**3GPP TSG-CT WG1 Meeting #132-eC1-21xxxx**

**E-meeting, 11-15 October 2021 Revision of C1-215803**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **24.501** | **CR** |  | **rev** | **1** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:*** | UUAA revocation for the case of UUAA-MM | | | | | | | | | |
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| ***Source to WG:*** | China Mobile | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | ID\_UAS | | | | |  | ***Date:*** | | | 2021-09-29 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | As per current stage 2 normative requirements on UUAA revocation specified in TS 23.256 subclouse 5.2.7, it indicates that If the target NF is AMF, the AMF initiates UCU procedure to inform a UE that UAV authorization is revoked and may start network initiated de-registration process.  It is suggested to add the requirments to 5GMM procedures to align with SA2 . | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add the requirements to Generic UE configuration update procedure and De-registration procedure to support UAV authorization revocation for UUAA-MM procedure. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | UAV authorization revocation is not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.4.4.1, 5.4.4.2, 5.5.2.3.1, 8.2.19.27, 9.11.2.14 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | 1. Update the decription in 5.4.4.2 to point out the value for revocation. 2. Update the text "service level authorization is revoked" in 9.11.2.14. | | | | | | | | |

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#### 5.4.4.1 General

The purpose of this procedure is to:

a) allow the AMF to update the UE configuration for access and mobility management-related parameters decided and provided by the AMF by providing new parameter information within the command;

b) request the UE to perform a registration procedure for mobility and periodic registration update towards the network to update access and mobility management-related parameters decided and provided by the AMF (see subclause 5.5.1.3);or

c) deliver the UAV authorization information to the UE, as described in 3GPP TS 23.256 [6AB].

This procedure is initiated by the network and can only be used when the UE has an established 5GMM context, and the UE is in 5GMM-CONNECTED mode. When the UE is in 5GMM-IDLE mode, the AMF may use the paging or notification procedure to initiate the generic UE configuration update procedure. The AMF can request a confirmation response in order to ensure that the parameter has been updated by the UE.

This procedure shall be initiated by the network to assign a new 5G-GUTI to the UE after:

a) a successful service request procedure invoked as a response to a paging request from the network and before the:

1) release of the N1 NAS signalling connection; or

2) suspension of the N1 NAS signalling connection due to user plane CIoT 5GS optimization i.e. before the UE and the AMF enter 5GMM-IDLE mode with suspend indication; or

b) the AMF receives an indication from the lower layers that the RRC connection has been resumed for a UE in 5GMM-IDLE mode with suspend indication and this resumption is a response to a paging request from the network, and before the:

1) release of the N1 NAS signalling connection; or

2) suspension of the N1 NAS signalling connection due to user plane CIoT 5GS optimization i.e. before the UE and the AMF enter 5GMM-IDLE mode with suspend indication.

If the service request procedure was triggered due to 5GSM downlink signalling pending, the procedure for assigning a new 5G-GUTI can be initiated by the network after the transport of the 5GSM downlink signalling.

The following parameters are supported by the generic UE configuration update procedure without the need to request the UE to perform the registration procedure for mobility and periodic registration update:

a) 5G-GUTI;

b) TAI list;

c) Service area list;

d) Network identity and time zone information (Full name for network, short name for network, local time zone, universal time and local time zone, network daylight saving time);

e) LADN information;

f) Rejected NSSAI;

g) void;

h) Operator-defined access category definitions;

i) SMS indication;

j) Service gap time value;

k) "CAG information list";

l) UE radio capability ID;

m) 5GS registration result;

n) Truncated 5G-S-TMSI configuration; and

o) T3447 value.

The following parameters can be sent to the UE with or without a request to perform the registration procedure for mobility and periodic registration update:

a) Allowed NSSAI;

b) Configured NSSAI; or

c) Network slicing subscription change indication.

The following parameters are sent to the UE with a request to perform the registration procedure for mobility and periodic registration update:

a) MICO indication;

b) UE radio capability ID deletion indication; and

c) Additional configuration indication.

The following parameters can be included in the Service-level-AA container IE to be sent to the UE without a request to perform the registration procedure for mobility and periodic registration update:

a) Service-level device ID;

b) Service-level-AA payload; or

c) Service-level-AA response.

