

**Source: Ad Hoc Group on Working Procedures – Mr. Woinsky**

**Title: Proposed Revised Working Procedures**

**Agenda item: 10**

**Document for:**

Decision	X
Discussion	
Information	

At the March PCG meeting an Ad Hoc group was established to maintain the 3GPP Working Procedures (WP). The group includes myself as convener, and Chang-Ho Ryoo (TTA), Adrian Scrase (ETSI), Keiji Yoshino (TTC), and Yukio Yoshimura (ARIB). I believe we all can agree that the 3GPP is working very well. However there has been confusion in interpreting some sections of the WP. In addition, some portions of the Procedures were not consistent with the Project Description or Project Agreement. As a result, we prepared modified procedures and a list of open issues for the Organizational Partners meeting in Korea on May 27-28, 1999. At that meeting and in subsequent communications the open issues were resolved. It was also agreed that modifications to the WP should be approved by the PCG rather than the OPs.

The modified WP (attached) have the consensus support of the above representatives, with the possibility of some editorial changes. We will seek approval of the attached modified WP at the July 6-7, 1999 PCG meeting.

One issue raised concerns authorizing the PCG to determine what organization contributes to the ITU on behalf of the 3GPP. This issue needs to be discussed by the PCG.

The following summarizes most of the changes that were made:

- Eliminates some inconsistencies with the Project Description, e.g. the approval of modifications to the Working Procedures is done by the PCG rather than the Organizational Partners.
- Includes in Annex D the procedures previously agreed upon by the PCG for external liaison approval, with a modification of a 3 day minimum interval.
- Defines as a new category of participant a Guest which is a company not currently eligible to be an Individual Member.
- Defines minimum rights for organizations that are Observers and companies that are Guests.
- Clarifies the procedure for approving Work Items
- Clarifies the conditions for electronic attendance.
- Clarifies that reinstatement rules allow voting at the second meeting attended.

- Indicates that each group (TSG/WG) may establish their own guidelines for dealing with late contributions affecting decisions at a meeting.
- Since revision numbers are not being used, delegates are advised to include prior document numbers on new documents to facilitate tracking of documents.
- Specifies minimum logistic information to be included in liaisons.
- Clarifies the election/appointment process for TSG Chairman and Vice-Chairmen.

**Mel Woinsky**  
**Convenor Ad Hoc group on WP**  
**T1 PCG Representative**

# Third Generation Partnership Project

# 3GPP

## Working Procedures

7 July 1999

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## Foreword

These Working Procedures of the Third Generation Partnership Project (3GPP) are effective from 7 July 1999.

An electronic version of these Partnership Project Working Procedures is available from the following address:

<http://www.3gpp.org>

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## SECTION A: GENERAL

### Article 1: Description

The Partnership Project is not a legal entity but is a collaborative activity between the following recognized Standards Development Organizations:

ARIB (Japan)

CWTS (China)

ETSI (Europe)

T1 (US)

TTA (Korea)

TTC (Japan)

The Partnership Project is entitled the “THIRD GENERATION PARTNERSHIP PROJECT” and may be known by the acronym “3GPP”.

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### Article 2: Purpose

The purpose of 3GPP is to prepare, approve and maintain globally applicable Technical Specifications and Technical Reports for a 3rd Generation Mobile System based on the evolved GSM core network, and the Universal Terrestrial Radio Access (UTRA), to be transposed by the Organizational Partners into appropriate deliverables (e.g., standards).

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### Article 3: Scope and objectives

The 3rd Generation Mobile System and its capabilities shall be developed in a phased approach. Initially, 3GPP shall prepare, approve and maintain the necessary set of Technical Specifications and Technical Reports for the first phase of a 3rd Generation Mobile System including:

- UTRAN (including UTRA; W-CDMA in Frequency Division Duplex (FDD) mode and TD-CDMA in Time Division Duplex (TDD) mode);
- 3GPP Core Network (Third Generation networking capabilities evolved from GSM . These capabilities include mobility management and global roaming);
- Terminals for access to the above (including specifications for a UIM);
- Service and system aspects.

The Technical Specifications and Technical Reports shall be developed in view of global roaming and circulation of terminals.

The set of 3GPP Technical Specifications and Technical Reports for the first phase of the 3GPP core network and the specifications for the GSM core network should be common to the greatest extent possible and should not be unnecessarily different.

The results of the 3GPP work may form the basis of member contributions to the ITU in accordance with existing procedures.

3GPP shall take account of emerging ITU recommendations on interworking between IMT-2000 family members.



In the framework of agreed relationships, 3GPP shall prepare Technical Specifications and Technical Reports that are intended to form the basis of standards, or parts of standards, of the Organizational Partners.

