3GPP RAN WG2 Meeting #125 R2-2401879

Athens, Greece, February 26th – March 1st, 2024

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  | **38.321** | **CR** | **1780** | **rev** | **1** | **Current version:** | 18.0.0 |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Miscellaneous MAC corrections for network energy savings |
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| ***Source to WG:*** | InterDigital |
| ***Source to TSG:*** | RAN2 |
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| ***Work item code:*** | Netw\_Energy\_NR-Core |  | ***Date:*** | 2024-03-07 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | * This CR provides editorial and miscellaneous MAC corrections for network energy savings
* It was agreed in RAN2#125 that the UE monitors cellDTRX-RNTI only in the C-DRX active time
* “may not” is used in cell DTX non-active period section, which can be ambiguous
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| ***Summary of change:*** | * The field name “*cellDTXDRX-Config*” is used (lower case) instead of the IE name “*CellDTXDRX-Config*”
* the cellDTRX-RNTI is captured in the RNTI values table
* cellDTRX-RNTI is added in the RNTI monitoring list in section 5.7
* During cell DRX non-active period, the word "Periodic" is removed for reporting CSI on PUCCH, to make it inclusive to both periodic and semi-persistent reporting, per R1 agreement
* “MAC entity may” is changed to “MAC entity need not” for UE actions during the cell DTX non-active period
* Clarified that during the cell DTX non-active period, the UE need not monitor PDCCH controlled by UE’s DRX functionalities (i.e. all RNTIs listed in DRX section).
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| ***Consequences if not approved:*** | * IE name is used instead of field name for cell DTX/DRX configuration
* cellDTRX-RNTI is not captured in TS 38.321
* UE can report semi-perisistent CSI on PUCCH during cell DRX non-active period.
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| ***Clauses affected:*** | 5.7 Discontinuous Reception (DRX)5.34 Cell-Level Energy Saving7.1 RNTI values |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 38.331 CR 4522 rev 1 |
| ***affected:*** |  | **X** |  Test specifications |  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<<<<<<<<<<<<<<<<<<<< First change begins >>>>>>>>>>>>>>>>>>>>

## 5.7 Discontinuous Reception (DRX)

The MAC entity may be configured by RRC with a DRX functionality that controls the UE's PDCCH monitoring activity for the MAC entity's C-RNTI, CI-RNTI, CS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, AI-RNTI, SL-RNTI, SL-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI and cellDTRX-RNTI. When using DRX operation, the MAC entity shall also monitor PDCCH according to requirements found in other clauses of this specification. When in RRC\_CONNECTED, if DRX is configured, for all the activated Serving Cells, the MAC entity may monitor the PDCCH discontinuously using the DRX operation specified in this clause; otherwise the MAC entity shall monitor the PDCCH as specified in TS 38.213 [6].

NOTE 1: Void

RRC controls DRX operation by configuring the following parameters:

- *drx-onDurationTimer*: the duration at the beginning of a DRX cycle;

- *drx-SlotOffset*: the delay before starting the *drx-onDurationTimer*;

- *drx-InactivityTimer*: the duration after the PDCCH occasion in which a PDCCH indicates a new UL, DL or SL transmission for the MAC entity;

- *drx-RetransmissionTimerDL* (per DL HARQ process except for the broadcast process): the maximum duration until a DL retransmission is received;

- *drx-RetransmissionTimerUL* (per UL HARQ process): the maximum duration until a grant for UL retransmission is received;

- *drx-LongCycleStartOffset*: the Long DRX cycle and *drx-StartOffset* which defines the subframe where the Long and Short DRX cycle starts;

- *drx-NonIntegerLongCycleStartOffset* (optional): the Long DRX cycle and *drx-StartOffset* which defines the subframe where the Long and Short DRX cycle start, when the length of the Long DRX cycle and/or the short DRX cycle is not an integer;

- *drx-ShortCycle* (optional): the Short DRX cycle;

- *drx-NonIntegerShortCycle* (optional): the Short DRX cycle whose length is not an integer;

- *drx-ShortCycleTimer* (optional): the duration the UE shall follow the Short DRX cycle;

- *drx-HARQ-RTT-TimerDL* (per DL HARQ process except for the broadcast process): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *drx-HARQ-RTT-TimerUL* (per UL HARQ process): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity;

