**3GPP TSG-CT WG1 Meeting #128-eC1-21xxxx**

**Electronic meeting, 25 February – 5 March 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **24.501** | **CR** | **3070** | **rev** | **1** | **Current version:** | **17.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Correction on UE retry restriction for 5GSM causes #50/#51/#57/#58/#61 | | | | | | | | | |
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| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | C1 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5GProtoc17, SINE\_5G | | | | |  | ***Date:*** | | | 2021-02-10 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) ... Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | About retry restriction for PDU session establishment **accept** with 5GSM cause #50 "PDU session type IPv4 only allowed", it was specified as below:  "*If the UE requests the PDU session type "IPv4v6", receives the selected PDU session type set to "IPv4" and the 5GSM cause value #50 "PDU session type IPv4 only allowed", the UE shall not subsequently request another PDU session for "IPv6" using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) and the PDU session type "IPv6" until:*  *- the UE is registered to a new PLMN;*  *- the PDU session type which is used to access the DNN (or no DNN, if no DNN was indicated by the UE) and the S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) is changed;*"  The green text has already restricted the retry for the PDU session type "IPv6" is not allowed **until** the pink text. Then the whole sentence can be read as below:  "… *the UE shall not subsequently request another PDU session for "IPv6" using the PDU session type "IPv6" until* *the PDU session type is changed*"  What does this sentence mean? Strange wording here as the whole logic is strange, e.g., if the PDU session type is changed from "IPv6" to other types, then it is not " *using the PDU session type "IPv6"*". If the PDU session type is changed from other types to "IPv6", then the whole sentence will be "… *the UE shall not subsequently request another PDU session for "IPv6" using the PDU session type "IPv6" until* *the PDU session type is changed to "IPv6"*". This is incorrect.  Actually, here the case is: the UE has already requested "IPv4v6", but the network only allows "IPv4", then subsequently the UE is only allowed to retry following two requests for the same DNN/S-NSSAI:   1. The UE subsequently requests another PDU session for "IPv4"; or 2. The UE subsequently requests another PDU session for "IPv4v6".   As network has provided a very clear indication to the UE that only IPv4 is allowed, then above pink text does not make any sense here. The UE is not allowed to retry the PDU session type which is not allowed by the network. Hence, the whole bullet which included the pink text can be removed to avoid unnecessary confusing and mis-interpretation caused by above strange wording.  The similar above analysis can be applied to follow similar cases:   1. Retry restriction for PDU session establishment **accept** with 5GSM cause #51 "PDU session type IPv6 only allowed". 2. Retry restriction for PDU session establishment **reject** with 5GSM causes #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed".   Finally, for above case (2), there is below NOTE to indicate that re-attempt in S1 mode is not allowed. The similar NOTE is required for retry restriction for PDU session establishment **accept** with 5GSM causes #50 and #51 as well:  "*NOTE 7: For the 5GSM cause values #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", and #61 "PDU session type Ethernet only allowed", re-attempt in S1 mode for the same DNN (or no DNN, if no DNN was indicated by the UE) using the same PDU session type is not allowed.*" | | | | | | | | |
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| ***Summary of change:*** | | 1. It proposes to remove unnecessary condition (i.e. until the PDU session type is changed) for retry restriction for PDU session establishment **accept** with 5GSM causes #50 and #51 and retry restriction for PDU session establishment **reject** with 5GSM causes #50/#51/#57/#58/#61. 2. It proposes to add the similar NOTE to indicate that re-attempt in S1 mode is not allowed for retry restriction for PDU session establishment **accept** with 5GSM causes #50 and #51 and only the PDU session type indicated by the network is allowed. | | | | | | | | |
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| ***Consequences if not approved:*** | | Strange condition (i.e. until the PDU session type is changed) for retry restriction for PDU session establishment **accept** with 5GSM causes #50 and #51 and retry restriction for PDU session establishment **reject** with 5GSM causes #50/#51/#57/#58/#61.  The required NOTE for retry restriction for PDU session establishment **accept** with 5GSM causes #50 and #51 is missing. | | | | | | | | |
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| ***Clauses affected:*** | | 6.4.1.3, 6.4.1.4.3 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

\* \* \* First Change \* \* \* \*

#### 6.4.1.3 UE-requested PDU session establishment procedure accepted by the network

If the connectivity with the requested DN is accepted by the network, the SMF shall create a PDU SESSION ESTABLISHMENT ACCEPT message.

If the UE requests establishing an emergency PDU session, the network shall not check for service area restrictions or subscription restrictions when processing the PDU SESSION ESTABLISHMENT REQUEST message.

The SMF shall set the Authorized QoS rules IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the authorized QoS rules of the PDU session and may include the authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message set to the authorized QoS flow descriptions of the PDU session.

NOTE 1: This is applicable also if the PDU session establishment procedure was initiated to perform handover of an existing PDU session between 3GPP access and non-3GPP access, and even if the authorized QoS rules and authorized QoS flow descriptions for source and target access of the handover are the same.

The SMF shall ensure that the number of the packet filters used in the authorized QoS rules of the PDU Session does not exceed the maximum number of packet filters supported by the UE for the PDU session. If the received request type is "initial emergency request", the SMF shall set the Authorized QoS flow descriptions IE according to the initial QoS parameters used for establishing emergency services configured in the SMF emergency configuration data.

SMF shall set the Authorized QoS flow descriptions IE to the authorized QoS flow descriptions of the PDU session, if:

a) the Authorized QoS rules IE contains at least one GBR QoS flow;

b) the QFI is not the same as the 5QI of the QoS flow identified by the QFI; or

c) the QoS flow can be mapped to an EPS bearer as specified in subclause 4.11.1 of 3GPP TS 23.502 [9].

If interworking with EPS is supported for the PDU session, the SMF shall set in the PDU SESSION ESTABLISHMENT ACCEPT message:

a) the Mapped EPS bearer contexts IE to the EPS bearer contexts mapped from one or more QoS flows of the PDU session; and

b) the EPS bearer identity parameter in the Authorized QoS flow descriptions IE to the EPS bearer identity corresponding to the QoS flow, for each QoS flow which can be transferred to EPS.

If the "Create new EPS bearer" operation code in the Mapped EPS bearer contexts IE was received, and there is no corresponding Authorized QoS flow descriptions IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall send a PDU SESSION MODIFICATION REQUEST message including a Mapped EPS bearer contexts IE to delete the mapped EPS bearer context.