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## SECTION B: PARTICIPATION

### Article 4: Categories

Participation in 3GPP shall be classified into one of the following categories:

- Partners;
- Individual Members.
- Observers and Guests

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### Article 5: Partnership

Partners in 3GPP shall be classified into one of the following two categories:

- Organizational Partners;
- Market Representation Partners.

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### Article 6: Organizational Partnership

Organizational Partnership is open to any Standards Organization, irrespective of its geographical location, which has:

- a national, regional or other officially recognized status and the capability and authority to define, publish and set standards within the 3GPP scope, in that nation or region;
- an Intellectual Property Rights (IPR) Policy which is compatible with those of the Organizational Partners;
- committed itself to all or part of the 3GPP scope;
- signed the Partnership Project Agreement.

Standards Organizations may apply to become an Organizational Partner by writing to any of the existing Organizational Partners.

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### Article 7: Market Representation Partnership

The Organizational Partners may invite Market Representation Partners to take part in 3GPP.

An invitation for Market Representation Partnership is open to any organization, irrespective of its geographical location, which:

- has the ability to offer market advice to 3GPP and to bring into 3GPP a consensus view of market requirements (e.g. services, features and functionality) falling within the 3GPP scope;
- does not have the capability and authority to define, publish and set standards within the 3GPP scope, nationally or regionally;
- has committed itself to all or part of the 3GPP scope;

- has signed the Partnership Project Agreement.

Organizations may apply to become Market Representation Partners by writing to any of the existing Partners.

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## Article 8: Individual Membership

Membership in an Organizational Partner is a pre-requisite for Individual Membership of 3GPP. All entities registered as members of an Organizational Partner and eligible for participation in the technical work of that Organizational Partner, can become Individual Members of 3GPP if they are committed to support 3GPP and:

- to contribute technically or otherwise to one or more of the Technical Specification Groups within the 3GPP scope;
- to use the 3GPP results to the extent feasible.

An Individual Member has the right to participate in the work of 3GPP by attending meetings of the Technical Specification Groups and subtending groups.

Applications for Individual Membership of a Technical Specification Group shall be made in writing to the relevant Organizational Partner using the form given at **Annex C**.

Individual Members act in 3GPP in their own right and carry the full responsibility for their contributions.

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## Article 9: Termination of Individual Membership

Individual Membership of 3GPP may be terminated by dissolution, abolition, resignation or expulsion from the related Organizational Partner.

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## Article 10: Observership and Guests

The status of Observer may be granted by the Organizational Partners to an entity which has the qualifications to become a future Partner.

An Observer may send a single representative to an Organizational Partners or PCG meeting. An Observer may also have representatives at TSG meetings. Representatives of Observers may participate in discussions, receive and contribute documents but may not take part in decision making or hold any leadership positions.

Additional participation rights of an Observer shall be decided by the Organizational Partners on a case-by-case basis.

The status of Guest may be granted for a limited period, by the Organizational Partners to an entity which has the qualifications to become a future Individual Member. The limited period shall be decided by the Organizational Partners on a case-by-case basis.

A Guest may have representatives at TSG and subtending group meetings. Representatives may participate in discussions, receive and contribute documents but may not take part in decision making or hold any leadership positions.

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## SECTION C: STRUCTURE

### Article 11: Structure of 3GPP

3GPP shall consists of a Project Co-ordination Group (PCG) and Technical Specification Groups (TSGs). The Technical Specification Groups may establish Working Groups if required.

The Organizational Partners may decide to call a meeting of the full 3GPP membership if required.

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## SECTION D: PARTNERS COLLECTIVE RESPONSIBILITIES

### Article 12: Organizational Partners Collective Responsibilities

The Organizational Partners shall determine the general policy and strategy of 3GPP.

In addition the Organizational Partners shall perform the following tasks:

- approval and maintenance of the 3GPP scope;
  - maintenance of the, the Partnership Project Description
  - taking decisions on the creation or cessation of Technical Specification Groups, and approving their scope and terms of reference;
  - approval of Organizational Partner funding requirements;
  - allocation of human and financial resources provided by the Organizational Partners to the Project Co-ordination Group;
  - acting as a body of appeal on procedural matters referred to them.
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### Article 13: Collective responsibilities of all Partners

Organizational Partners and Market Representation Partners shall perform the following tasks:

- maintenance of the Partnership Project Agreement
  - approval of applications for 3GPP partnership;
  - taking decisions relating to the dissolution of 3GPP.
- 

## SECTION E: PROJECT CO-ORDINATION GROUP (PCG)

### Article 14: PCG tasks

The PCG shall perform the following tasks:

- appointment of PCG Chairman and Vice Chairmen;
- allocation of human and financial resources provided by Organizational Partners to TSGs;
- allocation of voluntary human and financial resources provided by Market Representation Partners and Individual Members;
- management of the 3GPP Support Team;
- handling of appeals from Individual Members on procedural matters referred to them;

- propose and approve modifications to the Partnership Project Working Procedures
- handling of appeals from Individual Members on technical matters referred to them;
- determination of the overall time frame and manage overall work progress;
- final adoption of new and stopped work items proposed by the TSGs within the agreed 3GPP scope and objectives;
- when a work item is outside the scope of the 3GPP, and where a common global solution is desired, recommend how to achieve a global solution;
- appointment or dismissal of TSG Chairmen and Vice-Chairmen, as proposed by TSGs based on election results. (The proposed candidate shall be appointed unless there are extraordinary reasons that prevent such an appointment, e.g., severe company or geographical imbalance within 3GPP. In such cases the TSG shall be requested to elect an alternative candidate. The decision not to appoint a candidate shall be made by consensus.);
- authorizing requests from the TSGs for approval to liaise with external organizations, and maintain a list of approved requests
- maintenance of the register of Individual Members eligible to participate in 3GPP;
- maintenance of the register of IPR declarations relevant to 3GPP, received by the Organizational Partners;

The PCG may decide to call a meeting of the full 3GPP membership if required.

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## Article 15: PCG participation

The following shall have a right to participate in the PCG:

- Three representatives of each Organizational Partner;
- Three representatives of each Market Representation Partner;
- The Chairmen and Vice Chairmen of the TSGs as ex-officio members.
- One representative of each Observer

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## Article 16: PCG appointment of Chairman and Vice Chairman

The PCG shall appoint their Chairman and Vice Chairmen from amongst the Organizational Partner representatives.

The Chairman and Vice Chairmen shall be appointed for a one year term of office.

The Chairman and Vice Chairmen shall normally serve one term of office. If no other candidates are available, the Chairman or Vice Chairmen may be appointed for a further term.

Successive Chairmen and Vice Chairmen should not be from the same Organizational Partner, the same region or from the same group of companies, unless no other candidate is available.

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## Article 17: PCG Chairman Responsibilities

The PCG Chairman is responsible for the overall management of the co-ordination work within 3GPP.

The Chairman has the overall responsibility to ensure that the Partnership Project Agreement, Partnership Project Description and Partnership Project Working Procedures are followed.

The Chairman may nominate officials to assist in the work.

The Chairman may be assisted by the Support Team.

The Chairman may delegate tasks to the Vice Chairmen.

In performing his tasks, the Chairman and Vice Chairmen shall maintain strict impartiality and act in the interest of the 3GPP.

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## Article 18: PCG meetings

A meeting of the PCG shall be held at least twice per year.

At least thirty days before the due date, a calling notice, draft agenda and supporting documents shall be issued.

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## Article 19: PCG decision making

In any meeting of the PCG, the quorum required for decision making shall be 50% of the total number of Organizational Partners. Proxies shall not be permitted.

The PCG shall endeavour to reach consensus on all issues. The views and opinions of the Market Representation Partners and the Chairmen and Vice Chairmen of the TSGs shall be taken into account during the consensus building process. If consensus cannot be achieved, the Chairman can decide to take a vote. The vote may exceptionally be performed by a secret ballot if decided by the PCG.

Each Organizational Partner shall have one vote. A proposal shall be deemed to be approved if 71% of the votes cast are in favour. Abstentions or failure to submit a vote shall not be included in determining the number of votes cast.

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## SECTION F: TECHNICAL SPECIFICATION GROUPS

### Article 20: TSG tasks

The TSGs shall prepare, approve and maintain the 3GPP Technical Specifications and Technical Reports.

The TSGs shall also perform the following tasks:

- Propose to the PCG for appointment TSG Chairman and Vice Chairmen based on election results;
- Creation of TSG Working Groups and approval of their terms of reference;
- When a new Working Group is created, the appointment of TSG Working Group Convenor ;
- Allocation of resources within the TSG;
- Allocation of voluntary human and financial resources provided by Market Representation Partners and Individual Members;
- Handling of appeals from Individual Members on technical matters;
- Preparation of a detailed time frame and management of detailed work progress;
- Management of work items;
- Technical Co-ordination;

- Proposal and approval of work items within the agreed scope and terms of reference of the TSG.
  - Where a work item is outside the scope of the 3GPP, but a common global solution is desired, recommend an approach to the PCG;
  - Assignment of work to Partners. (Specification development may be accomplished using various methods, including the assignment of work to Partners.)
  - Maintenance of the list of Individual Members eligible to vote within the TSG (Voting Members).
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## Article 21: TSG participation

The following shall have a right to participate in the TSGs:

- Representatives of members of participating Organizational Partners (i.e. Individual Members);
  - Representatives of Organizational Partners
  - Representatives of Market Representation Partners.
  - Representatives of Observers and Guests
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## Article 22: TSG and WG election of Chairman and Vice Chairman

The TSG Chairman and Vice Chairmen, to be proposed to the PCG for appointment, shall be elected by the Technical Specification Group from amongst the Individual Member representatives. Each TSG shall elect a maximum of two Vice Chairmen.