- *drx-RetransmissionTimerSL* (per sidelink process): the maximum duration until a grant for SL retransmission is received;

- *drx-HARQ-RTT-TimerSL* (per sidelink process): the minimum duration before an SL retransmission grant is expected by the MAC entity;

- *drx-LastTransmissionUL* (optional): the configuration to start *drx-HARQ-RTT-TimerUL* after the last transmission within a bundle;

- *ps-Wakeup* (optional): the configuration to start associated *drx-onDurationTimer* in case DCP is monitored but not detected;

- *ps-TransmitOtherPeriodicCSI* (optional): the configuration to report periodic CSI that is not L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *ps-TransmitPeriodicL1-RSRP* (optional): the configuration to transmit periodic CSI that is L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *downlinkHARQ-FeedbackDisabled* (optional): the configuration to disable HARQ feedback per DL HARQ process;

- *uplinkHARQ-Mode* (optional): the configuration to set *HARQmodeA* or *HARQmodeB* per UL HARQ process;

- *disableCG-RetransmissionMonitoring* (optional): the configuration to disable starting *drx-HARQ-RTT-TimerUL* for UL transmission over a configured uplink grant;

- *drx-TimeReferenceSFN* (optional): the reference SFN used in determining the start time of DRX on durations when short and/or long DRX cycle is not an integer.

The following UE variable is used for the DRX operation if *drx-NonIntegerLongCycleStartOffset* is configured:

- *DRX\_SFN\_COUNTER*: the counter that increments when SFN changes to 0. This counter can be implemented with a maximum value of 65535.

Serving Cells of a MAC entity may be configured by RRC in two DRX groups with separate DRX parameters. When RRC does not configure a secondary DRX group, there is only one DRX group and all Serving Cells belong to that one DRX group. When two DRX groups are configured, each Serving Cell is uniquely assigned to either of the two groups. The DRX parameters that are separately configured for each DRX group are: *drx-onDurationTimer*, *drx-InactivityTimer*. The DRX parameters that are common to the DRX groups are: *drx-SlotOffset*, *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL*, *drx-LongCycleStartOffset*, *drx-NonIntegerLongCycleStartOffset*, *drx-ShortCycle* (optional), *drx-NonIntegerShortCycle* (optional), *drx-ShortCycleTimer* (optional), *drx-HARQ-RTT-TimerDL*, and *drx-HARQ-RTT-TimerUL*.

When DRX is configured, the Active Time for Serving Cells in a DRX group includes the time while:

- *drx-onDurationTimer* or *drx-InactivityTimer* configured for the DRX group is running; or

- *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* is running on any Serving Cell in the DRX group; or

- *ra-ContentionResolutionTimer* (as described in clause 5.1.5) or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or

- a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5). If this Serving Cell is part of a non-terrestrial network, the Active Time is started after the Scheduling Request transmission that is performed when the *SR\_COUNTER* is 0 for all the SR configurations with pending SR(s) plus the UE-gNB RTT; or

- a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a); or

- there is an ongoing RACH-less LTM cell switch; or

- there is an ongoing RACH-less handover in a terrestrial network.

The following MAC timers are used for DRX operation in a non-terrestrial network:

- *HARQ-RTT-TimerDL-NTN* (per DL HARQ process configured with HARQ feedback enabled): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *HARQ-RTT-TimerUL-NTN* (per UL HARQ process configured with *HARQModeA*): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity.

When DRX is not configured and multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity shall:

1> monitor the PDCCH as specified in TS 38.213 [6];

1> if a MAC PDU is received in a configured downlink assignment for unicast; or

1> if the PDCCH indicates a DL unicast transmission:

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

When DRX is configured, the MAC entity shall:

1> if a MAC PDU is received in a configured downlink assignment for unicast:

2> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

3> if the corresponding HARQ process is configured with HARQ feedback enabled:

4> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

4> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> else:

3> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 1a: Void.

NOTE 1b: Void.

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process;

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

1> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers:

2> if this Serving Cell is configured with *uplinkHARQ-Mode*:

3> if the corresponding HARQ process is configured as *HARQModeA*:

4> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

4> if *drx-LastTransmissionUL* is configured:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> else:

3> if *disableCG-RetransmissionMonitoring* is not configured for the configured uplink grant:

4> if *drx-LastTransmissionUL* is configured:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process at the first transmission (within a bundle) of the corresponding PUSCH transmission.