The following parameters are sent over 3GPP access only:

a) LADN information;

b) MICO indication;

c) TAI list;

d) Service area list;

e) Service gap time value;

f) "CAG information list";

g) UE radio capability ID;

h) UE radio capability ID deletion indication;

i) Truncated 5G-S-TMSI configuration;

j) Additional configuration indication;

k) T3447 value; and

l) Service-level-AA container.

The following parameters are managed and sent per access type i.e., independently over 3GPP access or non-3GPP access:

a) Allowed NSSAI; and

b) Rejected NSSAI (when the NSSAI is rejected for the current registration area) or is rejected for the maximum number of UEs reached).

The following parameters are managed commonly and sent over 3GPP access or non-3GPP access:

a) 5G-GUTI;

b) Network identity and time zone information;

c) Rejected NSSAI (when the NSSAI is rejected for the current PLMN or rejected for the failed or revoked NSSAA;

d) Configured NSSAI;

e) SMS indication;

f) 5GS registration result.



Figure 5.4.4.1.1: Generic UE configuration update procedure

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#### 5.4.4.2 Generic UE configuration update procedure initiated by the network

The AMF shall initiate the generic UE configuration update procedure by sending the CONFIGURATION UPDATE COMMAND message to the UE.

The AMF shall in the CONFIGURATION UPDATE COMMAND message either:

a) include one or more of the following parameters: 5G-GUTI, TAI list, allowed NSSAI that may include the mapped S-NSSAI(s), LADN information, service area list, MICO indication, NITZ information, configured NSSAI that may include the mapped S-NSSAI(s), rejected S-NSSAI(s) in the Rejected NSSAI IE or in the Extended rejected NSSAI IE, network slicing subscription change indication, operator-defined access category definitions, SMS indication, service gap time value, "CAG information list", UE radio capability ID, 5GS registration result, UE radio capability ID deletion indication, truncated 5G-S-TMSI configuration or T3447 value;

b) include the Configuration update indication IE with the Registration requested bit set to "registration requested"; or

c) include a combination of both a) and b).

If the UE is registering or registered for onboarding services in SNPN, the serving SNPN shall not provide the configured NSSAI, the allowed NSSAI or the rejected NSSAI to the UE.

If the UE supports extended rejected NSSAI in roaming scenarios, the rejected S-NSSAI(s) shall be included in the Extended rejected NSSAI IE. Otherwise the rejected S-NSSAI(s) shall be included in the Rejected NSSAI IE.

If an acknowledgement from the UE is requested, the AMF shall indicate "acknowledgement requested" in the Acknowledgement bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message and shall start timer T3555. Acknowledgement shall be requested for all parameters except when only NITZ is included.

To initiate parameter re-negotiation between the UE and network, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message.

NOTE 1: Generic UE configuration update procedure can be initiated by the AMF for updating the emergency number list, the extended emergency number list or both by indicating "registration requested" in the Registration requested bit of the Configuration update indication IE in the CONFIGURATION UPDATE COMMAND message to the UE.

If a new allowed NSSAI information or AMF re-configuration of supported S-NSSAIs requires an AMF relocation, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and include the Allowed NSSAI IE in the CONFIGURATION UPDATE COMMAND message.

If the AMF includes a new configured NSSAI in the CONFIGURATION UPDATE COMMAND message and the new configured NSSAI requires an AMF relocation as specified in 3GPP TS 23.501 [8], the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE in the message.

If the CONFIGURATION UPDATE COMMAND message is initiated only due to changes to the allowed NSSAI and these changes require the UE to initiate a registration procedure, but the AMF is unable to determine an allowed NSSAI for the UE as specified in 3GPP TS 23.501 [8], then the CONFIGURATION UPDATE COMMAND message shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE, and shall not contain any other parameters.

If the AMF needs to enforce a change in the restriction on the use of enhanced coverage or use of CE mode B as described in subclause 5.3.18, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and "release of N1 NAS signalling connection not required" in the Signalling connection maintain request bit of the Additional configuration indication IE in the CONFIGURATION UPDATE COMMAND message.