The Working Group Chairman and Vice Chairmen shall be elected by the Working Group from amongst the Individual Member representatives. Each Working Group shall elect a maximum of two Vice Chairmen.

A candidate for TSG or Working Group election shall provide a letter of support from his Organization and nominations may be made up to the point when an election takes place.

The TSG Chairman and Vice-Chairmen shall be appointed by the PCG on the proposal of the TSG.

The Chairman and the Vice-Chairmen shall be appointed for a two year term of office. The Chairman and Vice-Chairmen may be appointed for one further consecutive term. If no other candidates are available, the Chairman or Vice Chairmen may be appointed for a further term.

Chairman and Vice Chairmen should not be from the same region, Organizational Partner, or from the same group of companies, unless no other candidate is available.

Successive Chairmen should not be from the same Organizational Partner, the same region or from the same group of companies, unless no other candidate is available

When a new TSG is established, the Organizational Partners shall appoint a convenor for the first two TSG meetings. The initial election for TSG Chairman and Vice Chairmen shall take place at the second TSG meeting.

When a new TSG Working Group is established, the TSG shall appoint a Convenor for the first two Working Group meetings. The initial election for Working Group Chairman and Vice Chairmen shall take place at the second Working Group meeting.

## Article 23: TSG Chairman responsibilities

The TSG Chairman is responsible for the overall management of the technical work within the TSG and its Working Groups. The Chairman has an overall responsibility to ensure that the activities of the TSG follow the Partnership Project Working Procedures.

The Chairman may nominate officials to assist in the work.

The Chairman may delegate tasks to the Vice Chairmen.

The Chairman may be assisted by the Support Team.

Recognizing the need to balance the requirement of rapid specification development with the limited resources of participants, the Chairman should encourage a minimum number of meetings, especially parallel meetings, and maximize the use of electronic means to advance the work.

In performing TSG tasks, the Chairman shall maintain strict impartiality and act in the interest of 3GPP.

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## Article 24: TSG and WG Chairman and Vice Chairmen dismissal

A secret ballot shall be taken for the proposal to dismiss a TSG or WG Chairman or Vice-Chairman because of a failure to effectively perform their duties, if requested by 30% of the TSG or WG membership list. 71% of the votes cast are required to recommend dismissal.

The PCG shall dismiss a Chairman or Vice Chairman on the proposal of the TSG.

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## Article 25: TSG and WG decision making

TSGs and WGs shall endeavour to reach consensus on all issues, including decisions on Technical Specifications and Technical Reports. If consensus cannot be achieved, the Chairman can decide to take a vote. The vote may exceptionally be performed by a secret ballot if decided by the TSG or WG. A vote may be conducted during a TSG or WG meeting or by correspondence.

A proposal shall be deemed to be approved if 71% of the votes cast are in favour. Abstentions or failure to submit a vote shall not be included in determining the number of votes cast.

It is the responsibility of the Chairman to ensure that questions to be voted upon are phrased in a positive yes/no manner, with 71% required to approve the question. Questions should not be phrased as the TSG shall not do something. Examples of appropriate questions are; Shall the TSG approve the Specification and send it to the SDOs? Shall the liaison be approved? Shall the new WI be approved? Shall the existing WI be stopped? If the issue is to choose option A or B, the question should be split into two questions, with the Chairman selecting the order. First, shall the TSG take option A as the way forward? If this question fails the second question is, shall the TSG take option B as the way forward?

Contributions on which decisions will be based should be made available in good time before each meeting. TSGs may establish informal guidelines for dealing with late contributions.

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## Article 26: TSG and WG voting during a meeting

The following procedures apply for voting during a TSG or WG meeting:

- before voting, a clear definition of the issues shall be provided by the Chairman;
- Voting Members shall only be entitled to one vote;

- if an Voting Member has more than one representative present, only one representative may vote;
  - each Voting Member may only cast the vote once;
  - each Voting Member may carry proxy votes for up to five other Voting Members. All proxy votes shall be accompanied by a letter of authority from the authorising Voting Member. Proxies will not be taken into account when determining the quorum;
  - the quorum required for voting during a TSG or WG meeting shall be 30% of the total number of Voting Member companies on the TSG or WG membership list;
  - the result of the vote shall be recorded in the meeting report.
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## **Article 27: TSG or WG voting by correspondence**

