Setup List* IE;

- A list of TNL address(es) with which the gNB-DU failed to establish the TNL association shall be included in the *gNB-CU TNL Association Failed To Setup List* IE.

If the GNB-CU CONFIGURATION UPDATE message includes *gNB-CU TNL Association To Remove List* IE, and the *Endpoint IP address* IE and the *Port Number* IE for both TNL endpoints of the TNL association(s) are included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by both received TNL endpoints towards the gNB-CU. If the *Endpoint IP address* IE, or the *Endpoint IP address* IE and the *Port Number* IE for one or both of the TNL endpoints is included in the *gNB-CU TNL Association To Remove List* IE, the gNB-DU shall, if supported, initiate removal of the TNL association(s) indicated by the received endpoint IP address(es).

If the *gNB-CU TNL Association To Update List* IE is contained in the gNB-CU CONFIGURATION UPDATE message the gNB-DU shall, if supported, overwrite the previously stored information for the related TNL Association(s).

If in the gNB-CU CONFIGURATION UPDATE message the *TNL* *Association usage* IE is included in the *gNB-CU TNL Association To Add List* IE or the *gNB-CU TNL Association To Update List* IE, the gNB-DU node shall, if supported, use it as described in TS 38.472 [22].

For NG-RAN, the gNB-CU shall include the *gNB-CU System Information* IE in the GNB-CU CONFIGURATION UPDATE message. The *SIB type to Be Updated List* IE shall contain the full list of SIBs to be broadcast*.*

If *Protected E-UTRA Resources List* IE is contained in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall protect the corresponding resource of the cells indicated by *E-UTRA Cells* *List* IE for spectrum sharing between E-UTRA and NR.

If the GNB-CU CONFIGURATION UPDATE message contains the *Protected E-UTRA Resource Indication* IE, the receiving gNB-DU should forward it to lower layers and use it for cell-level resource coordination. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE when expressing its desired resource allocation during gNB-DU Resource Coordination procedure. The gNB-DU shall consider the received *Protected E-UTRA Resource Indication* IE content valid until reception of a new update of the IE for the same gNB-DU.

If *Available PLMN List* IE, and optionally also *Extended Available PLMN List* IE, is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available PLMN list and update the corresponding system information.

If *Available SNPN ID List* IE is contained in GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall overwrite the whole available SNPN ID list and update the corresponding system information.

If *Cells Failed to be Activated Item* IE is contained in the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message, the gNB-CU shall consider that the indicated cells are out-of-service as defined in TS 38.401 [4].

If the *Neighbour Cell Information List* IE is present in the GNB-CU CONFIGURATION UPDATE message, the receiving gNB-DU shall use the received information for Cross Link Interference management and/or NR-DC power coordination. The gNB-DU shall consider the received *Neighbour Cell Information List* IE content valid until reception of an update of the IE for the same cell(s). If the *Intended TDD DL-UL Configuration NR* IE is absent from the *Neighbour Cell Information List* IE, whereas the corresponding *NR CGI* IE is present, the receiving gNB-DU shall remove the previously stored *Neighbour Cell Information* IE corresponding to the NR CGI.

If the GNB-CU CONFIGURATION UPDATE message includes *Transport Layer Address Info* IE, the gNB-DU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE ACKNOWLEDGE message includes *Transport Layer Address Info* IE, the gNB-CU shall, if supported, take into account for IPSec tunnel establishment.

If the GNB-CU CONFIGURATION UPDATE message contains the *Uplink BH Non-UP Traffic Mapping* IE, the gNB-DU shall, if supported, consider the information therein for mapping of non-UP uplink traffic.

If the *IAB Barred* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, consider it as an indication of whether the cell identified by the NR Cell Identity in the *NR CGI* IE, allows IAB-node access or not for all PLMN(s)/SNPN(s) of this cell.

If the *BAP Address* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU shall, if supported, store the received BAP address and use it as specified in TS 38.340 [30].

If the *CCO Assistance Information* IE is contained in the GNB-CU CONFIGURATION UPDATE message, and the *NR CGI* IE contained in the *Affected Cells and Beams* IE is served by the gNB-DU, the gNB-DU may use it to determine a new cell and/or beam configuration.

If the *CCO Assistance Information* IE is contained in the GNB-CU CONFIGURATION UPDATE message and the *NR CGI* IE contained in the *Affected Cells and Beams* IE is not served by the gNB-DU, the gNB-DU may use it to adjust coverage of its cells.

If the *Cells for SON* IE is present in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU may store or update this information and it behaves as follows:

- For each served cell indicated by the *NR CGI* IE included within the *Cells for SON Item* IE, the gNB-DU may adjust the PRACH configuration of this served cell.

- If the *Neighbour NR Cells for SON List* IE is present in the *Cells for SON Item* IE, the gNB-DU may take the PRACH configuration of neighbour cells included in the *Neighbour NR Cells for SON List* IE into consideration when adjusting the PRACH configuration of the served cell.

If the *gNB-CU Name* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU may store it or update this IE value if already stored, and use it as a human readable name of the gNB-CU. If the *Extended gNB-CU Name* IE is included in the GNB-CU CONFIGURATION UPDATE message, the gNB-DU may store it or update this IE value if already stored, and use it as a human readable name of the gNB-CU and shall ignore the *gNB-CU Name* IE if also included.

*Next Change*

#### 9.2.1.10 GNB-CU CONFIGURATION UPDATE

This message is sent by the gNB-CU to transfer updated information associated to an F1-C interface instance.

NOTE: If F1-C signalling transport is shared among several F1-C interface instances, this message may transfer updated information associated to several F1-C interface instances.