1> if a MAC PDU is transmitted in a configured sidelink grant:

2> if the PUCCH resource is configured:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> else:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after the end of the corresponding PSSCH transmission;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

1> if a *drx-HARQ-RTT-TimerDL* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerDL*.

1> if a *HARQ-RTT-TimerDL-NTN* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerDL-NTN*.

1> if a *drx-HARQ-RTT-TimerUL* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerUL*.

1> if a *HARQ-RTT-TimerUL-NTN* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerUL-NTN*.

1> if a *drx-HARQ-RTT-TimerSL* expires:

2> if a HARQ NACK feedback for the corresponding HARQ process is transmitted on PUCCH; or

2> if a HARQ NACK feedback for the corresponding HARQ process is generated but not transmitted on PUCCH; or

2> if the PUCCH resource is not configured for the SL grant:

3> start the *drx-RetransmissionTimerSL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerSL*.

NOTE 1c: The UE handles the *drx-RetransmissionTimerSL* operation when *sl-PUCCH-Config* is configured by RRC but PUCCH resource is not scheduled same as when *sl-PUCCH-Config* is not configured.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission or a Long DRX Command MAC CE is received:

2> stop *drx-onDurationTimer* for each DRX group;

2> stop *drx-InactivityTimer* for each DRX group.

1> if *drx-InactivityTimer* for a DRX group expires:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for this DRX group in the first symbol after the expiry of *drx-InactivityTimer*;

3> use the Short DRX cycle for this DRX group.

2> else:

3> use the Long DRX cycle for this DRX group.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission is received:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for each DRX group in the first symbol after the end of DRX Command MAC CE reception;

3> use the Short DRX cycle for each DRX group.

2> else:

3> use the Long DRX cycle for each DRX group.

1> if *drx-ShortCycleTimer* for a DRX group expires:

2> use the Long DRX cycle for this DRX group.

1> if a Long DRX Command MAC CE is received:

2> stop *drx-ShortCycleTimer* for each DRX group;

2> use the Long DRX cycle for each DRX group.

1> if the *drx-NonIntegerLongCycleStartOffset* is configured:

2> increment *DRX\_SFN\_COUNTER* by 1 in the first symbol of a slot in which SFN changes to 0;

2> if DRX is (re-)configured by RRC:

3> set *DRX\_SFN\_COUNTER* to 0 in the first symbol of the slot immediately after the successful completion of the RRC (re-)configuration;

1> if the Short DRX cycle is used for a DRX group and the *drx-NonIntegerShortCycle* is not configured, and [(SFN × 10) + subframe number] modulo (*drx-ShortCycle*) = (*drx-StartOffset*) modulo (*drx-ShortCycle*); or

1> if the Short DRX cycle is used for a DRX group and the *drx-NonIntegerShortCycle* is configured, and floor([(*DRX\_SFN\_COUNTER* × 10240) + (SFN × 10) + subframe number] modulo (*drx-NonIntegerShortCycle*)) = floor([(*drx-TimeReferenceSFN* × 10) + *drx-StartOffset*] modulo (*drx-NonIntegerShortCycle*)):

2> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

1> if the Long DRX cycle is used for a DRX group and the *drx-NonIntegerLongCycleStartOffset* is not configured, and [(SFN × 10) + subframe number] modulo (*drx-LongCycle*) = *drx-StartOffset*; or

1> if the Long DRX cycle is used for a DRX group and the *drx-NonIntegerLongCycleStartOffset* is configured, and floor([(*DRX\_SFN\_COUNTER* × 10240) + (SFN × 10) + subframe number] modulo (*drx-NonIntegerLongCycle*)) = floor([(*drx-TimeReferenceSFN* × 10) + *drx-StartOffset*] modulo (*drx-NonIntegerLongCycle*)):

2> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3:

3> if DCP indication associated with the current DRX cycle received from lower layer indicated to start *drx-onDurationTimer*, as specified in TS 38.213 [6]; or

3> if all DCP occasion(s) in time domain, as specified in TS 38.213 [6], associated with the current DRX cycle occurred in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to start of the last DCP occasion, or during a measurement gap, or when the MAC entity monitors for a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* of the SpCell identified by the C-RNTI while the *ra-ResponseWindow* is running (as specified in clause 5.1.4); or

3> if *ps-Wakeup* is configured with value *true* and DCP indication associated with the current DRX cycle has not been received from lower layers:

4> start *drx-onDurationTimer* after *drx-SlotOffset* from the beginning of the subframe.

