**3GPP TSG-RAN WG3 Meeting #111-e R3-21xxxx**

**E-meeting, 25 Jan – 5 Feb 2021**

**Title:** (TP for MDT BL CR for TS 38.463): MDT coexistence with IDC

**Source:** Huawei

**Agenda item:** 10.3.2.1

**Document Type:** other

1. Introduction

This contribution contains the TP for SON BLCR for TS 38.463.

2. TP for SON BLCR for TS 38.463

First change

#### 8.3.1.2 Successful Operation



Figure 8.3.1.2-1: Bearer Context Setup procedure: Successful Operation.

The gNB-CU-CP initiates the procedure by sending the BEARER CONTEXT SETUP REQUEST message to the gNB-CU-UP. If the gNB-CU-UP succeeds to establish the requested resources, it replies to the gNB-CU-CP with the BEARER CONTEXT SETUP RESPONSE message.

The gNB-CU-UP shall report to the gNB-CU-CP, in the BEARER CONTEXT SETUP RESPONSE message, the result for all the requested resources in the following way:

For E-UTRAN:

- A list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- A list of DRBs which failed to be established shall be included in the *DRB Failed List* IE;

For NG-RAN:

- A list of PDU Session Resources which are successfully established shall be included in the *PDU Session Resource Setup List* IE;

- A list of PDU Session Resources which failed to be established shall be included in the *PDU Session Resource Failed List* IE;

- For each established PDU Session Resource, a list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- For each established PDU Session Resource, a list of DRBs which failed to be established shall be included in the *DRB Failed List* IE;

- For each established DRB, a list of QoS Flows which are successfully established shall be included in the *Flow Setup List* IE;

- For each established DRB, a list of QoS Flows which failed to be established shall be included in the *Flow Failed List* IE;

When the gNB-CU-UP reports the unsuccessful establishment of a PDU Session Resource, DRB or QoS Flow the cause value should be precise enough to enable the gNB-CU-CP to know the reason for the unsuccessful establishment.

If the *Existing Allocated NG DL UP Transport Layer Information* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may re-use the indicated resources already allocated for this bearer context. If the gNB-CU-UP decides to re-use the indicated resources, it shall include the *NG DL UP Unchanged* IE in the BEARER CONTEXT SETUP RESPONSE message.

If the *PDU Session Resource DL Aggregate Maximum Bit Rate* IE is contained in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall store and use the information for the down link traffic policing for the Non-GBR QoS flows for the concerned UE as specified in TS 23.501 [20].

If the *Data Forwarding Information Request* IE, *PDU Session Data Forwarding Information Request* IE or the *DRB Data Forwarding Information Request* IE are included in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall include the requested forwarding information in the *Data Forwarding Information Response* IE, *PDU Session Data Forwarding Information Response* IE or the *DRB Data Forwarding Information Response* IE in the BEARER CONTEXT SETUP RESPONSE message.

If the *DL UP Parameters* IE is contained in the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall configure the corresponding information.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Setup List* IE of the BEARER CONTEXT SETUP REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "preferred", then the gNB-CU-UP should, if supported, perform user plane integrity protection or ciphering, respectively, for the concerned PDU session and shall notify whether it performed the user plane integrity protection or ciphering by including the *Integrity Protection Result* IE or *Confidentiality Protection Result* IE, respectively, in the *PDU Session Resource Setup List* IE of the BEARER CONTEXT SETUP RESPONSE message.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Setup List* IE of the BEARER CONTEXT SETUP REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "required", then the gNB-CU-UP shall perform user plane integrity protection or ciphering, respectively, for the concerned PDU Session. If the gNB-CU-UP cannot perform the user plane integrity protection or ciphering, it shall reject the setup of the PDU Session Resources with an appropriate cause value.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Setup List* IE of the BEARER CONTEXT SETUP REQUEST message:

- if the *Integrity Protection Indication* IE is set to "not needed", then the gNB-CU-UP shall not perform user plane integrity protection for the concerned PDU session;

-if the *Confidentiality Protection Indication* IE is set to "not needed", then the gNB-CU-UP shall not perform user plane ciphering for the concerned PDU session.

For each PDU session, if the *Data Forwarding to E-UTRAN Information List* IE is included in the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, use it for inter-system data forwarding from 5GS to EPS as specified in TS38.300 [8].

If the *UE DL Maximum Integrity Protected Data Rate* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall use this value when enforcing the maximum integrity protected data rate for the UE.

