**3GPP TSG-RAN WG3 #107bis-e** [**R3-20**](file:///D:\3GPPmeeting\202004%20RAN3%20107bis-e\TSGR3_107bis_e\Inbox\TSGR3_107bis_e\Inbox\Drafts\CB%20%23%2088_TDD_pattern_NR-DC_pwr_ctrl\Inbox\R3-202557.zip)**4314**

**1-12 June 2020 Online**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.463** | **CR** | **0502** | **rev** | **3** | **Current version:** | **16.1.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | PDCP Status Report indication in PDCP-Configuration | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | China Telecom, Huawei, CATT, ZTE | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-06-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | According to TS38.300, PDCP Status report per DRB can be configured separately in downlink and uplink direction. However, in TS38.463, the PDCP Status report related configuration information has not been included in PDCP-Config IE since Rel-15. Therefore, it is need to introduce a new IE in PDCP Configuration IE to indicate the downlink and uplink configuration of PDCP status report per DRB. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add a new IE PDCP status report indication into PDCP Configuration IE.  Udapted the corresponding ASN.1  Impact analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has an isolated impact towards the previous version of the specification (same release). | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | It is not clear on gNB-CU-UP’s behaviour without PDCP status report configuration. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 9.3.1.38, 9.4.5, 9.4.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev: udpate Semantics description and asn.1 | | | | | | | | |

////////////////////////////////////////////////////////////////////////Start of Change///////////////////////////////////////////////////////////////////////////

#### 9.3.1.38 PDCP Configuration

This IE carries the PDCP configuration.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** | **Criticality** | **Assigned Criticality** |
| PDCP SN UL Size | M |  | PDCP SN Size  9.3.1.61 | Indicates the PDCP SN UL size in bits. For more information see *PDCP-Config IE* in TS 38.331 [10].  Is ignored if received through *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message. | - | - |
| PDCP SN DL Size | M |  | PDCP SN Size  9.3.1.61 | Indicates the PDCP SN DL size in bits. For more information see *PDCP-Config IE* in TS 38.331 [10].  Is ignored if received through *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message. | - | - |
| RLC mode | M |  | ENUMERATED (RLC-TM, RLC-AM, RLC-UM-Bidirectional, RLC-UM-Unidirectional-UL, RLC-UM-Unidirectional-DL, …) | Indicates the RLC mode for the DRB. For more information see *PDCP-Config IE* in TS 38.331 [10].  Is ignored if received through *DRB To Modify List* IE in the BEARER CONTEXT MODIFICATION REQUEST message. | - | - |
| ROHC Parameters | O |  | 9.3.1.40 |  | - | - |
| T-Reordering Timer | O |  | 9.3.1.41 |  | - | - |
| Discard Timer | O |  | 9.3.1.42 |  | - | - |
| UL Data Split Threshold | O |  | 9.3.1.43 |  | - | - |
| PDCP Duplication | O |  | ENUMERATED (True, …) | Indicates whether PDCP duplication is to be configured for the DRB. | - | - |
| PDCP Re-establishment | O |  | ENUMERATED (true,…) | Indicates PDCP entity re-establishment to be triggered as defined in TS 38.323 [17] | - | - |
| PDCP Data Recovery | O |  | ENUMERATED (true,…) | Indicates PDCP data recovery to be triggered as defined in TS 38.323 [17] | - | - |
| Duplication Activation | O |  | ENUMERATED (  Active, Inactive, …) | Information on the initial state of DL PDCP duplication | - | - |
| Out Of Order Delivery | O |  | ENUMERATED (true,…) | Indicates whether or not outOfOrderDelivery specified in TS 38.323 [17] is configured. Out of order delivery is configured only when the radio bearer is established. | - | - |
| PDCP Status Report Indication | O |  | ENUMERATED (downlink, uplink, both, …) | For AM DRBs, “downlink” indlicates to send PDCP status report in downlink direction ,”uplink” indicates UE is to send the PDCP status report,and both” indicates that both “downlink” and “uplink” should be applied ,as specified in TS 38.323 [17] | YES | ignore |

////////////////////////////////////////////////////////////////////////Start of Next Chnage/////////////////////////////////////////////////////////////////////

### 9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

E1AP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) e1ap (5) version1 (1) e1ap-IEs (2) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

id-CommonNetworkInstance,

id-SNSSAI,

id-OldQoSFlowMap-ULendmarkerexpected,

id-DRB-QoS,

id-endpoint-IP-Address-and-Port,

id-NetworkInstance,

id-QoSFlowMappingIndication,

id-TNLAssociationTransportLayerAddressgNBCUUP,

id-Cause,

id-QoSMonitoringRequest,

id-PDCP-StatusReportIndication,

maxnoofErrors,

maxnoofSliceItems,

maxnoofEUTRANQOSParameters,

maxnoofNGRANQOSParameters,

maxnoofDRBs,

maxnoofPDUSessionResource,

maxnoofQoSFlows,

maxnoofUPParameters,

maxnoofCellGroups,

maxnooftimeperiods,

maxnoofNRCGI,

maxnoofTLAs,

maxnoofGTPTLAs

FROM E1AP-Constants

Criticality,

ProcedureCode,

ProtocolIE-ID,

TriggeringMessage

FROM E1AP-CommonDataTypes

ProtocolExtensionContainer{},

ProtocolIE-SingleContainer{},

E1AP-PROTOCOL-EXTENSION,

E1AP-PROTOCOL-IES

FROM E1AP-Containers;

-- A

//skip the unchanged part

-- P

PacketDelayBudget ::= INTEGER (0..1023, ...)

