**3GPP TSG-RAN WG2 Meeting #124 *R2-231xxxx***

**Chicago, IL, USA, November 13 – 17, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.300** | **CR** | **0727** | **rev** | **2** | **Current version:** | **17.6.0** |  |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of Mobile IAB | | | | | | | | | |
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| ***Source to WG:*** | Qualcomm | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_Mobile\_IAB-Core | | | | |  | ***Date:*** | | | 2023-12-01 |
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| ***Category:*** | **B** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | Introduction of the Mobile IAB feature to specification | | | | | | | | |
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| ***Summary of change:*** | | Clause 3.2: Add mobile-IAB-related terms  Clause 4.7: Add mobile IAB enhancements and restrictions over those for Rel-16/17 IAB, with the following sub clauses:   * Clause 47.1.x: Adds principal aspects, enhancements and restrictions over Rel-16/17 IAB. * Clause 4.7.x.2 Adds RACH-less handover for UEs during DU migration.   Clause 9.2.1.1: Add cell selection for mobile IAB-MT.  Clause 9.2.3.1: Add handover support for mobile IAB-MT | | | | | | | | |
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| ***Consequences if not approved:*** | | No RAN support of mobile IAB. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.2, 4.7.X, 4.7.X.1, 4.7.X.2, 9.2.1.1, 9.2.3.1 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.304 CR 0370  TS 38.306 CR 1015  TS 38.321 CR 1760  TS 38.331 CR 4457  TS 38.340 CR 0033 | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | Rev 0: Added mobile IAB based on agreements of R2#123:   * Clause 3.2: Adds mobile-IAB-related terms. * Clause 4.7.x: Adds mobile IAB functionality. * Clause 47.1.x: Adds principal aspects, enhancements and restrictions over Rel-16/17 IAB. * Clause 4.7.x.2 Adds RACH-less handover for UEs during DU migration. * Clause 9.2.1.1: Adds cell selection for mobile IAB-MT. * Clause 9.2.3.1: Adds handover support for mobile IAB-MT   Rev 1: Correction to cover page  Rev 2: Added agreements from R2#124 and R2#123bis | | | | | | | | |

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| *--- Begin of Changes ---* |

## 3.2 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], in TS 36.300 [2] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] and TS 36.300 [2].

**BH RLC channel**: an RLC channel between two nodes, which is used to transport backhaul packets**.**

**Boundary IAB-node:** as defined in TS 38.401 [4].

**Broadcast MRB**:A radio bearer configured for MBS broadcast delivery.

**CAG Cell**:a PLMN cell broadcasting at least one Closed Access Group identity.

**CAG Member Cell**:for a UE, a CAG cell broadcasting the identity of the selected PLMN, registered PLMN or equivalent PLMN, and for that PLMN, a CAG identifier belonging to the Allowed CAG list of the UE for that PLMN.

**CAG-only cell**: a CAG cell that is only available for normal service for CAG UEs.

**Cell-Defining SSB**: an SSB with an RMSI associated.

**Child node**: IAB-DU's and IAB-donor-DU's next hop neighbour node; the child node is also an IAB-node.

**Conditional Handover (CHO**): a handover procedure that is executed only when execution condition(s) are met.

**CORESET#0**: the control resource set for at least SIB1 scheduling, can be configured either via MIB or via dedicated RRC signalling.

**DAPS Handover**: a handover procedure that maintains the source gNB connection after reception of RRC message for handover and until releasing the source cell after successful random access to the target gNB.

**Direct Path**: a type of UE-to-Network transmission path, where data is transmitted between a UE and the network without sidelink relaying.

**Downstream**: direction toward child node or UE in IAB-topology.

**Early Data Forwarding**: data forwarding that is initiated before the UE executes the handover.

**Earth-centered, earth-fixed**: a global geodetic reference system for the Earth intended for practical applications of mapping, charting, geopositioning and navigation, as specified in NIMA TR 8350.2 [51].