The following procedures apply for voting by correspondence:

- before voting, a clear definition of the issues shall be provided by the Chairman and disseminated to all on the TSG or WG membership list;
  - Voting Members shall only be entitled to one vote;
  - each Voting Member may only cast the vote once within the voting period;
  - the voting period shall be 30 days;
  - there are no quorum requirements;
  - The result of the vote should be disseminated to everybody on the TSG or WG active participants list.
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## **Article 28: TSG or WG voting for the election of TSG or WG Chairman and Vice-Chairman**

In the case where there is more than one candidate for TSG or WG Chairman or Vice-Chairman, a secret ballot shall be used. For interpreting the result of the secret ballot the following procedure shall apply:

When, in the first ballot, no candidate has obtained 71% of the votes cast, a second ballot shall be held. In the second ballot, in cases where there are only two candidates, the candidate obtaining the higher number of votes is elected. In cases where there are more than two candidates, if none of them has obtained 71% of the votes, a third and final ballot shall be held among the two candidates who have obtained the highest number of votes in the second ballot. The candidate obtaining the higher number of votes in the third ballot is then elected.

The TSG or WG Chairman shall be responsible for the voting process and shall ensure that confidentiality is maintained.

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## **Article 29: TSG or WG Chairman's decision appeal process**

An Individual Member of 3GPP who opposes a Chairman's ruling on a vote taken within a TSG or WG may submit its case to the PCG for decision. In such cases the Individual Member shall also inform the relevant TSG or WG Chairman.

When a TSG or WG Chairman has made a ruling, his decision shall be taken as the basis for future operations, unless or until overturned by the PCG.



## Article 30: TSG and WG meetings

TSGs and WGs shall meet as necessary to complete their work within the prescribed timeframe. TSGs should endeavour to hold their meetings at the same time and place to assist in the overall co-ordination of the work.

Meeting locations should reflect the geographical diversity of the TSG and WG participants.

## Article 31: TSG and WG meeting invitation

The invitation to a TSG or WG meeting and the necessary logistical information shall be disseminated at least 21 days before the meeting to all on the TSG or WG membership list.

## Article 32: TSG and WG meeting agenda

The draft agenda for a TSG or WG meeting shall be disseminated by the responsible Chairman to all on the TSG or WG membership list at least 21 days before a meeting. The draft agenda should indicate subject matters where voting may be required.

## Article 33: TSG and WG meeting registration

Every attendee shall register on arrival at each TSG or WG meeting. Each attendee who represents an Individual Member shall declare the precise name of that Individual Member. An attendee may only register to represent one Individual Member. This information shall be used for the preparation of voting forms.

## Article 34: TSG and WG meeting document and file naming

Documents for a TSG or WG meeting shall follow a consistent numbering system as shown in the following example:

**3GPP/TSGx.m#y(nn)-zzz**

This numbering system has four logical elements:

- 1) **3GPP**: to indicate that it is a 3GPP document;
- 2) **/TSGx**: the name of the TSG;
  - where x : **R** (Radio Access Network)
  - N** (Core Network)
  - S** (Service and System Aspects)
  - T** (Terminals)
- 3) **m** Working Group identity (if required)
- 4) **#y**: TSG or WG meeting number
- 5) **(nn)**: to indicate the year, e.g. (99);
- 6) **zzz**: unique number of the document or its status, etc.

Electronic document files shall follow an 8+3 naming convention as follows:

**Gxmnzzz.ext**

This numbering system has four logical elements:

- 1) **/Gx:** the name of the *TSG* Group;
  - where **x** : **R** (Radio Access Network)
  - N** (Core Network)
  - S** (Service and System Aspects)
  - T** (Terminals)
- 2) **m** Working Group identity (if required)
- 3) **nn:** to indicate the year, e.g. (98);
- 4) **zzz:** unique number of the document or its status, etc.
- 5) **.ext:** file type extension e.g. pdf, rtf

No provision is made for the use of revision numbers. Documents which are a revision of a previous version should indicate the document number of that previous version.

## Article 35: TSG and WG Participant and Membership List

Each TSG shall maintain a list of active participants and voting members. To qualify for either list it is necessary to attend TSG or WG meetings. Individuals who fail to attend three consecutive meetings of a TSG or WG without justification shall be removed from the active participants list. A member or company (or agency) which is not represented at three consecutive meetings of the TSG or WG without justification shall be removed from the voting members list. In either case, those removed shall be re-instated after attending one meeting. The right to vote is reinstated at the next meeting attended.

The active participants list shall be used for document distribution. The voting member list shall be used to establish quorum and for determining those eligible to take part in a vote.