Direction: gNB-CU → gNB-DU

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| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| Transaction ID | M |  | 9.3.1.23 |  | YES | reject |
| **Cells to be Activated List** |  | *0..1* |  | List of cells to be activated or modified | YES | reject |
| **>Cells to be Activated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| >> NR PCI | O |  | INTEGER (0..1007) | Physical Cell ID | - |  |
| >> gNB-CU System Information | O |  | 9.3.1.42 | RRC container with system information owned by gNB-CU | YES | reject |
| >>Available PLMN List | O |  | 9.3.1.65 |  | YES | ignore |
| >>Extended Available PLMN List | O |  | 9.3.1.76 | This is included if *Available PLMN List* IE is included and if more than 6 Available PLMNs is to be signalled. | YES | ignore |
| >>IAB Info IAB-donor-CU | O |  | 9.3.1.105 | IAB-related configuration sent by the IAB-donor-CU. | YES | ignore |
| >>Available SNPN ID List | O |  | 9.3.1.163 | Indicates the available SNPN ID list.  If this IE is included, the content of the *Available PLMN List* IE and *Extended Available PLMN List* IE if present in the *Cells to be Activated List Item* IE is ignored. | YES | ignore |
| **Cells to be Deactivated List** |  | *0..1* |  | List of cells to be deactivated | YES | reject |
| **>Cells to be Deactivated List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | reject |
| >> NR CGI | M |  | 9.3.1.12 |  | - |  |
| **gNB-CU TNL Association To Add List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Add Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Information | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | M |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **gNB-CU TNL Association To Remove List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Remove Item IEs** |  | *1..<maxnoofTNLAssociation>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Transport Layer Address gNB-DU | O |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-DU. | YES | reject |
| **gNB-CU TNL Association To Update List** |  | *0..1* |  |  | YES | ignore |
| **>gNB-CU TNL Association To Update Item IEs** |  | *1..<maxnoofTNLAssociations>* |  |  | EACH | ignore |
| >>TNL Association Transport Layer Address | M |  | CP Transport Layer Address  9.3.2.4 | Transport Layer Address of the gNB-CU. | - |  |
| >>TNL Association Usage | O |  | ENUMERATED (ue, non-ue, both, ...) | Indicates whether the TNL association is only used for UE-associated signalling, or non-UE-associated signalling, or both. For usage of this IE, refer to TS 38.472 [22]. | - |  |
| **Cells to be barred List** |  | *0..1* |  | List of cells to be barred. | YES | ignore |
| **>Cells to be barred List Item** |  | *1.. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Cell Barred | M |  | ENUMERATED (barred, not-barred, ...) |  | - |  |
| >>IAB Barred | O |  | ENUMERATED (barred, not-barred, ...) | Corresponds to information provided in the *iab-Support* contained in the *PLMN-IdentityInfo* IE or the *NPN-IdentityInfo* IE contained in the SIB1 message as defined in TS 38.331 [8]. | - |  |
| **Protected E-UTRA Resources List** |  | *0..1* |  | List of Protected E-UTRA Resources. | YES | reject |
| **>Protected E-UTRA Resources List Item** |  | *1.. <maxCellineNB>* |  |  | EACH | reject |
| >>Spectrum Sharing Group ID | M |  | INTEGER (1.. maxCellineNB) | Indicates the E-UTRA cells involved in resource coordination with the NR cells affiliated with the same Spectrum Sharing Group ID. | - |  |
| **>> E-UTRA Cells List** |  | *1* |  | List of applicable E-UTRA cells. | - |  |
| **>>> E-UTRA Cells List Item** |  | *1 .. <maxCellineNB>* |  |  | - |  |
| >>>>EUTRA Cell ID | M |  | BIT STRING (SIZE(28)) | Indicates the E-UTRAN Cell Identifier IE contained in the ECGI as defined in subclause 9.2.14 in TS 36.423 [9]. | - |  |
| >>>>Served E-UTRA Cell Information | M |  | 9.3.1.64 |  | - |  |
| **Neighbour Cell Information List** |  | *0..1* |  |  | YES | ignore |
| **>Neighbour Cell Information List Item** |  | *1 .. <maxCellingNBDU>* |  |  | EACH | ignore |
| >>NR CGI | M |  | 9.3.1.12 |  | - |  |
| >>Intended TDD DL-UL Configuration | O |  | 9.3.1.89 |  | - |  |
| Transport Layer Address Info | O |  | 9.3.2.5 |  | YES | ignore |
| Uplink BH Non-UP Traffic Mapping | O |  | 9.3.1.103 |  | YES | reject |
| BAP Address | O |  | 9.3.1.111 | Indicates a BAP address assigned to the IAB-donor-DU. | YES | ignore |
| CCO Assistance Information | O |  | 9.3.1.211 | Indicates CCO Assistance Information for cells and beams served by the gNB-DU of the same NG-RAN node or for cells and beams not served by the gNB-DU. | YES | Ignore |
| Cells for SON List | O |  | 9.3.1.214 |  | YES | ignore |
| gNB-CU Name | O |  | PrintableString(SIZE(1..150,...)) | Human readable name of the gNB-CU. | YES | ignore |
| Extended gNB-CU Name | O |  | 9.3.1.206 |  | YES | ignore |

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| **Range bound** | **Explanation** |
| maxCellingNBDU | Maximum numbers of cells that can be served by a gNB-DU. Value is 512. |
| maxnoofTNLAssociations | Maximum numbers of TNL Associations between the gNB-CU and the gNB-DU. Value is 32. |
| maxCellineNB | Maximum no. cells that can be served by an eNB. Value is 256. |

*End of Change*