3GPP TSG RAN WG1 #105-e R1-210xxxx

**e-Meeting, May 10th – 27th, 2021**

Agenda Item: 7.2.4

Source: Moderator (Ericsson)

Title: Feature lead summary#1 on Resource allocation for NR sidelink Mode 1 – Thread 4

Document for: Discussion, Decision

# List of topics

**Group M1 – SL HARQ-ACK reports to gNB**

* **M1-1-1**: SL HARQ-ACK reporting when SL FB is not used (see CATT (P1-P3), OPPO (Section 2), Ericsson)
	+ This topic is related to Q1 in the LS from RAN2 (R1-2104559) which is discussed in some contributions (see LGE (P2))
	+ FL assessment: A correction is needed.
* **M1-1-2**: SL HARQ-ACK reporting when the UE does not perform SL transmission on the resources provided by a DG (see Fujitsu (P1), DCM (TP1))
	+ FL assessment: This has been discussed in the past without consensus. A correction could be introduced but not everyone believes it is necessary. It can be discussed together with M1-1-1.
* **M1-1-3**: SL HARQ-ACK reporting when multiple pools are configured (see vivo (TP3), ZTE (P2), ASUSTeK (TP1))
	+ FL assessment: There were objections to treat this in the preparation of the previous meeting, stating that this could be addressed through configuration. In any case, a correction of a clarification of the behaviour could be discussed.
* **M1-1-4**: SL HARQ-ACK reporting in an incomplete PSFCH period (see vivo (TP4), ZTE (P1))
	+ FL assessment: There were objections to treat this in the preparation of the previous meeting, stating that this could be addressed through configuration.
* **M1-1-5**: Aspects related to PUCCH power control (see vivo (TP5))
	+ FL assessment: a clarification seems necessary
* **M1-1-6**: k>0 in offset between PSFCH and HARQ-ACK reporting (see Sharp (TP3))
	+ FL assessment: Not a critical correction.

**Group M2 – DCI-related aspects**

* **M1-2-1**: Value of n\_CI (see vivo (TP1))
	+ FL assessment: looks like a necessary correction
* **M1-2-2**: DCI size alignment (see vivo (TP2))
	+ FL assessment: It is not clear that there is an issue with the specification. in any case, the change is almost editorial.
* **M1-2-3**: Configuration index in DCI format 3\_0 for SL-CS-RNTI for retransmissions (see ASUSTeK (TP5), Sharp (TP1))
	+ FL assessment: clarification looks ok, but it is not clear that there is any impact if not taken.
* **M1-2-4**: Search space overlapping between SL and Uu in the same carrier (LGE (P1))
	+ FL assessment: it looks like the corresponding agreements have not been captured in the spec.

**Group M3 – Editorial corrections**

* **38.213**
	+ Clause 10.2A: clarification of the CG validated (ASUSTeK (TP4))
	+ Clause 16.5: Correct “One HARQ-ACK information bit” (Sharp (TP4))
		- FL assessment: The correction seems reasonable but it was discussed earlier without consensus.
* **38.214**
	+ Clause 8.1.2: correct reference (ASUSTeK (TP3))
	+ Clause 8.1.2.1:
		- Indicate how the “Configuration index” field is set (see ZTE (P5), ASUSTeK (TP3))
		- RRC parameter name alignment *timeGapFirstSidelinkTransmission* (ASUSTeK (TP3))
	+ Clause 8.4.1.2.2 typo (see OPPO (TP3))

**Group M4 – TPs corresponding to agreements in previous meetings**

* TS 38.213 Clause 16.5: Agreement/LS from RAN1#104, reply LS received in R2-2104463 (see vivo (TP6), ZTE (P4), Nokia+NSB (P1), DCM (TP2))

A few contributions discuss topics like priorities of SL HARQ feedback that have been treated by other FLs in the past. There are also some proposed editorial corrections belonging to other AIs.

FL proposal:

* For a first thread: discuss M1-1-1.
* For a second thread: one of M1-2-1 or M1-1-3.

As agreed at the start of the meeting, the following related threads will be discussed:

[105-e-NR-5G\_V2X-02] Email discussion/approval regarding

* Issue M1-1-1: SL HARQ-ACK reporting when SL FB is not used (considering LS in [R1-2104559](file:///C%3A%5C%5CUsers%5C%5Cwanshic%5C%5COneDrive%20-%20Qualcomm%5C%5CDocuments%5C%5CStandards%5C%5C3GPP%20Standards%5C%5CMeeting%20Documents%5C%5CTSGR1_105%5C%5CDocs%5C%5CR1-2104559.zip))

till 5/24 with any follow-up TPs till 5/26 – Ricardo (Ericsson)

[105-e-NR-5G\_V2X-03] Email discussion/approval regarding

* Issue M1-2-1: Value of n\_CI

till 5/24 with any follow-up TPs till 5/26 – Ricardo (Ericsson)