Voting members shall designate a Pincipal and Alternate individual for document distribution and voting. Either of these individuals may designate a replacement in writing to represent them. This is not considered a proxy if the person designated registers as representing the same Individual Member.

Any group that wants to call an electronic meeting (audio, video, document distribution by posting or e-mail, etc) may do so, although this works best with smaller groups. Therefore, all electronic meetings are allowed and count towards attendance. However, if a meeting is designated as face-to-face, provision of bridge and speakerphone capabilities for those requesting it would be at the discretion of the host. Also, in this case for those only participating by speakerphone they would not be counted toward quorum, attendance or allowed to vote (TSG, WG).

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## Article 36: TSG Sub Working Groups

A Working Group may establish a Sub Working Group (SWG) with defined Terms of Reference. The Working Group shall appoint a SWG Chairman. The SWG shall work by consensus. The meeting notice requirements for a SWG meeting are the same as for TSGs and WGs.

## SECTION G: WORK PROGRAMME AND TECHNICAL CO-ORDINATION

### Article 37: Work Programme

The 3GPP Work Programme shall consist of Work Items defined by the TSGs.

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### Article 38: Work Items

A 3GPP Work Item is a specification task defined in terms of the following principle parameters:

- title;
  - intended output (ie Technical Specifications or Technical Reports);
  - impact on other Technical Specifications and Technical Reports;
  - technical scope, including the field of application of the intended output;
  - impact on other 3GPP Work Items;
  - the schedule of tasks to be performed;
  - the identities of the supporting Individual Members;
  - the identity of the Work Item Rapporteurs.
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### Article 39: Work Item creation

Each proposed new Work Item shall be supported by at least four Individual Members, and their names shall be recorded in the Work Item definition prepared for the TSG approval. One or more persons shall be named as Rapporteur for the proposed Work Item, and the Rapporteur shall act as the prime contact point on technical matters and for information on progress throughout the drafting phases. The supporting Individual Members are expected to contribute to and progress the new work item throughout the drafting phases..

In addition to the above, TSGs shall approve new Work Items, giving all essential parameters. The proposal shall be entered into the 3GPP work programme, clearly marked as a new entry, for which a unique reference identity shall be allocated.

## Article 40: Work Item adoption by PCG

The 3GPP work programme shall be made available to all Individual Members. A new Work Item shall remain flagged as "new" until the end of the month following the month during which the 3GPP work item was entered into the 3GPP work programme. A new Work Item shall be adopted by the PCG unless a substantial objection is received from an Individual Member or Partner during this period. At the end of the period, the "new" flag shall be removed (even if there is an objection) and it is the responsibility of any objecting Individual Member or Partner to discuss their objections with the TSG Chairman. If it is not possible to resolve the objection, it is the responsibility of the Individual Member or Partner to raise the issue with the PCG.

The TSGs shall ensure that the 3GPP Work Item details are maintained at regular intervals.

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## Article 41: Work Item stopping

Prior to completion of the intended 3GPP output, the responsible TSG may conclude that a Work Item is no longer required. Any Work Item shall automatically be considered by a TSG for stopping, if no progress has been achieved in a given period of time, typically one year. In such cases, the Work Item shall be flagged as "stopped" in the Work Programme. The proposal to stop a Work Item shall be fully justified.

The Work Programme shall be updated accordingly, and shall show the Work Item as "stopped" until the end of the month following the month during which the Work Item was initially flagged.

The Work Item will be stopped by the PCG unless substantial objection is received from an Individual Member during this period. It is the responsibility of any objecting Individual Member to discuss their objections with the TSG Chairman. If it is not possible to resolve the objection, it is the responsibility of the Individual Member to raise the issue with the PCG.

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## Article 42: Technical co-ordination

The PCG shall be responsible for determining the overall time frame and for managing the overall work progress. The System Aspects TSG shall have a particular responsibility for the technical co-ordination of work being undertaken within 3GPP, and for overall system architecture and system integrity. Problems encountered in performing this technical co-ordination role shall be reported immediately to the PCG.

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## SECTION H: DELIVERABLES

### Article 43: Deliverable types

3GPP shall prepare, approve and maintain documents known as Technical Specifications and Technical Reports. Such documents shall be drawn up by the TSGs and shall, following approval at that level, be submitted to the participating Organizational Partners to be submitted to their respective standardization processes.

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### Article 44: Approval process

Approval of Technical Specifications and Technical reports by a TSG shall normally be by consensus.

Where consensus cannot be achieved in the TSG a vote may be taken.

When Technical Specifications and Technical Reports become sufficiently stable, they shall be put under change control of the relevant TSG. The further elaboration of these Technical Specifications and Technical Reports shall be achieved by change requests to be approved by the TSG.

