**3GPP TSG-RAN WG3 Meeting #113-e *R3-214450***

**E-meeting, 16-26 Aug 2021**

|  |
| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.463** | **CR** | **0632** | **rev** | **1** | **Current version:** | 16.6.0 |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | Data forwarding address allocation for EPC to 5GC handover  |
|  |  |
| ***Source to WG:*** | Huawei, Samsung, China Telecom, Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | Direct\_data\_fw\_NR-Core |  | ***Date:*** | 2021-08-16 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | In case of the non-disaggregated node case, the target NG-RAN node is aware of the EPS to 5GS handover with direct data forwarding so as to assign the correspinding TNL addresses for data forwarding from E-UTRAN. But for the disaggregated node case, the CU-UP is not aware of the above information. Typically, CU-UP needs to differentiate with the following two cases. * For the EPS to 5GS handover with direct data forwarding, the CU-UP can assign the TNL addresses from the direct UP interface space with E-UTRAN.
* For the intra-5GS handover with DRB level forwarding tunnel, or the EPS to 5GS handover indirect data forwarding, the CU-UP can assign corresponding data fowarding addresses from the 5GS address space.
 |
|  |  |
| ***Summary of change:*** | * Add aDirect Forwarding Path Availability in the BEARER CONTEXT SETUP REQUEST message to indicate the EPS to 5GS handover with direct data forwarding.

 Impact Analysis:Impact assessment towards the previous version of the specification (same release): This CR has isolated impact with the previous version of the specification (same release) because it only impacts the EPC to SA handover with direct data forwarding.  |
|  |  |
| ***Consequences if not approved:*** | The CU-UP is not able to assign the approriate data TNL addresses for direct data forwarding from E-UTRAN.  |
|  |  |
| ***Clauses affected:*** | 8.3.1, 9.2.2.1, 9.3.1.aa, 9.4.4, 9.4.5, 9.4.7 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  Rev1: R3-214450 Add co-signers |

### 8.3.1 Bearer Context Setup

#### 8.3.1.1 General

The purpose of the Bearer Context Setup procedure is to allow the gNB-CU-CP to establish a bearer context in the gNB-CU-UP. The procedure uses UE-associated signalling.

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: Bearer Context Setup procedure: Successful Operation.

If the *Additional Handover Information* IE is included in the BEARER CONTEXT SETUP REQUEST message and set to “Discard PDCP SN”, the gNB-CU-UP shall, if supported, remove the forwarded PDCP SNs if received in the forwarded GTP-U packets, and deliver the forwarded PDCP SDUs to the UE, as specified in TS 38.300 [8].

If the *Direct Forwarding Path Availability* IE set to "direct path available" is included in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, assign the UP Transport Layer Information for direct data forwarding from E-UTRAN.

**<Unchanged Text Omitted>**

#### 9.2.2.1 BEARER CONTEXT SETUP REQUEST

This message is sent by the gNB-CU-CP to request the gNB-CU-UP to setup a bearer context.

Direction: gNB-CU-CP → gNB-CU-UP

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU-CP UE E1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| Security Information | M |  | 9.3.1.10 |  | YES | reject |
| UE DL Aggregate Maximum Bit Rate | M |  | Bit Rate 9.3.1.20 |  | YES | reject |
| UE DL Maximum Integrity Protected Data Rate | O |  | Bit Rate 9.3.1.20 | The Bit Rate is a portion of the UE’s Maximum Integrity Protected Data Rate, and is enforced by the gNB-CU-UP node. | YES | reject |
| Serving PLMN | M |  | PLMN Identity 9.3.1.7 |  | YES | ignore |
| Activity Notification Level | M |  | 9.3.1.67 |  | YES | reject |
| UE Inactivity Timer | O |  | Inactivity Timer 9.3.1.54 | Included if the Activity Notification Level is set to UE.  | - | - |
| Bearer Context Status Change | O |  | ENUMERATED (Suspend, Resume, …) | Indicates the status of the Bearer Context | YES | reject |
| CHOICE *System* | M |  |  |  | YES | reject |
| *>E-UTRAN* |  |  |  |  |  |  |
| >>DRB To Setup List | M |  | DRB To Setup List E-UTRAN 9.3.3.1 |  | YES | reject |
| >>Subscriber Profile ID for RAT/Frequency priority | O |  | 9.3.1.69 |  | YES | ignore |
| >>Additional RRM Policy Index | O |  | 9.3.1.70 |  | YES | Ignore |
| *>NG-RAN* |  |  |  |  |  |  |
| >>PDU Session Resource To Setup List | M |  | 9.3.3.2 |  | YES | reject |
| RAN UE ID | O |  | OCTET STRING (SIZE(8)) |  | YES | ignore |
| gNB-DU ID | O |  | 9.3.1.65 | Included whenever it is known by the gNB-CU-CP  | YES | ignore |
| Trace Activation | O |  | 9.3.1.68 |  | YES | ignore |
| NPN Context Information | O |  | 9.3.1.84 |  | YES | reject |
| Management Based MDT PLMN List | O |  | MDT PLMN List9.3.1.89 |  | YES | ignore |
| CHO Initiation | O |  | ENUMERATED (True, …) |  | YES | reject |
| Additional Handover Information | O |  | ENUMERATED(Discard PDCP SN, …) | If set to “Discard PDCP SN”, indicates that the forwarded PDCP SNs have to be removed | YES | Ignore |
| Direct Forwarding Path Availability | O |  | 9.3.1.aa |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofDRBs | Maximum no. of DRBs for a UE. Value is 32. |
| maxnoofPDUSessionResource  | Maximum no. of PDU Sessions for a UE. Value is 256. |

