**3GPP TSG- Meeting #**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
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|  |  | **CR** |  | **rev** |  | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Clarify NG-RAN node behavior upon the reception of the *Signalling TNL association address at source NG-C side* IE |
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| ***Source to WG:*** | , China Telecom, Huawei |
| ***Source to TSG:*** |  |
|  |  |
| ***Work item code:*** | NR\_NewRAT-Core |  | ***Date:*** |  |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** |  |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | Current specification does not define the NG-RAN node behavior when it receive the *Signalling TNL association address at source NG-C side* IE during the Xn Handover Preparation procedure or Retrieve UE Context procedure.  |
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| ***Summary of change:*** | Clarify the NG-RAN node behavior: When the NG-RAN node has an available TNL association towards the TNL address as indicated by the *Signalling TNL association address at source NG-C side* IE, the NG-RAN node should select the TNL association to create an NGAP UE TNLA binding for the UE. Otherwise, the target NG-RAN node should select other available TNL association of the same AMF or an AMF from the same AMF set to create an NGAP UE TNLA binding for the UE.Impact assessment towards the previous version of the specification (same release):This CR has an isolated impact towards the previous version of the specification (same release).This CR only add the missing behavior. |
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| ***Consequences if not approved:*** | Unclear specification. It may cause IOT issue. |
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| ***Clauses affected:*** | 8.2.1.2, 8.2.4.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* Start of the Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

### 8.2.1 Handover Preparation

#### 8.2.1.1 General

This procedure is used to establish necessary resources in an NG-RAN node for an incoming handover. If the procedure concerns a conditional handover, parallel transactions are allowed. Possible parallel requests are identified by the target cell ID when the source UE AP IDs are the same.

The procedure uses UE-associated signalling.

#### 8.2.1.2 Successful Operation



Figure 8.2.1.2-1: Handover Preparation, successful operation

The source NG-RAN node initiates the procedure by sending the HANDOVER REQUEST message to the target NG-RAN node. When the source NG-RAN node sends the HANDOVER REQUEST message, it shall start the timer TXnRELOCprep.

If the *Conditional Handover Information Request* IE is contained in the HANDOVER REQUEST message, the target NG-RAN node shall consider that the request concerns a conditional handover and shall include the *Conditional Handover Information* *Acknowledge* IE in the HANDOVER REQUEST ACKNOWLEDGE message.

If the *Target NG-RAN node UE XnAP ID* IE is contained in the *Conditional Handover Information Request* IE included in the HANDOVER REQUEST message, then the target NG-RAN node shall remove the existing prepared conditional HO identified by the *Target NG-RAN node UE XnAP ID* IE and the *Target Cell Global ID* IE. It is up to the implementation of the target NG-RAN node when to remove the HO information.

Upon reception of the HANDOVER REQUEST ACKNOWLEDGE message, the source NG-RAN node shall stop the timer TXnRELOCprep and terminate the Handover Preparation procedure. If the procedure was initiated for an immediate handover, the source NG-RAN node shall start the timer TXnRELOCoverall. The source NG-RAN node is then defined to have a Prepared Handover for that Xn UE-associated signalling.

For each *E-RAB ID* IE included in the *QoS Flow To Be Setup List* IE in the HANDOVER REQUEST message, the target NG-RAN node shall, if supported, store the content of the IE in the UE context and use it for subsequent inter-system handover.

When the target NG-RAN node has an available TNL association towards the TNL address as indicated by the *Signalling TNL association address at source NG-C side* IE, the target NG-RAN node should select the TNL association to create an NGAP UE TNLA binding for the UE. Otherwise, the target NG-RAN node should select other available TNL association towards the same AMF or an AMF from the same AMF set to create an NGAP UE TNLA binding for the UE.

If the *Masked IMEISV* IE is contained in the HANDOVER REQUEST message the target NG-RAN node shall, if supported, use it to determine the characteristics of the UE for subsequent handling.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* Next Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

### 8.2.4 Retrieve UE Context

#### 8.2.4.1 General

The purpose of the Retrieve UE Context procedure is to either retrieve the UE context from the old NG-RAN node and transfer it to the NG-RAN node where the UE RRC Connection has been requested to be established, or to enable the old NG-RAN node to forward an RRC message to the UE via the new NG-RAN node without context transfer.

The procedure uses UE-associated signalling.

#### 8.2.4.2 Successful Operation



Figure 8.2.4.2-1: Retrieve UE Context, successful operation

The new NG-RAN node initiates the procedure by sending the RETRIEVE UE CONTEXT REQUEST message to the old NG-RAN node.

If the old NG-RAN node is able to identify the UE context by means of the UE Context ID, and to successfully verify the UE by means of the integrity protection contained in the RETRIEVE UE CONTEXT REQUEST message, and decides to provide the UE context to the new NG-RAN node, it shall respond to the new NG-RAN node with the RETRIEVE UE CONTEXT RESPONSE message.

If the *Index to RAT/Frequency Selection Priority* IE is contained in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall store this information and use it as defined in TS 23.501 [7].

If the *Location Reporting Information* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, then the new NG-RAN node should initiate the requested location reporting functionality as defined in TS 38.413 [5].

If the *Trace Activation* IE is included in the RETRIEVE UE CONTEXT RESPONSE message which includes

- the *MDT Activation* IE set to "Immediate MDT and Trace", then the target NG-RAN node shall if supported, initiate the requested trace session and MDT session as described in TS 32.422 [23].

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", the target NG-RAN node shall, if supported, initiate the requested MDT session as described in TS 32.422 [23] and the target NG-RAN node shall ignore the *Interfaces To Trace* IE, and the *Trace Depth* IE.

- the *MDT Location Information* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, store this information and take it into account in the requested MDT session.

- the *MDT Activation* IE set to "Immediate MDT Only" or "Logged MDT only", and if the *Signalling based MDT PLMN List* IE is included in the *MDT Configuration* IE, the target NG-RAN node may use it to propagate the MDT Configuration as described in TS 37.320 [43].

- the *Bluetooth Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *WLAN Measurement Configuration* IE, within the *MDT Configuration* IE, the target NG-RAN node shall, if supported, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *Sensor Measurement Configuration* IE, within the *MDT Configuration* IE, take it into account for MDT Configuration as described in TS 37.320 [43].

- the *MDT Configuration* IE and if the target NG-RAN Node is a gNB at least *the MDT Configuration-NR* IE shall be present, while if the target NG-RAN Node is an ng-eNB at least the *MDT Configuration-EUTRA* IE shall be present.

For each QoS flow in the RETRIEVE UE CONTEXT RESPONSE message, if the *QoS Monitoring Request* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [7]. If the *QoS Monitoring Reporting Frequency* IE is included in the *QoS Flow Level QoS Parameters* IE in the *PDU Session Resources To Be Setup List* IE, the new NG-RAN node shall store this information, and, if supported, use it for RAN part delay reporting.

If the *5GC Mobility Restriction List Container* IE is included in the RETRIEVE UE CONTEXT RESPONSE message, the new NG-RAN node shall, if supported, store this information in the UE context and use it as specified in TS 38.300 [9].

When the new NG-RAN node has an available TNL association towards the TNL address as indicated by the *Signalling TNL association address at source NG-C side* IE, the new NG-RAN node should select the TNL association to create an NGAP UE TNLA binding for the UE. Otherwise, the new NG-RAN node should select other available TNL association towards the same AMF or an AMF from the same AMF set to create an NGAP UE TNLA binding for the UE.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* End of the Change \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*