Furthermore, the SMF shall store the association between the QoS flow and the mapped EPS bearer context, for each QoS flow which can be transferred to EPS.

The SMF shall set the selected SSC mode IE of the PDU SESSION ESTABLISHMENT ACCEPT message to:

a) the received SSC mode in the SSC mode IE included in the PDU SESSION ESTABLISHMENT REQUEST message based on one or more of the PDU session type, the subscription and the SMF configuration;

b) either the default SSC mode for the data network listed in the subscription or the SSC mode associated with the SMF configuration, if the SSC mode IE is not included in the PDU SESSION ESTABLISHMENT REQUEST message.

If the PDU session is an emergency PDU session, the SMF shall set the Selected SSC mode IE of the PDU SESSION ESTABLISHMENT ACCEPT message to "SSC mode 1". If the PDU session is a non-emergency PDU session of "Ethernet" or "Unstructured" PDU session type, the SMF shall set the Selected SSC mode IE to "SSC mode 1" or "SSC mode 2". If the PDU session is a non-emergency PDU session of "IPv4", "IPv6" or "IPv4v6" PDU session type, the SMF shall set the selected SSC mode IE to "SSC mode 1", "SSC mode 2", or "SSC mode 3".

If the PDU session is a non-emergency PDU session, the SMF shall set the S-NSSAI IE of the PDU SESSION ESTABLISHMENT ACCEPT message to:

a) the S-NSSAI of the PDU session; and

b) the mapped S-NSSAI (if available in roaming scenarios).

The SMF shall set the Selected PDU session type IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the selected PDU session type, i.e. the PDU session type of the PDU session.

If the PDU SESSION ESTABLISHMENT REQUEST message includes a PDU session type IE set to "IPv4v6", the SMF shall select "IPv4", "IPv6" or "IPv4v6" as the Selected PDU session type. If the subscription, the SMF configuration, or both, are limited to IPv4 only or IPv6 only for the DNN selected by the network, the SMF shall include the 5GSM cause value #50 "PDU session type IPv4 only allowed", or #51 "PDU session type IPv6 only allowed", respectively, in the 5GSM cause IE of the PDU SESSION ESTABLISHMENT ACCEPT message.

If the selected PDU session type is "IPv4", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an IPv4 address is allocated to the UE in the PDU session.

If the selected PDU session type is "IPv6", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an interface identifier for the IPv6 link local address allocated to the UE in the PDU session.

If the selected PDU session type is "IPv4v6", the SMF shall include the PDU address IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the PDU address IE to an IPv4 address and an interface identifier for the IPv6 link local address, allocated to the UE in the PDU session.

If the selected PDU session type of a PDU session established by the W-AGF acting on behalf of the FN-RG is "IPv4v6" or "IPv6", the SMF shall also indicate the SMF's IPv6 link local address in the PDU address IE of the PDU SESSION ESTABLISHMENT ACCEPT message.

If the PDU session is a non-emergency PDU session, the SMF shall set the DNN IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the DNN determined by the AMF of the PDU session.

The SMF shall set the Session-AMBR IE of the PDU SESSION ESTABLISHMENT ACCEPT message to the Session-AMBR of the PDU session.

If the selected PDU session type is "IPv4", "IPv6", "IPv4v6" or "Ethernet" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the RQoS bit set to "Reflective QoS supported", the SMF shall consider that reflective QoS is supported for QoS flows belonging to this PDU session and may include the RQ timer IE set to an RQ timer value in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the selected PDU session type is "IPv4", "IPv6", "IPv4v6" or "Ethernet" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a Maximum number of supported packet filters IE, the SMF shall consider this number as the maximum number of packet filters that can be supported by the UE for this PDU session. Otherwise the SMF considers that the UE supports 16 packet filters for this PDU session.

The SMF shall consider that the maximum data rate per UE for user-plane integrity protection supported by the UE for uplink and the maximum data rate per UE for user-plane integrity protection supported by the UE for downlink are valid for the lifetime of the PDU session.

If the value of the RQ timer is set to "deactivated" or has a value of zero, the UE considers that RQoS is not applied for this PDU session.

NOTE 2: If the 5G core network determines that reflective QoS is to be used for a QoS flow, the SMF sends reflective QoS indication (RQI) to UPF to activate reflective QoS. If the QoS flow is established over 3GPP access, the SMF also includes reflective QoS Attribute (RQA) in QoS profile of the QoS flow during QoS flow establishment.

If the selected PDU session type is "IPv6" or "IPv4v6" and if the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the MH6-PDU bit set to "Multi-homed IPv6 PDU session supported", the SMF shall consider that this PDU session is supported to use multiple IPv6 prefixes.

If the selected PDU session type is "Ethernet", the PDU SESSION ESTABLISHMENT REQUEST message includes a 5GSM capability IE with the EPT-S1 bit set to "Ethernet PDN type in S1 mode supported" and the network supports Ethernet PDN type in S1 mode, the SMF shall set the EPT-S1 bit of the 5GSM network feature support IE of the PDU SESSION ESTABLISHMENT ACCEPT message to "Ethernet PDN type in S1 mode supported".

If the DN authentication of the UE was performed and completed successfully, the SMF shall set the EAP message IE of the PDU SESSION ESTABLISHMENT ACCEPT message to an EAP-success message as specified in IETF RFC 3748 [34], provided by the DN.

Based on local policies or configurations in the SMF and the Always-on PDU session requested IE in the PDU SESSION ESTABLISHMENT REQUEST message (if available), if the SMF determines that either:

a) the requested PDU session needs to be established as an always-on PDU session (e.g. because the PDU session is for TSC, for URLLC, or for both), the SMF shall include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the value to "Always-on PDU session required"; or

b) the requested PDU session shall not be established as an always-on PDU session and:

i) if the UE included the Always-on PDU session requested IE, the SMF shall include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message and shall set the value to "Always-on PDU session not allowed"; or

ii) if the UE did not include the Always-on PDU session requested IE, the SMF shall not include the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the PDU session is an MA PDU session, the SMF shall include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message. The SMF shall set the content of the ATSSS container IE as specified in 3GPP TS 24.193 [13B]. If the UE requests to establish user plane resources over the second access of an MA PDU session which has already been established over the first access and the parameters associated with ATSSS previously provided to the UE are not to be updated, the "ATSSS container contents" shall not be included in the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the PDU session is a single access PDU session containing the MA PDU session information IE with the value set to "MA PDU session network upgrade is allowed" and:

a) if the SMF decides to establish a single access PDU session, the SMF shall not include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message; or

b) if the SMF decides to establish an MA PDU session, the SMF shall include the ATSSS container IE in the PDU SESSION ESTABLISHMENT ACCEPT message, which indicates to the UE that the requested single access PDU session was established as an MA PDU Session.