If the *Bearer Context Status Change* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall consider the UE RRC state and act as specified in TS 38.401 [2].

For each requested DRB, if the *PDCP Duplication* IE is included in the *PDCP Configuration* IE contained in the BEARER CONTEXT SETUP REQUEST message, then the gNB-CU-UP shall include two *UP Transport Layer Information* IEs in the BEARER CONTEXT SETUP RESPONSE message to support packet duplication. If only one cell group is included in the *Cell Group Information* IE for the concerned DRB, then the gNB-CU-UP shall consider that the first *UP Transport Layer Information* IE of the two *UP Transport Layer Information* IEs is for the primary path.

For each requested DRB, if the *Additional PDCP duplication Information* IE is included in the *PDCP Configuration* IE contained in the BEARER CONTEXT SETUP REQUEST message, then the gNB-CU-UP shall, if supported, include the same number of *UP Transport Layer Information* IEs indicated by the *Additional PDCP duplication Information* IE in the BEARER CONTEXT SETUP RESPONSE message to support packet duplication. If only one cell group is included in the *Cell Group Information* IE for the concerned DRB, then the gNB-CU-UP shall consider that the first *UP Transport Layer Information* IE of these *UP Transport Layer Information* IEs is for the primary path. If more than one cell group is included in the *Cell Group Information* IE, then the gNB-CU-UP shall consider that the number of duplication tunnels for each cell group is indicated by the *Number of tunnels* IE, and that the first *UP Transport Layer Information* IE for each cell group is for the primary path or the split secondary path.

If the *PDCP SN Status Information* IE is contained within the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall take it into account and act as specified in TS 38.401 [2].

If the *QoS Flow Mapping Indication* IE is contained in the *QoS Flows Information To Be Setup* IE within the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

For each PDU Session Resource, if the *Network Instance* IE is included in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message and the *Common Network Instance* IE is not included, the gNB-CU-UP shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [20].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [20].

For each PDU session, if the *Redundant NG UL UP Transport Layer Information* IE is included in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, use it as the uplink termination point of the redundant tunnel for the user plane data of those QoS flows in this PDU session which need redundant transmission as described in TS 23.501 [20], and it shall include the *Redundant NG DL UP Transport Layer Information* IE in the *PDU Session Resource Setup List IE* in the BEARER CONTEXT SETUP RESPONSE message.

For each PDU Session Resource, if the *Redundant Common Network Instance* IE is included in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [20].

For each PDU session, if the *Redundant QoS Flow Indicator* IE is included in the *QoS Flow QoS Parameters List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, consider it for the redundant transmission.

For each PDU session, if the *Redundant PDU Session Information* IE is included in the *PDU Session Resource To Setup List* IE contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, set up the redundant user plane resources, as specified in TS 23.501 [20] and include, if supported, the *Used Redundant PDU Session Information* IE in the *PDU Session Resource Setup List* IE in the BEARER CONTEXT SETUP RESPONSE message.

If *UE Inactivity Timer* IE or *PDU session Inactivity Timer* IE or *DRB Inactivity Timer* IE is contained in BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall take it into account when perform inactivity monitoring.

If the *DRB QoS* IE is contained within the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, take it into account as specified in TS 28.552 [22].

If the *gNB-DU-ID* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall store the information received.

If the *RAN UE ID* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall store the information received.

For each successfully established DRB, the gNB-CU-UP shall provide, in the respective *UL UP Parameters* IE of the BEARER CONTEXT SETUP RESPONSE, one UL UP Transport Layer Information Item per cell group entry contained in the respective *Cell Group Information* IE of the BEARER CONTEXT SETUP REQUEST message.

If the *Trace Activation* IE is included in the BEARER CONTEXT SETUP REQUEST message the gNB-CU-UP shall, if supported, initiate the requested trace function as described in TS 32.422 [24]. In particular, the gNB-CU-UP shall, if supported:

- if the *MDT Activation* IE is set to "Immediate MDT Only", initiate the requested MDT session as described in TS 32.422 [24] and the gNB-CU-UP shall ignore *Interfaces To Trace* IE, and *Trace Depth* IE;

- if the *MDT Activation* IE is set to "Immediate MDT and Trace", initiate the requested trace session and MDT session as described in TS 32.422 [24];

If the *Management Based MDT PLMN List* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, store the received information, and use this information to allow subsequent selection of the UE for management based MDT defined in TS 32.422 [24].

