**3GPP TSG RAN WG1 Meeting #109-e R1-220xxxx**

**E-Meeting, May 9 – May 20, 2022**

**Agenda Item: 7.2.5**

**Source: Moderator (Huawei, HiSilicon)**

**Title: Summary of [109-e-R16-URLLC-07] Issue#8: Remaining issues on UL prioritization cases related to SP-CSI**

**Document for: Discussion and Decision**

# Introduction

Following email thread is dedicated to discuss the remaining issues on UL prioritization cases related to SP-CSI’. This issue has been raised in R1-2204895 [1].

[109-e-R16-URLLC-07] Issue#8: Remaining issues on UL prioritization cases related to SP-CSI by May 13 – Thorsten (Huawei)

**Due to the short time available for discussion, please provide you input for the first round before May 10, 17:00 pm (UTC)**

**Background**

In Rel-16, for handling collision between a high priority UL channel and a low priority UL channel, the low priority UL channel will be canceled. In R1-2204895 [1], it is raised that according to the current specification some cases related to SP-CSI are missing and consequently, the UE behavior for these cases is not clear and may cause misunderstanding between gNB and UE.

The following was observed during the meeting preparation for RAN1#109-e:

“*In Rel-16, for handling collision between a high priority UL channel and low priority UL channel, some cases related to SP-CSI are missing. This issue has been raised in R1-2201627 last meeting, but was not treated last meeting. During the discussion for RAN1#108-e, 9 companies (Samsung, DCM, OPPO, Nokia/NSB, vivo, Sharp, New H3C, Huawei/HiSilicon, Ericsson) prefer or fine to discuss, while 3 companies (Qualcomm, Intel, ZTE) think it is not essential, since the collision is not in Rel-16 scope given prioritization between DG and CG PUSCH with different PHY priorities are not support in Rel-16. However, as further clarified in R1-2204895, according to the current specification it is not clear whether this kind of collision is supported or not, better to make it clearer in the spec. Note that the intention of the contribution is not to introduce enhancements to support this kind of collision*”.

# Discussion

## Round 1

**Initial moderator remark:**

During the scope setting of RAN1#108-e, this issue was brought up already and companies have had a different understanding. As outcome, this issue was not included in the discussion, but the different understandings remained. During this meeting we should target to get a common view, and if needed, clarify the specification to avoid misunderstanding between the gNB and UE.

In [1] it is raised that 4 cases are missing from the current spec for HP/LP PUSCH overlap where at least one channel has SP-CSI and the corresponding UE behaviour should be defined. These 4 cases are:

* LP PUSCH with DCI vs HP PUSCH with SP-CSI without DCI
* LP CG PUSCH vs HP PUSCH with SP-CSI without DCI
* LP PUSCH with SP-CSI without DCI vs HP PUSCH with SP-CSI without DCI
* LP PUSCH with SP-CSI without DCI vs HP PUSCH with DCI

In general and based on the comments given by companies prior to this discussion, there are 3 Options:

**Option 1:** PUSCH with SP-CSI follows the CG or DG rules

**Option 2:** PUSCH with SP-CSI is always cancelled by PUSCH with data (according to Section 5.2.5 in 38.214)

**Option 3:** The missing cases are error cases

For Option 1, in Rel-15 according to clause 5.2.5 in 38.214, if a PUSCH with SP-CSI overlaps with a PUSCH with data, the UE will always transmit the PUSCH with data. Thus, in Rel-15 PUSCH prioritization rules for SP-CSI vs data prioritization are defined. Independent from this, also CG vs DG prioritization rules are defined in 38.321 for Rel-15, but they focus only on PUSCH with data. Thus, according to the moderator’s understanding, a PUSCH with SP-CSI is not regarded as CG and not as DG either. For Option 1, before being able to apply the CG vs DG rules, a discussion and clarification would be needed whether PUSCH with SP-CSI shall be regarded as DG or CG (if any).