[105-e-NR-5G\_V2X-04] Email discussion/approval regarding

* Issue M1-4: TPs corresponding to agreements in previous meetings (Agreement/LS from RAN1#104, reply LS received in [R1-2104160](file:///C%3A%5C%5CUsers%5C%5Cwanshic%5C%5COneDrive%20-%20Qualcomm%5C%5CDocuments%5C%5CStandards%5C%5C3GPP%20Standards%5C%5CMeeting%20Documents%5C%5CTSGR1_105%5C%5CDocs%5C%5CR1-2104160.zip), M1-2-2: DCI size alignment)

till 5/24 – Ricardo (Ericsson)

This document deals with issue M1-4. In addition, it includes some editorial corrections too.

# M1-4 TPs corresponding to agreements made in previous meetings

RAN1 has received the LS in R1-2104160 from RAN2 with the following information:

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| RAN2 would like to thank RAN1 for informing RAN2 of the issue 1 and issue 2 on SL HARQ-ACK reporting to the gNB. * On Issue 1: RAN2 defines a new parameter *sl-N1PUCCH-AN-Type2-r16* to indicate the HARQ resource for PUCCH for PSCCH/PSSCH transmissions without a corresponding PDCCH on sidelink configured grant type 2.

| ***SL-ConfiguredGrantConfig* field descriptions** |
| --- |
| ***sl-N1PUCCH-AN-Type2***This field indicates the HARQ resource for PUCCH for PSCCH/PSSCH transmissions without a corresponding PDCCH on sidelink configured grant type 2. The actual PUCCH-Resource is configured in *sl-PUCCH-Config* and referred to by its ID. |

* On Issue 2: RAN2 agrees to clarify in the field description of *pdsch-HARQ-ACK-Codebook* and *pdsch-HARQ-ACK-CodebookList* that the parameter *pdsch-HARQ-ACK-Codebook* is always used for reporting SL HARQ-ACK information.
 |

Several contributions include TPs for TS 38.213 for dealing with Issue 1. Based on them, the FL proposes to agree the following changes to the spec.

FL update 20/5/21:

* There seems to be wide support for the editorial change proposed by OPPO. I have included it.
* There are two proposals (by vivo and DCM) on having additional clarifications but a bit more discussion is necessary. I think that they are reasonable additions. Let’s have one more round of discussion to gather more views on them.

FL update 24/5/21:

* Views are split regarding one of the modifications (by vivo). The modification consits of adding:
	+ For a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH a PUCCH resource can be provided by *sl-N1PUCCH-AN-Type2*. If sl-N1PUCCH-AN-Type2 is absent, no PUCCH is provided for the SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH.
* No comments have been made regarding teh other modification (by DOCOMO). The modification consits of adding:
	+ If a UE transmits HARQ-ACK information corresponding only to ~~For~~ a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH, a PUCCH resource for corresponding PUCCH transmission with HARQ-ACK information can be provided by *sl-N1PUCCH-AN-Type2*.
* My impression is that both changes are justified and the corresponding behavior is not described anywhere else in the specificaiton. Thus, I have prepared two alternative TPs. Alternative 1 corresponds to the one discussed so far. Alternative 2 extends the TP discussed so far with vivo’s and DOCOMO’s suggestions. Please state your preference in the comments.

Alternative 1:

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| **-------------------------- Start of Text Proposal for TS 38.213 --------------------------**16.5 UE procedure for reporting HARQ-ACK on uplink**<Unchanged parts omitted>**With reference to slots for PUCCH transmissions and for a number of PSFCH reception occasions ending in slot $n$, the UE provides the generated HARQ-ACK information in a PUCCH transmission within slot $n+k$, subject to the overlapping conditions in Clause 9.2.5, where $k$ is a number of slots indicated by a PSFCH-to-HARQ\_feedback timing indicator field, if present, in a DCI format indicating a slot for PUCCH transmission to report the HARQ-ACK information, or $k$ is provided by *sl-PSFCH-ToPUCCH-r16* for a transmission scheduled by a DCI format or for a SL configured grant type 2, or by *sl-PSFCH-ToPUCCH-CG-Type1* for a SL configured grant type 1. $k=0$ corresponds to a last slot for a PUCCH transmission that would overlap with the last PSFCH reception occasion assuming that the start of the sidelink frame is same as the start of the downlink frame [4, TS 38.211].For a PSSCH transmission by a UE that is scheduled by a DCI format, or for a SL configured grant Type 2 PSSCH transmission activated by a DCI format, the DCI format indicates to the UE that a PUCCH resource is not provided when a value of the PUCCH resource indicator field is zero and a value of PSFCH-to-HARQ feedback timing indicator field, if present, is zero. For a SL configured grant Type 1 PSSCH transmission, a PUCCH resource can be provided by *sl-N1PUCCH-AN* and *sl-PSFCH-ToPUCCH-CG-Type1*. For a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH, a PUCCH resource can be provided by *sl-N1PUCCH-AN-Type2*. If a PUCCH resource is not provided, the UE does not transmit a PUCCH with generated HARQ-ACK information from PSFCH reception occasions. **<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