For EN-DC, if the *Subscriber Profile ID for RAT/Frequency priority* IE is included in the BEARER CONTEXT SETUP REQUEST, the gNB-CU-UP may use it to apply specific RRM policies as specified in TS 36.300 [25]. If the *Additional RRM Policy Index* IE is included in the BEARER CONTEXT SETUP REQUEST, the gNB-CU-UP may use it to apply specific RRM policies as specified in TS 36.300 [25].

If the *TSC Traffic Characteristics* IE is included in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall, if supported, take into account the corresponding information received in the *TSC Traffic Characteristics* IE.

For each QoS flow whose DRB has been successfully established and the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [20]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall store this information, and, if supported, use it for RAN part delay reporting.

For each requested DRB, if the *QoS Mapping Information* IE is contained in the *DL UP Parameters* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall use it to set DSCP and/or flow label fields in the downlink IP packets which are transmitted through the GTP tunnels indicated by the *UP Transport Layer Information* IE. The Diffserv code point (DSCP) marking is performed as specified in TS 38.474 [28].

If the BEARER CONTEXT SETUP REQUEST message contains the *NPN Context Information* IE the gNB-CU-UP shall, if supported, take it into account when allocating UP resources for the bearer context.

For each requested DRB, if the *EHC Parameters* IE is included in the *PDCP Configuration* IE, the gNB-CU-CP shall, if supported, also include *ROHC Parameters* IE in the *PDCP Configuration* IE in the BEARER CONTEXT SETUP REQUEST message, to enable the gNB-CU-UP to perform appropriate header compression.

If the *EHC parameters* IE is included in the *PDCP Configuration* IE contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may take these parameters into account to perform appropriate header compression for the concerned DRB.

If the *DAPS Request Information* IE is included for a DRB to be setup in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall consider that the request concerns a DAPS handover for that DRB and, if admitted, act as specified in TS 38.300 [4].

If the *CHO Initiation* IE is contained in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP shall consider that the request concerns conditional handover or conditional PSCell change and act as specified in TS 38.401 [2].

If the *MCG Offered GBR QoS Flow Information* IE is contained in the *QoS Flows Information To Be Setup* IE within the *DRB To Setup List* IE in the BEARER CONTEXT SETUP REQUEST message, the gNB-CU-UP may take it into account when two cell groups are served by the gNB-CU-UP.

If the *Polluted Measurement Indicator* IE is included in the BEARER CONTEXT SETUP REQUEST, the gNB-CU-UP shall take this information into account as specified in TS 38.401 [2].

Next change

#### 8.3.2.2 Successful Operation



Figure 8.3.2.2-1: Bearer Context Modification procedure: Successful Operation.

The gNB-CU-CP initiates the procedure by sending the BEARER CONTEXT MODIFICATION REQUEST message to the gNB-CU-UP. If the gNB-CU-UP succeeds to modify the bearer context, it replies to the gNB-CU-CP with the BEARER CONTEXT MODIFICATION RESPONSE message.

The gNB-CU-UP shall report to the gNB-CU-CP, in the BEARER CONTEXT MODIFICATION RESPONSE message, the result for all the requested resources in the following way:

For E-UTRAN:

- A list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- A list of DRBs which failed to be established shall be included in the *DRB Failed List* IE;

- A list of DRBs which are successfully modified shall be included in the *DRB Modified List* IE;

- A list of DRBs which failed to be modified shall be included in the *DRB Failed To Modify List* IE;

For NG-RAN:

- A list of PDU Session Resources which are successfully established shall be included in the *PDU Session Resource Setup List* IE;

- A list of PDU Session Resources which failed to be established shall be included in the *PDU Session Resource Failed List* IE;

- A list of PDU Session Resources which are successfully modified shall be included in the *PDU Session Resource Modified List* IE;

- A list of PDU Session Resources which failed to be modified shall be included in the *PDU Session Resource Failed To Modify List* IE;

- For each successfully established or modified PDU Session Resource, a list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- For each successfully established or modified PDU Session Resource, a list of DRBs which failed to be established shall be included in the *DRB Failed List* IE;

- For each successfully modified PDU Session Resource, a list of DRBs which are successfully modified shall be included in the *DRB Modified List* IE;

- For each successfully modified PDU Session Resource, a list of DRBs which failed to be modified shall be included in the *DRB Failed To Modify List* IE;

- For each successfully established or modified DRB, a list of QoS Flows which are successfully established shall be included in the *Flow Setup List* IE;

- For each successfully established or modified DRB, a list of QoS Flows which failed to be established shall be included in the *Flow Failed List* IE;

When the gNB-CU-UP reports the unsuccessful establishment of a PDU Session Resource, DRB or QoS Flow the cause value should be precise enough to enable the gNB-CU-CP to know the reason for the unsuccessful establishment.