If the network decides that the PDU session is only for control plane CIoT 5GS optimization, the SMF shall include the control plane only indication in the PDU SESSION ESTABLISHMENT ACCEPT message.

If:

a) the UE provided the IP header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message; and

b) the SMF supports IP header compression for control plane CIoT 5GS optimization;

the SMF shall include the IP header compression configuration IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

If:

a) the UE provided the Ethernet header compression configuration IE in the PDU SESSION ESTABLISHMENT REQUEST message; and

b) the SMF supports Ethernet header compression for control plane CIoT 5GS optimization;

the SMF shall include the Ethernet header compression configuration IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

The SMF shall send the PDU SESSION ESTABLISHMENT ACCEPT message.

Upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message and a PDU session ID, using the NAS transport procedure as specified in subclause 5.4.5, the UE shall stop timer T3580, shall release the allocated PTI value and shall consider that the PDU session was established.

If the PDU session establishment procedure was initiated to perform handover of an existing PDU session between 3GPP access and non-3GPP access, then upon receipt of the PDU SESSION ESTABLISHMENT ACCEPT message the UE shall locally delete any authorized QoS rules and authorized QoS flow descriptions stored for the PDU session before processing the new received authorized QoS rules and authorized QoS flow descriptions, if any.

NOTE 3: For the case of handover from 3GPP access to non-3GPP access, deletion of the QoS flow descriptions implies deletion of the associated EPS bearer identities, if any, and according to subclause 6.1.4.1 also deletion of the associated EPS bearer contexts. Regarding the reverse direction, for PDU sessions via non-3GPP access the network does not allocate associated EPS bearer identities (see 3GPP TS 23.502 [9], subclause 4.11.1.4.1).

For an MA PDU session already established on a single access, upon receipt of PDU SESSION ESTABLISHMENT ACCEPT message over the other access:

a) the UE shall delete the stored authorized QoS rules;

b) if the authorized QoS flow descriptions IE is included in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall delete the stored authorized QoS flow descriptions; and

c) if the mapped EPS bearer contexts IE is included in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall delete the stored mapped EPS bearer contexts.

The UE shall store the authorized QoS rules, and the session-AMBR received in the PDU SESSION ESTABLISHMENT ACCEPT message for the PDU session. The UE shall also store the authorized QoS flow descriptions if it is included in the Authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message for the PDU session.

If the number of the authorized QoS rules, the number of the packet filters, or the number of the authorized QoS flow descriptions associated with the PDU session have reached the maximum number supported by the UE upon receipt of a PDU SESSION ESTABLISHMENT ACCEPT message, then the UE may initiate the PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #26 "insufficient resources".

For a PDU session that is being established with the request type set to "initial request", "initial emergency request" or "MA PDU request", or a PDU session that is being transferred from EPS to 5GS and established with the request type set to "existing PDU session" or "existing emergency PDU session" or a PDU session that is being handed over between non-3GPP access and 3GPP access and established with the request type set to "existing PDU session" or "existing emergency PDU session ", the UE shall verify the authorized QoS rules and the authorized QoS flow descriptions provided in the PDU SESSION ESTABLISHMENT ACCEPT message for different types of errors as follows:

a) Semantic errors in QoS operations:

1) When the rule operation is "Create new QoS rule", and the DQR bit is set to "the QoS rule is the default QoS rule" when there's already a default QoS rule.

2) When the rule operation is "Create new QoS rule", and there is no rule with the DQR bit set to "the QoS rule is the default QoS rule".

3) When the rule operation is "Create new QoS rule" and two or more QoS rules associated with this PDU session would have identical precedence values.

4) When the rule operation is an operation other than "Create new QoS rule".

5) When the rule operation is "Create new QoS rule", the DQR bit is set to "the QoS rule is not the default QoS rule", the request type is "initial request" and the UE is in NB-N1 mode.

6) When the rule operation is "Create new QoS rule" and two or more QoS rules associated with this PDU session would have identical QoS rule identifier values.

7) When the rule operation is "Create new QoS rule", the DQR bit is set to "the QoS rule is not the default QoS rule", and the PDU session type of the PDU session is "Unstructured".

8) When the flow description operation is an operation other than "Create new QoS flow description".

9) When the flow description operation is "Create new QoS flow description", the request type is "initial request", the QFI associated with the QoS flow description is not the same as the QFI of the default QoS rule and the UE is NB-N1 mode.

10) When the flow description operation is "Create new QoS flow description", the QFI associated with the QoS flow description is not the same as the QFI of the default QoS rule, and the PDU session type of the PDU session is "Unstructured".

In case 4, case 5, or case 7 if the rule operation is for a non-default QoS rule, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #83 "semantic error in the QoS operation".

In case 8, case 9, or case 10, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS flow description with 5GSM cause #83 "semantic error in the QoS operation".

Otherwise for all the cases above, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #83 "semantic error in the QoS operation".

b) Syntactical errors in QoS operations:

1) When the rule operation is "Create new QoS rule", the QoS rule is a QoS rule of a PDU session of IPv4, IPv6, IPv4v6 or Ethernet PDU session type, and the packet filter list in the QoS rule is empty.

2) When the rule operation is "Create new QoS rule", the DQR bit is set to "the QoS rule is the default QoS rule", the PDU session type of the PDU session is "Unstructured", and the packet filter list in the QoS rule is not empty.

3) When there are other types of syntactical errors in the coding of the QoS rules IE, such as a mismatch between the number of packet filters subfield, and the number of packet filters in the packet filter list.

4) When, the

A) rule operation is "Create new QoS rule", the UE determines that there is a resulting QoS rule for a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1), and there is no QoS flow description with a QFI corresponding to the QFI of the resulting QoS rule.

