**3GPP TSG-RAN WG3 Meeting #113-e *R3-214343***

**E-meeting, 16-26 Aug 2021**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.463** | **CR** | **0614** | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Restricting the number of DL EHC contexts | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, China Unicom, ZTE, Nokia, Nokia Shanghail Bell | | | | | | | | | |
| ***Source to TSG:*** | RAN3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIoT-Core | | | | |  | ***Date:*** | | | 2021-08-16 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | With current EHC parameters transferred via E1, CU-UP has no knowledge of UE’s capability. There is a risk that CU-UP establishes too many DL EHC contexts such that the total number of established EHC contexts exceeds *maxNumberEHC-Contexts.*  The number of EHC contexts for DL should be less than or equal to *maxNumberEHC-Contexts* - *maxCID\_EHC\_UL* across all DRBs. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | To add a *maxCID-EHC-DL* IE which is configured per-DRB. The *maxCID-EHC-DL* IE is included within the *EHC Downlink* IE and indicates the maximum number of EHC contexts that can be established in DL for the corresponding DRB. Since CU-CP has the knowledge of both *maxCID\_EHC\_UL* and *maxNumberEHC-Contexts,* it can distribute the overall available contexts space between different DRBs that require it, as long as the distributed *maxCID-EHC-DL* satisfies:  Summation of *maxCID-EHC-DL* and *maxCID-EHC-UL* across all DRBs is less than or equal to *maxNumberEHC-Contexts.*  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has an impact under protocol and functional point of view.  This CR has isolated impact with the previous version of the specification (same release) because the change only affects the inclusion of *EHC Downlink* IE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | There is a risk that the total number of established EHC contexts exceeds UE’s capability *maxNumberEHC-Contexts* | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.3.1,8.3.2,9.3.1.90, ASN.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev 1: Capture the agreements, change the CR title, add ZTE as co-signer | | | | | | | | |

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Start of Changes>>>>>>>>>>>>>>>>>>>>>>>>>>**

## 8.3 Bearer Context Management procedures

### 8.3.1 Bearer Context Setup

#### 8.3.1.1 General

The purpose of the Bearer Context Setup procedure is to allow the gNB-CU-CP to establish a bearer context in the gNB-CU-UP. The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: Bearer Context Setup procedure: Successful Operation.

<Unchanged Text Omitted>

If the BEARER CONTEXT SETUP REQUEST message contains the *NPN Context Information* IE the gNB-CU-UP shall, if supported, take it into account when allocating UP resources for the bearer context.

For each requested DRB, if the *EHC Parameters* IE is included in the *PDCP Configuration* IE, the gNB-CU-CP shall, if supported, also include *ROHC Parameters* IE in the *PDCP Configuration* IE in the BEARER CONTEXT SETUP REQUEST message, to enable the gNB-CU-UP to perform appropriate header compression.

For each requested DRB, if the *maxCID-EHC-DL* IE is included within the *EHC Parameters* IE in the *PDCP Configuration* IE, the gNB-CU-UP shall, if supported, take it into account for Ethernet header compression..

If the *EHC parameters* IE is included in the *PDCP Configuration* IE contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may take these parameters into account to perform appropriate header compression for the concerned DRB.

If the *DAPS Request Information* IE is included for a DRB to be setup in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall consider that the request concerns a DAPS handover for that DRB and, if admitted, act as specified in TS 38.300 [4].

If the *CHO Initiation* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall consider that the request concerns conditional handover or conditional PSCell change and act as specified in TS 38.401 [2].

If the *MCG Offered GBR QoS Flow Information* IE is contained in the *QoS Flows Information To Be Setup* IE within the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may take it into account when two cell groups are served by the gNB-CU-UP.

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

8.3.2 Bearer Context Modification (gNB-CU-CP initiated)

8.3.2.1 General

The purpose of the Bearer Context Modification procedure is to allow the gNB-CU-CP to modify a bearer context in the gNB-CU-UP. The procedure uses UE-associated signalling.

8.3.2.2 Successful Operation

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**Figure 8.3.2.2-1: Bearer Context Modification procedure: Successful Operation.**

<Unchanged Text Omitted>

If the *UE DL Aggregate Maximum Bit Rate* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *UE DL Maximum Integrity Protected Data Rate* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *Bearer Context Status Change* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall consider the UE RRC state and act as specified in TS 38.401 [2].