**Feeder link**: wireless link between the NTN Gateway and the NTN payload.

**Geosynchronous Orbit**: earth-centered orbit at approximately 35786 kilometres above Earth's surface and synchronised with Earth's rotation. A geostationary orbit is a non-inclined geosynchronous orbit, i.e. in the Earth's equator plane.

**Group ID for Network Selection**: an identifier used during SNPN selection to enhance the likelihood of selecting a preferred SNPN that supports a Default Credentials Server or a Credentials Holder, as specified in TS 23.501 [3].

**gNB**: node providing NR user plane and control plane protocol terminations towards the UE, and connected via the NG interface to the 5GC.

**High Altitude Platform Station**: airborne vehicle embarking the NTN payload placed at an altitude between 8 and 50 km.

**IAB-donor**:gNB that provides network access to UEs via a network of backhaul and access links.

**IAB-donor-CU**: as defined in TS 38.401 [4].

**IAB-donor-DU**:as defined in TS 38.401 [4].

**IAB-DU**: gNB-DU functionality supported by the IAB-node to terminate the NR access interface to UEs and next-hop IAB-nodes, and to terminate the F1 protocol to the gNB-CU functionality, as defined in TS 38.401 [4], on the IAB-donor.

**IAB-MT**: IAB-node function that terminates the Uu interface to the parent node using the procedures and behaviours specified for UEs unless stated otherwise. IAB-MT function used in 38-series of 3GPP Specifications corresponds to IAB-UE function defined in TS 23.501 [3].

**IAB-node**: RAN node that supports NR access links to UEs and NR backhaul links to parent nodes and child nodes. The IAB-node does not support backhauling via LTE.

**IAB topology**: the unison of all IAB-nodes and IAB-donor-DUs whose F1 and/or RRC connections are terminated at the same IAB-donor-CU.

**Indirect Path**: a type of UE-to-Network transmission path, where data is forwarded via a U2N Relay UE between a U2N Remote UE and the network.

**Inter-donor partial migration:** migration of an IAB-MT to a parent node underneath a different IAB-donor-CU while the collocated IAB-DU and its descendant IAB-node(s), if any, are terminated at the initial IAB-donor-CU. The procedure renders the said IAB-node as a boundary IAB-node.

**Intra-system Handover**:handover that does not involve a CN change (EPC or 5GC).

**Inter-system Handover**:handover that involves a CN change (EPC or 5GC).

**Late Data Forwarding**: data forwarding that is initiated after the source NG-RAN node knows that the UE has successfully accessed a target NG-RAN node.

**Mapped Cell ID**: in NTN, it corresponds to a fixed geographical area.

**Mobile-IAB cell**: A cell of a mobile IAB-DU.

**Mobile IAB-DU**: gNB-DU functionality supported by the mobile IAB-node to terminate the NR access interface to UEs, and to terminate the F1 protocol to the gNB-CU functionality on the IAB-donor, as defined in TS 38.401 [4].

**Mobile IAB-DU migration**: procedure for a mobile IAB-node as defined in TS 38.401 [4].

**Mobile IAB-MT**: mobile IAB-node function that terminates the Uu interface to the parent node using the procedures and behaviours specified for UEs unless stated otherwise.

**Mobile IAB-MT migration**: procedure for a mobile IAB-MT as defined in TS 38.401 [4].

**Mobile IAB-node**: RAN node that supports NR access links to UEs and an NR backhaul link to a parent node, and that can conduct physical mobility across the RAN area. The mobile IAB-node function used in 38-series of 3GPP Specifications corresponds to the MBSR function defined in TS 23.501 [3].

**MBS Radio Bearer**: A radio bearer configured for MBS delivery.

**MSG1**: preamble transmission of the random access procedure for 4-step random access (RA) type.

**MSG3**: first scheduled transmission of the random access procedure.

**MSGA**:preamble and payload transmissions of the random access procedure for 2-step RA type.

**MSGB**:response to MSGA in the 2-step random access procedure. MSGB may consist of response(s) for contention resolution, fallback indication(s), and backoff indication.