If the *Security Information* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *UE DL Aggregate Maximum Bit Rate* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *UE DL Maximum Integrity Protected Data Rate* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *Bearer Context Status Change* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall consider the UE RRC state and act as specified in TS 38.401 [2].

If the *Data Forwarding Information Request* IE, *PDU Session Data Forwarding Information Request* IE or the *DRB Data Forwarding Information Request* IE are included in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall include the requested forwarding information in the *Data Forwarding Information Response* IE, *PDU Session Data Forwarding Information Response* IE or the *DRB Data Forwarding Information Response* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *PDCP Configuration* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information, except for the *PDCP SN UL Size* IE, the *PDCP SN DL Size* IE and the *RLC mode* IE which shall be ignored.

If the *E-UTRAN QoS* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *PDCP SN Status Request* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall act as specified in TS 38.401 [2] and include the *UL COUNT Value* IE and the *DL COUNT Value* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *PDCP SN Status Information* IE is contained in the *DRB To Setup List* IE or the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall take it into account and act as specified in TS 38.401 [2].

If the *DL UP Parameters* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *Cell Group To Add* IE or the *Cell Group To Modify* IE or the *Cell Group To Remove* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall add or modify or remove the corresponding cell group.

If the *PDU Session Resource DL Aggregate Maximum Bit Rate* IE is contained in the *PDU Session Resource To Setup List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall replace the information in the UE context and use it when enforcing downlink traffic policing for the non GBR QoS flows for the concerned UE, as specified in TS 23.501 [20].

If the *PDU Session Resource DL Aggregate Maximum Bit Rate* IE is contained in the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *SDAP Configuration* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

If the *Flow Mapping Information* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall update the corresponding information.

For each requested DRB, if the *PDCP Duplication* IE or *Additional PDCP duplication Information* IE is included in the *PDCP Configuration* IE contained in the BEARER CONTEXT MODIFICATION REQUEST message, then the gNB-CU-CP shall include two or more *UP Transport Layer Information* IEs in the BEARER CONTEXT MODIFICATION REQUEST message, and the gNB-CU-UP shall, if supported, also include two or more *UP Transport Layer Information* IEs in the BEARER CONTEXT MODIFICATION RESPONSE message to support packet duplication. If only one cell group is included in the *Cell Group Information* IE for the concerned DRB, then the gNB-CU-UP shall consider that the first *UP Transport Layer Information* IE of these *UP Transport Layer Information* IEs is for the primary path. If more than one cell group is included in the *Cell Group Information* IE, then the gNB-CU-UP shall consider that the number of duplication tunnels for each cell group is indicated by the *Numbe*r *of tunnels* IE, and that the first *UP Transport Layer Information* IE for each cell group is for the primary path or the split secondary path.

For a certain DRB which was allocated with two or more GTP-U tunnels, if such DRB is modified and given one GTP-U tunnel via the Bearer Context Modification (gNB-CU-CP initiated) procedure, i.e. only one UP Transport Layer Information per Cell Group ID is present in *DL UP Parameters* IE for the concerned DRB, then the gNB-CU-UP shall consider that PDCP duplication is deconfigured for this DRB. If such Bearer Context Modification (gNB-CU-CP initiated) procedure occurs, the *Duplication Activation* IE shall not be included for the concerned DRB.

If the *New UL TNL Information Required* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall include the new UP Transport Layer Information in the BEARER CONTEXT MODIFICATION RESPONSE message.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Setup List* IE of the BEARER CONTEXT MODIFICATION REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "preferred", then the gNB-CU-UP should, if supported, perform user plane integrity protection or ciphering, respectively, for the concerned PDU session and shall notify whether it performed the user plane integrity protection or ciphering by including the *Integrity Protection Result* IE or *Confidentiality Protection Result* IE, respectively, in the *PDU Session Resource Setup List* IE of the BEARER CONTEXT MODIFICATION RESPONSE message.