Alternative 2:

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| **-------------------------- Start of Text Proposal for TS 38.213 --------------------------**16.5 UE procedure for reporting HARQ-ACK on uplink**<Unchanged parts omitted>**With reference to slots for PUCCH transmissions and for a number of PSFCH reception occasions ending in slot $n$, the UE provides the generated HARQ-ACK information in a PUCCH transmission within slot $n+k$, subject to the overlapping conditions in Clause 9.2.5, where $k$ is a number of slots indicated by a PSFCH-to-HARQ\_feedback timing indicator field, if present, in a DCI format indicating a slot for PUCCH transmission to report the HARQ-ACK information, or $k$ is provided by *sl-PSFCH-ToPUCCH-r16* for a transmission scheduled by a DCI format or for a SL configured grant type 2, or by *sl-PSFCH-ToPUCCH-CG-Type1* for a SL configured grant type 1. $k=0$ corresponds to a last slot for a PUCCH transmission that would overlap with the last PSFCH reception occasion assuming that the start of the sidelink frame is same as the start of the downlink frame [4, TS 38.211].For a PSSCH transmission by a UE that is scheduled by a DCI format, or for a SL configured grant Type 2 PSSCH transmission activated by a DCI format, the DCI format indicates to the UE that a PUCCH resource is not provided when a value of the PUCCH resource indicator field is zero and a value of PSFCH-to-HARQ feedback timing indicator field, if present, is zero. For a SL configured grant Type 1 PSSCH transmission, a PUCCH resource can be provided by *sl-N1PUCCH-AN* and *sl-PSFCH-ToPUCCH-CG-Type1*. If a UE transmits HARQ-ACK information corresponding only to a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH, a PUCCH resource for corresponding PUCCH transmission with HARQ-ACK information can be provided by *sl-N1PUCCH-AN-Type2*. If a PUCCH resource is not provided, the UE does not transmit a PUCCH with generated HARQ-ACK information from PSFCH reception occasions. For a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH, a PUCCH resource can be provided by *sl-N1PUCCH-AN-Type2*. If *sl-N1PUCCH-AN-Type2* is absent, no PUCCH is provided for the SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH.**<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

Please share your views on the above proposal using the table below.

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| --- | --- |
| **Company** | **View** |
| ASUSTeK | We support this TP. |
| vivo | Support in general.we would like some further clarification on how to inform the UE that PUCCH is not provided for SL CG type2 transmissions without PDCCH. It is not clear whether the description ‘*For a PSSCH transmission by a UE that is scheduled by a DCI format, or for a SL configured grant Type 2 PSSCH transmission activated by a DCI format, the DCI format indicates to the UE that a PUCCH resource is not provided when a value of the PUCCH resource indicator field is zero and a value of PSFCH-to-HARQ feedback timing indicator field, if present, is zero*’ is also applicable to an activated type2 CG transmission without PDCCH. There are two options.* Option1. PUCCH is not provided for a SL CG type2 transmission without PDCCH if sl-N1PUCCH-AN-Type2 is absent in the RRC configuration.
* Option2. PUCCH is not provided for a SL CG type2 transmission without PDCCH if the PUCCH resource indicator field and PSFCH-to-HARQ feedback timing indicator field (if present) in the DCI used to activate the SL CG type2 are set to zero. (similar to the SL CG type2 with PDCCH)

We prefer the first option as it is more straightforward and would like to have the following clarifications.For a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH a PUCCH resource can be provided by *sl-N1PUCCH-AN-Type2*. If sl-N1PUCCH-AN-Type2 is absent, no PUCCH is provided for the SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH. |
| OPPO | Support the proposal.Minor comment: add a comma after the word “PDCCH”, i.e., “corresponding PDCCH, a PUCCH”FL reply (20/5/21):Done. Thanks |
| ZTE,Sanechips | Yes |
| Qualcomm | We support the change with the modification from OPPO |
| LG | We support the TP with minor change. The full name of the higher layer parameter is sl-N1PUCCH-AN-Type2-r16. In my understanding, now we will capture the suffix as well. Regarding vivo’s comment, we have following agreement made in RAN1#99:*Agreements:** *For case of DG and type 2 CG: one combination of “timing and resource for PUCCH” is used to indicate that PUCCH resource is not provided*
* *For type 1 CG: no RRC configuration of PUCCH resources indicates that PUCCH resource is not provided*