2> else:

3> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

NOTE 2: In case of unaligned SFN across carriers in a cell group, the SFN of the SpCell is used to calculate the DRX duration.

1> if a DRX group is in Active Time:

2> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6];

2> if the PDCCH indicates a DL transmission; or

2> if the PDCCH indicates a one-shot HARQ feedback as specified in clause 9.1.4 of TS 38.213 [6]; or

2> if the PDCCH indicates a retransmission of HARQ feedback as specified in clause 9.1.5 of TS 38.213 [6]:

3> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

4> if the corresponding HARQ process is configured with HARQ feedback enabled:

5> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

5> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

3> else:

4> start or restart the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 3: When HARQ feedback is postponed by PDSCH-to-HARQ\_feedback timing indicating an inapplicable k1 value, as specified in TS 38.213 [6], the corresponding transmission opportunity to send the DL HARQ feedback is indicated in a later PDCCH requesting the HARQ-ACK feedback.

3> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported;

3> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

3> if the PDSCH-to-HARQ\_feedback timing indicate an inapplicable k1 value as specified in TS 38.213 [6]:

4> start the *drx-RetransmissionTimerDL* in the first symbol after the (end of the last) PDSCH transmission (within a bundle) for the corresponding HARQ process.

2> if the PDCCH indicates a UL transmission:

3> if this Serving Cell is configured with *uplinkHARQ-Mode*:

4> if the corresponding HARQ process is configured as *HARQModeA*:

5> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

5> if *drx-LastTransmissionUL* is configured:

6> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

5> else:

6> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> else:

4> if *drx-LastTransmissionUL* is configured:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

2> if the PDCCH indicates an SL transmission:

3> if the PUCCH resource is configured:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

3> else:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after end of PDCCH occasion;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> if the PDCCH indicates a new transmission (DL, UL or SL) on a Serving Cell in this DRX group:

3> start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH reception.

NOTE 3a: A PDCCH indicating activation of SPS, configured grant type 2, or configured sidelink grant of configured grant Type 2 is considered to indicate a new transmission.

NOTE 3b: If the PDCCH reception includes two PDCCH candidates from corresponding search spaces, as described in clause 10.1 in 38.213, start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH candidate that ends later in time.

2> if a HARQ process receives downlink feedback information and acknowledgement is indicated:

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

1> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3; and

1> if the current symbol n occurs within *drx-onDurationTimer* duration; and

1> if *drx-onDurationTimer* associated with the current DRX cycle is not started as specified in this clause:

2> if the MAC entity would not be in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or if all multicast DRXes would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7];

3> not report semi-persistent CSI configured on PUSCH;

3> not report semi-persistent CSI on PUCCH;

3> if *ps-TransmitPeriodicL1-RSRP* is not configured with value *true*:

4> not report periodic CSI that is L1-RSRP on PUCCH.

3> if *ps-TransmitOtherPeriodicCSI* is not configured with value *true*:

4> not report periodic CSI that is not L1-RSRP on PUCCH.

1> else:

2> in current symbol n, if a DRX group would not be in Active Time considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or, in current symbol n, if all multicast DRXes corresponding to the DRX group would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7] in this DRX group;

3> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH in this DRX group.

2> if CSI masking (*csi-Mask*) is setup by upper layers:

3> in current symbol n, if *drx-onDurationTimer* of a DRX group would not be running considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

3> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or, in current symbol n, if *drx-onDurationTimerPTM(s)* of all multicast DRXes corresponding to the DRX group would not be running considering DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

4> not report CSI on PUCCH in this DRX group.

NOTE 4: If a UE multiplexes a CSI configured on PUCCH with other overlapping UCI(s) according to the procedure specified in TS 38.213 [6] clause 9.2.5 and this CSI multiplexed with other UCI(s) would be reported on a PUCCH resource either outside DRX Active Time of the DRX group in which this PUCCH is configured or outside the on-duration period of the DRX group in which this PUCCH is configured if CSI masking is setup by upper layers, it is up to UE implementation whether to report this CSI multiplexed with other UCI(s).