If a network slice-specific authentication and authorization procedure for an S-NSSAI is completed as a:

a) success, the AMF shall include this S-NSSAI in the allowed NSSAI over the same access of the requested S-NSSAI; or

b) failure, the AMF shall include this S-NSSAI in the rejected NSSAI for the failed or revoked NSSAA with the rejection cause "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization" over either 3GPP access or non-3GPP access.

If authorization is revoked for an S-NSSAI that is in the current allowed NSAAI for an access type, the AMF shall:

a) provide a new allowed NSSAI to the UE, excluding the S-NSSAI for which authorization is revoked; and

b) provide a new rejected NSSAI for the failed or revoked NSSAA, including the S-NSSAI in the rejected NSSAI for which the authorization is revoked, with the rejection cause "S-NSSAI not available due to the failed or revoked network slice-specific authentication and authorization".

The allowed NSSAI and the rejected NSSAI shall be included in the CONFIGURATION UPDATE COMMAND message to reflect the result of the procedures subject to network slice-specific authentication and authorization.

NOTE 2: If there are multiple S-NSSAIs subject to network slice-specific authentication and authorization, it is implementation specific if the AMF informs the UE about the outcome of the procedures in one or more CONFIGURATION UPDATE COMMAND messages.

If the AMF includes the Network slicing indication IE in the CONFIGURATION UPDATE COMMAND message with the Network slicing subscription change indication set to "Network slicing subscription changed", and changes to the allowed NSSAI require the UE to initiate a registration procedure, but the AMF is unable to determine an allowed NSSAI for the UE as specified in 3GPP TS 23.501 [8], then the CONFIGURATION UPDATE COMMAND message shall additionally indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and shall not include an allowed NSSAI.

If EAC mode is activated, the AMF shall perform NSAC for S-NSSAI(s) subject to NSAC before such S-NSSAI(s) are included in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message. If EAC mode is deactivated, the AMF shall perform NSAC for S-NSSAI(s) subject to NSAC after such S-NSSAI(s) are included in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message.

If the UE supports extended rejected NSSAI and the AMF determines that maximum number of UEs reached for one or more S-NSSAI(s) in the allowed NSSAI as specified in subclause 4.6.2.5, the AMF shall include the rejected NSSAI containing one or more S-NSSAIs with the rejection cause "S-NSSAI not available due to maximum number of UEs reached" in the Extended rejected NSSAI IE in the CONFIGURATION UPDATE COMMAND message. In addition, the AMF may include a back-off timer value for each S-NSSAI with the rejection cause "S-NSSAI not available due to maximum number of UEs reached" included in the Extended rejected NSSAI IE of the CONFIGURATION UPDATE COMMAND message.

If the UE does not indicate support for extended rejected NSSAI and the maximum number of UEs has been reached, the AMF should include the rejected NSSAI containing one or more S-NSSAIs with the rejection cause "S-NSSAI not available in the current PLMN or SNPN" in the Rejected NSSAI IE and should not include these S-NSSAIs in the allowed NSSAI in the CONFIGURATION UPDATE COMMAND message.NOTE 3: Based on network policies, the AMF can include the S-NSSAI(s) for which the maximum number of UEs has been reached in the rejected NSSAI with rejection causes other than "S-NSSAI not available in the current PLMN or SNPN".

If the AMF needs to update the LADN information, the AMF shall include the LADN information in the LADN information IE of the CONFIGURATION UPDATE COMMAND message.

If the AMF needs to update the "CAG information list", the AMF shall include the CAG information list IE in the CONFIGURATION UPDATE COMMAND message. If the AMF needs to update the "CAG information list" and the UE:

a) has an emergency PDU session; and

b) is in

1) a CAG cell and none of the CAG-ID(s) supported by the CAG cell is included in the "allowed CAG list" for the current PLMN in the updated "CAG information list"; or

2) a non-CAG cell and the entry for the current PLMN in the updated "CAG information list" includes an "indication that the UE is only allowed to access 5GS via CAG cells";

the AMF may indicate to the SMF to perform a local release of all non-emergency PDU sessions associated with 3GPP access. The AMF shall not indicate to the SMF to release the emergency PDU session. If the AMF indicated to the SMF to perform a local release of all non-emergency PDU sessions associated with 3GPP access, the network shall behave as if the UE is registered for emergency services and shall set the 5GS registration result IE value to "Registered for emergency services" in the CONFIGURATION UPDATE COMMAND message.