If the *Data Forwarding Information Request* IE, *PDU Session Data Forwarding Information Request* IE or the *DRB Data Forwarding Information Request* IE are included in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall include the requested forwarding information in the *Data Forwarding Information Response* IE, *PDU Session Data Forwarding Information Response* IE or the *DRB Data Forwarding Information Response* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *PDCP Configuration* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information, except for the *PDCP SN UL Size* IE, the *PDCP SN DL Size* IE and the *RLC mode* IE which shall be ignored.

For each requested DRB, if the *maxCID-EHC-DL* IE is included within the *EHC Parameters* IE in the *PDCP Configuration* IE, the gNB-CU-UP shall, if supported, take it into account for Ethernet header compression.

If the *E-UTRAN QoS* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *PDCP SN Status Request* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall act as specified in TS 38.401 [2] and include the *UL COUNT Value* IE and the *DL COUNT Value* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *PDCP SN Status Information* IE is contained in the *DRB To Setup List* IE or the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall take it into account and act as specified in TS 38.401 [2].

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

#### 9.3.1.90 EHC Parameters

This IE carries the EHC parameters for ethernet header compression.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| **EHC Common** | M |  |  |  | - | - |
| >EHC-CID-Length | M |  | ENUMERATED { bits7, bits15, … } | See description of ehc-CID-Length in TS 38.331 [10] | - | - |
| **EHC Downlink** | O |  |  |  | - | - |
| >drb-ContinueEHC-DL | M |  | ENUMERATED { true, … } | See description of drb-ContinueEHC-DL in TS 38.331 [10] | - | - |
| >maxCID-EHC-DL | O |  | INTEGER(1..32767, …) | Indicate the maximum number of DL EHC contexts that can be established for the DRB. The total value of maxCID-EHC-DL across all bearers for the UE should be less than or equal to the value of maxNumberEHC-Contexts parameter as indicated by the UE. | YES | ignore |
| **EHC Uplink** | O |  |  |  | - | - |
| >drb-ContinueEHC-UL | M |  | ENUMERATED { true, … } | See description of drb-ContinueEHC-UL in TS 38.331 [10] | - | - |

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

E1AP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) e1ap (5) version1 (1) e1ap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

id-CommonNetworkInstance,

id-SNSSAI,

id-OldQoSFlowMap-ULendmarkerexpected,

id-DRB-QoS,

id-endpoint-IP-Address-and-Port,

id-NetworkInstance,

id-QoSFlowMappingIndication,

id-TNLAssociationTransportLayerAddressgNBCUUP,

id-Cause,

id-QoSMonitoringRequest,

id-QosMonitoringReportingFrequency,

id-PDCP-StatusReportIndication,

id-RedundantCommonNetworkInstance,

id-redundant-nG-UL-UP-TNL-Information,

id-redundant-nG-DL-UP-TNL-Information,

id-RedundantQosFlowIndicator,

id-TSCTrafficCharacteristics,

id-ExtendedPacketDelayBudget,

id-CNPacketDelayBudgetDownlink,

id-CNPacketDelayBudgetUplink,

id-AdditionalPDCPduplicationInformation,

id-RedundantPDUSessionInformation,

id-RedundantPDUSessionInformation-used,

id-QoS-Mapping-Information,

id-MDTConfiguration,

id-TraceCollectionEntityURI,

id-EHC-Parameters,

id-DAPSRequestInfo,

id-EarlyForwardingCOUNTReq,

id-EarlyForwardingCOUNTInfo,

id-AlternativeQoSParaSetList,

id-MCG-OfferedGBRQoSFlowInfo,

id-Number-of-tunnels,

id-DataForwardingtoE-UTRANInformationList,

id-MaxCIDEHCDL,

maxnoofQoSParaSets,

maxnoofErrors,

maxnoofSliceItems,

maxnoofEUTRANQOSParameters,

maxnoofNGRANQOSParameters,

maxnoofDRBs,

maxnoofPDUSessionResource,

maxnoofQoSFlows,

maxnoofUPParameters,

maxnoofCellGroups,

maxnooftimeperiods,

maxnoofNRCGI,

maxnoofTLAs,

maxnoofGTPTLAs,

maxnoofSPLMNs,

maxnoofMDTPLMNs,

maxnoofExtSliceItems,

maxnoofDataForwardingTunneltoE-UTRAN

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

EHC-Common-Parameters ::= SEQUENCE {

ehc-CID-Length ENUMERATED { bits7, bits15, ...},

iE-Extensions ProtocolExtensionContainer { { EHC-Common-Parameters-ExtIEs } } OPTIONAL

}

EHC-Common-Parameters-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

EHC-Downlink-Parameters ::= SEQUENCE {

drb-ContinueEHC-DL ENUMERATED { true, ...},

iE-Extensions ProtocolExtensionContainer { { EHC-Downlink-Parameters-ExtIEs } } OPTIONAL

}

EHC-Downlink-Parameters-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

{ID id-MaxCIDEHCDL CRITICALITY ignore EXTENSION MaxCIDEHCDL PRESENCE optional },

...