**Multicast/Broadcast Service**: A point-to-multipoint service as defined in TS 23.247 [45].

**Multicast MRB**:A radio bearer configured for MBS multicast delivery.

**Multi-hop backhauling**: using a chain of NR backhaul links between an IAB-node and an IAB-donor.

**ng-eNB**: node providing E-UTRA user plane and control plane protocol terminations towards the UE, and connected via the NG interface to the 5GC.

**NG-C**: control plane interface between NG-RAN and 5GC.

**NG-U**: user plane interface between NG-RAN and 5GC.

**NG-RAN node**: either a gNB or an ng-eNB.

**Non-CAG Cell**: a PLMN cell which does not broadcast any Closed Access Group identity.

**Non-Geosynchronous orbit**: earth-centered orbit with an orbital period that does not match Earth's rotation on its axis. This includes Low and Medium Earth Orbit (LEO and MEO). LEO operates at altitudes between 300 km and 1500 km and MEO at altitudes between 7000 km and 25000 km, approximately.

**Non-terrestrial network**: an NG-RAN consisting of gNBs, which provide non-terrestrial NR access to UEs by means of an NTN payload embarked on an airborne or space-borne NTN vehicle and an NTN Gateway.

**NR backhaul link**: NR link used for backhauling between an IAB-node and an IAB-donor, and between IAB-nodes in case of a multi-hop backhauling.

**NR sidelink communication**: AS functionality enabling at least V2X communication as defined in TS 23.287 [40] and the ProSe communication (including ProSe non-Relay and UE-to-Network Relay communication) as defined in TS 23.304 [48], between two or more nearby UEs, using NR technology but not traversing any network node.

**NR sidelink discovery**: AS functionality enabling ProSe non-Relay Discovery and ProSe UE-to-Network Relay discovery for Proximity based Services as defined in TS 23.304 [48] between two or more nearby UEs, using NR technology but not traversing any network node.

**NTN Gateway**: an earth station located at the surface of the earth, providing connectivity to the NTN payload using the feeder link. An NTN Gateway is a TNL node.

**NTN payload**: a network node, embarked on board a satellite or high altitude platform station, providing connectivity functions, between the service link and the feeder link. In the current version of this specification, the NTN payload is a TNL node.

**Numerology**: corresponds to one subcarrier spacing in the frequency domain. By scaling a reference subcarrier spacing by an integer *N*, different numerologies can be defined.

**Parent node**: IAB-MT's next hop neighbour node; the parent node can be IAB-node or IAB-donor-DU

**PC5 Relay RLC channel**: an RLC channel between L2 U2N Remote UE and L2 U2N Relay UE, which is used to transport packets over PC5 for L2 UE-to-Network Relay**.**

**PLMN Cell**: a cell of the PLMN.

**RedCap UE**: a UE with reduced capabilities as specified in clause 4.2.21.1 in TS 38.306 [11].

**Relay discovery**: AS functionality enabling 5G ProSe UE-to-Network Relay Discovery as defined in TS 23.304 [48], using NR technology but not traversing any network node.

**Satellite**:a space-borne vehicle orbiting the Earth embarking the NTN payload.

**Service link**:wireless link between the NTN payload and UE.

**Sidelink Discovery RSRP:** RSRP measurements on PC5 link related to NR sidelink discovery.

**Sidelink RSRP:** RSRP measurements on PC5 link related to NR sidelink communication.

**SNPN Access Mode**: mode of operation whereby a UE only accesses SNPNs.

**SNPN-only cell**: a cell that is only available for normal service for SNPN subscribers.

**SNPN Identity**: the identity of Stand-alone NPN defined by the pair (PLMN ID, NID).

**Transmit/Receive Point**:part of the gNB transmitting and receiving radio signals to/from UE according to physical layer properties and parameters inherent to that element.