**<Unchanged Text Omitted>**

#### 9.3.1.aa Direct Forwarding Path Availability

This IE indicates whether a direct forwarding path is available.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| Direct Forwarding Path Availability | M |  | ENUMERATED (direct path available, …)  |  |

**<Unchanged Text Omitted>**

### 9.4.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- PDU definitions for E1AP

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

E1AP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) e1ap (5) version1 (1) e1ap-PDU-Contents (1) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- IE parameter types from other modules

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**<Unchanged Text Omitted>**

 ExtendedSliceSupportList,

 TransportLayerAddress,

 AdditionalHandoverInfo,

 Extended-NR-CGI-Support-List,

 DirectForwardingPathAvailability

**<Unchanged Text Omitted>**

 id-ExtendedSliceSupportList,

 id-AdditionalHandoverInfo,

 id-Extended-NR-CGI-Support-List,

 id-DirectForwardingPathAvailability,

**<Unchanged Text Omitted>**

BearerContextSetupRequest ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { { BearerContextSetupRequestIEs} },

 ...

}

BearerContextSetupRequestIEs E1AP-PROTOCOL-IES ::= {

 { ID id-gNB-CU-CP-UE-E1AP-ID CRITICALITY reject TYPE GNB-CU-CP-UE-E1AP-ID PRESENCE mandatory }|

 { ID id-SecurityInformation CRITICALITY reject TYPE SecurityInformation PRESENCE mandatory }|

 { ID id-UEDLAggregateMaximumBitRate CRITICALITY reject TYPE BitRate PRESENCE mandatory }|

 { ID id-UEDLMaximumIntegrityProtectedDataRate CRITICALITY reject TYPE BitRate PRESENCE optional }|

 { ID id-Serving-PLMN CRITICALITY ignore TYPE PLMN-Identity PRESENCE mandatory }|

 { ID id-ActivityNotificationLevel CRITICALITY reject TYPE ActivityNotificationLevel PRESENCE mandatory }|

 { ID id-UE-Inactivity-Timer CRITICALITY reject TYPE Inactivity-Timer PRESENCE optional }|

 { ID id-BearerContextStatusChange CRITICALITY reject TYPE BearerContextStatusChange PRESENCE optional }|

 { ID id-System-BearerContextSetupRequest CRITICALITY reject TYPE System-BearerContextSetupRequest PRESENCE mandatory }|

 { ID id-RANUEID CRITICALITY ignore TYPE RANUEID PRESENCE optional }|

 { ID id-GNB-DU-ID CRITICALITY ignore TYPE GNB-DU-ID PRESENCE optional }|

 { ID id-TraceActivation CRITICALITY ignore TYPE TraceActivation PRESENCE optional }|

 { ID id-NPNContextInfo CRITICALITY reject TYPE NPNContextInfo PRESENCE optional}|

 { ID id-ManagementBasedMDTPLMNList CRITICALITY ignore TYPE MDTPLMNList PRESENCE optional}|

 { ID id-CHOInitiation CRITICALITY reject TYPE CHOInitiation PRESENCE optional }|

 { ID id-AdditionalHandoverInfo CRITICALITY ignore TYPE AdditionalHandoverInfo PRESENCE optional }|

 { ID id-DirectForwardingPathAvailability CRITICALITY ignore TYPE DirectForwardingPathAvailability PRESENCE optional },

 ...

}

**<Unchanged Text Omitted>**

### 9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

E1AP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) e1ap (5) version1 (1) e1ap-IEs (2) }

**<Unchanged Text Omitted>**

DirectForwardingPathAvailability ::= ENUMERATED {

 direct-path-available,

 ...

}

### 9.4.7 Constant Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

**<Unchanged Text Omitted>**

id-AdditionalHandoverInfo ProtocolIE-ID ::= 134

id-Extended-NR-CGI-Support-List ProtocolIE-ID ::= 135

id-DirectForwardingPathAvailability ProtocolIE-ID ::= bbb

**<Unchanged Text Omitted>**