If the AMF:

- updated the "CAG information list" to remove one or more CAG-ID(s) in the Allowed CAG list for the serving PLMN or an equivalent PLMN; or

- updated the "CAG information list" to set the "indication that the UE is only allowed to access 5GS via CAG cells" for the serving PLMN or an equivalent PLMN which was not set before,

then upon completion of the configuration update procedure and if the UE does not have an emergency PDU session, the AMF shall initiate the release of the N1 NAS signalling connection according to subclause 5.3.1.3.

If the AMF needs to update the truncated 5G-S-TMSI configuration for a UE in NB-N1 mode using control plane CIoT 5GS optimization, the AMF shall include the Truncated 5G-S-TMSI configuration IE in the CONFIGURATION UPDATE COMMAND message.

If the AMF includes a UE radio capability ID deletion indication IE in the CONFIGURATION UPDATE COMMAND message, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE.

If the AMF needs to redirect the UE to EPC as described in subclause 4.8.4A.2, the AMF shall indicate "registration requested" in the Registration requested bit of the Configuration update indication IE and "release of N1 NAS signalling connection not required" in the Signalling connection maintain request bit of the Additional configuration indication IE in the CONFIGURATION UPDATE COMMAND message.

If the UE is not in NB-N1 mode and the UE supports RACS, the AMF may include either a UE radio capability ID IE or a UE radio capability ID deletion indication IE in the CONFIGURATION UPDATE COMMAND message.

During an established 5GMM context, the network may send none, one, or more CONFIGURATION UPDATE COMMAND messages to the UE. If more than one CONFIGURATION UPDATE COMMAND message is sent, the messages need not have the same content.

If the AMF needs to deliver to the UE the Service-level-AA payload and the result of the UUAA-MM procedure received from the UAS-NF, the AMF shall include the Service-level-AA payload and the Service-level-AA response in the Service-level-AA container IE of the CONFIGURATION UPDATE COMMAND message. If the CAA-Level UAV ID is received from the UAS-NF as part of the UUAA-MM procedure, the AMF shall include the service-level device ID in the Service-level-AA container IE of the CONFIGURATION UPDATE COMMAND message and set the value to the received CAA-Level UAV ID. If the AMF needs to deliver to the UE the UUAA revocation notification received from the UAS-NF, the AMF shall include the Service-level-AA response IE with SLAR set to "Service level authentication and authorization was not successful or service level authorization is revoked" in the Service-level-AA container IE of the CONFIGURATION UPDATE COMMAND message.

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##### 5.5.2.3.1 Network-initiated de-registration procedure initiation

The network initiates the de-registration procedure by sending a DEREGISTRATION REQUEST message to the UE (see example in figure 5.5.2.3.1.1).

NOTE 1: If the AMF performs a local de-registration, it will inform the UE with a 5GMM messages (e.g. SERVICE REJECT message or REGISTRATION REJECT message) with 5GMM cause #10 "implicitly de-registered" only when the UE initiates a 5GMM procedure.

The network may include a 5GMM cause IE to specify the reason for the DEREGISTRATION REQUEST message. The network shall start timer T3522. The network shall indicate whether re-registration is needed or not in the De-registration type IE. The network shall also indicate via the access type whether the de-registration procedure is:

a) for 3GPP access only;

b) for non-3GPP access only; or

c) for 3GPP access, non-3GPP access or both when the UE is registered in the same PLMN for both accesses.

If the network de-registration is triggered due to network slice-specific authentication and authorization failure or revocation as specified in subclause 4.6.2.4, then the network shall set the 5GMM cause value to #62 "No network slices available" in the DEREGISTRATION REQUEST message. In addition, if the UE supports extended rejected NSSAI, the AMF shall include the Extended rejected NSSAI IE in the DEREGISTRATION REQUEST message; otherwise the AMF shall include the Rejected NSSAI IE in the DEREGISTRATION REQUEST message.