For each PDU session for which the *Security Indication* IE is included in the *PDU Session Resource To Setup List* IE of the BEARER CONTEXT MODIFICATION REQUEST message, and the *Integrity Protection Indication* IE or *Confidentiality Protection Indication* IE is set to "required", then the gNB-CU-UP shall perform user plane integrity protection or ciphering, respectively, for the concerned PDU Session. If the gNB-CU-UP cannot perform the user plane integrity protection or ciphering, it shall reject the setup of the PDU Session Resources with an appropriate cause value.

For each PDU session for which the Security Indication IE is included in the *PDU Session Resource To Setup List* of the BEARER CONTEXT MODIFICATION REQUEST message:

- if the *Integrity Protection Indication* IE is set to "not needed", then the gNB-CU-UP shall not perform user plane integrity protection for the concerned PDU session;

-if the *Confidentiality Protection Indication* IE is set to "not needed", then the gNB-CU-UP shall not perform user plane ciphering for the concerned PDU session.

For each PDU Session Resource, if the *Network Instance* IE is included in the *PDU Session Resource To Setup List* IE or the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message and the *Common Network Instance* IE is not included, the gNB-CU-UP shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [20].

For each PDU session, if the *Common Network Instance* IE is included in the *PDU Session Resource To Setup List* IE or the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, use it when selecting transport network resource as specified in TS 23.501 [20].

For each PDU session, if the *Redundant NG UL UP Transport Layer Information* IE is included in the *PDU Session Resource To Setup List* IE or the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, include the *Redundant NG DL UP Transport Layer Information* IE in the *PDU Session Resource Setup List* IE or the *PDU Session Resource Modified List* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *Redundant Common Network Instance* IE is included in the *PDU Session Resource To Setup List* IE or the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, use it when selecting transport network resource for the redundant transmission as specified in TS 23.501 [20].

For each PDU session for which the *Redundant QoS Flow Indicator* IE is included in *QoS Flows Information To Be Setup* IE contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if support, shall store and use it as specified in TS 23.501 [20].

For each PDU session, if the *Redundant QoS Flow Indicator* IE is set to false for all QoS flows, the gNB-CU-UP shall, if supported, stop the redundant transmission and release the redundant tunnel for the concerned PDU session as specified in TS 23.501 [20].

If the *QoS Flow Mapping Indication* IE is contained in the *QoS Flow QoS Parameters List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, replace any previously received value and take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

If the *Data Discard Required* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message and the value is set to “Required”, the gNB-CU-UP shall consider that a RAN Paging Failure occurred for that UE. The gNB-CU-UP shall discard the user plane data for that UE and consider that the bearer context is still suspended.

If *UE Inactivity Timer* IE or *PDU session Inactivity Timer* IE or *DRB Inactivity Timer* IE is contained in BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall take it into account when perform inactivity monitoring.

If the *S-NSSAI* IE is contained in the *PDU Session Resource To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall store the corresponding information and replace any existing information.

If the *DRB QoS* IE is contained within the *DRB To Setup List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, take it into account for each DRB, as specified in TS 28.552 [22].

If the *DRB QoS* IE is contained within the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, replace any previously received value and take it into account for each DRB, as specifed in TS 28.552 [22].

If the *gNB-DU-ID* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall store and replace any previous information received.

If the *RAN UE ID* IE is contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall store and replace any previous information received.

If the gNB-CU-UP receives a BEARER CONTEXT MODIFICATION REQUEST message including *Activity Notification Level* IE and its value does not match the current bearer context, the gNB-CU-UP shall ignore the *Activity Notification Level* IE and also the requested modification of inactivity timer.

For each successfully established DRB, the gNB-CU-UP shall provide, in the respective *UL UP Parameters* IE of the BEARER CONTEXT MODIFICATION RESPONSE, one UL UP Transport Layer Information Item per cell group entry contained in the respective *Cell Group Information* IE of the BEARER CONTEXT MODIFICATION REQUEST message.

If the *Old QoS Flow List - UL End Marker expected* IE is included in the *PDU Session Resource To Modify List* IE of the BEARER CONTEXT MODIFICATION REQUEST message for a DRB to be modified, the gNB-CU-UP shall consider that the source NG-RAN node has initiated QoS flow re-mapping and has not yet received SDAP end markers, as described in TS 38.300 [8]. The gNB-CU-UP shall consider that the *Old QoS Flow List - UL End Marker expected* IE only contains UL QoS flow information for QoS flows for which no SDAP end marker has been yet received on the source side.