}

EHC-Uplink-Parameters ::= SEQUENCE {

drb-ContinueEHC-UL ENUMERATED { true, ...},

iE-Extensions ProtocolExtensionContainer { { EHC-Uplink-Parameters-ExtIEs } } OPTIONAL

}

EHC-Uplink-Parameters-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

EHC-Parameters ::= SEQUENCE {

ehc-Common EHC-Common-Parameters,

ehc-Downlink EHC-Downlink-Parameters OPTIONAL,

ehc-Uplink EHC-Uplink-Parameters OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { EHC-Parameters-ExtIEs } } OPTIONAL

}

EHC-Parameters-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

-- M

MaxDataBurstVolume ::= INTEGER (0..4095, ..., 4096.. 2000000)

MaximumIPdatarate ::= SEQUENCE {

maxIPrate MaxIPrate,

iE-Extensions ProtocolExtensionContainer { {MaximumIPdatarate-ExtIEs} } OPTIONAL,

...

}

MaximumIPdatarate-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

MaxIPrate ::= ENUMERATED {

bitrate64kbs,

max-UErate,

...

}

MaxPacketLossRate ::= INTEGER (0..1000, ...)

MaxCIDEHCDL ::= INTEGER (1..32767, ...)

MRDC-Data-Usage-Report-Item ::= SEQUENCE {

startTimeStamp OCTET STRING (SIZE(4)),

endTimeStamp OCTET STRING (SIZE(4)),

usageCountUL INTEGER (0..18446744073709551615),

usageCountDL INTEGER (0..18446744073709551615),

iE-Extensions ProtocolExtensionContainer { { MRDC-Data-Usage-Report-Item-ExtIEs} } OPTIONAL,

...

}

MRDC-Data-Usage-Report-Item-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

MRDC-Usage-Information ::= SEQUENCE {

data-Usage-per-PDU-Session-Report Data-Usage-per-PDU-Session-Report OPTIONAL,

data-Usage-per-QoS-Flow-List Data-Usage-per-QoS-Flow-List OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { MRDC-Usage-Information-ExtIEs} } OPTIONAL,

...

}

MRDC-Usage-Information-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< Next Change >>>>>>>>>>>>>>>>>>>>>>>>>>**

id-TraceCollectionEntityURI ProtocolIE-ID ::= 116

id-URIaddress ProtocolIE-ID ::= 117

id-EHC-Parameters ProtocolIE-ID ::= 118

id-DRBs-Subject-To-Early-Forwarding-List ProtocolIE-ID ::= 119

id-DAPSRequestInfo ProtocolIE-ID ::= 120

id-CHOInitiation ProtocolIE-ID ::= 121

id-EarlyForwardingCOUNTReq ProtocolIE-ID ::= 122

id-EarlyForwardingCOUNTInfo ProtocolIE-ID ::= 123

id-AlternativeQoSParaSetList ProtocolIE-ID ::= 124

id-ExtendedSliceSupportList ProtocolIE-ID ::= 125

id-MCG-OfferedGBRQoSFlowInfo ProtocolIE-ID ::= 126

id-Number-of-tunnels ProtocolIE-ID ::= 127

id-DRB-Measurement-Results-Information-List ProtocolIE-ID ::= 128

id-Extended-GNB-CU-CP-Name ProtocolIE-ID ::= 129

id-Extended-GNB-CU-UP-Name ProtocolIE-ID ::= 130

id-DataForwardingtoE-UTRANInformationList ProtocolIE-ID ::= 131

id-QosMonitoringReportingFrequency ProtocolIE-ID ::= 132

id-QoSMonitoringDisabled ProtocolIE-ID ::= 133

id-AdditionalHandoverInfo ProtocolIE-ID ::= 134

id-Extended-NR-CGI-Support-List ProtocolIE-ID ::= 135

id-MaxCIDEHCDL ProtocolIE-ID ::= XXX

**<<<<<<<<<<<<<<<<<<<<<<<<<<<<< End of Changes>>>>>>>>>>>>>>>>>>>>>>>>>>**