If the UE supports extended rejected NSSAI and the network de-registration is triggered due to mobility management based network slice admission control as specified in subclause 4.6.2.5, then the network shall set the 5GMM cause value to #62 "No network slices available" in the DEREGISTRATION REQUEST message. In addition, the network may include a back-off timer value for each S-NSSAI with the rejection cause "S-NSSAI not available due to maximum number of UEs reached" in the Extended rejected NSSAI IE of the DEREGISTRATION REQUEST message.

If the network de-registration is triggered for a UE supporting CAG due to CAG restrictions, the network shall set the 5GMM cause value to #76 "Not authorized for this CAG or authorized for CAG cells only" and should include the "CAG information list" in the CAG information list IE in the DEREGISTRATION REQUEST message.

If the network de-registration is triggered for a UE not supporting CAG due to CAG restrictions, the network shall operate as described in bullet g) of subclause 5.5.2.3.5.

If the network de-registration is triggered because the network determines that the UE is in a location where the network is not allowed to operate, see 3GPP TS 23.502 [9], the network shall set the 5GMM cause value in the DEREGISTRATION REQUEST message to #78 "PLMN not allowed to operate at the present UE location" and may include an information element in the DEREGISTRATION REQUEST message to indicate the country of the UE location.

Editor's note: [5GSAT\_ARCH-CT, CR#3217]. The name and the encoding of the information element providing the country of the UE location is FFS

If the network de-registration is triggered due to an unsuccessful outcome of an ongoing UUAA-MM procedure or UUAA revocation for a UE supporting UAS service requesting UAS services, the network shall set the 5GMM cause value in the DEREGISTRATION REQUEST message to #79 "UAS services not allowed".

NOTE 2: If the UE supporting UAS service has requested other services than UAS services, or if there are other ongoing network slice-specific authentication and authorization on pending NSSAIs, it is then an operator policy or configuration decision whether to keep the UE supporting UAS service registered to the network, but that UE supporting UAS services is not allowed to access UAS services via 5GS as specified in 3GPP TS 23.256 [6AB].

The AMF shall trigger the SMF to release locally the PDU session(s) over the indicated access(es), if any, for the UE and enter state 5GMM-DEREGISTERED-INITIATED.



Figure 5.5.2.3.1.1: Network-initiated de-registration procedure

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* NEXT CHANGE \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

#### 8.2.19.27 Service-level-AA container

The network shall include this IE when the AMF receives the Service-level-AA payload or the UUAA-MM result from the UAS-NF during the UUAA-MM procedure or the UUAA revocation procedure. The network shall also include this IE if the AMF receives from the UAS-AF, the CAA-Level UAV ID as part of the UUAA-MM procedure.

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#### 9.11.2.14 Service-level-AA response

The purpose of the Service-level-AA response information element is to provide information regarding the service level authentication and authorization request, e.g. to indicate that the authentication and authorization request to the service level authentication server was successful, or to notify that service level authorization is revoked.

The Service-level-AA response information element is coded as shown in figure 9.11.2.14.1 and table 9.11.2.14.1.

The Service-level-AA response is a type 4 information element with minimum length of 3 octets.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 8 | | 7 | | 6 | | 5 | | 4 | | 3 | | 2 | | 1 | |  | |
| Service-level-AA response IEI | | | | | | | | | | | | | | | | octet 1 | |
| Service-level-AA response length | | | | | | | | | | | | | | | | octet 2 | |
| 0  Spare | | 0  Spare | | 0  Spare | | 0  Spare | | 0  Spare | | 0  Spare | | 0  Spare | | SLAR | | octet 3 | |

Figure 9.11.2.14.1: Service-level-AA response information element

Table 9.11.2.14.1: Service-level-AA response information element

|  |  |
| --- | --- |
| Service-level-AA result bit (SLAR) (octet 3, bit 1) | |
| Bit | |
| 1 |  |
| 0 | Service level authentication and authorization was successful |
| 1 | Service level authentication and authorization was not successful or service level authorization is revoked |
|  | |
| Bits 2 to 8 of octet 3 are spare and shall be coded as zero. | |

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* END of CHANGE \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*