B) request type is "existing PDU session" or "existing emergency PDU session", the flow description operation is "Delete existing QoS flow description", and the UE determines that there is a resulting QoS rule for a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1) with a QFI corresponding to the QFI of the QoS flow description that is deleted (i.e. there is no associated QoS flow description with the same QFI).

5) When the flow description operation is "Create new QoS flow description", and the UE determines that there is a QoS flow description of a GBR QoS flow (as described in 3GPP TS 23.501 [8] table 5.7.4-1) which lacks at least one of the mandatory parameters (i.e., GFBR uplink, GFBR downlink, MFBR uplink and MFBR downlink).

In case 1, case 3 or case 4, if the QoS rule is not the default QoS rule, the UE shall send a PDU SESSION MODIFICATION REQUEST message including a requested QoS rule IE to delete the QoS rule with 5GSM cause #84 "syntactical error in the QoS operation". Otherwise, if the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #84 "syntactical error in the QoS operation".

In case 2, if the QoS rule is the default QoS rule, the UE shall send a PDU SESSION MODIFICATION REQUEST message including a requested QoS rule IE to delete all the packet filters of the default QoS rule. The UE shall include the 5GSM cause #84 "syntactical error in the QoS operation".

In case 5, if the default QoS rule is associated with the QoS flow description which lacks at least one of the mandatory parameters, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #84 "syntactical error in the QoS operation". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS flow description which lacks at least one of the mandatory parameters and the associated QoS rule(s), if any, with 5GSM cause #84 "syntactical error in the QoS operation".

NOTE 4: It is not considered an error if the UE determines that after processing all QoS operations on QoS rules and QoS flow descriptions there is a QoS flow description that is not associated with any QoS rule.

c) Semantic errors in packet filters:

1) When a packet filter consists of conflicting packet filter components which would render the packet filter ineffective, i.e. no IP packet will ever fit this packet filter. How the UE determines a semantic error in a packet filter is outside the scope of the present document.

If the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #44 "semantic error in packet filter(s)". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #44 "semantic error in packet filter(s)".

d) Syntactical errors in packet filters:

1) When the rule operation is "Create new QoS rule" and two or more packet filters in the resultant QoS rule would have identical packet filter identifiers.

2) When there are other types of syntactical errors in the coding of packet filters, such as the use of a reserved value for a packet filter component identifier.

If the QoS rule is the default QoS rule, the UE shall initiate a PDU session release procedure by sending a PDU SESSION RELEASE REQUEST message with 5GSM cause #45 "syntactical errors in packet filter(s)". Otherwise, the UE shall send a PDU SESSION MODIFICATION REQUEST message to delete the QoS rule with 5GSM cause #45 "syntactical errors in packet filter(s)".

If the Always-on PDU session indication IE is included in the PDU SESSION ESTABLISHMENT ACCEPT message and:

a) the value of the IE is set to "Always-on PDU session required", the UE shall consider the established PDU session as an always-on PDU session; or

b) the value of the IE is set to "Always-on PDU session not allowed", the UE shall not consider the established PDU session as an always-on PDU session.

The UE shall not consider the established PDU session as an always-on PDU session if the UE does not receive the Always-on PDU session indication IE in the PDU SESSION ESTABLISHMENT ACCEPT message.

The UE shall store the mapped EPS bearer contexts, if received in the PDU SESSION ESTABLISHMENT ACCEPT message. Furthermore, the UE shall also store the association between the QoS flow and the mapped EPS bearer context, for each QoS flow which can be transferred to EPS, based on the received EPS bearer identity parameter in Authorized QoS flow descriptions IE and the mapped EPS bearer contexts. The UE shall check each mapped EPS bearer context for different types of errors as follows:

NOTE 5: An error detected in a mapped EPS bearer context does not cause the UE to discard the Authorized QoS rules IE and Authorized QoS flow descriptions IE included in the PDU SESSION ESTABLISHMENT ACCEPT, if any.

a) Semantic error in the mapped EPS bearer operation:

1) When the operation code is an operation code other than "Create new EPS bearer" and the PDU session is being established with the request type set to "initial request" or "initial emergency request".

2) When the operation code is "Create new EPS bearer" and there is already an existing mapped EPS bearer context with the same EPS bearer identity associated with any PDU session.

3) When the operation code is "Create new EPS bearer" or "Modify existing EPS bearer" and the resulting mapped EPS bearer context has invalid or missing mandatory parameters (e.g., mapped EPS QoS parameters or traffic flow template for a dedicated EPS bearer context).

In case 2, if the existing mapped EPS bearer context is associated with the PDU session that is being established, the UE shall not diagnose an error, further process the create request and, if it was process successfully, delete the old EPS bearer context.

Otherwise, the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

b) if the mapped EPS bearer context includes a traffic flow template, the UE shall check the traffic flow template for different types of TFT IE errors as follows:

1) Semantic errors in TFT operations:

i) When the TFT operation is an operation other than "Create a new TFT"

The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #41 "semantic error in the TFT operation".

2) Syntactical errors in TFT operations:

i) When the TFT operation = "Create a new TFT" and the packet filter list in the TFT IE is empty.

ii) When there are other types of syntactical errors in the coding of the TFT IE, such as a mismatch between the number of packet filters subfield, and the number of packet filters in the packet filter list.

The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message with to delete the mapped EPS bearer context 5GSM cause #42 "syntactical error in the TFT operation".

3) Semantic errors in packet filters:

i) When a packet filter consists of conflicting packet filter components which would render the packet filter ineffective, i.e. no IP packet will ever fit this packet filter. How the UE determines a semantic error in a packet filter is outside the scope of the present document.

ii) When the resulting TFT does not contain any packet filter which applicable for the uplink direction.

The UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #44 "semantic errors in packet filter(s)".

4) Syntactical errors in packet filters:

i) When the TFT operation = "Create a new TFT" and two or more packet filters in the resultant TFT would have identical packet filter identifiers.

ii) When the TFT operation = "Create a new TFT" and two or more packet filters in all TFTs associated with this PDN connection would have identical packet filter precedence values.

iii) When there are other types of syntactical errors in the coding of packet filters, such as the use of a reserved value for a packet filter component identifier.

In case ii, if the old packet filters do not belong to the default EPS bearer context, the UE shall not diagnose an error and shall delete the old packet filters which have identical filter precedence values.