**U2N Relay UE**: a UE that provides functionality to support connectivity to the network for U2N Remote UE(s).

**U2N Remote UE**: a UE that communicates with the network via a U2N Relay UE.

**Upstream**: direction toward parent node in IAB-topology.

**Uu Relay RLC channel**: an RLC channel between L2 U2N Relay UE and gNB, which is used to transport packets over Uu for L2 UE-to-Network Relay**.**

**V2X sidelink communication**: AS functionality enabling V2X communication as defined in TS 23.285 [41], between nearby UEs, using E-UTRA technology but not traversing any network node.

**Xn**: network interface between NG-RAN nodes.

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## 4.7 Integrated Access and Backhaul

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### 4.7.X Mobile IAB

#### 4.7.X.1 General

*Mobile IAB* introduces the *mobile IAB-node*, which is a RAN node that provides NR access links to UEs and an NR backhaul link to a parent node, and that can conduct physical mobility across the RAN area. The mobile IAB-node includes a *mobile IAB-MT* and a *mobile IAB-DU*. Mobile IAB supports the same functionality as IAB unless explicitly specified. The following enhancements/restrictions *only* apply to mobile IAB:

* The mobile IAB-node uses the *mobile IAB-node authorization* procedure defined in TS 38.401 [4] and the *MBSR authorization* procedure defined in TS 23.501 [3].
* A RAN node operating as a mobile IAB-node shall not concurrently operate as an IAB-node. During network integration, the RAN node shall indicate whether it intends to operate as a mobile IAB-node or as an IAB-node via an indicator in the *RRCSetupComplete* message.
* The parent node indicates support for mobile IAB-nodes by broadcasting a mobile-IAB-specific indicator in SIB1.
* The mobile IAB-node shall not have descendent nodes. A mobile-IAB cell shall therefore not broadcast any indication that it is a suitable parent node for IAB-nodes or mobile IAB-nodes.
* The cell of a mobile IAB-DU may indicate to UEs via a SIB1 indicator that it is a mobile-IAB cell.
* The mobile IAB-node uses the *mobile IAB-node network integration* procedure as defined in TS 38.401 [4].
* The mobile IAB-MT can perform the *mobile IAB-MT migration* procedures via Xn handover and/or via NG handover as defined in TS 38.401 [4]. The mobile IAB-MT can also perform the *mobile IAB-node recovery* procedure as defined in TS 38.401 [4].
* The mobile IAB-node can perform the *mobile IAB-DU migration* procedure, where a new logical mobile IAB-DU is established on the mobile IAB-node and the initial logical mobile IAB-DU is released some time later. During this procedure, the UEs connected via the mobile IAB-node are handed over from the initial logical mobile IAB-DU, referred to as the source logical mobile IAB-DU, to the new logical mobile IAB-DU, referred to as the target logical mobile IAB-DU. The details of this procedure are defined in TS 38.401 [4]. Enhancements related to BAP for mobile IAB-DU migration are defined in TS 38.340 [31].
* For the mobile IAB-MT, mobile-IAB features are not supported together with dual connectivity.

#### 4.7.X.2 RACH-less handover

During the mobile IAB-DU migration procedure, RACH-less handover can be configured for a UE that is migrated from the source logical mobile IAB-DU to the target logical mobile IAB-DU. The RACH-less handover procedure applies the following functionality:

* The UE uses the same timing advance at the cell of the target logical mobile IAB-DU as signaled by the cell of the source logical mobile IAB-DU.
* The handover command for the UE contains a beam identifier for the beam to be used by the UE at the target logical mobile-IAB cell. The beam may be determined based on a UE measurement report and/or based on implementation, e.g., using the target cell’s knowledge about the beam(s) used by the UE at the co-located source cell.
* The handover command may include a pre-allocated UL grant. Alternatively, an UL grant is dynamically signaled by the target logical IAB-DU cell.
* The UE transmits the *RRCReconfigurationComplete* message using the pre-allocated or dynamically signaled UL grant. The UE’s successful UL data reception on the target logical mobile-IAB cell terminates the RACH-less handover execution.