In this case, even for the SL CG type2 transmission without PDCCH, the above agreement will be applied. For simplicity, we do not need to add the sentence proposed by vivo for this purpose. FL reply (20/5/21):Regarding the suffix, the most recent information that I have is an e-mail from the RAN1 chairman with subject ‘RAN1#103-e: handling of RRC parameter names particularly w.r.t. R16 suffix’ that states:*It seems that the following options is more straightforward and will be used by editors in preparation of the corresponding CRs for RAN1#103-e:** *Use the ASN.1 field name as specified in the RRC specification (this may contain or not the -r16 attribute, as decided by RAN2)*

*Note that this will be a systematic effort by all RAN1 editors.*  |
| NTT DOCOMO | Modification is necessary.In Uu, PUCCH resource for SPS is used ONLY when HARQ-ACK corresponding to the SPS is transmitted. If the HARQ-ACK is multiplexed with other DG HARQ-ACK, the resource is not used. This is described as follows in 9.2.3 (from Rel-15 spec for easy understanding):---If a UE transmits HARQ-ACK information corresponding only to a PDSCH reception without a corresponding PDCCH, a PUCCH resource for corresponding PUCCH transmission with HARQ-ACK information is provided by *n1PUCCH-AN*.---To follow this rule, the text should be updated with blue color as follows.---If a UE transmits HARQ-ACK information corresponding only to ~~For~~ a SL configured grant Type 2 PSSCH transmission without a corresponding PDCCH, a PUCCH resource for corresponding PUCCH transmission with HARQ-ACK information can be provided by *sl-N1PUCCH-AN-Type2*.---The same modification would be needed for type-1 CG as well in our view.FL reply 24/5/21:See the updated proposal. For Type-1 CG, CRs will have to be provided next meeting. It does not fit the scope of this discussion. |
| Huawei, HiSilicon | We are supportive of the change with the modification from OPPO.For the modification from Vivo, we do not think it is quite necessary to clarify the understanding of RRC parameter absent. That can be covered by 331 with using Need code as well as the explanation for the parameter. For the modification from DCM, we can understand the motivation, but we are wondering whether it can be covered by the codebook generation spec. For here, it just mentions how to derive the PUCCH resource for CG type 2 without corresponding PDCCH. If the PUCCH resources for both CG type2 and dynamic PDSCH locate in same slot, subclause 9.2.5 is applied. FL reply:Regarding the modification proposed by vivo, the same Clause in the spec states that: “*If a PUCCH resource is not provided, the UE does not transmit a PUCCH with generated HARQ-ACK information from PSFCH reception occasions.”*I would say vivo’s clarification is the same thing but for CG Type-2 without PDCCH.Regarding the modification from DCM, the corresponding text for DL HARQ-ACK reporting is in 9.2.3 UE procedure for reporting HARQ-ACK. If we follow the same logic here, it seems that 16.5 UE procedure for reporting SL HARQ-ACK on uplink is the right place, don’t you think?[DCM2] I think without the clarification, the text means that the PUCCH resource is used for multiplexing of HARQ-ACK for SL-CG type 2 and DG. It does not follow Uu mechanism, which is against the previous agreements. If current spec is aligned with the Uu mechanism (I’m not sure which part of spec is saying that), then we are OK to keep FL’s proposal.[Huawei, HiSilicon 2]Thanks for clarification. Our intention is to avoid the duplication across different specs. So the behaviour when a RRC parameter is absent mostly can be also found in 331, we are wondering whether it should be introduced in PHY spec repeatedly. Following the same logic of Uu reporting HARQ is ok, a concise spec is also preferable. |
| CATT, GOHIGH | Support the proposal with OPPO’s change.  |
| Intel | Support in principle. We are wondering what is the meaning of ‘can be’ – is it only an optional possibility or, it ‘is’ always provided? If the latter, then suggest changing to ‘is’.FL reply (20/5/21):It is the same language used in other parts of the same paragraph. My understanding is that it is not always provided |
| Samsung | Support the proposal with OPPO’s change. |
| Nokia, NSB | Support the proposal. OPPO’s change improves readability, we support it.Regarding vivo’s point, we agree with vivo that some clarification in the spec would be desirable. |
| ASUSTeK2 | Support FL’s update.As for vivo’s modification, we think current spec with FL’ update is clear for “PUCCH resource is not provided” for type2 CG without PDCCH.As for DOCOMO’s modification, we are fine with this change since it’s aligned with text for DL HARQ-ACK reporting in 9.2.3.  |
| Vivo2 | Based on the first-round input, it seems companies have different understandings on how to indicate that no PUCCH is provided for CG type2 without PDCCH.* Understanding#1, by a special codepoint combination by the activation DCI as per the previous agreement shown by LG
* Understanding#2, by the absence of sl-N1PUCCH-AN-Type2

To avoid ambiguity, we think it would be better to first develop a common understanding in the group and further check some additional clarification would be desirable. From our perspective, we prefer the 2nd one as it is more consistent with case with PUCCH provided. |
| Huawei, HiSilicon 2 | As the two understandings explained by Vivo2 for no PUCCH provided for CG type2 without PDCCH, we share the understanding#2, but the question is whether RAN1 needs to state it in PHY layer spec explicitly. We think it may be not because 331 could cover the RRC absence case, but we are also open to hear others’ understanding. |
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# M1-3 Editorial corrections

## TS 38.213

The following editorial corrections related to Mode1 for TS 38.213 have been presented in different contributions, as listed above.