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## Article 45: Drafting rules

The Technical Specifications and Technical Reports drafted by the TSGs shall follow the 3GPP drafting rules, using document processing facilities, format, languages and notations agreed by the Organizational Partners, and on a medium suited for electronic document handling and publishing.

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## Article 46: Copyright and ownership

The Organizational Partners will have joint ownership (including copyright) of the Technical Specifications and Technical Reports produced by 3GPP.

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## Article 47: Conversion by Organizational Partners

Organizational Partners shall use their best endeavours to convert the Technical Specifications and Technical Reports approved by the Partnership Project into national/regional deliverables in a timely manner through their normal processes.

The Organizational Partners are urged not to change the technical parts of the Technical Specifications and Technical Reports; they may add non-technical parts required by their own deliverable schemes and they may add descriptions of options selected.

Organizational Partners should ensure that all un-resolved comments raised during their public enquiry and approval phases are delivered to the appropriate TSG.

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## SECTION I: REPORTING

### Article 48: Chairman's reporting obligations

A report shall be prepared by the Chairman following all PCG and TSG meetings.

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### Article 49: Changes to structure and officials

The Chairman of each TSG shall inform the PCG of all organizational changes concerning Working Groups and their officials. An up to date record of the 3GPP structure shall be maintained.

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### Article 50: Calendar of meetings

The PCG and TSGs shall maintain an up to date calendar of the dates and venues for future meetings.

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## SECTION J: EXTERNAL RELATIONS

### Article 51: Relationship with the ITU

3GPP results should be submitted to the ITU as appropriate.

3GPP will not contribute directly to the ITU. Formal contributions to ITU Study Groups shall be made by Individual Members who are also members of the ITU. 3GPP Technical Specifications and Technical Reports may be taken as the technical content of such contributions.

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## Article 52: Relations with other groups

TSGs and WGs are encouraged to liaise directly with the relevant technical bodies within the [3GPP and](#) Partners as appropriate.

A liaison statement shall clearly communicate what is expected from the receiver, i.e., which parts are for information, which questions are expected to be clarified and by whom (especially if there are multiple receivers), and also when an answer is needed, e.g., when is the next meeting of the group sending the liaison statement.

The PCG shall maintain a list, based on proposals received from the TSGs, of [external](#) organizations with whom the TSGs [and subtending WGs](#) are authorised to liaise directly. External liaisons can not be approved by SWGs or Ad Hoc groups.

The external liaison approval process is described in Annex D.

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## SECTION K: MISCELLANEOUS

### Article 53: Resources

The resources for the operation of 3GPP shall be managed by the Organizational Partners. The resources are allocated to the TSGs by the PCG.

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### Article 54: Support Team

The Partners shall provide logistical support to, and assist in the operation of, 3GPP. The support shall be in the form of a Support Team which shall operate under the overall management of the PCG and the day to day management of TSGs.

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### Article 55: Intellectual Property Rights (IPR) Policy

Individual Members shall be bound by the IPR Policy of their respective Organizational Partner.

Individual Members should declare at the earliest opportunity, any IPRs which they believe to be essential, or potentially essential, to any work ongoing within 3GPP.

Organizational Partners should encourage their respective members to grant licences on fair, reasonable terms and conditions and on a non-discriminatory basis.

The PCG shall maintain a register of IPR declarations relevant to 3GPP, received by the Organizational Partners.

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### Article 56: Working language

The working language for 3GPP shall be English.

Meetings of the PCG and TSGs shall be conducted in English.

3GPP Technical Specifications and Technical Reports shall be prepared in English (as defined by the Shorter Oxford English Dictionary).

## Article 57: Duration

3GPP shall be task oriented and on completion of the tasks the future of 3GPP shall be re-considered. The continuation of 3GPP shall therefore be confirmed by the Organizational Partners on an annual basis.

## Article 58: Review of activities

An evaluation of the activities of 3GPP should be made by the Organizational Partners at regular intervals.

## Article 59: Dissolution, winding up

In the event of a voluntary dissolution of 3GPP, the Partners shall determine the terms of liquidation by consensus. All issues shall be documented and distributed at least 30 days prior to decisions being made.

## Article 60: Amendments to 3GPP Working Procedures

These Partnership Project Working Procedures may only be amended by decision taken by the [PCG](#).