For EN-DC, if the *Subscriber Profile ID for RAT/Frequency priority* IE is included in the BEARER CONTEXT MODIFICATION REQUEST, the gNB-CU-UP may use it to apply specific RRM policies as specified in TS 36.300 [25]. If the *Additional RRM Policy Index* IE is included in the BEARER CONTEXT MODIFICATION REQUEST, the gNB-CU-UP may use it to apply specific RRM policies as specified in TS 36.300 [25].

If there is at least one DRB removed by the gNB-CU-UP, the gNB-CU-UP shall, if supported, include the *Retainability Measurements Information* IE in the BEARER CONTEXT MODIFICATION RESPONSE message, providing information on the removed DRB(s) for retainability measurements in the gNB-CU-CP, as described in TS 32.425 [26] and TS 28.552 [22].

If the *TSC Traffic Characteristics* IE is included in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall, if supported, take into account the corresponding information received in the *TSC Traffic Characteristics* IE.

For each QoS flow whose DRB has been successfully established or modified and the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [20]. If the *QoS Monitoring Reporting Frequency* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall store this information, and, if supported, use it for RAN part delay reporting.

For each requested DRB, if the *QoS Mapping Information* IE is contained in the *DL UP Parameters* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall use it to set DSCP and/or flow label fields in the downlink IP packets which are transmitted through the GTP tunnels indicated by the *UP Transport Layer Information* IE. The Diffserv code point (DSCP) marking is performed as specified in TS 38.474 [28].

If the *Early Forwarding COUNT Request* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall act as specified in TS 38.401 [2] and include the requested *FIRST DL COUNT Value* IE or *DISCARD DL COUNT Value* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the *Early Forwarding COUNT Information* IE is contained in the *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message, the gNB-CU-UP shall take it into account and act as specified in TS 38.401 [2].

**Interaction with the Bearer Context Modification (gNB-CU-CP initiated)**

If the BEARER CONTEXT MODIFICATION REQUEST message includes for a DRB in the *DRB To Modify List* IE the *PDCP SN Status Request IE* set to “requested” and if the gNB-CU-UP has not yet received a SDAP end marker packet for a QoS flow which has been previously re-configured to another DRB by means of a gNB-CU-CP initiated Bearer Context Modification procedure, the gNB-CU-UP shall includes the QoS Flow Identifier of that QoS flow in the *Old QoS Flow List - UL End Marker expected* IE in the *PDU Session Resource Modified List* IE in the BEARER CONTEXT MODIFICATION RESPONSE message.

If the Polluted Measurement Indicator IE is included in the BEARER CONTEXT MODIFICATION REQUEST, the gNB-CU-UP shall take this information into account as specified in TS 38.401 [2].

Next change

#### 9.2.2.1 BEARER CONTEXT SETUP REQUEST

This message is sent by the gNB-CU-CP to request the gNB-CU-UP to setup a bearer context.

Direction: gNB-CU-CP → gNB-CU-UP

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU-CP UE E1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| Security Information | M |  | 9.3.1.10 |  | YES | reject |
| UE DL Aggregate Maximum Bit Rate | M |  | Bit Rate 9.3.1.20 |  | YES | reject |
| UE DL Maximum Integrity Protected Data Rate | O |  | Bit Rate 9.3.1.20 | The Bit Rate is a portion of the UE’s Maximum Integrity Protected Data Rate, and is enforced by the gNB-CU-UP node. | YES | reject |
| Serving PLMN | M |  | PLMN Identity  9.3.1.7 |  | YES | ignore |
| Activity Notification Level | M |  | 9.3.1.67 |  | YES | reject |
| UE Inactivity Timer | O |  | Inactivity Timer  9.3.1.54 | Included if the Activity Notification Level is set to UE. | - | - |
| Bearer Context Status Change | O |  | ENUMERATED (Suspend, Resume, …) | Indicates the status of the Bearer Context | YES | reject |
| CHOICE *System* | M |  |  |  | YES | reject |
| *>E-UTRAN* |  |  |  |  |  |  |
| >>DRB To Setup List | M |  | DRB To Setup List E-UTRAN  9.3.3.1 |  | YES | reject |
| >>Subscriber Profile ID for RAT/Frequency priority | O |  | 9.3.1.69 |  | YES | ignore |
| >>Additional RRM Policy Index | O |  | 9.3.1.70 |  | YES | Ignore |
| *>NG-RAN* |  |  |  |  |  |  |
| >>PDU Session Resource To Setup List | M |  | 9.3.3.2 |  | YES | reject |
| RAN UE ID | O |  | OCTET STRING (SIZE(8)) |  | YES | ignore |
| gNB-DU ID | O |  | 9.3.1.65 | Included whenever it is known by the gNB-CU-CP | YES | ignore |
| Trace Activation | O |  | 9.3.1.68 |  | YES | ignore |
| NPN Context Information | O |  | 9.3.1.84 |  | YES | reject |
| Management Based MDT PLMN List | O |  | MDT PLMN List  9.3.1.89 |  | YES | ignore |
| CHO Initiation | O |  | ENUMERATED (True, …) |  | YES | reject |
| Polluted Measurement Indicator | O |  | BITSTRING  (SIZE(16)) | Each position in the bitmap indicates that a measurement pollution factor is reported.  First Bit = IDC Second Bit = Overheating.  Value "1" indicates that the associated measurement pollution factor is reported and value "0" indicates that no measurement pollution due to the associated factor is reported.  This version of the specification uses bit 1, and bit 2. | YES | ignore |