For option 2, in Rel-16, the question would be whether the priority rules for PUSCH with SP-CSI and PUSCH with data, as they are defined in 38.214, can also be applied to PUSCHs with different priorities. That means, should also in Rel-16 a PUSCH with data always override a PUSCH with SP-CSI regardless of their priorities? This seems not reasonable since it can result in that a LP PUSCH with data overrides a HP PUSCH with SP-CSI.

To avoid a misunderstanding between the gNB and UE, and since both Option 1 and Option 2 would be complicated to discuss at this late stage or could also result in not reasonable behaviour, it is suggested in [1] to define the 4 missing cases as error cases and the following proposal is made:

***Proposal 1: For the overlap between LP PUSCH and HP PUSCH for the following cases, the UE is not expected to be scheduled and the TP from Appendix 1 in [1] is adopted for 38.213***

* + ***HP PUSCH with DCI and LP PUSCH with SP-CSI without DCI;***
  + ***HP PUSCH with SP-CSI without DCI and LP PUSCH with DCI;***
  + ***HP PUSCH with SP-CSI without DCI and LP CG PUSCH;***
  + ***HP PUSCH with SP-CSI without DCI and LP PUSCH with SP-CSI without DCI.***

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| **9 UE procedure for reporting control information**  <unchanged text omitted>  A UE does not expect that a PUCCH carrying SL HARQ-ACK reports overlaps with PUSCH with aperiodic or semi-persistent CSI reports.  A UE does not expect to be scheduled to transmit a PUCCH or a PUSCH with smaller priority index that would overlap in time with a PUCCH of larger priority index with HARQ-ACK information only in response to a PDSCH reception without a corresponding PDCCH. A UE does not expect to be scheduled to transmit a PUCCH/PUSCH of smaller priority index that would overlap in time with a PUSCH of larger priority index with SP-CSI report(s) without a corresponding PDCCH. A UE does not expect to be scheduled to transmit a PUSCH of smaller priority index with SP-CSI report(s) without a corresponding PDCCH that would overlap in time with a PUSCH of larger priority with DCI.  <unchanged text omitted> |

**Q1: Companies are encouraged to share their view on Proposal 1. If you don’t support it, please give also your reasons.**