The MAC entity shall ensure no rounding error is generated when performing the modulus operation with *drx-NonIntegerShortCycle* or *drx-NonIntegerLongCycle* as the divisor.

Regardless of whether the MAC entity is monitoring PDCCH or not on the Serving Cells in a DRX group, the MAC entity transmits HARQ feedback, aperiodic CSI on PUSCH, and aperiodic SRS defined in TS 38.214 [7] on the Serving Cells in the DRX group when such is expected.

The MAC entity needs not to monitor the PDCCH if it is not a complete PDCCH occasion (e.g. the Active Time starts or ends in the middle of a PDCCH occasion).

<<<<<<<<<<<<<<<<<<<< Next change >>>>>>>>>>>>>>>>>>>>

## 5.34 Cell-Level Energy Saving

### 5.34.1 General

Each Serving Cell may be configured by RRC with a periodic cell DTX pattern (i.e., Active and Non-Active Periods). The cell DTX operation affects UE's monitoring activity of PDCCH and configured downlink assignments in RRC\_CONNECTED. For all activated Serving Cells with cell DTX configured and activated, the MAC entity may monitor PDCCH and configured downlink assignments using the cell DTX operation specified in clause 5.34.2.

Each Serving Cell may be configured by RRC with a periodic cell DRX pattern (i.e., Active and Non-Active Periods). The cell DRX operation controls Scheduling Request and configured uplink grant transmission activity in RRC\_CONNECTED. For all activated Serving Cells with cell DRX configured and activated, the MAC entity may transmit configured uplink grant transmissions and Scheduling Request using the cell DRX operation specified in clause 5.34.3.

RRC controls cell DTX and cell DRX operation by configuring the following parameters in *cellDTXDRX-Config* per Serving Cell:

- *cellDTXDRXconfigType*: defines whether only cell DTX is configured, only cell DRX is configured, or both are configured;

- *celldtxdrx-onDurationTimer*: the active duration at the beginning of a cell DTX/DRX cycle;

- *celldtxdrx-StartOffset*: defines the subframe where the cell DTX/DRX cycle starts;

- *celldtxdrx-SlotOffset*: the delay before starting the *celldtxdrx-onDurationTimer*;

- *celldtxdrx-Cycle*: the cell DTX/DRX cycle period.

- *cellDTXDRXactivationStatus*: the initial activation status of cell DTX and cell DRX operation.

### 5.34.2 Cell Discontinuous Transmission

Cell DTX is configured if *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*. Cell DTX operation is activated and deactivated for each Serving Cell by:

- receiving a cell DTX indication from lower layers indicating *activation* or *deactivation* of cell DTX operation, as specified in TS 38.213 [6];

- configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated upon cell DTX configuration; if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DTX operation is deactivated upon cell DTX configuration; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released.

When cell DTX is configured and activated for a Serving Cell, the cell DTX Active Period includes the time while:

- *celldtxdrx-onDurationTimer* is running for the associated Serving Cell.

For each Serving Cell configured with cell DTX, the MAC entity shall:

1> if cell DTX is activated for this Serving Cell:

2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):

3> start *celldtxdrx-onDurationTimer* for this serving cell after *celldtxdrx-SlotOffset* from the beginning of the subframe.

1> if cell DTX operation is deactivated for this Serving Cell; or

1> if the Serving Cell is in the cell DTX Active Period:

2> monitor PDCCH on this Serving Cell, as specified in TS 38.213 [6] and other clauses of this specification.

1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group of this Serving Cell; or

1> if *ra-ContentionResolutionTimer* (as described in clause 5.1.5) or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or

1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or

1> if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a):

2> monitor PDCCH on the Serving Cells in the DRX group of this Serving Cell, as specified in TS 38.213 [6] and other clauses of this specification.

1> if *ra-ResponseWindow* (as described in clause 5.1.4) is running and this Serving Cell is the SpCell:

2> monitor PDCCH on this Serving Cell (as described in clause 5.1.4).

