**3GPP TSG-RAN WG3 Meeting #117b-e *R3-226066***

**Online, 10-18 October 2022**

|  |
| --- |
| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.423** | **CR** | **33** | **rev** | **-** | **Current version:** | **17.2.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | XnAP BLCR on NTN Functionality |
|  |  |
| ***Source to WG:*** | Huawei, Ericsson, Thales, ZTE, Omnispace, TTP, Nokia, Nokia Shanghai Bell, CATT, Hughes, EchoStar, CMCC |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | NR\_NTN\_enh-Core |  | ***Date:*** | 2022-10-18 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | RAN3 has agreed to support time-based CHO, adding start time and duration to network signaling. |
|  |  |
| ***Summary of change:*** | Handover window start and duration are added to the HANDOVER REQUEST message, within the *Conditional Handover Information Request* IE. |
|  |  |
| ***Consequences if not approved:*** | No support for time-based CHO in XnAP. |
|  |  |
| ***Clauses affected:*** | 8.2.1.2, 9.1.1.1, 9.3.5, 9.3.7 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

**START OF CHANGES**

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

The source NG-RAN node initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node. When the source NG-RAN node sends the HANDOVER REQUEST message, it shall start the timer TXnRELOCprep.

If the *Conditional Handover Information Request* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a conditional handover and shall include the *Conditional Handover Information* *Acknowledge* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

**UNCHANGED PART OMITTED**

If the *Maximum Number of CHO Preparations* IE is included in the *Conditional Handover Information* *Acknowledge* IE contained in the HANDOVER REQUEST ACKNOWLEDGE message, then the source NG-RAN node should not prepare more candidate target cells for a CHO for the same UE towards the target NG-RAN node than the number indicated in the IE.

If the *Estimated Arrival Probability* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node may use the information to allocate necessary resources for the incoming CHO.

If the *Conditional Handover Time Based Information* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node may use this information to allocate necessary resources for the incoming CHO. If the *Conditional Handover Time Based Information* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message but the UE does not appear in the candidate cell before the handover window duration indicated, the target NG-RAN node shall consider the conditional handover as canceled.

If the *IAB Node Indication* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, consider that the handover is for an IAB node. In addition:

- If the *No PDU Session Indication* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, consider the UE as an IAB-node which does not have any PDU sessions activated, and ignore the *PDU Session Resources To Be Setup List* IE, and shall not take any action with respect to PDU session setup. Subsequently, the source NG-RAN node shall, if supported, ignore the *PDU Session Resources Admitted To Be Added List* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

**UNCHANGED PART OMITTED**

**NEXT CHANGE**

## 9.1 Message Functional Definition and Content

### 9.1.1 Messages for Basic Mobility Procedures

#### 9.1.1.1 HANDOVER REQUEST

This message is sent by the source NG-RAN node to the target NG-RAN node to request the preparation of resources for a handover.