## Annex A: Definitions

Attendee:	An individual taking part in a TSG/ <a href="#">WG</a> meeting physically or by electronic means, <a href="#">if not designated as a face-to-face meeting</a> .
Consensus:	General agreement, characterized by the absence of sustained opposition to substantial issues by any important part of the concerned interest and by a process that involves seeking to take into account the views of all parties concerned and to reconcile any conflicting arguments. (Note: consensus need not imply unanimity).
Conversion:	The transformation of a 3GPP output document into an Organizational Partners deliverable following the Organizational Partners' recognized processes.
Drafting Rules:	A document approved by the Organizational Partners providing rules for the drafting of 3GPP Technical Specifications and Technical Reports.
Election:	The voting process used to identify an individual from a number of individuals.
<a href="#">Guest:</a>	<a href="#">An entity fulfilling the criteria to become a future Individual Member, which has been granted temporary participation rights in the 3GPP</a>
Individual Member:	A member of an Organizational Partner having participation rights within that Organizational Partner and which has registered to take part in 3GPP.
Market Representation Partner:	An Partner invited by the Organizational Partners to participate in 3GPP to offer advice and to bring into 3GPP a consensus view of market requirements.
Observer:	An Organization fulfilling the criteria to become a future Partner which has been granted temporary participation rights in <a href="#">the</a> 3GPP
Partner:	An Organizational Partner or a Market Representation Partner of 3GPP.
Partnership Project Agreement:	The document signed by 3GPP Partners defining their rights and obligations.
Partnership Project Description:	A document which describes the overall structure and operation of 3GPP.
Sub Working Group	A subordinate body of a Working Group.

Support Team:	A number of persons dedicated to support 3GPP.
Technical Report:	A 3GPP output document containing mainly informative elements approved by a Technical Specification Group.
Technical Specification:	A 3GPP output document containing normative provisions approved by a Technical Specification Group.
Voting Member	An Individual Member who has voting rights within a TSG/ <u>WG</u> .
Work Item:	The documented record of a specific technical activity of 3GPP.
Work Program <u>me</u> :	The documented record of the all technical activities of 3GPP.
Working Group:	A subordinate body of a Technical Specification Group.

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## Annex B: Abbreviations

3GPP	Third Generation Partnership Project
ARIB	Association of Radio Industries and Businesses
CDMA	Code Division Multiple Access
<u>CWTS</u>	<u>China Wireless Telecommunications Standards Group</u>
ETSI	European Telecommunications Standards Institute
FDD	Frequency Division Duplex
GSM	Global System for Mobile Communication
IMT-2000	International Mobile Telecommunication
ITU	International Telecommunication Union
PCG	Project Co-ordination Group
SWG	Sub Working Group
T1	Standards Committee T1
TDD	Time Division Duplex
TSG	Technical Specification Group
TTA	Telecommunications Technology Association
TTC	Telecommunication Technology Committee
UIM	User Identity Module
UTRA	Universal Terrestrial Radio Access
UTRAN	Universal Terrestrial Radio Access Network
W-CDMA	Wideband CDMA
WG	Working Group



## Annex C: Individual member application form

**APPLICATION FORM**  
for INDIVIDUAL MEMBERSHIP  
of the THIRD GENERATION PARTNERSHIP PROJECT  
Please complete this form and return it to your Organizational Partner

COMPANY NAME .....

Please indicate below which ORGANIZATIONAL PARTNER you are a member of

<u>ARIB</u>	
<u>CWTS</u>	
ETSI	
T1	
TTA	
TTC	

Please indicate below which Technical Specification Groups you wish to participate in:

Radio Access Network	
Core Network	
Terminals	
Service and System Aspects	

Signed by ( <u>Authorized</u> Representative)	
Print name	
Position	
Date	
Telephone	
Fax	
Email	
Company Web site URL	

<u>Contact persons family name</u>	
<u>Contact persons given name</u>	
<u>Job title</u>	
Mailing address	
Telephone	
Fax	
Email	

## ANNEX D: EXTERNAL LIAISON APPROVAL PROCESS

The following process shall be used in order for a TSG to gain approval to liaise with an external organization:

- TSG Chairman, or Vice-Chairman at the Chairmans direction, shall send a request to the PCG Secretary containing the following information:
  - (a) Name of Organization
  - (b) Contact information (including URL)
  - (c) Purpose of the liaison request (brief description)
  - (d) Urgency of decision-minimum 3 days (e.g. 3 days, 5 days, 2 weeks)
- PCG Secretary shall send request to the PCG exploder list giving deadline for negative comments
- Request shall be considered approved unless negative comments received
- PCG Secretary will inform PCG members and update the web page accordingly
- A TSG or any subtending Working Group may send individual liaisons to any external organization on that TSGs approved list without further PCG approval, except if the statement is considered "sensitive" by the TSG Chairman, in which case PCG clearance is needed.
- It is not necessary to have all external liaisons copied to the PCG and/or TSG SA. The liaison originating TSG should decide, at its own discretion, who should be copied. External liaisons that may have management implications such as schedules, organization, process, procedures, and policy shall be copied to the PCG, or approved by the PCG if "sensitive".