In case ii, if one or more old packet filters belong to the default EPS bearer context, the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #45 "syntactical errors in packet filter(s)".

In cases i and iii the UE shall initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #45 "syntactical error in packet filter(s)".

If the UE detects different errors in the mapped EPS bearer contexts, QoS rules or QoS flow descriptions, the UE may send a single PDU SESSION MODIFICATION REQUEST message to delete the erroneous mapped EPS bearer contexts, QoS rules or QoS flow descriptions. In that case, the UE shall include a single 5GSM cause in the PDU SESSION MODIFICATION REQUEST message.

NOTE 6: The 5GSM cause to use cannot be different from: #41 "semantic error in the TFT operation", #42 "syntactical error in the TFT operation", #44 "semantic error in packet filter(s)", #45 "syntactical errors in packet filter(s)", #83 "semantic error in the QoS operation", #84 "syntactical error in the QoS operation", and #85 "Invalid mapped EPS bearer identity". The selection of a 5GSM cause is up to the UE implementation.

The UE shall only use the Control plane CIoT 5GS optimization for this PDU session if the Control plane only indication is included in the PDU SESSION ESTABLISHMENT ACCEPT message.

If the UE requests the PDU session type "IPv4v6" and:

a) the UE receives the selected PDU session type set to "IPv4" and does not receive the 5GSM cause value #50 "PDU session type IPv4 only allowed"; or

b) the UE receives the selected PDU session type set to "IPv6" and does not receive the 5GSM cause value #51 "PDU session type IPv6 only allowed";

the UE may subsequently request another PDU session for the other IP version using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) with a single address PDN type (IPv4 or IPv6) other than the one already activated.

If the UE requests the PDU session type "IPv4v6", receives the selected PDU session type set to "IPv4" and the 5GSM cause value #50 "PDU session type IPv4 only allowed", the UE shall not subsequently request another PDU session for "IPv6" using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) and the PDU session type "IPv6" until:

- the UE is registered to a new PLMN;

- the UE is switched off; or

- the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

If the UE requests the PDU session type "IPv4v6", receives the selected PDU session type set to "IPv6" and the 5GSM cause value #51 "PDU session type IPv6 only allowed", the UE shall not subsequently request another PDU session for "IPv4" using the UE-requested PDU session establishment procedure to the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) and the PDU session type "IPv4" until:

- the UE is registered to a new PLMN;

- the UE is switched off; or

- the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

NOTE 7: For the 5GSM cause values #50 "PDU session type IPv4 only allowed", and #51 "PDU session type IPv6 only allowed", re-attempt in S1 mode for the same DNN (or no DNN, if no DNN was indicated by the UE) is only allowed using the PDN type(s) indicated by the network.

If the selected PDU session type of the PDU session is "Unstructured" or "Ethernet", the UE supports inter-system change from N1 mode to S1 mode, the UE does not support establishment of a PDN connection for the PDN type set to "non-IP" in S1 mode, and the parameters list field of one or more authorized QoS flow descriptions received in the Authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message contains an EPS bearer identity (EBI), then the UE shall locally remove the EPS bearer identity (EBI) from the parameters list field of such one or more authorized QoS flow descriptions. Additionally the UE shall also initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

If the selected PDU session type of the PDU session is "Ethernet", the UE supports inter-system change from N1 mode to S1 mode, the UE does not support establishment of a PDN connection for the PDN type set to "non-IP" in S1 mode, the UE, the network or both of them do not support Ethernet PDN type in S1 mode, and the parameters list field of one or more authorized QoS flow descriptions received in the Authorized QoS flow descriptions IE of the PDU SESSION ESTABLISHMENT ACCEPT message contains an EPS bearer identity (EBI), then the UE shall locally remove the EPS bearer identity (EBI) from the parameters list field of such one or more authorized QoS flow descriptions. Additionally, the UE shall also initiate a PDU session modification procedure by sending a PDU SESSION MODIFICATION REQUEST message to delete the mapped EPS bearer context with 5GSM cause #85 "Invalid mapped EPS bearer identity".

If the UE receives an IPv4 Link MTU parameter, an Ethernet Frame Payload MTU parameter, or an Unstructured Link MTU parameter in the Extended protocol configuration options IE of the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall pass to the upper layer the received IPv4 link MTU size, the received Ethernet frame payload MTU size, or the unstructured link MTU size.

NOTE 8: The IPv4 link MTU size corresponds to the maximum length of user data packet that can be sent via N3 interface for a PDU session of the "IPv4" PDU session type.

NOTE 9: The Ethernet frame payload MTU size corresponds to the maximum length of a payload of an Ethernet frame that can be sent via N3 interface for a PDU session of the "Ethernet" PDU session type.

NOTE 10: The unstructured link MTU size correspond to the maximum length of user data packet that can be sent either via the control plane or via N3 interface for a PDU session of the "Unstructured" PDU session type.

If the 5G-RG receives an ACS information parameter in the Extended protocol configuration options IE of the PDU SESSION ESTABLISHMENT ACCEPT message, the 5G-RG shall pass the ACS URL in the received ACS information parameter to the upper layer.

If the UE has indicated support for CIoT 5GS optimizations and receives a small data rate control parameters container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the small data rate control parameters value and use the stored small data rate control parameters value as the maximum allowed limit of uplink user data for the PDU session in accordance with 3GPP TS 23.501 [8].

If the UE has indicated support for CIoT 5GS optimizations and receives an additional small data rate control parameters for exception data container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the additional small data rate control parameters for exception data value and use the stored additional small data rate control parameters for exception data value as the maximum allowed limit of uplink exception data for the PDU session in accordance with 3GPP TS 23.501 [8].

If the UE has indicated support for CIoT 5GS optimizations and receives an initial small data rate control parameters container or an initial additional small data rate control parameters for exception data container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall use these parameters for the newly established PDU Session. When the validity period of the initial parameters expire, the parameters received in a small data rate control parameters container or an additional small data rate control parameters for exception data container shall be used.

If the UE receives a Serving PLMN rate control IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store the Serving PLMN rate control IE value and use the stored serving PLMN rate control value as the maximum allowed limit of uplink control plane user data for the corresponding PDU session in accordance with 3GPP TS 23.501 [8].