Direction: source NG-RAN node → target NG-RAN node.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.2.3.1 |  | YES | reject |
| Source NG-RAN node UE XnAP ID reference | M |  | NG-RAN node UE XnAP ID9.2.3.16 | Allocated at the source NG-RAN node | YES | reject |
| Cause | M |  | 9.2.3.2 |  | YES | reject |
| Target Cell Global ID | M |  | 9.2.3.25 | Includes either an E-UTRA CGI or an NR CGI | YES | reject |
| GUAMI | M |  | 9.2.3.24 |  | YES | reject |
| **UE Context Information** |  | *1* |  |  | YES | reject |
| >NG-C UE associated Signalling reference | M |  | AMF UE NGAP ID9.2.3.26 | Allocated at the AMF on the source NG-C connection. | – |  |
| >Signalling TNL association address at source NG-C side | M |  | CP Transport Layer Information9.2.3.31 | This IE indicates the AMF’s IP address of the SCTP association used at the source NG-C interface instance.Note: If no UE TNLA binding exists at the source NG-RAN node, the source NG-RAN node indicates the TNL association address it would have selected if it would have had to create a UE TNLA binding. | – |  |
| >UE Security Capabilities | M |  | 9.2.3.49 |  | – |  |
| >AS Security Information | M |  | 9.2.3.50 |  | – |  |
| >Index to RAT/Frequency Selection Priority | O |  | 9.2.3.23 |  | – |  |
| >UE Aggregate Maximum Bit Rate | M |  | 9.2.3.17 |  | – |  |
| >PDU Session Resources To Be Setup List |  | *1* | 9.2.1.1 | Similar to NG-C signalling, containing UL tunnel information per PDU Session Resource;and in addition, the source side QoS flow ⇔ DRB mapping | – |  |
| >RRC Context | M |  | OCTET STRING | Either includes the *HandoverPreparationInformation* message as defined in subclause 10.2.2. of TS 36.331 [14], or the *HandoverPreparationInformation-NB* message as defined in subclause 10.6.2 of TS 36.331 [14], if the target NG-RAN node is an ng-eNB,or the *HandoverPreparationInformation* message as defined in subclause 11.2.2 of TS 38.331 [10], if the target NG-RAN node is a gNB. | – |  |
| >Location Reporting Information | O |  | 9.2.3.47 | Includes the necessary parameters for location reporting. | – |  |
| >Mobility Restriction List | O |  | 9.2.3.53 |  | – |  |
| >5GC Mobility Restriction List Container | O |  | 9.2.3.100 |  | YES | ignore |
| >NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.3.107 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| >LTE UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.2.3.108 | This IE applies only if the UE is authorized for LTE V2X services. | YES | ignore |
| >ManagementBasedMDT PLMN List | O |  | MDT PLMN List9.2.3.133 |  | YES | ignore |
| >UE Radio Capability ID | O |  | 9.2.3.138 |  | YES | reject |
| >MBS Session Information List | O |  | 9.2.1.36 |  | YES | ignore |
| >5G ProSe UE PC5 Aggregate Maximum Bit Rate | O |  | NR UE Sidelink Aggregate Maximum Bit Rate9.2.3.107 | This IE applies only if the UE is authorized for 5G ProSe services. | YES | ignore |
| >UE Slice Maximum Bit Rate List | O |  | 9.2.3.167 |  | YES | ignore |
| Trace Activation | O |  | 9.2.3.55 |  | YES | ignore |
| Masked IMEISV | O |  | 9.2.3.32 |  | YES | ignore |
| UE History Information | M |  | 9.2.3.64 |  | YES | ignore |
| **UE Context Reference at the S-NG-RAN node** | O |  |  |  | YES | ignore |
| >Global NG-RAN Node ID | M |  | 9.2.2.3 |  | – |  |
| >S-NG-RAN node UE XnAP ID | M |  | NG-RAN node UE XnAP ID9.2.3.16 |  | – |  |
| **Conditional Handover Information Request** | O |  |  |  | YES | reject |
| >CHO Trigger | M |  | ENUMERATED (CHO-initiation, CHO-replace, …) |  | – |  |
| >Target NG-RAN node UE XnAP ID | C-ifCHOmod |  | NG-RAN node UE XnAP ID9.2.3.16 | Allocated at the target NG-RAN node | – |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | – |  |
| **>Conditonal Handover Time Based Information** | O |  |  | This IE only applies to NTN. |  |  |
| >>Handover Window Start | M |  | INTEGER (0..549755813887) | Corresponds to *t1-Threshold-r17* defined in TS 38.331 [10] | – |  |
| >>Handover Window Duration | M |  | INTEGER (1..6000) | Corresponds to *duration-r17* defined in TS 38.331 [10] | – |  |
| NR V2X Services Authorized | O |  | 9.2.3.105 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.2.3.106 |  | YES | ignore |
| PC5 QoS Parameters | O |  | 9.2.3.109 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| Mobility Information | O |  | BIT STRING (SIZE (32)) | Information related to the handover; the source NG-RAN node provides it in order to enable later analysis of the conditions that led to a wrong HO. | YES | ignore |
| UE History Information from the UE | O |  | 9.2.3.110 |  | YES | ignore |
| IAB Node Indication | O |  | ENUMERATED (true, ...) |  | YES | reject |
| No PDU Session Indication | O |  | ENUMERATED (true, ...) | This IE applies only if the UE is an IAB-MT. | YES | ignore |
| Time Synchronisation Assistance Information  | O |  | 9.2.3.153 |  | YES | ignore |
| QMC Configuration Information | O |  | 9.2.3.156 |  | YES | ignore |
| 5G ProSe Authorized | O |  | 9.2.3.159 |  | YES | ignore |
| 5G ProSe PC5 QoS Parameters | O |  | 9.2.3.160 | This IE applies only if the UE is authorized for 5G ProSe services. | YES | ignore |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifCHOmod | This IE shall be present if the *CHO Trigger* IE is present and set to "CHO-replace". |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofMDTPLMNs | PLMNs in the Management Based MDT PLMN list. Value is 16. |