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **-------------------------- Start of Text Proposal for TS 38.213 --------------------------****<Unchanged parts omitted>**10.2A PDCCH validation for SL configured grant Type 2A UE validates, for scheduling activation or scheduling release, a SL configured grant Type 2 PDCCH if- the CRC of a corresponding DCI format 3\_0 is scrambled with a SL-CS-RNTI provided by *sl-CS-RNTI*, and- the new data indicator field in the DCI format 3\_0 for the enabled transport block is set to '0' Validation of the DCI format 3\_0 is achieved if all fields for the DCI format 3\_0 are set according to Table 10.2A-1 or Table 10.2A-2.If validation is achieved, the UE considers the information in the DCI format 3\_0 as a valid activation or valid release only for the SL configured grant Type 2 indicated by the configuration index field. If validation is not achieved, the UE discards all the information in the DCI format 3\_0.* **Table 10.2A-1: Special fields for SL configured grant Type 2 scheduling activation PDCCH validation**

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|  | **DCI format 3\_0** |
| HARQ process number | set to all '0's |

* **Table 10.2A-2: Special fields for SL configured grant Type 2 scheduling release PDCCH validation**

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| --- | --- |
|  | **DCI format 3\_0**  |
| HARQ process number | set to all '1's |
| Frequency resource assignment (if present) | set to all '1's |

**<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

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| **-------------------------- Start of Text Proposal for TS 38.213 --------------------------**16.5 UE procedure for reporting HARQ-ACK on uplink**<Unchanged parts omitted>**For SL configured grant Type 1 or Type 2 PSSCH transmissions by a UE within a time period provided by *sl-PeriodCG*, the UE generates HARQ-ACK information in response to the PSFCH receptions to multiplex in a PUCCH transmission occasion that is after a last time resource, in a set of time resources. **<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

The FL’s impression is that the change to Clause 10.2A is not necessary. The clarification for 16.5 is worth discussing, so views from the different companies are appreciated. The FL notes that this was discussed in the past without consensus.

FL update 20/5/21:

* The change in 10.2A seems to be acceptable to everyone
	+ I have included the small editorial comment made by Qualcomm.
* The need for the change in 16.5 is challenged by many. My proposal is not to discuss it further.

FL update 24/5/21:

* There is still one concern (by Huawei/HiSilicon) on the need of the change in 10.2A. One possibility is to discard this TP altogether, given the modification below to Clause 8.1.2 in TS 38.214, there should be no ambiguity.

Please share your views on the above changes using the table below.