If the UE receives an APN rate control parameters container or an additional APN rate control for exception data parameters container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store these parameters and use them to limit the rate at which it generates uplink user data messages for the PDN connection corresponding to the PDU session if the PDU session is transferred to EPS upon inter-system change from N1 mode to S1 mode in accordance with 3GPP TS 24.301 [15]. The received APN rate control parameters and additional APN rate control for exception data parameters shall replace any previously stored APN rate control parameters and additional APN rate control for exception data parameters, respectively, for this PDN connection.

If the UE receives an initial APN rate control parameters container or an initial additional APN rate control for exception data parameters container in the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message, the UE shall store these parameters in the APN rate control status and use them to limit the rate at which it generates exception data messages for the PDN connection corresponding to the PDU session if the PDU session is transferred to EPS upon inter-system change from N1 mode to S1 mode in accordance with 3GPP TS 24.301 [15]. The received APN rate control status shall replace any previously stored APN rate control status for this PDN connection.

NOTE 11: In the PDU SESSION ESTABLISHMENT ACCEPT message, the SMF provides either APN rate control parameters container, or initial APN rate control parameters container, in the Extended protocol configuration options IE, but not both.

NOTE 12: In the PDU SESSION ESTABLISHMENT ACCEPT message, the SMF provides either additional APN rate control for exception data parameters container, or initial additional APN rate control for exception data parameters container, in the Extended protocol configuration options IE, but not both.

If the network accepts the use of Reliable Data Service to transfer data for the PDU session, the network shall include the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message and include the Reliable Data Service accepted indicator. The UE behaves as described in subclause 6.2.15.

If the UE indicates support of DNS over (D)TLS by providing DNS server security information indicator to the network and the network wants to enforce the use of DNS over (D)TLS, the network may include the Extended protocol configuration options IE in the PDU SESSION ESTABLISHMENT ACCEPT message and include the DNS server security information with length of two octets. Upon receiving the DNS server security information, the UE shall pass it to the upper layer. The UE shall use this information to send the DNS over (D)TLS (See 3GPP TS 33.501 [24]).

NOTE 13: Support of DNS over (D)TLS is based on the informative requirements as specified in 3GPP TS 33.501 [24] and it is implemented based on the operator requirement.

\* \* \* Next Change \* \* \* \*

##### 6.4.1.4.3 Handling of network rejection not due to congestion control

If the 5GSM cause value is different from #26 "insufficient resources", #28 "unknown PDU session type", #39 "reactivation requested", #46 "out of LADN service area", #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #54 "PDU session does not exist", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", #61 "PDU session type Ethernet only allowed", #67 "insufficient resources for specific slice and DNN", #68 "not supported SSC mode", and #69 "insufficient resources for specific slice", and the Back-off timer value IE is included, the UE shall behave as follows: (if the UE is a UE configured for high priority access in selected PLMN, exceptions are specified in subclause 6.2.12):

a) if the timer value indicates neither zero nor deactivated and:

1) if the UE provided DNN and S-NSSAI to the network during the PDU session establishment, the UE shall start the back-off timer with the value provided in the Back-off timer value IE for the PDU session establishment procedure and [PLMN, DNN, S-NSSAI] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN and S-NSSAI in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; or

2) if the UE did not provide a DNN or S-NSSAI or any of the two parameters to the network during the PDU session establishment, it shall start the back-off timer accordingly for the PDU session establishment procedure and the [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination. Dependent on the combination, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination in the current PLMN, until the back-off timer expires, the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated;

b) if the timer value indicates that this timer is deactivated and:

1) if the UE provided DNN and S-NSSAI to the network during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN and S-NSSAI in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; or

2) if the UE did not provide a DNN or S-NSSAI or any of the two parameters to the network during the PDU session establishment, the UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI] or [PLMN, no DNN, no S-NSSAI] combination in the current PLMN, until the UE is switched off, the USIM is removed, or the entry in the "list of subscriber data" for the current SNPN is updated; and

c) if the timer value indicates zero, the UE may send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN, S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI], or [PLMN, no DNN, no S-NSSAI] in the current PLMN.

If the Back-off timer value IE is not included, then the UE shall ignore the Re-attempt indicator IE provided by the network in the PDU SESSION ESTABLISHMENT REJECT message, if any.

a) Additionally, if the 5GSM cause value is #8 "operator determined barring", #32 "service option not supported", #33 "requested service option not subscribed" or #70 "missing or unknown DNN in a slice", then:

1) the UE not operating in SNPN access mode shall proceed as follows:

i) if the UE is registered in the HPLMN or in a PLMN that is within the EHPLMN list, the UE shall behave as described above in the present subclause using the configured SM Retry Timer value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, as back-off timer value; and

ii) otherwise, if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the SM Retry Timer value is not configured, the UE shall behave as described above in the present subclause, using the default value of 12 minutes for the back-off timer; or

2) the UE operating in SNPN access mode shall proceed as follows:

i) if:

A) the SM Retry Timer value for the current SNPN as specified in 3GPP TS 24.368 [17] is available; or

B) the UE used the USIM for registration to the current SNPN and the SM Retry Timer value in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22] is available;

then the UE shall behave as described above in the present subclause using the configured SM Retry Timer value as back-off timer value; or

NOTE 1: The way to choose one of the configured SM Retry Timer values for back-off timer value is up to UE implementation if both conditions in bullets A) and B) above are satisfied.

ii) otherwise, the UE shall behave as described above in the present subclause, using the default value of 12 minutes for the back-off timer.

b) For 5GSM cause value #27 "missing or unknown DNN", then:

1) the UE not operating in SNPN access mode shall proceed as follows:

i) if the UE is registered in the HPLMN or in a PLMN that is within the EHPLMN list, the UE shall start the back-off timer with the configured SM Retry Timer value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, as back-off timer value for the PDU session establishment procedure and the [PLMN, DNN] or [PLMN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off or the USIM is removed; and

ii) otherwise, if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the SM Retry Timer value is not configured, the UE shall start the back-off timer with the default value of 12 minutes as back-off timer value for the PDU session establishment procedure and the [PLMN, DNN] or [PLMN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current PLMN, until the back-off timer expires, the UE is switched off or the USIM is removed; or

2) the UE operating in SNPN access mode shall proceed as follows:

i) if:

A) the SM Retry Timer value for the current SNPN as specified in 3GPP TS 24.368 [17] is available; or