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| Company | Comments |
| vivo | o HP PUSCH with DCI and LP PUSCH with SP-CSI without DCI;  o HP PUSCH with SP-CSI without DCI and LP PUSCH with DCI;  For the above two cases, considering that overlapping between DG PUSCH and CG PUSCH of different priorities is not supported in Rel-16, it is ok to be concluded as error case.  o HP PUSCH with SP-CSI without DCI and LP CG PUSCH;  o HP PUSCH with SP-CSI without DCI and LP PUSCH with SP-CSI without DCI.  For these two cases, considering the PUSCH with SP-CSI and CG PUSCH are both periodical, we are wondering whether these overlapping cases can be avoided from configuration perspective. If gNB venders have no concern on this limitation, we are fine to treat these as error cases.  [Moderator]: Thanks for your comment. Please see my thinking below in the “moderator comments” |
| CATT | In our view, the principle that a HP channel cancels a LP channel applies to SP-CSI without DCI as well.   * + ***HP PUSCH with DCI and LP PUSCH with SP-CSI without DCI;***   + ***HP PUSCH with SP-CSI without DCI and LP PUSCH with DCI;***   + ***HP PUSCH with SP-CSI without DCI and LP CG PUSCH;***   + ***HP PUSCH with SP-CSI without DCI and LP PUSCH with SP-CSI without DCI.***   Our views for the above four cases are as follows.  The 1st case is a valid case and the HP PUSCH with DCI cancels LP PUSCH with SP-CSI without DCI.  The 2nd case is an error case since it does not make sense for a gNB to schedule a LP PUSCH which is expected to be cancelled.  The 3rd and 4th cases are also valid cases where HP PUSCH cancels LP PUSCH.  [Moderator]: Thanks for your comment. Please see my thinking below in the “moderator comments” |
| Nokia/NSB | On the identified cases by the moderator, we are wondering if there is not actually **a 5th case** that would need to be considered, namely **LP PUSCH with SP-CSI without DCI and HP CG PUSCH?**  The only thing in the current specs were this may be interpreted is the following, overlapping CG & CG PUSCH – which unfortunately again in R16 v16.9.0 and R17 17.1.0 have a different notation there:  From v16.9.0:  - a configured grant PUSCH of larger priority index and a configured PUSCH of smaller priority index on a same serving cell  From v17.1.0:  - a configured grant PUSCH of larger priority index and a configured grant PUSCH of smaller priority index on a same serving cell  Overall, we think that we should define the same handling for all the cases (error case or HP PUSCH cancelling LP PUSCH), but not do a case by case specific selection at this rather late stage in the R16 maintenance.  [Moderator]: Thanks for your comment. Please see my thinking below in the “moderator comments” |
| DOCOMO | o HP PUSCH with DCI and LP PUSCH with SP-CSI without DCI;  o HP PUSCH with SP-CSI without DCI and LP PUSCH with DCI;  Share the same view as vivo. For the above two cases, it is ok to be concluded as error case as DG/CG PUSCH overlapping is not supported in Rel-16.  o HP PUSCH with SP-CSI without DCI and LP CG PUSCH;  o HP PUSCH with SP-CSI without DCI and LP PUSCH with SP-CSI without DCI.  For these two cases, we also have similar concern to vivo that it would lead to scheduling restriction considering the PUSCH with SP-CSI and CG PUSCH are both periodical. Assuming the two cases are similar to the overlapping case of HP CG PUSCH and LP CG PUSCH, it could be concluded that HP PUSCH is prioritized for the two cases. However, as we understand that such an optimization may not be preferrable for some companies at this late stage, we would be fine to conclude the two cases are also error cases.  [Moderator]: Thanks for your comment. Please see my thinking below in the “moderator comments” |
| Moderator | **@ vivo:** Thanks for your feedback. For the last two cases, if they are supposed to be avoided by NW configuration, then they also could be defined as an error case, this would make it safer. One gNB vendor (Nokia) has answered already, that it would be ok to treat all cases as error case. But we can wait for more feedback if any other gNB vendor would have a strong concern for that.  **@CATT:** The prioritization between DG/CG or between CG/CG with different PHY priorities is not supported in Rel-16. For case 1/3/4 above, the operation that HP PUSCH cancels LP PUSCH is only supported in Rel-17 but not Rel-16.  **@Nokia:** You have raised 2 issues i) whether there is a 5th case and ii) to treat all cases in a similar way.  For i), according to Rel-16 spec, as you pointed out, the HP CG PUSCH would be transmitted and the LP configured PUSCH is cancelled. Wouldn’t this be sufficient for here, since we are discussing Rel-16 maintenance only? Or do you mean that the LP configured PUSCH actually means a LP configured **grant** PUSCH (since this is how it will be captured in Rel17). If this is wrong in Rel-16, in that case, it is maybe a good opportunity to include it in the CR we are discussing here? So I think for this issue, we have 2 options. Either we have 4 cases and base this on the rel-16 wording you marked in blue in your comment, or we capture the 5th case you brought up as well, and then also correct the Rel-16 spec by inserting the word “grant” before PUSCH. What do you think?  For ii) I agree, that all 4 (or 5) cases should be treated in the same way. And both of your options are possible in theory. But treating them as error case is simpler and straight forward. Also, regarding the other option (cancel the LP) received some negative feedback during the preparation of last meeting, since it also could result in partial cancellation and would trigger further discussion  **@DOCOMO:** Thanks a lot for sharing your thoughts and your flexibility. |
| Nokia/NSB 3 | **@ Moderator:** Thanks for the reply. I personally think it should be at least aligned in both – Rel-16 & R-17 for the same functionality. And I guess the intention had been CG vs. CG PUSCH for both (captured in R17, but missing from R16). Otherwise the 5th case would only be in R17 based on the R16 functionalities, which is very weird. I guess we should prevent any divergence of R16 & R17 specs for clear R16 specifications (if not seen needed otherwise). |

## Round 2

TBD.

# Outcome

TBD.

# References

1. R1-2204895 “Remaining issues on UL prioritization cases related to SP-CSI”, RAN1#109-e, e-Meeting, May 9-20, 2022, Huawei, HiSilicon