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## 9.2 Intra-NR

### 9.2.1 Mobility in RRC\_IDLE

#### 9.2.1.1 Cell Selection

The principles of PLMN selection in NR are based on the 3GPP PLMN selection principles. Cell selection is required on transition from RM-DEREGISTERED to RM-REGISTERED, from CM-IDLE to CM-CONNECTED and from CM-CONNECTED to CM-IDLE and is based on the following principles:

- The UE NAS layer identifies a selected PLMN and equivalent PLMNs;

- Cell selection is always based on CD-SSBs located on the synchronization raster (see clause 5.2.4):

- The UE searches the NR frequency bands and for each carrier frequency identifies the strongest cell as per the CD-SSB. It then reads cell system information broadcast to identify its PLMN(s):

- The UE may search each carrier in turn ("initial cell selection") or make use of stored information to shorten the search ("stored information cell selection").

- The UE seeks to identify a suitable cell; if it is not able to identify a suitable cell it seeks to identify an acceptable cell. When a suitable cell is found or if only an acceptable cell is found it camps on that cell and commence the cell reselection procedure:

- A suitable cell is one for which the measured cell attributes satisfy the cell selection criteria; the cell PLMN is the selected PLMN, registered or an equivalent PLMN; the cell is not barred or reserved and the cell is not part of a tracking area which is in the list of "forbidden tracking areas for roaming";

- An acceptable cell is one for which the measured cell attributes satisfy the cell selection criteria and the cell is not barred.

- The IAB-MT applies the cell selection procedure as described for the UE with the following differences:

- The IAB-MT ignores cell-barring or cell-reservation indications contained in cell system information broadcast;

- The IAB-MT only considers a cell as a candidate for cell selection if the cell system information broadcast indicates IAB support for the selected PLMN or the selected SNPN.

- The mobile IAB-MT applies the cell selection procedure as described for the IAB-MT with the following differences:

- The mobile IAB-MT only considers a cell as a candidate cell for cell selection if the cell system information broadcast indicates mobile IAB support.

Transition to RRC\_IDLE:

On transition from RRC\_CONNECTED or RRC\_INACTIVE to RRC\_IDLE, a UE should camp on a cell as result of cell selection according to the frequency be assigned by RRC in the state transition message if any.

Recovery from out of coverage:

The UE should attempt to find a suitable cell in the manner described for stored information or initial cell selection above. If no suitable cell is found on any frequency or RAT, the UE should attempt to find an acceptable cell.

In multi-beam operations, the cell quality is derived amongst the beams corresponding to the same cell (see clause 9.2.4).

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### 9.2.3 Mobility in RRC\_CONNECTED

#### 9.2.3.1 Overview

Network controlled mobility applies to UEs in RRC\_CONNECTED and is categorized into two types of mobility: cell level mobility and beam level mobility. Beam level mobility includes intra-cell beam level mobility and inter-cell beam level mobility.

**Cell Level Mobility** requires explicit RRC signalling to be triggered, i.e. handover. For inter-gNB handover, the signalling procedures consist of at least the following elemental components illustrated in Figure 9.2.3.1-1:



Figure 9.2.3.1-1: Inter-gNB handover procedures

1. The source gNB initiates handover and issues a HANDOVER REQUEST over the Xn interface.

2. The target gNB performs admission control and provides the new RRC configuration as part of the HANDOVER REQUEST ACKNOWLEDGE.

3. The source gNB provides the RRC configuration to the UE by forwarding the *RRCReconfiguration* message received in the HANDOVER REQUEST ACKNOWLEDGE. The *RRCReconfiguration* message includes at least cell ID and all information required to access the target cell so that the UE can access the target cell without reading system information. For some cases, the information required for contention-based and contention-free random access can be included in the *RRCReconfiguration* message. The access information to the target cell may include beam specific information, if any.

4. The UE moves the RRC connection to the target gNB and replies with the *RRCReconfigurationComplete*.

NOTE 1: User Data can also be sent in step 4 if the grant allows.