B) the UE used the USIM for registration to the current SNPN and the SM Retry Timer value in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22] is available;

then the UE shall start the back-off timer with the configured SM Retry Timer value as back-off timer value for the PDU session establishment procedure and the [SNPN, DNN] or [SNPN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current SNPN, until the back-off timer expires, the UE is switched off, or the entry in the "list of subscriber data" for the current SNPN is updated; or

NOTE 2: The way to choose one of the configured SM Retry Timer values for back-off timer value is up to UE implementation if both conditions in bullets A) and B) above are satisfied.

ii) otherwise, the UE shall start the back-off timer with the default value of 12 minutes as back-off timer value for the PDU session establishment procedure and the [SNPN, DNN] or [SNPN, no DNN] combination. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN in the current SNPN, until the back-off timer expires, the UE is switched off, or the entry in the "list of subscriber data" for the current SNPN is updated.

c) For 5GSM cause values different from #8 "operator determined barring", #27 "missing or unknown DNN", #32 "service option not supported", #33 "requested service option not subscribed" and #70 "missing or unknown DNN in a slice", the UE behaviour regarding the start of a back-off timer is unspecified.

The UE shall not stop any back-off timer:

a) upon a PLMN change;

b) upon an inter-system change; or

c) upon registration over another access type.

If the network indicates that a back-off timer for the PDU session establishment procedure is deactivated, then it remains deactivated;

a) upon a PLMN change;

b) upon an inter-system change; or

c) upon registration over another access type.

NOTE 3: This means the back-off timer can still be running or be deactivated for the given 5GSM procedure when the UE returns to the PLMN or when it performs inter-system change back from S1 mode to N1 mode. Thus, the UE can still be prevented from sending another PDU SESSION ESTABLISHMENT REQUEST message for the combination of [PLMN, DNN, S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI], or [PLMN, no DNN, no S-NSSAI] in the PLMN.

If the back-off timer is started upon receipt of a PDU SESSION ESTABLISHMENT REJECT (i.e. the timer value was provided by the network, a configured value is available or the default value is used as explained above) or the back-off timer is deactivated, the UE behaves as follows:

a) after a PLMN change:

1) the UE may send a PDU SESSION ESTABLISHMENT REQUEST message for the combination of [new PLMN, DNN, S-NSSAI], [new PLMN, DNN, no S-NSSAI], [new PLMN, no DNN, S-NSSAI], or [new PLMN, no DNN, no S-NSSAI] in the new PLMN, if the back-off timer is not running and is not deactivated for the PDU session establishment procedure and the combination of [new PLMN, DNN, S-NSSAI], [new PLMN, DNN, no S-NSSAI], [new PLMN, no DNN, S-NSSAI], or [new PLMN, no DNN, no S-NSSAI];

2) as an implementation option, for the 5GSM cause value #8 "operator determined barring", #32 "service option not supported", #33 "requested service option not subscribed" and #70 "missing or unknown DNN in a slice", if the network does not include a Re-attempt indicator IE, the UE may decide not to automatically send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN, S-NSSAI], [PLMN, DNN, no S-NSSAI], [PLMN, no DNN, S-NSSAI], or [PLMN, no DNN, no S-NSSAI] using the same PDU session type if the UE is registered to a new PLMN which is in the list of equivalent PLMNs; and

3) as an implementation option, for the 5GSM cause value #27 "missing or unknown DNN", if the network does not include a Re-attempt indicator IE, the UE may decide not to automatically send another PDU SESSION ESTABLISHMENT REQUEST message for the same combination of [PLMN, DNN] or [PLMN, no DNN] using the same PDU session type if the UE is registered to a new PLMN which is in the list of equivalent PLMNs;

b) if the network does not include the Re-attempt indicator IE to indicate whether re-attempt in S1 mode is allowed, or the UE ignores the Re-attempt indicator IE, e.g. because the Back-off timer value IE is not included, then:

1) if the UE is registered in its HPLMN or in a PLMN that is within the EHPLMN list and the back-off timer is running for the combination of [PLMN, DNN, S-NSSAI] or [PLMN, DNN, no S-NSSAI], the UE shall apply the configured value SM\_RetryAtRATChange value as specified in 3GPP TS 24.368 [17] or in USIM file NASCONFIG as specified in 3GPP TS 31.102 [22], if available, to determine whether the UE may attempt a PDN connectivity procedure for the same [PLMN, DNN] combination in S1 mode. If the back-off timer is running for the combination of [PLMN, no DNN, S-NSSAI] or [PLMN, no DNN, no S-NSSAI], the same applies for the PDN connectivity procedure for the [PLMN, no DNN] combination in S1 mode accordingly; and

2) if the UE is not registered in its HPLMN or in a PLMN that is within the EHPLMN list, or if the NAS configuration MO as specified in 3GPP TS 24.368 [17] is not available and the value for inter-system change is not configured in the USIM file NASCONFIG, then the UE behaviour regarding a PDN connectivity procedure for the same [PLMN, DNN] or [PLMN, no DNN] combination in S1 mode is unspecified; and

c) if the network includes the Re-attempt indicator IE indicating that re-attempt in an equivalent PLMN is not allowed, then depending on the timer value received in the Back-off timer value IE, for each combination of a PLMN from the equivalent PLMN list and the respective [DNN, S-NSSAI], [DNN, no S-NSSAI], [no DNN, S-NSSAI], or [no DNN, no S-NSSAI] combination, the UE shall start a back-off timer for the PDU session establishment procedure with the value provided by the network, or deactivate the respective back-off timer as follows:

1) if the Re-attempt indicator IE additionally indicates that re-attempt in S1 mode is allowed, the UE shall start or deactivate the back-off timer for N1 mode only; and

2) otherwise, the UE shall start or deactivate the back-off timer for S1 and N1 mode.

If the back-off timer for a [PLMN, DNN] or [PLMN, no DNN] combination, was started or deactivated in S1 mode upon receipt of PDN CONNECTIVITY REJECT message (see 3GPP TS 24.301 [15]) and the network indicated that re-attempt in N1 mode is allowed, then this back-off timer does not prevent the UE from sending a PDU SESSION ESTABLISHMENT REQUEST message in this PLMN for the same DNN, or without DNN, after inter-system change to N1 mode. If the network indicated that re-attempt in N1 mode is not allowed, the UE shall not send any PDU SESSION ESTABLISHMENT REQUEST message in this PLMN for the same DNN in combination with any S-NSSAI or without S-NSSAI, or in this PLMN without DNN in combination with any S-NSSAI or without S-NSSAI, after inter-system change to N1 mode until the timer expires, the UE is switched off or the USIM is removed.