For each Serving Cell configured with cell DTX, the MAC entity need not:

1> if cell DTX operation is activated and the Serving Cell is not in the cell DTX Active Period:

2> monitor PDCCH for the MAC entity's RNTIs listed in clauses 5.7 and 5.7b, irrespective of the requirements of clauses 5.7 and 5.7b, unless stated otherwise in this clause;

2> instruct the physical layer to receive transport block on the DL-SCH of this Serving Cell according to a configured downlink assignment for SPS;

2> indicate the presence of a configured downlink assignment and deliver the stored HARQ information to the HARQ entity;

2> set the HARQ Process ID to the HARQ Process ID associated with the PDSCH duration of a configured downlink assignment;

2> consider the NDI bit for the HARQ process corresponding to the PDSCH duration of a configured downlink assignment to have been toggled for the configured downlink assignment.

### 5.34.3 Cell Discontinuous Reception

Cell DRX is configured if *cellDTXDRXconfigType* is set to *drx* or *dtxdrx*. Cell DRX operation is activated and deactivated for each Serving Cell by:

- receiving a cell DRX indication from lower layers indicating *activation* or *deactivation* of cell DRX operation, as specified in TS 38.213 [6];

- configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated upon cell DRX configuration; if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DRX operation is deactivated upon cell DRX configuration; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released.

When cell DRXis configured and activated for a Serving Cell, the cell DRX Active Period includes the time while:

- *celldtxdrx-onDurationTimer* is running for the associated Serving Cell.

For each Serving Cell configured with cell DRX, the MAC entity shall:

1> if cell DRX is activated for this Serving Cell:

2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):

3> start *celldtxdrx-onDurationTimer* for this serving cell after *celldtxdrx-SlotOffset* from the beginning of the subframe.

1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:

2> not instruct the physical layer to signal a SR on a PUCCH resource for SR;

2> not increment the *SR\_COUNTER* for a SR;

2> not start the *sr-ProhibitTimer* for a SR;

2> not deliver any configured uplink grant and the associated HARQ information to the HARQ entity;

2> not instruct a HARQ process associated with a configured uplink grant to trigger a new transmission or a retransmission;

2> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH;

2> if an emergency service is initiated by upper layers and this Serving Cell is the SpCell:

3> initiate a Random Access procedure (as specified in clause 5.1.1).

NOTE: How the MAC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

<<<<<<<<<<<<<<<<<<<< Next change >>>>>>>>>>>>>>>>>>>>

# 7 Variables and constants

## 7.1 RNTI values

RNTI values are presented in Table 7.1-1.

Table 7.1-1: RNTI values.

|  |  |
| --- | --- |
| Value (hexa-decimal) | RNTI |
| 0000 | N/A |
| 0001–FFF2 | RA-RNTI, MSGB-RNTI, Temporary C-RNTI, C-RNTI, CI-RNTI, MCS-C-RNTI, CS-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, PS-RNTI, SL-RNTI, SL-CS-RNTI, SL-PRS-RNTI, SL-PRS-CS-RNTI, SL Semi-Persistent Scheduling V-RNTI, AI-RNTI, G-RNTI, G-CS-RNTI, CG-SDT-CS-RNTI, NCR-RNTI, and cellDTRX-RNTI |
| FFF3–FFFA | Reserved |
| FFFB | Multicast MCCH-RNTI |
| FFFC | PEI-RNTI |
| FFFD | MCCH-RNTI |
| FFFE | P-RNTI |
| FFFF | SI-RNTI |

Table 7.1-2: RNTI usage.