In case of DAPS handover, the UE continues the downlink user data reception from the source gNB until releasing the source cell and continues the uplink user data transmission to the source gNB until successful random access procedure to the target gNB.

Only source and target PCell are used during DAPS handover. CA, DC, SUL, multi-TRP, EHC, CHO, UDC, NR sidelink configurations and V2X sidelink configurations are released by the source gNB before the handover command is sent to the UE and are not configured by the target gNB until the DAPS handover has completed (i.e. at earliest in the same message that releases the source PCell).

The handover mechanism triggered by RRC requires the UE at least to reset the MAC entity and re-establish RLC, except for DAPS handover, where upon reception of the handover command, the UE:

- Creates a MAC entity for target;

- Establishes the RLC entity and an associated DTCH logical channel for target for each DRB configured with DAPS;

- For each DRB configured with DAPS, reconfigures the PDCP entity with separate security and ROHC functions for source and target and associates them with the RLC entities configured by source and target respectively;

- Retains the rest of the source configurations until release of the source.

NOTE 2: Void.

NOTE 3: Void.

RRC managed handovers with and without PDCP entity re-establishment are both supported. For DRBs using RLC AM mode, PDCP can either be re-established together with a security key change or initiate a data recovery procedure without a key change. For DRBs using RLC UM mode, PDCP can either be re-established together with a security key change or remain as it is without a key change. For SRBs, PDCP can either remain as it is, discard its stored PDCP PDUs/SDUs without a key change or be re-established together with a security key change.

Data forwarding, in-sequence delivery and duplication avoidance at handover can be guaranteed when the target gNB uses the same DRB configuration as the source gNB.

Timer based handover failure procedure is supported in NR. RRC connection re-establishment procedure is used for recovering from handover failure except in certain CHO or DAPS handover scenarios:

- When DAPS handover fails, the UE falls back to the source cell configuration, resumes the connection with the source cell, and reports DAPS handover failure via the source without triggering RRC connection re-establishment if the source link has not been released.

- When initial CHO execution attempt fails or HO fails, the UE performs cell selection, and if the selected cell is a CHO candidate and if network configured the UE to try CHO after handover/CHO failure, then the UE attempts CHO execution once, otherwise re-establishment is performed.

DAPS handover for FR2 to FR2 case is not supported in this release of the specification.

The handover of the IAB-MT in SA mode follows the same procedure as described for the UE. After the backhaul has been established, the handover of the IAB-MT is part of the intra-CU or inter-CU topology adaptation procedures defined in TS 38.401 [4]. Modifications to the configuration of BAP sublayer and higher protocol layers above the BAP sublayer are described in TS 38.401 [4].

The handover of the mobile IAB-MT follows the same procedure as described for the UE. After the backhaul has been established, the handover of the mobile IAB-MT is part of the mobile IAB-MT migration procedure defined in TS 38.401 [4].

**Beam Level Mobility** does not require explicit RRC signalling to be triggered. Beam level mobility can be within a cell, or between cells, the latter is referred to as inter-cell beam management (ICBM). For ICBM, a UE can receive or transmit UE dedicated channels/signals via a TRP associated with a PCI different from the PCI of a serving cell, while non-UE-dedicated channels/signals can only be received via a TRP associated with a PCI of the serving cell. The gNB provides via RRC signalling the UE with measurement configuration containing configurations of SSB/CSI resources and resource sets, reports and trigger states for triggering channel and interference measurements and reports. In case of ICBM, a measurement configuration includes SSB resources associated with PCIs different from the PCI of a serving cell. Beam Level Mobility is then dealt with at lower layers by means of physical layer and MAC layer control signalling, and RRC is not required to know which beam is being used at a given point in time.

SSB-based Beam Level Mobility is based on the SSB associated to the initial DL BWP and can only be configured for the initial DL BWPs and for DL BWPs containing the SSB associated to the initial DL BWP. For other DL BWPs, Beam Level Mobility can only be performed based on CSI-RS.

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| *--- End of Changes ---* |