NOTE 4: The back-off timer is used to describe a logical model of the required UE behaviour. This model does not imply any specific implementation, e.g. as a timer or timestamp.

NOTE 5: Reference to back-off timer in this section can either refer to use of timer T3396 or to use of a different packet system specific timer within the UE. Whether the UE uses T3396 as a back-off timer or it uses different packet system specific timers as back-off timers is left up to UE implementation.

When the back-off timer is running or the timer is deactivated, the UE is allowed to initiate a PDU session establishment procedure if the procedure is for emergency services.

If the 5GSM cause value is #28 "unknown PDU session type" and the PDU SESSION ESTABLISHMENT REQUEST message contained a PDU session type IE indicating a PDU session type, the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE may send another PDU SESSION ESTABLISHMENT REQUEST message with the PDU session type IE indicating another PDU session type or without the PDU session type IE, e.g. using another value which can be used for the rejected component in the same route selection descriptor as specified in 3GPP TS 24.526 [19]. The behaviour of the UE for 5GSM cause value #28 also applies if the PDU session is a MA PDU Session.

If the 5GSM cause value is #39 "reactivation requested", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any.

NOTE 6: Further UE behaviour upon receipt of 5GSM cause value #39 is up to the UE implementation.

If the 5GSM cause value is #46 "out of LADN service area", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall not send another PDU SESSION ESTABLISHMENT REQUEST message or another PDU SESSION MODIFICATION REQUEST message for the LADN DNN provided by the UE during the PDU session establishment procedure until the LADN information for the specific LADN DNN is updated as described in subclause 5.4.4 and subclause 5.5.1. The UE shall not indicate the PDU session(s) for the LADN DNN provided by the UE during the PDU session establishment procedure in the Uplink data status IE included in the SERVICE REQUEST message until the LADN information for the specific LADN DNN is updated as described in subclause 5.4.4 and subclause 5.5.1.

If the 5GSM cause value is #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", or #61 "PDU session type Ethernet only allowed", the UE shall ignore the Back-off timer value IE provided by the network, if any. The UE shall evaluate the URSP rules if available as specified in 3GPP TS 24.526 [19]. The UE shall not subsequently send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) using the same PDU session type until any of the following conditions is fulfilled:

a) the UE is registered to a new PLMN which was not in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received;

b) the UE is registered to a new PLMN which was in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received, and either the network did not include a Re-attempt indicator IE in the PDU SESSION ESTABLISHMENT REJECT message or the Re-attempt indicator IE included in the message indicated that re-attempt in an equivalent PLMN is allowed;

c) void;

d) the UE is switched off; or

e) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

For the 5GSM cause values #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", and #61 "PDU session type Ethernet only allowed", the UE shall ignore the value of the RATC bit in the Re-attempt indicator IE provided by the network, if any.

NOTE 7: For the 5GSM cause values #50 "PDU session type IPv4 only allowed", #51 "PDU session type IPv6 only allowed", #57 "PDU session type IPv4v6 only allowed", #58 "PDU session type Unstructured only allowed", and #61 "PDU session type Ethernet only allowed", re-attempt in S1 mode for the same DNN (or no DNN, if no DNN was indicated by the UE) is only allowed using the PDN type(s) indicated by the network.

If the 5GSM cause value is #54 "PDU session does not exist", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. If the PDU session establishment procedure is to perform handover of an existing PDU session between 3GPP access and non-3GPP access, the UE shall release locally the existing PDU session with the PDU session ID included in the PDU SESSION ESTABLISHMENT REJECT message. The UE may initiate another UE requested PDU session establishment procedure with the request type set to "initial request" in the subsequent PDU SESSION ESTABLISHMENT REQUEST message to establish a PDU session with the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE).

NOTE 8: User interaction is necessary in some cases when the UE cannot re-establish the PDU session(s) automatically.

If the 5GSM cause value is #68 "not supported SSC mode", the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall evaluate the URSP rules if available as specified in 3GPP TS 24.526 [19]. The UE shall not subsequently send another PDU SESSION ESTABLISHMENT REQUEST message for the same DNN (or no DNN, if no DNN was indicated by the UE) and the same S-NSSAI associated with (if available in roaming scenarios) a mapped S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) using the same SSC mode or an SSC mode which was not included in the Allowed SSC mode IE until any of the following conditions is fulfilled:

a) the UE is registered to a new PLMN which was not in the list of equivalent PLMNs at the time when the PDU SESSION ESTABLISHMENT REJECT message was received;

b) the SSC mode which is used to access to the DNN (or no DNN, if no DNN was indicated by the UE) and the S-NSSAI (or no S-NSSAI, if no S-NSSAI was indicated by the UE) is changed by the UE which subsequently requests a new SSC mode or no SSC mode;

c) the UE is switched off; or

d) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated.

If the UE receives the 5GSM cause value is #33 "requested service option not subscribed" upon sending PDU SESSION ESTABLISHMENT REQUEST to establish an MA PDU session, the UE shall ignore the Back-off timer value IE and Re-attempt indicator IE provided by the network, if any. The UE shall evaluate URSP rules, if available, as specified in 3GPP TS 24.526 [19] and the UE may send PDU SESSION ESTABLISHMENT REQUEST after evaluating those URSP rules.

Upon receipt of an indication from 5GMM sublayer that the 5GSM message was not forwarded because the DNN is not supported or not subscribed in a slice along with a PDU SESSION ESTABLISHMENT REQUEST message with the PDU session ID IE set to the PDU session ID of the PDU session, the UE:

a) shall stop timer T3580;

b) shall abort the procedure; and

c) shall not send another PDU SESSION ESTABLISHMENT REQUEST message in the PLMN for the same DNN and the same S-NSSAI that were sent by the UE, or for the same DNN and no S-NSSAI if S-NSSAI that was not sent by the UE, until:

1) the UE is switched off;

2) the USIM is removed or the entry in the "list of subscriber data" for the current SNPN is updated; or

3) the DNN is included in the LADN information and the network provides the LADN information during the registration procedure or the generic UE configuration update procedure.

\* \* \* End of Change \* \* \* \*