|  |  |  |  |
| --- | --- | --- | --- |
| RNTI | Usage | Transport Channel | Logical Channel |
| P-RNTI | Paging and System Information change notification | PCH | PCCH |
| SI-RNTI | Broadcast of System Information | DL-SCH | BCCH |
| RA-RNTI | Random Access Response | DL-SCH | N/A |
| MSGB-RNTI | Random Access Response for 2-step RA type | DL-SCH | CCCH, DCCH, DTCH |
| Temporary C-RNTI | Contention Resolution(when no valid C-RNTI is available) | DL-SCH | CCCH, DCCH, DTCH |
| Temporary C-RNTI | Msg3 transmission | UL-SCH | CCCH, DCCH, DTCH |
| C-RNTI, MCS-C-RNTI | Dynamically scheduled unicast transmission | UL-SCH | DCCH, DTCH |
| C-RNTI | Dynamically scheduled unicast transmission | DL-SCH | CCCH, DCCH, DTCH |
| NCR-RNTI | Transmission of Side Control Information for NCR operation | N/A | N/A |
| MCS-C-RNTI | Dynamically scheduled unicast transmission | DL-SCH | DCCH, DTCH |
| C-RNTI | Triggering of PDCCH ordered random access | N/A | N/A |
| C-RNTI | Dynamically scheduled PTP retransmission for initial PTM transmission for multicast MBS. | DL-SCH | MTCH |
| CG-SDT-CS-RNTI | Dynamically scheduled unicast transmission(retransmission) | UL-SCH | CCCH, DCCH, DTCH |
| CS-RNTI | Configured scheduled unicast transmission(activation, reactivation and retransmission) | DL-SCH, UL-SCH | DCCH, DTCH |
| CS-RNTI | Configured scheduled unicast transmission(deactivation) | N/A | N/A |
| CS-RNTI | Configured scheduled unicast transmission(PTP retransmission for initial PTM transmission) | DL-SCH | MTCH |
| CS-RNTI | Configured scheduled unicast transmission(MBS SPS deactivation) | N/A | N/A |
| G-CS-RNTI | Configured scheduled multicast transmission(activation, reactivation and retransmission) | DL-SCH | MTCH |
| G-CS-RNTI | Configured scheduled multicast transmission (deactivation) | N/A | N/A |
| TPC-PUCCH-RNTI | PUCCH power control | N/A | N/A |
| TPC-PUSCH-RNTI | PUSCH power control | N/A | N/A |
| TPC-SRS-RNTI | SRS trigger and power control | N/A | N/A |
| INT-RNTI | Indication pre-emption in DL | N/A | N/A |
| SFI-RNTI | Slot Format Indication on the given cell | N/A | N/A |
| SP-CSI-RNTI | Activation of Semi-persistent CSI reporting on PUSCH | N/A | N/A |
| CI-RNTI | Cancellation indication in UL | N/A | N/A |
| PS-RNTI | DCP to indicate whether to start *drx-onDurationTimer* for associated DRX cycle | N/A | N/A |
| SL-RNTI | Dynamically scheduled sidelink transmission | SL-SCH | SCCH, STCH |
| SL-CS-RNTI | Configured scheduled sidelink transmission(activation, reactivation and retransmission) | SL-SCH | SCCH, STCH |
| SL-CS-RNTI | Configured scheduled sidelink transmission(deactivation) | N/A | N/A |
| SL-PRS-RNTI | Dynamically scheduled sidelink PRS transmission | N/A | N/A |
| SL-PRS-CS-RNTI | Configured scheduled sidelink PRS transmission (activation and reactivation) | N/A | N/A |
| SL-PRS-CS-RNTI | Configured scheduled sidelink PRS transmission (deactivation) | N/A | N/A |
| SL Semi-Persistent Scheduling V-RNTI (NOTE 2) | Semi-Persistently scheduled sidelink transmission for V2X sidelink communication(activation, reactivation and retransmission) | SL-SCH | STCH |
| SL Semi-Persistent Scheduling V-RNTI(NOTE 2) | Semi-Persistently scheduled sidelink transmission for V2X sidelink communication(deactivation) | N/A | N/A |
| AI-RNTI | Availability indication on the given cell | N/A | N/A |
| G-RNTI | Dynamically scheduled MBS PTM transmission | DL-SCH | MTCH |
| MCCH-RNTI | Dynamically scheduled MCCH signalling and MCCH change notification | DL-SCH | MCCH |
| PEI-RNTI | Paging Early Indication | N/A | N/A |
| cellDTRX-RNTI | Network energy saving indication | N/A | N/A |
| NOTE 1: The usage of MCS-C-RNTI is equivalent to that of C-RNTI in MAC procedures (except for the C-RNTI MAC CE).NOTE 2: The MAC entity uses SL Semi-Persistent Scheduling V-RNTI to control semi-persistently scheduled sidelink transmission on SL-SCH for V2X sidelink communication as specified in clause 5.14.1.1 of TS 36.321 [22].NOTE 3: The usage of CG-SDT-CS-RNTI is equivalent to that of CS-RNTI when there is an CG-SDT procedure ongoing. |

<<<<<<<<<<<<<<<<<<<< End of changes >>>>>>>>>>>>>>>>>>>>