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| **Company** | **View** |
| ASUSTeK | 10.2A: The intention of this TP is to clarify DCI format 3\_0 for SL type-2 CG release is only for SL type-2 CG indicated by configuration index field. We think it’s a simple fix similar to LTE spec. 36.213: If the UE receives in subframe n DCI format 5A with the CRC scrambled by the SL-SPS-V-RNTI , the UE shall consider the received DCI information as a valid sidelink semi-persistent activation or release **only for the SPS configuration indicated by the SL SPS configuration index field.**16.5: We are open to this TP. |
| vivo | For the change to 10.AWe are open to this changeFor the change to 16.5Our understanding is that RAN1 agreed to generate only one HARQ-ACK bit per CG period because only 1 TB can be transmitted in a CG cycle, so only 1 HARQ-ACK bit representing the final outcome of the multiple transmissions within a CG period is needed. With this logic and the pseudo code for type1 CB, the generated bit should repeat N times in a type1 CB, where N is the period of the PSFCH. Based on this understanding, for any candidate PSSCH transmission in a CG, the ‘HARQ-ACK information bit for candidate PSSCH transmission with index $j$ with corresponding PSFCH reception’ in 16.5.1.1 refers to the generated HARQ-ACK bit in 16.5, thus we prefer **not to** remove the "one bit".16.5.1.1 Type-1 HARQ-ACK codebook in physical uplink control channel*A UE determines* $\tilde{o}\_{0}^{ACK},\tilde{o}\_{1}^{ACK},…,\tilde{o}\_{O^{ACK}-1}^{ACK}$ *HARQ-ACK information bits, for a total number of* $O\_{ACK}$ *HARQ-ACK information bits as* $\tilde{o}\_{j}^{ACK}$ *= HARQ-ACK information bit for candidate PSSCH transmission with index* $j$ *with corresponding PSFCH reception, for* $0 \leq j<M$*, as described in Clause 16.5.* |
| OPPO | 10.2A: open to the change16.5: the change is not necessary. As commented by vivo, we have agreed that only one HARQ\_ACK bit will be generated per CG period. Current description is clear enough. |
| ZTE,Sanechips | Open to both changes |
| Qualcomm | We agree with ASUSTeK that the wording of the TP for 10.2A isn’t clear and could be misinterpreted. We propose the following modification:0 as a valid activation or valid release only for the SL configured grant Type 2 indicated by the configuration index fieldWe don’t think the change for 16.5 is needed. |
| LG | On 10.2A, we are fine with the TP.On 16.5, the change is not necessary. The existing wording follows the agreement itself.  |
| Huawei, HiSilicon | For the change to 10.AWe do not find any ambiguity if we just follow the sentence in the previous paragraph:“Validation of the DCI format 3\_0 is achieved if all fields for the DCI format 3\_0 are set according to Table 10.2A-1 or Table 10.2A-2.”We are also fine to discuss if any issue for this is clarified.For the change to 16.5Not support. We do not see the necessity for the change, as RAN1 had agreement in RAN1#98bis that only one HARQ-ACK bit is generated for SL CG.Agreements:* For a configured grant in Mode 1 when using SL HARQ feedback:
	+ There is only one HARQ-ACK bit for the configured grant
	+ There is one PUCCH transmission occasion after the last resource in the set of resources provided by a configured grant.

FL reply (20/5/21):I am not sure I understand your comment regarding 10.2A. As stated above, my impression is that it is not necessary, but everyone else seems to be fine with the clarification.[Huawei, HiSilicon 2]We mean we do not find any ambiguity to have those changes in 10.2A. Now we see many companies want to support it, so may I ask the proponents of this change, what is the problem in the spec if we do not have such change? |
| Samsung | 10.2A: we’re open to the change16.5: similar view as vivo and HW, we think the change is not needed. |
| Nokia, NSB | 10.2A: We support the change, it improves clarity16.5: not needed |
| ASUSTeK2 | 10.2A: Support FL’s update. |
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## TS 38.214

The following editorial corrections related to Mode1 for TS 38.214 have been presented in different contributions, as listed above. Based on them the FL proposes to agree the following changes to the spec.

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| **-------------------------- Start of Text Proposal for TS 38.214 --------------------------****<Unchanged parts omitted>**8.1.2 Resource allocationIn sidelink resource allocation mode 1:- for PSSCH and PSCCH transmission, dynamic grant, configured grant type 1 and configured grant type 2 are supported. The configured grant Type 2 sidelink transmission is semi-persistently scheduled by a SL grant in a valid activation DCI according to Clause 10.2A of [6, TS 38.213].8.1.2.1 Resource allocation in time domainThe UE shall transmit the PSSCH in the same slot as the associated PSCCH.The minimum resource allocation unit in the time domain is a slot.The UE shall transmit the PSSCH in consecutive symbols within the slot, subject to the following restrictions:- The UE shall not transmit PSSCH in symbols which are not configured for sidelink. A symbol is configured for sidelink, according to higher layer parameters *startSLsymbols* and *lengthSLsymbols*, where *startSLsymbols* is the symbol index of the first symbol of *lengthSLsymbols* consecutive symbols configured for sidelink.- Within the slot, PSSCH resource allocation starts at symbol *startSLsymbols+1.*- The UE shall not transmit PSSCH in symbols which are configured for use by PSFCH, if PSFCH is configured in this slot.- The UE shall not transmit PSSCH in the last symbol configured for sidelink.- The UE shall not transmit PSSCH in the symbol immediately preceding the symbols which are configured for use by PSFCH, if PSFCH is configured in this slot.In sidelink resource allocation mode 1:- For sidelink dynamic grant, the PSSCH transmission is scheduled by a DCI format 3\_0. - For sidelink configured grant type 2, the configured grant is activated by a DCI format 3\_0. - For sidelink dynamic grant and sidelink configured grant type 2:- The "Time gap" field value *m* of the DCI format 3\_0 provides an index *m* + 1 into a slot offset table. That table is given by higher layer parameter *sl-DCI-ToSL-Trans* and the table value at index *m* + 1 will be referred to as slot offset $K\_{SL}$.- The slot of the first sidelink transmission scheduled by the DCI is the first SL slot of the corresponding resource pool that starts not earlier than $T\_{DL}-\frac{T\_{TA}}{2}+K\_{SL}×T\_{slot}$ where $T\_{DL}$ is starting time of the downlink slot carrying the corresponding DCI, $T\_{TA}$ is the timing advance value corresponding to the TAG of the serving cell on which the DCI is received and $K\_{SL} $is the slot offset between the slot DCI and the first sidelink transmission scheduled by DCI and $T\_{slot}$t is the SL slot duration.- The "Configuration index" field of the DCI format 3\_0, if provided and not reserved, indicates the index of the sidelink configured type 2.- For sidelink configured grant type 1:- The slot of the first sidelink transmissions follows the higher layer configuration according to [10, TS 38.321].**<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

FL update 20/5/21:

* The changes look agreeable. There is one request to clarify that the ”configuration index” is not reserved. I have inlcluded it.