**NEXT CHANGE**

### 9.3.5 Information Element definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- Information Element Definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) xnap (2) version1 (1) xnap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

**UNCHANGED PART OMITTED**

 id-UESliceMaximumBitRateList,

 id-PositioningInformation,

 id-ServedCellSpecificInfoReq-NR,

 id-TAINSAGSupportList,

 id-earlyMeasurement,

 id-BeamMeasurementsReportConfiguration,

 id-CHOTimeBasedInformation,

 maxEARFCN,

 maxnoofAllowedAreas,

 maxnoofAMFRegions,

 maxnoofAoIs,

**UNCHANGED PART OMITTED**

CHOinformation-Req ::= SEQUENCE {

 cho-trigger CHOtrigger,

 targetNG-RANnodeUEXnAPID NG-RANnodeUEXnAPID OPTIONAL

 -- This IE shall be present if the cho-trigger IE is present and set to "CHO-replace" --,

 cHO-EstimatedArrivalProbability CHO-Probability OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { CHOinformation-Req-ExtIEs} } OPTIONAL,

 ...

}

CHOinformation-Req-ExtIEs XNAP-PROTOCOL-EXTENSION ::={

 {ID id-CHOTimeBasedInformation CRITICALITY ignore EXTENSION CHOTimeBasedInformation PRESENCE optional},

 ...

}

CHOTimeBasedInformation ::= SEQUENCE {

 cHO-HOWindowStart CHO-HandoverWindowStart,

 cHO-HOWindowDuration CHO-HandoverWindowDuration,

 iE-Extensions ProtocolExtensionContainer { {CHOTimeBasedInformation-ExtIEs} } OPTIONAL,

 ...

}

CHOTimeBasedInformation-ExtIEs XNAP-PROTOCOL-EXTENSION ::= {

 ...

}

CHOinformation-Ack ::= SEQUENCE {

 requestedTargetCellGlobalID Target-CGI,

 maxCHOoperations MaxCHOpreparations OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { CHOinformation-Ack-ExtIEs} } OPTIONAL,

 ...

}

CHOinformation-Ack-ExtIEs XNAP-PROTOCOL-EXTENSION ::={

 ...

}

CHOinformation-AddReq ::= SEQUENCE {

 source-M-NGRAN-node-ID GlobalNG-RANNode-ID,

 source-M-NGRAN-node-UE-XnAP-ID NG-RANnodeUEXnAPID,

 cHO-EstimatedArrivalProbability CHO-Probability OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { CHOinformation-AddReq-ExtIEs} } OPTIONAL,

 ...

}

CHOinformation-AddReq-ExtIEs XNAP-PROTOCOL-EXTENSION ::={

 ...

}

CHOinformation-ModReq ::= SEQUENCE {

 conditionalReconfig ENUMERATED {intra-mn-cho, ...},

 cHO-EstimatedArrivalProbability CHO-Probability OPTIONAL,

 iE-Extensions ProtocolExtensionContainer { { CHOinformation-ModReq-ExtIEs} } OPTIONAL,

 ...

}

CHOinformation-ModReq-ExtIEs XNAP-PROTOCOL-EXTENSION ::={

 ...

}

CHO-Probability ::= INTEGER (1..100)

CHO-HandoverWindowStart ::= INTEGER (0.. 549755813887)

CHO-HandoverWindowDuration ::= INTEGER (1..6000)

CNsubgroupID ::= INTEGER (0..7, ...)

**UNCHANGED PART OMITTED**

**NEXT CHANGE**

### 9.3.7 Constant definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

XnAP-Constants {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) xnap (2) version1 (1) xnap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

**UNCHANGED PART OMITTED**

id-S-NG-RANnodeUE-Slice-MBR ProtocolIE-ID ::= 359

id-PositioningInformation ProtocolIE-ID ::= 360

id-UEAssistantIdentifier ProtocolIE-ID ::= 361

id-ManagementBasedMDTPLMNModificationList ProtocolIE-ID ::= 362

id-F1-terminatingIAB-donorIndicator ProtocolIE-ID ::= 363

id-TAINSAGSupportList ProtocolIE-ID ::= 364

id-SCGreconfigNotification ProtocolIE-ID ::= 365

id-earlyMeasurement ProtocolIE-ID ::= 366

id-BeamMeasurementsReportConfiguration ProtocolIE-ID ::= 367

id-CHOTimeBasedInformation ProtocolIE-ID ::= a

END

-- ASN1STOP

**END OF CHANGES**