Next change

#### 9.2.2.4 BEARER CONTEXT MODIFICATION REQUEST

This message is sent by the gNB-CU-CP to request the gNB-CU-UP to modify a bearer context.

Direction: gNB-CU-CP → gNB-CU-UP

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU-CP UE E1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-CU-UP UE E1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| Security Information | O |  | 9.3.1.10 |  | YES | reject |
| UE DL Aggregate Maximum Bit Rate | O |  | Bit Rate 9.3.1.20 |  | YES | reject |
| UE DL Maximum Integrity Protected Data Rate | O |  | Bit Rate 9.3.1.20 | The Bit Rate is a portion of the UE’s Maximum Integrity Protected Data Rate, and is enforced by the gNB-CU-UP node. | YES | reject |
| Bearer Context Status Change | O |  | ENUMERATED (Suspend, Resume, …) | Indicates the status of the Bearer Context | YES | reject |
| New UL TNL Information Required | O |  | ENUMERATED (required, …) | Indicates that new UL TNL information has been requested to be provided. | YES | reject |
| UE Inactivity Timer | O |  | Inactivity Timer  9.3.1.54 | Included if the Activity Notification Level is set to UE. | - | - |
| Data Discard Required | O |  | ENUMERATED (required, …) | Indicate to discard the DL user data in case of RAN paging failure. | YES | ignore |
| CHOICE *System* | O |  |  |  | YES | reject |
| *>E-UTRAN* |  |  |  |  |  |  |
| >>DRB To Setup List | O |  | DRB To Setup Modification List E-UTRAN  9.3.3.7 |  | YES | reject |
| >>DRB To Modify List | O |  | DRB To Modify List E-UTRAN  9.3.3.8 |  | YES | reject |
| >>DRB To Remove List | O |  | DRB To Remove List E-UTRAN  9.3.3.9 |  | YES | reject |
| >>Subscriber Profile ID for RAT/Frequency priority | O |  | 9.3.1.69 |  | YES | ignore |
| >>Additional RRM Policy Index | O |  | 9.3.1.70 |  | YES | ignore |
| *>NG-RAN* |  |  |  |  |  |  |
| >>PDU Session Resource To Setup List | O |  | PDU Session Resource To Setup Modification List  9.3.3.10 |  | YES | reject |
| >>PDU Session Resource To Modify List | O |  | 9.3.3.11 |  | YES | reject |
| >>PDU Session Resource To Remove List | O |  | 9.3.3.12 |  | YES | reject |
| RAN UE ID | O |  | OCTET STRING (SIZE(8)) |  | YES | ignore |
| gNB-DU ID | O |  | 9.3.1.65 |  | YES | ignore |
| Activity Notification Level | O |  | 9.3.1.67 |  | YES | ignore |
| Polluted Measurement Indicator | O |  | BITSTRING  (SIZE(16)) | Each position in the bitmap indicates that a measurement pollution factor is reported.  First Bit = IDC Second Bit = Overheating.  Value "1" indicates that the associated measurement pollution factor is reported and value "0" indicates that no measurement pollution due to the associated factor is reported.  This version of the specification uses bit 1, and bit 2. | YES | ignore |

End change