FL update 24/5/21:

* No changes to this TP.

Please share your views on the above proposal using the table below.

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| **Company** | **View** |
| ASUSTeK | We supports all TPs.We would like to clarify “if provided” in the last TP. Is “if provided” cover the case that DCI format 3\_0 with 3 bits "Configuration index" but with reserved. If no, we would like to propose add following highlight for the last TP.- The "Configuration index" field of the DCI format 3\_0, if provided and not reserved, indicates the index of the sidelink configured type 2.Following is Text specifying case for “reserved” according to 38.212- Configuration index – 0 bit if the UE is not configured to monitor DCI format 3\_0 with CRC scrambled by SL-CS-RNTI; otherwise 3 bits as defined in clause 8.1.2 of [6, TS 38.214]. If the UE is configured to monitor DCI format 3\_0 with CRC scrambled by SL-CS-RNTI, **this field is reserved for DCI format 3\_0 with CRC scrambled by SL-RNTI**. |
| vivo | Agree |
| OPPO | We support the modification from ASUSTeK.  |
| ZTE,Sanechips | OK |
| Qualcomm | We are ok with the changes |
| LG | We are ok with the modification from ASUSTeK.  |
| Huawei, HiSilicon | We are fine with the changes. |
| Intel | Agree |
| Samsung | OK |
| Nokia, NSB | OK; but for the “Configuration index”, assuming that the TP for clause 10.2A of 38.213 is agreed, it seems redundant. |
| ASUSTeK2 | Support FL’s update. |
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# M1-2-2: DCI size alignment

The following clarification for TS 38.212 is proposed in R1-2105462

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| **-------------------------- Start of Text Proposal for TS 38.212 --------------------------****<Unchanged parts omitted>**7.3.1.0.1 DCI size alignment for DCI formats for scheduling of sidelinkIf DCI format 3\_0 or DCI format 3\_1 is monitored on a cell, DCI size alignment for DCI format 3\_0 and DCI format 3\_1 is performed as described in this clause after performing the DCI size alignment described in Clause 7.3.1.0. The size(s) of the DCI formats configured to monitor for a cell and DCI formats for other purposes as described in 7.3.1.3 on the same cell if configured in this clause refers to that after performing the DCI size alignment described in Clause 7.3.1.0.If DCI format 3\_0 or DCI format 3\_1 is monitored on a cell and the total number of DCI sizes of the DCI formats configured to monitor for the cell, DCI formats for other purposes as described in 7.3.1.3 on the same cell if configured and DCI format 3\_0 or DCI format 3\_1 is more than 4, zeros shall be appended to DCI format 3\_0 if configured and DCI format 3\_1 if configured, until the payload size of DCI format 3\_0 or DCI format 3\_1 equals that of the smallest DCI format among the DCI formats configured to monitor for the cell and DCI formats for other purposes as described in 7.3.1.3 on the same cell if configured that is larger than DCI format 3\_0 or DCI format 3\_1.The UE is not expected to handle a configuration that results in:- the total number of different DCI sizes configured to monitor for the cell, DCI formats for other purposes as described in 7.3.1.3 on the same cell if configured and DCI format 3\_0 or DCI format 3\_1 is more than 4; and- the payload size of DCI format 3\_0 or DCI format 3\_1 is larger than the payload size of all other DCI formats configured to monitor for the cell and DCI formats for other purposes as described in 7.3.1.3 on the same cell if configured.**<Unchanged parts omitted>****-------------------------- End of Text Proposal --------------------------** |

FL update 20/5/21:

* There is consensus that this is not necessary.

Please share your views on the above proposal using the table below.