PacketErrorRate ::= SEQUENCE {

pER-Scalar PER-Scalar,

pER-Exponent PER-Exponent,

iE-Extensions ProtocolExtensionContainer { {PacketErrorRate-ExtIEs} } OPTIONAL,

...

}

PacketErrorRate-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

PER-Scalar ::= INTEGER (0..9, ...)

PER-Exponent ::= INTEGER (0..9, ...)

PDCP-Configuration ::= SEQUENCE {

pDCP-SN-Size-UL PDCP-SN-Size,

pDCP-SN-Size-DL PDCP-SN-Size,

rLC-Mode RLC-Mode,

rOHC-Parameters ROHC-Parameters OPTIONAL,

t-ReorderingTimer T-ReorderingTimer OPTIONAL,

discardTimer DiscardTimer OPTIONAL,

uLDataSplitThreshold ULDataSplitThreshold OPTIONAL,

pDCP-Duplication PDCP-Duplication OPTIONAL,

pDCP-Reestablishment PDCP-Reestablishment OPTIONAL,

pDCP-DataRecovery PDCP-DataRecovery OPTIONAL,

duplication-Activation Duplication-Activation OPTIONAL,

outOfOrderDelivery OutOfOrderDelivery OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PDCP-Configuration-ExtIEs } } OPTIONAL,

...

}

PDCP-Configuration-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

{ID id-PDCP-StatusReportIndication CRITICALITY ignore EXTENSION PDCP-StatusReportIndication PRESENCE optional},

...

}

PDCP-Count ::= SEQUENCE {

pDCP-SN PDCP-SN,

hFN HFN,

iE-Extensions ProtocolExtensionContainer { { PDCP-Count-ExtIEs } } OPTIONAL,

...

}

PDCP-Count-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

PDCP-SN-Status-Request ::= ENUMERATED {

requested,

...

}

PDCP-DataRecovery ::= ENUMERATED {

true,

...

}

PDCP-Duplication ::= ENUMERATED {

true,

...

}

PDCP-Reestablishment ::= ENUMERATED {

true,

...

}

PDU-Session-Resource-Data-Usage-List ::= SEQUENCE (SIZE(1.. maxnoofPDUSessionResource)) OF PDU-Session-Resource-Data-Usage-Item

PDU-Session-Resource-Data-Usage-Item ::= SEQUENCE {

pDU-Session-ID PDU-Session-ID,

mRDC-Usage-Information MRDC-Usage-Information,

iE-Extensions ProtocolExtensionContainer { { PDU-Session-Resource-Data-Usage-Item-ExtIEs } } OPTIONAL,

...

}

PDU-Session-Resource-Data-Usage-Item-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

PDCP-SN ::= INTEGER (0..262143)

PDCP-SN-Size ::= ENUMERATED {

s-12,

s-18,

...

}

PDCP-SN-Status-Information ::= SEQUENCE {

pdcpStatusTransfer-UL DRBBStatusTransfer,

pdcpStatusTransfer-DL PDCP-Count,

iE-Extension ProtocolExtensionContainer { {DRBsSubjectToStatusTransfer-Item-ExtIEs} } OPTIONAL,

...

}

PDCP-StatusReportIndication ::= ENUMERATED {

downlink,

uplink,

both,

...

}

DRBsSubjectToStatusTransfer-Item-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

DRBBStatusTransfer ::= SEQUENCE {

receiveStatusofPDCPSDU BIT STRING (SIZE(1..131072)) OPTIONAL,

countValue PDCP-Count,

iE-Extension ProtocolExtensionContainer { {DRBBStatusTransfer-ExtIEs} } OPTIONAL,

...

}

DRBBStatusTransfer-ExtIEs E1AP-PROTOCOL-EXTENSION ::= {

...

}

PDU-Session-ID ::= INTEGER (0..255)

////////////////////////////////////////////////////////////////////////Start of Next Change///////////////////////////////////////////////////////////////////////////

### 9.4.7 Constant Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

E1AP-Constants {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) e1ap (5) version1 (1) e1ap-Constants (4) }

DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

ProcedureCode,

ProtocolIE-ID

FROM E1AP-CommonDataTypes;

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Elementary Procedures

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

id-reset ProcedureCode ::= 0

id-errorIndication ProcedureCode ::= 1

id-privateMessage ProcedureCode ::= 2

//skip the unchanged part

id-NetworkInstance ProtocolIE-ID ::= 79

id-QoSFlowMappingIndication ProtocolIE-ID ::= 80

id-TraceActivation ProtocolIE-ID ::= 81

id-TraceID ProtocolIE-ID ::= 82

id-SubscriberProfileIDforRFP ProtocolIE-ID ::= 83

id-AdditionalRRMPriorityIndex ProtocolIE-ID ::= 84

id-RetainabilityMeasurementsInfo ProtocolIE-ID ::= 85

id-Transport-Layer-Address-Info ProtocolIE-ID ::= 86

id-QoSMonitoringRequest ProtocolIE-ID ::= 87

id-PDCP-StatusReportIndication ProtocolIE-ID ::= aa

END

-- ASN1STOP

////////////////////////////////////////////////////////////////////////End of the Changes///////////////////////////////////////////////////////////////////////////