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| **Company** | **View** |
| OPPO | Not support.In our view, the description of “DCI formats configured to monitor for the cell” in 7.3.1.0.1 include the DCI formats for other purposes. Because when “performing the DCI size alignment described in Clause 7.3.1.0”, the DCI formats for other purposes have already been considered, such as in step 4B.  |
| Qualcomm | It is not clear that the change is necessary. In our understanding, the current text already includes all DCI formats and isn’t restricted to scheduling formats. |
| LG | The change is not necessary. In my understanding, the payload size of most DCI format 2\_X is configurable with 1 bit granularity. This is motivated that the network will ensure the payload size of DCI format 2\_x so that the DCI format size budget is fulfilled. The following parts already covers the DCI format 2\_X:The UE is not expected to handle a configuration that results in:- the total number of different DCI sizes configured to monitor for the cell and DCI format 3\_0 or DCI format 3\_1 is more than 4; andThis sentence is almost same as description for NR Uu link as follows:The UE is not expected to handle a configuration that, after applying the above steps, results in- the total number of different DCI sizes configured to monitor is more than 4 for the cell; or- the total number of different DCI sizes with C-RNTI configured to monitor is more than 3 for the cell; or- the size of DCI format 0\_0 in a UE-specific search space is equal to DCI format 0\_1 in another UE-specific search space; orIn summary, it is not a new issue even for SL DCI, and no change is needed as in NR Uu part. |
| vivo | We proposed this TP to cover common DCI formats because in previous meetings, companies indicated that the original wording in the draft TP " DCI format [scheduling] the cell" might not cover common DCI formats such as DCI format 2\_x, so [] should be kept and the wording needs further discussion and refinement. Then editor changed the wording to "DCI format configured to monitor for the cell" to maintain consistency with the wording in other contexts in the alignment CR, but we are not sure how the group interprets the text. As OPPO, Qualcomm and LG commented, if the common understanding is that the current text “DCI format configured to monitor for the cell” already includes group common formats such as DCI format 2\_x configured on the scheduling cell, we are fine without this TP. |
| Huawei, HiSilicon | We have the sympathy that the change is not needed. The DCI size alignment for DCI format 3\_0 and DCI format 3\_1 is performed after performing the DCI size alignment described in Clause 7.3.1.0. For the group common DCI format 2-X, they have been covered in Clause 7.3.1.0. |
| Intel | RAN1 did not discuss relation of alignments with 2\_x formats. In our understanding, those are taken into account for calculation of 0\_x/1\_x sizes, and then 3\_x size is aligned with 0\_x/1\_x if needed. |
| Samsung | We also consider the change is not needed. |
| Nokia, NSB | Not needed |
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# Other

Please use the table below to share your views on other topics

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| **Company** | **View** |
| Vivo | During the preparation of the discussion, the topic M1-2-2 was agreed to be discussed in this thread [V2X-04], but it is not included in this summary and we would like to know how FL intended to treat this topic?[105-e-NR-5G\_V2X-04] Email discussion/approval regardingIssue M1-4: TPs corresponding to agreements in previous meetings (Agreement/LS from RAN1#104, reply LS received in [R1-2104160](file:///C%3A%5CUsers%5Cwanshic%5COneDrive%20-%20Qualcomm%5CDocuments%5CStandards%5C3GPP%20Standards%5CMeeting%20Documents%5CTSGR1_105%5CDocs%5CR1-2104160.zip), M1-2-2: DCI size alignment)FL reply 19/5/21:I have added it now. Sorry for missing it. |
| ZTE, Sanechips | Two more editorial suggestions:1. In section 7.3.1.4.1 of TS 38.212, the following two DCI field description refer to clause 16.4 of [5, TS 38.213]. But in that clause, there is no relevant description on ‘HARQ process number’ ‘ or ‘New data indicator’. the correct reference should be clause 5.22.1.3.1 of [8, TS 38.321] as below7.3.1.4.1 Format 3\_0**<Unchanged parts omitted>**- HARQ process number – 4 bitsas defined in clause 5.22.1.3.1 of [8, TS 38.321]- New data indicator – 1 bitas defined in clause 5.22.1.3.1 of [8, TS 38.321]**<Unchanged parts omitted>**2.An editorial error wps1t in section 8.1.2.1 should be corrected to wps2. |
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# List of identified contributions

R1-2104477 Discussion and TP on Mode1 resource allocation CATT, GOHIGH

R1-2104750 Remaining open issues and corrections for mode 1 RA OPPO

R1-2105056 Maintenance for mode-1 resource allocation for NR sidelink Fujitsu

R1-2105202 Discussion on essential corrections in resource allocation procedure LG Electronics

R1-2105462 Maintenance on NR sidelink mode-1 resource allocation mechanism vivo

R1-2105611 Remaining issues on mode 1 ZTE, Sanechips

R1-2105627 Remaining issues on resource allocation for NR sidelink Sharp

R1-2105680 Maintenance for resource allocation mechanism mode 1 NTT DOCOMO, INC.

R1-2105740 Remaining issues on resource allocation mode-1 and sidelink procedure ASUSTeK

R1-2105896 Corrections to Mode 1 Ericsson

R1-2105943 Maintenance for Resource allocation for sidelink - Mode 1 Nokia, Nokia Shanghai Bell